

Report on Non-General Fund Information
for Submittal to the 2013 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-102
 Name of Fund: State Broadband Data and Development Grant Program
 Legal Authority: non-appropriated 1/, CFDA: 11.558 American Recovery and Reinvestment Act-SBDD-Hawaii Department of Commerce Affairs (Award no. 15-50-M09057)

Contact Name: Cathy Takase
 Phone: 586-2620
 Fund type (MOF): Federal Stimulus Funds (V)
 Appropriation Acct. No. S-XX-201-R

Intended Purpose:

The grant is to develop an on-line database that can be used by consumers to identify the availability, speed, and location of broadband services throughout Hawaii.

Source of Revenues:

Federal grant from the U.S. Department of Commerce

Current Program Activities/Allowable Expenses:

The initial grant includes \$1,449,940 for broadband data collection and mapping activities over a two-year period and \$500,000 for broadband planning activities. The overall award period is January 1, 2010 through December 31, 2011 for broadband mapping activities and January 1, 2010 through December 31, 2014 for broadband planning activities, if any.

Subsequently, additional federal funding in the amount of \$2,400,000 was awarded on September 28, 2010 to complete the project to map broadband availability and engage in broadband planning activities for five years.

Purpose of Proposed Ceiling Increase (if applicable):

Not applicable.

Encumbrances:

Not applicable.

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	73	130	0	0	0
Revenues	0	1,440,387	830,409	879,143	800,000	400,000	0
Expenditures	0	1,440,314	830,352	879,273	800,000	400,000	0
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	73	130	0	0	0	0
Encumbrances		0	0				
Unencumbered Cash Balance	0	73	130	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Governor's approval to apply and expend federal grant (federal stimulus funds) were secured on September 17, 2010 and October 18, 2010 .

Report on Non-General Fund Information
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Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-102
 Name of Fund: Compliance Resolution Fund - Cable Television
 Legal Authority: Chapter 440G, HRS; Act 199, SLH 2010

Contact Name: Jodee Saiki
 Phone: 587-6758
 Fund type (MOF) Special Funds (B)
 Appropriation Acct. No. S-XX-302-R; S-11-311-R

Intended Purpose:

To foster the development of responsive and reliable cable communications services for the people of Hawaii, by promoting the public interest in authorizations by the State regarding cable franchises; regulate basic cable rates and service to ensure compliance with applicable State and Federal law; expand and operate the statewide Institutional Network; and continue the availability of public, education and government cable access. Additional responsibilities due to Act 199 tasked CATV to implement activities to create ubiquitous access to high speed broadband at affordable prices throughout the State.

Source of Revenues:

Franchise Fees (HAR 16-132) paid by cable tv operators.

Current Program Activities/Allowable Expenses:

See attached

Purpose of Proposed Ceiling Increase (if applicable):

Not applicable.

Encumbrances:

Include claims outstanding for travel-related expenses, telephone charges, equipment rental; contracts outstanding for Hawaii Broadband Initiative support, specialized legal counsel and CPA services; and retro salary adjustment (Favored Nations agreement) as of June 30, 2012.

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling 1/	1,286,537	1,753,288	1,786,537	2,110,809	2,091,537	2,091,537	2,091,537
Beginning Cash Balance	1,781,874	2,271,178	3,170,379	3,336,867	2,484,899	1,923,950	1,358,762
Revenues 2/	1,455,615	1,926,773	1,975,771	1,914,000	1,914,000	1,914,000	1,914,000
Expenditures 3/	771,907	759,572	1,525,105	2,384,191	2,091,537	2,091,537	2,091,537
Transfers							
List each by JV# and date							
JS0857 8/22/2011			(71,045)				
JS2052 10/24/2011			(71,045)				
JS3375 1/19/2012			(71,045)				
JS4797 4/17/2012			(71,043)				
Net Total Transfers	(194,404)	(268,000)	(284,178)	(381,777)	(383,412)	(387,651)	(387,651)
Ending Cash Balance	2,271,178	3,170,379	3,336,867	2,484,899	1,923,950	1,358,762	793,574
Encumbrances	203,825	254,492	273,382				
Unencumbered Cash Balance	2,067,353	2,915,887	3,063,485	2,484,899	1,923,950	1,358,762	793,574

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Appropriation ceiling:
 - FY 11 includes \$500,000 appropriated in Act 199, SLH 2010
 - FY 13 reflects labor savings reduction

2/ Revenue projections based on Rev Est to B&F August 2012.
 3/ Expenditures for FY 11 include \$24,084 to the general fund pursuant to Act 124/11.

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Current Program Activities/Allowable Expenses:

- Issues and enforces franchises for cable communications services.

- Promulgates and enforces rules and regulations governing the practices, procedures, quality and quantity of services including rates, and customer and technical operations of cable communications companies.

- Facilitates and encourages the development of new, improved and more effective utilization of cable communications services, equipment, and facilities including the State's Institutional Network (INET), and public, educational, and government (PEG) access resources and facilities, which will enhance communications services available to Hawaii's citizens.

- Support public and private efforts to enhance or facilitate deployment; making recommendations to establish affordable, accessible broadband services to unserved and underserved areas; increase usage and demand by facilitating deployment of expanded applications such as telework, telemedicine, e-learning; and assist in implementing recommendations in the Hawaii Broadband Task Force Report of December 2008, and the goals of the Governor's Hawaii Broadband Initiative of August 2011.

Report on Non-General Fund Information
for Submittal to the 2013 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-102
 Name of Fund: Funds held outside the State Treasury by Time Warner
 Legal Authority Decision & Order No. 346, Section IV.I.4

Contact Name: Glen Chock
 Phone: 586-2620
 Fund type (MOF) Special Funds (B)
 Appropriation Acct. No. N/A

Intended Purpose:

Franchise fees over the cap amount that is paid by TWE to Olelo are provided to DCCA for INET/Broadband purposes.

Source of Revenues:

Franchise fees (HAR 16-132) paid by cable tv operators.

Current Program Activities/Allowable Expenses:

Expenses related to expansion and upgrade of the Institutional Network ("INET"); and any other expenses/activities relating to broadband.

Purpose of Proposed Ceiling Increase (if applicable):

\$2M in funding to be used to advance the governor's Hawaii Broadband Initiative to aid in Broadband adoption by County and State government

Encumbrances:

Not applicable.

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		4,000,000			2,000,000		0
Beginning Cash Balance	2,965,901	4,911,801	2,754,912	4,619,445	6,419,445	6,219,445	8,019,445
Revenues	1,945,900	1,843,111	1,864,533	1,800,000	1,800,000	1,800,000	1,800,000
Expenditures		4,000,000		0	2,000,000	0	0
Transfers							
List each by JV# and date			0				
Net Total Transfers			0				
Ending Cash Balance	4,911,801	2,754,912	4,619,445	6,419,445	6,219,445	8,019,445	9,819,445
Encumbrances							
Unencumbered Cash Balance	4,911,801	2,754,912	4,619,445	6,419,445	6,219,445	8,019,445	9,819,445

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
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Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-103
 Name of Fund: CRF-PUC Spcl Fnd Allocation-Consumer Advocacy
 Legal Authority: Section 269-33, HRS

Contact Name: Jodee Saiki
 Phone: 587-6758
 Fund type (MOF): Special Funds (B)
 Appropriation Acct. No. S-XX-303-R, S-11-308-R

Intended Purpose:

To fund the operations of the Division of Consumer Advocacy.

Source of Revenues:

Public utility fees paid to the public utilities commission pursuant to HRS §269-30, and placed in the public utilities commission special fund. Pursuant to HRS §269-33, on a quarterly basis, an amount is allocated in accordance with legislative appropriations to the DCA and deposited in the compliance resolution fund.

Current Program Activities/Allowable Expenses:

To represent, advance and protect the interests of consumers of regulated utility and transportation services. The division is a party to every proceeding before the Hawaii Public Utilities Commission. The division participates in, among other things, reviews of utility and transportation companies' requests to increase rates, service reliability investigations, energy utility integrated resource plans, and capital improvement projects for utilities. In addition, the division strives to promote effective competition and consumer protection through contested cases and rule making proceedings regarding telecommunications and electric power infrastructure development.

Purpose of Proposed Ceiling Increase (if applicable):

Increase ceiling for fringe benefits (\$27,909)

Encumbrances:

Include claims outstanding for travel-related expenses, telephone charges, equipment rental; contracts outstanding for personal services relating to the Hawaii Public Utilities Commission, and expert witness for litigation; and retro salary adjustment (Favored Nations agreement) as of June 30, 2012.

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling 1/	2,169,331	2,721,882	2,701,465	2,938,787	3,031,508	3,031,508	3,031,508
Beginning Cash Balance	3,789,782	3,572,073	3,395,361	4,275,160	2,776,811	2,217,580	1,652,205
Revenues 2/	2,229,529	2,422,960	3,024,837	3,028,599	3,028,000	3,028,000	3,028,000
Expenditures 3/	2,171,137	2,280,528	1,718,681	3,990,236	3,031,508	3,031,508	3,031,508
Transfers							
List each by JV# and date							
JS0857 8/22/2011			(106,589)				
JS2052 10/24/2011			(106,589)				
JS3375 1/19/2012			(106,589)				
JS4797 4/17/2012			(106,590)				
Net Total Transfers	(276,101)	(319,144)	(426,357)	(536,712)	(555,723)	(561,867)	(561,867)
Ending Cash Balance	3,572,073	3,395,361	4,275,160	2,776,811	2,217,580	1,652,205	1,086,830
Encumbrances	838,021	770,120	1,051,449				
Unencumbered Cash Balance	2,734,052	2,625,241	3,223,711	2,776,811	2,217,580	1,652,205	1,086,830

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Includes specific appropriation: Act 130, SLH 2010 appropriated \$634,000 in FY11. Appropriation ceiling for FY 13 reflects labor savings reduction; for FY 14 and outyears, reflect departmental FB 2013-2015 budget request submitted to B&F for executive decision

2/ Revenue projections based on Rev Est to B&F Dec 2012.

3/ Expenditures for FY11 include \$551,480 to the general fund pursuant to Act 124/11.

Report on Non-General Fund Information
for Submittal to the 2013 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-104
 Name of Fund: CRF- Financial Institutions
 Legal Authority: Sections 412:2-109, 449-14, 489D-12.5, 454F-18, 454F-23, 454M-11, and 26-9(o), HRS

Contact Name: Jodee Saiki
 Phone: 587-6758
 Fund type (MOF) Special Funds (B)
 Appropriation Acct. No. S-XX-320-R, S-XX-326-R

Intended Purpose:

To allow the Commissioner to appoint financial institutions examiners to examine the affairs of financial institutions, to appoint any necessary administrative support personnel, and to pay for any expenses incurred during examinations or necessary for the education and training of personnel and other expenses related to examinations and administrative costs as well as to support the entire program of the Division of Financial Institutions (DFI).

Source of Revenues:

Transfer of \$2 million from taxes paid by banks and other financial corporations (HRS 241-7); and application, examination, licensing, and other fees and fines paid by financial institutions, escrow depositories, money transmitters, mortgage loan originators, mortgage loan originator companies, and mortgage servicers.

Current Program Activities/Allowable Expenses:

Ensures the safety and soundness of state-chartered financial institutions by fairly administering applicable statutes and rules through a program of supervision and regulation through chartering or licensure, examination, application review, off-site monitoring, investigation, and complaint handling and is also responsible for the licensing and supervision of escrow depositories, money transmitters, mortgage loan originators, mortgage loan originator companies, and mortgage servicers.

Purpose of Proposed Ceiling Increase (if applicable): Not Applicable.

Encumbrances:

Include claims outstanding for training expenses, telephone charges, software renewal and hardware upgrades, and pCard expenditures from 6/14-6/30/12; and retro salary adjustment (Favored Nations agreement) as of June 30, 2012.

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling 1/	3,123,039	3,686,507	3,387,006	3,277,594	3,384,920	3,384,920	3,384,920
Beginning Cash Balance	3,950,093	4,213,855	4,637,308	5,043,246	4,265,971	3,450,942	2,629,452
Revenues 2/	2,822,798	3,429,771	3,348,969	3,230,700	3,190,400	3,190,800	3,170,000
Expenditures 3/	2,158,001	2,456,202	2,414,663	3,403,125	3,384,920	3,384,920	3,384,920
Transfers							
List each by JV# and date							
JS0857 8/22/2011			(132,092)				
JS2052 10/24/2011			(132,092)				
JS3375 1/19/2012			(132,092)				
JS4797 4/17/2012			(132,092)				
Net Total Transfers	(401,035)	(550,116)	(528,368)	(604,850)	(620,509)	(627,370)	(627,370)
Ending Cash Balance	4,213,855	4,637,308	5,043,246	4,265,971	3,450,942	2,629,452	1,787,162
Encumbrances	47,315	84,668	125,531				
Unencumbered Cash Balance	4,166,540	4,552,640	4,917,715	4,265,971	3,450,942	2,629,452	1,787,162

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Includes specific appropriations: (1) Act 32, 1st Sp SLH 2009 appropriated \$159,000 for FY10, (2) Act 84, SLH 2010 appropriates \$894,077 for FY11.

Appropriation ceiling for FY 13 reflects labor savings reduction.

2/ Revenue projections based on Rev Est to B&F August 2012.

3/ Expenditures for FY2011 includes \$37,941 to the general fund pursuant to Act 124, SLH 2011.

Report on Non-General Fund Information
for Submittal to the 2013 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-104
 Name of Fund: Mortgage Loan Recovery Fund
 Legal Authority: Section 454F-41, HRS

Contact Name: Jodee Saiki
 Phone: 587-6758
 Fund type (MOF) Trust Funds (T)
 Appropriation Acct. No. T-XX-926-R

Intended Purpose:

To allow consumers harmed by persons that violate Chapter 454F, HRS, to recover damages sustained by the fraud, misrepresentation, or deceit of such persons.

Source of Revenues:

Fees paid by mortgage loan originator companies, branch offices of mortgage loan originator companies, and mortgage loan originators upon initial licensure and at annual renewal.

Current Program Activities/Allowable Expenses:

Licenses and regulates mortgage loan originator companies and mortgage loan originators. Mortgage Loan Recovery Fund was established to protect consumers by making it easier to recover losses caused by persons that violate Chapter 454F, HRS. Allowable expenses are the payment of claims and expenses related to the administration of the Mortgage Loan Recovery Fund.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable.

Encumbrances:

Not Applicable.

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2015
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	110,000	110,000	110,000	110,000	110,000
Beginning Cash Balance	0	0	285,450	493,750	575,050	641,750	708,450
Revenues 1/		285,450	208,300	191,300	176,700	176,700	176,000
Expenditures			0	110,000	110,000	110,000	110,000
Transfers							
List each by JV# and date							
Net Total Transfers			0				
Ending Cash Balance	0	285,450	493,750	575,050	641,750	708,450	774,450
Encumbrances							
Unencumbered Cash Balance	0	285,450	493,750	575,050	641,750	708,450	774,450

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue projections based on Rev Est to B&F, August 2012.

Report on Non-General Fund Information
for Submittal to the 2013 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA 104, CCA-110
 Name of Fund: Multi-state Enforcement and Education Fund/
 Multi-state Mortgage Servicer Agreement Fund
 Legal Authority Multi-state Mortgage Settlement Agreement,
 Multi-state Mortgage Servicer Settlement Agreements

Contact Name: Jodee Saiki
 Phone: 587-6758

Fund type (MOF) Trust Funds (T)

Appropriation Acct. No. T-13-932-R

Intended Purpose:

- Provide support of general consumer protection enforcement efforts, including but not limited to, mortgage rescue fraud, and to educate homeowners about mortgage rescue fraud scams.
- Monitor compliance with the terms of the multi-state mortgage servicer settlement agreements, supervise and regulate the mortgage loan industry, and provide consumer education.

Source of Revenues:

- Part of a grant from the Dept. of the Attorney General awarded to the DCCA out of Hawaii's share of the National Mortgage Settlement.
- Funds paid by the five mortgage servicers as required by the terms of the multi-state mortgage servicer settlement agreements.

Current Program Activities/Allowable Expenses:

- The fund permits the Office of Consumer Protection to hire additional legal, investigative and support staff to support ongoing enforcement actions, investigations and outreach programs, as well as related operating expenses.
- Licenses, supervises and regulates mortgage loan originators, mortgage loan originator companies and mortgage servicers. Funds will be used to hire staff to conduct investigations, examine for compliance with applicable laws and rules, and to provide consumer education and outreach. Funds will also be used for related expenses including training of staff.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable.

Encumbrances:

None.

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				0	0	0	0
Beginning Cash Balance		0	0	0	1,592,210	644,710	0
Revenues 1/				2,250,000	371,000	379,000	0
Expenditures				657,790	1,318,500	1,023,710	0
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0				
Ending Cash Balance	0	0	0	1,592,210	644,710	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	1,592,210	644,710	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
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Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-105
 Name of Fund: Compliance Resolution Fund - PVL
 Legal Authority: Section 26-9, HRS

Contact Name: Jodee Saiki
 Phone: 587-6758
 Fund type (MOF): Special Funds (B)
 Appropriation Acct. No. S-XX-305-R, SXX-324-R

Intended Purpose:

To fund the operations of the Professional and Vocational Licensing Division.

Source of Revenues:

Application, license, and renewal fees.

Current Program Activities/Allowable Expenses:

For 48 regulated areas - process applications for licensure, examine applicants, license, renew, update licensee files, provide information and guidance to the public, applicants and licensees regarding regulatory areas orally and in writing, assist with or adopt or amend rules, propose and react to legislative measures, liaison between 25 regulatory boards and DCCA, conduct public meetings, public hearings, produce minutes, facilitate decision-making by the boards in ordering disciplinary action against a licensee and granting, denying or otherwise conditioning license applications, network with outside entities for coordinated activities and keeping abreast of current trends, network with other State agencies for compliance (legislative auditor, ethics commission, procurement office).

Purpose of Proposed Ceiling Increase (if applicable):

\$40,000 ceiling increase for other current expenditures for the implementation of Act 198, SLH 2012; appropriation of funds for the new registration and regulation of Athletic

Encumbrances:

Include claims outstanding for travel-related expenses, utility charges, repairs/maintenance, and IT equipment purchases; contract balances for a document scanning project; and retro salary adjustment (Favored Nations agreement) as of June 30, 2012.

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling 1/	5,500,195	5,180,504	5,573,217	5,847,701	6,040,488	6,040,488	6,040,488
Beginning Cash Balance	6,123,833	5,617,423	5,085,645	5,569,732	3,694,210	2,651,575	1,605,527
Revenues 2/	4,542,230	4,426,157	5,680,330	5,366,740	6,105,170	6,114,000	5,897,000
Expenditures 4/	4,292,723	4,167,480	4,325,831	6,170,037	6,040,488	6,040,488	6,040,488
Transfers							
List each by JV# and date							
JS0857 8/22/2011			(217,603)				
JS2052 10/24/2011			(217,603)				
JS3375 1/19/2012			(217,603)				
JS4797 4/17/2012			(217,603)				
Net Total Transfers	(755,917)	(790,455)	(870,412)	(1,072,225)	(1,107,317)	(1,119,560)	(1,119,560)
Ending Cash Balance	5,617,423	5,085,645	5,569,732	3,694,210	2,651,575	1,605,527	342,479
Encumbrances	95,916	107,535	322,336				
Unencumbered Cash Balance	5,521,507	4,978,110	5,247,396	3,694,210	2,651,575	1,605,527	342,479

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Includes specific appropriations: (1) Act 18, SpSLH 2009 appropriated \$5,000 for FY10 for statewide physician workforce assessment and planning.

Appropriation ceiling for FY 13 reflects labor savings reduction.

2/ Revenue projections based on Rev Est to B&F August 2012.

3/ Expenditures for FY2011 include \$17,577 to the general fund pursuant to Act 124, SLH 2011.

Report on Non-General Fund Information
for Submittal to the 2013 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-105
 Name of Fund: Real Estate Recovery Fund
 Legal Authority: Sections 467-16-19, 21-24, HRS

Contact Name: Jodee Saiki
 Phone: 587-6758
 Fund type (MOF): Trust Funds (T)
 Appropriation Acct. No.: T-XX-904-R

Intended Purpose:

As stated in §467-16(a), HRS, the Real Estate Recovery Trust Fund is intended to provide recovery to persons "aggrieved by an act, representation, transaction, or conduct of a duly licensed real estate broker, or real estate salesperson, upon the grounds of fraud, misrepresentation, or deceit". The statute establishes limits of \$25,000 per transaction and \$50,000 per licensee.

Source of Revenues:

Original real estate license fees.

Current Program Activities/Allowable Expenses:

See attached.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Encumbrances:

Claims outstanding for equipment, and retro salary adjustment (Favored Nations agreement) as of June 30, 2012.

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling 1/	502,310	502,310	502,310	500,773	502,310	502,310	502,310
Beginning Cash Balance	842,808	846,256	766,462	757,199	304,889	274,029	243,169
Revenues 2/	53,315	52,005	51,650	50,000	50,000	50,000	50,000
Expenditures	49,867	131,799	60,913	502,310	80,860	80,860	80,860
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0				
Ending Cash Balance	846,256	766,462	757,199	304,889	274,029	243,169	212,309
Encumbrances	0	0	2,733				
Unencumbered Cash Balance	846,256	766,462	754,466	304,889	274,029	243,169	212,309

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Appropriation ceiling for FY 13 reflects labor savings reduction.

2/ Revenue projections based on Rev Est to B&F August 2012.

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Current Program Activities/Allowable Expenses:

- Defend and administer claims through contracted attorneys who represent the Commission in actions for claims, including administering contracts and payment for services.
- Provide information, advice, and referral on all inquiries concerning the Real Estate Recovery Trust Fund and claims.
- Receive, process, and act on complaint notices, court filed motions, subpoenas, settlement claims, and court ordered claims including record keeping and monitoring the complaints through the courts.
- Arrange and assist in presentation of settlement claims, court ordered claims, and other issues to the Commission at its monthly scheduled meetings or emergency meetings.
- Administer budget, including development and approval of biennium budget, record keeping, any CPA audit, investment of funds, development of statistical and other periodic reports to licensees, Budget and Finance, Governor, and the Legislature.
- Study and develop case summaries for educational purposes.
- Initiate and develop collection program, continue to administer the State Tax Intercept Program with the Department of Taxation and DAGS.
- Research, develop, print, and distribute a simple plain language brochure for claimants.
- Provide funding for clerical position, who services the program.

Report on Non-General Fund Information
for Submittal to the 2013 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-105
 Name of Fund: Real Estate Education Fund
 Legal Authority: Sections 467-11-19, HRS

Contact Name: Jodee Saiki
 Phone: 587-6758
 Fund type (MOF): Trust Funds (T)
 Appropriation Acct. No. T-XX-905-R

Intended Purpose: See attached.

Source of Revenues:

Original licensing and biennial renewals fees. Registration of schools, providers, courses and instructors. Interest due from the real estate recovery fund.

Current Program Activities/Allowable Expenses: See attached.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable.

Encumbrances:

Include claims outstanding for travel-related expenses, dues, equipment purchase, printing services, and professional services (course development); and retro salary adjustment (Favored Nations agreement) as of June 30, 2012.

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling 1/	689,052	665,162	689,052	676,183	689,052	689,052	689,052
Beginning Cash Balance 2/	1,554,757	1,259,598	1,003,366	668,918	547,735	291,585	463,435
Revenues	70,136	105,504	72,948	555,000	122,000	550,000	122,000
Expenditures	365,295	361,736	407,396	676,183	378,150	378,150	378,150
Transfers							
List each by JV# and date			0				
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,259,598	1,003,366	668,918	547,735	291,585	463,435	207,285
Encumbrances	21,222	14,012	34,358				
Unencumbered Cash Balance	1,238,376	989,354	634,560	547,735	291,585	463,435	207,285

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Appropriation ceiling for FY 13 reflects labor savings reduction.

2/ Revenue projections based on Rev Est to B&F August 2012.

Report on Non-General Fund Information
for Submittal to the 2013 Legislature

DCCA Non-General Fund Information - Real Estate Education Fund
Page 2

Intended Purpose:

As stated in §467-19(b), HRS, the Real Estate Education Trust Fund is intended to "promote the advancement of education and research in the field of real estate for the benefit of the public and those licensed under the provisions of this chapter and the improvement and more efficient administration of the real estate industry."

Current Program Activities/Allowable Expenses:

Funding of Exempt Positions

- Provide funding for Real Estate Specialists and clerical positions, who administer the programs below.

Information, Advice and Referral

- Respond to inquiries from the public, consumers, real estate licensees, government officials, attorneys, educators, and others via telephone, office walk-ins, written inquiries and applications, and website, providing information, advice and referral.
- Administer real estate website.
- Administer and provide information as required under the Uniform Information Practices Act.
- Administer and maintain a public information self-help area in the office for walk-in traffic, which includes public information documents, educational materials, and reference materials for public review.
- Arrange and conduct Real Estate Specialists of the Day at neighbor island sites.

Educational and Informational Materials

- Research, develop, publish and distribute the quarterly real estate bulletin to all real estate licensees, government officials, State Libraries, and others in related areas.
- Printing and distribution of Chapter 467, HRS, as amended to all principal brokers and brokers-in-charge.
- School Files, a quarterly bulletin to real estate prelicense schools, prelicense and continuing education instructors and continuing education providers which provides current information on instruction, education, curriculum, testing, application fees and procedures.
- Various publications and informational sheets for consumers and real estate licensees.
- Research, develop and submit articles for the media.
- Research and report on alternative delivery of educational materials.
- Develop and maintain website on the internet for dissemination of information, publications, forms, agendas, etc. to licensees and the general public.
- Distribute new salesperson and broker start-up kits, consisting of information and educational materials.

Administer Education Review Committee, Laws and Rules Review Committee and Real Estate Commission

- Hold monthly meetings for the two standing committees and the Commission with some meetings at neighbor island sites.
- Administration of the committee meetings, including agenda, distribution materials, room and equipment arrangements, oral testifiers, minutes, committee reports, post-meeting responsibilities, etc.
- Conduct and administer periodic symposiums.
- Administration of Education Evaluation Task Force Subcommittee.

Research, develop or update curriculum and instructional materials for courses and administer courses

- Continuing education core course.
- Prelicensing real estate broker course.
- Prelicensing real estate salesperson course.

Research, develop and conduct seminars, workshops and meetings

- Instructor development workshops, course briefings and other meetings for real estate educators.
- Real estate seminars for real estate licensees.

**Report on Non-General Fund Information
for Submittal to the 2013 Legislature**

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-105
 Name of Fund: Condominium Education Trust Fund
 Legal Authority Sections 514A-40(c), 131, 132, 133, HRS

Contact Name: Jodee Saiki
 Phone: 587-6758
 Fund type (MOF) Trust Funds (T)
 Appropriation Acct. No. T-XX-906-R*

Intended Purpose:
See attached.

Source of Revenues:
Developers' final public report fees, association of unit owners registration and biennial re-registration fees.

Current Program Activities/Allowable Expenses:
See attached.

Purpose of Proposed Ceiling Increase (if applicable):
Not Applicable

Encumbrances:
Include claims outstanding for professional services (mediation) and equipment; and retro salary adjustment (Favored Nations agreement) as of June 30, 2012.

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling 1/	640,981	640,981	640,981	627,542	640,981	640,981	640,981
Beginning Cash Balance	0	505,701	682,914	424,581	331,039	75,939	249,839
Revenues 2/	72,678	543,111	88,790	534,000	105,000	534,000	105,000
Expenditures	366,289	366,698	347,123	627,542	360,100	360,100	360,100
Transfers							
List each by JV# and date							
			0				
Net Total Transfers	799,312	800	0	0	0	0	0
Ending Cash Balance	505,701	682,914	424,581	331,039	75,939	249,839	(5,261)
Encumbrances	15,389	19,906	26,898				
Unencumbered Cash Balance	490,312	663,008	397,683	331,039	75,939	249,839	(5,261)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

* Act 129, SLH 2009 merged the condominium management education fund (CMEF) into the condominium education trust fund (CETF) by repealing the CMEF, transferring all unexpended & unencumbered balances remaining in the CMEF to the credit of the CETF.

1/ Appropriation ceiling for FY 13 reflects labor savings reduction.
 2/ Revenue Projections based on Rev Est to B&F August 2012

**Report on Non-General Fund Information
for Submittal to the 2013 Legislature**

DCCA Non-General Fund Information- Condominium Education Trust Fund

Page 2

Intended Purpose:

514B-71, HRS, the Condominium Education Trust Fund is intended to finance or promote: "(1) Education and research in the field of condominium management, condominium

Current Program Activities/Allowable Expenses:

Funding of Exempt Positions

- Provide funding for Condominium Specialists and clerical positions, who service the programs below.

Information, Advice and Referral

- Respond to inquiries from the public, consumers, condominium owners, board of directors, condominium managing agents, real estate licensees, attorneys,
- Administer condominium website.
- Administer condominium reference library program through State Libraries, other venues and in-house, providing publications and information to condominium owners and the public.
- Administer and provide information, as required under the Uniform Information Practices Act.
- Administer and maintain a public information self-help area in the office for walk-in traffic, which includes public information documents, educational materials and reference materials for public review.
- Arrange and conduct Condominium Specialists of the Day at various neighbor island sites.
- Study the feasibility of finding other means of providing access to condominium public information through computer discs or compact discs, State Libraries, webpage, etc.
- Coordinate educational sessions regarding §§514A and 514B and updates.

Educational Research and Informational Materials

- Research, develop, publish and distribute quarterly condominium bulletin to registered condominium associations and condominium managing agents, government officials, State Libraries and others in related areas.
- Research, develop, publish and distribute stand-alone chapters (brochures) for the Condominium Board of Directors Guide to registered condominium associations, condominium managing agents, government officials, State Libraries and others in related areas.
- Print and distribute information and educational materials including start-up kits to new condominium associations and new condominium managing agents.
- Research, develop and submit various articles for media publication. 0
- Develop and maintain website on the internet for dissemination of information, forms, publications, etc. for developers, associations, CMA's, condominium owners, and other interested parties.
- Print and distribute amended Chapters 514A and 514B to all registered condominium associations and condominium managing agents.
- Update, print and distribute updated Condominium Board of Directors series.
- Continue with the recodification of the condominium law educational efforts.

Rulemaking

- Research, develop and initiate Chapter 107, HAR rulemaking for Chapters 514A and 514B, HRS, including public workshops with those affected.
- Research, present, and receive recommendations for amendments to Chapter 107, HAR, and discuss and receive comments at the monthly Condominium Review Committee meetings with the condominium community.
- Research, study, and make recommendations concerning fees for Chapter 53, HAR, and assist the Professional and Vocational Licensing Division in the rulemaking process.

**Report on Non-General Fund Information
for Submittal to the 2013 Legislature**

**DCCA Non-General Fund Information- Condominium Education Trust Fund
Page 3**

Mediation of Governance and Management Problems

- Administer contracts and provide funding for alternative dispute resolution through mediation, through the procured mediation providers for all islands.
- Secure mediation information and develop report to the Legislature and Governor.
- Continue to seek other mediation service vendors.
- Coordinate and administer joint complaint/mediation program with the Regulated Industries Complaints Office including funding of mediations.
- Research, development, publication, and distribution of brochure on dispute resolution alternatives.
- Where requested by the Office of Administrative Hearing, support the Condominium Dispute Resolution Program which is to be sunsetted June 30, 2009.

Arbitration of Condominium Problems

- Administer program through American Arbitration Association and other arbitration organizations.

Condominium Project Registration and Public Reports

- Administer registration of new condominium projects, review of documents, owner-occupants reservation procedures, and public reports, including records management.
- Administer consultant contracts and the review of project documents and public reports.
- Administer public review of condominium project documents and public reports, including requests for copies.
- Conduct periodic meetings and workshops with the condominium consultants, developers and attorneys.

Administer Condominium Review Committee

- Administer and conduct monthly meetings of the Condominium Review Committee, standing committee of the Commission, including meetings at various neighbor island sites.
- Administration includes agenda, distribution of materials, room and equipment arrangements, receipt of testimony, minutes, Committee Reports, post-meeting responsibilities.
- Conduct and administer periodic educational symposiums.

Administer Seminars, Workshops and Meetings

- Research, develop, procure, contract and conduct condominium seminars, workshops and special meetings with those in the condominium community.
- Administer seminar subsidy program, including contract administration.

Interactive Educational and Research Participation

- Provide Educational speakers to organizations, government entities and others.
- Participate, exchange and network with local, state, national and international organizations and other governmental entities.
- Provide briefings to Legislators, testify on legislative bills and participate in other legislative matters.
- Research and report to the Legislature annually on condominium programs, budget and mediation programs.
- Research, coordinate, and implement Recodification Plan for Chapter 514A, HRS.

Administration of Condominium Association, Condominium Managing Agent Registrations, and Condominium Hotel Operators

- Administer registration and biennial re-registration of condominium associations, condominium managing agents and condominium hotel operators, including applications, review/approval process and records management.
- Conduct periodic workshops and meetings with registrants.

Administration of Budget and Biennial Plans

- Development and approval of the Condominium Education Trust Fund budget.
- Administration of budget, investment of funds and any CPA audits.
- Research, development and submission of information and reports required by the Department, Budget and Finance, Governor and the Legislature.
- Research, development, approval and administration of the Biennial Education and Research Plan.

Report on Non-General Fund Information
for Submittal to the 2013 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-105
 Name of Fund: Contractors' Recovery Fund
 Legal Authority: Section 444-26, HRS

Contact Name: Jodee Saiki
 Phone: 587-6758
 Fund type (MOF): Trust Funds (T)
 Appropriation Acct. No. T-XX-908-R

Intended Purpose:

Provide fund from which a person injured by an act, representation, transaction, or conduct of a duly licensed contractor may recover by an order of the court, an amount of not more than \$12,500 per contract and \$25,000 per contractor.

Source of Revenues:

All new contracting entity licensees (firms/sole proprietors) shall pay \$150 into the fund upon initial licensure and \$10 upon each license renewal. At any time the fund balance falls below \$250,000 the Contractors License Board may assess every contractor a fee not to exceed \$500 annually for deposit into the fund.

Current Program Activities/Allowable Expenses:

Allowable expenses include payments to eligible consumers who have obtained an arbitrated award or court judgment against a contractor, and payment of attorney - consultant fees.

Purpose of Proposed Ceiling Increase (if applicable):

Not applicable.

Encumbrances:

Not applicable.

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	200,000	200,000	200,000	200,000	200,000	200,000	200,000
Beginning Cash Balance	827,468	802,800	845,777	753,774	753,774	653,774	653,774
Revenues 1/	88,450	156,300	78,890	200,000	100,000	200,000	100,000
Expenditures	113,118	113,323	170,893	200,000	200,000	200,000	200,000
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	802,800	845,777	753,774	753,774	653,774	653,774	553,774
Encumbrances							
Unencumbered Cash Balance	802,800	845,777	753,774	753,774	653,774	653,774	553,774

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue Projections based on Rev Est to B&F August 2012.

Report on Non-General Fund Information
for Submittal to the 2013 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-105
 Name of Fund: Contractors' Education Fund
 Legal Authority Section 444-29, HRS

Contact Name: Jodee Saiki
 Phone: 587-6758
 Fund type (MOF) Trust Funds (T)
 Appropriation Acct. No. T-XX-909-R

Intended Purpose:

To be used for consumers, licensees, Contractors License Board members and staff; to be used for publications, media exposure, participation in national association meetings, classes and other educational purpose the Board deems necessary.

Source of Revenues:

The interest from the investments for the contractors' recovery fund shall be deposited to the credit of the contractors' education fund. In addition, all new contracting entity licensees (firms/sole proprietors) shall pay \$10 into the fund upon initial licensure and \$5 upon each license renewal.

Current Program Activities/Allowable Expenses:

Funded activities include: National Association dues; attendance by Executive Officer and Board members at national association meetings; registration fees for attendance at national meetings, travel expense for neighbor island Board members to attend special Board meetings on Oahu; publication of educational materials; and overtime pay for clerical staff as needed.

Purpose of Proposed Ceiling Increase (if applicable):

Ceiling increase for consumer education activities (FY14: \$40,000)

Encumbrances:

Not Applicable

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	16,968	16,968	16,968	16,968	56,968	16,968	16,968
Beginning Cash Balance	363,151	374,307	425,263	431,700	494,732	487,764	550,796
Revenues 1/	20,112	58,024	12,190	80,000	50,000	80,000	50,000
Expenditures	8,956	7,068	5,753	16,968	56,968	16,968	16,968
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	374,307	425,263	431,700	494,732	487,764	550,796	583,828
Encumbrances	0	0					
Unencumbered Cash Balance	374,307	425,263	431,700	494,732	487,764	550,796	583,828

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue Projections based on Rev Est to B&F August 2012.

Report on Non-General Fund Information
for Submittal to the 2013 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-105
 Name of Fund: Travel Agency Recovery Fund
 Legal Authority: Chapter 468K, HRS; Act 285, SLH 1991, repealed Act 468K (see below *)

Contact Name: Jodee Saiki
 Phone: 587-6758
 Fund type (MOF) Trust Funds (T)
 Appropriation Acct. No. T-XX-910-R

Intended Purpose:
See Attached

Source of Revenues:

When the travel agency recovery fund program was established, each licensee made a mandatory contribution which was non-refundable: \$50 for each travel agency licensee, and \$25 for each travel agency sales representative. If at any time the money deposited in the travel agency recovery fund was insufficient to satisfy any duly authorized claim or portion thereof, the licensees could be charged an additional fee to return the travel agency recovery fund to an appropriate amount needed to satisfy any and all claims.

Current Program Activities/Allowable Expenses:
Not Applicable

Purpose of Proposed Ceiling Increase (if applicable):
Not applicable.

Encumbrances:
Not applicable.

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	22	22	22	0	0	0	0
Revenues							
Expenditures 1/				0	0	0	0
Transfers							
List each by JV# and date							
JM 0048 7/14/2011			(22)				
Net Total Transfers	0	0	(22)	0	0	0	0
Ending Cash Balance	22	22	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	22	22	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

*Act 285, SLH 1991, repealed Chapter 468K; however, moneys remaining in the recovery fund were held for disbursement to claimants until the fund is exhausted.

1/ Pursuant to Act 124, SLH 2011 funds were transferred to the General Fund.

Report on Non-General Fund Information
for Submittal to the 2013 Legislature

DCCA Non-General Fund Information - Travel Agency Recovery Fund
Page 2

Intended Purpose:

§468K-3 Travel Agency Recovery Fund; use of funds; fees. There shall be established and maintained a Travel Agency Recovery Fund from which any person aggrieved by an act, omission of material fact, representation, transaction, or conduct of a licensee, that is in violation of this chapter or its adopted rules, or which constitutes an unfair or deceptive act or practice in violation of section 480-2, may recover, by order of a court of competent jurisdiction within the State, an amount of not more than \$8,000 for damages sustained as a result of the act, representation, transaction, or conduct of each licensee. Recovery from the fund shall be limited to the actual damages suffered by the claimant, including court costs and fees as set by law, and reasonable attorneys' fees as determined by the court.

Current Program Activities/Allowable Expenses:

Act 285, SLH 1991, limited access to the fund to claims arising from travel services purchased prior to October 1, 1991. All funds remaining in the travel agency recovery fund after the resolution of all civil proceedings commenced prior to October 1, 1993, if any, shall become part of the compliance resolution fund for use in travel agency-related cases. As there have been no disbursements from the fund for some time, we are awaiting official notification from our attorney for the Travel Agency Recovery fund that there are no active cases that may result in payment from the recovery fund. With such confirmation we will proceed to implement other provisions of the Act.

Report on Non-General Fund Information
for Submittal to the 2013 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-105
 Name of Fund: Travel Agency Education Fund
 Legal Authority Chapter 468K, HRS; Act 285, SLH 1991 released Act 268K,
Act 41, SLH 1994

Contact Name: Jodee Saiki
 Phone: 587-6758
 Fund type (MOF) Trust Funds (T)
 Appropriation Acct. No. T-XX-911-R

Intended Purpose:

The department shall use the amounts available in the Education Fund to alert all current licensees under chapter 468K, Hawaii Revised Statutes, to the requirements of Act 396, SLH 1991 and to inform the public about the rights and remedies provided in Act 285, SLH 1991. The fund may also be used to pay for any claim or expense of the Recovery Fund in the event the Recovery Fund becomes exhausted. Any amount that remains after payment of educational expenses or for recovery fund claims and expenses shall become part of the Compliance Resolution Fund for use in travel agency related cases.

Source of Revenues:

The Travel Agency Education Fund will generate its funds from any funds remaining in the Travel Agency Recovery Fund after all claims have been settled.

Current Program Activities/Allowable Expenses:

Pursuant to Act 124, SLH 2011, Section # 2: (1) All moneys in the travel agency recovery fund and the travel agency education fund, as of June 30, 2011, shall be transferred to the general fund of the State of Hawaii; and (2) The travel agency recovery fund and the travel agency education fund shall cease to exist.

Purpose of Proposed Ceiling Increase (if applicable):

Not applicable (FB 2011-13 Supplemental budget request was submitted to reflect the termination of the fund pursuant to Act 124, SLH 2011).

Encumbrances:

Not applicable.

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	7,000	7,000	7,000	0	0	0	0
Beginning Cash Balance	21,449	21,449	21,449	0	0	0	0
Revenues							
Expenditures				0	0	0	0
Transfers							
List each by JV# and date							
JM0048 7/14/2011			(21,449)				
Net Total Transfers 1/	0		(21,449)				
Ending Cash Balance	21,449	21,449	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	21,449	21,449	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Act 124, SLH 2011 specifies that all cash in the travel agency education fund as of 6/30/11 shall be transferred to the General Fund.

Report on Non-General Fund Information
for Submittal to the 2013 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-105
 Name of Fund: Condominium Management Education Fund
 Legal Authority: Sections 514A-40(c), 131, 132, 133, HRS

Contact Name: Jodee Saiki
 Phone: 587-6758
 Fund type (MOF): Trust Funds (T)
 Appropriation Acct. No.: T-XX-918-R

Intended Purpose:
See attached.

Source of Revenues:
Developers' final public report fees, association of apartment owners registration and biennial re-registration fees.

Current Program Activities/Allowable Expenses:
See attached.

Purpose of Proposed Ceiling Increase (if applicable):
Not Applicable

Encumbrances:
Not Applicable

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	808,330	800	0	0	0	0	0
Revenues	0						
Expenditures	8,217			0	0	0	0
Transfers							
List each by JV# and date							
Net Total Transfers	(799,313)	(800)	0	0	0	0	0
Ending Cash Balance	800	0	0	0	0	0	0
Encumbrances	0	0					
Unencumbered Cash Balance	800	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2013 Legislature

DCCA Non-General Fund Information - Condominium Management Education Fund
Page 2

ACT 129 , SLH 2009 – Merges the condominium management education fund (CMEF) into the condominium education trust fund (CETF) by repealing the CMEF, transferring all unexpended & uncumbered balances remaining in the CMEF to the credit of the CETF.

Intended Purpose:

As stated in §§514A-131 514B-71, HRS, the Condominium Management Education Trust Fund is intended to finance or promote: "(1) Education and research in the field of condominium management, condominium registration, and real estate for the benefit of the public and those required to be registered under this chapter; (2) The improvement and more efficient administration of condominium associations; and (3) Expedient and inexpensive procedures for resolving condominium association disputes."

Current Program Activities/Allowable Expenses:

Funding of Exempt Positions

Provide funding for Condominium Specialists and clerical positions, who service the programs below.

Information, Advice and Referral

Respond to inquiries from the public, consumers, condominium owners, board of directors, condominium managing agents, real estate licensees, attorneys, educators, government officials and others via telephone, office walk-ins, seminars, speakerships written inquiries and applications and the website relating to §§514B and 514A.

Administer condominium website.

Administer condominium reference library program through State Libraries, other venues and in-house, providing publications and information to condominium owners and the public.

Administer and provide information, as required under the Uniform Information Practices Act.

Administer and maintain a public information self-help area in the office for walk-in traffic, which includes public information documents, educational materials and reference materials for public review.

Arrange and conduct Condominium Specialists of the Day at various neighbor island sites.

Study the feasibility of finding other means of providing access to condominium public information through computer discs or compact discs, State Libraries, webpage, etc.

Coordinate educational sessions regarding §§514A and 514B and updates.

Educational Research and Informational Materials

Research, develop, publish and distribute quarterly condominium bulletin to registered condominium associations and condominium managing agents, government officials, State Libraries and others in related areas.

Research, develop, publish and distribute stand-alone chapters (brochures) for the Condominium Board of Directors Guide to registered condominium associations, condominium managing agents, government officials, State Libraries and others in related areas.

Print and distribute information and educational materials including start-up kits to new condominium associations and new condominium managing agents.

Research, develop and submit various articles for media publication.

Develop and maintain website on the internet for dissemination of information, forms, publications, etc. for developers, associations,

Print and distribute amended Chapters 514A and 514B to all registered condominium associations and condominium managing agents.

Update, print and distribute updated Condominium Board of Directors series.

Continue with the recodification of the condominium law educational efforts.

Report on Non-General Fund Information
for Submittal to the 2013 Legislature

DCCA Non-General Fund Information - Condominium Management Education Fund
Page 3

Rulemaking

Research, develop and initiate Chapter 107, HAR rulemaking for Chapters 514A and 514B, HRS, including public workshops with those affected.

Research, present, and receive recommendations for amendments to Chapter 107, HAR, and discuss and receive comments at the monthly Condominium Review Committee meetings with the condominium community.

Research, study, and make recommendations concerning fees for Chapter 53, HAR, and assist the Professional and Vocational Licensing Division in the rulemaking process.

Mediation of Governance and Management Problems

Administer contracts and provide funding for alternative dispute resolution through mediation, through the procured mediation providers for all islands.

Secure mediation information and develop report to the Legislature and Governor.

Continue to seek other mediation service vendors.

Coordinate and administer joint complaint/mediation program with the Regulated Industries Complaints Office including funding of mediations.

Research, development, publication, and distribution of brochure on dispute resolution alternatives.

Where requested by the Office of Administrative Hearing, support the Condominium Dispute Resolution Program which is to be sunsetted June 30, 2009.

Arbitration of Condominium Problems

Administer program through American Arbitration Association and other arbitration organizations.

Condominium Project Registration and Public Reports

Administer registration of new condominium projects, review of documents, owner-occupants reservation procedures, and public reports, including records management.

Administer consultant contracts and the review of project documents and public reports.

Administer public review of condominium project documents and public reports, including requests for copies.

Conduct periodic meetings and workshops with the condominium consultants, developers and attorneys.

Administer Condominium Review Committee

Administer and conduct monthly meetings of the Condominium Review Committee, standing committee of the Commission, including meetings at various neighbor island sites.

Administration includes agenda, distribution of materials, room and equipment arrangements, receipt of testimony, minutes, Committee Reports, post-meeting responsibilities.

Conduct and administer periodic educational symposiums.

Administer Seminars, Workshops and Meetings

Research, develop, procure, contract and conduct condominium seminars, workshops and special meetings with those in the condominium community.

Administer seminar subsidy program, including contract administration.

**Report on Non-General Fund Information
for Submittal to the 2013 Legislature**

DCCA Non-General Fund Information - Condominium Management Education Fund
Page 4

Interactive Educational and Research Participation

Provide Educational speakers to organizations, government entities and others.
Participate, exchange and network with local, state, national and international organizations and other governmental entities.
Provide briefings to Legislators, testify on legislative bills and participate in other legislative matters.
Research and report to the Legislature annually on condominium programs, budget and mediation programs.
Research, coordinate, and implement Recodification Plan for Chapter 514A, HRS.

Administration of Condominium Association, Condominium Managing Agent Registrations, and Condominium Hotel Operators

Administer registration and biennial re-registration of condominium associations, condominium managing agents and condominium hotel operators, including applications, review/approval process and records management.
Conduct periodic workshops and meetings with registrants.

Administration of Budget and Biennial Plans

Development and approval of Condominium Management Education Trust Fund and Condominium Education Trust Fund budget.
Administration of budget, investment of funds and any CPA audits.
Research, development and submission of information and reports required by the Department of Budget and Finance, Governor and the Legislature.
Research, development, approval and administration of the Biennial Education and Research Plan.

Report on Non-General Fund Information
for Submittal to the 2013 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-105
 Name of Fund: Real Estate Appraisers
 Legal Authority: Chapter 466K, HRS; Title 16, Chapter 114, HAR

Contact Name: Jodee Saiki
 Phone: 587-6758
 Fund type (MOF): Trust Funds (T)
 Appropriation Acct. No.: T-XX-919-R

Intended Purpose:
See attached.

Source of Revenues:
The Federal Financial Institutions Examination Council ("FFIEC") mandates that each state collect a \$40 annual fee from each licensed and certified real estate appraiser to maintain the federal national registry. Appraisers must be on the national registry to perform appraisals in connection with federally related real estate transactions.

Current Program Activities/Allowable Expenses:
See attached.

Purpose of Proposed Ceiling Increase (if applicable):
Ceiling increase to facilitate transmission of required federal registry fees (\$50,000).
The Appraisal Subcommittee ("ASC") of the Federal Financial Institutions Council requires each state to collect an annual registry fee from each appraiser and to transmit the same to the ASC.

Encumbrances:
Not applicable

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	25,000	5,000	30,000	5,000	55,000	55,000	55,000
Beginning Cash Balance	5,525	5,425	4,950	5,450	5,450	5,450	5,450
Revenues 1/	24,750	1,825	25,600	5,000	55,000	5,000	55,000
Expenditures	24,850	2,300	25,100	5,000	55,000	5,000	55,000
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	5,425	4,950	5,450	5,450	5,450	5,450	5,450
Encumbrances	150	0					
Unencumbered Cash Balance	5,275	4,950	5,450	5,450	5,450	5,450	5,450

Additional Information:							
Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue Projections based on Rev Est to B&F August 2012.

Report on Non-General Fund Information
for Submittal to the 2013 Legislature

DCCA Non-General Fund Information - Real Estate Appraisers Trust Fund
Page 2

Intended Purpose:

The Legislature finds that the regulation of real estate appraisers is reasonably necessary to protect consumers. The Legislature further finds that 12 United States Code §3301 et seq. requires that real estate appraisals utilized in connection with federally related transactions be performed by individuals who are licensed or certified appraisers. The purposes of this chapter (466K, HRS) are to implement the requirements of 12 United States Code Sec §3301 et seq. and to require that all real estate appraisals be performed by licensed or certified appraisers. The requirements of this chapter do not apply to any real estate appraiser employed by any county for purposes of valuing real property for ad valorem taxation.

Current Program Activities/Allowable Expenses:

In addition to any other powers and duties authorized by law, the director shall have the following powers and duties:

1. To grant permission to practice as a certified real estate appraiser in this State pursuant to this chapter and 12 United States Code §3301 et seq. and the rules and regulations adopted pursuant thereto;
2. To adopt, amend, or repeal rules as the director finds necessary to effectuate fully this chapter and 12 United States Code §3301 et seq.;
3. To enforce this chapter and 12 United States Code §3301 et seq. and rules and regulations adopted pursuant thereto;
4. To discipline a certified real estate appraiser for any cause prescribed by this chapter or 12 United States Code §3301 et seq. for any violation of the rules and regulations and refuse to grant a person permission to practice as a certified real estate appraiser for any cause that would be grounds for disciplining a certified real estate appraiser;
5. To act as the designated representative of this State to implement 12 United States Code §3301 et seq.; and
6. To appoint an advisory committee to assist with the implementation of this chapter and 12 United States Code §3301 et seq. and the rules and regulations adopted pursuant thereto.

Report on Non-General Fund Information
for Submittal to the 2013 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-106
 Name of Fund: Grants to States for Health Insurance Premium Review-Cycle I
 Legal Authority: Non-appropriated; CFDA: 93.511; Section 1003 of the Affordable Care Act

Contact Name: Jerry Bump
 Phone: 586-0985
 Fund type (MOF) Federal Funds (N)
 Appropriation Acct. No. S-XX-203-R

Intended Purpose:

To enhance the current capacity to review and, to extent permitted by state law, approve or deny rate increases in the individual and group markets.

Source of Revenues:

\$1.0 million Federal Grant - Department of Health & Human Services; Grant period 8/9/10 to 9/30/12.

Current Program Activities/Allowable Expenses:

Grant has expired. A level II grant is being utilized for a similar purpose.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Encumbrances:

None

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance		0	0	2	0	0	0
Revenues	0	0	20,734	0	0		
Expenditures	0	0	20,732	2	0	0	0
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	2	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	2	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2013 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-106
 Name of Fund: State Planning & Establishment Grants for the Affordable Care Act's Exchanges
 Legal Authority: Non-appropriated; CFDA: 93.525; Section 1311 of the Affordable Care Act

Contact Name: Jerry Bump
 Phone: 586-0985
 Fund type (MOF): Federal Funds (N)
 Appropriation Acct. No.: S-XX-204-R

Intended Purpose:

To research whether an exchange is needed and whether an exchange is feasible given the State's information technology structure.

Source of Revenues:

\$1.0 million Federal Grant - Department of Health & Human Services; Grant period 9/30/10 to 9/29/13

Current Program Activities/Allowable Expenses:

Research being conducted regarding the implementation of the Patient Protection and Affordable Care Act.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Encumbrances:

Remaining balance from purchase order to purchase a printer.

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance		0	0	6,224	0	0	0
Revenues	0	0	256,731	600,000	143,269	0	0
Expenditures	0	0	250,507	606,224	143,269	0	0
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	6,224	0	0	0	0
Encumbrances			10				
Unencumbered Cash Balance	0	0	6,214	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2013 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-106
 Name of Fund: Grants to States for Health Insurance Premium Review Cycle II
 Legal Authority: Non-appropriated; CFDA: 93.511; Section 1003 of the Affordable Care Act

Contact Name: Jerry Bump
 Phone: 586-0985
 Fund type (MOF): Federal Funds (P)
 Appropriation Acct. No.: S-XX-205-R

Intended Purpose:

To enhance the current capacity to review and, to extent permitted by state law, approve or deny rate increases in the individual and group markets.

Source of Revenues:

\$3.0 million Federal Grant - Department of Health & Human Services; Grant period 10/1/11 to 9/30/14

Current Program Activities/Allowable Expenses:

Modification to electronic rate filing system. Hiring of additional rate analysts.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Encumbrances:

Remaining balance from purchase order for printers.

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	1,000,000	250,000	0
Beginning Cash Balance		0	0	24,875	0	0	0
Revenues	0	0	38,291	1,000,000	1,000,000	250,000	
Expenditures	0	0	13,416	1,024,875	1,000,000	250,000	
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	24,875	0	0	0	0
Encumbrances			2,292				
Unencumbered Cash Balance	0	0	22,583	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2013 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-106
 Name of Fund: Cooperative Agreements to Support Establishment of State-Operated Health Insurance Exchanges
 Legal Authority: Non-appropriated; CFDA: 93.525; Section 1311 of the Affordable Care Act

Contact Name: Jerry Bump
 Phone: 586-0985
 Fund type (MOF) Federal Funds (N)
 Appropriation Acct. No. S-XX-206-R

Intended Purpose:
Establishment of the Hawaii Health Connector under Act 205 (SLH 2011).

Source of Revenues:
\$14.4 million Federal Grant - Department of Health & Human Services; Grant period 11/29/2011 to 11/27/2013.

Current Program Activities/Allowable Expenses:
Working to establish a centralized website to allow individuals and small businesses to shop for health insurance.

Purpose of Proposed Ceiling Increase (if applicable):
Not applicable

Encumbrances:
Outstanding purchase order for modular furniture.

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			0	0	0	0	0
Beginning Cash Balance	-	0	0	223,949	0	0	0
Revenues			834,047	10,000,000	3,606,097	0	0
Expenditures			610,098	10,223,949	3,606,097	0	0
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	223,949	0	0	0	0
Encumbrances			41,297				
Unencumbered Cash Balance	0	0	182,652	0	0	0	0

Additional Information:							
Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2013 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-106
 Name of Fund: Driver Education Fund
 Legal Authority: Section 431:10C-115, HRS; Section 431:10G-107, HRS

Contact Name: Jodee Saiki
 Phone: 587-6758
 Fund type (MOF): Special Funds (B)
 Appropriation Acct. No. S-XX-309-R

Intended Purpose:

Provide drivers training and education.

Source of Revenues:

An annual fee paid by insurers and self-insurers for each vehicle, motorcycle and motor scooter insured.

Current Program Activities/Allowable Expenses:

All collected revenues disbursed to the Judiciary, Department of Education (DOE), and Department of Transportation (DOT). Judiciary's funds are expended for the operation of the drivers' education program. DOE's funds support drivers' education for high school students. The DOT uses its funds for the operation of a drivers' education program for operators of motorcycles, motor scooters or similar vehicles.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Encumbrances:

Not Applicable

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,560,000	2,560,000	2,900,000	2,900,000	2,900,000	2,900,000	2,900,000
Beginning Cash Balance	892,398	1,137,974	59,820	92,343	92,343	92,343	92,343
Revenues 1/	2,805,576	2,881,846	2,932,523	2,900,000	2,900,000	2,900,000	2,900,000
Expenditures			0				
Transfers							
List each by JV# and date							
JS5386 5/14/2012			(1,004,056)				
JS5492 5/21/2012			(1,895,944)				
Net Total Transfers 2/	(2,560,000)	(3,960,000)	(2,900,000)	(2,900,000)	(2,900,000)	(2,900,000)	(2,900,000)
Ending Cash Balance	1,137,974	59,820	92,343	92,343	92,343	92,343	92,343
Encumbrances							
Unencumbered Cash Balance	1,137,974	59,820	92,343	92,343	92,343	92,343	92,343

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue projections based on Rev Est to B&F August 2012.

2/ FY2011 transfer of \$1,400,000 to the general fund pursuant to Act 192, SLH 2010.

Report on Non-General Fund Information
for Submittal to the 2013 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-106
 Name of Fund: Compliance Resolution Fund - Insurance Regulation Fund
 Legal Authority: Sections 431:2-215 & 431:2-216, HRS; Act 1, 2005 Special Session

Contact Name: Jodee Saiki
 Phone: 587-6758
 Fund type (MOF) Special Funds (B)
 Appropriation Acct. No. S-XX-313-R

Intended Purpose:

The fund permits the Insurance Division to become self-sufficient and eliminates reliance on general funds and revolving funds administered by the Division.

Source of Revenues:

Fees, fines, penalties, assessments, and reimbursements collected under Title 24, Hawaii Revised Statutes.

Current Program Activities/Allowable Expenses:

Used to defray any administrative cost, including personnel cost, associated with all of the programs of the Insurance Division, and incurred by supporting offices and divisions.

Purpose of Proposed Ceiling Increase (if applicable):

Increase ceiling for fringe benefits (\$68,261)

Encumbrances:

Balance consists primarily of ongoing contracts for examination and actuarial services, and payroll encumbrance for retro salary adjustment (Favored Nations agreement).

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling 1/	8,882,578	8,882,578	8,947,275	8,739,474	9,015,536	9,015,536	9,015,536
Beginning Cash Balance	4,241,208	5,635,061	3,734,812	4,179,556	1,724,714	(317,612)	(1,353,110)
Revenues 2/	9,366,956	5,938,937	8,024,136	8,875,900	8,625,900	9,651,000	8,651,000
Expenditures 3/	6,829,678	6,546,112	6,176,441	9,731,956	9,015,536	9,015,536	9,015,536
Transfers							
List each by JV# and date							
JS0857 8/22/2011			(350,738)				
JS2052 10/24/2011			(350,738)				
JS3375 1/19/2012			(350,738)				
JS4797 4/17/2012			(350,737)				
Net Total Transfers	(1,143,425)	(1,293,074)	(1,402,951)	(1,598,786)	(1,652,690)	(1,670,962)	(1,670,962)
Ending Cash Balance	5,635,061	3,734,812	4,179,556	1,724,714	(317,612)	(1,353,110)	(3,388,608)
Encumbrances	593,868	803,106	992,482				
Unencumbered Cash Balance	5,041,193	2,931,706	3,187,074	1,724,714	(317,612)	(1,353,110)	(3,388,608)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Appropriation ceiling for FY 13 reflects labor savings reduction.

2/ Revenue projections based on Revenue Estimates to B&F August 2012.

3/ Expenditures for FY2011 include \$283,480 to the general fund pursuant to Act 124, SLH 2011.

Report on Non-General Fund Information
for Submittal to the 2013
Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-106
 Name of Fund: Loss Mitigation Grant Fund
 Legal Authority: Section 431:22-102, HRS

Contact Name: Jodee Saiki
 Phone: 587-6758
 Fund type (MOF): Special Funds (B)
 Appropriation Acct. No. S-XX-314-R

Intended Purpose:

A grant program to assist residents with installing wind resistive devices to protect their property against wind damage. Also provides grants for safe rooms for residents who may not be able to afford reinforcement of their entire home; protection against natural disasters.

Source of Revenues:

Appropriations out of the Hawaii Hurricane Relief Fund.

Current Program Activities/Allowable Expenses:

Pursuant to Act 124, SLH 2011 the loss Mitigation Grant Fund was terminated, the account closed and funds transferred to the General Fund.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Encumbrances:

Not Applicable

Financial Data							
	FY 2010	FY 2011	FY 2012 1/	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	3,360,377	3,400,785	259,962	0	0	0	0
Revenues	40,408	59,177					
Expenditures 2/	0	3,200,000		0	0	0	0
Transfers							
List each by JV# and date							
JM0048 7/15/2011			(259,962)				
Net Total Transfers	0	0	(259,962)				
Ending Cash Balance 1/	3,400,785	259,962	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	3,400,785	259,962	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Pursuant to Act 124, SLH 2011 the loss Mitigation Grant Fund was terminated and the account closed, with remaining funds transferred to the General Fund.

2/ Expenditures for FY2011 include \$3,200,000 to the general fund pursuant to Act 192, SLH 2010.

Report on Non-General Fund Information
for Submittal to the 2013 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-106
 Name of Fund: Captive Insurance Administrative Fund
 Legal Authority: Section 431:19-101.8, HRS, Act 261, 1997 SLH; Act 131, SLH 1999; Act 1, 2005 Special Session; Act 213, SLH 2007; Act 158 SLH 2008

Contact Name: Jodee Saiki
 Phone: 587-6758
 Fund type (MOF) Special Funds (B)
 Appropriation Acct. No. S-XX-317-R

Intended Purpose:

The fund shall be expended by the Insurance Commissioner to carry out his/her duties and obligations under article 19 of chapter 431, HRS.

Source of Revenues:

All moneys collected from captive licensees pursuant to article 19, chapter 431, including premium taxes, application and annual licensing fees, examination fees, and other reimbursements.

Current Program Activities/Allowable Expenses:

Licensing, monitoring, regulation, development of captive insurance companies, and promotion of Hawaii as a captive insurance domicile pursuant to article 19, chapter 431, including defrayal of any administrative costs, employment or retention of hearings officers, attorneys, investigators, accountants, examiners, and other necessary professional, technical, and support personnel.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Encumbrances:

Include claims outstanding for travel-related expenses, machinery/equipment; and retro salary adjustment (Favored Nations agreement) as of June 30, 2012.

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling ^{1/}	2,434,480	2,434,480	2,434,480	2,400,652	2,434,480	2,434,480	2,434,480
Beginning Cash Balance	4,851,139	5,151,680	3,139,530	3,811,602	3,658,886	3,524,406	3,389,926
Revenues ^{2/}	1,522,709	1,786,527	2,143,326	2,300,000	2,300,000	2,300,000	2,300,000
Expenditures ^{3/}	1,222,168	3,798,677	1,471,254	2,452,716	2,434,480	2,434,480	2,434,480
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	5,151,680	3,139,530	3,811,602	3,658,886	3,524,406	3,389,926	3,255,446
Encumbrances	52,830	42,419	52,064				
Unencumbered Cash Balance	5,098,850	3,097,111	3,759,538	3,658,886	3,524,406	3,389,926	3,255,446

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

^{1/} Appropriation ceiling for FY 13 reflects labor savings reduction.

^{2/} Revenue projections based on Rev Est to B&F August 2012.

^{3/} Expenditures for FY2011 include \$2,500,000 to the general fund pursuant to Act 124, SLH 2011.

Report on Non-General Fund Information
for Submittal to the 2013 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-106
 Name of Fund: Patient's Compensation Fund
 Legal Authority: Act 232, SLH 1984; Act 219, SLH 1976

Contact Name: Jodee Saiki
 Phone: 587-6758
 Fund type (MOF): Trust Funds (T)
 Appropriation Acct. No. T-XX-912-R

Intended Purpose:

In 1976 this fund was established to provide doctors medical malpractice insurance for coverage in excess of their underlying policy from a commercial insurer.

Source of Revenues:

None. However, there are some paid claims with settlement agreements which required the claimant to return any unused cash after all of the payments required by the settlement agreement are satisfied.

Current Program Activities/Allowable Expenses:

The claims against the Fund exceed the available fund assets and as a result, the authority for this Fund, section 671, was repealed by Act 232, SLH 1984. The Act required the unpaid claims to be carried forward from year to year for possible payment as the Fund acquires additional assets for prorated distribution. Prorated final distributions have begun and hope o be completed by the end of FY 2013.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Encumbrances:

Not Applicable

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	4,273,375	4,324,804	172,765	178,243	0	0	0
Revenues	51,429	76,140	795,780				
Expenditures		4,228,179	790,302	178,243	0	0	0
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0				
Ending Cash Balance	4,324,804	172,765	178,243	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	4,324,804	172,765	178,243	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2013 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-106
 Name of Fund: Premium Taxes Paid Pending Appeal
 Legal Authority: Sections 431:7-202, 431:7-209, HRS

Contact Name: Jodee Saiki
 Phone: 587-6758
 Fund type (MOF) Trust Funds (T)
 Appropriation Acct. No. T-XX-916-R

Intended Purpose:

Premium taxes paid in protest due to denial of high tech tax credits.

Source of Revenues:

Premium taxes.

Current Program Activities/Allowable Expenses:

Pending result of appeal.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Encumbrances:

Not Applicable

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	1,400,000	1,400,122	156,493	156,493	0	0	0
Revenues	122	156,493	0				
Expenditures	0	1,400,122	0	156,493	0	0	0
Transfers							
List each by JV# and date							
Net Total Transfers			0				
Ending Cash Balance	1,400,122	156,493	156,493	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	1,400,122	156,493	156,493	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2013 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-106
 Name of Fund: Commissioner's Education and Training Fund
 Legal Authority: Section 431:2-214, HRS; Act 348, SLH 1987

Contact Name: Jodee Saiki
 Phone: 587-6758
 Fund type (MOF): Trust Funds (T)
 Appropriation Acct. No. T-XX-917-R

Intended Purpose:

This fund is used for the education and training of Insurance Division staff and personnel, and to pay for the cost of consumer education and information.

Source of Revenues:

Fees for rate and form filings.

Current Program Activities/Allowable Expenses:

Reimburse staff and personnel for various education and training expenses, including insurance and computer courses, conferences, and seminars. Publish annually, the Report of the Insurance Commissioner for consumer information. Presentations of insurance information to Hawaii consumers. Purchase of books and periodicals for staff education and training.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Encumbrances:

Pending travel reimbursements.

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	200,000	200,000	200,000	200,000	200,000	200,000	200,000
Beginning Cash Balance	528,554	667,224	778,367	899,428	877,792	857,792	837,792
Revenues 1/	169,963	159,663	189,846	180,000	180,000	180,000	180,000
Expenditures	31,293	48,520	68,785	201,636	200,000	200,000	200,000
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0				
Ending Cash Balance	667,224	778,367	899,428	877,792	857,792	837,792	817,792
Encumbrances	920	7,792	1,636				
Unencumbered Cash Balance	666,304	770,575	897,792	877,792	857,792	837,792	817,792

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue projections based on Rev Est to B&F August 2012.

Report on Non-General Fund Information
for Submittal to the 2013 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-106
 Name of Fund: Service Contract Provider's Fin Sec Dep
 Legal Authority: Section 481X-4, HRS

Contact Name: Jodee Saiki
 Phone: 587-6758
 Fund type (MOF): Trust Funds (T)
 Appropriation Acct. No.: T-XX-927-R

Intended Purpose:

The fund will hold financial security deposits placed in trust with the Commissioner by service contract providers for all service contracts issued and in force in this State.

Source of Revenues:

Financial security deposits from service contract providers placed in trust with the Insurance Commissioner.

Current Program Activities/Allowable Expenses:

Current activities include the issuance and renewal of service contract providers registration.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Encumbrances:

Not Applicable

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	25,000	25,000	41,560	41,560	41,560	41,560	41,560
Revenues		16,560	0				
Expenditures				0	0	0	0
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	25,000	41,560	41,560	41,560	41,560	41,560	41,560
Encumbrances							
Unencumbered Cash Balance	25,000	41,560	41,560	41,560	41,560	41,560	41,560

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2013 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-106
 Name of Fund: Captive Insurance Companies LOC Escrow
 Legal Authority: Section 431:19-104(b), HRS

Contact Name: Jodee Saiki
 Phone: 587-6758
 Fund type (MOF): Trust Funds (T)
 Appropriation Acct. No. T-XX-931-R

Intended Purpose:

The fund shall be used to maintain cash drawn from Letters of Credit (LOC) issued on behalf of captive insurance licensees and in favor of the Insurance Commissioner.

Source of Revenues:

Cash draw from Letter of Credits (LOC) issued on behalf of captive licensees.

Current Program Activities/Allowable Expenses:

The Commissioner may draw upon the LOC when the captive licensee is not in compliance with the provisions of article 19 of chapter 431, including but not limited to its minimum capital and surplus requirements, applicable investment provisions, or otherwise in adverse financial condition, or operating in a manner that is detrimental or its condition unsound with respect to the public or to its policyholders. The cash drawn from the LOC is used to cover liabilities and other obligations of the captive licensee, including policy holder claims, operating expenses, taxes, fees, fines, and other expenses.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Encumbrances:

Not Applicable

Financial Data							
	FY 2009	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	495	500	509	512	512	512	512
Revenues	5	9	3				
Expenditures				0	0	0	0
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0				
Ending Cash Balance	500	509	512	512	512	512	512
Encumbrances							
Unencumbered Cash Balance	500	509	512	512	512	512	512

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2013 Legislature

Department: DCCA-Insurance Division
 Prog ID(s): CCA-106
 Name of Fund: Hawaii Hurricane Relief Fund
 Legal Authority: Chapter 431P, HRS

Contact Name: Jerry Bump
 Phone: 808-586-0985
 Fund type (MOF) Trust Fund (T)
 Appropriation Acct. No. T-XX-999-R

Intended Purpose: Provide hurricane insurance in the event that such coverage is unavailable in the private insurance market.

Source of Revenues: Investment income.

Current Program Activities/Allowable Expenses:

Program activities - investments. Allowable expenses - financial services and management expenses.

Purpose of Proposed Ceiling Increase (if applicable):

Not applicable.

Encumbrances:

Not applicable.

Financial Data							
<i>AI</i>	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Fund Balance	187,977,259	188,190,997	21,144,717	21,078,646	21,038,646	20,998,646	20,958,646
Revenues	6,024,348	2,763,450	374,840	400,000	400,000	400,000	400,000
Expenditures	197,782	179,189	41,158	40,000	40,000	40,000	40,000
Transfers to State General Fund		(178,000,000)	0				
Transfers from State General Fund		12,373,013	0				
		/1					
Accrd Interest paid	(5,612,828)	(4,003,554)	(399,753)	(400,000)	(400,000)	(400,000)	(400,000)
Net Total Transfers	(5,612,828)	(169,630,541)	(399,753)	(400,000)	(400,000)	(400,000)	(400,000)
Ending Fund Balance	188,190,997	21,144,717	21,078,646	21,038,646	20,998,646	20,958,646	20,918,646
Encumbrances							
Unencumbered Cash Balance	188,190,997	21,144,717	21,078,646	21,038,646	20,998,646	20,958,646	20,918,646

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

AI = Prepared on the accrual basis of accounting as required by the Governmental Accounting Standards Board for Enterprise Funds.

1/ = trsf of funds made in FYE 06/30/11

Report on Non-General Fund Information
for Submittal to the 2013 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-110
 Name of Fund: CRF-Office Of Consumer Protection
 Legal Authority: Section 487-2, HRS

Contact Name: Jodee Saiki
 Phone: 587-6758
 Fund type (MOF) Special Funds (B)
 Appropriation Acct. No. S-XX-323-R

Intended Purpose:

To protect Hawaii consumers utilizing investigative resources, civil enforcement actions and consumer education.

Source of Revenues:

Penalties or fines assessed as a result of actions brought by OCP, and penalties, fines, or reimbursement of costs or attorneys' fees assessed as a result of actions brought for violations of chapters 480 and 487 HRS.

Current Program Activities/Allowable Expenses:

OCP receives and investigates consumer complaints, provides consumer education to the public through oral presentations and the dissemination of consumer literature, and enforces consumer protection laws through legal process coordinated by its attorneys.

Purpose of Proposed Ceiling Increase (if applicable):

Increase ceiling for fringe benefits (\$36,524), central services assessment (\$120,000), and funds for 1 new position (FY14: \$51,229, FY15: \$54,288)

Encumbrances:

Include claims outstanding for travel-related expenses, telephone charges, IT/equipment purchase, litigation expense, and rental of office space (neighbor island); and retro salary adjustment (Favored Nations agreement) as of June 30, 2012.

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling 1/	1,573,840	1,461,378	1,573,840	1,524,748	1,781,593	1,784,652	1,784,652
Beginning Cash Balance	1,202,752	685,325	529,945	1,776,108	3,645,256	2,470,561	1,294,072
Revenues 2/	747,231	1,649,073	1,878,132	3,233,051	161,500	162,000	162,000
Expenditures 3/	1,303,358	2,450,283	1,359,546	1,615,703	1,781,593	1,784,652	1,784,652
Transfers							
List each by JV# and date							
JS0857 8/22/2011			181,894				
JS2052 10/24/2011			181,894				
JS3375 1/19/2012			181,894				
JS4797 4/17/2012			181,895				
Net Total Transfers	38,700	645,830	727,577	251,800	445,398	446,163	446,163
Ending Cash Balance	685,325	529,945	1,776,108	3,645,256	2,470,561	1,294,072	117,583
Encumbrances	9,649	40,783	90,955				
Unencumbered Cash Balance	675,676	489,162	1,685,153	3,645,256	2,470,561	1,294,072	117,583

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Appropriation ceiling for FY 13 reflects labor savings reduction.

2/ Revenue projections: Rev Est to B&F August 2012 - FY13 projections updated, November 2012.

3/ Expenditures for FY2009 include \$900,000 to the general fund pursuant to Act 79, SLH 2009. Expenditures for FY2011 include \$1,114,000 to the general fund pursuant to Act 124, SLH 2011.

Report on Non-General Fund Information
for Submittal to the 2013 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-110
 Name of Fund: OCP Restitution Fund
 Legal Authority: Section 487:14(c), HRS

Contact Name: Jodee Saiki
 Phone: 587-6758
 Fund type (MOF): Trust Funds (T)
 Appropriation Acct. No. T-XX-920-R

Intended Purpose:

To hold and disburse moneys received or recovered by the Office of Consumer Protection which are due to consumers as restitution.

Source of Revenues:

Consumer restitution paid by respondents and disbursed by OCP pursuant to settlement or court order.

Current Program Activities/Allowable Expenses:

We are continuing to collect and distribute restitution payments from this account pursuant to appropriate court orders and judgments.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Encumbrances:

Not Applicable

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	50,681	50,681	100,681	100,681	100,681	100,681	100,681
Beginning Cash Balance	133,379	141,779	140,169	143,419	113,419	83,419	53,419
Revenues	58,400	15,743	5,950	0	0	0	0
Expenditures	50,000	17,353	2,700	30,000	30,000	30,000	30,000
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0				
Ending Cash Balance	141,779	140,169	143,419	113,419	83,419	53,419	23,419
Encumbrances							
Unencumbered Cash Balance	141,779	140,169	143,419	113,419	83,419	53,419	23,419

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2013 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-111
 Name of Fund: Compliance Resolution Fund - Business Registration
 Legal Authority: Section 26-9, HRS

Contact Name: Jodee Saiki
 Phone: 587-6758
 Fund type (MOF) Special Funds (B)
 Appropriation Acct. No. S-XX-306-R

Intended Purpose:

To fund the operations of the Business Registration Division.

Source of Revenues:

Chapters 414, 414D, 415A, 417E, 419, 420D, 421, 421C, 421H, 421I, 421J, 423, 424, 425, 425E, 428, 474, 482, and 519 HRS. - Business registration fees: Corporation, partnership, limited liability company and cooperative registration fees and trademark, trade name, service mark, publicity right filing fees.

Chapter 482E - Franchise filing fees.

Chapter 485A - Securities fees and penalties: Securities, broker-dealer, agents, investment adviser and investment adviser representative filing fees and penalty fees for securities law violations.

Current Program Activities/Allowable Expenses:

Register and maintain the registry for public access for corporations, partnerships, limited liability companies, cooperatives, trademarks, trade names, service marks and publicity rights. Help the public in their business registration filings through business centers that offer assistance in facilitating, coordinating and expediting filings. Review and approve filing applications and investigate, prosecute and enforce substantive regulatory compliance pursuant to State securities and franchise filing laws.

Purpose of Proposed Ceiling Increase (if applicable): Not Applicable

Encumbrances:

Include claims outstanding for travel-related expenses, telephone charges, equipment rental, postage, repairs and maintenance expenses, and IT equipment/upgrade; outstanding service contract for an interactive educational tool; and retro salary adjustment (Favored Nations agreement) as of June 30, 2012.

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling 1/	6,709,851	6,295,310	6,649,240	6,472,012	6,649,240	6,649,240	6,649,240
Beginning Cash Balance	6,305,141	9,311,491	6,209,520	9,032,676	9,771,776	9,870,625	9,955,998
Revenues 2/	8,816,269	5,980,132	8,793,157	8,678,000	7,967,000	7,967,000	7,967,000
Expenditures 3/	4,988,785	8,119,830	4,931,644	6,750,750	6,649,240	6,649,240	6,649,240
Transfers							
List each by JV# and date							
JS0857 8/22/2011			(259,589)				
JS2052 10/24/2011			(259,589)				
JS3375 1/19/2012			(259,589)				
JS4797 4/17/2012			(259,590)				
Net Total Transfers	(821,134)	(962,273)	(1,038,357)	(1,188,150)	(1,218,911)	(1,232,387)	(1,232,387)
Ending Cash Balance 2/	9,311,491	6,209,520	9,032,676	9,771,776	9,870,625	9,955,998	10,041,371
Encumbrances	153,849	49,124	278,738				
Unencumbered Cash Balance	9,157,642	6,160,396	8,753,938	9,771,776	9,870,625	9,955,998	10,041,371

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Appropriation ceiling for FY 13 reflects labor savings reduction.

2/ Revenue projections based on Rev Est to B&F August 2012.

3/ Expenditures for FY2011 include \$1,500,000 to the general fund pursuant to Act 192, SLH 2010, and \$1,891,968 to the general fund pursuant to Act 124, SLH 2011.

Report on Non-General Fund Information
for Submittal to the 2013 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-112
 Name of Fund: Compliance Resolution Fund - RICO
 Legal Authority: Section 26-9(o), HRS

Contact Name: Jodee Saiki
 Phone: 587-6758
 Fund type (MOF): Special Funds (B)
 Appropriation Acct. No. S-XX-312-R

Intended Purpose:

Complaints receipt, mediation, arbitration, investigation, and prosecution of matters in areas for which a license, registration or certificate is required from the Department or its boards, commissions or regulatory programs.

Source of Revenues:

Compliance Resolution Fund fee; penalties and fines collected; interest earned on fund balances.

Current Program Activities/Allowable Expenses:

Complaints receipt, mediation, investigation, complaint resolution and prosecution related to licenses, registrations or certificates required from the Department or its boards, commissions or programs.

Purpose of Proposed Ceiling Increase (if applicable):

Ceiling increase for fringe benefits (\$51,194)

Encumbrances:

Include claims outstanding for travel-related expenses, telephone charges (incl. set up for new neighbor island space), rent and utilities/janitorial services for neighbor island offices, and IT expenses; and retro salary adjustment (Favored Nations agreement) as of June 30, 2012.

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling 1/	5,579,836	5,231,770	5,579,836	5,405,578	5,631,030	5,631,030	5,631,030
Beginning Cash Balance	6,558,263	6,208,806	6,323,075	5,928,338	4,190,331	2,177,044	402,344
Revenues 2/	4,830,795	5,666,784	4,940,521	4,950,000	4,650,000	4,900,000	4,650,000
Expenditures 3/	4,504,011	4,752,810	4,467,951	5,690,948	5,631,030	5,631,030	5,631,030
Transfers							
List each by JV# and date							
JS0826 08/24/2010			(216,827)				
JS2884 12/30/2010			(216,827)				
JS3159 01/19/2011			(216,827)				
JS4909 05/04/2011			(216,826)				
Net Total Transfers	(676,241)	(799,705)	(867,307)	(997,059)	(1,032,257)	(1,043,670)	(1,043,670)
Ending Cash Balance	6,208,806	6,323,075	5,928,338	4,190,331	2,177,044	402,344	(1,622,356)
Encumbrances	35,555	47,814	285,370				
Unencumbered Cash Balance	6,173,251	6,275,261	5,642,968	4,190,331	2,177,044	402,344	(1,622,356)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Appropriation ceiling for FY 13 reflects labor savings reduction.
 2/ Revenue projections based on Rev Est to B&F August 2012.

3/ Expenditures for FY2011 include \$240,593 to the general fund pursuant to Act 124, SLH 2011.

Report on Non-General Fund Information
for Submittal to the 2013 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-112
 Name of Fund: State Certified Motor Vehicle Arbitration Fund
 Legal Authority: Chapter 481I, HRS

Contact Name: Jodee Saiki
 Phone: 587-6758
 Fund type (MOF): Trust Funds (T)
 Appropriation Acct. No.: T-XX-915-R

Intended Purpose:

Administration of the State Certified Motor Vehicle Arbitration Program (Lemon Law) created pursuant to Chapter 481I, HRS.

Source of Revenues:

Filing fees paid by vehicle manufacturers and consumers.

Current Program Activities/Allowable Expenses:

Implementation and administration of the State Certified Arbitration Program (Lemon Law) created pursuant to Chapter 481I, HRS.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Encumbrances:

- Out-of-state, transportation.

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	139,465	140,311	135,951	131,250	116,825	112,525	108,625
Revenues ^{1/}	2,200	1,550	1,100	1,500	1,500	2,000	2,000
Expenditures	1,354	5,910	5,801	15,925	5,800	5,900	5,800
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0				
Ending Cash Balance	140,311	135,951	131,250	116,825	112,525	108,625	104,825
Encumbrances			925				
Unencumbered Cash Balance	140,311	135,951	130,325	116,825	112,525	108,625	104,825

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

^{1/} Revenue projections based on Rev Est to B&F August 2012.

Report on Non-General Fund Information
for Submittal to the 2011 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-191
 Name of Fund: Electric Vehicle Rebate Program
 Legal Authority: Non-appropriated; (ARRA, Transportation Energy
Diversification Project, State Energy Program-DBEDT).

Contact Name: Meoh-Leng Silliman
 Phone: 586-2844
 Fund type (MOF) Federal Stimulus Funds (V)
 Appropriation Acct. No. S-XX-202-R

Intended Purpose:

American Recovery and Reinvestment Act of 2009 (ARRA) funds awarded to the Department of Business, Economic Development, and Tourism (DBEDT) for the purpose of carrying out the Transportation Energy Diversification Project (Project) under the State Energy Program (SEP). The Electric Vehicle Rebate Program is to help accelerate the adoption of electric vehicles (EV) in Hawaii. The Department of Commerce and Consumer Affairs (DCCA) to administer the EV Rebate Program for DBEDT through December, 31, 2012 or until project funds have been exhausted, whichever comes first.

Source of Revenues:

Federal grant through the U.S. Department of Energy (USDOE).

Current Program Activities/Allowable Expenses:

Project funds were budgeted for a rebate program for electric vehicles and charging equipment. Rebates may be claimed by Hawaii residents, businesses, government agencies, and nonprofit entities following the initial purchase in Hawaii of new, commercially available electric vehicles for use in Hawaii and for the purchase and installation in Hawaii of commercially available Electric Vehicle Supply Equipment (EVSE, also known as electric vehicle charging equipment or units). Only commercially available technologies are eligible for the rebate. To be eligible for the rebate, vehicles must be on the list of vehicles approved by the Internal Revenue Service (IRS) for the Qualified Plug-in Electric Drive Motor Vehicle Credit. Electric Vehicle Supply Equipment (EVSE) must be tested and certified by a Nationally Recognized Testing Laboratory to be eligible to receive rebates.

Purpose of Proposed Ceiling Increase (if applicable):

Not applicable

Encumbrances:

Not applicable

	Financial Data						
	FY 2010 (actual)	FY 2011 (actual)	FY 2012 (actual)	FY 2013 (estimated)	FY 2014 (estimated)	FY 2015 (estimated)	FY 2016 (estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	0	0	116,918	32,701	0	0	0
Revenues	0	293,012	1,877,754	0	0	0	0
Expenditures	0	176,095	1,961,971	32,701	0	0	0
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	116,918	32,701	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	116,918	32,701	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2012 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-191
 Name of Fund: Compliance Resolution Fund - MFDR SF Seed Capital
 Legal Authority: Act 48, SLH 2011, Section 38

Contact Name: Jodee Saiki
 Phone: 587-6758
 Fund type (MOF): Special Funds (B)
 Appropriation Acct. No.: S-12-315-R

Intended Purpose:

To provide initial capital from the Compliance Resolution Fund to implement the Mortgage Foreclosure Dispute Resolution Program; the Compliance Resolution Fund to be reimbursed for the appropriation pursuant to Act 48, SLH 2011.

Source of Revenues:

Source of Seed Capital is the Compliance Resolution Fund.

Current Program Activities/Allowable Expenses:

Provide seed capital to the Mortgage Foreclosure Dispute Resolution Program.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Encumbrances:

Not Applicable

Financial Data							
	FY 2010 (actual)	FY 2011 (actual)	FY 2012 (actual)	FY 2013 (estimated)	FY 2014 (estimated)	FY 2015 (estimated)	FY 2016 (estimated)
Appropriation Ceiling 1/			400,000				0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues				0	0	0	0
Expenditures				0	0	0	0
Transfers							
List each by JV# and date							
JS0118 7/14/2011			400,000				
JS0233 7/21/2011			(400,000)				
Net Total Transfers	0	0					
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0				
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Appropriation ceiling for FY12 based on Act 48, SLH 2011, Section 38.

Report on Non-General Fund Information
for Submittal to the 2013 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-191
 Name of Fund: MFDR Special Fund
 Legal Authority: Act 48, SLH 2011, Section 39

Contact Name: Meoh-Leng Silliman
 Phone: 587-6758
 Fund type (MOF): Special Funds (B)
 Appropriation Acct. No. S-XX-316-R

Intended Purpose:

To give a mortgagor the power to require a foreclosing mortgagee to engage in dispute resolution with the mortgagor prior to a nonjudicial mortgage foreclosure in an effort to avoid foreclosure or mitigate damages if foreclosure is unavoidable.

Source of Revenues:

Fees collected from the mortgagee and the mortgagor.

Current Program Activities/Allowable Expenses:

For all expenses of the mortgage foreclosure dispute resolution program to carry out the purposes of Act 48, SLH 2011 and to reimburse the Compliance Resolution Fund for the seed capital.

Purpose of Proposed Ceiling Increase (if applicable):

Not applicable

Encumbrances:

Include claims outstanding for IT expenses and retro salary adjustment (Favored Nations agreement) as of June 30, 2012.

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			1,850,000	1,850,000			
Beginning Cash Balance	0	0	0	260,972	354,579	0	0
Revenues ^{1/}			2,119	300,600	0	0	0
Expenditures			141,147	206,993	0	0	0
Transfers							
List each by JV# and date ^{2/}							
JS0233 7/21/2011			400,000				
Net Total Transfers	0	0	400,000	0	(354,579)		
Ending Cash Balance	0	0	260,972	354,579	0	0	0
Encumbrances	0	0	6,993				
Unencumbered Cash Balance	0	0	253,979	354,579	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

^{1/} Revenue projections based on Revenue Estimate Updates to B&F, August 2012.

^{2/} FY 12: \$400,000 of seed capital from the CRF.

Report on Non-General Fund Information
for Submittal to the 2013 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA191
 Name of Fund: Temporary Deposits
 Legal Authority: _____

Contact Name: Kay Okimoto
 Phone: 587-6758
 Fund type (MOF) Temporary Deposits
 Appropriation Acct. No. T-XX-902-R

Intended Purpose:

The fund was established by DCCA to account for temporary deposits. Moneys deposited in this fund may be later transferred to the appropriate fund.

Source of Revenues:

Various revenue sources (e.g., Moneys for the Medical Claims Conciliation Panel, Design Claims Conciliation Panel, & Hawaii Joint Underwriting Plan are deposited into this account, and when an invoice is received it is paid out from this account).

Current Program Activities/Allowable Expenses:

See above information.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Encumbrances:

Include claims outstanding for car rental and retro salary adjustment (Favored Nations agreement) as of June 30, 2012.

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	1,985,552	1,367,742	1,912,647	1,752,588	1,752,588	1,752,588	1,752,588
Revenues	1,683,881	1,701,595	1,678,966				
Expenditures	2,301,691	1,156,690	1,839,025				
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0				
Ending Cash Balance	1,367,742	1,912,647	1,752,588	1,752,588	1,752,588	1,752,588	1,752,588
Encumbrances	2,700	1,314	11,485				
Unencumbered Cash Balance	1,365,042	1,911,333	1,741,103	1,752,588	1,752,588	1,752,588	1,752,588

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2013
Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-191
 Name of Fund: Compliance Resolution Fund
 Legal Authority: Section 26-9(o), HRS

Contact Name: Jodee Saiki
 Phone: 587-6758
 Fund type (MOF): Special Funds (B)
 Appropriation Acct. No. S-XX-310-R,S-XX-398-R

Intended Purpose:

To enhance program effectiveness and efficiency by providing direction and general support services.

Source of Revenues:

Administrative fee from MICP claim fees pursuant to HRS §671-11(d), publication/copy fees.

Current Program Activities/Allowable Expenses:

See attached.

Purpose of Proposed Ceiling Increase (if applicable):

Ceiling increase for fringe benefits (\$120,879); IT equipment and subscription costs (FY14: \$135,700, FY15: \$32,000); positions and funds for the continuation of the Mortgage Foreclosure Dispute Resolution Program (\$306,461); and consumer protection education campaign (FY14: \$100,000, FY15: \$50,000)

Encumbrances:

Include claims outstanding for repairs/maintenance expenses, telephone charges, equipment rental, armored car service, supplies and consumer education materials, IT expenses, postal service charges, and auto allowance; and retro salary adjustment (Favored Nations agreement) as of June 30, 2012.

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling 1/	5,953,460	5,823,384	6,383,469	6,532,299	7,165,511	7,011,811	7,011,811
Beginning Cash Balance	3,067,564	2,825,144	2,675,386	1,987,718	2,489,443	2,400,809	2,543,314
Revenues 2/	383,218	465,504	423,891	448,447	449,000	449,000	449,000
Expenditures 3/	4,855,195	4,952,199	5,401,912	6,840,075	7,165,511	7,011,811	7,011,811
Transfers							
List each by JV# and date							
JS0857 8/22/2011			1,172,589				
JS2052 10/24/2011			1,172,589				
JS3375 1/19/2012			1,172,589				
JS4797 4/17/2012			1,172,586				
JS0118 7/14/2011			(400,000)				
Net Total Transfers	4,229,557	4,336,937	4,290,353	6,893,353	6,627,877	6,705,316	6,705,316
Ending Cash Balance	2,825,144	2,675,386	1,987,718	2,489,443	2,400,809	2,543,314	2,685,819
Encumbrances	126,906	91,203	307,776				
Unencumbered Cash Balance	2,698,238	2,584,183	1,679,942	2,489,443	2,400,809	2,543,314	2,685,819

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Proposed FY 13 Ceiling reflects labor savings reduction.

2/ Expenditures for FY2011 include \$38,877 to the general fund pursuant to Act 124, SLH 2011.

3/ Revenue based on B&F Rev Est August 2012.

Report on Non-General Fund Information
for Submittal to the 2013
Legislature

DCCA Non-General Fund Information - Compliance Resolution Fund (S-310-R, S-398-R)
Page 2

Current Program Activities/Allowable Expenses:

Provide general policy and administrative leadership, supervision and coordination of the various programs of the department. Provide individual programs with financial resources, budgetary direction and control necessary for their operation. Assist individual programs with the supply, development and maintenance of the human resources necessary for staffing their operations. Provide Director with staff assistance in the direction and supervision of the operating division; and provide centralized clerical services and relief necessary to support and supplement the operating division. Plans, directs and coordinates the department's internal and external communications through the sharing and transfer of the information via various mediums. Directs the development, implementation and maintenance of computerized information systems capable of communicating and sharing data and information internally and externally. Administers a program for informed consumerism through the sharing and transfer of information departmentally and statewide.

Provide specialized staff support to all divisions and boards by conducting hearings, as required, to ensure impartial and informed treatment in resolving consumer complaints; and provide a means for prompt and fair disposition or settlement of medical tort claims or claims of professional negligence against an engineer, architect or surveyor licensed under Chapter 464, HRS.

Report on Non-General Fund Information
for Submittal to the 2013 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): Various
 Name of Fund: Temporary Deposits
 Legal Authority: _____

Contact Name: Kay Okimoto
 Phone: 586-2831
 Fund type (MOF) Temporary Deposits
 Appropriation Acct. No. T-XX-902-R

Intended Purpose:

The fund was established by DCCA to account for temporary deposits. Moneys deposited in this fund may be later transferred to the appropriate fund.

Source of Revenues:

Various revenue sources (e.g., Moneys for the Medical Claims Conciliation Panel, Design Claims Conciliation Panel, & Hawaii Joint Underwriting Plan are deposited into this account, and when an invoice is received it is paid out from this account).

Current Program Activities/Allowable Expenses:

See above information.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Encumbrances:

Include claims outstanding for auto rental and retro salary adjustment (Favored Nations agreement) as of June 30, 2012.

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	1,985,552	1,367,742	1,912,647	1,752,588	2,359,353	1,921,219	2,553,704
Revenues	1,683,881	1,701,595	1,678,966	1,905,145	1,799,121	1,941,415	1,790,546
Expenditures	2,301,691	1,156,690	1,839,025	1,298,380	2,237,255	1,308,930	2,228,680
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0				
Ending Cash Balance	1,367,742	1,912,647	1,752,588	2,359,353	1,921,219	2,553,704	2,115,570
Encumbrances	2,700	1,314	11,485				
Unencumbered Cash Balance	1,365,042	1,911,333	1,741,103	2,359,353	1,921,219	2,553,704	2,115,570

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							