



GOVERNMENT-WIDE SUPPORT

VARIANCE REPORT

	FISCAL YEAR 2010-11				THREE MONTHS ENDED 09-30-11				NINE MONTHS ENDING 06-30-12			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	1,601.28	1,385.28	- 216.00	13	1,634.28	1,378.28	- 256.00	16	1,634.28	1,547.28	- 87.00	5
EXPENDITURES (\$1000's)	940,900	824,701	- 116,199	12	264,174	242,209	- 21,965	8	602,834	715,507	+ 112,673	19
TOTAL COSTS												
POSITIONS	1,601.28	1,385.28	- 216.00	13	1,634.28	1,378.28	- 256.00	16	1,634.28	1,547.28	- 87.00	5
EXPENDITURES (\$1000's)	940,900	824,701	- 116,199	12	264,174	242,209	- 21,965	8	602,834	715,507	+ 112,673	19
	FISCAL YEAR 2010-11				FISCAL YEAR 2011-12							
PART II: MEASURES OF EFFECTIVENESS	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
1. AVG ANNUAL RATE OF RETURN ON INVESTMENTS	3	NO DATA	-	3	100	3	NO DATA	-	3	100		
2. AVG LENGTH OF TIME (DAYS) TO ISSUE REFUND CHECK	15	15	+	0	0	15	15	+	0	0		

VARIANCE REPORT NARRATIVE FY 2011 AND FY 2012

PROGRAM TITLE: GOVERNMENT-WIDE SUPPORT

11

PART I - EXPENDITURES AND POSITIONS

The variance in the Government-Wide Support Program position count is generally attributed to vacancies due to budget constraints, personnel turnovers, furloughs, and pending recruitment and filling. The variance for expenditures is generally attributed to personnel expenditures being less than planned due to position vacancies. Details of the position and expenditure variances are best examined at the lowest program level.

PART II - MEASURES OF EFFECTIVENESS

(See Lowest Level Programs for Explanation of Variances)

VARIANCE REPORT

PROGRAM TITLE: EXEC DIRECTN, COORD, & POLICY DEVELOPMENT

12/14/11

PROGRAM-ID:

PROGRAM STRUCTURE NO: 1101

	FISCAL YEAR 2010-11				THREE MONTHS ENDED 09-30-11				NINE MONTHS ENDING 06-30-12			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	126.00	102.00	- 24.00	19	128.00	100.00	- 28.00	22	128.00	112.00	- 16.00	13
EXPENDITURES (\$1000's)	33,545	21,359	- 12,186	36	6,224	4,090	- 2,134	34	26,604	24,178	- 2,426	9
TOTAL COSTS												
POSITIONS	126.00	102.00	- 24.00	19	128.00	100.00	- 28.00	22	128.00	112.00	- 16.00	13
EXPENDITURES (\$1000's)	33,545	21,359	- 12,186	36	6,224	4,090	- 2,134	34	26,604	24,178	- 2,426	9
					FISCAL YEAR 2010-11				FISCAL YEAR 2011-12			
PART II: MEASURES OF EFFECTIVENESS												
1. NO. OF REG VTRS WHO VTE AS % OF REG VOTERS					PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%
					60	56	- 4	7	0	0	+ 0	0

**VARIANCE REPORT NARRATIVE
FY 2011 AND FY 2012**

PROGRAM TITLE: EXEC DIRECTN, COORD, & POLICY DEVELOPMENT

11 01

PART I - EXPENDITURES AND POSITIONS

See the lowest level programs explanation of variances.

PART II - MEASURES OF EFFECTIVENESS

See the lowest level programs explanation of variances.

VARIANCE REPORT

	FISCAL YEAR 2010-11				THREE MONTHS ENDED 09-30-11				NINE MONTHS ENDING 06-30-12						
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%			
PART I: EXPENDITURES & POSITIONS															
RESEARCH & DEVELOPMENT COSTS															
POSITIONS															
EXPENDITURES (\$1,000's)															
OPERATING COSTS															
POSITIONS	27.00	24.00	-	3.00	11	27.00	24.00	-	3.00	11	27.00	27.00	+	0.00	0
EXPENDITURES (\$1000's)	1,684	2,668	+	984	58	697	669	-	28	4	2,567	2,426	-	141	5
TOTAL COSTS															
POSITIONS	27.00	24.00	-	3.00	11	27.00	24.00	-	3.00	11	27.00	27.00	+	0.00	0
EXPENDITURES (\$1000's)	1,684	2,668	+	984	58	697	669	-	28	4	2,567	2,426	-	141	5
	FISCAL YEAR 2010-11				FISCAL YEAR 2011-12										
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%							
PART II: MEASURES OF EFFECTIVENESS															
1. NOT APPLICABLE	NO DATA	NO DATA	+	0	0	NO DATA	NO DATA	+	0	0	NO DATA	NO DATA	+	0	0

VARIANCE REPORT NARRATIVE FY 2011 AND FY 2012

11 01 01
GOV 100

PROGRAM TITLE: OFFICE OF THE GOVERNOR

PART I - EXPENDITURES AND POSITIONS

The variance is attributed to transition and postponement of filling three permanent positions in FY11. We received an Emergency Appropriation of \$1,093,766.00 in FY11. The variance is attributed to postponement of filling two permanent positions in FY12 - 1st Quarter.

PART II - MEASURES OF EFFECTIVENESS

Not applicable.

PART III - PROGRAM TARGET GROUPS

Not applicable.

PART IV - PROGRAM ACTIVITIES

The Office shall strive to enhance the well-being of the citizens of Hawaii by ensuring that State Government programs are effectively managed within and between departments and that Executive Branch policies are executed through laws, executive orders, policy statements, and memoranda; and these policies are clearly and concisely articulated to the public.

VARIANCE REPORT

	FISCAL YEAR 2010-11				THREE MONTHS ENDED 09-30-11				NINE MONTHS ENDING 06-30-12						
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%			
PART I: EXPENDITURES & POSITIONS															
RESEARCH & DEVELOPMENT COSTS															
POSITIONS															
EXPENDITURES (\$1,000's)															
OPERATING COSTS															
POSITIONS	3.00	3.00	+	0.00	0	3.00	3.00	+	0.00	0	3.00	3.00	+	0.00	0
EXPENDITURES (\$1000's)	421	677	+	256	61	162	149	-	13	8	484	481	-	3	1
TOTAL COSTS															
POSITIONS	3.00	3.00	+	0.00	0	3.00	3.00	+	0.00	0	3.00	3.00	+	0.00	0
EXPENDITURES (\$1000's)	421	677	+	256	61	162	149	-	13	8	484	481	-	3	1
	FISCAL YEAR 2010-11				FISCAL YEAR 2011-12										
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%							
PART II: MEASURES OF EFFECTIVENESS															
1. TTL REV FRM SALES AS % OF COST OF PUBLICATION	80	75	-	5	6	80	75	-	5	6					
PART III: PROGRAM TARGET GROUP															
1. TOTAL DE FACTO POPULATION (THOUSANDS)	1447	NO DATA	-	1447	100	1447	NO DATA	-	1447	100					
PART IV: PROGRAM ACTIVITY															
1. NO. OF APPLCNTS FOR CHANGE OF NAMES BY INDIVIDUAL	1300	940	-	360	28	1300	1200	-	100	8					
2. NO. REQ FOR HRS, SESS LAWS, SUPPLEMENTS (000)	2.2	2	-	0.2	9	2.2	2	-	0.2	9					

VARIANCE REPORT NARRATIVE FY 2011 AND FY 2012

11 01 02
LTG 100

PROGRAM TITLE: OFFICE OF THE LIEUTENANT GOVERNOR

PART I - EXPENDITURES AND POSITIONS

The variance in expenditures for FY 12 is due to actual expenditures not equating to budgeted amounts.

PART II - MEASURES OF EFFECTIVENESS

Although the variance shows a small decrease in FY 11 for name change requests, FY 12 requests looks to average out as in previous years. This was due to resubmittal of applicants request.

PART III - PROGRAM TARGET GROUPS

No data available.

PART IV - PROGRAM ACTIVITIES

The variance is due to effort by staff to respond and resolve inquiries and requests made to the office by agencies and the public.

VARIANCE REPORT

	FISCAL YEAR 2010-11				THREE MONTHS ENDED 09-30-11				NINE MONTHS ENDING 06-30-12			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	73.00	68.00	- 5.00	7	75.00	66.00	- 9.00	12	75.00	75.00	+ 0.00	0
EXPENDITURES (\$1000's)	16,680	14,146	- 2,534	15	4,745	2,842	- 1,903	40	13,762	16,418	+ 2,656	19
TOTAL COSTS												
POSITIONS	73.00	68.00	- 5.00	7	75.00	66.00	- 9.00	12	75.00	75.00	+ 0.00	0
EXPENDITURES (\$1000's)	16,680	14,146	- 2,534	15	4,745	2,842	- 1,903	40	13,762	16,418	+ 2,656	19
	FISCAL YEAR 2010-11				FISCAL YEAR 2011-12							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. NO MEASURES HAVE BEEN DEVELOPED FOR THIS PROGRAM	NO DATA	NO DATA	+ 0	0	NO DATA	NO DATA	+ 0	0				

**VARIANCE REPORT NARRATIVE
FY 2011 AND FY 2012**

PROGRAM TITLE: POLICY DEVELOPMENT & COORDINATION

11 01 03

PART I - EXPENDITURES AND POSITIONS

No Narrative.

PART II - MEASURES OF EFFECTIVENESS

(See Lowest Level Programs for Explanation of Variences)

VARIANCE REPORT

	FISCAL YEAR 2010-11				THREE MONTHS ENDED 09-30-11				NINE MONTHS ENDING 06-30-12			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS												
	17.00	17.00	+ 0.00	0	17.00	17.00	+ 0.00	0	17.00	17.00	+ 0.00	0
EXPENDITURES (\$1000's)												
	4,675	4,223	- 452	10	1,429	461	- 968	68	4,373	6,770	+ 2,397	55
TOTAL COSTS												
POSITIONS												
	17.00	17.00	+ 0.00	0	17.00	17.00	+ 0.00	0	17.00	17.00	+ 0.00	0
EXPENDITURES (\$1000's)												
	4,675	4,223	- 452	10	1,429	461	- 968	68	4,373	6,770	+ 2,397	55
PART II: MEASURES OF EFFECTIVENESS												
1. # OF PLANS/STUDIES PREPARED IN TIMELY MANNER												
	5	5	+ 0	0	5	3	- 2	40				
2. #LUC DECISIONS UPHLDG OP POS AS %TOT LUC DECSNS												
	100	75	- 25	25	100	100	+ 0	0				
3. # ACRES INVOLVED IN LUC DECISIONS SPRTG OP POSTN												
	644	4480.88	+ 3836.88	596	600	60900	+ 60300	10050				
4. # RVIWS OF FED ACTIV PROPSD/CONDUCTD IN CZM AREA												
	40	49	+ 9	23	40	40	+ 0	0				
5. #STATE/CNTY PROGS AIMG TO ATTN OBJ OF CZM PROG												
	11	11	+ 0	0	11	11	+ 0	0				
PART III: PROGRAM TARGET GROUP												
1. STATE RESIDENT POPULATION (THOUSANDS)												
	1410	1410	+ 0	0	1415	1415	+ 0	0				
2. NO. OF APPLICANTS FOR FEDERAL PERMITS & LICENSES												
	60	50	- 10	17	60	60	+ 0	0				
3. NUMBER OF APPLICANTS FOR LAND USE REVIEWS												
	6	6	+ 0	0	6	6	+ 0	0				
PART IV: PROGRAM ACTIVITY												
1. # SPECIAL PLANS/PLNNG REPORTS DEVELOPED/REVIEWED												
	5	5	+ 0	0	5	3	- 2	40				
2. # OF COUNTY GENERAL & DEV PLANS/AMENDMTS REVUED												
	30	6	- 24	80	30	6	- 24	80				
3. # LU BOUNDARY AMDT PETITIONS, OTH LUC ITEMS REVW'D												
	11	16	+ 5	45	7	15	+ 8	114				
4. # STATE POSN STATEMTS PREP FOR LU BOUNDRY CHG PETS												
	10	4	- 6	60	6	6	+ 0	0				
5. NUMBER OF FEDERAL CONSISTENCY REVIEWS												
	100	99	- 1	1	85	100	+ 15	18				
6. STATE PERMITS/APPROVALS REVIEWED BY CZM PROGRAM												
	16	11	- 5	31	14	12	- 2	14				

VARIANCE REPORT NARRATIVE FY 2011 AND FY 2012

11 01 03 02
BED 144

PROGRAM TITLE: STATEWIDE PLANNING & COORDINATION

PART I - EXPENDITURES AND POSITIONS

No position variances.

The expenditure variances are due to the following: 1) the delays in hiring for three manager positions and the Office of Planning (OP) Director position ; 2) there was an emergency appropriation for an increase in the ceiling for the Brownfields Cleanup Revolving Loan Fund (BCRLF) to loan funds to DHHL for cleanup of a site in Kapolei and 3) Federal funds for contracts were not expended as anticipated due to difficulties in contracting.

PART II - MEASURES OF EFFECTIVENESS

Item 2. In 2 of 8 Land Use Commission (LUC) decisions, the LUC did not concur with OP's position. The LUC has discretion to weigh information and evidence from all parties and the public which may lead them to a different conclusion on the merits of a petition.

Item 3. (FY11 & FY12) Acreage in any one year is dependent on the petitions received by the LUC and the acreage involved can vary from greater than 15 acres to thousands of acres, depending on the nature of the petition. The large numbers seen this fiscal year is explained by large petitions for the designation of important agricultural lands.

Item 4. The actual number of federal activities reviewed (49) was greater than planned (40). The Coastal Zone Management program (CZM) does not have any control over the number of federal activities that will be submitted for review. The differences between planned and actual numbers are subject to variation because CZM federal consistency review is a regulatory function that does not have any control over the number of applicants submitting reviews.

PART III - PROGRAM TARGET GROUPS

Item 2. The actual number of applicants for federal permits and licenses (50) was less than planned (60). The differences between planned and actual numbers are subject to variation because CZM federal consistency

review is a regulatory function that does not have any control over the number of applicants submitting reviews.

PART IV - PROGRAM ACTIVITIES

Item 1. For FY12, it is estimated that the number of plans and planning reports developed will be less than planned because of staff shortages.

Item 2. For FY11 & FY12, due to staffing constraints, county plan and plan amendment reviews were limited to those requiring a land use district boundary amendment from the LUC.

Item 3. FY11 & FY12. The LUC docket items that staff reviews in any given fiscal year are determined by petitioners' responses to changing market conditions and subsequent project adjustments. Thus, the number of LUC items reviewed can vary dramatically from year to year depending on the nature of the requests being made to the LUC.

Item 4. FY11. The LUC docket items that staff reviews in any given fiscal year are determined by petitioners' responses to changing market conditions and subsequent project adjustments. Thus, the number of LUC items reviewed can vary dramatically from year to year depending on the nature of the requests being made to the LUC. OP does not have any control over the filing of petitions as these are applicant driven.

Item 5. The differences between planned and actual numbers are subject to variation because CZM federal consistency review is a regulatory function that does not have any control over the number of applicants submitting reviews.

Item 6. The number of planned Special Management Area Permits (SMA) reviewed by the CZM Program (16) was based on trends from past years. The actual number was 11. The differences between planned and actual numbers are subject to variation because the SMA permit review is a regulatory function that does not have any control over the number of applicants submitting permit applications.

VARIANCE REPORT

	FISCAL YEAR 2010-11				THREE MONTHS ENDED 09-30-11				NINE MONTHS ENDING 06-30-12			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	5.00	4.00	- 1.00	20	5.00	4.00	- 1.00	20	5.00	5.00	+ 0.00	0
EXPENDITURES (\$1000's)	450	401	- 49	11	112	101	- 11	10	377	377	+ 0	0
TOTAL COSTS												
POSITIONS	5.00	4.00	- 1.00	20	5.00	4.00	- 1.00	20	5.00	5.00	+ 0.00	0
EXPENDITURES (\$1000's)	450	401	- 49	11	112	101	- 11	10	377	377	+ 0	0
	FISCAL YEAR 2010-11				FISCAL YEAR 2011-12							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. NUMBER OF ACRES REVIEWED FOR RECLASSIFICATION	1500	3700	+ 2200	147	1500	3000	+ 1500	100				
2. NUMBER OF ACRES REVIEWED FOR SPECIAL PERMIT	300	145	- 155	52	300	300	+ 0	0				
PART III: PROGRAM TARGET GROUP												
1. APPLICANTS FOR LAND USE REVIEW	850	1200	+ 350	41	850	1200	+ 350	41				
PART IV: PROGRAM ACTIVITY												
1. LAND USE BOUNDARY CHANGE PETITIONS PROCESSED	10	8	- 2	20	10	10	+ 0	0				
2. SPECIAL PERMIT APPLICS PROCESSED	3	2	- 1	33	3	3	+ 0	0				
3. LAND USE BOUNDARY INTERPRET - REQUESTS PROCESSED	850	1200	+ 350	41	850	1200	+ 350	41				
4. MOTIONS PROCESSED	25	27	+ 2	8	25	25	+ 0	0				

VARIANCE REPORT NARRATIVE FY 2011 AND FY 2012

11 01 03 03
BED 103

PROGRAM TITLE: STATEWIDE LAND USE MANAGEMENT

PART I - EXPENDITURES AND POSITIONS

The position and expenditure variance are due to a position vacancy and budget restrictions.

PART II - MEASURES OF EFFECTIVENESS

Item 1. The variance in acreage reviewed and/or reclassified in FY 11 exceeded the estimated amount. The actual acreage reviewed reflects that which is submitted to the Land Use Commission's (LUC) jurisdiction for the reporting period. The LUC does not control the timing of when petitions are submitted. Many of these applications are in the preliminary stages.

Item 2. The LUC does not control the timing of when special permits are submitted.

PART III - PROGRAM TARGET GROUPS

Item 1. The variance is due to LUC assisting more people with land use interpretations than planned. The 1200 will be used in the future for planning purposes.

PART IV - PROGRAM ACTIVITIES

Item 1. The LUC has no control over the actual number of petitions submitted for processing. During the past 6 years, the average number of petitions processed during the year has been 10. Regardless of the actual number submitted for processing, all petitions received by the LUC and deemed complete are processed within 365 calendar days. Entitlement activities at the LUC remain active.

Item 2. Two special permits were filed with the LUC during FY 11. The LUC has no control over the number of special permits filed with the LUC for processing, but must process any filed within 45 days. Entitlement activities at the LUC remain active.

Item 3. The number of boundary interpretations processed for FY 11 was approximately 1,200. This variance is attributable to an unforeseen increase in the number of boundary interpretations initiated by the general public and processed by the LUC via the Internet.

VARIANCE REPORT

	FISCAL YEAR 2010-11				THREE MONTHS ENDED 09-30-11				NINE MONTHS ENDING 06-30-12			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	13.00	13.00	+ 0.00	0	13.00	13.00	+ 0.00	0	13.00	13.00	+ 0.00	0
EXPENDITURES (\$1000's)	832	656	- 176	21	202	149	- 53	26	651	622	- 29	4
TOTAL COSTS												
POSITIONS	13.00	13.00	+ 0.00	0	13.00	13.00	+ 0.00	0	13.00	13.00	+ 0.00	0
EXPENDITURES (\$1000's)	832	656	- 176	21	202	149	- 53	26	651	622	- 29	4
	FISCAL YEAR 2010-11				FISCAL YEAR 2011-12							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. PROG. OUTPUTS CITED IN MAJOR MEDIA (AVE.MONTHLY#)	20	10	- 10	50	20	10	- 10	50				
2. ACCURACY OF ECON FORECASTS (% ERROR)	5	5	+ 0	0	5	5	+ 0	0				
PART III: PROGRAM TARGET GROUP												
1. VISITS TO PROGRAM WEBSITE (AVE PER MONTH, THOUS.)	15	15	+ 0	0	15	15	+ 0	0				
PART IV: PROGRAM ACTIVITY												
1. DATA SERIES MAINTAINED ON-LINE (X 100)	50	50	+ 0	0	50	50	+ 0	0				
2. OTHER ECONOMIC AND STATISTICAL REPORTS ISSUED (#)	35	30	- 5	14	40	35	- 5	13				

VARIANCE REPORT NARRATIVE FY 2011 AND FY 2012

11 01 03 04
BED 130

PROGRAM TITLE: ECONOMIC PLANNING & RESEARCH

PART I - EXPENDITURES AND POSITIONS

The expenditure variance in FY 11 primarily reflects the effects of the reduction-in-force.

PART II - MEASURES OF EFFECTIVENESS

Item 2. No narrative for variance submitted.

PART III - PROGRAM TARGET GROUPS

Item 1. No narrative for variance submitted.

PART IV - PROGRAM ACTIVITIES

Item 2. No narrative for variance submitted.

PROGRAM TITLE: DEPARTMENTAL ADMINISTRATION & BUDGET DIV
 PROGRAM-ID: BUF-101
 PROGRAM STRUCTURE NO: 11010305

	FISCAL YEAR 2010-11				THREE MONTHS ENDED 09-30-11				NINE MONTHS ENDING 06-30-12			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS												
	38.00	34.00	- 4.00	11	40.00	32.00	- 8.00	20	40.00	40.00	+ 0.00	0
EXPENDITURES (\$1000's)												
	10,723	8,866	- 1,857	17	3,002	2,131	- 871	29	8,361	8,649	+ 288	3
TOTAL COSTS												
POSITIONS												
	38.00	34.00	- 4.00	11	40.00	32.00	- 8.00	20	40.00	40.00	+ 0.00	0
EXPENDITURES (\$1000's)												
	10,723	8,866	- 1,857	17	3,002	2,131	- 871	29	8,361	8,649	+ 288	3
					FISCAL YEAR 2010-11				FISCAL YEAR 2011-12			
					PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%
PART II: MEASURES OF EFFECTIVENESS												
1. % OF RECOMMDTNS ON DEPT REQSTS COMPLTD BY DUE DATE					90	NO DATA	- 90	100	90	NO DATA	- 90	100
2. PERCENTAGE OF VENDOR PAYMENTS MADE WITHIN 30 DAYS					84	NO DATA	- 84	100	84	NO DATA	- 84	100
3. % VAR IN EXP FIXED COST/ENTITLMTS VS ANNL ALLTMNT					8	NO DATA	- 8	100	8	NO DATA	- 8	100
4. %PAYRLL-REL HRMS TRANS COMPL W/IN 5 DAYS OF APPRVL					92	NO DATA	- 92	100	92	NO DATA	- 92	100
5. % USER IT TROUBLSHTG REQ RESPND TO W/IN 2 WKG DAYS					95	NO DATA	- 95	100	95	NO DATA	- 95	100
6. % PC/LAN MALFUNCTNS RESPONDED TO WITHIN 6 WKG DAYS					95	NO DATA	- 95	100	95	NO DATA	- 95	100
PART III: PROGRAM TARGET GROUP												
1. GOVERNOR AND EXECUTIVE AGENCIES					20	20	+ 0	0	20	20	+ 0	0
PART IV: PROGRAM ACTIVITY												
1. NO. OF DEPT PROGRAM PLANS REVIEWED AND PROCESSED					265	NO DATA	- 265	100	265	NO DATA	- 265	100
2. NO. OF PROGRM BUDGET REQUESTS REVIEWED & PROCESSED					1000	NO DATA	- 1000	100	1000	NO DATA	- 1000	100
3. NUMBER OF PROGRAM MEMORANDA PREPARED					11	NO DATA	- 11	100	0	NO DATA	+ 0	0
4. NUMBER OF VARIANCE REPORTS REVIEWED AND PROCESSED					265	NO DATA	- 265	100	265	NO DATA	- 265	100
5. NO. OF EXPEND PLANS & ALLOTMENT REQUESTS PROCESSED					4000	NO DATA	- 4000	100	4000	NO DATA	- 4000	100
6. NUMBER OF REFERRALS PROCESSED					1700	NO DATA	- 1700	100	1700	NO DATA	- 1700	100
7. NO. OF LEGISLATIVE PROPOSALS REVIEWED FOR GOVERNOR					250	NO DATA	- 250	100	250	NO DATA	- 250	100
8. NO. BILLS PASSED BY LEGISLATURE REVIEWED FOR GOV					260	NO DATA	- 260	100	260	NO DATA	- 260	100
9. NO. OF REORGANIZATN PROPOSALS REVIEWED & PROCESSED					12	NO DATA	- 12	100	12	NO DATA	- 12	100
10. NO. OF CIP ALLOTMENT REQUESTS REVIEWED & PROCESSED					600	NO DATA	- 600	100	600	NO DATA	- 600	100

VARIANCE REPORT NARRATIVE FY 2011 AND FY 2012

11 01 03 05
BUF 101

PROGRAM TITLE: DEPARTMENTAL ADMINISTRATION & BUDGET DIV

PART I - EXPENDITURES AND POSITIONS

FY 2010-11

The variances in positions and expenditures in FY 11 are due primarily to the hiring freeze, reduction in force, transfers, and lower than anticipated expenditures for other current expenses.

FY 2011-12

Expenditures and positions - The 1st quarter variance in positions are due to vacant positions in the process of being filled. Approvals to fill either have been or are in the process of being obtained for these critical positions. The variance in expenditures is primarily due to the vacancies and the unpredictable nature of expenditures for witness and attorney fees. For the remainder of FY 12, we anticipate the expenditure of funds in accordance with budget execution policies, less amounts programmed for labor savings and program review adjustments pursuant to Sections 96 & 97 of Act 164/SLH 2011.

PART II - MEASURES OF EFFECTIVENESS

No data submitted by program.

PART III - PROGRAM TARGET GROUPS

No variance.

PART IV - PROGRAM ACTIVITIES

No data submitted by program.

VARIANCE REPORT

	FISCAL YEAR 2010-11				THREE MONTHS ENDED 09-30-11				NINE MONTHS ENDING 06-30-12			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	23.00	7.00	- 16.00	70	23.00	7.00	- 16.00	70	23.00	7.00	- 16.00	70
EXPENDITURES (\$1000's)	14,760	3,868	- 10,892	74	620	430	- 190	31	9,791	4,853	- 4,938	50
TOTAL COSTS												
POSITIONS	23.00	7.00	- 16.00	70	23.00	7.00	- 16.00	70	23.00	7.00	- 16.00	70
EXPENDITURES (\$1000's)	14,760	3,868	- 10,892	74	620	430	- 190	31	9,791	4,853	- 4,938	50
	FISCAL YEAR 2010-11				FISCAL YEAR 2011-12							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. 85% DISCLOSURE RPT SUBMIT BY CAND & NONCAND COMM	3000	2438	- 562	19	1200	1000	- 200	17				
2. # OF DISCLOSURE REPORTS REVIEWED	3000	2438	- 562	19	1200	1000	- 200	17				
3. # ELIG PERSONS REGIS AS % TOTAL ELIG TO VOTE	81	81	+ 0	0	81	81	+ 0	0				
4. # REG VOTERS WHO VOTE AS % OF REGISTERED VOTERS	60	56	- 4	7	0	0	+ 0	0				

**VARIANCE REPORT NARRATIVE
FY 2011 AND FY 2012**

PROGRAM TITLE: VOTING RIGHTS AND ELECTIONS

11 01 04

PART I - EXPENDITURES AND POSITIONS

No Narrative

PART II - MEASURES OF EFFECTIVENESS

(See Lowest Level Programs for Explanation of Variances)

VARIANCE REPORT

	FISCAL YEAR 2010-11				THREE MONTHS ENDED 09-30-11				NINE MONTHS ENDING 06-30-12			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	5.00	5.00	+ 0.00	0	5.00	5.00	+ 0.00	0	5.00	5.00	+ 0.00	0
EXPENDITURES (\$1000's)	4,640	893	- 3,747	81	148	132	- 16	11	960	935	- 25	3
TOTAL COSTS												
POSITIONS	5.00	5.00	+ 0.00	0	5.00	5.00	+ 0.00	0	5.00	5.00	+ 0.00	0
EXPENDITURES (\$1000's)	4,640	893	- 3,747	81	148	132	- 16	11	960	935	- 25	3
	FISCAL YEAR 2010-11				FISCAL YEAR 2011-12							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. WORK PRODUCT ALIGNED W/5-YEAR STRATEGIC PLAN	85	85	+ 0	0	100	95	- 5	5				
2. 85% DISCLOSURE RPT SUBMIT BY CAND & NONCAND COMM	3000	2438	- 562	19	1200	1000	- 200	17				
3. # OF DISCLOSURE REPORTS REVIEWED	3000	2438	- 562	19	1200	1000	- 200	17				
4. EDUCATE CAND & NONCAND COMM RE CAMPAIGN LAWS	10000	10000	+ 0	0	2000	2000	+ 0	0				
5. INVESTIGATIONS CONDUCTED ON POTENTIAL VIOLATIONS	25	19	- 6	24	20	18	- 2	10				
6. ENFORCEMENT ACTION TAKEN	25	19	- 6	24	15	15	+ 0	0				
7. PUBLIC FINANCING PROVIDED	252930	276731	+ 23801	9	20000	200000	+ 180000	900				
PART III: PROGRAM TARGET GROUP												
1. CANDIDATES	475	475	+ 0	0	300	425	+ 125	42				
2. ELECTED OFFICIALS	141	128	- 13	9	141	128	- 13	9				
3. VOTERS AND POTENTIAL VOTERS	685000	690748	+ 5748	1	0	0	+ 0	0				
PART IV: PROGRAM ACTIVITY												
1. # OF REPORTS FILED & REVIEWED	4000	4000	+ 0	0	1500	1500	+ 0	0				
2. # OF WEBSITE "HITS"	180100	200000	+ 19900	11	100000	100000	+ 0	0				
3. # CAND & NONCAND COMM CLASSES & PRESENTATIONS	30	21	- 9	30	30	17	- 13	43				
4. # OF ADVISORY OPINIONS RENDERED	5	4	- 1	20	10	3	- 7	70				
5. # OF CONCILIATION AGREEMENTS	25	23	- 2	8	15	15	+ 0	0				
6. # OF FINES IMPOSED	16	127	+ 111	694	100	100	+ 0	0				
7. # OF \$3 HAWAII INCOME TAX CHECK-OFFS	70000	74656	+ 4656	7	65000	65000	+ 0	0				
8. # INDIVIDUALS TRAINED IN WEB-BASED FILING SYSTEMS	3890	1000	- 2890	74	1000	600	- 400	40				

VARIANCE REPORT NARRATIVE FY 2011 AND FY 2012

11 01 04 01
AGS 871

PROGRAM TITLE: CAMPAIGN SPENDING COMMISSION

PART I - EXPENDITURES AND POSITIONS

The expenditure variances are attributable to furloughs of staff members and savings in other current expenses.

PART II - MEASURES OF EFFECTIVENESS

Item 2: The variance is due to a realignment of the statistics measure from the number of reports filed to a percentage of disclosure reports filed.

Item 3: The variance in FY 11 is due to the number of disclosure reports actually filed. The variance in FY 12 is due to a realignment of the statistics measure from the number of reports filed to a percentage of disclosure reports filed.

Items 5 and 6: The variance is due to a decrease in complaints received in an election year. Investigations are counted when completed.

Item 7: The variance in FY 11 is due to the unpredictability in the number of candidates that will accept public funding. No candidate is mandated to accept public funding; however, any candidate with an opponent may qualify for public funding. The variance in FY 12 is due to a prediction based on the 2010 elections that the comprehensive and partial public funding candidates will seek public funding early in the next election.

PART III - PROGRAM TARGET GROUPS

Item 1: The variance in FY 12 is due to the filing deadline moved up to June 15, 2012.

PART IV - PROGRAM ACTIVITIES

Item 2: The variance in FY 11 is due to increased public awareness and referrals to the program's website via social media applications and the new corporate electronic filing system.

Item 3: The variance in FY 11 is due to the availability of online e-training videos on the program's website thereby allowing candidates, committees, and the public to avoid attending classes or presentations. The variance in FY 12 is a prediction that candidates, committees, and the public may access the online e-training videos on the program's website thereby permitting them to not have to attend the classes or presentations.

Item 4: The variance is due to no advisory opinions being requested.

Item 5: The variance is due to an overestimation in the number of conciliation agreements projected.

Item 6: To achieve better compliance, the variance for the increase in fines is due to the number of reports filed late or not at all.

Item 7: The variance is due to the program's effort to increase the actual number of state income tax check-offs to generate revenues for the Hawaii Election Campaign Fund.

Item 8: The variance is due to an overestimation of the number of candidates, committees, corporations, and treasurers requiring training to electronically file their disclosure reports.

VARIANCE REPORT

	FISCAL YEAR 2010-11				THREE MONTHS ENDED 09-30-11				NINE MONTHS ENDING 06-30-12						
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%			
PART I: EXPENDITURES & POSITIONS															
RESEARCH & DEVELOPMENT COSTS															
POSITIONS															
EXPENDITURES (\$1,000's)															
OPERATING COSTS															
POSITIONS	18.00	2.00	-	16.00	89	18.00	2.00	-	16.00	89	18.00	2.00	-	16.00	89
EXPENDITURES (\$1000's)	10,120	2,975	-	7,145	71	472	298	-	174	37	8,831	3,918	-	4,913	56
TOTAL COSTS															
POSITIONS	18.00	2.00	-	16.00	89	18.00	2.00	-	16.00	89	18.00	2.00	-	16.00	89
EXPENDITURES (\$1000's)	10,120	2,975	-	7,145	71	472	298	-	174	37	8,831	3,918	-	4,913	56
	FISCAL YEAR 2010-11				FISCAL YEAR 2011-12										
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%							
PART II: MEASURES OF EFFECTIVENESS															
1. # ELIG PERSONS REGIS AS % TOTAL ELIG TO VOTE	81	81	+	0	0	81	81	+	0	0					
2. # REG VOTERS WHO VOTE AS % OF REGISTERED VOTERS	60	56	-	4	7	0	0	+	0	0					
3. % OF MANUAL AUDIT PRECNTS MATCH COMP GEN RESULTS	100	100	+	0	0	0	0	+	0	0					
4. % POLL BOOK AUDIT PRECNTS MATCH COMP GEN RESULTS	100	100	+	0	0	0	0	+	0	0					
5. # COMPLAINTS & CHALLENGES TO ELECTION SYSTEM	0	0	+	0	0	0	0	+	0	0					
6. # COMPLAINTS FILED & RESLVD AS % TOT COMPL RECD	100	100	+	0	0	100	100	+	0	0					
PART III: PROGRAM TARGET GROUP															
1. RESIDENTS ELIGIBLE TO VOTE (THOUSANDS)	848	848	+	0	0	848	848	+	0	0					
PART IV: PROGRAM ACTIVITY															
1. PRVD VTR REGIS SVCS TO QUAL CITIZENS (000'S)	691	691	+	0	0	691	691	+	0	0					
2. PROVIDE VOTER EDUCATION SERVICES (000'S)	691	691	+	0	0	691	691	+	0	0					
3. PROVIDE VOTER ORIENTATION TO NTRLZD CITS (000'S)	0	0	+	0	0	0	0	+	0	0					

VARIANCE REPORT NARRATIVE FY 2011 AND FY 2012

11 01 04 02
AGS 879

PROGRAM TITLE: OFFICE OF ELECTIONS

PART I - EXPENDITURES AND POSITIONS

The position variance for FY 11 and FY 12 was due to a delay in the conversion of 15 exempt positions to civil service that was approved during the 2007 Legislative Session.

The variance for expenditures in FY 11 and FY 12 was due to an appropriation in special funds of approximately \$7,471,768; however, actual expenditures did not approach this amount. Budgeted general funds are expected to be expended in its entirety.

PART II - MEASURES OF EFFECTIVENESS

There are no significant variances in the measures of effectiveness.

PART III - PROGRAM TARGET GROUPS

There are no significant variances in the program target group.

PART IV - PROGRAM ACTIVITIES

There are no significant variances in the program activities.

VARIANCE REPORT

	FISCAL YEAR 2010-11				THREE MONTHS ENDED 09-30-11				NINE MONTHS ENDING 06-30-12			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	424.00	377.00	- 47.00	11	433.00	369.00	- 64.00	15	433.00	433.00	+ 0.00	0
EXPENDITURES (\$1000's)	258,013	220,537	- 37,476	15	112,035	111,493	- 542	0	181,575	177,436	- 4,139	2
TOTAL COSTS												
POSITIONS	424.00	377.00	- 47.00	11	433.00	369.00	- 64.00	15	433.00	433.00	+ 0.00	0
EXPENDITURES (\$1000's)	258,013	220,537	- 37,476	15	112,035	111,493	- 542	0	181,575	177,436	- 4,139	2
	FISCAL YEAR 2010-11				FISCAL YEAR 2011-12							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. AVG ANNUAL RATE OF RETURN ON INVESTMENTS	3	NO DATA	- 3	100	3	NO DATA	- 3	100				
2. AVG LENGTH OF TIME BETWEEN AUDITS	6	6	+ 0	0	6	6	+ 0	0				

**VARIANCE REPORT NARRATIVE
FY 2011 AND FY 2012**

PROGRAM TITLE: FISCAL MANAGEMENT

11 02

PART I - EXPENDITURES AND POSITIONS

No Narrative

PART II - MEASURES OF EFFECTIVENESS

(See Lowest Level Programs for Explanation of Variances)

STATE OF HAWAII
PROGRAM TITLE: REVENUE COLLECTION
PROGRAM-ID:
PROGRAM STRUCTURE NO: 110201

VARIANCE REPORT

REPORT V61
12/14/11

	FISCAL YEAR 2010-11				THREE MONTHS ENDED 09-30-11				NINE MONTHS ENDING 06-30-12			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	364.00	322.00	- 42.00	12	373.00	315.00	- 58.00	16	373.00	373.00	+ 0.00	0
EXPENDITURES (\$1000's)	20,802	18,308	- 2,494	12	4,563	4,391	- 172	4	18,832	19,004	+ 172	1
TOTAL COSTS												
POSITIONS	364.00	322.00	- 42.00	12	373.00	315.00	- 58.00	16	373.00	373.00	+ 0.00	0
EXPENDITURES (\$1000's)	20,802	18,308	- 2,494	12	4,563	4,391	- 172	4	18,832	19,004	+ 172	1
					FISCAL YEAR 2010-11				FISCAL YEAR 2011-12			
					PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%
PART II: MEASURES OF EFFECTIVENESS												
1. % RETURNS AUDITED RESULTING IN ADJUSTMENTS					64	72.90	+ 8.9	14	60	70	+ 10	17
2. PERCENT OF DELINQUENT TAXES COLLECTED					NO DATA	NO DATA	+ 0	0	NO DATA	NO DATA	+ 0	0
3. WEIGHTED AVERAGE DAYS TO ISSUE REFUND					NO DATA	NO DATA	+ 0	0	NO DATA	NO DATA	+ 0	0

**VARIANCE REPORT NARRATIVE
FY 2011 AND FY 2012**

PROGRAM TITLE: REVENUE COLLECTION

11 02 01

PART I - EXPENDITURES AND POSITIONS

No Narrative.

PART II - MEASURES OF EFFECTIVENESS

(See Lowest Level Programs for Explanation of Variances)

PROGRAM TITLE: COMPLIANCE
 PROGRAM-ID: TAX-100
 PROGRAM STRUCTURE NO: 11020101

12/14/11

	FISCAL YEAR 2010-11				THREE MONTHS ENDED 09-30-11				NINE MONTHS ENDING 06-30-12			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	179.00	150.00	- 29.00	16	179.00	148.00	- 31.00	17	179.00	179.00	+ 0.00	0
EXPENDITURES (\$1000's)	7,888	7,290	- 598	8	1,804	1,798	- 6	0	6,983	6,989	+ 6	0
TOTAL COSTS												
POSITIONS	179.00	150.00	- 29.00	16	179.00	148.00	- 31.00	17	179.00	179.00	+ 0.00	0
EXPENDITURES (\$1000's)	7,888	7,290	- 598	8	1,804	1,798	- 6	0	6,983	6,989	+ 6	0
	FISCAL YEAR 2010-11				FISCAL YEAR 2011-12							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. % OF RETURNS AUDITED AS % OF RETURNS FILED	1.5	0.94	- 0.56	37	1.25	0.95	- 0.3	24				
2. % OF RETURNS AUDITED RESULTING IN ADJUSTMENTS	64	72.90	+ 8.9	14	60	70	+ 10	17				
3. % INCR/DECR IN TOTAL DELINQUENT TAXES OUTSTANDING	6.5	14.30	+ 7.8	120	7	14	+ 7	100				
PART III: PROGRAM TARGET GROUP												
1. NO. OF ACTIVE BUSINESS LICENSES DURING FISCAL YEAR	555000	553334	- 1666	0	560000	555000	- 5000	1				
2. TOTAL DELINQUENT TAXES OUTSTANDING DURING THE FY	395	437	+ 42	11	400	400	+ 0	0				
PART IV: PROGRAM ACTIVITY												
1. NUMBER OF RETURNS AUDITED	16000	11513	- 4487	28	15000	11000	- 4000	27				
2. NUMBER OF ASSESSMENTS MADE	8100	8394	+ 294	4	9000	8400	- 600	7				
3. TOTAL AMOUNT OF COLLECTION MADE WITH ASSESSMENTS	24	32	+ 8	33	20	20	+ 0	0				
4. AMOUNT OF DELINQUENT TAXES COLLECTED	180	239	+ 59	33	180	180	+ 0	0				
5. NUMBER OF TAX LIENS FILED	8000	6896	- 1104	14	7500	6800	- 700	9				
6. NUMBER OF LEVIES PROCESSED	10000	12862	+ 2862	29	9500	12000	+ 2500	26				

VARIANCE REPORT NARRATIVE FY 2011 AND FY 2012

11 02 01 01
TAX 100

PROGRAM TITLE: COMPLIANCE

PART I - EXPENDITURES AND POSITIONS

The variance in FY 11 positions is due to the hiring freeze. The variance in first quarter FY 12 positions is due to the hiring freeze, which was relaxed in September 2011.

PART II - MEASURES OF EFFECTIVENESS

Item 1: The variance is due to furloughs, the hiring freeze, and special projects.

Item 2: The variance is due to a change in reporting methodology. The number of returns audited now includes returns reviewed by Office Audit.

Item 3: The increase in the delinquent tax balance is due to a 44% increase in new delinquent tax referrals.

PART III - PROGRAM TARGET GROUPS

Item 2: The increase in total delinquent taxes outstanding during the fiscal year is due to a 44% increase in new delinquent tax referrals.

PART IV - PROGRAM ACTIVITIES

Item 1: The variance is due to furloughs, the hiring freeze, and special projects.

Item 3: The variance is primarily due to Field Audit's continued efforts to collect taxes due at the time of assessment.

Item 4 and Item 6: The variance is due to the ITIMS Collection System, which has enhanced our ability to pursue taxpayers and levy their accounts.

Item 5: The variance is due to work on the miscellaneous tax conversion project which diverted resources.

VARIANCE REPORT

PROGRAM TITLE: TAX SERVICES AND PROCESSING
 PROGRAM-ID: TAX-105
 PROGRAM STRUCTURE NO: 11020103

12/14/11

	FISCAL YEAR 2010-11				THREE MONTHS ENDED 09-30-11				NINE MONTHS ENDING 06-30-12			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	123.00	114.00	- 9.00	7	122.00	110.00	- 12.00	10	122.00	122.00	+ 0.00	0
EXPENDITURES (\$1000's)	5,765	5,046	- 719	12	1,112	1,108	- 4	0	5,098	5,102	+ 4	0
TOTAL COSTS												
POSITIONS	123.00	114.00	- 9.00	7	122.00	110.00	- 12.00	10	122.00	122.00	+ 0.00	0
EXPENDITURES (\$1000's)	5,765	5,046	- 719	12	1,112	1,108	- 4	0	5,098	5,102	+ 4	0

	FISCAL YEAR 2010-11				FISCAL YEAR 2011-12			
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%
PART II: MEASURES OF EFFECTIVENESS								
1. AVE BUSINESS DAYS TO DEPOSIT CHECKS FROM TAXPAYERS	12	12	+ 0	0	12	6	- 6	50
2. % NON-WORKLISTED REFUNDS COMPLETED IN 45 BUS DAYS	50	NO DATA	- 50	100	94	NO DATA	- 94	100
3. AVERAGE CALL ANSWER RATE	71	40	- 31	44	71	71	+ 0	0
4. AVE CAL DAYS TO RESPOND TO PAPR/ELEC CORRESPDNCE	20	22	+ 2	10	20	20	+ 0	0
5. % INC/DEC ELECTRONIC FILINGS OVER PRIOR FISCAL YR	5	17	+ 12	240	5	20	+ 15	300

PART III: PROGRAM TARGET GROUP								
1. NUMBER OF TAXPAYERS FILING SELECTED KEY RETURNS	1035000	1225545	+ 190545	18	1035000	1130125	+ 95125	9
2. NUMBER OF CALLERS REQUESTING PERSONAL ASSISTANCE	254000	339170	+ 85170	34	254000	254000	+ 0	0
3. NO. OF PAPER & ELECTRONIC CORRESPONDENCE RECEIVED	28000	32500	+ 4500	16	28000	28000	+ 0	0

PART IV: PROGRAM ACTIVITY								
1. NUMBER OF TAX RETURNS FILED	665000	747237	+ 82237	12	665000	706150	+ 41150	6
2. NO. TELEPHONE CALLS SERVICED BY CUSTOMER SVC REP	181000	103457	- 77543	43	180000	180000	+ 0	0
3. NO. OF PAPER & ELECTRONIC CORRESPONDENCE RECEIVED	26500	30900	+ 4400	17	26500	26500	+ 0	0

VARIANCE REPORT NARRATIVE FY 2011 AND FY 2012

11 02 01 03
TAX 105

PROGRAM TITLE: TAX SERVICES AND PROCESSING

PART I - EXPENDITURES AND POSITIONS

The variance in FY 11 expenditures is due to spending restrictions and furloughs. The variance in first quarter 2011 positions is due to the hiring freeze, which was relaxed in September 2011.

PART II - MEASURES OF EFFECTIVENESS

Item 1: The improvement in FY 12 estimate is due to a concerted effort to prioritize accounts receivable.

Item 2: This information is no longer available as the Crystal Report that included this measure was modified as a result of the Miscellaneous Tax Project. The Crystal Report now measures the % of refunds (worklisted and non-worklisted combined) that were completed in 20 business days. Actual for FY 11 was 62%; estimated for FY 12 is 60%.

Item 3: The variance is the direct result of the tremendous increase in calls received in FY 11 due to the nonfiler letters mailed out to General Excise (GE) Tax semiannual filers in early FY 11. This population required more assistance and longer service time as they were unfamiliar with the GE annual filing requirements. Reduced staffing, the hiring freeze, and furloughs also contributed to this decline in productivity.

Item 4: The variance is mainly attributable to the GE nonfiler letter mailouts, reduced staffing, the hiring freeze, and furloughs.

Item 5: The variance is primarily due to increase in Electronic Funds Transfer filings of 14%, Electronic Funds filings of 17%, and the Joint Electronic Funds filings of 21%.

PART III - PROGRAM TARGET GROUPS

Item 1: Key returns tracked include the G49, HW3, N11, N12, N13, N15, N20, N30, N35, N40, N70NP, RV2, and TA2. The number of forms filed is the highest since FY 07.

Item 2: The variance is a direct result of the tremendous increase in calls received in FY 11 due to the nonfiler letters mailed out to the GE semiannual filers.

Item 3: The variance is a direct result of the tremendous increase in calls received in FY 11 due to the nonfiler letters mailed out to the GE semiannual filers.

PART IV - PROGRAM ACTIVITIES

Item 1: This historical measure represents the number of individual Net Income returns filed (e.g. N11, N12, N13, and N15). Filing of 747,237 Net Income returns has not been experienced since FY 07 when 748,089 Net Income returns were filed. The 12% positive variance hopefully reflects increase in voluntary compliance.

Item 2: : The variance is the direct result of the tremendous increase in calls received in FY 11 due to the nonfiler letters mailed out to GE semiannual filers in early FY 11. This population required more assistance and longer service time as they were unfamiliar with the GE annual filing requirements. Reduced staffing, hiring freeze, and furloughs also contributed to this decline in productivity.

Item 3: This measure represents the number of paper and electronic correspondence responded to. The variance is directly correlated to the increase in correspondence received and mainly attributed to the GE nonfiler letter mail outs.

VARIANCE REPORT

	FISCAL YEAR 2010-11				THREE MONTHS ENDED 09-30-11				NINE MONTHS ENDING 06-30-12			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	62.00	58.00	- 4.00	6	72.00	57.00	- 15.00	21	72.00	72.00	+ 0.00	0
EXPENDITURES (\$1000's)	7,149	5,972	- 1,177	16	1,647	1,485	- 162	10	6,751	6,913	+ 162	2
TOTAL COSTS												
POSITIONS	62.00	58.00	- 4.00	6	72.00	57.00	- 15.00	21	72.00	72.00	+ 0.00	0
EXPENDITURES (\$1000's)	7,149	5,972	- 1,177	16	1,647	1,485	- 162	10	6,751	6,913	+ 162	2
	FISCAL YEAR 2010-11				FISCAL YEAR 2011-12							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. NO MEASURES HAVE BEEN ESTABLISHED FOR THIS PROG	3	NO DATA	-	3	100	3	NO DATA	-	3	100		
PART IV: PROGRAM ACTIVITY												
1. NUMBER OF TAX LAW CHANGES	10	3	-	7	70	10	2	-	8	80		

VARIANCE REPORT NARRATIVE FY 2011 AND FY 2012

11 02 01 04
TAX 107

PROGRAM TITLE: SUPPORTING SERVICES - REVENUE COLLECTION

PART I - EXPENDITURES AND POSITIONS

The variance in expenditures is due to spending restrictions, the hiring freeze, furloughs, and an overestimation of Special Fund expenditures. The variance in first quarter FY 12 positions is due to the hiring freeze, which was relaxed in September 2011.

PART II - MEASURES OF EFFECTIVENESS

No Measures of Effectiveness.

PART III - PROGRAM TARGET GROUPS

No Program Target Groups.

PART IV - PROGRAM ACTIVITIES

Item 1: The variance is due to overestimation of the number of tax law changes.

VARIANCE REPORT

	FISCAL YEAR 2010-11				THREE MONTHS ENDED 09-30-11				NINE MONTHS ENDING 06-30-12			
	BUDGETED	ACTUAL	+ CHANGE	%	BUDGETED	ACTUAL	+ CHANGE	%	BUDGETED	ESTIMATED	+ CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	39.00	35.00	- 4.00	10	39.00	34.00	- 5.00	13	39.00	39.00	+ 0.00	0
EXPENDITURES (\$1000's)	2,575	2,386	- 189	7	646	642	- 4	1	2,103	2,052	- 51	2
TOTAL COSTS												
POSITIONS	39.00	35.00	- 4.00	10	39.00	34.00	- 5.00	13	39.00	39.00	+ 0.00	0
EXPENDITURES (\$1000's)	2,575	2,386	- 189	7	646	642	- 4	1	2,103	2,052	- 51	2
	FISCAL YEAR 2010-11				FISCAL YEAR 2011-12							
	PLANNED	ACTUAL	+ CHANGE	%	PLANNED	ESTIMATED	+ CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. AV IN-HSE TIME PRCS PYMTS TO VENDORS-GOAL 5 WKDYS	5	5	+ 0	0	5	5	+ 0	0				
2. AV LENGTH OF TIME BETWEEN AUDITS	6	6	+ 0	0	6	6	+ 0	0				

**VARIANCE REPORT NARRATIVE
FY 2011 AND FY 2012**

PROGRAM TITLE: FISCAL PROCEDURES AND CONTROL

11 02 02

PART I - EXPENDITURES AND POSITIONS

No Narrative

PART II - MEASURES OF EFFECTIVENESS

(See Lowest Level Programs for Explanation of Variances)

VARIANCE REPORT

PROGRAM TITLE: ACCOUNTING SYSTEM DEVELOPMENT & MAINTENANCE
 PROGRAM-ID: AGS-101
 PROGRAM STRUCTURE NO: 11020201

12/14/11

	FISCAL YEAR 2010-11				THREE MONTHS ENDED 09-30-11				NINE MONTHS ENDING 06-30-12			
	BUDGETED	ACTUAL	+ CHANGE	%	BUDGETED	ACTUAL	+ CHANGE	%	BUDGETED	ESTIMATED	+ CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	6.00	6.00	+ 0.00	0	6.00	6.00	+ 0.00	0	6.00	6.00	+ 0.00	0
EXPENDITURES (\$1000's)	525	420	- 105	20	103	103	+ 0	0	411	395	- 16	4
TOTAL COSTS												
POSITIONS	6.00	6.00	+ 0.00	0	6.00	6.00	+ 0.00	0	6.00	6.00	+ 0.00	0
EXPENDITURES (\$1000's)	525	420	- 105	20	103	103	+ 0	0	411	395	- 16	4
	FISCAL YEAR 2010-11				FISCAL YEAR 2011-12							
	PLANNED	ACTUAL	+ CHANGE	%	PLANNED	ESTIMATED	+ CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. % PROJECTS COMPL FOR NEW SYSTEMS/ENHANCE-GOAL 75%	60	67	+ 7	12	75	75	+ 0	0				
2. % PROJECTS COMPL FOR ACCTG MANUALS/FORMS-GOAL 80%	70	61	- 9	13	80	75	- 5	6				
PART III: PROGRAM TARGET GROUP												
1. NO. OF REQUESTS TO DEV NEW SYSTEMS/MODIFY EXISTING	12	14	+ 2	17	8	16	+ 8	100				
2. NO. REQUESTS NEW/CHANGES TO ACCOUNTNG MANUALS/FORM	13	11	- 2	15	15	12	- 3	20				
PART IV: PROGRAM ACTIVITY												
1. NO. OF HOURS - DEV OF NEW SYTEMS/MODIFY EXISTING	2400	3116	+ 716	30	1800	3000	+ 1200	67				
2. NO. OF HOURS - MAINT/MGMT ACCOUNTING MANUALS/FORMS	1200	285	- 915	76	1800	300	- 1500	83				

VARIANCE REPORT NARRATIVE FY 2011 AND FY 2012

11 02 02 01
AGS 101

PROGRAM TITLE: ACCOUNTING SYSTEM DEVELOPMENT & MAINTENANCE

PART I - EXPENDITURES AND POSITIONS

For FY 11, the variance in expenditures is due to furlough savings restrictions, budget restrictions, and deferred expenditures.

PART II - MEASURES OF EFFECTIVENESS

Items 1 and 2: The variances for FY 11 are due to the actual completion of projects that are attributed to availability of staff.

PART III - PROGRAM TARGET GROUPS

Items 1 and 2: The variances for FY 11 are due to the actual number of requests for accounting system modifications and changes to accounting forms. The variance for FY 12 is due to increased projected number of requests.

PART IV - PROGRAM ACTIVITIES

Items 1 and 2: The variances for FY 11 are due to the actual staff hours available to expend on the development and modifications of accounting systems and the maintenance of accounting manuals and forms. The variances for FY 2011-2012 are due to the projected hours expended for each activity.

VARIANCE REPORT

	FISCAL YEAR 2010-11				THREE MONTHS ENDED 09-30-11				NINE MONTHS ENDING 06-30-12			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	16.00	15.00	- 1.00	6	16.00	15.00	- 1.00	6	16.00	16.00	+ 0.00	0
EXPENDITURES (\$1000's)	1,038	974	- 64	6	234	234	+ 0	0	865	840	- 25	3
TOTAL COSTS												
POSITIONS	16.00	15.00	- 1.00	6	16.00	15.00	- 1.00	6	16.00	16.00	+ 0.00	0
EXPENDITURES (\$1000's)	1,038	974	- 64	6	234	234	+ 0	0	865	840	- 25	3
	FISCAL YEAR 2010-11				FISCAL YEAR 2011-12							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. AV IN-HSE TIME FOR PAYMTS TO VENDORS-GOAL 5 WK DAY	5	5	+ 0	0	5	5	+ 0	0				
2. % OF LATE PAYMENTS-GOAL 5% STATEWIDE	7	10	+ 3	43	7	10	+ 3	43				
3. % OF PAYMT VOUCHER PROCESSED W/ NO ERRORS-GOAL 90%	98	98	+ 0	0	98	98	+ 0	0				
PART III: PROGRAM TARGET GROUP												
1. NO. OF PAYMENT VOUCHERS PROCESSED (IN THOUSANDS)	74	75	+ 1	1	75	75	+ 0	0				
PART IV: PROGRAM ACTIVITY												
1. NO. OF CONTRACTS EXAMINED	2300	2296	- 4	0	2500	2400	- 100	4				
2. NO. OF PAYCHECKS ISSUED (IN THOUSANDS)	226	211	- 15	7	230	213	- 17	7				
3. NO. OF CHECKS (NON-PAYROLL) ISSUED (IN THOUSANDS)	1399	1569	+ 170	12	1200	1391	+ 191	16				
4. NO. OF PAYMENTS MADE ELECTRONICALLY (IN THOUSANDS)	237	465	+ 228	96	240	470	+ 230	96				

VARIANCE REPORT NARRATIVE FY 2011 AND FY 2012

11 02 02 02
AGS 102

PROGRAM TITLE: EXPENDITURE EXAMINATION

PART I - EXPENDITURES AND POSITIONS

For FY 11, the variance in positions is due to the retirement of a Pre-Audit Clerk. With the change in budget execution policy, the program is currently awaiting an eligibility list of applicants and anticipates filling the position in FY 12.

The variance in budgeted expenditures resulted from the transfer of \$64,000 to the Central Services Division to fund the shortfall in electricity costs.

PART II - MEASURES OF EFFECTIVENESS

Item 2: For FY 11, the increase in the late payment percentage was due to the furlough days that decreased actual payment processing days and the program's inability to pay vendors because funding was not available.

PART III - PROGRAM TARGET GROUPS

There are no significant variances in the program target group.

PART IV - PROGRAM ACTIVITIES

Item 2: The decrease in the number of paychecks issued is directly related to the number of positions abolished and vacant positions.

Item 3: The number of checks increased was due to the higher number of unemployment claims filed and the delay in issuing tax refunds to the first two months of FY 12.

Item 4: The increase in electronic payments was due to the delay in issuing tax refunds from FY 11 to the first two months of FY 12 and the number of retirees reaching the age of Medicare coverage.

VARIANCE REPORT

	FISCAL YEAR 2010-11				THREE MONTHS ENDED 09-30-11				NINE MONTHS ENDING 06-30-12			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	11.00	8.00	- 3.00	27	11.00	7.00	- 4.00	36	11.00	11.00	+ 0.00	0
EXPENDITURES (\$1000's)	604	608	+ 4	1	209	206	- 3	1	485	488	+ 3	1
TOTAL COSTS												
POSITIONS	11.00	8.00	- 3.00	27	11.00	7.00	- 4.00	36	11.00	11.00	+ 0.00	0
EXPENDITURES (\$1000's)	604	608	+ 4	1	209	206	- 3	1	485	488	+ 3	1
	FISCAL YEAR 2010-11				FISCAL YEAR 2011-12							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. AV TIME TO ISSUANCE OF CAFR-GOAL 6 MONTHS	11	15	+ 4	36	9	9	+ 0	0				
2. AV TIME TO ISSUANCE OF QTRLY FIN RPTS-GOAL 4 WEEKS	4	4	+ 0	0	4	4	+ 0	0				
3. AV TIME TO POST ALLOTMENT DOCUMENTS-GOAL 3 WK DAYS	4	4	+ 0	0	4	4	+ 0	0				
PART III: PROGRAM TARGET GROUP												
1. NO. OF DEPTS/AGENCIES RECEIVING FINANCIAL REPORTS	36	36	+ 0	0	36	36	+ 0	0				
2. NO. OF FINANCIAL REPORTS DISTRIBUTED TO DEPTS	11	11	+ 0	0	11	11	+ 0	0				
PART IV: PROGRAM ACTIVITY												
1. NO. OF ALLOTMENT DOCUMENTS PROCESSED	4900	4664	- 236	5	3800	3900	+ 100	3				

VARIANCE REPORT NARRATIVE FY 2011 AND FY 2012

11 02 02 03
AGS 103

PROGRAM TITLE: RECORDING AND REPORTING

PART I - EXPENDITURES AND POSITIONS

The position variances for FY 11 and the first quarter of FY 12 were due to vacant positions. Three of the positions are in recruitment and the other position is pending departmental approval to fill.

PART II - MEASURES OF EFFECTIVENESS

Item 1: The variance in FY 11 is due to the late completion of the State's Comprehensive Annual Financial Report (CAFR) because of the three vacant positions. Also contributing to the delay was staff being on furlough two days per month.

PART III - PROGRAM TARGET GROUPS

There are no significant variances in the program target group.

PART IV - PROGRAM ACTIVITIES

There are no significant variances in the program activity.

The planned number of documents for FY 12 is less than FY 11 because departments allotted funds on a quarterly basis rather than the entire year. This resulted in departments having to prepare four Requests for Allotment (A-19) forms instead of one for each appropriation account. In FY 11, funds were released on a quarterly basis requiring departments to prepare A-19 forms at the beginning of each quarter.

PROGRAM TITLE: INTERNAL POST AUDIT
 PROGRAM-ID: AGS-104
 PROGRAM STRUCTURE NO: 11020204

	FISCAL YEAR 2010-11				THREE MONTHS ENDED 09-30-11				NINE MONTHS ENDING 06-30-12			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	6.00	6.00	+ 0.00	0	6.00	6.00	+ 0.00	0	6.00	6.00	+ 0.00	0
EXPENDITURES (\$1000's)	408	384	- 24	6	100	99	- 1	1	342	329	- 13	4
TOTAL COSTS												
POSITIONS	6.00	6.00	+ 0.00	0	6.00	6.00	+ 0.00	0	6.00	6.00	+ 0.00	0
EXPENDITURES (\$1000's)	408	384	- 24	6	100	99	- 1	1	342	329	- 13	4
	FISCAL YEAR 2010-11				FISCAL YEAR 2011-12							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. NO. OF STATUTORY AUDITS COMP AS % OF TOTAL PLANNED	100	100	+ 0	0	100	100	+ 0	0				
2. NO. SELF-ASSESS IC QUEST COMPL AS % OF TOTAL REQ	100	62	- 38	38	100	100	+ 0	0				
3. NO. FIN AUDIT RPTS REV AS % TOTAL BY OFFICE OF AUD	100	100	+ 0	0	100	100	+ 0	0				
4. NO. COMPLIANCE AUD RPTS REV AS % TTL BY OFF OF AUD	100	92	- 8	8	100	100	+ 0	0				
5. AV LENGTH OF TIME BETWEEN AUDITS	6	6	+ 0	0	6	6	+ 0	0				
PART III: PROGRAM TARGET GROUP												
1. NUMBER OF STATUTORY REQUIRED AUDITS	283	283	+ 0	0	283	283	+ 0	0				
2. NO. OF EXEC DEPTS SUB TO COMPTROLLER ACCT POLICIES	17	17	+ 0	0	17	17	+ 0	0				
3. NO. OF FINANCIAL AUDITS PLANNED BY OFF OF AUDITOR	8	8	+ 0	0	8	8	+ 0	0				
4. NO. OF COMPLIANCE AUDITS PLANNED BY OFF OF AUDITOR	13	13	+ 0	0	13	13	+ 0	0				
PART IV: PROGRAM ACTIVITY												
1. MONITOR IC & ACCTG SYS THROUGH STATUTORY REQ AUDIT	15	15	+ 0	0	15	15	+ 0	0				
2. MONITOR DEP IC THROUGH SELF-ASSMT IC QUESTIONNAIRE	17	17	+ 0	0	17	17	+ 0	0				
3. MONITOR IC BY REV OFFICE OF AUDITOR FIN AUDIT RPTS	8	8	+ 0	0	8	8	+ 0	0				
4. MONITOR DEPT BY REV OFF OF AUDITOR COMPLIANCE RPTS	13	13	+ 0	0	13	13	+ 0	0				

VARIANCE REPORT NARRATIVE FY 2011 AND FY 2012

11 02 02 04
AGS 104

PROGRAM TITLE: INTERNAL POST AUDIT

PART I - EXPENDITURES AND POSITIONS

There are no significant variances in the number of positions and expenditures in FY 11 and FY 12.

PART II - MEASURES OF EFFECTIVENESS

Item 2: The variance in FY 11 is due to a shortage of resources at State departments and agencies to resolve audit findings. No significant variances are anticipated in FY 12.

Item 4: The variance single audits scheduled for FY 11 required more time to complete due to less available staff. No significant variances are anticipated in FY 12.

PART III - PROGRAM TARGET GROUPS

There are no significant variances in the program target group.

PART IV - PROGRAM ACTIVITIES

There are no significant variances in the program activity.

VARIANCE REPORT

	FISCAL YEAR 2010-11				THREE MONTHS ENDED 09-30-11				NINE MONTHS ENDING 06-30-12			
	BUDGETED	ACTUAL	+ CHANGE	%	BUDGETED	ACTUAL	+ CHANGE	%	BUDGETED	ESTIMATED	+ CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	21.00	20.00	- 1.00	5	21.00	20.00	- 1.00	5	21.00	21.00	+ 0.00	0
EXPENDITURES (\$1000's)	234,636	199,843	- 34,793	15	106,826	106,460	- 366	0	160,640	156,380	- 4,260	3
TOTAL COSTS												
POSITIONS	21.00	20.00	- 1.00	5	21.00	20.00	- 1.00	5	21.00	21.00	+ 0.00	0
EXPENDITURES (\$1000's)	234,636	199,843	- 34,793	15	106,826	106,460	- 366	0	160,640	156,380	- 4,260	3
	FISCAL YEAR 2010-11				FISCAL YEAR 2011-12							
	PLANNED	ACTUAL	+ CHANGE	%	PLANNED	ESTIMATED	+ CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. NO MEASURES HAVE BEEN DEVELOPED FOR THIS PROGRAM	NO DATA	NO DATA	+ 0	0	NO DATA	NO DATA	+ 0	0				

**VARIANCE REPORT NARRATIVE
FY 2011 AND FY 2012**

PROGRAM TITLE: FINANCIAL ADMINISTRATION

11 02 03

PART I - EXPENDITURES AND POSITIONS

No Narrative

PART II - MEASURES OF EFFECTIVENESS

(See Lowest Level Programs for Explanation of Variances)

VARIANCE REPORT

	FISCAL YEAR 2010-11				THREE MONTHS ENDED 09-30-11				NINE MONTHS ENDING 06-30-12				
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%	
PART I: EXPENDITURES & POSITIONS													
RESEARCH & DEVELOPMENT COSTS													
POSITIONS													
EXPENDITURES (\$1,000's)													
OPERATING COSTS													
POSITIONS	21.00	20.00	- 1.00	5	21.00	20.00	- 1.00	5	21.00	21.00	+ 0.00	0	
EXPENDITURES (\$1000's)	8,677	7,605	- 1,072	12	2,209	1,843	- 366	17	6,673	6,997	+ 324	5	
TOTAL COSTS													
POSITIONS	21.00	20.00	- 1.00	5	21.00	20.00	- 1.00	5	21.00	21.00	+ 0.00	0	
EXPENDITURES (\$1000's)	8,677	7,605	- 1,072	12	2,209	1,843	- 366	17	6,673	6,997	+ 324	5	
	FISCAL YEAR 2010-11				FISCAL YEAR 2011-12								
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%					
PART II: MEASURES OF EFFECTIVENESS													
1. AV ANN RATE OF RETURN ON STATE TREASURY INVSTMTS	3	NO DATA	-	3	100	3	NO DATA	-	3	100			
2. RATE OF INT PD ON STATE BONDS REL TO BOND INDEX(%)	90	NO DATA	-	90	100	90	NO DATA	-	90	100			
3. % TREASURY TRANSACTNS UNRECONCILED AFTER 30 DAYS	8	NO DATA	-	8	100	8	NO DATA	-	8	100			
4. %INCR IN NEW UNCLAIMED PROP HOLDER REPTS FILED	4	NO DATA	-	4	100	4	NO DATA	-	4	100			
5. % INCR IN UNCLAIMED PROPERTY AMOUNTS REPORTED	1	NO DATA	-	1	100	1	NO DATA	-	1	100			
6. %INCR IN RETURNS OF UNCLMD PROP TO RIGHTFUL OWNERS	5	NO DATA	-	5	100	5	NO DATA	-	5	100			
PART III: PROGRAM TARGET GROUP													
1. STATE DEPARTMENTS	20	NO DATA	-	20	100	20	NO DATA	-	20	100			
2. STATE INVESTMENT ACCOUNTS	9	NO DATA	-	9	100	9	NO DATA	-	9	100			
3. STATE FUND INVESTMENTS (\$ MILLIONS)	1413	NO DATA	-	1413	100	1481	NO DATA	-	1481	100			
4. LOCAL AND MAINLAND FINANCIAL INSTITUTIONS	11	NO DATA	-	11	100	11	NO DATA	-	11	100			
5. UNCLAIMED PROPERTY HOLDERS	11800	NO DATA	-	11800	100	11800	NO DATA	-	11800	100			
6. OWNERS OF UNCLAIMED PROPERTY	95000	NO DATA	-	95000	100	95000	NO DATA	-	95000	100			
PART IV: PROGRAM ACTIVITY													
1. NO. OF STATE BOND ISSUES UNDERTAKEN	7	NO DATA	-	7	100	8	NO DATA	-	8	100			
2. # STATE BOND CALLS AND/OR REDEMPTIONS INITIATED	0	NO DATA	+	0	0	2	NO DATA	-	2	100			
3. NO. OF STATE BOND ACCOUNTS/HOLDERS SERVICED	30	NO DATA	-	30	100	30	NO DATA	-	30	100			
4. AMOUNT OF STATE FUNDS MANAGED (IN MILLIONS)	3823	NO DATA	-	3823	100	4000	NO DATA	-	4000	100			
5. NO. OF STATE AGENCY ACCOUNTS SERVICED	368	NO DATA	-	368	100	368	NO DATA	-	368	100			
6. NO. OF UNCLAIMED PROPERTY CLAIMS PAID	9750	NO DATA	-	9750	100	9750	NO DATA	-	9750	100			
7. AMOUNT OF UNCLAIMED PROPERTY CLAIMS PAID (000'S)	5940	NO DATA	-	5940	100	5940	NO DATA	-	5940	100			

**VARIANCE REPORT NARRATIVE
FY 2011 AND FY 2012**

**11 02 03 01
BUF 115**

PROGRAM TITLE: FINANCIAL ADMINISTRATION

PART I - EXPENDITURES AND POSITIONS

FY 2010-11

The variance in expenditures is primarily attributed to the vacant position and lower than anticipated expenditures for other current expenses.

FY 2011-12

The variances in the 1st quarter of FY 2011 is attributed to the 1.00 vacant position and lower than anticipated other current expenses paid during the 1st quarter than was planned. For the remainder of FY 2012, we anticipate to expend funds as planned, less amounts programmed for labor savings pursuant to Section 96 of Act 164/SLH 2011.

PART II - MEASURES OF EFFECTIVENESS

No data submitted by program.

PART III - PROGRAM TARGET GROUPS

No data submitted by program.

PART IV - PROGRAM ACTIVITIES

No data submitted by program.

VARIANCE REPORT

	FISCAL YEAR 2010-11				THREE MONTHS ENDED 09-30-11				NINE MONTHS ENDING 06-30-12			
	BUDGETED	ACTUAL	+ CHANGE	%	BUDGETED	ACTUAL	+ CHANGE	%	BUDGETED	ESTIMATED	+ CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	0.00	0.00	+ 0.00	0	0.00	0.00	+ 0.00	0	0.00	0.00	+ 0.00	0
EXPENDITURES (\$1000's)	225,959	192,238	- 33,721	15	104,617	104,617	+ 0	0	153,967	149,383	- 4,584	3
TOTAL COSTS												
POSITIONS	0.00	0.00	+ 0.00	0	0.00	0.00	+ 0.00	0	0.00	0.00	+ 0.00	0
EXPENDITURES (\$1000's)	225,959	192,238	- 33,721	15	104,617	104,617	+ 0	0	153,967	149,383	- 4,584	3
	FISCAL YEAR 2010-11				FISCAL YEAR 2011-12							
	PLANNED	ACTUAL	+ CHANGE	%	PLANNED	ESTIMATED	+ CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. TO PROVIDE FUNDS FOR DEBT SERVICE FOR STATE CIP	NO DATA	NO DATA	+ 0	0	NO DATA	NO DATA	+ 0	0				

VARIANCE REPORT NARRATIVE FY 2011 AND FY 2012

11 02 03 03
BUF 721

PROGRAM TITLE: DEBT SERVICE PAYMENTS

PART I - EXPENDITURES AND POSITIONS

FY 11: Expenditures were less than budgeted because no bonds were issued for the fiscal year.

FY 12: Estimated expenditures are less than expected due to the transfer of \$4,584,000 to BUF 761 for Section 97 of Act 164/SLH 2011, Program Review Adjustments.

PART II - MEASURES OF EFFECTIVENESS

No data provided for this measure.

PART III - PROGRAM TARGET GROUPS

N/A

PART IV - PROGRAM ACTIVITIES

N/A

STATE OF HAWAII
PROGRAM TITLE: GENERAL SERVICES
PROGRAM-ID:
PROGRAM STRUCTURE NO: 1103

VARIANCE REPORT

REPORT V61
12/14/11

	FISCAL YEAR 2010-11				THREE MONTHS ENDED 09-30-11				NINE MONTHS ENDING 06-30-12			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	1,051.28	906.28	- 145.00	14	1,073.28	909.28	- 164.00	15	1,073.28	1,002.28	- 71.00	7
EXPENDITURES (\$1000's)	649,342	582,805	- 66,537	10	145,915	126,626	- 19,289	13	394,655	513,893	+ 119,238	30
TOTAL COSTS												
POSITIONS	1,051.28	906.28	- 145.00	14	1,073.28	909.28	- 164.00	15	1,073.28	1,002.28	- 71.00	7
EXPENDITURES (\$1000's)	649,342	582,805	- 66,537	10	145,915	126,626	- 19,289	13	394,655	513,893	+ 119,238	30
	FISCAL YEAR 2010-11				FISCAL YEAR 2011-12							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. NO. OF APPROVED RECORDS RETENTION SCHEDULES	5295	5295	+ 0	0	5305	5300	- 5	0				
2. % CONTRACT GRIEVANCES SETTLED W/O 3RD PARTY ASST	90	83	- 7	8	90	90	+ 0	0				
3. AV PRE-BID CONSTRUCTION EST AS % OF AV BID PRICE	100	134	+ 34	34	100	100	+ 0	0				

**VARIANCE REPORT NARRATIVE
FY 2011 AND FY 2012**

PROGRAM TITLE: GENERAL SERVICES

11 03

PART I - EXPENDITURES AND POSITIONS

No Narrative

PART II - MEASURES OF EFFECTIVENESS

(See Lowest Level Programs for Explanation of Variances)

PROGRAM TITLE: LEGAL SERVICES
 PROGRAM-ID: ATG-100
 PROGRAM STRUCTURE NO: 110301

12/14/11

	FISCAL YEAR 2010-11				THREE MONTHS ENDED 09-30-11				NINE MONTHS ENDING 06-30-12			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	320.28	257.28	- 63.00	20	321.28	261.28	- 60.00	19	321.28	270.28	- 51.00	16
EXPENDITURES (\$1000's)	45,722	34,217	- 11,505	25	11,921	8,712	- 3,209	27	35,763	32,000	- 3,763	11
TOTAL COSTS												
POSITIONS	320.28	257.28	- 63.00	20	321.28	261.28	- 60.00	19	321.28	270.28	- 51.00	16
EXPENDITURES (\$1000's)	45,722	34,217	- 11,505	25	11,921	8,712	- 3,209	27	35,763	32,000	- 3,763	11
					FISCAL YEAR 2010-11				FISCAL YEAR 2011-12			
					PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%
PART II: MEASURES OF EFFECTIVENESS												
1. # OF CASES SETTLED, TRIED OR DECIDED					20000	18961	- 1039	5	20000	20000	+ 0	0
2. # OF INVESTIGATIONS COMPLETED					4450	4536	+ 86	2	4500	4600	+ 100	2
3. # LEGAL OPINIONS & ADVICE ISSUED					2412	2295	- 117	5	2400	2300	- 100	4
4. #CONTRACTS, RULES REVIEWED AND/OR APPROVED					6035	6132	+ 97	2	6100	5800	- 300	5
5. # OF LEGISLATIVE BILLS REVIEWED					7195	7224	+ 29	0	8000	8500	+ 500	6
6. \$ AMOUNT OF JUDGMENTS COLLECTED FOR THE STATE					31000	34268	+ 3268	11	31000	31600	+ 600	2
7. CIV RECOVERIES DIV EFF RATNG:COLL OVER EXPENSE (%)					13	8	- 5	38	12	10	- 2	17
PART III: PROGRAM TARGET GROUP												
1. EMPLOYEES AND OFFICERS OF STATE GOVERNMENT					73000	71700	- 1300	2	73000	71700	- 1300	2
2. PEOPLE OF HAWAII (MILLIONS)					1.300	1.360	+ 0.06	5	1.300	1.360	+ 0.06	5
PART IV: PROGRAM ACTIVITY												
1. # HOURS-PREP/APPR FOR ADMIN HRG, PUB MTG, CT APP					74000	71902	- 2098	3	75000	75000	+ 0	0
2. # HOURS - LEGAL RESEARCH, FACT GATHERING/DISCOVERY					80000	75738	- 4262	5	80000	80000	+ 0	0
3. # HOURS-LEGAL OPINIONS, ADVICE ISSUED					29000	22940	- 6060	21	30000	27000	- 3000	10
4. # HOURS-REVIEW, APPROVAL OF RULES					30000	30441	+ 441	1	30000	30000	+ 0	0
5. # HOURS-MATTERS RELATING TO CONTRACTS					12000	10729	- 1271	11	12000	12000	+ 0	0
6. # HOURS-MATTERS RELATING TO LEGISLATION					8500	7583	- 917	11	10000	8500	- 1500	15

VARIANCE REPORT NARRATIVE FY 2011 AND FY 2012

11 03 01
ATG 100

PROGRAM TITLE: LEGAL SERVICES

PART I - EXPENDITURES AND POSITIONS

In FY 2011, the position variance is due to sixty-four permanent and forty-seven temporary positions unfilled at the end of the fiscal year as a result of the hiring freeze necessary to meet budgeted vacancy savings levels. Vacancies consisted of nineteen Deputy Attorneys General, forty-nine Investigators, eleven professional, and thirty-two clerical positions.

In FY 2011, the expenditure variance results from the vacancy and furlough savings, as well as unused federal (\$4.0 million), trust (\$3.3 million), interdepartmental (\$.5 million), revolving (\$2.3 million) and American Recovery and Reinvestment Act budgeted funds. General funds were (\$.7) million below budget due to restrictions.

In FY 2012, the expenditure variance is due to; 1) Labor Savings Restriction (\$1.3); 2) Program Review Restriction (\$.6); 3) savings in Trust Funds attributable to Asset Forfeiture (\$3.0); and deferred federal spending.

PART II - MEASURES OF EFFECTIVENESS

Item 1: The actual number of cases settled in FY 11 was 1,039 (5%) lower than planned due to furloughs and vacancies.

Item 6: Judgments collected for the State are \$3.3 million (11%) above planned for FY 2011 primarily due to an increase in collections from tax appeals.

Item 7: The Civil Recoveries efficiency rate for FY 2011 improved to 8% due to vacancies and furlough savings. We anticipate the rate to increase for FY 2012 due to filling of vacancies.

PART III - PROGRAM TARGET GROUPS

No significant variances.

PART IV - PROGRAM ACTIVITIES

Item 3: The actual hours for legal opinions and advice issued in FY 2011 was below plan by 21% due to vacancies, furlough, and the continuing hiring freeze. The FY 2012 estimated level is expected to be below planned by 10% due to continuing vacancies.

Item 5: The actual hours for matters relating to contracts in FY 2011 were 11% below planned due to vacancies and furloughs, which led to delays in services provided for contracts.

Item 6: In FY 2011 hours relating to legislation were 11% below planned due to vacancies and furloughs. We anticipate this line item to continue below budgeted levels by 15% due to vacancies.

VARIANCE REPORT

	FISCAL YEAR 2010-11				THREE MONTHS ENDED 09-30-11				NINE MONTHS ENDING 06-30-12			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	134.00	124.00	- 10.00	7	148.00	124.00	- 24.00	16	148.00	137.00	- 11.00	7
EXPENDITURES (\$1000's)	14,293	13,370	- 923	6	3,391	2,914	- 477	14	12,401	12,586	+ 185	1
TOTAL COSTS												
POSITIONS	134.00	124.00	- 10.00	7	148.00	124.00	- 24.00	16	148.00	137.00	- 11.00	7
EXPENDITURES (\$1000's)	14,293	13,370	- 923	6	3,391	2,914	- 477	14	12,401	12,586	+ 185	1
	FISCAL YEAR 2010-11				FISCAL YEAR 2011-12							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. REQ FOR IP SVCS CMP WITHIN TIMEFRAME AS %TTL CMP	82	81	- 1	1	82	81	- 1	1				
2. % OF MAINFRAME PRODUCTION JOBS RUN AS SCHEDULED	99	99	+ 0	0	99	99	+ 0	0				
3. MFRAME PROD JOBS RERUN AS % OF TTL MFRAME PROD JOB	1	1	+ 0	0	1	1	+ 0	0				
4. MAINFRAME DOWNTIME AS % OF TOTAL OPERATIONAL TIME	1	1	+ 0	0	1	1	+ 0	0				
5. # TROUBLE CALLS RESOLVED AS % CALLS RECD BY NCU	100	100	+ 0	0	100	100	+ 0	0				
PART III: PROGRAM TARGET GROUP												
1. STATE USER AGENCIES	21	21	+ 0	0	21	21	+ 0	0				
PART IV: PROGRAM ACTIVITY												
1. # OF DEVICES AT STATE'S CENTRAL COMP FACILITY	350	350	+ 0	0	350	350	+ 0	0				
2. NUMBER OF IP APPLICATIONS MAINTAINED	72	72	+ 0	0	72	72	+ 0	0				
3. AVERAGE MO CALL VOLUME REC'D BY ASSISTANCE CNTR	12000	12000	+ 0	0	12000	12000	+ 0	0				
4. TOTAL NO. OF VIDEO CONF HOURS SCHEDULED	3000	3000	+ 0	0	3000	3000	+ 0	0				
5. TOTAL NUMBER OF WEB SITES SUPPORTED	25	25	+ 0	0	25	25	+ 0	0				
6. TOTAL NUMBER OF MICROWAVE RADIO PATHS ESTABLISHED	23	23	+ 0	0	36	36	+ 0	0				
7. AV MO VOL OF DATA BACKED UP FOR OFFSITE STORAGE	8.2	9	+ 0.8	10	8.2	9	+ 0.8	10				
8. TOTAL NO. OF IT STRATEGIC PLANNING MTGS CHAIRED	15	14	- 1	7	10	12	+ 2	20				
9. TOTAL NUMBER OF EXEC BR E-MAIL ACCOUNTS ADMINSTRD	5000	5000	+ 0	0	5000	5000	+ 0	0				
10. TOTAL NUMBER OF CYBER SECURITY ALERTS BROADCAST	127	151	+ 24	19	127	150	+ 23	18				

VARIANCE REPORT NARRATIVE FY 2011 AND FY 2012

11 03 02
AGS 131

PROGRAM TITLE: INFORMATION PROCESSING & COMM SERVICES

PART I - EXPENDITURES AND POSITIONS

The variance in positions and expenditures is due to new positions that were approved. Recruitment of information technology positions is delayed due to the hiring restrictions that were put in place, and delays for approval to fill or delays in receiving the list of eligible applicants from Department of Human Resources Development.

The variance in expenditure in the first quarter of FY 11 reflects the ongoing vacancies and the deferral of costs due to credit transactions or incomplete contractual agreements. The variance in the last three quarters of FY 12 reflects deferred contractual costs that will be incurred later in the fiscal year.

PART II - MEASURES OF EFFECTIVENESS

There are no significant variances in the measures of effectiveness.

PART III - PROGRAM TARGET GROUPS

There are no significant variances in the program target group.

PART IV - PROGRAM ACTIVITIES

Item 7: The variance is the result of new systems moving into the data center and system upgrades where storage was added.

Item 8: The variance is the result of the re-alignment of the meeting dates and schedules in addition to re-chartering the membership.

Item 10: The variance is the result of additional monitoring tools and better detection methods that have been put in place and the addition of new alerts that have been sent to the State by our Federal security partners.

VARIANCE REPORT

	FISCAL YEAR 2010-11				THREE MONTHS ENDED 09-30-11				NINE MONTHS ENDING 06-30-12						
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%			
PART I: EXPENDITURES & POSITIONS															
RESEARCH & DEVELOPMENT COSTS															
POSITIONS															
EXPENDITURES (\$1,000's)															
OPERATING COSTS															
POSITIONS	16.00	13.00	-	3.00	19	16.00	12.00	-	4.00	25	16.00	16.00	+	0.00	0
EXPENDITURES (\$1000's)	712	645	-	67	9	155	154	-	1	1	625	600	-	25	4
TOTAL COSTS															
POSITIONS	16.00	13.00	-	3.00	19	16.00	12.00	-	4.00	25	16.00	16.00	+	0.00	0
EXPENDITURES (\$1000's)	712	645	-	67	9	155	154	-	1	1	625	600	-	25	4
	FISCAL YEAR 2010-11				FISCAL YEAR 2011-12										
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%							
PART II: MEASURES OF EFFECTIVENESS															
1. NO. OF APPROVED RECORDS RETENTION SCHEDULES	5295	5295	+	0	0	5305	5300	-	5	0					
2. % OF STORAGE CAPACITY FILLED AT RECORDS CENTER	85	86	+	1	1	85	85	+	0	0					
3. % REC DESTROYED AT REC CTR % TOT REC ELIG FOR DEST	0	9	+	9	0	0	15	+	15	0					
4. % OF CUSTOMER REQ SVCD IN A TIMELY/ACCURATE MANNER	95	95	+	0	0	95	95	+	0	0					
5. NO. OF RECORDS IN ARCHIVES (CUBIC FEET)	10750	11573	+	823	8	10800	11600	+	800	7					
6. NO. OF RECORDS AVAILABLE ONLINE FOR RESEARCH	245000	249384	+	4384	2	255000	255000	+	0	0					
PART III: PROGRAM TARGET GROUP															
1. STATE USER AGENCIES	1000	1000	+	0	0	1000	1000	+	0	0					
2. # OF CUSTOMERS SERVICED AT HISTORICAL REC BR	9500	9022	-	478	5	10000	9100	-	900	9					
3. # OF REC AT STATE REC CTR ELIG FOR DISPOS DUR FY	19800	18056	-	1744	9	19800	22918	+	3118	16					
4. # ONLINE USERS ACCESSING ARCHIVES CATALOG/WEBSITE	126000	139189	+	13189	10	126000	130000	+	4000	3					
PART IV: PROGRAM ACTIVITY															
1. NUMBER OF CUBIC FEET OF RECORDS STORED	51235	51831	+	596	1	52000	52000	+	0	0					
2. NUMBER OF RECORDS SERIES SCHEDULED/REVISED	10	0	-	10	100	15	5	-	10	67					
3. NUMBER OF RECORDS REQ RETRIEVED BY RECORDS CENTER	1800	1763	-	37	2	3000	1800	-	1200	40					
4. NUMBER OF CU FT OF RECORDS DISPOSED BY REC CENTER	360	2367	+	2007	558	500	3500	+	3000	600					
5. SVC CUSTOMERS AT HIST REC BR (# OF RETRIEVALS)	22000	23685	+	1685	8	22000	23000	+	1000	5					
6. PROVIDE ACCESS TO HOLD INFO THRU ONLINE CATALOG	700	31	-	669	96	500	100	-	400	80					
7. PROVIDE ACCESS TO REC THRU DESC FINDING AIDS	100	58	-	42	42	100	100	+	0	0					
8. COLLECT/PRES PERM/HIST REC OF STATE GOV	200	972	+	772	386	100	100	+	0	0					
9. # RECORDS SCANNED FOR ONLINE ACCESS DURING THE YR	23000	29211	+	6211	27	23000	23000	+	0	0					
10. NUMBER OF REC UPLOADED TO INTERNET FOR ONLINE ACC	12000	4384	-	7616	63	12000	6200	-	5800	48					

VARIANCE REPORT NARRATIVE FY 2011 AND FY 2012

11 03 03
AGS 111

PROGRAM TITLE: ARCHIVES - RECORDS MANAGEMENT

PART I - EXPENDITURES AND POSITIONS

The variance in position count and expenditures for FY 11 is due to budget restrictions. The Archives had four vacant positions in FY 11 that could not be filled due to restrictions.

The variance in position count for the first quarter of FY 12 is due to the continued vacant positions. The variance in expenditures for FY 12 is due to the expected vacancies and the five percent labor savings reductions.

PART II - MEASURES OF EFFECTIVENESS

There are no significant variances in the measures of effectiveness.

PART III - PROGRAM TARGET GROUPS

Item 3: Since there was no funds for the disposal of records in FY 11, the number of records eligible for disposal in FY 12 increased.

Item 4: As more records are added to the program's website, the number of users searching the web page also increased by ten percent in FY 11.

PART IV - PROGRAM ACTIVITIES

Item 2: The variance for FY 11 is due to the loss of two archivist positions whose primary function was to schedule records. For FY 12, the base was reduced to five because the vacant archivist position will not be filled until the 3rd quarter.

Item 3: The variance for FY 11 is due to limiting records requests and re-files from five days a week to two days a week due to a vacant position that was abolished. The restriction will continue for FY 12 and the estimated number was reduced accordingly.

Item 4: Although the Archives no longer budget funds for records disposals, the number of disposals in FY 11 increased due to agencies paying for the records disposal. The program plans to increase the number of disposals for FY 12.

Item 6: The variance for FY 11 was due to the two vacant positions that significantly reduced the cataloging productivity. A similar reduction for FY 12 is expected because of reduced staffing.

Item 7: The variance for FY 11 is due to the current staff being assigned to special projects.

Item 8: The variance for FY 11 is due to an unexpected accession of 704 cubic feet of records from the Star Advertiser.

Item 9: The number of scans for FY 11 exceeded expectations because the volunteer scanner contributed more hours than originally anticipated.

Item 10: For FY 11, the variance in the number of upload new scanned records for on-line access decreased because of a vacant position. For FY 12, the number of records uploaded is expected to increase since the position has been filled.

VARIANCE REPORT

	FISCAL YEAR 2010-11				THREE MONTHS ENDED 09-30-11				NINE MONTHS ENDING 06-30-12			
	BUDGETED	ACTUAL	+ CHANGE	%	BUDGETED	ACTUAL	+ CHANGE	%	BUDGETED	ESTIMATED	+ CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	0.00	0.00	+ 0.00	0	0.00	0.00	+ 0.00	0	0.00	0.00	+ 0.00	0
EXPENDITURES (\$1000's)	9,000	8,654	- 346	4	3,500	451	- 3,049	87	10,500	13,549	+ 3,049	29
TOTAL COSTS												
POSITIONS	0.00	0.00	+ 0.00	0	0.00	0.00	+ 0.00	0	0.00	0.00	+ 0.00	0
EXPENDITURES (\$1000's)	9,000	8,654	- 346	4	3,500	451	- 3,049	87	10,500	13,549	+ 3,049	29
					FISCAL YEAR 2010-11				FISCAL YEAR 2011-12			
					PLANNED	ACTUAL	+ CHANGE	%	PLANNED	ESTIMATED	+ CHANGE	%
PART II: MEASURES OF EFFECTIVENESS												
1. % PUB SAFETY ANSWERING PTS THT ARE PH II COMPLIANT					95	95	+ 0	0	95	95	+ 0	0
2. % WIRELESS SERV PROVIDERS COMPLIANT W/ LEAST 1 PSAP					95	95	+ 0	0	95	95	+ 0	0
3. # WSPS THAT ARE PHASE II COMPLIANT WITH ALL PSAPS					95	95	+ 0	0	95	95	+ 0	0
4. EST % CELL PH USERS W/WIRELESS ENHANCED 911 PHII					95	95	+ 0	0	95	95	+ 0	0
PART III: PROGRAM TARGET GROUP												
1. PUBLIC SAFETY ANSWERING POINTS - NUMBER					7	7	+ 0	0	7	7	+ 0	0
2. WIRELESS SERVICE PROVIDERS - NUMBER					7	7	+ 0	0	7	7	+ 0	0
PART IV: PROGRAM ACTIVITY												
1. TTL \$ AMT OF SURCHGE COLLECTED FISC YR (IN THOUS)					7630	8285	+ 655	9	8500	8500	+ 0	0
2. TTL \$ AMT DISBURSED TO PSAPS IN FISC YR (IN THOUS)					1500	7354	+ 5854	390	7767	7767	+ 0	0
3. TTL \$ AMT DISBUR TO WSPS IN THE FISC YR (IN THOUS)					726	231	- 495	68	180	180	+ 0	0
4. TTL \$ AMT DISB TO BD ADMIN MATTERS IN FY (IN THOU)					345	1069	+ 724	210	1067	1067	+ 0	0

VARIANCE REPORT NARRATIVE FY 2011 AND FY 2012

11 03 04
AGS 891

PROGRAM TITLE: WIRELESS ENHANCED 911 BOARD

PART I - EXPENDITURES AND POSITIONS

The variance in the first quarter of FY 12 is due to procurements of multi-million dollar equipment that will take the major part of the fiscal year to select a qualified vendor. In most cases, the procurement will be finalized in the last quarter of the fiscal year.

The projected variance in the last three quarters of FY 12 is due to major procurements that are planned to be finalized in the last three quarters of the fiscal year.

PART II - MEASURES OF EFFECTIVENESS

There are no significant variances in the measures of effectiveness.

PART III - PROGRAM TARGET GROUPS

There are no significant variances in the program target group.

PART IV - PROGRAM ACTIVITIES

Item 1: The variance for FY 11 was due to cell phone usage that has grown at a higher than anticipated rate in FY 11. In FY 12, Act 168, SLH 2011, expanded the surcharge collections to include Voice Over Internet Protocol (VOIP) providers.

Item 2: The variance for FY 11 was due to County fiscal budget shortfalls forcing the Public Safety Answering Points to rely more on Enhanced 911 funds for equipment, maintenance, and training requirements. In addition, equipment obsolescence has placed a greater need for additional funding sources.

Item 3: The variance for FY 11 was because the Wireless Service Providers are finding more attractive funding from other sources for their administrative costs.

Item 4: The variance for FY 11 was due to a failure to include the Department of Budget and Finance revenue and administrative assessments as part of the administrative budget.

VARIANCE REPORT

	FISCAL YEAR 2010-11				THREE MONTHS ENDED 09-30-11				NINE MONTHS ENDING 06-30-12			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	92.00	82.00	- 10.00	11	92.00	81.00	- 11.00	12	92.00	92.00	+ 0.00	0
EXPENDITURES (\$1000's)	25,554	19,810	- 5,744	22	2,984	2,984	+ 0	0	17,026	16,812	- 214	1
TOTAL COSTS												
POSITIONS	92.00	82.00	- 10.00	11	92.00	81.00	- 11.00	12	92.00	92.00	+ 0.00	0
EXPENDITURES (\$1000's)	25,554	19,810	- 5,744	22	2,984	2,984	+ 0	0	17,026	16,812	- 214	1
	FISCAL YEAR 2010-11				FISCAL YEAR 2011-12							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. # OF GRV PER 1000 EMPLOYEE IN BRGNING UNTS UNDR HRD	20	15	- 5	25	20	15	- 5	25				
2. % CERT ISSD WTHN 95 DAYS WHR LST ELGBLES DNT EXIST	30	26	- 4	13	30	30	+ 0	0				

**VARIANCE REPORT NARRATIVE
FY 2011 AND FY 2012**

PROGRAM TITLE: PERSONNEL SERVICES

11 03 05

PART I - EXPENDITURES AND POSITIONS

No Narrative

PART II - MEASURES OF EFFECTIVENESS

(See Lowest Level Programs for Explanation of Variances)

PROGRAM TITLE: WORKFORCE ATTR, SELECT, CLASS & EFFECTIVENES
 PROGRAM-ID: HRD-102
 PROGRAM STRUCTURE NO: 11030501

	FISCAL YEAR 2010-11				THREE MONTHS ENDED 09-30-11				NINE MONTHS ENDING 06-30-12			
	BUDGETED	ACTUAL	+ CHANGE	%	BUDGETED	ACTUAL	+ CHANGE	%	BUDGETED	ESTIMATED	+ CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	81.00	72.00	- 9.00	11	81.00	72.00	- 9.00	11	81.00	81.00	+ 0.00	0
EXPENDITURES (\$1000's)	24,293	18,699	- 5,594	23	2,722	2,722	+ 0	0	15,844	15,650	- 194	1
TOTAL COSTS												
POSITIONS	81.00	72.00	- 9.00	11	81.00	72.00	- 9.00	11	81.00	81.00	+ 0.00	0
EXPENDITURES (\$1000's)	24,293	18,699	- 5,594	23	2,722	2,722	+ 0	0	15,844	15,650	- 194	1

	FISCAL YEAR 2010-11				FISCAL YEAR 2011-12			
	PLANNED	ACTUAL	+ CHANGE	%	PLANNED	ESTIMATED	+ CHANGE	%
PART II: MEASURES OF EFFECTIVENESS								
1. % CERTIF ISSUD W/IN 5 CALNDR DAYS WHERE LIST EXIST	75	100	+ 25	33	75	75	+ 0	0
2. % CERTIF ISSUD W/IN 95 CALNDR DAYS LIST NOT EXIST	30	26	- 4	13	30	30	+ 0	0
3. % OF SELECTION ACTIONS TAKEN CHANGED BY APPEAL	1	0	- 1	100	1	1	+ 0	0
4. % OF CLASSIF ACTNS FOR FILLED PSNS COMPLTD IN 6 MO	95	92	- 3	3	95	95	+ 0	0
5. % OF CLASSF ACTNS FOR NEW & VAC PSNS COMPL IN 3 MO	90	91	+ 1	1	90	90	+ 0	0
6. % CLASSIFICATION ACTIONS TAKEN CHANGED BY APPEAL	1	.2	- 0.8	80	1	0	- 1	100
7. EMPLOYEES TRAINED AS A % OF TOTAL WORKFORCE	10	12	+ 2	20	10	12	+ 2	20
8. % CONTRACT GRIEVANCES SETTLED W/O 3RD PARTY ASSTNC	90	83	- 7	8	90	90	+ 0	0
9. # GRIEV PER 1,000 EMPLYEES IN BU'S UNDR DHRD JURIS	20	15	- 5	25	20	15	- 5	25
10. RATIO OF OPEN CLAIMS CLOSED DURING THE FISCAL YEAR	1	1	+ 0	0	1	1	+ 0	0

PART III: PROGRAM TARGET GROUP								
1. VACANCIES TO BE FILLED BY ELIGBLS & NON-COMP ACTNS	1600	431	- 1169	73	1700	1700	+ 0	0
2. NUMBER OF CIVIL SERVICE POSITIONS	15800	16073	+ 273	2	15900	16200	+ 300	2
3. NUMBER OF NEW CIVIL SERVICE POSITIONS	50	468	+ 418	836	100	100	+ 0	0
4. EMPLOYEES IN THE CENTRALIZED MANAGEMENT GROUP	16171	15703	- 468	3	16171	15703	- 468	3
5. NUMBER OF CIVIL SERVICE CLASSES	1525	1491	- 34	2	1535	1490	- 45	3
6. CIVIL SERVICE EMPLOYEES	13780	13234	- 546	4	13780	13234	- 546	4
7. EXEMPT SERVICE EMPLOYEES	1891	1969	+ 78	4	1891	1969	+ 78	4
8. MIDDLE MANAGEMENT EMPLOYEES	325	335	+ 10	3	325	335	+ 10	3
9. FIRST-LINE SUPERVISORY EMPLOYEES	1239	1223	- 16	1	1239	1223	- 16	1
10. NON-MANAGEMENT EMPLOYEES	14107	13645	- 462	3	14107	13645	- 462	3

PART IV: PROGRAM ACTIVITY								
1. NO. APPLICANTS RECRUITD OR LOCATD (APPLC RECEIVD)	30000	15504	- 14496	48	30000	30000	+ 0	0
2. NO. APPLICNTS EXAMIND (TOTL EXAMINED BY ALL MEANS)	12000	14419	+ 2419	20	12000	12000	+ 0	0
3. # QUAL APPS REFRRD FOR PLACEMENT(ELIGBLS REFERRED)	50000	8194	- 41806	84	50000	50000	+ 0	0
4. NUMBER OF POSITION CLASSIFICATION ACTIONS TAKEN	1900	1401	- 499	26	2100	1500	- 600	29
5. NUMBER OF CLASSIFICATION SPECIFICATIONS COMPLETED	120	122	+ 2	2	120	120	+ 0	0
6. NO. OF EXEMPT POSITION REQUESTS ANALYZED/REVIEWED	2500	1819	- 681	27	1500	1820	+ 320	21
7. PRICE/REPRICE DETERMINATIONS (NO. CLASSES REVIEWD)	120	75	- 45	38	120	100	- 20	17
8. AUDIT, PERSONNEL ACTIONS (NO. OF ACTIONS REVIEWED)	68600	57202	- 11398	17	68600	53000	- 15600	23
9. TRAINING PROGRAMS CONDUCTED (NUMBER OF PROGRAMS)	49	49	+ 0	0	49	49	+ 0	0
10. NUMBER OF FORMAL GRIEVANCES REVIEWED	350	222	- 128	37	350	250	- 100	29

VARIANCE REPORT NARRATIVE FY 2011 AND FY 2012

11 03 05 01
HRD 102

PROGRAM TITLE: WORKFORCE ATTR, SELECT, CLASS & EFFECTIVENES

PART I - EXPENDITURES AND POSITIONS

The position and expenditure variances in FY 2011 are due to the State's fiscal constraints, general freeze in filling vacancies, and lower workers compensation and unemployment benefits expenditures for employees in non-general funded positions.

The variance in FY 2012 is due to labor savings adjustments pursuant to Section 96 of Act 164/SLH 2011.

PART II - MEASURES OF EFFECTIVENESS

Item 1. The variance is attributed to the recall list, which allowed the program to issue a larger percent of certificates within 5 calendar days.

Item 2. The variance in the percent of certificates issued within 95 days where list of eligibles do not exist is due, in part, to the shifting of program resources to assist labor relations staff, the unions, and departments in the informal resolution of complaints.

Item 3. There was no selection action taken changed by appeal.

Item 6. The Merit Appeals Board upheld all but 3 classification decisions.

Item 7. Training consists of the various drug and alcohol testing programs, workplace violence, pre-retirement classes, and new employee orientations. The variance is due to an increase in the number of employees who attended training and a lower than planned number of employees in the workforce.

Item 9. The variance is attributed to the efforts of the labor relations and employee staffing programs working with the unions and departments to facilitate the informal resolution of complaints.

PART III - PROGRAM TARGET GROUPS

Item 1. The variance is due to the State's fiscal constraints and general freeze in filling vacancies.

Item 3. The variance is due to the establishment of a larger than projected number of new civil service positions and the reestablishment of 336 positions that had been previously abolished.

PART IV - PROGRAM ACTIVITIES

Items 1, 2, and 3. The variances in the number of applications received, applicants examined, and qualified applicants referred for placement are attributed to the State's general hiring freeze and use of the recall list.

Item 4. The variance in the number of position classification actions taken is due to fiscal and budgetary constraints.

Item 6. The variance is attributed to an overestimation of the number of exempt contracts that could be reviewed by available staff.

Item 7. The variance is due to the difficulty in projecting the number of new classes that will be established by the other jurisdictions.

Item 8. The variance is attributed to the personnel transaction program's concentration on planning and implementing negotiated settlements, which did not necessarily involve updates to employee records in the Human Resources Management System.

Item 10. The variance is attributed to the efforts of the labor relations and employee staffing programs working with the unions and departments to facilitate the informal resolution of complaints.

VARIANCE REPORT

PROGRAM TITLE: SUPPORTING SERVICES - HUMAN RESOURCES DEV
 PROGRAM-ID: HRD-191
 PROGRAM STRUCTURE NO: 11030502

	FISCAL YEAR 2010-11				THREE MONTHS ENDED 09-30-11				NINE MONTHS ENDING 06-30-12							
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%				
PART I: EXPENDITURES & POSITIONS																
RESEARCH & DEVELOPMENT COSTS																
POSITIONS																
EXPENDITURES (\$1,000's)																
OPERATING COSTS																
POSITIONS	11.00	10.00	- 1.00	9	11.00	9.00	- 2.00	18	11.00	11.00	+ 0.00	0				
EXPENDITURES (\$1000's)	1,261	1,111	- 150	12	262	262	+ 0	0	1,182	1,162	- 20	2				
TOTAL COSTS																
POSITIONS	11.00	10.00	- 1.00	9	11.00	9.00	- 2.00	18	11.00	11.00	+ 0.00	0				
EXPENDITURES (\$1000's)	1,261	1,111	- 150	12	262	262	+ 0	0	1,182	1,162	- 20	2				
					FISCAL YEAR 2010-11				FISCAL YEAR 2011-12							
					PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS																
1. NO MEASURES HAVE BEEN DEVELOPED FOR THIS PROGRAM					NO DATA	NO DATA	+ 0	0	NO DATA	NO DATA	+ 0	0				
PART III: PROGRAM TARGET GROUP																
1. TTL NO. OF CIV SERV & EXEMT SERVICE PERSONNEL					15671	15203	- 468	3	15671	15203	- 468	3				
2. NUMBER OF ELECTED & APPOINTED OFFICIALS					95	95	+ 0	0	95	95	+ 0	0				
3. EMPLOYEES OF DHRD					92	92	+ 0	0	92	92	+ 0	0				
4. MEMBERS OF MERIT APPEALS BOARD					3	3	+ 0	0	3	3	+ 0	0				
PART IV: PROGRAM ACTIVITY																
1. ADV GOV ON PROB CONC ADMIN OF PERS MANAG SYS-WKHR					100	NO DATA	- 100	100	100	100	+ 0	0				
2. ADMINISTER PERSONNEL MANAG SYS OF STATE (WKHR)					1200	NO DATA	- 1200	100	1200	1200	+ 0	0				
3. DIRECT AND COORDINATE DHRD PROG (WKHR)					2000	NO DATA	- 2000	100	2000	2000	+ 0	0				
4. PARTICIPATE IN COLL BARGAINING PROCESS (WKHR)					875	NO DATA	- 875	100	875	875	+ 0	0				

VARIANCE REPORT NARRATIVE FY 2011 AND FY 2012

11 03 05 02
HRD 191

PROGRAM TITLE: SUPPORTING SERVICES - HUMAN RESOURCES DEV

PART I - EXPENDITURES AND POSITIONS

The position and expenditure variances in FY 2011 are due to the State's fiscal constraints and general freeze in filling vacancies.

The variance in FY 2012 is due to labor savings adjustments pursuant to Section 96 of Act 164/SLH 2011

PART II - MEASURES OF EFFECTIVENESS

No measures of effectiveness are available for this program.

PART III - PROGRAM TARGET GROUPS

There are no significant variances to report.

PART IV - PROGRAM ACTIVITIES

Actual figures for program activities were not available.

VARIANCE REPORT

	FISCAL YEAR 2010-11				THREE MONTHS ENDED 09-30-11				NINE MONTHS ENDING 06-30-12			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	126.00	120.00	- 6.00	5	135.00	121.00	- 14.00	10	135.00	135.00	+ 0.00	0
EXPENDITURES (\$1000's)	460,283	430,659	- 29,624	6	101,175	98,581	- 2,594	3	241,766	354,326	+ 112,560	47
TOTAL COSTS												
POSITIONS	126.00	120.00	- 6.00	5	135.00	121.00	- 14.00	10	135.00	135.00	+ 0.00	0
EXPENDITURES (\$1000's)	460,283	430,659	- 29,624	6	101,175	98,581	- 2,594	3	241,766	354,326	+ 112,560	47
	FISCAL YEAR 2010-11				FISCAL YEAR 2011-12							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. AV TIME TO PROC INIT CHECK TO TERMNTG EMPLYS (WKS)	3	4	+ 1	33	3	4	+ 1	33				

**VARIANCE REPORT NARRATIVE
FY 2011 AND FY 2012**

PROGRAM TITLE: EMPLOYEE FRINGE BENEFIT ADMINISTRATION

11 03 06

PART I - EXPENDITURES AND POSITIONS

No Narrative

PART II - MEASURES OF EFFECTIVENESS

(See Lowest Level Programs for Explanation of Variances)

VARIANCE REPORT

	FISCAL YEAR 2010-11				THREE MONTHS ENDED 09-30-11				NINE MONTHS ENDING 06-30-12			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	99.00	93.00	- 6.00	6	99.00	91.00	- 8.00	8	99.00	99.00	+ 0.00	0
EXPENDITURES (\$1000's)	10,603	10,018	- 585	6	2,645	2,073	- 572	22	8,183	8,509	+ 326	4
TOTAL COSTS												
POSITIONS	99.00	93.00	- 6.00	6	99.00	91.00	- 8.00	8	99.00	99.00	+ 0.00	0
EXPENDITURES (\$1000's)	10,603	10,018	- 585	6	2,645	2,073	- 572	22	8,183	8,509	+ 326	4
				FISCAL YEAR 2010-11				FISCAL YEAR 2011-12				
				PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%	
PART II: MEASURES OF EFFECTIVENESS												
1. AV TIME TO PROC INIT CHECK TO TERMNTG EMPlys (WKS)				3	4	+ 1	33	3	3	+ 0	0	
2. % INITIAL MTHLY PENSION PROC W/IN 1 MTH AFTR RETIR				100	100	+ 0	0	100	100	+ 0	0	
3. ANNUALIZED RETURN ON INVESTMENTS OVER PAST 5 YEARS				8	4.8	- 3.2	40	8	7.8	- 0.2	3	
PART III: PROGRAM TARGET GROUP												
1. ACTIVE MEMBERS				68570	65310	- 3260	5	69205	66000	- 3205	5	
2. RETIRED MEMBERS				39360	39689	+ 329	1	40390	41000	+ 610	2	
3. INACTIVE VESTED MEMBERS				6459	6895	+ 436	7	6612	7000	+ 388	6	
PART IV: PROGRAM ACTIVITY												
1. ANNUAL NUMBER OF NEW MEMBERS				4000	3170	- 830	21	4000	4000	+ 0	0	
2. ANNUAL NUMBER OF MEMBERS COUNSELED				14000	2900	- 11100	79	14000	10000	- 4000	29	
3. ANNUAL NUMBER OF RETIREMENT BENEFIT COMPUTATIONS				7500	2283	- 5217	70	8000	8000	+ 0	0	
4. ANNUAL NUMBER OF NEW RETIREES				2000	2448	+ 448	22	2000	2200	+ 200	10	
5. ANNUAL RETIREMNT BENEFIT PAYMNT AMOUNTS (MILLIONS)				920	969	+ 49	5	960	1020	+ 60	6	
6. ANNUAL NUMBER OF DECEASED MEMBER CLAIMS				1600	1144	- 456	29	1600	1600	+ 0	0	
7. ANNUAL NUMBER OF REFUND PAYMENTS				1000	540	- 460	46	1000	1000	+ 0	0	
8. ASSETS (BILLIONS OF DOLLARS)				11	11.6	+ 0.6	5	12	11.9	- 0.1	1	
9. ANNUAL NET INVESTMENT INCOME (MILLIONS)				1000	2038	+ 1038	104	1100	1100	+ 0	0	
10. ANNUAL RETURN ON INVESTMENTS				8	20.7	+ 12.7	159	8	7.8	- 0.2	3	

VARIANCE REPORT NARRATIVE FY 2011 AND FY 2012

11 03 06 01
BUF 141

PROGRAM TITLE: EMPLOYEES' RETIREMENT SYSTEM

PART I - EXPENDITURES AND POSITIONS

FY 2010-11

Expenditure variance is due to vacancies, furloughs, and lower than anticipated other current expenses.

FY 2011-12

Expenditures and positions - The 1st quarter variance in positions are due to vacant positions in the process of being filled. The variance in expenditures is primarily due to the vacancies and lower than anticipated other current expenses. For the remainder of FY 12, we anticipate the expenditure of funds in accordance with budget execution policies, less amounts programmed for labor savings.

PART II - MEASURES OF EFFECTIVENESS

Item 1 - ERS operations and our ability to provide timely services was adversely impacted by the furlough of ERS personnel for 2 days each month to February 2011. Although there were backlogs in some areas, ERS focused on the core operations paying benefits and only counseling members that were ready to terminate their employment or retire.

Item 3 - The primary reason for under performance in investment returns is the global investment and economic downturn that occurred in FY 08-FY 09 and the slow economic recovery since then.

PART III - PROGRAM TARGET GROUPS

No significant variances.

PART IV - PROGRAM ACTIVITIES

Item 1 - The decrease in new members is the result of weak Hawaii economy, decreasing revenues for employers and ultimately reduction of jobs available in the public sector.

Item 2 - The number of members counseled was adversely affected by the ERS employee furloughs, which required ERS to focus on member ready to retire or leave the public sector employment. ERS has been working on providing more information and resources through the ERS website, newsletters and the personnel officers.

Item 3 - Number of Retirement Benefit Computations (Item 3): With the furloughs and completing the Hybrid Upgrade during FY2011, , ERS has limited resources for certain areas of operations and is providing estimates for people close to retirement. ERS is encouraging other members to use the benefit calculators available on the ERS website.

Item 4 - The increase in the number of retirees is due partly to the increasing number of members eligible to retire and the pay reductions resulting from budget cuts.

Item 6 - Number of Deceased Members Claims: Forecasting the number of decedents in any given year is difficult to determine.

Item 7 - The decline in the number of refunds processed is influenced by Hawaii's current economic conditions that have resulted in a corresponding decrease in the number of new ERS members.

Items 9 and 10 - Increase is due to improved equity and real estate markets this past year. Increase in assets is also attributable to increase in employer and employee contributions.

PROGRAM TITLE: HAWAII EMPLOYER-UNION TRUST FUND
 PROGRAM-ID: BUF-143
 PROGRAM STRUCTURE NO: 11030603

	FISCAL YEAR 2010-11				THREE MONTHS ENDED 09-30-11				NINE MONTHS ENDING 06-30-12			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	27.00	27.00	+ 0.00	0	36.00	30.00	- 6.00	17	36.00	36.00	+ 0.00	0
EXPENDITURES (\$1000's)	4,208	4,088	- 120	3	1,261	610	- 651	52	3,848	4,435	+ 587	15
TOTAL COSTS												
POSITIONS	27.00	27.00	+ 0.00	0	36.00	30.00	- 6.00	17	36.00	36.00	+ 0.00	0
EXPENDITURES (\$1000's)	4,208	4,088	- 120	3	1,261	610	- 651	52	3,848	4,435	+ 587	15
	FISCAL YEAR 2010-11				FISCAL YEAR 2011-12							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. % DOCUMENTS IMAGED BY END 1ST WRKNG DAY AFTER RCPT	99	85	- 14	14	99	90	- 9	9				
2. AV NO. DAYS REQUIRED TO PROCESS ENROLLMNT TRNSCTNS	8	20	+ 12	150	7	15	+ 8	114				
3. PERCENTAGE OF ABANDONED CALLS	5	10	+ 5	100	5	5	+ 0	0				
4. PERCENTAGE OF REFUNDS PROCESSED WITHIN 60 DAYS	70	50	- 20	29	70	65	- 5	7				
5. % OF SHORTAGE CONDITIONS CLEARED WITHIN 60 DAYS	70	62	- 8	11	70	70	+ 0	0				
6. % PARTICIPANTS ATTENDING INFO SESSIONS IN ONE YEAR	20	7	- 13	65	20	20	+ 0	0				
7. % OF TIME COMPUTER SYSTEM IS AVAILABLE DURING 1 YR	98	98	+ 0	0	98	98	+ 0	0				
8. % COBRA FORM/NOTICES SENT W/IN REQUIRED TIME FRAME	99	95	- 4	4	99	99	+ 0	0				
9. % ELIG RETIREES/SPOUSES ENROLLD IN MEDICARE PART B	98	95	- 3	3	99	98	- 1	1				
10. NO. OF MINOR & MAJOR HIPAA VIOLATIONS PER YEAR	3	3	+ 0	0	3	3	+ 0	0				
PART III: PROGRAM TARGET GROUP												
1. TOTAL EMPLOYEES - (ACTIVES) FULL-TIME (STATE/CNTY)	55600	66350	+ 10750	19	55600	67000	+ 11400	21				
2. TOTAL EMPLOYEES - RETIRED	39625	41650	+ 2025	5	39625	42650	+ 3025	8				
3. TOTAL DEPENDENT BENEFICIARIES	76665	77400	+ 735	1	76665	78000	+ 1335	2				
4. MEDICARE PREMIUM REIMBURSEMENT RECIPIENTS	39000	40100	+ 1100	3	39000	42000	+ 3000	8				
5. PERSONNEL AND FINANCE OFFICERS	500	500	+ 0	0	500	500	+ 0	0				
PART IV: PROGRAM ACTIVITY												
1. NEW ENROLLMENTS (ADDITIONS)	9300	21475	+ 12175	131	9300	8500	- 800	9				
2. TERMINATIONS (DELETIONS, CANCELLATIONS)	6700	8772	+ 2072	31	6700	8800	+ 2100	31				
3. ENROLLMNT DATA CHGS (INS PLAN, NAME, ADDRESS, ETC.)	25400	26600	+ 1200	5	25400	27000	+ 1600	6				
4. COBRA ENROLLMENTS	3300	1300	- 2000	61	3300	1300	- 2000	61				
5. OUTREACH/EDUC BENEFIT BRIEFING SESSIONS CONDUCTED	120	109	- 11	9	120	120	+ 0	0				

VARIANCE REPORT NARRATIVE FY 2011 AND FY 2012

11 03 06 03
BUF 143

PROGRAM TITLE: HAWAII EMPLOYER-UNION TRUST FUND

PART I - EXPENDITURES AND POSITIONS

FY 2010-11

The variance in FY 2010 expenditures is due to salary restrictions and lower than anticipated other current expenditures.

FY 2011-12

The variances in expenditures are due to salary restriction and lower than planned expenditures for other current expenses. For the remainder of FY 2012, we are anticipating to expend funds as planned, less amounts programmed for labor savings.

PART II - MEASURES OF EFFECTIVENESS

Item 1, 2, 3 - FY 11 - This variance is attributed to inadequate staffing levels during the FY11 fiscal year. At the beginning of the FY12 fiscal year, most positions are now filled and EUTF anticipates that these measures will be met.

Item 4 - The variance in FY 11 for % of refunds processed within 60 days is due to the lags in refunds for Premium Conversion Plan participants. Refund conditions occur more frequently due to employees receiving a paycheck after their termination date due to the payroll lag. In addition, during FY 10, enrollment transactions were not processed on a timely basis, therefore, creating refund conditions that would not have occurred if enrollment transactions were done on a timely basis.

Item 5 - The variance in FY 11 for % of shortage conditions cleared within 60 days is due to enrollment transactions not occurring on a timely basis during the FY 10. Collection of retroactive premiums occur over several months. In addition, due to the economic situation, employees request more frequently to spread out their retroactive premium deductions.

Item 6 - During FY 11, plans did not change significantly, therefore, # of participants attending sessions were low.

PART III - PROGRAM TARGET GROUPS

Item 1 - Effective January 1, 2011, approximately 12,500 active employees and 2,500 retirees were transferred from HSTA to the EUTF.

PART IV - PROGRAM ACTIVITIES

Item 1 - This variance increased due to the addition of approximately 12,500 active employees and 2,500 retirees. These employees transferred from HSTA to EUTF effective January 1, 2011.

Item 2 - This variance increased due to termination of employment and deaths.

Item 4 - This variance decreased due to lower enrollments in COBRA plans.

VARIANCE REPORT

	FISCAL YEAR 2010-11				THREE MONTHS ENDED 09-30-11				NINE MONTHS ENDING 06-30-12			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	0.00	0.00	+ 0.00	0	0.00	0.00	+ 0.00	0	0.00	0.00	+ 0.00	0
EXPENDITURES (\$1000's)	246,651	240,858	- 5,793	2	47,347	47,347	+ 0	0	141,969	222,554	+ 80,585	57
TOTAL COSTS												
POSITIONS	0.00	0.00	+ 0.00	0	0.00	0.00	+ 0.00	0	0.00	0.00	+ 0.00	0
EXPENDITURES (\$1000's)	246,651	240,858	- 5,793	2	47,347	47,347	+ 0	0	141,969	222,554	+ 80,585	57
	FISCAL YEAR 2010-11				FISCAL YEAR 2011-12							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. TO PROVIDE FUNDS FOR RETIREMENT BENEFITS - STATE	NO DATA	NO DATA	+ 0	0	NO DATA	NO DATA	+ 0	0				

**VARIANCE REPORT NARRATIVE
FY 2011 AND FY 2012**

11 03 06 05
BUF 741

PROGRAM TITLE: RETIREMENT BENEFITS PAYMENTS

PART I - EXPENDITURES AND POSITIONS

FY12 Expenditures: Pursuant to Section 96 of Act 164/SLH 2011 Labor Savings Adjustments of \$80,584,666 in general funds are being transferred into BUF 741 from departments with general fund appropriations. Labor Savings of \$7,615,334 are already programmed in BUF 741, thus satisfying the \$88,200,000 amount identified for Labor Savings.

PART II - MEASURES OF EFFECTIVENESS

N/A

PART III - PROGRAM TARGET GROUPS

N/A

PART IV - PROGRAM ACTIVITIES

N/A

STATE OF HAWAII
PROGRAM TITLE: HEALTH PREMIUM PAYMENTS
PROGRAM-ID: BUF-761
PROGRAM STRUCTURE NO: 11030607

VARIANCE REPORT

REPORT V61
12/14/11

	FISCAL YEAR 2010-11				THREE MONTHS ENDED 09-30-11				NINE MONTHS ENDING 06-30-12			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	0.00	0.00	+ 0.00	0	0.00	0.00	+ 0.00	0	0.00	0.00	+ 0.00	0
EXPENDITURES (\$1000's)	198,821	175,695	- 23,126	12	49,922	48,551	- 1,371	3	87,766	118,828	+ 31,062	35
TOTAL COSTS												
POSITIONS	0.00	0.00	+ 0.00	0	0.00	0.00	+ 0.00	0	0.00	0.00	+ 0.00	0
EXPENDITURES (\$1000's)	198,821	175,695	- 23,126	12	49,922	48,551	- 1,371	3	87,766	118,828	+ 31,062	35
	FISCAL YEAR 2010-11				FISCAL YEAR 2011-12							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. TO PROVIDE FUNDS FOR HEALTH PREMIUMS - STATE	NO DATA	NO DATA	+ 0	0	NO DATA	NO DATA	+ 0	0				

VARIANCE REPORT NARRATIVE FY 2011 AND FY 2012

11 03 06 07
BUF 761

PROGRAM TITLE: HEALTH PREMIUM PAYMENTS

PART I - EXPENDITURES AND POSITIONS

FY12 Expenditures: Pursuant to Section 97 of Act 164/SLH 2011 Program Review Adjustments of \$29,690,774 in general funds are being transferred into BUF 761 from departments with general fund appropriations. Program Review Adjustment of \$20,000,000 is already programmed in BUF 761, plus other financial measures, thus satisfying the \$50,000,000 amount identified for Program Review Adjustment.

PART II - MEASURES OF EFFECTIVENESS

N/A

PART III - PROGRAM TARGET GROUPS

N/A

PART IV - PROGRAM ACTIVITIES

N/A

VARIANCE REPORT

	FISCAL YEAR 2010-11				THREE MONTHS ENDED 09-30-11				NINE MONTHS ENDING 06-30-12			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	68.00	66.00	- 2.00	3	67.00	64.00	- 3.00	4	67.00	63.00	- 4.00	6
EXPENDITURES (\$1000's)	59,341	45,629	- 13,712	23	12,796	4,477	- 8,319	65	48,906	55,898	+ 6,992	14
TOTAL COSTS												
POSITIONS	68.00	66.00	- 2.00	3	67.00	64.00	- 3.00	4	67.00	63.00	- 4.00	6
EXPENDITURES (\$1000's)	59,341	45,629	- 13,712	23	12,796	4,477	- 8,319	65	48,906	55,898	+ 6,992	14
	FISCAL YEAR 2010-11				FISCAL YEAR 2011-12							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. AV LENGTH OF TIME TO PROCESS PROP LOSS CLAIM REQ	15	6	- 9	60	15	15	+ 0	0				
2. AV NO. OF DAYS TO COMPLETE A QUIET TITLE REPORT	5	5	+ 0	0	5	5	+ 0	0				

**VARIANCE REPORT NARRATIVE
FY 2011 AND FY 2012**

PROGRAM TITLE: PROPERTY MANAGEMENT

11 03 07

PART I - EXPENDITURES AND POSITIONS

No Narrative

PART II - MEASURES OF EFFECTIVENESS

(See Lowest Level Programs for Explanation of Variances)

VARIANCE REPORT NARRATIVE FY 2011 AND FY 2012

11 03 07 01
LNR 101

PROGRAM TITLE: PUBLIC LANDS MANAGEMENT

PART I - EXPENDITURES AND POSITIONS

FY11 & FY12: The expenditure variance is due to salary savings and budget restrictions.

PART II - MEASURES OF EFFECTIVENESS

Item 2: The estimate was overstated.

Item 3: The increase in delinquency is due to the slow economy. Tenants are slow in paying, and some lessees have asked for monetary concessions.

Item 4: The increase in royalties is due to the restored capacity of the production wells.

Item 5: Wells that had been down were placed back online, and as a result there was an increase in geothermal production; and higher oil prices also contributed to the increase. In addition, staff is focused on income generation to support the Department.

PART III - PROGRAM TARGET GROUPS

Item 1: The department and the Board processed requests to cancel some Executive Orders involving large tracts of land.

Item 3: The variance is due to recent demand to lease former sugar cane lands.

PART IV - PROGRAM ACTIVITIES

Item 1: Variance is due to an underestimation of projected sales in fee. Majority of these cases involves relatively small area. These type of lands usually are suitable not only for agriculture, but also biofuels.

Item 2: Demand for long term leases has dropped off.

Item 3: Variance is due to an underestimation. Majority of these cases are single day event involving smaller areas that previously were granted simple right-of-entries by the Chairperson. The division has been advised by our attorneys that the permit should be a Revocable Permit. As such, the estimates have been revised.

Item 4: Staff is aggressively processing executive orders while balancing other duties and demands.

Item 5: The demand for fee simple acquisition work seems to be down.

Item 6: Staff has been conducting inspections while balancing their land management duties and assignments.

Item 7: Staff and the Board have made an increased effort in pursuing shoreline encroachments with either enforcement or when appropriate, granting easements to legitimize the encroachment.

Item 8: The estimates for fiscal years 11 and 12 were incorrect. As noted in item 3 above, there has been a slight increase in delinquency. We attribute this to an effect of the down economy in that tenants are paying slower, and some have even sought lease concessions.

PROGRAM TITLE: STATE RISK MANAGEMENT & INSURANCE ADMIN
 PROGRAM-ID: AGS-203
 PROGRAM STRUCTURE NO: 11030702

12/14/11

	FISCAL YEAR 2010-11				THREE MONTHS ENDED 09-30-11				NINE MONTHS ENDING 06-30-12			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	4.00	3.00	- 1.00	25	4.00	4.00	+ 0.00	0	4.00	0.00	- 4.00	100
EXPENDITURES (\$1000's)	32,294	23,149	- 9,145	28	6,694	257	- 6,437	96	25,579	31,003	+ 5,424	21
TOTAL COSTS												
POSITIONS	4.00	3.00	- 1.00	25	4.00	4.00	+ 0.00	0	4.00	0.00	- 4.00	100
EXPENDITURES (\$1000's)	32,294	23,149	- 9,145	28	6,694	257	- 6,437	96	25,579	31,003	+ 5,424	21
	FISCAL YEAR 2010-11				FISCAL YEAR 2011-12							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. NO. INSUR POLICIES PROCURED BEFORE EXPIRATION DATE	3	3	+ 0	0	3	3	+ 0	0				
2. AV LENGTH OF TIME TO PROCESS PROP LOSS CLAIM REQ	15	6	- 9	60	15	15	+ 0	0				
3. AV LENGTH OF TIME TO RECOVER INSURANCE PROCEEDS	60	36	- 24	40	60	60	+ 0	0				
4. AV LENGTH OF TIME TO PROCESS LIABILITY LOSS CLAIMS	90	72	- 18	20	90	90	+ 0	0				
5. AV TIME TO PROCESS LIABILITY LOSS POTHOLE CLAIMS	90	64	- 26	29	90	90	+ 0	0				
6. AV LENGTH OF TIME TO PROCESS AUTO LOSS CLAIMS	90	75	- 15	17	90	90	+ 0	0				
PART III: PROGRAM TARGET GROUP												
1. TOTAL NO. OF STATEWIDE INSURANCE POLICIES PROCURED	3	3	+ 0	0	3	3	+ 0	0				
2. TOTAL NO. OF PROPERTY LOSS CLAIMS PROCESSED	100	76	- 24	24	100	90	- 10	10				
3. TOTAL LIABILITY CLAIMS PROCESSED - EXCL. POTHOLE	500	381	- 119	24	500	500	+ 0	0				
4. TOTAL NO. OF LIABILITY POTHOLE CLAIMS PROCESSED	200	162	- 38	19	200	200	+ 0	0				
5. TOTAL NUMBER OF AUTOMOBILE LOSS CLAIMS PROCESSED	450	381	- 69	15	450	355	- 95	21				
6. NUMBER OF STATE OFFICIALS AND EMPLOYEES	55000	55000	+ 0	0	55000	55000	+ 0	0				
7. FAIR MARKET VALUE OF STATE BLDGS/CONTENTS (\$ MILL)	1550	1740	+ 190	12	1600	1740	+ 140	9				
8. NUMBER OF STATE VEHICLES	5500	5629	+ 129	2	5500	5600	+ 100	2				
PART IV: PROGRAM ACTIVITY												
1. TOTAL NUMBER OF STATEWIDE INSURANCE POLICIES	3	3	+ 0	0	3	3	+ 0	0				
2. TOTAL NUMBER OF PROPERTY LOSS CLAIMS RECEIVED	100	86	- 14	14	100	100	+ 0	0				
3. TOTAL NUMBER OF CRIME LOSS CLAIMS RECEIVED	1	1	+ 0	0	1	3	+ 2	200				
4. TOTAL NUMBER OF LIABILITY CLAIMS RECEIVED	700	571	- 129	18	700	700	+ 0	0				
5. TOTAL NUMBER OF AUTOMOBILE CLAIMS RECEIVED	375	315	- 60	16	375	330	- 45	12				
6. NUMBER OF RISK ASSESSMENT REPORTS ISSUED	6	0	- 6	100	6	6	+ 0	0				
7. NUMBER OF BUILDING INSPECTION REPORTS ISSUED	6	0	- 6	100	6	6	+ 0	0				
8. NO. OF TRAINING SESSIONS PROVIDED TO STATE DEPTS	4	3	- 1	25	4	4	+ 0	0				
9. NUMBER OF STATEMENTS OF SELF-INSURANCE ISSUED	450	548	+ 98	22	450	550	+ 100	22				

VARIANCE REPORT NARRATIVE FY 2011 AND FY 2012

11 03 07 02
AGS 203

PROGRAM TITLE: STATE RISK MANAGEMENT & INSURANCE ADMIN

PART I - EXPENDITURES AND POSITIONS

The position variance was due to a vacant position and hiring freeze. No variance is expected in FY 12 because the position was filled.

The variance in expenditures reflects fewer large property losses and requests made by the departments for reimbursement for losses. A variance for FY 12, 1st quarter resulted since payment of the insurance premiums will not be paid until the 2nd quarter, as well as fewer property claims. A variance is expected for the three remaining quarters.

PART II - MEASURES OF EFFECTIVENESS

Item 2: The average time to process a property reimbursement was less than expected because payment requests are processed weekly.

Item 3: The time to recover insurance proceeds was less than expected because departments provided documentation timely and the reports were transmitted promptly from the program to the insurance companies.

Items 4 and 5: The average time to process tort and pothole claims was less than expected because departments responded more timely to the requests for information from the program and fewer claims were received that enabled the program to resolve the claims more promptly.

Item 6: The faster resolution in average time to process automobile claims was due to timely and proper documentation being submitted and fewer accidents.

PART III - PROGRAM TARGET GROUPS

Item 2: The number of property losses processed was less than expected because departments submitted fewer requests for reimbursement.

Items 3 and 4: The number of tort claims and pothole claims processed was less than expected because fewer claims were submitted. The reduction in the number of pothole claims was due to the lack of rain

which affects the road conditions, as well as the Department of Transportation efforts in repairing potholes in a timely manner.

Item 5: The number of automobile loss claims processed was less than expected due to fewer accidents and fewer requests for payments. A variance in FY 12 reflects the reduction in anticipated claims being received.

Item 7: The fair market value of State buildings and contents was more than expected because several departments updated their values resulting in a change from \$15.5 billion to \$17.4 billion in values.

PART IV - PROGRAM ACTIVITIES

Item 2: The number of property losses received had a variance since fewer claims were submitted by the departments.

Items 4 and 5: The number of liability and automobile claims received had decreased because fewer claims were submitted.

Items 6 and 7: The building inspections reports were less than expected because no requests were received from departments and the insurance broker services were reallocated to update the property schedule.

Item 8: The number of training sessions provided to State departments was less than expected due to staffing shortage.

Item 9: The number of statements of self-insurance issued had increased because departments required use of outside facilities more frequently.

PROGRAM TITLE: LAND SURVEY
 PROGRAM-ID: AGS-211
 PROGRAM STRUCTURE NO: 11030703

12/14/11

	FISCAL YEAR 2010-11				THREE MONTHS ENDED 09-30-11				NINE MONTHS ENDING 06-30-12						
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%			
PART I: EXPENDITURES & POSITIONS															
RESEARCH & DEVELOPMENT COSTS															
POSITIONS															
EXPENDITURES (\$1,000's)															
OPERATING COSTS															
POSITIONS	10.00	10.00	+	0.00	0	10.00	10.00	+	0.00	0	10.00	10.00	+	0.00	0
EXPENDITURES (\$1000's)	883	577	-	306	35	218	143	-	75	34	714	484	-	230	32
TOTAL COSTS															
POSITIONS	10.00	10.00	+	0.00	0	10.00	10.00	+	0.00	0	10.00	10.00	+	0.00	0
EXPENDITURES (\$1000's)	883	577	-	306	35	218	143	-	75	34	714	484	-	230	32
	FISCAL YEAR 2010-11				FISCAL YEAR 2011-12										
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%							
PART II: MEASURES OF EFFECTIVENESS															
1. AV NO. OF DAYS TO COMPLETE A QUIET TITLE REPORT	5	5	+	0	0	5	5	+	0	0	5	5	+	0	0
2. COMPLETED DESCRIPTION OF LANDS AS % OF REQUESTS	60	93	+	33	55	60	60	+	0	0	60	60	+	0	0
3. AVE NO. OF DAYS TO PROCESS LC & FP MAPS	15	34	+	19	127	15	15	+	0	0	15	15	+	0	0
4. AV NO. OF DAYS TO PRODUCE A DESCRIPTION OF LANDS	20	20	+	0	0	20	20	+	0	0	20	20	+	0	0
5. AV NO. OF DAYS TO PROCESS SHORELINE CERTIFICATION	40	59	+	19	48	40	40	+	0	0	40	40	+	0	0
PART III: PROGRAM TARGET GROUP															
1. NO. REQUESTS FOR QUIET TITLE REPORTS	20	7	-	13	65	20	20	+	0	0	20	20	+	0	0
2. NO. REQUESTS FOR DESCRIPTIONS - STATE-OWNED LANDS	110	112	+	2	2	110	110	+	0	0	110	110	+	0	0
3. OWNERS OF LD CT & OTHER LANDS(TTL NO. MAPS RECD)	250	155	-	95	38	250	250	+	0	0	250	250	+	0	0
4. OWNERS OF BEACHFRONT PROPRTY(TTL NO CERT REQ RECD)	140	116	-	24	17	140	140	+	0	0	140	140	+	0	0
PART IV: PROGRAM ACTIVITY															
1. NO. OF REQUESTS FOR QUIET TITLE REPORTS COMPLETED	20	7	-	13	65	20	20	+	0	0	20	20	+	0	0
2. NO OF REQUESTS FOR DESCRIPTION OF LANDS COMPLETED	66	105	+	39	59	66	66	+	0	0	66	66	+	0	0
3. NO. OF LAND COURT AND FILE PLAN MAPS COMPLETED	250	144	-	106	42	250	250	+	0	0	250	250	+	0	0
4. NO. OF SHORELINE CERTIFICATIONS COMPLETED	140	116	-	24	17	140	140	+	0	0	140	140	+	0	0

VARIANCE REPORT NARRATIVE FY 2011 AND FY 2012

11 03 07 03
AGS 211

PROGRAM TITLE: LAND SURVEY

PART I - EXPENDITURES AND POSITIONS

For FY 11 and FY 12, there are no position variance. The variance in expenditures is due to no contracts for licensed surveyors.

PART II - MEASURES OF EFFECTIVENESS

Item 2: The variance is due to a greater percentage of less complex requests and the Department of Land and Natural Resources (DLNR) providing funds for overtime to complete land survey requests.

Item 3: The variance is due to a large and highly complex maps and private surveyors delay in submitting revised maps.

Item 5: The variance is due to the private surveyors delay in submitting revised maps and the owners delay in resolving encroachments and violations along the shoreline.

PART III - PROGRAM TARGET GROUPS

Item 1: The variance is the result of a decrease in requests from State agencies.

Items 3 and 4: The variance is due to a decrease in requests from private land owners.

PART IV - PROGRAM ACTIVITIES

Item 1: The variance is due to a decrease in requests from State agencies.

Item 2: The variance is due to a greater percentage of less complex requests and DLNR funding overtime for land survey requests.

Items 3 and 4: The variances are the result of a decrease in requests from private land owners.

STATE OF HAWAII
PROGRAM TITLE: OFFICE LEASING
PROGRAM-ID: AGS-223
PROGRAM STRUCTURE NO: 11030704

VARIANCE REPORT

REPORT V61
12/14/11

	FISCAL YEAR 2010-11				THREE MONTHS ENDED 09-30-11				NINE MONTHS ENDING 06-30-12			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	4.00	4.00	+ 0.00	0	4.00	4.00	+ 0.00	0	4.00	4.00	+ 0.00	0
EXPENDITURES (\$1000's)	14,055	11,889	- 2,166	15	2,819	2,819	+ 0	0	13,294	13,285	- 9	0
TOTAL COSTS												
POSITIONS	4.00	4.00	+ 0.00	0	4.00	4.00	+ 0.00	0	4.00	4.00	+ 0.00	0
EXPENDITURES (\$1000's)	14,055	11,889	- 2,166	15	2,819	2,819	+ 0	0	13,294	13,285	- 9	0
	FISCAL YEAR 2010-11				FISCAL YEAR 2011-12							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. # OF LEASING SVCS REQUESTS PROC AS % REQ RECEIVED	98	98	+ 0	0	98	99	+ 1	1				
2. AV NO. OF DAYS FROM REQUEST TO EXECUTED LEASE	275	208	- 67	24	275	250	- 25	9				
3. # LEASE PAYMTS TO VENDORS COMPL BY PAYMT DUE DATE	1752	1752	+ 0	0	1752	1668	- 84	5				
PART III: PROGRAM TARGET GROUP												
1. TOTAL NUMBER OF STATE DEPARTMENTS AND AGENCIES	15	14	- 1	7	15	14	- 1	7				
2. NUMBER OF EMPLOYEES	4960	4965	+ 5	0	4960	4820	- 140	3				
PART IV: PROGRAM ACTIVITY												
1. NO. OF REQUESTS FOR OFFICE LEASING SERVICES	45	20	- 25	56	45	23	- 22	49				
2. NO. OF OFFICE LEASES CONSUMMATED	35	28	- 7	20	30	27	- 3	10				
3. NO. OF OFFICE LEASE PAYMENTS COMPLETED	1752	1752	+ 0	0	1752	1668	- 84	5				

VARIANCE REPORT NARRATIVE FY 2011 AND FY 2012

11 03 07 04
AGS 223

PROGRAM TITLE: OFFICE LEASING

PART I - EXPENDITURES AND POSITIONS

Actual expenditures in FY 11 were \$2,166,099 less than budgeted due primarily to a \$2,087,070 lapse in allotment in the special fund account. This was because of reduced interdepartmental collections from user departments resulting from the refinancing of certificates of participation (municipal lease financing) for the Kapolei State Office Building. There was also a two percent departmental restriction of \$76,305 which contributed to the difference.

PART II - MEASURES OF EFFECTIVENESS

Item 2: The average number of days from the lease request to executed lease was 67 days less for FY 11 than planned. This was because the planned amount was overstated and a smaller number of requests for new office leases, which generally take a longer amount of time to complete. Also, the program had no high priority projects to take time away from the normal lease negotiation functions.

For FY 12, the average number of days to execute leases is estimated to be 25 days less than planned. This is because the program anticipates that new lease requests will continue to be few in number, although there will be more activity to try to consolidate offices into other leased space or into available State office facilities. Consolidation of State government functions, programs and operations into smaller offices within State facilities, and the reduction of commercial lease space is an initiative supported by the Governor through Executive Memo No. 11-09.

PART III - PROGRAM TARGET GROUPS

Item 1: The total number of departments serviced for FY 11 is one less than planned, due to an adjustment to correct the number of Executive Branch departments the program provides office leasing services for. This adjustment was also reflected in FY 12

Item 2: For FY 12, the estimated number of employees housed in lease space is 140 less than planned due to the anticipated lease consolidations and relocation of programs into State Office Buildings.

PART IV - PROGRAM ACTIVITIES

Item 1: The actual number of requests for office leasing services in FY 11 was 25 less than planned due to budget reductions, less federal funds, and an emphasis placed on program consolidations and lease rent reductions. The trend should continue into FY 12.

Item 2: For FY 11, there were seven less leases consummated than planned. This was because more time was spent on planning and negotiating program downsizing and lease consolidations, which limited the amount of time for completing other leases. The program anticipate this trend to continue into FY 12 with a reduced number of leases being consummated than planned.

VARIANCE REPORT

	FISCAL YEAR 2010-11				THREE MONTHS ENDED 09-30-11				NINE MONTHS ENDING 06-30-12			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	199.00	158.00	- 41.00	21	193.00	159.00	- 34.00	18	193.00	193.00	+ 0.00	0
EXPENDITURES (\$1000's)	23,345	20,658	- 2,687	12	7,169	5,963	- 1,206	17	18,931	19,858	+ 927	5
TOTAL COSTS												
POSITIONS	199.00	158.00	- 41.00	21	193.00	159.00	- 34.00	18	193.00	193.00	+ 0.00	0
EXPENDITURES (\$1000's)	23,345	20,658	- 2,687	12	7,169	5,963	- 1,206	17	18,931	19,858	+ 927	5
	FISCAL YEAR 2010-11				FISCAL YEAR 2011-12							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. AV PRE-BID CONSTRUCTION EST AS % OF AV BID PRICE	100	134	+ 34	34	100	100	+ 0	0				
2. AV VAR BTW EST CMPL DATE & ACTUAL CONST CMPL DATE	3	3	+ 0	0	3	3	+ 0	0				
3. AV COST CHANGE ORDERS AS % AV ACTUAL CONST COST	3	3	+ 0	0	3	3	+ 0	0				
4. BLDG OCCUPANT'S EVALUATION OF CUSTODIAL SERVICES	70	74	+ 4	6	70	80	+ 10	14				
5. % PROGRAM PROJS COMPELETD W/IN SCHEDULED TIMETABLE	100	100	+ 0	0	100	10	- 90	90				
6. % EMERG REPRS & ALTRTNS REQST RESP TO W/IN 48 HRS	100	100	+ 0	0	100	100	+ 0	0				

**VARIANCE REPORT NARRATIVE
FY 2011 AND FY 2012**

PROGRAM TITLE: FACILITIES CONSTRUCTION AND MAINTENANCE

11 03 08

PART I - EXPENDITURES AND POSITIONS

No Narrative

PART II - MEASURES OF EFFECTIVENESS

(See Lowest Level Programs for Explanation of Variances)

PROGRAM TITLE: PUBLIC WORKS-PLANNING, DESIGN & CONSTRUCTION
 PROGRAM-ID: AGS-221
 PROGRAM STRUCTURE NO: 11030801

12/14/11

	FISCAL YEAR 2010-11				THREE MONTHS ENDED 09-30-11				NINE MONTHS ENDING 06-30-12			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	16.00	11.00	- 5.00	31	16.00	12.00	- 4.00	25	16.00	16.00	+ 0.00	0
EXPENDITURES (\$1000's)	5,048	2,139	- 2,909	58	1,290	459	- 831	64	3,910	4,700	+ 790	20
TOTAL COSTS												
POSITIONS	16.00	11.00	- 5.00	31	16.00	12.00	- 4.00	25	16.00	16.00	+ 0.00	0
EXPENDITURES (\$1000's)	5,048	2,139	- 2,909	58	1,290	459	- 831	64	3,910	4,700	+ 790	20
	FISCAL YEAR 2010-11				FISCAL YEAR 2011-12							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. AV VARIANCE BETWEEN EST & ACTUAL BID OPENING DATES	3	.3	- 2.7	90	3	3	+ 0	0	3	3	+ 0	0
2. AV PRE-BID CONSTRUCTION EST AS % OF AV BID PRICE	100	134	+ 34	34	100	100	+ 0	0	100	100	+ 0	0
3. AV VAR BTWN EST & ACTUAL CONSTR COMPLETIN DATES	3	3	+ 0	0	3	3	+ 0	0	3	3	+ 0	0
4. AV COST CHANGE ORDERS AS % AV ACTUAL CONST COST	3	3	+ 0	0	3	3	+ 0	0	3	3	+ 0	0
5. FOR TTL CIP REQUIRED, % OF FUNDS ACTUALLY APPROP	34	23	- 11	32	100	100	+ 0	0	100	100	+ 0	0
PART III: PROGRAM TARGET GROUP												
1. CAPITAL IMPROV APPROPRIATIONS (IN \$100,000)	357	37	- 320	90	475	63	- 412	87	5	17	+ 12	240
2. PUBLIC BUILDINGS, REPAIRS & ALTERATIONS (\$100,000)	5	5	+ 0	0	5	17	+ 12	240				
PART IV: PROGRAM ACTIVITY												
1. TOTAL COSTS OF FAC OR PROJECT UNDER DESIGN (\$MILL)	378	380	+ 2	1	600	400	- 200	33				
2. PROJ UNDER CONSTRUCTION DURING FY (EST COST \$MILL)	580	374	- 206	36	400	340	- 60	15				

VARIANCE REPORT NARRATIVE FY 2011 AND FY 2012

11 03 08 01
AGS 221

PROGRAM TITLE: PUBLIC WORKS-PLANNING, DESIGN & CONSTRUCTION

PART I - EXPENDITURES AND POSITIONS

The variance in position count for FY 11 reflects four vacancies of which one was a position with funding restored during the 2011 Legislative Session, one position resulted from an internal promotion, and two positions resulted from retirements. Three of the four vacancies are in the same office and the program is actively recruiting to fill the positions. The personnel will be in place, trained and functional in FY 12.

The variance in expenditures for FY 11 is due to total actual revolving fund expenditures for supplies, services, and equipment for capital improvement program (CIP) projects and vacation and sick leave taken by CIP-funded staff that was significantly lower than the budgeted expenditure ceiling. Variances for general fund expenditures were a result of a combination of furloughs and vacancy savings.

The variance in expenditures for FY 12, 1st Quarter is due to labor savings and vacancy savings in addition to restrictions of supplies and equipment expenditures.

PART II - MEASURES OF EFFECTIVENESS

Item 1: The variance indicates program efficiency due to efforts to expedite CIP projects while at the same time adjusting for staff shortages and compressing the bid opening time to an average of 0.3 months, or average 10 days, from the estimated bid opening date instead of the projected 3 months or 90 days. Also, FY 12 will be a lapsing fund year so project funds will need to be encumbered during the current budget period.

Item 2: The variance for lower bid pricing is a result of more competitive bidding in a still rebounding construction climate.

Item 5: The variance is a result of budget directives to not initiate any new CIP projects and request for only projects already underway. Of the original request of \$162.5 million, the program received \$36.7 million, of which \$5 million is designated for repair and alteration projects resulting in a 23% request on new CIP projects for FY 11.

PART III - PROGRAM TARGET GROUPS

Item 1: The variances for CIP appropriations is based on the amount appropriated to the program as expending agency in Acts 162, SLH 2009, as amended by Act 180, SLH 2010, and Act 180, SLH 2011. Due to budget restrictions, new CIP projects were excluded.

The variance for FY 12 CIP appropriations is due to budget restrictions and directives to request projects already underway and to limit the requests for new projects.

Item 2: The variance for repair and alterations appropriations is due to initiatives to address the backlog of State facilities repairs that had been re-prioritized during previous budget restrictions. Current initiatives include efforts to lessen the backlog of repairs needed in State facilities as related to health and safety issues.

PART IV - PROGRAM ACTIVITIES

Item 1: The variance for FY 12 for design projects is due to the program and State agencies being unable to secure funding for their facility improvements and repairs.

Item 2: The variance is due to the program and State agencies being unable to obtain sufficient funding to move projects from the design phase to the construction phase.

VARIANCE REPORT

	FISCAL YEAR 2010-11				THREE MONTHS ENDED 09-30-11				NINE MONTHS ENDING 06-30-12			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS												
EXPENDITURES (\$1000's)												
TOTAL COSTS												
POSITIONS												
EXPENDITURES (\$1000's)												
	123.00	93.00	- 30.00	24	117.00	93.00	- 24.00	21	117.00	117.00	+ 0.00	0
	13,998	14,366	+ 368	3	4,796	4,476	- 320	7	11,591	11,767	+ 176	2
	123.00	93.00	- 30.00	24	117.00	93.00	- 24.00	21	117.00	117.00	+ 0.00	0
	13,998	14,366	+ 368	3	4,796	4,476	- 320	7	11,591	11,767	+ 176	2
					FISCAL YEAR 2010-11				FISCAL YEAR 2011-12			
					PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%
PART II: MEASURES OF EFFECTIVENESS												
1. 4 INTERNAL SVC INSPECTIONS/QTR & ACCEPTBLE SCORES					80	80	+ 0	0	80	80	+ 0	0
2. BLDG OCCUPANT'S EVALUATION OF CUSTODIAL SERVICES					70	74	+ 4	6	70	80	+ 10	14
PART III: PROGRAM TARGET GROUP												
1. TOTAL ASSIGNED BUILDINGS					78	78	+ 0	0	78	78	+ 0	0
PART IV: PROGRAM ACTIVITY												
1. TOTAL NUMBER OF WORK STATIONS (JANITOR II)					92	75	- 17	18	92	73	- 19	21
2. NUMBER OF SQUARE FEET SERVICED					2.7	2.7	+ 0	0	2.7	2.7	+ 0	0

VARIANCE REPORT NARRATIVE FY 2011 AND FY 2012

11 03 08 02
AGS 231

PROGRAM TITLE: CENTRAL SERVICES - CUSTODIAL SERVICES

PART I - EXPENDITURES AND POSITIONS

The expenditure variance of \$368,000 for FY 11 is due to the transfer of \$550,000 from other Department of Accounting and General Services (DAGS) programs. This transfers were also offset by program spending restrictions of \$174,161. The transfers were necessary to fund utility shortfalls within the Custodial Program for \$1.3 million.

The variance in the 1st Quarter of FY 12 of \$320,000 is due to 24 vacant positions for \$189,000 and delay in interdepartmental transfers for reimbursement payments of \$131,000 for utility and operational expenses. The expected variance of \$176,000 for the remaining nine months of FY 12 will be used towards the programs utility shortfall.

For FY 11, there is a variance of 30 positions due to vacancies from funding shortfall (23 positions) and hiring restriction (seven positions).

For the 1st quarter of FY 12, 24 positions are vacant of which 23 positions are waiting for approval to fill and one position is pending selection. For the remaining nine months of FY 2011-2012 all positions are expected to be filled because the hiring restriction was rescinded on September 9, 2011.

PART II - MEASURES OF EFFECTIVENESS

Item 2: For FY 11, the favorable variance was attributable to building occupants awareness and expectations of the custodial under-staffing due to the hiring restriction and vacancy of unfunded positions. For FY 12, an improvement in customer satisfaction levels are expected due to the filling of program staff.

PART III - PROGRAM TARGET GROUPS

There are no significant variances in the program target group.

PART IV - PROGRAM ACTIVITIES

Item 1: The variance is due primarily to the shortage of custodial positions.

VARIANCE REPORT

	FISCAL YEAR 2010-11				THREE MONTHS ENDED 09-30-11				NINE MONTHS ENDING 06-30-12			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	27.00	23.00	- 4.00	15	27.00	23.00	- 4.00	15	27.00	27.00	+ 0.00	0
EXPENDITURES (\$1000's)	1,567	1,474	- 93	6	396	362	- 34	9	1,257	1,256	- 1	0
TOTAL COSTS												
POSITIONS	27.00	23.00	- 4.00	15	27.00	23.00	- 4.00	15	27.00	27.00	+ 0.00	0
EXPENDITURES (\$1000's)	1,567	1,474	- 93	6	396	362	- 34	9	1,257	1,256	- 1	0
	FISCAL YEAR 2010-11				FISCAL YEAR 2011-12							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. EVALUATIONS FROM GROUNDS SURVEY FROM BLDG OCCUPNTS	70	67	- 3	4	70	70	+ 0	0				
2. ANNUAL FACILITY ASSESSMENT SCORES	85	80	- 5	6	85	85	+ 0	0				
PART III: PROGRAM TARGET GROUP												
1. TOTAL NUMBER OF FACILITIES	119	119	+ 0	0	119	119	+ 0	0				
PART IV: PROGRAM ACTIVITY												
1. NUMBER OF GROUNDSKEEPING POSITIONS	37	27	- 10	27	37	27	- 10	27				
2. TOTAL ACREAGE SERVICED	106.3	106.3	+ 0	0	106.3	106.30	+ 0	0				
3. NUMBER OF REFUSE COLLECTION SITES	28	28	+ 0	0	28	28	+ 0	0				

VARIANCE REPORT NARRATIVE FY 2011 AND FY 2012

11 03 08 03
AGS 232

PROGRAM TITLE: CENTRAL SERVICES - GROUNDS MAINTENANCE

PART I - EXPENDITURES AND POSITIONS

The variance in expenditures of \$93,000 in FY 11 is due to the transfer of \$80,000 to AGS 231, Custodial Services, to provide funds for utility shortfall and \$13,000 program spending restriction in personal services. The FY 12, the 1st quarter variance of \$34,000 is due to four vacant grounds positions. The variance for the remaining nine months of FY 12 is not significant.

For FY 11, the variance of four vacant positions is due to the hiring restriction. For the 1st quarter, FY 2011-2012 and the remaining nine months of FY 12, all positions are expected to be filled because the hiring restriction was rescinded on September 9, 2011.

PART II - MEASURES OF EFFECTIVENESS

Item 1: For FY 11, the variance in evaluation scores was attributable to loss of grounds positions and the hiring freeze. For FY 12, improvements in customer satisfaction levels are expected due to the end of the hiring freeze.

Item 2: Annual facility assessment scores also suffered as areas were not visited with the same frequency and services as before due to staff shortage.

PART III - PROGRAM TARGET GROUPS

There are no significant variances in the program target group.

PART IV - PROGRAM ACTIVITIES

Item 1: For FY 11, the variances in grounds keeping positions was due to the hiring restriction. For FY 12, service levels should improve with the end of the hiring restriction.

STATE OF HAWAII

PROGRAM TITLE: CENTRAL SERVICES - BUILDING REPAIRS & ALT
 PROGRAM-ID: AGS-233
 PROGRAM STRUCTURE NO: 11030804

VARIANCE REPORT

REPORT V61
 12/14/11

	FISCAL YEAR 2010-11				THREE MONTHS ENDED 09-30-11				NINE MONTHS ENDING 06-30-12			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	33.00	31.00	- 2.00	6	33.00	31.00	- 2.00	6	33.00	33.00	+ 0.00	0
EXPENDITURES (\$1000's)	2,732	2,679	- 53	2	687	666	- 21	3	2,173	2,135	- 38	2
TOTAL COSTS												
POSITIONS	33.00	31.00	- 2.00	6	33.00	31.00	- 2.00	6	33.00	33.00	+ 0.00	0
EXPENDITURES (\$1000's)	2,732	2,679	- 53	2	687	666	- 21	3	2,173	2,135	- 38	2
					FISCAL YEAR 2010-11				FISCAL YEAR 2011-12			
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. % OF PROGRAM PROJECTS COMPLETED WITHIN TIMETABLE	100	100	+ 0	0	100	100	+ 0	0	100	100	+ 0	0
2. % EMERGENCY REP & ALTERATNS RESPNDED TO IN 48 HRS	100	100	+ 0	0	100	100	+ 0	0	100	100	+ 0	0
3. % OF SATISFACTORY SURVEY EVALUATIONS OF R&A SVCS	90	90	+ 0	0	90	90	+ 0	0	90	90	+ 0	0
4. % OF SATISFACTORY SURVEY EVAL OF SPECIAL PROJECTS	90	90	+ 0	0	90	90	+ 0	0	90	90	+ 0	0
PART III: PROGRAM TARGET GROUP												
1. TOTAL NUMBER OF ASSIGNED STATE BUILDINGS	164	164	+ 0	0	164	164	+ 0	0	164	164	+ 0	0
PART IV: PROGRAM ACTIVITY												
1. TTL NO. OF NORMAL REPAIRS & ALTERATIONS PROJECTS	3300	3187	- 113	3	3300	3200	- 100	3	3300	3200	- 100	3
2. TOTAL NUMBER OF EMERGENCY PROJECTS	1000	985	- 15	2	1000	985	- 15	2	1000	985	- 15	2

VARIANCE REPORT NARRATIVE FY 2011 AND FY 2012

11 03 08 04
AGS 233

PROGRAM TITLE: CENTRAL SERVICES - BUILDING REPAIRS & ALT

PART I - EXPENDITURES AND POSITIONS

For FY 11, the variance in expenditures of \$53,000 is due to a transfer of \$30,000 to the Custodial Program and \$21,000 in program spending restrictions for personal services. For 1st quarter FY 2011-2012, the variance of \$21,000 is due to two vacant positions. The variance for the remaining nine months of FY 12 is insignificant.

For FY 11, the variance of two vacant positions is due to delays in recruitment and the hiring restriction. For the 1st quarter FY 12 and the remaining nine months of FY 12, all positions are expected to be filled because the hiring restriction was rescinded on September 9, 2011.

PART II - MEASURES OF EFFECTIVENESS

There are no significant variances in the measures of effectiveness.

PART III - PROGRAM TARGET GROUPS

There is no significant variance in the program target group.

PART IV - PROGRAM ACTIVITIES

There are no significant variances in program activity.

VARIANCE REPORT

PROGRAM TITLE: PROCUREMENT, INVENTORY & SURPLUS PROP MGT

12/14/11

PROGRAM-ID:

PROGRAM STRUCTURE NO: 110309

	FISCAL YEAR 2010-11				THREE MONTHS ENDED 09-30-11				NINE MONTHS ENDING 06-30-12			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	22.00	16.00	- 6.00	27	27.00	15.00	- 12.00	44	27.00	22.00	- 5.00	19
EXPENDITURES (\$1000's)	2,678	1,361	- 1,317	49	711	364	- 347	49	2,103	1,875	- 228	11
TOTAL COSTS												
POSITIONS	22.00	16.00	- 6.00	27	27.00	15.00	- 12.00	44	27.00	22.00	- 5.00	19
EXPENDITURES (\$1000's)	2,678	1,361	- 1,317	49	711	364	- 347	49	2,103	1,875	- 228	11
	FISCAL YEAR 2010-11				FISCAL YEAR 2011-12							
	PLANNED	ACTUAL	+ CHANGE	%	PLANNED	ESTIMATED	+ CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. PRICE LIST PROCRMNT DIFF BTWN AWRD & COMPUT(\$1000)	650	9677	+ 9027	1389	675	24177	+ 23502	3482				
2. COST SAVINGS OF HEPS AWARDS (THOUSANDS OF DOLLARS)	7200	10557	+ 3357	47	7200	8000	+ 800	11				

**VARIANCE REPORT NARRATIVE
FY 2011 AND FY 2012**

PROGRAM TITLE: PROCUREMENT, INVENTORY & SURPLUS PROP MGT

11 03 09

PART I - EXPENDITURES AND POSITIONS

No Narrative

PART II - MEASURES OF EFFECTIVENESS

(See Lowest Level Programs for Explanation of Variances)

VARIANCE REPORT

	FISCAL YEAR 2010-11				THREE MONTHS ENDED 09-30-11				NINE MONTHS ENDING 06-30-12						
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%			
PART I: EXPENDITURES & POSITIONS															
RESEARCH & DEVELOPMENT COSTS															
POSITIONS															
EXPENDITURES (\$1,000's)															
OPERATING COSTS															
POSITIONS	17.00	13.00	-	4.00	24	22.00	12.00	-	10.00	45	22.00	17.00	-	5.00	23
EXPENDITURES (\$1000's)	899	726	-	173	19	187	183	-	4	2	828	600	-	228	28
TOTAL COSTS															
POSITIONS	17.00	13.00	-	4.00	24	22.00	12.00	-	10.00	45	22.00	17.00	-	5.00	23
EXPENDITURES (\$1000's)	899	726	-	173	19	187	183	-	4	2	828	600	-	228	28
	FISCAL YEAR 2010-11				FISCAL YEAR 2011-12										
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%							
PART II: MEASURES OF EFFECTIVENESS															
1. PRICE LIST PROCURMT DIFF BTWN AWRD & COMPUT(\$1000)	650	9677	+	9027	1389	675	24177	+	23502	3482					
2. PROPERTY TRANSFERRED BETWEEN AGENCIES (\$1000)	2700	3514	+	814	30	2700	3500	+	800	30					
3. MOVING 3-YEAR AV OF ERRORS IN INVENTORY REPORTING	0	0	+	0	0	0	0	+	0	0					
4. % OF PROCURMT AUDIT FINDINGS RESOLVD OVER ADDRESS	0	0	+	0	0	0	0	+	0	0					
5. COST SAVINGS OF HEPS AWARDS (THOUSANDS OF DOLLARS)	7200	10557	+	3357	47	7200	8000	+	800	11					
6. % EVAL W/ PRACTICAL RATINGS BY SPO CLASS ATTENDEES	65	65	+	0	0	65	65	+	0	0					
7. TOTAL REBATES REC'D FROM PCARD TRANS (THOU DOLLAR)	550	1180	+	630	115	550	1180	+	630	115					
PART III: PROGRAM TARGET GROUP															
1. JURISDICTIONS SERVICED VIA COOP PURCHASING AGREEMT	19	18	-	1	5	19	18	-	1	5					
2. STATEWIDE INVENTORY ACCOUNTS	1054	1185	+	131	12	1054	1185	+	131	12					
3. NO. AGENCIES ISSUING HLTH & HUMN SVS SOLICITATIONS	30	23	-	7	23	30	30	+	0	0					
4. NUMBER OF PURCHASING CARD HOLDERS	3700	3721	+	21	1	3700	3721	+	21	1					
PART IV: PROGRAM ACTIVITY															
1. NUMBER OF AWARDS FOR PRICE & VENDOR LIST CONTRACTS	20	14	-	6	30	20	22	+	2	10					
2. NUMBER OF HAWAII ELEC PROCURMT SYS SOLICITATIONS	1250	1268	+	18	1	1250	1275	+	25	2					
3. NUMBER OF ATTENDEES AT PROCURMT TRAINING WORKSHOPS	3300	6231	+	2931	89	3300	3300	+	0	0					
4. RATIO PCARD TRANS OVER PURCH ORDER TRANS (EXEC BR)	10.5	11.2	+	0.7	7	10.5	11.2	+	0.7	7					
5. NUMBER OF ITEMS TRANSFERRED BETWEEN AGENCIES	2200	1532	-	668	30	2200	1500	-	700	32					
6. NO. OF INVENTORY TRANSACTIONS AUDITED & PROCESSED	35000	43557	+	8557	24	35000	45000	+	10000	29					
7. TOTAL VALUE OF PROP ADDED TO INVENTORY (THOUSAND)	425000	720359	+	295359	69	650000	800000	+	150000	23					
8. NUMBER OF PROCUREMENT AUDITS COMPLETED	0	0	+	0	0	0	0	+	0	0					
9. NO. OF COMP/RESTRICT HLTH & HMN SVC SOLICITATIONS	79	100	+	21	27	100	100	+	0	0					

VARIANCE REPORT NARRATIVE FY 2011 AND FY 2012

11 03 09 01
AGS 240

PROGRAM TITLE: STATE PROCUREMENT

PART I - EXPENDITURES AND POSITIONS

The position variances in FY 11 are attributed to the four vacant positions that were not filled due to the hiring restriction. For the first quarter of FY 12, the variance is due to hiring delays and the current reorganization that resulted from the restoration of five positions by the 2011 Legislature Session.

The corresponding expenditure variances are due to vacancy savings from the hiring restriction.

PART II - MEASURES OF EFFECTIVENESS

Item 1: The variances are primarily due to higher estimated dollar value of contracts such as the Energy Savings Contracting Companies contract estimated at \$50 million.

Item 2: The value of property added to the inventory was higher due to the addition of infrastructure and building improvements and assets.

Item 4: No positions available to conduct audits due to vacancy.

Item 5: The variance is due to increase in competition and higher dollar value of items. The average costs savings is steady at 30 percent, based on the average of non-awards amount to the award amount.

Item 7: The variance is due to new pCard (purchasing card) contract awarded Sept 2010 that had an increase in rebates.

PART III - PROGRAM TARGET GROUPS

Item 1: The variances in number of jurisdictions is due to University of Hawaii being exempt from Chapter 103D, HRS, and in FY 12, the addition of the new Chief Procurement Officer jurisdiction for the City and County, Honolulu Area Rapid Transportation (HART).

Item 2: The increase is due to reporting of all organizational codes.

Item 3: The decrease is due to budget restrictions and contracts with longer contract term periods.

PART IV - PROGRAM ACTIVITIES

Item 1: The reduction in the total number of price and vendor awards is largely attributed to reduced staffing and redirection to high impact solicitations. The variances reflect the continuing but larger downward trend from previous fiscal years with agencies performing more of their own procurements.

Item 2: The slight increase is due to greater use of the Hawaii Electronic Procurement System (HePS) due to higher dollar level for small purchases (\$100,000) and construction (\$250,000) pursuant to Act 175, SLH 2009.

Item 3: Procurement delegation and applicable mandatory training increased the number of attendees.

Item 4: The variance is due to overall increase of pCard usage by all departments and agencies; and changeover to CenterSuite program for better management and reporting structure.

Item 5: The decrease may be due to agencies retaining property longer, rather than purchasing new items.

Item 6: The increases are due to reporting of all transactions, including adds, deletes, disposal applications.

Item 7: The increases are due to addition of infrastructure and building improvements and assets.

Item 9: The variance increase is due to re-solicitation of expiring long term contracts.

VARIANCE REPORT NARRATIVE FY 2011 AND FY 2012

11 03 09 02
AGS 244

PROGRAM TITLE: SURPLUS PROPERTY MANAGEMENT

PART I - EXPENDITURES AND POSITIONS

The position variances in FY 11 are attributed to two vacant positions not being filled due to the hiring freeze. The positions are expected to remain vacant in the first quarter of FY 12 due to hiring delays.

The expenditure variances for FY 11 and for the first quarter of FY 12 can be attributed to budget constraints that reduced the ability of donees (customers) to purchase property and fewer surplus property available from the federal government. Increased expenditures are projected for the remaining three quarters of FY 12.

PART II - MEASURES OF EFFECTIVENESS

Item 1: The increase is due to the sales of several high dollar value items.

Item 2: The variance is due to fees being reduced to allow donees to purchase equipment from the program.

PART III - PROGRAM TARGET GROUPS

Items 1 and 3: The variance is due to the prior estimates being too high. The actual numbers more accurately reflect the target groups that qualify as donees.

PART IV - PROGRAM ACTIVITIES

Items 1 and 2: The program acquired more items to attract a greater mix of donees; however, less items were purchased due to the current economic condition.

Item 3: The decrease is due to less available State items for re-utilization.

Item 4: The increase is due to more miscellaneous items being available for disposal.

VARIANCE REPORT

	FISCAL YEAR 2010-11				THREE MONTHS ENDED 09-30-11				NINE MONTHS ENDING 06-30-12			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	37.00	35.00	- 2.00	5	37.00	36.00	- 1.00	3	37.00	37.00	+ 0.00	0
EXPENDITURES (\$1000's)	5,765	5,758	- 7	0	1,531	1,486	- 45	3	4,375	4,168	- 207	5
TOTAL COSTS												
POSITIONS	37.00	35.00	- 2.00	5	37.00	36.00	- 1.00	3	37.00	37.00	+ 0.00	0
EXPENDITURES (\$1000's)	5,765	5,758	- 7	0	1,531	1,486	- 45	3	4,375	4,168	- 207	5
	FISCAL YEAR 2010-11				FISCAL YEAR 2011-12							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. MOTOR POOL VEHICLES-AVERAGE OPER COST PER VEHICLE	90	88	- 2	2	90	83	- 7	8				
2. PERCENTAGE UTILIZATION OF PARKING SPACES	105	83	- 22	21	105	89	- 16	15				

**VARIANCE REPORT NARRATIVE
FY 2011 AND FY 2012**

PROGRAM TITLE: AUTOMOTIVE MANAGEMENT

11 03 10

PART I - EXPENDITURES AND POSITIONS

No Narrative

PART II - MEASURES OF EFFECTIVENESS

(See Lowest Level Programs for Explanation of Variances)

VARIANCE REPORT

	FISCAL YEAR 2010-11				THREE MONTHS ENDED 09-30-11				NINE MONTHS ENDING 06-30-12			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	12.50	12.50	+ 0.00	0	12.50	12.00	- 0.50	4	12.50	12.50	+ 0.00	0
EXPENDITURES (\$1000's)	2,492	2,492	+ 0	0	613	596	- 17	3	1,937	1,922	- 15	1
TOTAL COSTS												
POSITIONS	12.50	12.50	+ 0.00	0	12.50	12.00	- 0.50	4	12.50	12.50	+ 0.00	0
EXPENDITURES (\$1000's)	2,492	2,492	+ 0	0	613	596	- 17	3	1,937	1,922	- 15	1
					FISCAL YEAR 2010-11				FISCAL YEAR 2011-12			
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. MOTOR POOL VEHICLES-AVERAGE OPER COST PER VEHICLE	86	88	+ 2	2	90	83	- 7	8				
2. PERCENTAGE OF REVENUES OVER EXPENDITURES	153	99	- 54	35	96	99	+ 3	3				
PART III: PROGRAM TARGET GROUP												
1. STATE AGENCIES UTILIZING MOTOR POOL & NON-MP VEH	21	21	+ 0	0	21	21	+ 0	0				
PART IV: PROGRAM ACTIVITY												
1. MOTOR POOL FLEET RENTAL REVENUES	2168	2068	- 100	5	1980	2088	+ 108	5				
2. OTHER NON-MOTOR POOL VEHICLE SERVICE REVENUES	108	310	+ 202	187	185	383	+ 198	107				

VARIANCE REPORT NARRATIVE FY 2011 AND FY 2012

11 03 10 01
AGS 251

PROGRAM TITLE: AUTOMOTIVE MANAGEMENT - MOTOR POOL

PART I - EXPENDITURES AND POSITIONS

The variance in positions is due to the vacant Automotive Services Operations Supervisor position.

PART II - MEASURES OF EFFECTIVENESS

Item 1: The variances are due to the increase in repair costs of older vehicles.

Item 2: The variances are due to underestimating the planned percentage of revenue over expenditures.

PART III - PROGRAM TARGET GROUPS

There are no significant variances for the program target group.

PART IV - PROGRAM ACTIVITIES

Item 2: The variance is due to underestimating the planned revenues for other non-motor pool vehicle services.

VARIANCE REPORT

PROGRAM TITLE: AUTOMOTIVE MANAGEMENT - PARKING CONTROL
 PROGRAM-ID: AGS-252
 PROGRAM STRUCTURE NO: 11031002

	FISCAL YEAR 2010-11				THREE MONTHS ENDED 09-30-11				NINE MONTHS ENDING 06-30-12			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	24.50	22.50	- 2.00	8	24.50	24.00	- 0.50	2	24.50	24.50	+ 0.00	0
EXPENDITURES (\$1000's)	3,273	3,266	- 7	0	918	890	- 28	3	2,438	2,246	- 192	8
TOTAL COSTS												
POSITIONS	24.50	22.50	- 2.00	8	24.50	24.00	- 0.50	2	24.50	24.50	+ 0.00	0
EXPENDITURES (\$1000's)	3,273	3,266	- 7	0	918	890	- 28	3	2,438	2,246	- 192	8
	FISCAL YEAR 2010-11				FISCAL YEAR 2011-12							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. PERCENTAGE UTILIZATION OF PARKING SPACES	105	83	- 22	21	105	89	- 16	15				
2. PERCENTAGE OF REVENUES OVER EXPENDITURES	102	116	+ 14	14	121	116	- 5	4				
PART III: PROGRAM TARGET GROUP												
1. STATE OFFICIALS-EMPLOYEE & PUB CONDUCTG BUS W/STATE	8460	8400	- 60	1	8400	8400	+ 0	0				
PART IV: PROGRAM ACTIVITY												
1. NO. OF SPACES FOR EMPLOYEES & PUBLIC	6175	6175	+ 0	0	6175	6175	+ 0	0				
2. NO. OF PARKING CITATIONS ISSUED (MONTHLY AVERAGE)	765	706	- 59	8	965	905	- 60	6				
3. EMPLOYEE PARKING & PUBLIC PARKING REVENUES	2800	3535	+ 735	26	3000	3354	+ 354	12				

VARIANCE REPORT NARRATIVE FY 2011 AND FY 2012

11 03 10 02
AGS 252

PROGRAM TITLE: AUTOMOTIVE MANAGEMENT - PARKING CONTROL

PART I - EXPENDITURES AND POSITIONS

For FY 11, the position variance is due to two vacant Parking & Security Office positions. For the 1st quarter of FY 12, the position variance is due to the vacant Automotive Services Operations Supervisor position.

In both fiscal years, the position and expenditure variances are insignificant.

PART II - MEASURES OF EFFECTIVENESS

Item 1: The variances are due to overestimating the planned utilization percentage of parking spaces from less employees parking in the Downtown district.

Item 2: The variance for FY 11 and FY 12 is due to underestimating the planned percentage of revenues over expenditures that resulted from the relocation of Family Court to the Kapolei district.

PART III - PROGRAM TARGET GROUPS

There are no significant variances in the program target group.

PART IV - PROGRAM ACTIVITIES

Item 2: The variance is due to two vacant and two abolished Parking & Security Officer positions that resulted in a decrease in the number of parking citations issued.

Item 3: The variances are due to underestimating the planned number of employee parking and public parking revenues from the relocation of Family Court to the Kapolei district.

VARIANCE REPORT

	FISCAL YEAR 2010-11				THREE MONTHS ENDED 09-30-11				NINE MONTHS ENDING 06-30-12			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	37.00	35.00	- 2.00	5	37.00	36.00	- 1.00	3	37.00	37.00	+ 0.00	0
EXPENDITURES (\$1000's)	2,649	2,044	- 605	23	582	540	- 42	7	2,259	2,221	- 38	2
TOTAL COSTS												
POSITIONS	37.00	35.00	- 2.00	5	37.00	36.00	- 1.00	3	37.00	37.00	+ 0.00	0
EXPENDITURES (\$1000's)	2,649	2,044	- 605	23	582	540	- 42	7	2,259	2,221	- 38	2
	FISCAL YEAR 2010-11				FISCAL YEAR 2011-12							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. % OF LATE (INTEREST) PAYMENTS TO TOTAL PAYMENTS	.2	.2	+ 0	0	.2	.2	+ 0	0				
2. % OF INVOICE PAYMNTS PROCESSED W/IN 7 WORKING DAYS	93	94	+ 1	1	93	93	+ 0	0				
3. AV TIME FOR NON-COMPETITIVE RECRUITMENT ACTION	28	26	- 2	7	28	28	+ 0	0				
4. AV TIME FOR DELEGATED CLASSIFICATION ACTION	4	4	+ 0	0	4	4	+ 0	0				
5. NO. OF NON-ROUTINE PERSONNEL CONSULTATIVE SVCS	240	200	- 40	17	240	200	- 40	17				
6. PERCENTAGE OF DATA PROCESSING REQUESTS COMPLETED	90	75	- 15	17	80	75	- 5	6				
7. % OF DP REQUESTS COMPLTD WHICH IMPROVED EFFICIENCY	70	93	+ 23	33	70	85	+ 15	21				
8. PERCENTAGE OF B&F REQUESTS SUBMITTED BY DUE DATE	100	100	+ 0	0	100	100	+ 0	0				
9. % OF LEGISLATIVE REQUESTS SUBMITTED BY DATE	100	100	+ 0	0	100	100	+ 0	0				
PART III: PROGRAM TARGET GROUP												
1. NO. OF DIVISIONS, DISTRICT OFFICES & ATTACHED AGEN	18	18	+ 0	0	18	18	+ 0	0				
2. TOTAL NUMBER OF EMPLOYEES (PERMANENT/TEMPORARY)	749	751	+ 2	0	749	769	+ 20	3				
3. TOTAL NO. OF PAYMENT TRANSACTIONS PROCESSED (000)	30	28	- 2	7	30	28	- 2	7				
4. NO. OF INTERNAL VACANCY RECRUITMENT ANNOUNCEMENTS	100	77	- 23	23	100	100	+ 0	0				
5. NO. OF DELEGATED CLASSIFICATION ACTIONS TAKEN	190	59	- 131	69	190	100	- 90	47				
6. NO. OF DEPARTMENTAL VACANCIES DURING THE YEAR	170	102	- 68	40	170	100	- 70	41				
7. TOTAL NO. OF DATA PROCESSING REQUESTS PROCESSED	460	387	- 73	16	400	400	+ 0	0				
8. NO. OF COMPUTER APPLICATION PROGRAMS ADMINSTERED	3200	3200	+ 0	0	3200	3200	+ 0	0				
9. NO. OF REQUESTS FROM DEPT. OF BUDGET AND FINANCE	15	15	+ 0	0	15	15	+ 0	0				
10. TOTAL NUMBER OF LEGISLATIVE REQUESTS	45	31	- 14	31	45	45	+ 0	0				
PART IV: PROGRAM ACTIVITY												
1. NO. OF EMPLOYEES PROVIDING ADMIN/TECH/CLERICAL SUP	33	33	+ 0	0	33	33	+ 0	0				
2. NUMBER OF PURCHASING CARDS OUTSTANDING	165	163	- 2	1	165	163	- 2	1				
3. NUMBER OF PAYROLL REGISTERS HANDLED	8	8	+ 0	0	8	8	+ 0	0				
4. AV NO. OF EPARS PROCESSED PER EMPLOYEE	4	3	- 1	25	4	3	- 1	25				
5. NO. OF EPARS PROCESSED	2900	1526	- 1374	47	2900	1600	- 1300	45				
6. NUMBER OF NON-EPAR ACTIONS PROCESSED	3400	4031	+ 631	19	3400	3000	- 400	12				
7. NO. OF REQUESTS FOR NON-COMPETITIVE RECRUITMENTS	100	81	- 19	19	100	100	+ 0	0				
8. NO. OF REQUESTS FOR DELEGATED CLASSIFICATN ACTIONS	165	59	- 106	64	165	100	- 65	39				
9. NO. OF NEW COMPUTER APPLICATION SYSTEMS INSTALLED	2	2	+ 0	0	2	2	+ 0	0				
10. NO. OF ADMIN RULES & REORG REQUESTS REVIEWED	4	3	- 1	25	4	4	+ 0	0				

VARIANCE REPORT NARRATIVE FY 2011 AND FY 2012

11 03 13
AGS 901

PROGRAM TITLE: GENERAL ADMINISTRATIVE SERVICES

PART I - EXPENDITURES AND POSITIONS

The decrease in the number of positions for FY 11, the first three months ended September 30, 2011 and the remaining nine months ending June 30, 2012 are not significant.

The decrease in the expenditure for FY 11 is primarily due to funding restrictions and vacancy savings. The variance in expenditures for the three months ended September 30, 2011 and the remaining nine months ending June 30, 2012 are not significant.

PART II - MEASURES OF EFFECTIVENESS

Item 5: The variance in FY 11 was due to the decrease in the number of requests from the program. The variance is expected to continue to FY 12.

Item 6: The variance in FY 11 was due to the bumping from the reduction-in-force (RIF) that took place in January 2010. The program still does not have the replacement technical staff at the same skill level of the staff that was lost.

Item 7: The variance in FY 11 and anticipated variance in FY 12 are because most Department of Accounting and General Services (DAGS) agencies are short staffed. Agencies looked to the Systems and Procedures Office to assist in improving operational efficiency that the program did and will continue to provide.

PART III - PROGRAM TARGET GROUPS

Item 4: The variance in FY 11 was due to a decrease in internal vacancy recruitments due to the restriction on filling positions.

Item 5: The variance in FY 11 was due to the decrease in the number of requests from the programs. The variance is expected to continue to FY 12.

Item 6: The variances were caused by the decrease in the total number of positions and the decrease in the number of employees choosing to separate from the department.

Item 7: The variance in FY 11 was due to the bumping from the RIF that took place in January 2010. The program still does not have the replacement technical staff at the same skill level of the staff that was lost.

Item 10: In FY 11 there was a decrease in the number of requests from the Legislature.

PART IV - PROGRAM ACTIVITIES

Item 4: The variance in FY 11 was due to the reduction in required employee processing action. The variance is expected to continue to FY 12.

Item 5: The reductions in FY 11 and FY 12 are due to the reduction in the number of employees and required processing action.

Item 6: The increase in FY 11 and FY 12 is due to the updating of employee retention points.

Item 7: The reduction in FY 11 was due to the restriction in filling positions.

Item 8: The variance in FY 11 was due to the decrease in the number of requests from the programs. The variance is expected to continue to FY 12.

Item 10: In FY 11 there was a decrease in the number of Hawaii Administrative Rules and reorganization requests received from the programs.

STATE OF HAWAII
PROGRAM TITLE: GRANTS TO COUNTIES
PROGRAM-ID:
PROGRAM STRUCTURE NO: 110314

VARIANCE REPORT

REPORT V61
12/14/11

	FISCAL YEAR 2010-11				THREE MONTHS ENDED 09-30-11				NINE MONTHS ENDING 06-30-12			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	0.00	0.00	+	0.00	0	0.00	0.00	+	0.00	0	0.00	0
EXPENDITURES (\$1000's)	0	0	+	0	0	0	+	0	0	0	+	0
TOTAL COSTS												
POSITIONS	0.00	0.00	+	0.00	0	0.00	0.00	+	0.00	0	0.00	0
EXPENDITURES (\$1000's)	0	0	+	0	0	0	+	0	0	0	+	0
	FISCAL YEAR 2010-11				FISCAL YEAR 2011-12							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. NO MEASURES HAVE BEEN DEVELOPED FOR THIS PROGRAM	NO DATA	NO DATA	+	0	0	NO DATA	NO DATA	+	0	0	+	0

**VARIANCE REPORT NARRATIVE
FY 2011 AND FY 2012**

PROGRAM TITLE: GRANTS TO COUNTIES

11 03 14

PART I - EXPENDITURES AND POSITIONS

No Narrative

PART II - MEASURES OF EFFECTIVENESS

(See lowest Level Programs for Explanation of Variances)

VARIANCE REPORT

	FISCAL YEAR 2010-11				THREE MONTHS ENDED 09-30-11				NINE MONTHS ENDING 06-30-12			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	0.00	0.00	+	0.00	0	0.00	0.00	+	0.00	0	0.00	0
EXPENDITURES (\$1000's)	0	0	+	0	0	0	+	0	0	0	0	0
TOTAL COSTS												
POSITIONS	0.00	0.00	+	0.00	0	0.00	0.00	+	0.00	0	0.00	0
EXPENDITURES (\$1000's)	0	0	+	0	0	0	+	0	0	0	0	0
	FISCAL YEAR 2010-11				FISCAL YEAR 2011-12							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. NO MEASURES HAVE BEEN DEVELOPED FOR THIS PROGRAM	NO DATA	NO DATA	+	0	0	NO DATA	NO DATA	+	0	0		

**VARIANCE REPORT NARRATIVE
FY 2011 AND FY 2012**

11 03 14 01
SUB 201

PROGRAM TITLE: CITY & COUNTY OF HONOLULU

PART I - EXPENDITURES AND POSITIONS

No position and expenditure data are available for this program.

PART II - MEASURES OF EFFECTIVENESS

No measures have been developed for this program.

PART III - PROGRAM TARGET GROUPS

No measures have been developed for this program.

PART IV - PROGRAM ACTIVITIES

No measures have been developed for this program.

STATE OF HAWAII
 PROGRAM TITLE: COUNTY OF HAWAII
 PROGRAM-ID: SUB-301
 PROGRAM STRUCTURE NO: 11031402

VARIANCE REPORT

REPORT V61
 12/14/11

	FISCAL YEAR 2010-11				THREE MONTHS ENDED 09-30-11				NINE MONTHS ENDING 06-30-12						
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%			
PART I: EXPENDITURES & POSITIONS															
RESEARCH & DEVELOPMENT COSTS															
POSITIONS															
EXPENDITURES (\$1,000's)															
OPERATING COSTS															
POSITIONS	0.00	0.00	+	0.00	0	0.00	0.00	+	0.00	0	0.00	0.00	+	0.00	0
EXPENDITURES (\$1000's)	0	0	+	0	0	0	+	0	0	0	+	0	0	0	0
TOTAL COSTS															
POSITIONS	0.00	0.00	+	0.00	0	0.00	0.00	+	0.00	0	0.00	0.00	+	0.00	0
EXPENDITURES (\$1000's)	0	0	+	0	0	0	+	0	0	0	+	0	0	0	0
	FISCAL YEAR 2010-11				FISCAL YEAR 2011-12										
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%							
PART II: MEASURES OF EFFECTIVENESS															
1. NO MEASURES HAVE BEEN DEVELOPED FOR THIS PROGRAM	NO DATA	NO DATA	+	0	0	NO DATA	NO DATA	+	0	0	+	0	0	0	0

**VARIANCE REPORT NARRATIVE
FY 2011 AND FY 2012**

11 03 14 02
SUB 301

PROGRAM TITLE: COUNTY OF HAWAII

PART I - EXPENDITURES AND POSITIONS

No position and expenditure data are available for this program.

PART II - MEASURES OF EFFECTIVENESS

No measures have been developed for this program.

PART III - PROGRAM TARGET GROUPS

No measures have been developed for this program.

PART IV - PROGRAM ACTIVITIES

No measures have been developed for this program.

VARIANCE REPORT

PROGRAM TITLE: COUNTY OF MAUI
PROGRAM-ID: SUB-401
PROGRAM STRUCTURE NO: 11031403

	FISCAL YEAR 2010-11				THREE MONTHS ENDED 09-30-11				NINE MONTHS ENDING 06-30-12			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	0.00	0.00	+ 0.00	0	0.00	0.00	+ 0.00	0	0.00	0.00	+ 0.00	0
EXPENDITURES (\$1000's)	0	0	+ 0	0	0	0	+ 0	0	0	0	+ 0	0
TOTAL COSTS												
POSITIONS	0.00	0.00	+ 0.00	0	0.00	0.00	+ 0.00	0	0.00	0.00	+ 0.00	0
EXPENDITURES (\$1000's)	0	0	+ 0	0	0	0	+ 0	0	0	0	+ 0	0
	FISCAL YEAR 2010-11				FISCAL YEAR 2011-12							
PART II: MEASURES OF EFFECTIVENESS	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
1. NO MEASURES HAVE BEEN DEVELOPED FOR THIS PROGRAM	NO DATA	NO DATA	+ 0	0	NO DATA	NO DATA	+ 0	0				

**VARIANCE REPORT NARRATIVE
FY 2011 AND FY 2012**

11 03 14 03
SUB 401

PROGRAM TITLE: COUNTY OF MAUI

PART I - EXPENDITURES AND POSITIONS

No position and expenditure data are available for this program.

PART II - MEASURES OF EFFECTIVENESS

No measures have been developed for this program.

PART III - PROGRAM TARGET GROUPS

No measures have been developed for this program.

PART IV - PROGRAM ACTIVITIES

No measures have been developed for this program.

PROGRAM TITLE: COUNTY OF KAUAI
PROGRAM-ID: SUB-501
PROGRAM STRUCTURE NO: 11031404

	FISCAL YEAR 2010-11				THREE MONTHS ENDED 09-30-11				NINE MONTHS ENDING 06-30-12			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	0.00	0.00	+	0.00	0	0.00	0.00	+	0.00	0	0.00	0
EXPENDITURES (\$1000's)	0	0	+	0	0	0	+	0	0	0	0	0
TOTAL COSTS												
POSITIONS	0.00	0.00	+	0.00	0	0.00	0.00	+	0.00	0	0.00	0
EXPENDITURES (\$1000's)	0	0	+	0	0	0	+	0	0	0	0	0
	FISCAL YEAR 2010-11				FISCAL YEAR 2011-12							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. NO MEASURES HAVE BEEN DEVELOPED FOR THIS PROGRAM	NO DATA	NO DATA	+	0	0	NO DATA	NO DATA	+	0	0		

**VARIANCE REPORT NARRATIVE
FY 2011 AND FY 2012**

11 03 14 04
SUB 501

PROGRAM TITLE: COUNTY OF KAUAI

PART I - EXPENDITURES AND POSITIONS

No position and expenditure data are available for this program.

PART II - MEASURES OF EFFECTIVENESS

No measures have been developed for this program.

PART III - PROGRAM TARGET GROUPS

No measures have been developed for this program.

PART IV - PROGRAM ACTIVITIES

No measures have been developed for this program.