

**Report on Non-General Fund Information**  
for Submittal to the 2013 Legislature

Department: HEALTH  
 Prog ID(s): HTH 560  
 Name of Fund: Early Childhood Obesity Special Fund  
 Legal Authority: Act 106, SLH 2012

Contact Name: Keiko Nitta  
 Phone: 733-9079  
 Fund type (MOF) B  
 Appropriation Acct. No. S 363 H

Intended Purpose: The purpose of these funds is to: 1) collect and analyze Hawaii specific early childhood obesity data to identify children at risk; 2) increase awareness of the health implications of early childhood obesity; and 3) promote best practices throughout community-based initiatives to improve healthy life choices.

Source of Revenues: Tobacco Settlement Funds

Current Program Activities/Allowable Expenses: Funds will be used for obesity prevention programs such as training to child care providers, developing community-based resources, evaluation of training and breastfeeding awareness.

Purpose of Proposed Ceiling Increase (if applicable): Not Applicable

Encumbrances: Outstanding encumbrances to be processed upon receipt of bills from vendors/contracted providers.

Financial Data							
	FY 2010 (actual)	FY 2011 (actual)	FY 2012 (actual)	FY 2013 (estimated)	FY 2014 (estimated)	FY 2015 (estimated)	FY 2016 (estimated)
Appropriation Ceiling				250,000			
Beginning Cash Balance		0	0	0	0	0	0
Revenues				250,000			
Expenditures				250,000			
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2013 Legislature

Department: HTH  
 Prog ID(s): HTH 840  
 Name of Fund: Clean Air Special Fund  
 Legal Authority: Sec 342B-32 HRS

Contact Name: Pat Sasaki  
 Phone: 586-4579  
 Fund type (MOF) B  
 Appropriation Acct. No. S 349H

Intended Purpose: Establish and collect fees from covered, non covered and agricultural burning permit sources. New greenhouse gas rules will generate fees that will increase revenues by \$1.45 million in FY 2014.

Source of Revenues: Covered (major) and non-covered (minor) sources air permits.

Current Program Activities/Allowable Expenses: Develop and administer the air program and the permitting, monitoring and enforcement requirements pursuant to Title V of the Clean Air Act.

Purpose of Proposed Ceiling Increase (if applicable): The Executive Budget proposal for the FB 2013-15 includes the establishment of two Environmental Health Specialist IV's for quality assurance oversight for federally delegated state air pollution control program and monitoring.

Encumbrances: Payroll 709; Attorney General 20,915; Motor Vehicle Gas & Oil 333; Travel 6,530; Misc. 224,979

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,595,253	3,595,253	3,890,662	3,766,533	3,839,041	3,899,549	3,899,549
Beginning Cash Balance	10,640,127	7,972,699	8,705,087	9,718,551	9,857,236	11,024,086	12,177,101
Revenues	228,652	3,663,870	3,905,218	3,905,218	5,355,218	5,355,218	5,355,218
Expenditures	2,896,080	2,931,482	2,891,754	3,766,533	4,188,368	4,202,203	6,000,000
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	7,972,699	8,705,087	9,718,551	9,857,236	11,024,086	12,177,101	11,532,319
Encumbrances	243,876	102,111	253,467				
Unencumbered Cash Balance	7,728,823	8,602,976	9,465,084	9,857,236	11,024,086	12,177,101	11,532,319

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2013 Legislature

Department: HTH  
 Prog ID(s): HTH 840  
 Name of Fund: Environmental Management Special Fund  
 Legal Authority: Sec 342G-63 HRS

Contact Name: Pat Sasaki  
 Phone: 586-4579  
 Fund type (MOF) B  
 Appropriation Acct. No. S 348 H

Intended Purpose: Fund statewide education, demonstration, market development programs; provide training for municipal solid waste operators; & fund glass recovery programs. Amended by Act 173/00 to collect motor vehicle tire surcharge (Sec 342I-B HRS) & fines or penalties for violations pursuant to Sec 342I-J HRS. Amended by Act 176/02 to fund statewide beverage container redemption & recycling program in a separate special fund S 313 H 372, the Deposit Beverage Container Special Fund.

Source of Revenues: By statute, three separate revenue sources to be used solely for respective functions: advance glass disposal fee; solid waste tip fees; and tire surcharge fees.

Current Program Activities/Allowable Expenses: Provide technical assistance to counties; municipal solid waste landfill permitting, closure review, groundwater monitoring, inspection and enforcement. Administer county glass recovery grant, conduct public outreach and administer demonstration projects. Pursuant to Act 173/00, for the period 1/1/00 to 12/31/05, moneys may be used to support permitting, monitoring and enforcement activities; promote market development and use for recovered motor vehicle tires, reuse through education, research etc.; support program for illegal dumping, clean-ups and the like. Pursuant to Act 176/02, monies in the fund shall be used to reimburse refund values and pay handling fees to redemption centers. DOH may also use the monies to: fund administrative, audit and compliance activities associated with collection and payment of the deposits and handling fees; conduct recycling education and demonstration projects; promote recyclable market development activities; support the handling and transportation of the deposit beverage containers to end-markets; hire personnel to oversee implementation of the program; and fund associated office expenses.

Purpose of Proposed Ceiling Increase (if applicable):

Encumbrances: Contracts 262,315; Payroll 263; Travel 311; Mileage 1,772; Misc. 82,041

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	5,030,048	5,030,048	5,091,176	5,128,156			
Beginning Cash Balance	3,092,172	3,476,870	2,664,047	2,755,364	4,150,775	5,546,186	6,941,597
Revenues	1,223,111	1,177,246	1,395,411	1,395,411	1,395,411	1,395,411	1,395,411
Expenditures	838,413	1,240,069	1,304,094				
Transfers							
List each by JV# and date							
JMS6244 dtd 06/23/2011		(750,000)					
Net Total Transfers		(750,000)					
Ending Cash Balance	3,476,870	2,664,047	2,755,364	4,150,775	5,546,186	6,941,597	8,337,008
Encumbrances	231,303	688,194	367,551				
Unencumbered Cash Balance	3,245,567	1,975,853	2,387,813	4,150,775	5,546,186	6,941,597	8,337,008

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2013 Legislature

Department: HTH  
 Prog ID(s): HTH 420  
 Name of Fund: Mental Health and Substance Abuse Special Fund  
 Legal Authority: Section 334-15, HRS

Contact Name: Amy Yamaguchi  
 Phone: 586-4682  
 Fund type (MOF) B  
 Appropriation Acct. No. S 346 H

Intended Purpose: The purpose of the fund is to deposit all revenue collected from treatment services rendered by mental health and substance abuse programs operated by the State.

Source of Revenues: The source of revenues include payments from Medicaid, Medicare, and patients.

**Current Program Activities/Allowable Expenses:**

Program activities include the provision of community-based outpatient services, case management services, psychosocial rehabilitation services, crisis services, residential services, and treatment services. Allowable expenses are expenses incurred to provide or support the provision of program activities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Encumbrances: Allowable encumbrances are encumbrances incurred to provide or support the provision of program activities.

<b>Financial Data</b>							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	29,026,070	15,000,000	11,670,500	11,610,000	11,610,000	11,610,000	11,610,000
Beginning Cash Balance	10,309,945	13,323,731	14,827,982	20,627,265	17,553,197	14,553,197	11,553,197
Revenues	9,859,369	6,208,870	10,915,648	7,925,932	8,000,000	8,000,000	8,000,000
Expenditures	6,845,582	2,704,619	5,116,365	11,000,000	11,000,000	11,000,000	11,000,000
Transfers							
List each by JV# and date							
JM6244 dated 6/23/2011		(2,000,000)					
Net Total Transfers	0	(2,000,000)					
Ending Cash Balance	13,323,731	14,827,982	20,627,265	17,553,197	14,553,197	11,553,197	8,553,197
Encumbrances	7,814,122	9,717,532	1,417,412				
<i>Encumbrances shown for prior years are cumulative.</i>							
Unencumbered Cash Balance	5,509,609	5,110,450	19,209,853	17,553,197	14,553,197	11,553,197	8,553,197

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2013 Legislature

Department: HTH  
 Prog ID(s): HTH 840 FH  
 Name of Fund: Drinking Water Treatment Revolving Loan Fund  
 Legal Authority: Section 340E-35 HRS

Contact Name: Pat Sasaki  
 Phone: 586-4579  
 Fund type (MOF) W  
 Appropriation Acct. No. S 344 H

Intended Purpose: Provide low interest loans to improve public and private drinking water systems in Hawaii.

Source of Revenues: Loan fund investment interest; loan fees and principal repayments from the loan fund; and safe drinking water capitalization grant.

Current Program Activities/Allowable Expenses: Review technical, financial and managerial viabilities of loan applicants; process and serve loans, and analyze future revenues and cash flow.

Purpose of Proposed Ceiling Increase (if applicable): The Executive Budget proposal for the FB 2013-15 includes the transfer of one Accountant IV from Safe Drinking Water Branch (HTH 840FH) to Environmental Resources Office (HTH 849FB) for appropriate oversight of loan management functions.

Encumbrances: Contracts 2,550,513; Payroll 3,309; Attorney General 4,000; Travel 2,877; Fee Services 211,991; Misc. 5,320; Capital Outlay 7,091,078

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	82,121,453	61,341,998	61,726,230	61,810,891	61,715,040	61,715,040	61,715,040
Beginning Cash Balance	26,579,238	30,459,148	36,550,714	45,823,634	35,954,546	35,954,546	35,954,546
Revenues	21,527,701	14,224,450	15,585,671	61,810,891	61,810,891	61,810,891	61,810,891
Expenditures	19,277,791	10,847,884	6,312,751	71,679,979	61,810,891	61,810,891	61,810,891
Transfers							
List each by JV# and date							
JM3187 dtd 1/20/2010	1,630,000						
JM6112 dtd 06/21/2011		2,715,000					
JM3042 dtd 01/17/12							
Net Total Transfers	1,630,000	2,715,000	0				
Ending Cash Balance	30,459,148	36,550,714	45,823,634	35,954,546	35,954,546	35,954,546	35,954,546
Encumbrances	14,481,788	7,801,460	9,869,088				
Unencumbered Cash Balance	15,977,360	28,749,254	35,954,546	35,954,546	35,954,546	35,954,546	35,954,546

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2013 Legislature

Department: HTH  
 Prog ID(s): HTH 849 FD, HTH 840 FG, FH, FJ  
 Name of Fund: Emergency Response Revolving Fund  
 Legal Authority: 42 USC 241; 42 CFR 52

Contact Name: Pat Sasaki  
 Phone: 586-4579  
 Fund type (MOF) W  
 Appropriation Acct. No. S 342 H, S 339 H

Intended Purpose: Fund emergency response actions as well as oil spill planning, prevention, preparedness, education, research, training, removal remediation and oil recycling programs. Includes accounts for (1) Local Emergency Planning Committees (LEPCs) by means of the Emergency Planning and Community Right to Know Act (EPCRA) filing fees used for administration and oversight of Chapter 128D, HRS; and (2) Voluntary Response Program which allows for voluntary actions taken by a party in response to hazardous substance releases and threats of diseases with a provision for relief from liability for an eligible party used for the purpose of administration and oversight pursuant to Section 128D-2, HRS, with passage of Act 245 SLH 2000, expanded use to support environmental protection and natural resources protection programs including but not limited to energy conservation and alternative energy development and to address concerns related to air quality, global warming, clean water, polluted runoff, solid and hazardous waste. Fund related costs in HTH 840 Environmental Management Division, Appropriation Account S 339 H.

Source of Revenues: Environmental response tax of 5 cents per barrel of petroleum, fines/penalties and Chemical Tier II reporting fees. The only consistent and reliable source of revenue is the oil tax @ \$1,741,311 in FY 07 and \$1,807,921 in FY 2006. The balance of the revenues received are from fees, fines and penalties. EMD has realized a decline in revenue beyond FY11, partly due to the fact that airline fuel assessments are no longer included in the oil tax percentage that goes to DOH. In FY12 and FY13 revenue did not decline too significantly due to large settlement from Koyo Inc.

Current Program Activities/Allowable Expenses: Oil spill removal and remediation activities; response to and investigation hazardous materials incidents; coordinated emergency response and related trainings. Conduct related activities in HTH 840 Environmental Management Division Clean Water, Safe Drinking Water and Solid and Hazardous Waste branches.

Purpose of Proposed Ceiling Increase (if applicable): The Executive Budget proposal for the FY 2013-15 includes the establishment of three Environmental Health Specialist IV's to enforce federal and state clean water regulations; assure adequate and qualified watershed and surface water quality monitoring.

Encumbrances: Contracts 150,000; Payroll 189; Attorney General 2,626; State Services 28,042; Laboratory 59; Travel 286; Repair & Maintenance 221; Fee Services 181,221; Training 475; Misc. 570

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	6,733,837	5,076,168	4,900,506	4,872,993	4,981,755	5,072,517	5,072,517
Beginning Cash Balance	3,945,392	3,589,071	3,442,517	2,609,829	2,253,150	896,471	(460,208)
Revenues	3,379,652	3,236,810	2,625,680	2,625,680	1,625,680	1,625,680	1,625,680
Expenditures	3,735,973	3,383,364	3,458,368	2,982,359	2,982,359	2,982,359	2,982,359
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	3,589,071	3,442,517	2,609,829	2,253,150	896,471	(460,208)	(1,816,887)
Encumbrances	926,902	1,106,500	886,272				
Unencumbered Cash Balance	2,662,169	2,336,017	1,723,556	2,253,150	896,471	(460,208)	(1,816,887)

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2013 Legislature

Department: HTH  
 Prog ID(s): HTH 840 FK  
 Name of Fund: Water Pollution Control Revolving Fund  
 Legal Authority: Clean Water Act and Section 342D-83 HRS

Contact Name: Pat Sasaki  
 Phone: 586-4579  
 Fund type (MOF) W  
 Appropriation Acct. No. S 341 H

Intended Purpose: Provide low interest loans to counties and private entities for wastewater project construction including non-point source pollution projects.  
 Source of Revenues: Water pollution investment interest, state portion of interest earned from state water pollution federal loan fund; federal portion of interest earned from revolving fund; water pollution capitalization grant and loan fees.

Current Program Activities/Allowable Expenses: Process and service loans; revise standards and procedures to streamline loan review process; monitor special conditions and payments of existing loans; process payments to counties; and analyze future revenues and cash flow.

Purpose of Proposed Ceiling Increase (if applicable): The Executive budget proposal for the FB 13-15 includes the establishment of one Engineer IV to develop new technical guidelines and regulations to expand the water reuse program; transfer of nine Water Pollution Control revolving funds positions from Wastewater Branch (HTH 849FB) to Environmental Resources Office (HTH 849FB) for appropriate oversight of loan management functions.

Encumbrances: Payroll 692; State services 8,000; Supplies 500; Travel 426; Capital outlay 15,876,973; Services 25,000; Misc. 2,400

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	101,382,021	101,382,021	101,437,748	101,183,456	100,548,057	100,591,365	100,591,365
Beginning Cash Balance	132,318,787	98,668,321	104,561,665	108,097,858	92,183,867	92,183,867	92,183,867
Revenues	33,228,118	37,520,196	53,639,259	101,183,456	101,183,456	101,183,456	101,183,456
Expenditures	67,923,584	34,783,852	50,103,066	117,097,447	101,183,456	101,183,456	101,183,456
Transfers							
List each by JV# and date							
	1,045,000						
JM6112 dtd 06/21/2011		3,157,000					
JM3042 dtd 01/17/2012							
Net Total Transfers	1,045,000	3,157,000	0				
Ending Cash Balance	98,668,321	104,561,665	108,097,858	92,183,867	92,183,867	92,183,867	92,183,867
Encumbrances	60,347,868	26,009,116	15,913,991				
Unencumbered Cash Balance	38,320,453	78,552,549	92,183,867	92,183,867	92,183,867	92,183,867	92,183,867

FY 11 expenditures include FY 10 unliquidated encumbrances and total projected expenditures for the FY 12 year.

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2013 Legislature

Department: HTH  
 Prog ID(s): HTH 610 FL/FQ  
 Name of Fund: Sanitation and Environmental Health Fund  
 Legal Authority: Section 321-27 HRS

Contact Name: Pat Sasaki  
 Phone: 586-4579  
 Fund type (MOF) B  
 Appropriation Acct. No. S 340 H & S 315 H

Intended Purpose: Enhance capacity of environmental health programs to improve public outreach, educate public and professionals, plan for future and emerging needs, and provide trainings to maintain competencies among environmental health professionals.

Increase in ceiling by 577,566 due to HAR revisions, partial in FY 14 and fully in FY 15 and out years.

Source of Revenues: Fees from food establishment inspections, temporary food permits, licenses for embalmers and tattoo artists, plan review and swimming pool permits etc.

Current Program Activities/Allowable Expenses: Enhance updated code for licenses and permits granted to food establishments; establish mechanisms for other fee-generating programs through promulgation of rules; enhance educational and public outreach programs; improve electronic systems infrastructure and capabilities; develop partnerships to enhance program operations; develop emergency response capabilities.

Purpose of Proposed Ceiling Increase (if applicable): Increase ceiling due to HAR revisions, fees increasing partially in FY 14, fully in FY 15 and out years.

Encumbrances: Payroll 95; Supplies 274; Travel 3135; Electricity 515; Repair 68; Janitorial 200; Fee Services 4,200; Misc. 21839

Financial Data							
	FY 2010 (actual)	FY 2011 (actual)	FY 2012 (actual)	FY 2013 (estimated)	FY 2014 (estimated)	FY 2015 (estimated)	FY 2016 (estimated)
Appropriation Ceiling	590,135	590,135	966,542	939,148	1,516,714	1,516,714	1,516,714
Beginning Cash Balance	453,170	542,217	675,800	1,080,595	983,174	1,007,256	1,338,813
Revenues	788,551	715,145	1,126,799	793,456	1,300,000	1,800,000	2,000,000
Expenditures	542,106	581,562	722,004	890,877	1,275,918	1,468,443	1,800,000
Transfers							
List each by JV# and date							
JM0333 dtd 08/06/2009	(157,398)						
Net Total Transfers	(157,398)						
Ending Cash Balance	542,217	675,800	1,080,595	983,174	1,007,256	1,338,813	1,538,813
Encumbrances	51,279	23,279	30,327				
Unencumbered Cash Balance	490,938	652,521	1,050,268	983,174	1,007,256	1,338,813	1,538,813

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							



**Report on Non-General Fund Information**  
for Submittal to the 2013 Legislature

Department: Health  
 Prog ID(s): HTH 760  
 Name of Fund: Vital Statistics Improvement Special Fund  
 Legal Authority: HRS 338-14.6

Contact Name: Alvin T. Onaka, Ph.D.  
 Phone: 586-4600  
 Fund type (MOF) B  
 Appropriation Acct. No. S 338 H

**Intended Purpose:**

For the modernization and automation of the vital statistics system in this State. May also be used to assist in offsetting costs for the daily operations of the vital statistics system.

**Source of Revenues:**

Fees for certified copies of birth, death and marriage records.

**Current Program Activities/Allowable Expenses:**

Development of Electronic Marriage & Civil Union Registration, enhancement of Electronic Death Registration System.

**Purpose of Proposed Ceiling Increase (if applicable):**

The Executive budget proposal for the FB 13-15 includes: (1) Add PHAO IV (1.00/35,488B; 1.00/64,736B), (2) Change MOF from P to B for Research Stat IV (1.00/72,884B; 1.00/72,884B)(1.00/0P; 1.00/0P), (3) Convert to perm (3.00/0A; 3.00/0A).

**Encumbrances:**

Various allowable expenses.

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	830,670	580,170	587,271	583,608	691,980	724,891	724,891
Beginning Cash Balance	1,058,059	886,796	808,629	638,892	526,595	433,595	345,595
Revenues	256,074	345,064	212,811	300,000	300,000	305,000	310,000
Expenditures	427,337	423,231	382,547	412,297	393,000	393,000	393,000
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	886,796	808,629.32	638,892	526,595	433,595	345,595	262,595
<i>Encumbrances shown for prior years are cumulative.</i>							
Encumbrances	236,501	93,169	10,187				
Unencumbered Cash Balance	650,296	715,460	628,705	526,595	433,595	345,595	262,595

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2013 Legislature

Department: HEALTH  
 Prog ID(s): HTH590  
 Name of Fund: Organ and Tissue Education Special Fund  
 Legal Authority: Sec. 327-5.6, HRS

Contact Name: Lola Irvin  
 Phone: 586-4488  
 Fund type (MOF) B  
 Appropriation Acct. No. S 337 H

**Intended Purpose:** The fund consists of a \$1.00 donation collected at the time of motor vehicle registration and those funds shall be used exclusively for public education programs and activities on organ, tissue and eye donations.

**Source of Revenues:** \$1.00 donation at the time of motor vehicle registration.

**Current Program Activities/Allowable Expenses:** Funds are requested and used by the Organ Donor Center of Hawaii for public education activities on the lifesaving benefits of the Organ Donor Program.

**Purpose of Proposed Ceiling Increase (if applicable):** None.

**Encumbrances:** None.

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	20,000	20,000	20,000	20,000	20,000	20,000	20,000
Beginning Cash Balance	4,987	11,640	15,806	24,112	24,112	24,112	24,112
Revenues	12,549	9,854	18,306	20,000	20,000	20,000	20,000
Expenditures	5,895	5,688	10,000	20,000	20,000	20,000	20,000
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	11,640	15,806	24,112	24,112	24,112	24,112	24,112
Encumbrances	5,950	700	0				
Encumbrances shown for prior years are cumulative.							
Unencumbered Cash Balance	5,690	15,106	24,112	24,112	24,112	24,112	24,112

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2013 Legislature

Department: HTH  
 Prog ID(s): HTH 840 FK  
 Name of Fund: Wastewater Treatment Certification Board Special Fund  
 Legal Authority: Act 238/SLH 1999

Contact Name: Pat Sasaki  
 Phone: 586-4579  
 Fund type (MOF) B  
 Appropriation Acct. No. S 336 H

Intended Purpose: Establish and collect fees for applications, conduct examinations, issue or renew certificates pursuant to 340B, HRS.

Source of Revenues: Fees for certification of wastewater treatment personnel.

Current Program Activities/Allowable Expenses: Implement duties and powers of the Board pursuant to 340B-11, HRS.

Purpose of Proposed Ceiling Increase (if applicable):

Encumbrances: Misc. 1,698; Supplies 2,744

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	21,120	21,120	21,120	21,021	21,021	21,021	21,021
Beginning Cash Balance	62,498	60,885	72,410	69,709	74,709	59,709	49,709
Revenues	14,191	24,975	14,880	25,000	15,000	25,000	15,000
Expenditures	15,804	13,450	17,581	20,000	30,000	35,000	35,000
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	60,885	72,410	69,709	74,709	59,709	49,709	29,709
Encumbrances	1,340	1,280	4,442				
Unencumbered Cash Balance	59,545	71,130	65,267	74,709	59,709	49,709	29,709

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2013 Legislature

Department: HEALTH  
 Prog ID(s): HTH590  
 Name of Fund: Tobacco Settlement Special Fund  
 Legal Authority: Section 328L-2, HRS

Contact Name: Lola Irvin  
 Phone: 586-4488  
 Fund type (MOF) B  
 Appropriation Acct. No. S 335 H

**Intended Purpose:** See Attachment S 335 H

**Source of Revenues:** See Attachment S 335 H

**Current Program Activities/Allowable Expenses:** See Attachment S 335 H

**Purpose of Proposed Ceiling Increase (if applicable):** N/A

**Encumbrances:** Contracts and purchase orders with the University of Hawaii to provide support for the Health Data Warehouse, provide planning and evaluation for Hawaii Health Initiative surveys. Purchase orders with vendors for various services, major vendors include Maui Family Support Services, YWCA of Hawaii Island and Catholic Charities Hawaii. Payroll.

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	48,996,207	50,134,886	50,299,643	50,190,366	50,299,643	50,299,643	50,299,643
Beginning Cash Balance	43,505,681	39,714,018	27,576,726	28,038,293	28,038,293	28,038,293	28,038,293
Revenues	36,970,897	36,349,661	25,723,728	46,100,000	46,100,000	46,100,000	46,100,000
Expenditures	28,247,677	29,049,598	20,660,349	46,100,000	46,100,000	46,100,000	46,100,000
Transfers							
List each by JV# and date							
Trf out#JS2197 MSA UH Reimburse	(977,831)	(7,200,000)	(19,122)				
Trf out#JS5887 SFY 2012	(6,941,047)	(6,742,413)	(4,582,690)				
	(4,596,005)	(4,494,942)					
		(1,000,000)					
Net Total Transfers	(12,514,882)	(19,437,355)	(4,601,811)				
Ending Cash Balance	39,714,018	27,576,725.99	28,038,293	28,038,293	28,038,293	28,038,293	28,038,293
Encumbrances	14,347,499	8,126,690	8,947,130				
<i>Encumbrances shown for prior years are cumulative.</i>							
Unencumbered Cash Balance	25,366,519	19,450,036	19,091,163	28,038,293	28,038,293	28,038,293	28,038,293
<i>*Note that Tobacco Settlement payments under the Master Settlement Agreement are received in April so the prior year unencumbered cash balance is needed to operate the TSP the following FY until the payment is received.</i>							
Additional Information:							
Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2013 Legislature  
Tobacco Settlement Project/Healthy Hawaii Initiative**

**Name of Fund:** Tobacco Settlement Special Fund

**Legal Authority:** Section 328L-2, HRS

**Intended Purpose:**

The Tobacco Settlement Special Fund (TSSF) is used for receiving, allocating and appropriating the tobacco settlement receipts from the Master Settlement Agreement with the participating tobacco companies. Fiscal Year 2012 distribution portions:

- 25% appropriated to the DOH and used to transfer up to 10% of total tobacco settlement moneys to the DHS for children's health insurance program and the remainder for health promotion and disease prevention programs;
- 28% appropriated into the University Revenue-undertakings Fund created in Section 306-10, HRS; and
- 47% deposited to the credit of the state general fund:
  - Section 58, Act 124, SLH 2011, transfers portions for the Emergency & Budget Reserve Fund and Tobacco Prevention and Control (TPC) Trust fund to general funds for fiscal years 2012 and 2013.

**Source of Revenues:**

All tobacco settlement moneys and all interest/earnings accruing from the investment of moneys in the fund, less an amount of funds representing the first \$350,000 of the yearly payment received which shall be deposited into the State Treasury for the purpose of the tobacco enforcement special fund.

- Section 30, Act 79, SLH 2009, from July 1, 2009, until June 30, 2015, deposits into general funds interest earned on short-term investment of moneys of special funds, revolving funds, and special accounts, including the TSSF.

**Current Program Activities/Allowable Expenses:**

The Tobacco Settlement Project/Healthy Hawaii Initiative (TSP/HHI) was established in the Department of Health to:

- Administer within the Department the distribution of the TSSF per Section 328L-2;
- Implement and develop health promotion and chronic disease prevention programs per Section 328L-4;
- Administer the tobacco prevention and control trust fund and support the tobacco prevention and control advisory board, per Section 328L-5, -6.

Beginning in fiscal year 2010, per Act 162, SLH 2009, the general funded personnel and operational costs for Chronic Disease Management and Control Branch (CDMCB) were transferred to the TSSF. Since then, per Act 180, SLH 2010, the CDMCB is now in the HTH 590 organizational code. As of fiscal year 2012, the CDMCB has been formally organized into the TSP/HHI. The TSP/HHI has proposed a reorganization concept as part of converting the various special projects now in the combined TSP/HHI into civil service. The TSP/HHI encompasses the programs that address the prevention, early detection, management needs of chronic disease. The major program areas funded or supported in-kind through the TSSF are:

- Nutrition, physical activity and obesity prevention;
- Tobacco prevention and control;
- Categorical disease areas of asthma, cancer, diabetes, heart disease and stroke;
- Bilingual health services to assist limited and non-English speaking populations; and
- Surveillance, evaluation, and epidemiology.

The TSP/HHI is organized to prevent obesity and chronic disease and increase years of healthy life for those already diagnosed by addressing policy, environmental and systems changes that have a population-based impact. The programs also provide pilots for people groups experiencing health disparities. Expenditures on activities include:

- School health contracts with Department of Education to support health and physical education curriculum implementation and resource teacher positions, school food services training and recipe development, targeted nutrition and physical activity education, and supporting state-wide implementation and reporting on the Wellness Guidelines.

**Report on Non-General Fund Information  
for Submittal to the 2013 Legislature  
Tobacco Settlement Project/Healthy Hawaii Initiative**

- Tobacco prevention education campaigns, providing training and technical assistance on tobacco control and enforcement, and surveillance and enforcement of tobacco control policies;
- Community built environment, policy and systems change planning, development, and training, worksite wellness policy developments and pilots;
- Targeted public education campaigns for obesity and chronic disease prevention;
- Matching funding for the Supplemental Nutrition Assistance Program (SNAP) Education Program (SNAPEd) to provide nutrition education to qualifying communities based on income level; and
- Evaluation of program activities;
- Monitoring and reporting on the health status of the adult and school-aged populations through the Hawaii Health Data Warehouse and the Hawaii Health Matters sites, and dissemination of public health data and intervention findings.

**Report on Non-General Fund Information**  
for Submittal to the 2013 Legislature

Department: HEALTH  
 Prog ID(s): HTH 520  
 Name of Fund: Disability and Communication Access Board Special Fund  
 Legal Authority: \_\_\_\_\_

Contact Name: Francine Wai  
 Phone: 586-8121  
 Fund type (MOF) B  
 Appropriation Acct. No. S-334-H-070

Intended Purpose Sign Language Interpreter Fund

Source of Revenues:

Current Program Activities/Allowable Expenses: Sign language interpreter testing

Purpose of Proposed Ceiling Increase (if applicable):

Encumbrances:

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	10,000	10,000	10,000	10,000	10,000	10,000	10,000
Beginning Cash Balance	6,351	6,807	6,389	7,055	7,055	7,055	7,055
Revenues	2,640	1,127	1,231	2,000	2,000	2,000	2,000
Expenditures	2,184	1,545	566	2,000	2,000	2,000	2,000
Transfers							
List each by JV# and date							
Net Total Transfers	-	0					
Ending Cash Balance	6,807	6,389	7,055	7,055	7,055	7,055	7,055
Encumbrances	1,240	3,340	540				
Unencumbered Cash Balance	5,567	3,049	6,515	7,055	7,055	7,055	7,055

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2013 Legislature

Department: HEALTH  
 Prog ID(s): HTH 560  
 Name of Fund: TS Early Intervention  
 Legal Authority: Section 23 of Act 162/SLH 2009, as amended by Act 180/SLH 2010

Contact Name: Paul Takishita  
 Phone: 733-9062  
 Fund type (MOF) B  
 Appropriation Acct. No. S 332 H

Intended Purpose: The Legislature intended that the Tobacco Settlement Special Funds be expended for the early intervention program.

Source of Revenues: Tobacco Settlement Special Funds

Current Program Activities/Allowable Expenses: This funding is used for the purchase of service contracts from the Request for Proposal which solicited early intervention services fro infants & toddlers with special needs under the age of 3.

Purpose of Proposed Ceiling Increase (if applicable): The ceiling for this was deleted in Act 164/2011. The estimated ending balance of \$65k will be returned to the Tobacco Settlement Special Fund in FY 2012.

Encumbrances: Outstanding encumbrances shown for prior years are cumulative.

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,000,000	1,000,000	0	0	0	0	0
Beginning Cash Balance	0	361,765	212,168	65,000	65,000	65,000	65,000
Revenues	22,169	0	0	0	0	0	0
Expenditures	638,235	1,149,597	147,168	0	0	0	0
Transfers							
List each by JV# and date							
JV #JS5382 dtd 5/5/2010	977,831						
Cash trf (planned for FY 2012)			0				
JS2991 dtd 1/7/2011		1,000,000					
Net Total Transfers	977,831	1,000,000	0				
Ending Cash Balance	361,765	212,168	65,000	65,000	65,000	65,000	65,000
Encumbrances	361,765	147,168					
Unencumbered Cash Balance	0	65,000	65,000	65,000	65,000	65,000	65,000

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							



**Report on Non-General Fund Information**  
for Submittal to the 2013 Legislature

Department: HEALTH  
 Prog ID(s): HTH 560  
 Name of Fund: Early Intervention Special Fund  
 Legal Authority: § 321-355, HRS

Contact Name: Alice Ige  
 Phone: 733-8380  
 Fund type (MOF): B  
 Appropriation Acct. No. S 331 H

Intended Purpose: To generate revenues to reinvest into the early intervention services for the at-risk, zero-to-three population; and to expand and enhance early intervention services for infants and toddlers with special needs

Source of Revenues: Federal reimbursements from Medicaid and Title IV E and any other program income or grants earned by this fund.

Current Program Activities/Allowable Expenses: Program activities include community based, family centered early intervention services to infants and toddlers with developmental delays; family support programs to reduce risk of child abuse and neglect; training and education for professionals, paraprofessional, and families; and clerical support to input data to support the carve-out request and other data management expenses related to early intervention services.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Encumbrances: Early Intervention Services had a shortfall in meeting service requirements for children zero-to-three and we issued purchase orders for services in the amount of \$500,000 in FY 2012. These purchase orders are issued to providers and payments are being processed as we receive invoices. Our fiscal year ends on 6/30/XX of each year, however we will always have encumbrances remaining because there is always a delay in the submission of invoices. The reason for this is that invoices are submitted after the services are provided, therefore invoices can be received after the close of the fiscal year. Further, the Central Services Assessment/Departmental Administrative Expense Assessment for the 4th quarter is not charged until the following fiscal year because the charge is based on revenues and expenditures for the completed fiscal year.

Financial Data							
	FY 2010 (actual)	FY 2011 (actual)	FY 2012 (actual)	FY 2013 (estimated)	FY 2014 (estimated)	FY 2015 (estimated)	FY 2016 (estimated)
Appropriation Ceiling	5,648,725	5,377,533	3,095,044	3,085,670	3,085,670	3,085,670	3,085,670
Beginning Cash Balance	1,002,700	718,519	1,520,552	1,399,062	1,349,062	1,299,062	1,249,062
Revenues	747,591	1,268,701	378,262	375,000	375,000	375,000	375,000
Expenditures	1,031,772	466,668	499,752	425,000	425,000	425,000	425,000
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	718,519	1,520,552	1,399,062	1,349,062	1,299,062	1,249,062	1,199,062
Encumbrances	170,000	20,809	553,208				
Unencumbered Cash Balance	548,519	1,499,743	845,854	1,349,062	1,299,062	1,249,062	1,199,062

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2013 Legislature

Department: HTH  
 Prog ID(s): HTH 840 FJ  
 Name of Fund: Leaking Underground Storage Tank Fund  
 Legal Authority: Section 342L-51 HRS

Contact Name: Pat Sasaki  
 Phone: 586-4579  
 Fund type (MOF) W  
 Appropriation Acct. No. S 330 H

Intended Purpose: Respond to petroleum releases from underground storage tanks or tank systems in a manner consistent with Chapter 342L, HRS.

Source of Revenues: Fees from field citations or enforcement actions pursuant to Chapter 342L, HRS.

Current Program Activities/Allowable Expenses: Deposit fees and utilize funds to cover costs incurred in responding to petroleum releases per Sections 342L-8(g) and 342L-53(d), HRS.

Purpose of Proposed Ceiling Increase (if applicable):

Encumbrances:

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	200,000	200,000	200,000	200,000	200,000	200,000	200,000
Beginning Cash Balance	698,345	790,528	952,803	1,050,678	1,148,553	1,246,428	1,344,303
Revenues	92,183	162,275	97,875	97,875	97,875	97,875	97,875
Expenditures							
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	790,528	952,803	1,050,678	1,148,553	1,246,428	1,344,303	1,442,178
Encumbrances							
Unencumbered Cash Balance	790,528	952,803	1,050,678	1,148,553	1,246,428	1,344,303	1,442,178

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2013 Legislature

Department: HEALTH  
 Prog ID(s): HTH 460  
 Name of Fund: TITLE IV-E Reimbursement (Interdepartmental transfer from DHS)  
 Legal Authority: Act 259/01, as amended by Act 177/02; Act 200/03

Contact Name: Wakaba Stephens  
 Phone: 733-9866  
 Fund type (MOF) U  
 Appropriation Acct. No. S 328 H

**Intended Purpose:**

To provide training and skill development to foster parents/prospective foster parents licensed by child care (placement) organizations (CPO) that are licensed by Department of Human Services (DHS). Many of these youth are cared for through foster services and thus the Title IV-E funds are reimbursed to DOH through hDHS. Also reimbursable are training activities provided to staff of CPOs with respect to their activities involving the licensing and training of foster homes.

Source of Revenues: Reimbursement through DHS for eligible Title IV-E training.

Current Program Activities/Allowable Expenses: Training and skill development of foster parents/prospective foster parents on an on-going basis.

Purpose of Proposed Ceiling Increase (if applicable):

Encumbrances:

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,277,206	2,260,161	2,264,888	2,258,470	2,258,470	2,258,470	2,258,470
Beginning Cash Balance	776,649	686,283	318,655	295,168	275,168	255,168	235,168
Revenues	47,560	0	103,235	100,000	100,000	100,000	100,000
Expenditures	462,490	367,628	126,722	120,000	120,000	120,000	120,000
Transfers							
List each by JV# and date	324,564						
Net Total Transfers	324,564		0	0	0	0	0
Ending Cash Balance	686,283	318,655	295,168	275,168	255,168	235,168	215,168
Encumbrances	0	856	36	0	0	0	0
Encumbrances shown for prior years are cumulative.							
Unencumbered Cash Balance	686,283	317,799	295,132	275,168	255,168	235,168	215,168

Note: For U-funds, although funds are encumbered, funds are not drawn down until just prior to payment processing (approximately three days prior to payment since these are federal funds).

Additional Information:							
Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2013 Legislature

Department: HTH  
 Prog ID(s): HTH 610 FN  
 Name of Fund: Vector Control  
 Legal Authority: Act 259/2001 as amended by Act 177/2002; Act 213/2007

Contact Name: Pat Sasaki  
 Phone: 586-4579  
 Fund type (MOF) U  
 Appropriation Acct. No. S 327 H

Intended Purpose: Prevent introduction of air- and sea-borne vectors and diseases to the State.

Source of Revenues: State Department of Transportation transfer of funds for sanitation work at major ports of entry.

Current Program Activities/Allowable Expenses: Identify potential breeding grounds and harborage sites and maintain traps and poison at stations at all airports and seaports under Department of Transportation jurisdiction.

Purpose of Proposed Ceiling Increase (if applicable):

Encumbrances: Contracts

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	54,185	54,185	55,481	53,031	53,031	53,031	53,031
Beginning Cash Balance	71,418	89,381	41,564	48,338	48,338	48,338	48,338
Revenues	97,640	0	55,481	53,031	53,031	53,031	53,031
Expenditures	79,677	47,817	48,707	53,031	53,031	53,031	53,031
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	89,381	41,564	48,338	48,338	48,338	48,338	48,338
Encumbrances							
Unencumbered Cash Balance	89,381	41,564	48,338	48,338	48,338	48,338	48,338

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2013 Legislature

Department: Health  
 Prog ID(s): HTH 141  
 Name of Fund: Farrington High School RN  
 Legal Authority: Act 164/2011, as amended by Act 106/2012

Contact Name: Glenn Wasserman, M. D.  
 Phone: 586-4583  
 Fund type (MOF) U  
 Appropriation Acct. No. S 326 H

**Intended Purpose:**

U funding currently for: Registered Nurse IV (#118858) in the Public Health Nursing Branch (PHNB) for Farrington High School Transition Center; funding from Department of Education (DOE).

**Source of Revenues:**

U-funding (inter-agency) from the DOE.

**Current Program Activities/Allowable Expenses:**

PHNB provides a 1.00 FTE Registered Nurse IV (#118858), funded by the DOE, to Farrington High School Transition Center.

**Purpose of Proposed Ceiling Increase (if applicable):**

Not applicable.

**Encumbrances:**

None.

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,545,037	1,145,877	131,746	131,746	131,746	131,746	131,746
Beginning Cash Balance	118,929	116,057	132,771	140,462	140,462	140,462	140,462
Revenues	111,286	129,948	130,654	131,520	131,520	131,520	131,520
Expenditures	114,157	113,234	122,963	131,520	131,520	131,520	131,520
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	116,057	132,771	140,462	140,462	140,462	140,462	140,462
Encumbrances							
<i>Encumbrances shown for prior years are cumulative.</i>							
Unencumbered Cash Balance	116,057	132,771	140,462	140,462	140,462	140,462	140,462

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2013 Legislature

Department: HEALTH  
 Prog ID(s): HTH 560  
 Name of Fund: Various - See Attachment: S 325 H  
 Legal Authority: Various - See Attachment: S 325 H

Contact Name: Ellen Matoi  
 Phone: 586-9305  
 Fund type (MOF) U  
 Appropriation Acct. No. S 325 H

Intended Purpose: Various - See Attachment S 325 H

Source of Revenues: Various - See Attachment S 325 H

Current Program Activities/Allowable Expenses: See Attachment S 325 H

Purpose of Proposed Ceiling Increase (if applicable):

Encumbrances: None

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,139,907	3,120,962		1,864,562	1,864,562	1,864,562	1,864,562
Beginning Cash Balance	490,730	498,896	241,201	248,918	248,918	248,918	248,918
Revenues	2,353,050	319,544	74,000	74,000	74,000	74,000	74,000
Expenditures	2,344,884	577,238	66,284	74,000	74,000	74,000	74,000
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	498,896	241,201	248,918	248,918	248,918	248,918	248,918
Encumbrances							
Unencumbered Cash Balance	498,896	241,201	248,918	248,918	248,918	248,918	248,918

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2013 Legislature  
Family Health Services Division**

1. **Name of Fund:** Violence Prevention Program, Child Death Review (CDR)

**Legal Authority:** Act 162, SLH 2009, as amended by Act 180, SLH 2010

**Intended Purpose:** To provide for a CDR Nurse Coordinator position to implement the statewide CDR System

**Source of Revenues:** Interdepartmental transfer from the Department of Human Services' (DHS) federal Child Abuse and Neglect State Grant funds and its Spouse and Child Abuse Special Fund.

**Current Program Activities:**

- ◆ Provides staffing to the State CDR Council who is responsible to analyze and evaluate data, to develop public health policy and to expand prevention programs to impact and strengthen the system to prevent further child deaths.
- ◆ Provides staffing and assistance to the six local CDR Teams including the Department of Defense. The purpose of the local teams is to review the circumstances and causes of child deaths and to identify gaps in the delivery system and communication between organizations and to identify environmental, educational and organizational issues related to the prevention of child deaths.
- ◆ Prepares reports in collaboration with the Council members to synthesize the data obtained from the team reviews.
- ◆ Assists in CDR training and education activities.
- ◆ Supports and collaborates with the research statistician to maintain a CDR surveillance system.
- ◆ Maintains and updates CDR Policies and Procedures Manual.

\*\*\*\*\*

2. **Name of Fund:** Teen Pregnancy Prevention Program (**Inactive, Ceiling Removed FY 2013**)

**Legal Authority:** Act 162, SLH 2009, as amended by Act 180, SLH 2010

**Intended Purpose:** To provide a Child & Youth Program Specialist position for the Temporary Assistance to Needy Families (TANF) teen pregnancy prevention training programs.

**Source of Revenues:** Due to DHS not signing the Memorandum of Agreement with the DOH since FY 2010, the transfer of Interdepartmental TANF funds has not occurred and therefore these services will not be performed.

**Current Program Activities** (through fiscal year 2009 these activities were provided):

- ◆ Provides staffing to support the coordination and collaboration with DHS, other departments, and public and private agencies for the TANF Teen Pregnancy Prevention Training and Abstinence Education Grant.
- ◆ Provides technical assistance and contract oversight for DHS TANF contracts and activities.

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**Report on Non-General Fund Information  
for Submittal to the 2013 Legislature  
Family Health Services Division**

**3. Name of Fund:** Family Planning Health Education Services (Inactive, Ceiling Removed FY 2013)

**Legal Authority:** Act 162, SLH 2009, as amended by Act 180, SLH 2010

**Intended Purpose:** To increase community health educator family planning positions in the purchase of service (POS) contracts to provide information to priority populations including but not limited to those who are uninsured and underinsured, with limited English proficiency, and adolescents. Information was to be provided to improve family planning outcomes such as ensuring access to appropriate reproductive health services, prevention of unintended pregnancy, promotion of healthy behaviors, importance of preconception care, and sexually transmitted disease infection testing. The memorandum of agreement for these services was not signed by DHS to provide these services through this funding source.

**Source of Revenues:** Due to DHS not signing the Memorandum of Agreement with the DOH since FY 10, the transfer of Interdepartmental TANF funds has not occurred and therefore these services will not be performed.

**Current Program Activities** (through fiscal year 2009 these activities were provided):

- ♦ Coordinate the role of the community based health educator with the clinics role in improving access to services.
- ♦ Provide services that will promote knowledge of appropriate reproductive health and family planning behaviors through preconception care to prevent unintended pregnancy and related risk taking behaviors.
- ♦ Expand resources to reduce Hawaii's rate of unintended pregnancy and increase Hawaii's rate of sexually transmitted disease infection testing.
- ♦ Provide resource information and advocate for the client in the area of family planning.

\*\*\*\*\*

**4. Name of Fund:** Healthy Start Services (Inactive, Ceiling Retained)

**Legal Authority:** Memorandum of Agreement with DHS per intent of Act 162, SLH 2009, as amended by Act 180, SLH 2010

**Intended Purpose:** The purpose of this program falls under TANF purpose three, which is to prevent and reduce the incidence of out-of-wedlock pregnancies, or TANF purpose four which is to encourage the formation and maintenance of two-parent families.

**Source of Revenues:** DHS' federal TANF funds

**Current Program Activities:** Home visiting services will focus on assisting parents and/or child caretakers: to develop nurturing parenting skills, to enhance protective factors within the family home, to learn age-appropriate child development, to ensure the child's well-being in the family home, to increase the capacity to meet the child's needs, to develop both formal and informal networks of family support, and to be connected to community-based resources for the family.

The MOA was effective until 6/30/10. A new MOA for fiscal year 2011 was returned without action by DHS. DHS reported that the TANF funds were not included in the DHS TANF Financial Plan submitted to the Legislature.

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**Report on Non-General Fund Information  
for Submittal to the 2013 Legislature  
Family Health Services Division**

**5. Name of Fund:** Keiki Care Project (Inactive, Ceiling Removed FY 2013)

**Legal Authority:** Memorandum of Agreement with DHS per intent of Act 162, SLH 2009, as amended by Act 180, SLH 2010

**Intended Purpose:** The purpose of this program is to support the implementation of statewide efforts to strengthen the capacity of child care programs in order to improve social and emotional outcomes for young children. This goal includes assisting in the ongoing development of an integrated and competent service system statewide that is responsive to the needs of young children with social, emotional and behavioral concerns.

**Source of Revenues:** DHS's federal funds appropriation. (P.L. 104-193)

**Current Program Activities:** The Project Coordinator will collaborate with state agencies, as well as community early childhood initiatives and associations, community preschools; implement appropriate training curriculums that will support positive social and emotional outcomes for young children; identify issues that interfere with the successful inclusion of young children with social emotional and behavioral challenges in community preschools and child care programs; assist with the planning and/or development of new programs or projects to support children with social, emotional, and behavioral challenges; and provide direct consultation, training and technical assistance on child-specific and/or group (e.g., classroom) issues.

\*\*\*\*\*

**6. Name of Fund:** Full Inclusion Project (Inactive, Ceiling Removed FY 2013)

**Legal Authority:** Memorandum of Agreement with DHS per intent of Act 162, SLH 2009, as amended by Act 180, SLH 2010

**Intended Purpose:** To provide funding for monthly subsidy payments to child care providers of children with special health needs whose ages range from birth to three years old. In addition to direct training, children who are eligible for both Part C and Child Care Development Block Grant funds are provided child care.

**Source of Revenues:** DHS' federal Child Care and Development Block Grant

**Current Program Activities:** The Project Coordinator will administer this project and increase the community capacity to provide child care for infants and toddlers with special needs, based on parent choice, through financial support to existing child care providers (either in center-based or family home care), who are interested in receiving training and support.

**Report on Non-General Fund Information**  
for Submittal to the 2013 Legislature

Department: HEALTH  
 Prog ID(s): HTH 520  
 Name of Fund: Special Parent Information Network  
 Legal Authority: \_\_\_\_\_

Contact Name: Francine Wai  
 Phone: 586-8121  
 Fund type (MOF) U (Interdepartmental Transfer)  
 Appropriation Acct. No. S-324-H-070

**Intended Purpose:**

Operation of the Special Parent Information Network

**Source of Revenues:**

Department of Education

**Current Program Activities/Allowable Expenses:**

2.0 positions and operating costs

**Purpose of Proposed Ceiling Increase (if applicable):**

Increased costs, program move

Encumbrances:

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	204,812	192,310	195,776	188,295	244,857	244,857	244,857
Beginning Cash Balance	103,317	98,851	268,187	102,514	92,547	70,875	49,203
Revenues	180,926	347,095	11,284	178,328	178,328	178,328	178,328
Expenditures	185,392	177,759	175,969	188,295	200,000	200,000	220,000
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	98,851	268,187	103,503	92,547	70,875	49,203	7,531
Encumbrances	1,721	10,208	11,317				
Unencumbered Cash Balance	N/A	N/A	92,186	92,547	70,875	49,203	7,531

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2013 Legislature

Department: HTH  
 Prog ID(s): HTH 610 FR  
 Name of Fund: Asbestos and Lead Abatement Special Fund  
 Legal Authority: Act 242/1998 and 342-P, HRS

Contact Name: Pat Sasaki  
 Phone: 586-4579  
 Fund type (MOF) B  
 Appropriation Acct. No. S 323 H 368

Intended Purpose: Support mandated functions and activities of the federal National Emissions Standards for Hazardous Air Pollutants, Asbestos-in-School and Lead-Based Paint Abatement programs.

Source of Revenues: Fees for demolition/renovation and accreditation of asbestos training.

Current Program Activities/Allowable Expenses: Inspections; public outreach and training for compliance and certification of lead-based paint abatement contractors.

Purpose of Proposed Ceiling Increase (if applicable):

Encumbrances: Postage 583; Electricity 752; Travel 1,206; Miscellaneous Expenses 4,719; Personnel 82

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	210,532	210,532	223,698	219,186	219,816	219,816	219,816
Beginning Cash Balance	284,739	253,173	254,429	316,042	342,042	368,042	424,042
Revenues	177,215	196,755	256,745	256,000	256,000	256,000	256,000
Expenditures	208,781	195,499	195,132	230,000	230,000	200,000	200,000
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	253,173	254,429	316,042	342,042	368,042	424,042	480,042
Encumbrances	15,281	5,875	7,343				
Unencumbered Cash Balance	237,892	248,554	308,699	342,042	368,042	424,042	480,042

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2013 Legislature

Department: HTH  
 Prog ID(s): HTH 610 FR  
 Name of Fund: Noise, Radiation and Indoor Air Quality Special Fund  
 Legal Authority: Section 342P-7, HRS

Contact Name: Pat Sasaki  
 Phone: 586-4579  
 Fund type (MOF) B  
 Appropriation Acct. No. S 322 H 368

Intended Purpose: Implement mandated functions and activities of the Indoor and Radiological Health Branch.

Source of Revenues: Fees for radiological technologists/radiation facilities, radiation services, noise permits etc.

Current Program Activities/Allowable Expenses: Issue permits, notifications and variances; license and certify radiological technologists and asbestos and lead abatement contractors; provide emergency response and capabilities; respond to complaints; inspect facilities; conduct public outreach and education programs; participate in professional trainings.

Purpose of Proposed Ceiling Increase (if applicable): The Executive budget proposal for the FB 13-15 includes: Establishment of 8 Sanitarian positions for food inspections.

Encumbrances: Postage 583; Sewer 80; Electricity 1,042; Travel 345; Mileage 300; Motor Vehicle Gas/Oil 162; Misc. 667

Note: Datamart Entry reads Object Code 7220 -- should be 7720 for Vehicle 33,745

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	180,000	180,000	234,664	279,288	503,059	760,092	760,092
Beginning Cash Balance	555,323	592,465	576,823	546,241	496,241	446,241	421,241
Revenues	126,421	126,549	100,450	100,000	100,000	100,000	100,000
Expenditures	89,279	142,191	131,032	150,000	150,000	125,000	125,000
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	592,465	576,823	546,241	496,241	446,241	421,241	396,241
Encumbrances	22,137	9,258	40,381				
Unencumbered Cash Balance	570,328	567,565	505,860	496,241	446,241	421,241	396,241

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2013 Legislature

Department: HEALTH  
 Prog ID(s): HTH 560  
 Name of Fund: Domestic Violence and Sexual Assault Special Fund  
 Legal Authority: Section 321-1.3, HRS

Contact Name: Leighton Tamura  
 Phone: 733-9073  
 Fund type (MOF) B  
 Appropriation Acct. No. S 321 H

Intended Purpose: Various - See Attachment S 321 H

Source of Revenues: Various - See Attachment S 321 H

Current Program Activities/Allowable Expenses: See Attachment S 321 H

Purpose of Proposed Ceiling Increase (if applicable):

Encumbrances: Outstanding encumbrances will be processed after the close of the fiscal year from vendors/contracted providers.

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	620,459	613,432	620,459	620,459	602,712	379,120	350,000
Beginning Cash Balance	1,339,661	1,002,769	659,876	472,375	433,255	394,135	355,015
Revenues	269,736	364,646	211,989	340,000	340,000	340,000	341,000
Expenditures	606,627	707,539	399,490	379,120	379,120	379,120	350,000
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	1,002,769	659,876	472,375	433,255	394,135	355,015	346,015
Encumbrances	300,853	155,824	320,353				
Unencumbered Cash Balance	701,916	504,052	152,022	433,255	394,135	355,015	346,015

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Note: The estimated ceiling for FY 2014 was adjusted due to budget request for FB13-15.

**Report on Non-General Fund Information  
For Submittal to the 2013 Legislature**  
Maternal and Child Health Branch,  
Family Health Services Division

**Name of Fund:** Domestic Violence and Sexual Assault Special Fund (DVSASF)  
**Legal Authority:** Section 321-1.3, HRS  
**Fund Type (MOF):** B  
**Appr'n Acct Code:** S 321 H

**Intended Purpose:** For use by the Department of Health to fund programs or purchases of service supporting/providing domestic violence and sexual violence intervention and/or prevention.

**Source of Revenues:** Fees from birth, marriage, divorce and death certificates; and income tax designations per §235-102.5, HRS.

**Current Program Activities/Allowable Expenses:**

- A. Purchase of Service agreement to the Hawaii State Coalition Against Domestic Violence to provide leadership and oversight including consultation, technical assistance, and training in the implementation of the Domestic Violence Strategic Plan statewide in the Counties of Hawaii, Kauai, Maui, and the City and County of Honolulu.
- B. Community-based public awareness and prevention activities through the Hawaii State Coalition Against Domestic Violence and Hawaii Coalition Against Sexual Assault.
- C. Purchase of Service agreement to develop, implement, and evaluate curriculum for community based organizations on teen dating violence.

**Report on Non-General Fund Information**  
for Submittal to the 2013 Legislature

Department: HTH  
 Prog ID(s): HTH 840 FJ  
 Name of Fund: Electronic Device Recycling Fund  
 Legal Authority: Act 13/2008

Contact Name: Pat Sasaki  
 Phone: 586-4579  
 Fund type (MOF) B  
 Appropriation Acct. No. S 319 H

Intended Purpose: Encourage recycling of electronic devices sold in the State to divert waste and leaching of possible hazardous materials components from landfills.

Source of Revenues: Initial registration and annual renewal fees from all manufacturers' brands of covered electronic devices.

Current Program Activities/Allowable Expenses: Review and/or approve manufacturers' plans for compliance; develop, maintain and update website and toll-free telephone number; and enforcement actions.

Purpose of Proposed Ceiling Increase (if applicable):

Encumbrances: Contracts 300,000; Travel 255; Miscellaneous Expenses 1,000

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	500,000	500,000	506,577	494,853			
Beginning Cash Balance	210,000	391,288	651,969	918,512	1,186,512	1,146,512	1,106,512
Revenues	227,501	307,464	323,951	320,000	320,000	320,000	320,000
Expenditures	46,213	46,783	57,408	52,000	360,000	360,000	360,000
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	391,288	651,969	918,512	1,186,512	1,146,512	1,106,512	1,066,512
Encumbrances	13,000	5,322	301,255				
Unencumbered Cash Balance	378,288	646,647	617,257	1,186,512	1,146,512	1,106,512	1,066,512

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2013 Legislature

Department: HEALTH  
 Prog ID(s): HTH590  
 Name of Fund: Interdepartmental Transfer  
 Legal Authority: Item E-8, Act 162, 2009 SLH, as amended by Act 180, 2010 SLH

Contact Name: Lola Irvin  
 Phone: 586-4488  
 Fund type (MOF) U  
 Appropriation Acct. No. S 316 H

**Intended Purpose:** Primarily used as participation in the State Nutrition Assistance Program nutrition education program (SNAP-Ed; formerly called Food Stamp Nutrition Education or FSNE) enables the State to get reimbursements based on non-federal dollars spent for approved nutrition education activities with populations that qualify for the federal nutrition assistance program.

**Source of Revenues:** Interdept'l transfer of US Department of Agriculture (USDA) reimbursements through Department of Human Services

**Current Program Activities/Allowable Expenses:** The funds can only be received and expended for activities approved on a state plan that is submitted prior to the beginning of each federal fiscal year to provide nutrition education to populations that meet the federal SNAP eligibility criteria. USDA provides updated guidance yearly for the development of state plans.

**Purpose of Proposed Ceiling Increase (if applicable):** N/A

**Encumbrances:** Contracts to SNAP-ED vendors.

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	4,700,000	4,943,650	4,673,541	1,586,451	1,589,845	1,589,845	1,589,845
Beginning Cash Balance	277,615	96,946	365,468	168,455	168,455	168,455	168,455
Revenues	540,833	786,497	357,193	750,000	750,000	750,000	750,000
Expenditures	721,503	517,975	554,205	750,000	750,000	750,000	750,000
Transfers							
List each by JV# and date							
per account summary							
Net Total Transfers			0				
Ending Cash Balance	96,946	365,468	168,455	168,455	168,455	168,455	168,455
Encumbrances	766,089	247,815	236,312				
<i>Encumbrances shown for prior years are cumulative.</i>							
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

*Note: For U-funds, although funds are encumbered, funds are not drawn down until just prior to payment processing (approximately three days prior to payment).*

<b>Additional Information:</b>							
Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							



**Report on Non-General Fund Information**  
for Submittal to the 2013 Legislature

Department: HEALTH  
 Prog ID(s): HTH 501  
 Name of Fund: Neurotrauma Special Fund  
 Legal Authority: Section 321H-4, HRS

Contact Name: Christie Ferreira  
 Phone: 587-6043  
 Fund type (MOF) N  
 Appropriation Acct. No. S314H

**Intended Purpose:** Funding and contracting for services relating to neurotrauma: for education; assistance to individuals and families to identify and obtain access to services; creation of a registry of neurotrauma injuries within Hawaii; and necessary administrative expenses not to exceed two percent of total amount collected.

**Source of Revenues:** Surcharges levied under Sections 291-11.5, 291-11.6, 291C-12, 291C-12.5, 291C-12.6, 291C-102, 291C-105 and 291E-61, HRS.

**Current Program Activities/Allowable Expenses:** Contracts in the area of education awareness with 1) Brain Injury Association-Hawaii; 2) University of Hawaii (JABSOM) - Pacific Basin Rehabilitation and Research Center; 3) Rehabilitation Hospital of the Pacific; and 4) University of Hawaii (JABSOM).

**Purpose of Proposed Ceiling Increase (if applicable):** Not applicable

**Encumbrances: Encumbrance Balances eff Nov 2, 2012**

University of Hawaii \$556,881 for three contracts: 1) Study to identify conditions and potential causes of falls among elderly; 2) Peer Mentoring Project; 3)

Queen's Medical Center \$246,962 for Stroke Network

Brain Injury Association \$29,716 for Awareness of Brain Injury-Statewide Conference

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,046,817	1,024,367	1,038,992	1,038,992	1,038,992	1,038,992	1,038,992
Beginning Cash Balance	2,399,300	3,106,926	2,294,525	2,342,680	2,342,680	2,342,680	2,342,680
Revenues	820,062	868,386	829,004	830,000	830,000	830,000	830,000
Expenditures	112,436	430,787	780,849	830,000	830,000	830,000	830,000
Transfers							
List each by JV# and date							
		(1,000,000)					
		(250,000)					
Net Total Transfers		(1,250,000)					
Ending Cash Balance	3,106,926	2,294,525	2,342,680	2,342,680	2,342,680	2,342,680	2,342,680
Encumbrances	1,068,332	1,498,940	1,179,818				
Unencumbered Cash Balance	2,038,594	795,585	1,162,862	2,342,680	2,342,680	2,342,680	2,342,680

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2013 Legislature

Department: HTH  
 Prog ID(s): HTH 840 FJ  
 Name of Fund: Deposit Beverage Container Special Fund  
 Legal Authority: Section 342G-104 HRS

Contact Name: Pat Sasaki  
 Phone: 586-4579  
 Fund type (MOF) B  
 Appropriation Acct. No. S 313 H

Intended Purpose: Increase participation and recycling rates for specified deposit beverage containers; provide a connection between manufacturing decisions and recycling program management; reduce litter; reimburse consumers 5 cents on redeemed glass, plastic and aluminum containers.

Source of Revenues: Fee of 5 cents per container.

Current Program Activities/Allowable Expenses: Administration, rules development and amendment, program accounting and reporting and personnel.

Purpose of Proposed Ceiling Increase (if applicable):

Encumbrances: Contracts 984,917; Misc. 14,088,553; Payroll 38

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	71,120,933	71,056,309	71,117,852	71,035,881	71,035,881	71,035,881	
Beginning Cash Balance	35,697,705	32,537,388	24,414,552	19,465,875	23,143,223	18,543,223	13,943,223
Revenues	54,331,934	55,068,685	54,677,348	54,677,348	55,000,000	55,000,000	55,000,000
Expenditures	57,492,251	61,891,521	59,626,025	51,000,000	59,600,000	59,600,000	59,600,000
Transfers							
List each by JV# and date							
JM4299 dtd 03/29/2011		(1,000,000)					
JM6244 dtd 06/23/2011		(300,000)					
Net Total Transfers		(1,300,000)					
Ending Cash Balance	32,537,388	24,414,552	19,465,875	23,143,223	18,543,223	13,943,223	9,343,223
Encumbrances	19,173,052	13,970,326	15,214,043				
Unencumbered Cash Balance	13,364,336	10,444,226	4,251,833	23,143,223	18,543,223	13,943,223	9,343,223

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2013 Legislature

Department: Health  
 Prog ID(s): HTH 730  
 Name of Fund: Trauma System Special Fund  
 Legal Authority: Section 321-22.5, 245-15, 245-3, 291-, HRS

Contact Name: Terry Joyce  
 Phone: 733-9217  
 Fund type (MOF) B  
 Appropriation Acct. No. S 311 H

Intended Purpose: Support for the continuing development and operation of a comprehensive state trauma system.

Source of Revenues: Tax pursuant to Section 245-15 and 245-3, HRS. Fines/surcharges pursuant to Section 291-, HRS (Act 231, SLH 2007).

Current Program Activities/Allowable Expenses: Personnel costs, under/uncompensated trauma care costs incurred by hospitals.

Purpose of Proposed Ceiling Increase (if applicable): Meet change in MOF for Personnel and continuing development and operation requirements.

Encumbrances: Other Non-State Employee SVC on a Fee Basis, Other Miscellaneous Current Expenditures remain as of 06/30/12..

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	6,882,307	6,865,278	6,876,371	6,867,453	7,205,798	12,588,909	12,588,909
Beginning Cash Balance	5,595,984	8,098,416	8,043,493	9,393,094	8,805,795	14,072,205	13,955,504
Revenues	6,840,213	6,510,088	6,409,633	6,280,154	12,472,208	12,472,208	12,472,208
Expenditures	4,337,782	5,565,011	5,060,031	6,867,453	7,205,798	12,588,909	12,588,909
Transfers							
JM6244 dtd 06/23/2011	0	(1,000,000)	0				
Net Total Transfers	0	(1,000,000)	0				
Ending Cash Balance	8,098,416	8,043,493	9,393,094	8,805,795	14,072,205	13,955,504	13,838,803
Encumbrances	3,908,537	1,859,967	1,720,039	0	0	0	0
Unencumbered Cash Balance	4,189,878	6,183,526	7,673,055	8,805,795	14,072,205	13,955,504	13,838,803

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow							
Accounts, or Other Investments							

Note 1: Data Source Reference for Actual FY 12 Beginning Balance, Receipts, and Expenditures: MBP477-A OPTION:2, CY12, CM06, as of 06/30/12, State of Hawaii Financial Accounting & Management Information System.

Note 2: Data Source Reference for Actual FY 12 Encumbrances (Unliquidated Balance): MBP490-A, CY 12, CM 06, as of 06/30/12, State of Hawaii Financial Accounting & Management Information System.

Note 3: The estimated revenues for FY 2013-16 are based on revenues recorded in FAMIS as of: 11/14/2012. The rate for tax collection for cigarette sales increases from \$0.0075 to \$0.0150 effective July 1, 2013.

Note 4: The estimated expenditures for FY 2013-16 are equal to fully expending estimated revenue available within the appropriation ceiling. The department is requesting approval to raise the appropriation ceiling in the budget request for FB13-15.

**Report on Non-General Fund Information**  
for Submittal to the 2013 Legislature

Department: Health  
 Prog ID(s): HTH 720  
 Name of Fund: Office of Health Care Assurance Special Fund  
 Legal Authority: Section 321-1.4, HRS

Contact Name: Keith R. Ridley  
 Phone: 692-7227  
 Fund type (MOF) B  
 Appropriation Acct. No. S 310 H

**Intended Purpose:**

The Hospital and Medical Facilities special fund was enacted in the 1999 Legislature and amended in the 2002 Legislature to allow for deposit of all monies collected by the department in licensing fees and penalties to be expended to assist in offsetting educational program expenses to enhance the capacity of the program to improve public outreach efforts and consultations to industries, educate the public, department staff and providers by the Office of Health Care Assurance (OHCA).

**Source of Revenues:**

Licensing fees and penalties. The amount of penalties are generally small. Licensing fees will be created through the administrative rules process, which may take several months to implement and barring legislative intervention resulting from concerns raised by healthcare facility operators. Anticipate licensing fees implementation by FYE 2012.

**Current Program Activities/Allowable Expenses:**

OHCA has the responsibility of managing the State licensure and Federal certification of medical and health care facilities, agencies and services provided throughout the State in order to ensure acceptable standards of care are provided.

**Purpose of Proposed Ceiling Increase (if applicable):**

**Encumbrances:**

Financial Data							
	FY 2010 (actual)	FY 2011 (actual)	FY 2012 (actual)	FY 2013 (estimated)	FY 2014 (estimated)	FY 2015 (estimated)	FY 2016 (estimated)
Appropriation Ceiling	406,000	406,000	406,000	406,000	406,000	406,000	406,000
Beginning Cash Balance	5,792	6,871	7,372	7,895	7,895	7,895	7,895
Revenues	1,161	560	578				
Expenditures	82	59	55				
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	6,871	7,372	7,895	7,895	7,895	7,895	7,895
Encumbrances	200	100	0	200	200	200	200
Encumbrances shown for prior years are cumulative.							
Unencumbered Cash Balance	6,671	7,272	7,895	7,695	7,695	7,695	7,695

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2013 Legislature

Department: HEALTH  
 Prog ID(s): HTH 906  
 Name of Fund: State Health Planning and Development Fund  
 Legal Authority: Section 323D-12.6 HRS

Contact Name: Romala Sue Radcliffe  
 Phone: 587-0788  
 Fund type (MOF) B  
 Appropriation Acct. No. S 309 H

Intended Purpose: Support expenses associated with Agency duties as mandated by Chapter 323D HRS

Source of Revenues: Certificate of Need application fees.

Current Program Activities/Allowable Expenses: Expenses associated with the administration of the State's Certificate of Need (CON) program as mandated in chapter 323D HRS. Expenses associated with maintaining and revising the State's Health Plan as mandated in chapter 323D HRS. Expenses associated with Act 219 SLH 2007 that requires all public reviews related to CON applications for Maui to be heard on Maui instead of Honolulu.

Purpose of Proposed Ceiling Increase (if applicable): NA

Proposed ceiling increase in FY 13 (\$119,763) and beyond to have SHPDA Special Fund to contribute to SHPDA Operating Expenses.

Encumbrances:

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	114,000	114,000	114,000	114,000	114,000	114,000	114,000
Beginning Cash Balance	201,781	201,656	222,505	299,469	349,469	354,469	359,469
Revenues	19,811	52,628	92,517	70,000	55,000	55,000	130,000
Expenditures	19,935	31,780	15,552	20,000	50,000	50,000	50,000
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	201,656	222,505	299,469	349,469	354,469	359,469	439,469
Encumbrances	5,000	1,066	4,000				
Unencumbered Cash Balance	196,656	221,439	295,469	349,469	354,469	359,469	439,469

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2013 Legislature

Department: HEALTH  
 Prog ID(s): HTH 141  
 Name of Fund: Public Health Nursing Services Special Fund  
 Legal Authority: HRS Sect 321-432 (Act 149/SLH 2002)

Contact Name: Glenn Wasserman, M. D.  
 Phone: 586-4583  
 Fund type (MOF) B  
 Appropriation Acct. No. S 308 H

**Intended Purpose:** To receive Medicaid reimbursements from the Department of Human Services (DHS) for case management services provided to families of medically fragile children. The department shall expend funds to provide staff training in case management services in collaboration with the DHS Medicaid Early and Periodic Screening Diagnosis and Treatment (EPSDT) Program.

**Source of Revenues:**

Medicaid reimbursements from the Department of Human Services for case management services provided to families of medically fragile children.

**Current Program Activities/Allowable Expenses:**

Funds to be used to provide case management services and staff training on caring for the medically fragile/technology dependent children and other training for the preparation of transitioning students from home care, to the Department of Education.

Currently the private sector is handling all the Early and Periodic Screening Diagnosis and Treatment (EPSDT) case management cases. Public Health Nursing is the provider of last resort.

**Purpose of Proposed Ceiling Increase (if applicable):**

Not applicable.

**Encumbrances:**

None currently.

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	90,720	90,720	90,720	90,720	90,720	90,720	90,720
Beginning Cash Balance	19,967	17,680	16,158	12,472	12,472	12,472	12,472
Revenues	148	0	0	5,000	5,000	5,000	5,000
Expenditures	2,435	1,522	3,686	5,000	5,000	5,000	5,000
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	17,680	16,157.51	12,472	12,472	12,472	12,472	12,472
Encumbrances	50	900	0				
<i>Encumbrances shown for prior years are cumulative.</i>							
Unencumbered Cash Balance	17,629.64	15,257.51	12,472	12,472	12,472	12,472	12,472

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2013 Legislature

Department: HEALTH  
 Prog ID(s): HTH 440  
 Name of Fund: Drug Demand Reduction Assessments Special Fund  
 Legal Authority: Section 706-650(3), HRS

Contact Name: Phillip Nguyen  
 Phone: 692-7515  
 Fund type (MOF) B  
 Appropriation Acct. No. S 307 H

**Intended Purpose:**

Deposits to the Drug Demand Reduction Assessments (DDRA) Special Fund are intended "to supplement drug treatment and other drug demand reduction programs." The DDRA Special Fund allows additional resources to be committed to support needed alcohol and substance abuse treatment services to individuals at risk of further involvement in the criminal justice and correctional system. Also, as the State's "Driving Under the Influence (DUI)" rate continues to increase, it is vital that DDRA funds be used to develop and implement a range of strategies that directly addresses this important community issue.

**Source of Revenues:**

Impositions of monetary assessments in cases involving persons convicted of an offenses related to drugs and intoxicating compounds under Part IV of Chapter 712, HRS.

**Current Program Activities/Allowable Expenses:**

Current services funded by the DDRA Special Fund provide adult substance abuse treatment services to offenders referred by the Intake Service Center on the island of Oahu (i.e., Oahu Community Correctional Center).

**Purpose of Proposed Ceiling Increase (if applicable):**

**Encumbrances:**

28,000

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	300,000	300,000	300,000	500,000	500,000	500,000	500,000
Beginning Cash Balance	726,857	900,805	538,715	933,739	1,433,739	1,933,739	2,433,739
Revenues	599,189	625,910	554,117	500,000	500,000	500,000	500,000
Expenditures	425,241	288,000	159,093				
Transfers							
List each by JV# and date							
JM6244 dtd 06/23/2011		(700,000)					
Net Total Transfers		(700,000)					
Ending Cash Balance	900,805	538,715	933,739	1,433,739	1,933,739	2,433,739	2,933,739
Encumbrances			140,907				
Unencumbered Cash Balance	900,805	538,715	792,832	1,433,739	1,933,739	2,433,739	2,933,739

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2013 Legislature

Department: HEALTH  
 Prog ID(s): HTH 460  
 Name of Fund: TITLE XIX Med QUEST Carveout/General Outpatient  
 Legal Authority: Section 334-115, HRS

Contact Name: Wakaba Stephens  
 Phone: 733-9866  
 Fund type (MOF) B  
 Appropriation Acct. No. S 306 H

**Intended Purpose:**

To deposit revenues collected from treatment services rendered by mental health and substance abuse programs operated by the state.

**Source of Revenues:**

Investment pool interest, reimbursement through DHS for administrative cost claims, reimbursement for Medicaid eligible current services, and refund/reimbursement of prior period expenditures.

**Current Program Activities/Allowable Expenses:**

Program Activities include assuring a comprehensive array of mental health services for children and adolescents as well as the funding of salaries for Quality Assurance Specialists at the CAMHD Family Guidance Centers.

**Purpose of Proposed Ceiling Increase (if applicable):**

**Encumbrances:**

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	21,393,039	17,377,329	15,033,910	14,930,963	14,930,963	14,930,963	14,930,963
Beginning Cash Balance	5,897,504	3,524,636	2,988,520	2,489,257	1,989,257	1,489,257	989,257
Revenues	15,042,379	11,286,652	8,162,625	8,000,000	8,000,000	8,000,000	8,000,000
Expenditures	17,415,247	11,822,767	8,661,888	8,500,000	8,500,000	8,500,000	8,500,000
Transfers							
List each by JV# and date							
	3,805,610						
	(3,805,610)						
Net Total Transfers	268,056	0		0	0	0	0
Ending Cash Balance	3,524,635	2,988,520	2,489,257	1,989,257	1,489,257	989,257	489,257
Encumbrances	980,684	557,962	487,204				
Unencumbered Cash Balance	2,543,951	2,430,558	2,002,053	1,989,257	1,489,257	989,257	489,257

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							



**Report on Non-General Fund Information**  
for Submittal to the 2013 Legislature

Department: HEALTH  
 Prog ID(s): HTH 560  
 Name of Fund: Community Health Centers Special Fund  
 Legal Authority: Section 321-1.65, HRS

Contact Name: Gordon Takaki  
 Phone: 733-8365  
 Fund type (MOF) B  
 Appropriation Acct. No. S 305 H

Intended Purpose: Funds to be used by the Department of Health for the operations of Federally Qualified Health Centers (FQHCs).

Source of Revenues: Cigarette tax assessed and collected pursuant to Section 245-3(a), HRS.

Current Program Activities/Allowable Expenses: (1) Purchase of service (POS) contracts to 13 FQHCs to provide medical (perinatal, pediatric, adult primary care) & support services (svcs) to un- and under-insured individuals that are at or below 250% of the federal poverty level. Optional svcs include behavioral health care, dental treatment, & pharmaceutical svcs. (2) POS contract to Hana Health (an FQHC) for the provision of urgent care (24/7), & for comprehensive primary care svcs. (3) POS contract to Waianae Coast Comprehensive Health Ctr (an FQHC) for the provision of 24-hr emergency room svcs.

Purpose of Proposed Ceiling Increase (if applicable): Proposed changes to FB 2013-15 and beyond include a ceiling increase due to a change in the cigarette tax rate from .0075 to .0125 per cigarette effective July 1, 2013. The proposed ceiling will increase from \$8,591,526 to \$13,000,000 due to an increase in revenue projections.

Encumbrances: These purchase orders are issued to POS providers and payments are being processed as we receive invoices. Our fiscal year ends on 6/30/XX of each year, however we will always have encumbrances remaining because there is always a delay in the submission of invoices. The reason for this is that invoices are submitted after services are provided, therefore invoices can be received after the close of the fiscal year.

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	6,591,526	8,591,526	8,591,526	8,591,526	12,341,526	12,341,526	12,341,526
Beginning Cash Balance	2,021,317	4,725,035	4,136,195	5,097,476	4,748,131	4,656,605	4,565,079
Revenues	6,753,856	6,544,365	6,312,364	6,750,000	10,500,000	10,500,000	10,500,000
Expenditures	4,050,138	7,133,205	5,351,083	7,099,345	10,591,526	10,591,526	10,591,526
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	4,725,035	4,136,195	5,097,476	4,748,131	4,656,605	4,565,079	4,473,553
Encumbrances	1,672,882	1,455,033	2,853,684				
Unencumbered Cash Balance	3,052,153	2,681,162	2,243,792	4,748,131	4,656,605	4,565,079	4,473,553

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Note: The estimated ceiling for FY 2014-16 was adjusted due to a current budget request.

**Report on Non-General Fund Information**  
for Submittal to the 2013 Legislature

Department: HEALTH  
 Prog ID(s): HTH 560  
 Name of Fund: Hawaii Birth Defects Special Fund  
 Legal Authority: Section 321-426, HRS

Contact Name: Paul Takishita  
 Phone: 733-9062  
 Fund type (MOF) B  
 Appropriation Acct. No. S 304 H

Intended Purpose: This fund is used for payment of its lawful operating expenditures, including indirect costs.

Source of Revenues: \$10 of each marriage license fee collected by the Hawaii State Department of Health is deposited to this special fund.

Current Program Activities/Allowable Expenses: This fund enables the State to have a continuous, reliable and timely statewide information and monitoring source for ascertaining the number of births with specific disabilities and abnormalities due to birth defects, trends, and changes over time.

Purpose of Proposed Ceiling Increase (if applicable): Not Applicable.

Encumbrances: Outstanding encumbrances shown for prior years are cumulative.

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	395,000	369,914	395,000	395,000	395,000	395,000	395,000
Beginning Cash Balance	730,762	850,292	928,406	886,145	841,145	771,145	676,145
Revenues	266,614	254,515	163,976	280,000	280,000	280,000	280,000
Expenditures	147,084	176,401	206,237	325,000	350,000	375,000	400,000
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	850,292	928,406	886,145	841,145	771,145	676,145	556,145
Encumbrances	99,332	85,919	87,365				
Unencumbered Cash Balance	750,960	842,487	798,780	841,145	771,145	676,145	556,145

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2013 Legislature

Department: HEALTH  
 Prog ID(s): HTH 560  
 Name of Fund: Newborn Metabolic Screening Special Fund  
 Legal Authority: Sec 321-291, HRS

Contact Name: Paul Takishita  
 Phone: 733-9062  
 Fund type (MOF) B  
 Appropriation Acct. No. S 302 H

Intended Purpose: This fund is used for payment of its lawful operating expenditures, including, but not limited to laboratory testing, follow-up testing, educational materials, continuing education, quality assurance, equipment, and indirect costs.

Source of Revenues: Per the Hawaii Administrative Rules, the amount collected for each metabolic screening (testing) kit sold in Hawaii (current charge per kit is \$55) is deposited to this special fund.

Current Program Activities/Allowable Expenses: The Newborn Metabolic Screening Program (NBMSPP) has statewide responsibilities for assuring that infants born in the State of Hawaii are satisfactorily tested for 32 disorders which can cause mental and growth retardation and even death, if not detected and treated early in the newborn period. NBMSPP tracks and follows-up to assure that infants with detected diseases are provided with appropriate and timely treatment.

Purpose of Proposed Ceiling Increase (if applicable): Not Applicable.

Encumbrances: Outstanding encumbrances shown for prior years are cumulative.

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,253,422	1,222,963	1,253,422	1,234,569	1,234,569	1,234,569	1,234,569
Beginning Cash Balance	899,737	1,145,828	1,466,310	1,445,970	1,468,709	1,512,321	1,758,933
Revenues	1,166,465	1,127,161	1,063,187	1,120,000	1,147,500	1,352,500	1,352,500
Expenditures	920,374	806,679	1,083,527	1,097,261	1,103,888	1,105,888	1,107,888
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	1,145,828	1,466,310	1,445,970	1,468,709	1,512,321	1,758,933	2,003,545
Encumbrances	206,037	465,349	353,007				
Unencumbered Cash Balance	939,791	1,000,961	1,092,963	1,468,709	1,512,321	1,758,933	2,003,545

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2013 Legislature

Department: Health  
 Prog ID(s): HTH 730  
 Name of Fund: Emergency Medical Services Special Fund  
 Legal Authority: Section 321-234, 249-31 (b), 245-15, 245-3, HRS.

Contact Name: Terry Joyce  
 Phone: 733-9217  
 Fund type (MOF) B  
 Appropriation Acct. No. S 301 H

Intended Purpose: Support for operating a state comprehensive emergency medical services system.

Source of Revenues: Fees from annual motor vehicle registration and cigarette tax imposed pursuant to Section 245-15 and 245-3, HRS.

Current Program Activities/Allowable Expenses: Emergency medical services; education and training of emergency medical personnel statewide.

Purpose of Proposed Ceiling Increase (if applicable): Not Applicable.

Encumbrances: Services on a Fee Basis Rendered by Other State Depts. and Agencies; Other Non-State Employee SVC on a Fee Basis.

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	7,596,573	13,196,503	13,196,503	13,196,503	13,196,503	13,196,503	13,196,503
Beginning Cash Balance	16,374,596	21,218,554	25,787,307	25,972,043	22,317,889	24,844,384	27,370,879
Revenues	9,846,352	10,078,828	9,537,662	9,542,349	15,722,998	15,722,998	15,722,998
Expenditures	5,002,394	5,510,075	9,352,925	13,196,503	13,196,503	13,196,503	13,196,503
Transfers							
List each by JV# and date	0	0	0				
Net Total Transfers	0	0	0				
Ending Cash Balance	21,218,554	25,787,307	25,972,043	22,317,889	24,844,384	27,370,879	29,897,374
Encumbrances	4,056,615	8,819,768	10,741,105	0	0	0	0
Unencumbered Cash Balance	17,161,939	16,967,539	15,230,938	22,317,889	24,844,384	27,370,879	29,897,374

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow							
Accounts, or Other Investments							

Note 1: Data Source Reference for Actual FY 12 Beginning Balance, Receipts, and Expenditures: MBP477-A OPTION:2, CY12, CM06, as of 06/30/12, State of Hawaii Financial Accounting & Management Information System.

Note 2: Data Source Reference for Actual FY 12 Encumbrances (Unliquidated Balance): MBP490-A, CY 12, CM 06, as of 06/30/12, State of Hawaii Financial Accounting & Management Information System.

Note 3: The estimated revenues for FY 2013-16 are based on revenues recorded in FAMIS as of: 11/14/2012. The rate for tax collection for cigarette sales increases from \$0.0050 to \$0.0125 effective July 1, 2013.

Note 4: The estimated expenditures for FY 2013-16 are equal to fully expending estimated revenue available within the appropriation ceiling.

**Report on Non-General Fund Information**  
for Submittal to the 2013 Legislature

Department: Health  
 Prog ID(s): HTH904  
 Name of Fund: ARRA Chronic Disease Self Management  
 Legal Authority: Division A, Title VIII of the American Recovery and Reinvestment Act  
(Recovery Act) of 2009 (Public Law 111.5). , Title VIII, Public Law 111-5

Contact Name: Shannon Chun  
 Phone: 586-7323  
 Fund type (MOF) V  
 Appropriation Acct. No. S268

**Intended Purpose:**

To support state efforts to deploy evidence-based chronic disease self-management (CDSMP) programs that empower older people with chronic diseases to maintain and improve their health status.

**Current Program Activities/Allowable Expenses:**

**Purpose of Proposed Ceiling Increase (if applicable):**

**Encumbrances:**

None

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance		0	761	0	0	0	0
Revenues		36,399	151,066				
Expenditures		35,638	151,827				
Transfers							
List each by JV# and date							
Net Total Transfers			0				
Ending Cash Balance	0	761	0	0	0	0	0
<i>Encumbrances shown for prior years are cumulative.</i>							
Encumbrances			13,400				
Unencumbered Cash Balance	0	N/A	N/A	N/A	N/A	N/A	N/A

*Note: For federal funds, although funds are encumbered, federal funds are not drawn down until just prior to payment processing (approximately three days prior to payment).*

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

NOTE: This grant ended 6/30/12.

**Report on Non-General Fund Information**  
for Submittal to the 2013 Legislature

Department: HEALTH  
 Prog ID(s): HTH 720  
 Name of Fund: ARRA Ambulatory Surgical Center Healthcare-Associated Infection Prevention Initiative  
 Legal Authority: \_\_\_\_\_

Contact Name: Keith R. Ridley  
 Phone: 692-7227  
 Fund type (MOF) V  
 Appropriation Acct. No. S-264-H

**Intended Purpose:**

Additional funding during federal FY10 to allow for the completion of onsite certification surveys of 4 additional ambulatory surgical centers under the Medicare program to determine compliance with new infection control requirements.

**Source of Revenues:** Federal stimulus money.

**Current Program Activities/Allowable Expenses:** ARRA moneys were allowed to carry-over to federal FY11. Most money unspent due to staffing shortages to conduct the additional surveys originally intended.

**Purpose of Proposed Ceiling Increase (if applicable):** Not applicable. Non-appropriated funds. Funding period ended 9/30/11.

**Encumbrances:**

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		0					
Beginning Cash Balance		0	0	0	0	0	0
Revenues		4,760	12,502	0	0	0	0
Expenditures		4,760	12,502	0	0	0	0
Transfers							
List each by JV# and date							
Net Total Transfers			0				
Ending Cash Balance	0	0	0	0	0	0	0
<i>Encumbrances shown for prior years are cumulative.</i>							
Encumbrances			0				
Unencumbered Cash Balance	0	N/A	N/A	N/A	N/A	N/A	N/A

*Note: For federal funds, although funds are encumbered, federal funds are not drawn down until just prior to payment processing (approximately three days prior to payment).*

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2013 Legislature

Department: HEALTH  
 Prog ID(s): HTH 904  
 Name of Fund: Hawaii's Community Living Project  
 Legal Authority: 42 USC 241; 24 CFR-52

Contact Name: Shannon Chun  
 Phone: 586-7323  
 Fund type (MOF) N  
 Appropriation Acct. No. S-263-H

**Intended Purpose:**

Conduct a pilot project to assist individuals who are lacking financial resources but not Medicaid eligible, and are at functional risk of nursing home placement, to remain living in their homes and avoid impoverishment.

**Source of Revenues:**

U.S. Administration on Aging

**Current Program Activities/Allowable Expenses:**

Establish components to support a participant-directed option to purchase home and community based services; financial management system and coaching service to support participants as employers of their own support staff; fund individual's monthly budgets based on person-centered support plans.

**Purpose of Proposed Ceiling Increase (if applicable):**

Encumbrances: Contracts to Acumen to provide financial management system.

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		55,842	945,298	386,594			
Beginning Cash Balance	0	19,347	761	4,932	2,263	2,263	2,263
Revenues	22,423	34,798	293,198	27,777			
Expenditures	3,076	53,383	289,028	30,446			
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	19,347	761	4,932	2,263	2,263	2,263	2,263
Encumbrances	115,640	256,456	526,132				
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Note: although federal funds are encumbered, federal funds are not drawn down until approximately three days prior to payment processing.

Additional Information: Grant/appropriation ends 3/31/13

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2013 Legislature

Department: HEALTH  
 Prog ID(s): HTH 904  
 Name of Fund: Aging and Disability Resource Center Expansion Hospital Discharge  
 Legal Authority: 42 USC 241; 42 CFR 52; Section 1110 SS Act

Contact Name: Shannon Chun  
 Phone: 586-7323  
 Fund type (MOF) N  
 Appropriation Acct. No. S 262 H

**Intended Purpose:**

1. Develop a hospital discharge planning model that meaningfully engages and solicits patient input and participation and maximizes the opportunity Medicaid patients to return home and community based supports upon discharge. 2. Establish highly visible and trustworthy Aging and Disability Resource Centers that are easily accessible to the public and responsive to their need for information linkages to long term care options.

**Source of Revenues:**

Centers for Medicare and Medicaid Services and Administration on Aging

**Current Program Activities/Allowable Expenses:**

Program coordination, contractual expense; personnel; travel; MIS software and hardware; evaluation.

**Purpose of Proposed Ceiling Increase (if applicable):**

Encumbrances: Contract encumbrances for the County of Kauai for patient support.

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	197,638	197,638			
Beginning Cash Balance	0	30,762	18,264	5,456	2,787	0	0
Revenues	40,770	137,345	367,018	54,792			
Expenditures	10,008	149,843	379,826	57,461			
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	30,762	18,264	5,456	2,787	0	0	0
Encumbrances	313,192	422,233	534,675				
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Note: although federal funds are encumbered, federal funds are not drawn down until approximately three days prior to payment processing.							
Additional Information: Grant/Appropriation Ends 9/29/13							
Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							



**Report on Non-General Fund Information**  
for Submittal to the 2013 Legislature

Department: HTH  
 Prog ID(s): HTH 610 FR  
 Name of Fund: Indoor Air Quality  
 Legal Authority: Sec 121-411 to 413; 321-11(21)HRS

Contact Name: Pat Sasaki  
 Phone: 586-4579  
 Fund type (MOF) N  
 Appropriation Acct. No. S 255 H/368

Intended Purpose: Establish indoor air quality program; provide information and educational material to managers, owners, and occupants of publicly-owned buildings and assist managers and owner-occupants of publicly-owned buildings to identify, assess and correct indoor air pollution problems.

Source of Revenues: Clean Air Section 105 Grant or Indoor Air

Current Program Activities/Allowable Expenses: plan and develop comprehensive indoor air quality program; public outreach and networking.

Purpose of Proposed Ceiling Increase (if applicable):

Encumbrances: Payroll 16,441; Postage 584; Travel 431

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	594,682	594,682	594,682	577,269	577,269	577,269	577,269
Beginning Cash Balance	48,115	50,766	44,482	46,866	46,866	46,866	46,866
Revenues	388,503	415,807	433,833	577,269	577,269	577,269	577,269
Expenditures	416,286	422,091	431,449	577,269	577,269	577,269	577,269
Transfers							
List each by JV# and date							
Net Total Transfers	30,434						
Ending Cash Balance	50,766	44,482	46,866	46,866	46,866	46,866	46,866
Encumbrances in prior years are cumulative.							
Encumbrances	908	700	17,456				
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Note: although federal funds are encumbered, federal funds are not drawn down until approximately three days prior to payment processing.

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Note: The Executive Budget proposal for the FB 2013-15 includes conversion of various grants from "N" to "P" means of financing which will decrease the overall appropriation ceiling by \$526,971 for the Toxic Substances Control Act State Lead Grant and the Toxic Substances Control Act Compliance Monitoring Program grant.

**Report on Non-General Fund Information**  
for Submittal to the 2013 Legislature

Department: HTH  
 Prog ID(s): HTH 840 FH  
 Name of Fund: Drinking Water Revolving Funds - ARRA  
 Legal Authority: Act 162/SLH 2009

Contact Name: Pat Sasaki  
 Phone: 586-4579  
 Fund type (MOF) V  
 Appropriation Acct. No. S 254 H

Intended Purpose: Implement ARRA-funded Drinking Water Revolving Fund construction activities.

Source of Revenues: ARRA

Current Program Activities/Allowable Expenses: Construction loans oversight, inspections, data management and reporting, financial report preparation, and completion of loan payment requests

Purpose of Proposed Ceiling Increase (if applicable):

Encumbrances: Contracts

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	20,000,000	9,900,000					
Beginning Cash Balance		0	0	0	0	0	0
Revenues	10,916,160	7,426,770	789,257				
Expenditures	10,916,160	7,426,770	789,257				
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances in prior years are cumulative.							
Encumbrances	8,583,840	1,157,020	367,813				
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Note: although federal funds are encumbered, federal funds are not drawn down until approximately three days prior to payment processing.

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2013 Legislature

Department: HTH  
 Prog ID(s): HTH 840 FJ  
 Name of Fund: Leaking Underground Storage Tank - ARRA  
 Legal Authority: Act 162/SLH 2009

Contact Name: Pat Sasaki  
 Phone: 586-4579  
 Fund type (MOF) V  
 Appropriation Acct. No. S 253 H

Intended Purpose: Implement ARRA-funded Leaking Underground Storage Tank activities.

Source of Revenues: ARRA

Current Program Activities/Allowable Expenses: Contract oversight, technical assistance and required federal reporting.

Purpose of Proposed Ceiling Increase (if applicable):

Encumbrances: Contract 23,754; Supplies 50

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance		1,257	12,755	(0)	(0)	(0)	(0)
Revenues	36,315	413,398	751,408				
Expenditures	35,058	401,900	764,163				
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	1,257	12,755	(0)	(0)	(0)	(0)	(0)
Encumbrances in prior years are cumulative.							
Encumbrances	112,093	101,437	23,804				
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Note: although federal funds are encumbered, federal funds are not drawn down until approximately three days prior to payment processing.

Additional Information: Grant/appropriation ended 9/30/12.

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2013 Legislature

Department: HTH  
 Prog ID(s): HTH 840 FF  
 Name of Fund: State Diesel Grant Program - ARRA  
 Legal Authority: PL 109-58

Contact Name: Pat Sasaki  
 Phone: 586-4579  
 Fund type (MOF) V  
 Appropriation Acct. No. S 252 H

Intended Purpose: Reduce diesel emissions by retrofitting vehicles.

Source of Revenues: ARRA

Current Program Activities/Allowable Expenses: Target fleet, including school, vehicles for certified diesel retrofitting or replacement.

Purpose of Proposed Ceiling Increase (if applicable):

Encumbrances: Contracts

Financial Data							
	FY 2010 (actual)	FY 2011 (actual)	FY 2012 (actual)	FY 2013 (estimated)	FY 2014 (estimated)	FY 2015 (estimated)	FY 2016 (estimated)
Appropriation Ceiling							
Beginning Cash Balance		0	0	0	0	0	0
Revenues		1,034,733	563,065				
Expenditures		1,034,733	563,065				
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances in prior years are cumulative.							
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Note: although federal funds are encumbered, federal funds are not drawn down until approximately three days prior to payment processing.

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2013 Legislature

Department: HTH  
 Prog ID(s): HTH 495  
 Name of Fund: see source of revenues  
 Legal Authority: Section 334-7, HRS

Contact Name: Amy Yamaguchi  
 Phone: 586-4682  
 Fund type (MOF) N/P  
 Appropriation Acct. No. S 245 H

**Intended Purpose:**

The purpose of the various grants is to provide additional needed resources for the provision of comprehensive mental health services for individuals with severe and persistent mental illness.

**Source of Revenues:**

1) Olmstead Financial Support Award (ended in FY 2012); 2) Hawaii's State Mental Health Data Infrastructure Grant for Quality Improvement; 3) Hawaii Mental Health Transformation State Incentive Grant (ends in FY 2013); 4) The Hawaii Multicultural Action Initiative (to be transferred to another program ID in FY 2014); and 5) Mental Health Transformation Grant; Implementing a Trauma-Informed System of Care.

**Current Program Activities/Allowable Expenses:**

Activities include expanding the opportunities for adults with severe and persistent mental illness to live in the community; data infrastructure enhancement; development of a comprehensive strategy to respond to the needs and preferences of consumers with mental illness or families of persons with mental illness; development of a training curriculum and web-based link with public health information for ethnic minorities and newest immigrant populations; and enhancement and improvement of the core adult mental health service array and the infrastructure that supports those services.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Encumbrances: Allowable encumbrances are encumbrances to provide or support the provision of program activities.

Financial Data							
	FY 2010 (actual)	FY 2011 (actual)	FY 2012 (actual)	FY 2013 (estimated)	FY 2014 (estimated)	FY 2015 (estimated)	FY 2016 (estimated)
Appropriation Ceiling	3,557,363	3,557,363	3,557,363	3,510,962	1,236,863	1,236,863	1,236,863
Beginning Cash Balance	361,625	16,377	49,723	30,061	30,061	30,061	30,061
Revenues	2,162,635	2,573,699	2,855,780	994,738	864,738	864,738	864,738
Expenditures	2,507,883	2,540,353	2,863,969	994,738	864,738	864,738	864,738
Transfers							
List each by JV# and date							
Trf out to DOE JS6949 07/19/2012 (FY12)			(11,473)				
Net Total Transfers	0	0	(11,473)				
Ending Cash Balance	16,377	49,723.21	30,061.40	30,061	30,061	30,061	30,061
Encumbrances	919,894	462,623.61	653,303				
<i>Encumbrances shown for prior years are cumulative.</i>							
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

*Note: For federal funds, although funds are encumbered, federal funds are not drawn down until just prior to payment processing (approximately three days prior to payment).*

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2013 Legislature

Department: HEALTH  
 Prog ID(s): HTH 904  
 Name of Fund: Senior Medicare Patrol Capacity Building Grant  
 Legal Authority: 42 USC 3031-3037B

Contact Name: Shannon Chun  
 Phone: 586-7323  
 Fund type (MOF): N  
 Appropriation Acct. No. S-244-H

**Intended Purpose:**

To expand the capacity of SMP projects in those areas to reach larger numbers of Medicare beneficiaries, their caregivers and family members with the SMP message of fraud prevention. The goal of this opportunity is to enhance SMP efforts to reach beneficiary populations in the geographical locations of the Medicare Strike Forces in collaboration with law enforcement, and other partners such as CMS, community organizations, etc

**Source of Revenues:**

Administration on Aging

**Current Program Activities/Allowable Expenses:**

**Purpose of Proposed Ceiling Increase (if applicable):**

Encumbrances: Contracts with print, radio, and television, to build capacity and awareness regarding Medicare fraud.

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			88,750	88,712	88,712	88,712	88,712
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues			6,259	88,712	88,712	88,712	88,712
Expenditures			6,259	88,712	88,712	88,712	88,712
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances in prior years are cumulative.							
Encumbrances			10,484				
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Note: although federal funds are encumbered, federal funds are not drawn down until approximately three days prior to payment processing.

**Additional Information:**

Ending cash balance is not carried over to the next FY unless authorized by the feds.

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2013 Legislature

Department: Health  
Prog ID(s): HTH 730  
Name of Fund: various - see attachment: S 241 H  
Legal Authority: various - see attachment: S 241 H

Contact Name: Terry Joyce  
Phone: 733-9217  
Fund type (MOF): N  
Appropriation Acct. No. S 241 H

Intended Purpose: various - see attachment: S 241 H

Source of Revenues: various - see attachment: S 241 H

Current Program Activities/Allowable Expenses: various - see attachment: S 241 H

Purpose of Proposed Ceiling Increase (if applicable): Not Applicable.

Encumbrances: Other Non-State Employee SVS on a Fee Basis; Car Mileage - Employees; Subsistence; Excess Lodging; Hire of Passenger Cars.

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,268,522	1,268,522	3,807,055	3,803,480	1,060,667	1,060,667	1,060,667
Beginning Cash Balance	24,254	4,300	3,731	6,792	6,792	6,792	6,792
Revenues	490,547	534,992	2,410,267	2,683,407	330,000	330,000	330,000
Expenditures	510,501	535,561	2,407,206	2,683,407	330,000	330,000	330,000
Transfers							
List each by JV# and date	0	0	0				
Net Total Transfers	0	0	0				
Ending Cash Balance	4,300	3,731	6,792	6,792	6,792	6,792	6,792
Encumbrances	51,214	28,181	122,732	0	0	0	0
<i>Encumbrances shown for prior years are cumulative.</i>							
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Note: For federal funds, although funds are encumbered, federal funds are not drawn down until just prior to payment processing (approximately three days prior to payment).

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Note 1:** Data Source Reference for Actual FY 12 Beginning Balance, Receipts, and Expenditures: MBP477-A OPTION:2, CY12, CM06, as of 06/30/12, State of Hawaii Financial Accounting & Management Information System.

**Note 2:** Data Source Reference for Actual FY 12 Encumbrances (Unliquidated Balance): MBP490-A, CY 12, CM 06, as of 06/30/12, State of Hawaii Financial Accounting & Management Information System.

**Note 3:** In FY 2013 the estimated revenues in S 241 H are comprised of the Core Violence and Injury Prevention (\$150,000), PHHS Block Grant (\$250,000), EMSC Partnership Grant (\$130,000 + \$314,199), Hospital Preparedness Program (\$1,900,815), and Hawaii Em

**Note 4:** In FY 2014 through FY 2016 the estimated revenues in S 241 H are comprised of the Core Violence and Injury Prevention (\$150,000), PHHS Block Grant (\$50,000), and EMSC Partnership Grant (\$130,000).

**Note 5:** The estimated expenditures for FY 2013-16 are equal to fully expending estimated revenue available within the appropriation ceiling.

**Note 6:** The estimated appropriation ceiling, revenue, and expenditures for FY 14 through FY 16 are based on acceptance of department requests for FB13-15 to change the MOF for some block grant funded positions from N to B, delete temporary positions and funds for the Hospital Preparedness Program (\$258,776) and the Hawaii Emergency System for Advance Registration of Volunteer Health Professionals Program (\$13,729), and transfer of the Hospital Preparedness Program (\$2,086,836) and Hawaii Emergency System for Advance Registration of Volunteer Health Professionals Program (\$186,204) to HTH 131. It should also be noted that while all federal funds are reported under MOF N, in the FB13-15 the MOF for some federal funds will be redesignated from N to P.

**Report on Non-General Fund Information  
For Submittal to the 2013 Legislature  
Emergency Medical Services and Injury Prevention System Branch HTH 730**

**Name of Fund:** EMSC Partnership Grant  
**Legal Authority:** Public Health Service Act, Title XIX, Section 1910

**Intended Purpose (including purpose of proposed ceiling increase, if applicable):**

The purpose of the program is to assist States in expanding and improving their capacity to reduce and ameliorate pediatric emergencies, taking special care to include children with special health needs, culturally distinct populations and historically underrepresented groups, including Native American/Alaska Natives, and Native Hawaiians.

**Source of Revenues:**

U.S. Department of Health and Human Services Health Resources and Services  
Administration Maternal and Child Health Bureau

**Current Program Activities/Allowable Expenses:**

After establishing the Pediatric Sub-Committee (PSC) of the Emergency Medical Services Advisory Committee, Hawaii EMSC will work, in conjunction with the guidance of the PSC, with prehospital providers, hospitals, paramedic educators, State and County counterparts and key collaborators inclusive of pacific region partners (U.S. Territories and COFA) to meet stated project goals. These stated EMSC goals will include formalizing inter-facility agreements; monitoring the pediatric medical direction standards and equipment/supplies requirements; paramedic recertification requirements; reevaluating disaster preparedness; and initiating the development of pediatric specific emergency training, inclusive of training outcomes evaluations.

Allowable expenses include personnel costs, indirect costs, fringe benefits, travel, equipment, supplies, subcontracts, and other costs.

**Name of Fund:** National Bioterrorism Hospital Preparedness Program  
**Legal Authority:** Section 319C-2 of the Public Health Service Act (42 USC 247d-3b), as amended by the Pandemic and All-Hazards Preparedness Act of 2006 (Pub. L. No. 109-417)



**Report on Non-General Fund Information  
For Submittal to the 2013 Legislature  
Emergency Medical Services and Injury Prevention System Branch HTH 730**

**Intended Purpose (including purpose of proposed ceiling increase, if applicable):**

The purpose of the Hospital Preparedness Program (HPP) is to enable eligible entities to improve surge capacity and capability and enhance community and hospital preparedness for public health emergencies. The primary focus of the HPP is to build medical surge capability through associated planning, personnel, equipment, training and exercise capabilities at the State and local levels. The goal is a collective vision for National preparedness, and establishes National Priorities to guide preparedness efforts at the Federal, State, local and tribal levels.

**Source of Revenues:**

U.S. Department of Health and Human Services (HHS), Office of the Assistant Secretary for Preparedness and Response (ASPR), Office of Preparedness and Emergency Operations (OPEO), Division of National Healthcare Preparedness Programs (DNHPP)

**Current Program Activities/Allowable Expenses:**

Funds may be used to achieve the preparedness activities described in Pub. L. No. 109-417, Sections 2802(b) (1), (3)-(6) (42 USC 300hh-1(b) (1), (3)-(6)), which include, but are not limited to:

1. Setting up Emergency Systems for Advance Registration of Volunteer Health Professionals (ESAR VHP) systems within the State.
2. Developing statewide plans and community-wide plans for responding to public health and medical emergencies coordinated with the capacities of applicable national, State, and local health agencies and health care providers, including poison control centers.
3. Training or workforce development to enhance the operation of public health laboratories.
4. Improving methods to enhance the safety of workers and workplaces in the event of any hazard.
5. Enhanced training and planning to protect the health and safety of personnel, including health care professionals, involved in responding to many different planning scenarios.

**Report on Non-General Fund Information  
For Submittal to the 2013 Legislature  
Emergency Medical Services and Injury Prevention System Branch HTH 730**

6. Training of public health and health care personnel to (1) recognize and treat the mental health consequences of all hazards, and (2) assist in providing appropriate health care for large numbers of individuals.
7. Activities to address the health security needs of children and other vulnerable populations.
8. The purchase or upgrade of equipment (including stationary or mobile communications equipment), supplies, pharmaceuticals or other priority countermeasures to enhance preparedness for and response to all hazards.
9. Conducting exercises to test the capability and timeliness of public health and medical emergency response activities.

**Name of Fund:** Core Violence and Injury Prevention Program (Core VIPP)  
**Legal Authority:** SEC 301,317, &391A 42USC241, 247B & 280B-B3

**Intended Purpose (including purpose of proposed ceiling increase, if applicable):**

Funds for this cooperative agreement provide support to State Health Departments (SHD) to maintain and strengthen their injury and violence prevention programs by increasing general support in key areas identified as core components of SHD injury and violence prevention programs.

**Source of Revenues:**

Department of Health and Human Services Public Health Service Centers for Disease Control and Prevention

**Current Program Activities/Allowable Expenses:**

Over the course of the five-year project period, IPCS will pursue the following objectives:

- ♦ Maintain and enhance the infrastructure of injury prevention responsible for coordination and integration of the injury prevention and surveillance efforts;
- ♦ Collaborate with the Maternal and Child Health Branch, the lead agency for prevention of intimate partner violence, sexual assault, and child maltreatment, on the Maternal and Infant Early Childhood Home Visiting Program and strategic planning for child abuse and neglect prevention;

**Report on Non-General Fund Information  
For Submittal to the 2013 Legislature  
Emergency Medical Services and Injury Prevention System Branch HTH 730**

- ◆ Continue to collect, analyze and report on data crucial to understanding and addressing the burden of injuries;
- ◆ Coordinate the implementation of organizational, regulatory and legislative policy priorities with the support of IPAC to reduce the incidence of injuries;
- ◆ Implement and evaluate evidence based program and policy interventions that will lead to the prevention of injuries; and
- ◆ Demonstrate improved outcomes in at least four prevention priorities by the end of the five-year project period.

Allowable expenses include personnel costs, indirect costs, fringe benefits, travel, supplies, subcontracts, and other costs.

**Name of Fund:** Injury Prevention and Control, PHHS Block Grant #30 (FY 13)

**Legal Authority:** Part A, Title XIX, Public Health Service Act, as amended (45 CFR Part 96)

**Intended Purpose (including purpose of proposed ceiling increase, if applicable):**

Develop, promote, implement, coordinate and evaluate projects and policies that will lead to the reduction of injury deaths, hospitalizations and costs in Hawai'i.

**Source of Revenues:**

Department of Health and Human Services Public Health Service Centers for Disease Control and Prevention

**Current Program Activities/Allowable Expenses:**

The following are some of the key objectives and activities that will be achieved by the end of the grant period:

1. Collect and analyze data on the causes of injury morbidity and mortality and produce and disseminate reports on fatal and non-fatal injuries in Hawaii.
2. Evaluate the following injury prevention interventions and policies: two-day Applied Suicide Intervention Skills Training (ASIST) and safeTalk, Screening Brief Intervention, and Referral to Treatment (SBIRT), and motorcycle helmet usage.
3. Facilitate, coordinate, build and mobilize task forces and partnerships to support the implementation of the Hawaii Injury Prevention Plan (HIPP) and develop capacity to conduct injury prevention activities.

**Report on Non-General Fund Information  
For Submittal to the 2013 Legislature  
Emergency Medical Services and Injury Prevention System Branch HTH 730**

- a. Collaborate with agencies and community-based organizations to develop and implement injury prevention initiatives identified in the HIPPP.
- b. Conduct core competency training for IPCS, the Injury Prevention Advisory Committee and other DOH and community programs.
- c. Sponsor safe transportation training for transportation officials, planners, and engineers, and community members, and an Injury 101 training for Trauma Coordinators and EMS personnel statewide.
- d. Support one injury prevention legislative or organizational policy initiative.
- e. Conduct at least one public awareness campaign or media event as identified in the Hawaii Injury Prevention Plan.
- f. Provide technical support and training and serve as a clearinghouse of injury information and data.

Allowable expenses include personnel costs, indirect costs, fringe benefits, travel, equipment, supplies, subcontracts, and other costs.

**Name of Fund:** Emergency System for Advance Registration of Volunteer Health Professionals (ESAR-VHP) Grant Program

**Legal Authority:** Public Law 107-188, the *Public Health Security and Bioterrorism Preparedness and Response Act of 2002*, authorized the development of ESAR-VHP. Statutory authority is contained in Title III, Section 319I, Public Health Service Act (42 U.S.C. 247d-7b), as amended by the Pandemic and All-Hazards Preparedness Act, P.L. 109-417

**Intended Purpose (including purpose of proposed ceiling increase, if applicable):**

This grant provides support for activities to develop, refine, and maintain existing Emergency System for Advance Registration of Volunteer Health Professionals (ESAR-VHP) programs. The national ESAR-VHP program is a network of interoperable state systems that is a mechanism to enable health professional volunteers in public health emergencies and disasters. Each system is maintained by a state or group of states, for the purpose of verifying the credentials, certifications, licenses, accreditations, and hospital privileges of health care professionals who volunteer to provide health services during a public health emergency.

Funds provided through this new ESAR-VHP grant will support the integration of volunteers from the Territory of Guam, American Samoa, Republic of Palau and other Pacific Islands into Hawaii's ESAR-VHP to strengthen mutual aid within the Pacific

**Report on Non-General Fund Information  
For Submittal to the 2013 Legislature  
Emergency Medical Services and Injury Prevention System Branch HTH 730**

region and to increase medical and public health surge capacity in the event of a major disaster. Through the Emergency Management Assistance Compact (EMAC), which provides a legal framework for the sharing of volunteer health professionals with other states and territories, Hawaii will improve emergency response and coordination within the broader Pacific region.

**Source of Revenues:**

U.S. Department of Health and Human Services (HHS), Office of the Secretary (OS), Office of the Assistant Secretary for Preparedness and Response (ASPR), Office of Preparedness and Emergency Operations (OPEO), National Healthcare Preparedness Programs (NHPP).

**Current Program Activities/Allowable Expenses:**

The primary purpose of this funding is to support the development, maintenance, and enhancement of the Hawaii ESAR-VHP through the following activities:

- ◆ Development of approaches and coordination activities within the Pacific region to facilitate the mobilization of volunteers across jurisdictions and strengthen regional mutual aid;
- ◆ Training of ESAR-VHP system administrators;
- ◆ Development or expansion of the system to identify volunteers willing to participate in a federally coordinated emergency response;
- ◆ Establishment of working relationships with external partners, such as the local and/or State Emergency Management Agency;
- ◆ Testing of the ESAR-VHP program (system and volunteers) through drills and exercises;
- ◆ Development and implementation of plans and processes for reporting program performance and capabilities;
- ◆ Joint Medical Reserve Corps (MRC) and ESAR-VHP volunteer recruitment activities;
- ◆ Development and implementation of mechanisms for the registration and credentials verification of MRC volunteers; and
- ◆ Integrated training and exercising of MRC and ESAR-VHP volunteers in coordination with local, state, and regional response partners.

**Report on Non-General Fund Information**  
for Submittal to the 2013 Legislature

Department: HTH  
 Prog ID(s): HTH 840 FK  
 Name of Fund: Clean Water Revolving Funds - ARRA  
 Legal Authority: Act 162/SLH 2009

Contact Name: Pat Sasaki  
 Phone: 586-4579  
 Fund type (MOF) V  
 Appropriation Acct. No. S 238 H

Intended Purpose: Implement ARRA funding of Clean Water Revolving Loan Fund construction activities.

Source of Revenues: ARRA

Current Program Activities/Allowable Expenses: Construction loans oversight, inspections, data management and reporting, financial report preparation, and completion of loan payment requests

Purpose of Proposed Ceiling Increase (if applicable):

Encumbrances: Contracts

Financial Data							
	FY 2010 (actual)	FY 2011 (actual)	FY 2012 (actual)	FY 2013 (estimated)	FY 2014 (estimated)	FY 2015 (estimated)	FY 2016 (estimated)
Appropriation Ceiling	30,352,300	9,900,000					
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	12,867,564	7,242,460	7,371,745				
Expenditures	12,867,564	7,242,460	7,371,745				
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances in prior years are cumulative.							
Encumbrances	16,975,872	10,111,308	2,871,680				
Unencumbered Cash Balance	N/A	N/A	N/A	0	0	0	0

Note: although federal funds are encumbered, federal funds are not drawn down until approximately three days prior to payment processing.

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2013 Legislature

Department: HTH  
 Prog ID(s): HTH 849  
 Name of Fund: Various  
 Legal Authority: Act 213/SLH 2007

Contact Name: Pat Sasaki  
 Phone: 586-4579  
 Fund type (MOF) N  
 Appropriation Acct. No. S 236 H

Intended Purpose: Plan, coordinate, manage data, and conduct public participation outreach.

Source of Revenues: Allocation from Environmental Protection Agency grants.

Current Program Activities/Allowable Expenses: Planning, coordination, data integration and management, and public outreach.

Purpose of Proposed Ceiling Increase (if applicable): The Executive Budget proposal for the FB 2013-15 includes increase in ceiling for new federal Hazardous Materials Emergency Preparedness Grant; and the transfer of one Environmental Health Specialist IV from Clean Air Branch (HTH 840ff) to Hazard Evaluation and Emergency Response Office (HTH 849FD).

Encumbrances: Contracts 22,071; Payroll 54,673; UH Services 74,762; Supplies 812; Travel 3,544; Fee Services 683,366; Misc. 2,332; Equipment 1,806

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,201,314	3,201,314	3,201,314	2,940,906	3,123,435	3,123,435	3,123,435
Beginning Cash Balance	111,312	151,199	89,471	159,207	159,207	159,207	159,207
Revenues	2,838,274	2,412,342	2,697,711	2,940,906	2,940,906	2,940,906	2,940,906
Expenditures	2,798,387	2,474,070	2,627,974	2,940,906	2,940,906	2,940,906	2,940,906
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	151,199	89,471	159,207	159,207	159,207	159,207	159,207
Encumbrances in prior years are cumulative.							
Encumbrances	615,693	753,756	1,077,834				
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Note: although federal funds are encumbered, federal funds are not drawn down until approximately three days prior to payment processing.

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Note: The Executive Budget proposal for the FB 2013-15 includes conversion of various grants from "N" to "P" means of financing which will decrease the overall appropriation ceiling by \$2,488,483 for the Exchange Network Grant, the State Response Program Grant, the Preliminary Assessment/Site Inspection Grant, and the Dept. of Defense and State Memorandum of Agreement Program Grant.

**Report on Non-General Fund Information**  
for Submittal to the 2013 Legislature

Department: Health  
 Prog ID(s): HTH 730  
 Name of Fund: State/Tribal Youth Suicide Prev Grant (HI Gatekeeper)  
 Legal Authority: Section 321-231, HRS; Section 520E-2, PHS ACT

Contact Name: Terry Joyce  
 Phone: 733-9217  
 Fund type (MOF) N  
 Appropriation Acct. No. S 234 H

Intended Purpose: State/Tribal Youth Suicide Prevention.

Source of Revenues: Department of Health and Human Services Substance Abuse and Mental Health Services Administration CMHS.

Current Program Activities/Allowable Expenses: Provision of ASIST workshops to enhance youth suicide prevention efforts in Public Schools.

Purpose of Proposed Ceiling Increase (if applicable): Not Applicable.

Encumbrances: Not Applicable.

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	500,000	500,000	225,232	47,029	0	0	0
Beginning Cash Balance	19,438	302	5,500	47,029	0	0	0
Revenues	471,664	459,098	396,575	0	0	0	0
Expenditures	490,799	453,900	355,047	47,029	0	0	0
Transfers							
List each by JV# and date	0	0	0				
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	302	5,500	47,029	0	0	0	0
Encumbrances	235,260	386,553	0	0	0	0	0
<i>Encumbrances shown for prior years are cumulative.</i>							
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Note: For federal funds, although funds are encumbered, federal funds are not drawn down until just prior to payment processing (approximately three days prior to payment).							
Additional Information:							
Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Note 1: Non-appropriated grant (GOV 12/12/08). SAMHSA grant ended September 29, 2011. The final budget period was 9/30/10 - 9/29/11. The estimated revenues and estimated expenditures for FY 13 are equal to the actual recorded in FAMIS as of 11/19/2012.

Note 2: Data Source Reference for Actual FY 12 Beginning Balance, Receipts, and Expenditures: MBP477-A OPTION:2, CY12, CM06, as of 06/30/12, State of Hawaii Financial Accounting & Management Information System.

Note 3: Data Source Reference for Actual FY 12 Encumbrances (Unliquidated Balance): MBP490-A, CY 12, CM 06, as of 06/30/12, State of Hawaii Financial Accounting & Management Information System.



**Report on Non-General Fund Information**  
for Submittal to the 2013 Legislature

Department:	<u>HTH</u>	Contact Name:	<u>Jim Cullen</u>
Prog ID(s):	<u>HTH 710</u>	Phone:	<u>453-6667</u>
Name of Fund:	<u>Food Emergency Response Network</u>	Fund type (MOF)	<u>N</u>
Legal Authority	<u>PHS Act, Sec 1706,42 USC300u-5, as amended; Sec2(d), PL98-551 (DHHS)</u>	Appropriation Acct. No.	<u>S 231 H 000203 xx 494</u> <u>S 231 H 000580 xx 494</u>

Intended Purpose This Cooperative Agreement grant will enhance and expand Department's laboratory capacity and capabilities to detect microbiological

Source of Revenue United States Department of Agriculture (USDA), Food Safety and Inspection Service (FSIS).

Dept. of Health & Human Services (DHHS), Food & Drug Administration (FDA), Food Emergency Response Network (FERN)

Current Program Activities/Allowable Expenses: The fund will be used for laboratory support personnel, procure equipment and other scientific supplies,

Purpose of Proposed Ceiling Increase (if applicable): N/A

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	483,333	497,363	497,363	497,363	497,363	497,363	497,363
Beginning Cash Balance	93,451	67,013	63,924	26,358	26,358	26,358	26,358
Revenues	484,121	423,803	344,493	497,363	497,363	497,363	497,363
Expenditures	510,559	426,891	382,059	497,363	497,363	497,363	497,363
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	67,013	63,924	26,358	26,358	26,358	26,358	26,358
Encumbrances	67,013	63,924	19,937	63,924	63,924	63,924	63,924
<i>Encumbrances shown for prior years are cumulative.</i>							
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Note: For federal funds, although funds are encumbered, federal funds are not drawn down until just prior to payment processing (approximately three days prior to payment).

Additional Information:							
Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2013 Legislature

Department: Health  
 Prog ID(s): HTH 907  
 Name of Fund: Various Federal Grants from the US DHHS/HRSA/PHS  
 Legal Authority: Act 164, SLH 2011, as amended by Act 106, SLH 2012.  
Public Health Service Act, various sections, as amended.

Contact Name: Lorin Kim, Betty Wood, R.Scott Daniels  
 Phone: 6-4189, 6-4530, (808)775-8883  
 Fund type (MOF) N  
 Appropriation Acct. No. S 228 H

**Intended Purpose:** See Attached.

**Source of Revenues:** See Attached.

**Current Program Activities/Allowable Expenses:** See Attached.

**Purpose of Proposed Ceiling Increase (if applicable):**

None.

**Encumbrances:**

Various allowable expenses.

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,304,909	1,304,909	1,051,850	1,817,763	1,216,023	1,216,023	1,216,023
Beginning Cash Balance	53,886	41,447	52,550	66,915	66,915	66,915	66,915
Revenues	818,081	661,302	1,023,922	1,600,000	900,000	900,000	300,000
Expenditures	830,520	650,199	1,009,556	1,600,000	900,000	900,000	300,000
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	41,447	52,549.87	66,915	66,915	66,915	66,915	66,915
<i>Encumbrances shown for prior years are cumulative.</i>							
Encumbrances	155,230	327,324.52	183,630				
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

*Note: For federal funds, although funds are encumbered, federal funds are not drawn down until just prior to payment processing (approximately three days prior to payment).*

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Attachment to Report on Non-General Fund Information**  
for Submittal to the 2012 Legislature

Department: HEALTH  
Prog ID(s): HTH 907  
Name of Fund: Various Federal Grants from the US DHHS/HRSA/PHS  
Legal Authority: Public Health Service Act, various sections, as amended

Contact Name: Lorin Kim, Betty Wood, R.Scott Daniels  
Phone: 6-4189, 6-4530, (808)775-8883  
Fund type (MOF) N  
Appropriation Acct. No. S 228 H

**Intended Purpose:**

Preventive Health and Health Services Block Grant (PHHS BG) - This grant program was established in 1982 to help states and local communities focus on achieving the Health Objectives for the nation, identified in Healthy People 2000. States receiving block grant dollars must develop health plans, report to the federal government about their activities, and target public health interventions to populations in need. The flexible provisions of the grant allow each state to address health problems unique to that state. Examples of current funding areas include emergency epidemic response, chronic disease prevention and health promotion, emergency medical services, environmental health, infectious disease control, rape prevention, injury prevention, and disease and risk factor surveillance.

Hawaii State Office of Rural Health (SORH) – The grant program coordinates and implements activities to support priority health needs of Hawaii's rural communities.

Rural Health Flexibility Program (FLEX) - This grant program is a Federal initiative that provides funding to State Governments to strengthen rural health. This program (1) Allows small hospitals the flexibility to reconfigure operations and be licensed as Critical Access Hospital (CAHs), (2) Offers cost-based reimbursement for Medicare acute and skilled inpatient and outpatient services, (3) Encourages the development of rural-centric health networks, (4) Offers grants to States to help implement a CAH program in the context of broader initiatives to strengthen the rural health care infrastructure.

Small Hospital Improvement Grant Program (SHIP) – This grant program permits grant monies to be used for: (1) payment of costs related to the implementation of prospective payment systems (PPS); (2) compliance with provisions of the Health Insurance Portability and Accountability Act (HIPAA); and (3) reducing medical errors and support quality improvement.

Strengthening Public Health Infrastructure for Improved Health Outcomes (SPHI) – This grant program permits grant monies to be used to systematically increase the performance management capacity of public health departments in order to ensure that public health goals are effectively and efficiently met.

**Source of Revenues:**

US Department of Health and Human Services, Health Resources and Services Administration and Public Health Service.

**Current Program Activities/Allowable Expenses:**

PHHSBG activities include the ongoing development of a Data and Information System Integration project; an Injury Prevention Initiative; support for statewide coordination of public education and awareness to Prevent Sexual Assault; and support for various special data projects as deemed appropriate.

SORH grant monies are to be used for the following activities: (a) Collect and appropriately disseminate information relevant to rural health; (b) Coordinate resources and activities statewide; (c) Provide technical and other assistance to rural communities; (d) Facilitate recruitment and retention of health providers in rural areas; (e) strengthen state and national partnerships for rural health.

The FLEX program works with Hawaii's nine CAHs on improving quality and performance. The program also works on improving trauma care in Hawaii's rural areas in cooperation with the Emergency Medical Services and Injury Prevention Branch of DOH.

SHIP grant funds are used to provide a performance measurement and benchmarking system. Remaining funds are distributed evenly to the rural hospital facilities for their priority areas.

SPHI grant funds currently relate to salaries, consultants, equipment, and services to achieve grant goals.

**Report on Non-General Fund Information**  
for Submittal to the 2013 Legislature

Department: Health  
 Prog ID(s): HTH 760  
 Name of Fund: Vital Statistics Cooperative Program  
 Legal Authority: Act 164/2011, as amended by Act 106/2012

Contact Name: Alvin T. Onaka, Ph.D.  
 Phone: 586-4600  
 Fund type (MOF) N  
 Appropriation Acct. No. S 226 H

**Intended Purpose:**

Support the collection and delivery of vital statistics information.

**Source of Revenues:**

Contracts with the US Government for vital statistics information.

**Current Program Activities/Allowable Expenses:**

Contracts with CDC and Social Security Administration for the Vital Statistics Cooperative Program's enumeration at birth and fact of death information.

**Purpose of Proposed Ceiling Increase (if applicable):**

None.

**Encumbrances:**

Various allowable expenses.

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	397,214	397,214	264,516	217,543	234,870	234,870	234,870
Beginning Cash Balance	356,387	221,177	58,370	121,608	141,608	161,608	181,608
Revenues	233,339	205,457	292,201	180,000	180,000	180,000	180,000
Expenditures	368,549	368,264	228,963	160,000	160,000	160,000	160,000
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	221,177	58,370.27	121,608	141,608	161,608	181,608	201,608
<i>Encumbrances shown for prior years are cumulative.</i>							
Encumbrances	0	1,328.26	17,733				
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

*Note: For federal funds, although funds are encumbered, federal funds are not drawn down until just prior to payment processing (approximately three days prior to payment).*

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2013 Legislature

Department: HEALTH  
 Prog ID(s): HTH590  
 Name of Fund: Various Federal Grants from US DHHS/PHS/CDC  
 Legal Authority: Various sections of the Public Health Service Act, as amended

Contact Name: Lola Irvin  
 Phone: 586-4488  
 Fund type (MOF) N  
 Appropriation Acct. No. S 225 H

**Intended Purpose:** See Attachment S 225 H

**Source of Revenues:** See Attachment S 225 H

**Current Program Activities/Allowable Expenses:** See Attachment S 225 H

**Purpose of Proposed Ceiling Increase (if applicable):** None.

**Encumbrances:** Provide services to conduct annual BFRSS survey, conduct evaluation of all phases of Healthy Hawaii Initiative, contracts to perform services related to grants and payroll accrual.

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	4,151,936	4,151,936	4,833,514	5,261,085	5,335,092	5,335,092	5,335,092
Beginning Cash Balance	153,272	46,322	42,223	37,183	37,183	37,183	37,183
Revenues	3,046,362	4,160,964	4,209,285	4,447,000	4,447,000	4,447,000	4,447,000
Expenditures	3,153,313	4,165,063	4,214,325	4,447,000	4,447,000	4,447,000	4,447,000
Transfers							
List each by JV# and date							
Net Total Transfers			0				
Ending Cash Balance	46,322	42,223	37,183	37,183	37,183	37,183	37,183
Encumbrances	1,398,397	1,078,306	973,345				
<i>Encumbrances shown for prior years are cumulative.</i>							
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

*Note: For federal funds, although funds are encumbered, federal funds are not drawn down until just prior to payment processing (approximately three days prior to payment).*

Additional Information:							
Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2013 Legislature  
Tobacco Settlement Project/Healthy Hawaii Initiative**

## INTENDED PURPOSE

1. Easy Access Project, PHHS Block Grant: Alleviate or eliminate barriers to accessing health care services for immigrants, migrants, and those from Compact of Free Association states.
2. Addressing Asthma from a Public Health Perspective (Asthma Control Program): Develop and implement a statewide, integrated comprehensive asthma plan to reduce the burden of asthma.
3. Collaborative Chronic Disease, Health Promotion, and Surveillance Programs
  - a. Diabetes Prevention and Control Program: Address the prevention of diabetes and its complications, with a focus on reducing disparities among high-risk populations, through collaboration with state diabetes health system partners.
  - b. Tobacco Prevention and Education Program: Prevent and reduce tobacco use and the exposure to secondhand tobacco smoke in Hawaii with particular focus on eliminating disparities among populations.
  - c. Behavioral Risk Factor Surveillance System (BRFSS): Data collection and statistical analysis for state-level tracking of the incidence and prevalence of chronic diseases and behavioral risk factors leading to chronic diseases.
  - d. Healthy Communities: Reduce the burden of chronic disease in the State of Hawaii through the provision of technical assistance, training and consultation to communities which demonstrate greater health outcome disparities.
4. National Cancer Prevention and Control Programs
  - a. Breast and Cervical Cancer Control Program: Provide free breast and cervical cancer outreach, screening, diagnostic, and case management services to high-risk women aged 50 and older who are low income and are uninsured or underinsured. Funds for treatment of women who are diagnosed with cancer in this program are available through a federal and state breast and cervical cancer treatment
  - b. Comprehensive Cancer Control Program: Develop and implement a statewide, integrated comprehensive cancer plan to reduce the incidence, morbidity and mortality of cancer through prevention, early detection, treatment, rehabilitation and palliation.
5. State Heart Disease and Stroke Prevention Program: Plan, implement, track, and sustain population-based interventions that address heart disease, stroke, and related risk factors (e.g., high blood pressure, high blood cholesterol, tobacco use, physical inactivity, and poor nutrition).
6. Childhood Rural Asthma Project: Build the capacity of community health centers to effectively identify, treat, and educate pediatric asthma suffers and their families located in rural areas in the State of Hawaii.
7. Nutrition, Physical Activity and Obesity Prevention: Improve healthful eating, and physical activity to prevent and control obesity and other chronic diseases by building and sustaining statewide capacity, and implementing population-based strategies and interventions.

## SOURCE OF REVENUES:

1. Easy Access Project, PHHS Block Grant: Preventive Health and Health Services Block Grant
2. Addressing Asthma from a Public Health Perspective: The Centers for Disease Control and Prevention (CDC), National Center for Environmental Health
3. Collaborative Chronic Disease, Healthy Promotion and Surveillance Program (a. thru d.): CDC
4. National Cancer Prevention and Control Programs (a & b): CDC, Division of Cancer Prevention and Control

**Report on Non-General Fund Information  
for Submittal to the 2013 Legislature  
Tobacco Settlement Project/Healthy Hawaii Initiative**

5. State Heart Disease and Stroke Prevention Program: CDC, Division for Heart Disease and Stroke Prevention
6. Childhood Rural Asthma Project: CDC, National Center for Environmental Health
7. Nutrition, Physical Activity and Obesity Program: CDC Division of Nutrition, Physical Activity, and Obesity

**CURRENT PROGRAM ACTIVITIES/ALLOWABLE EXPENSES:**

1. Easy Access Project, PHHS Block Grant: Assist newly arrived immigrants, migrants and those from Compact of Free Association states to access health services in their native languages; provide information regarding health care in Hawaii, culturally sensitive health education, referrals to health services, and interpretation services; translate brochures from English to various other languages to reach target populations; assist other DOH programs with cultural awareness; and assist with identification of health concerns of these populations.
2. Addressing Asthma from a Public Health Perspective (Asthma Control Program): Implement a defined subset of evidence-based interventions identified by the Asthma Coalition and based on the asthma plan and surveillance system to reduce the burden of asthma in Hawaii.
  - a. Maintain the Comprehensive State Asthma Surveillance System
  - b. Maintain a statewide asthma coalition
  - c. Develop Asthma Workgroups and Task Forces
  - d. Coordinate Asthma-related Trainings and Conferences
3. Collaborative Chronic Disease, Health Promotion, and Surveillance Program
  - a. Diabetes Prevention and Control Program: Implement activities identified by the Diabetes Coalition and based on the diabetes state plan and surveillance system to reduce the burden of diabetes in Hawaii.
    - ♦ Maintain the Diabetes Surveillance System
    - ♦ Develop Statewide Diabetes Coalition
    - ♦ Facilitate Diabetes Task Force and Workgroups
    - ♦ Coordinate Diabetes-related Trainings and Conferences
    - ♦ Facilitate Diabetes Quality Improvement Projects
  - b. Tobacco Prevention and Education Program: Provide a comprehensive multi-strategy approach to reduce the burden of tobacco-related diseases through statewide and community-based programs addressing youth initiation, tobacco use cessation, and secondhand smoke; facilitate implementation of new state smoke-free workplace and public places law; maintain community coalitions; implement Adult Tobacco Survey; coordinate statewide strategic plan; collaborate on implementation of statewide Quitline; support and conduct media advocacy and counter-marketing campaigns; provide training and technical assistance in tobacco cessation; coordinate statewide tobacco conference; develop and promote tobacco curricula in schools; develop programs for disparate populations.
  - c. BRFSS: Data collection and statistical analysis for state-level tracking of the incidence and prevalence of chronic diseases and behavioral risk factors leading to chronic diseases.
4. National Cancer Prevention and Control Program
  - a. Breast and Cervical Cancer Control Program: Contract for statewide cancer screening and diagnostic services; monitor service providers for appropriate and timely follow-up and treatment; provide professional education to service providers and community outreach workers; provide public education

**Report on Non-General Fund Information  
for Submittal to the 2013 Legislature  
Tobacco Settlement Project/Healthy Hawaii Initiative**

materials and activities to promote early detection services; assure that women receive high quality and appropriate care through the program; maintain surveillance of population trends in the state to help plan outreach activities.

- b. Comprehensive Cancer Control Program: Maintain the Hawaii Comprehensive Cancer Coalition; maintain the Comprehensive Cancer Surveillance System; disseminate the State Cancer Plan; maintain and facilitate the action teams identified in the State Plan to foster implementation of the plan. Support Cancer Advocacy and Awareness Initiatives.
5. State Heart Disease and Stroke Prevention Program: Conduct surveillance of heart disease and stroke and related risk factors. Develops and implements surveys and statewide capacity assessments to understand current systems and ways to improve these systems. The program convenes stakeholders to develop and implement a comprehensive statewide heart disease and stroke prevention plan. The plan will provide the overall guidance to communities, worksites, health care providers, health plans, public health leaders, policy makers and others interested in improving cardiovascular health in Hawaii by improving the systems to carry out that mission. In partnership with community organizations the program develops resource information for the general public and high risk populations related to heart disease and stroke.
6. Childhood Rural Asthma Project: Execute and oversee contract with Hawaii Primary Care Association; convene meetings between Hawaii Primary Care Association, community health centers and independent evaluator; provide technical assistance to community health centers related to asthma management and education; share lessons learned with statewide asthma coalition and other stakeholders.
7. Nutrition, Physical Activity and Obesity Prevention Program: Create, implement and monitor a nutrition, physical activity and obesity state plan; monitor the prevalence of overweight, obesity, nutrition quality and physical activity levels; and monitor the impact of the program in changing overweight and obesity related behaviors, particularly nutrition and physical activity, and evaluate progress and effectiveness of the annual work plan and state partnerships. Required activities are:
  - a. Develop and maintain program infrastructure to provide the State leadership role for coordinated nutrition, physical activity and obesity strategies;
  - b. Provide and update state plan for nutrition, physical activity and obesity prevention;
  - c. Implement the state plan in collaboration with partners;
  - d. Support a surveillance to monitor the prevalence of overweight, obesity, nutrition quality and physical activity levels;
  - e. Evaluate progress toward meeting objectives from the state plan, annual implementation plan, annual cooperative agreement work plan, and state partnerships; and
  - f. Submit annual success stories and lessons learned.



**Report on Non-General Fund Information  
for Submittal to the 2013 Legislature**

Department: Health  
 Prog ID(s): HTH 720  
 Name of Fund: Title XVIII (Medicare) and Title XIX (Medicaid) and CLIA  
 Legal Authority: Social Security Act, Section 1864, and U.S. Public Law 100-578

Contact Name: Keith R. Ridley  
 Phone: 692-7227  
 Fund type (MOF): N  
 Appropriation Acct. No. S 223 H

**Intended Purpose:**

To meet contractual obligations under the Social Security Act, Section 1864, and U.S. Public Law 100-578 between the State of Hawaii, Department of Health (DOH) and the Centers for Medicare and Medicaid Services (CMS) by completing federal survey & certification activities to enable health care providers, suppliers, and clinical laboratories in qualifying for Medicare/Medicaid reimbursement in Hawaii.

**Source of Revenues:**

The source of revenues are from Federal contracts. The contract funding is relatively stable from year to year but can increase or decrease based on the funding levels appropriated by Congress despite the level of funding requested by OHCA. Congress' dilemma on whether or how to address the federal budget deficit makes the level of funding for this program unpredictable for the next few years.

**Current Program Activities/Allowable Expenses:**

On behalf of CMS, perform Medicare certification surveys by conducting initial, re-certification, follow-up, complaint, and/or validation on-site surveys of health care providers and suppliers (ambulatory surgical centers, comprehensive rehabilitation facilities, end-stage renal disease facilities, home health agencies, hospices, hospitals, intermediate care facilities for the mentally retarded, nursing facilities, outpatient physical therapy/speech pathology clinics, portable x-ray facilities, and rural health clinics), and clinical laboratories (CLIA); and investigate allegations of abuse, neglect, and/or misappropriation of resident property against certified nurse aides (CNA) in certified nursing homes according to federal regulations, policies, and procedures in Hawaii. Despite any changes to the federal funding, CMS expects the state to meet its contractual obligations of performing Medicare certification surveys on healthcare facilities and organizations within the program's jurisdiction.

**Purpose of Proposed Ceiling Increase (if applicable):** The Executive budget proposal for the FB 13-15 includes: increase in ceiling to reflect the current grant award for Title XIX, Title XVIII, and CLIA.

**Encumbrances:**

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,662,415	1,659,515		1,870,298	2,028,201	2,028,201	2,028,201
Beginning Cash Balance	181,184	23,975	319,627	153,779	383,481	613,183	842,885
Revenues	1,451,691	1,937,288	1,557,314	2,100,000	2,100,000	2,100,000	2,100,000
Expenditures	1,608,900	1,641,636	1,723,162	1,870,298	1,870,298	1,870,298	1,870,298
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	23,975	319,627	153,779	383,481	613,183	842,885	1,072,587
Encumbrances	113,544	119,546	133,716	115,000	115,000	115,000	115,000
Encumbrances shown for prior years are cumulative.							
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Note: For federal funds, although funds are encumbered, federal funds are not drawn down until just prior to payment processing (approximately three days prior to payment).

Additional Information:							
Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Note: The Executive Budget proposal for the FB 2013-15 includes conversion of various grants from "N" to "P" means of financing which will decrease the overall appropriation ceiling by \$1,586,387 for the Title XIX, Title XVIII, and CLIA.

**Report on Non-General Fund Information**  
for Submittal to the 2013 Legislature

Department: HEALTH  
 Prog ID(s): HTH 904-AJ  
 Name of Fund: Title III, Title VII, NSIP,SHIP,SMP  
 Legal Authority: Older Americans Act PL 106-501

Contact Name: Shannon Chun  
 Phone: 586-7323  
 Fund type (MOF) N  
 Appropriation Acct. No. S-221-H

**Intended Purpose:**

Provide advocacy, planning, program development, and coordinated system of opportunity and services for adults 60+ and family caregivers.

**Source of Revenues:**

DHHS - AoA and CMS

**Current Program Activities/Allowable Expenses:**

Advocate resources for older adults and caregivers; plan and implement Older Americans Act; develop and coordinate comprehensive in-home and community-based services.

**Purpose of Proposed Ceiling Increase (if applicable):**

Encumbrances: Contracts with the four counties for the Title III and NSIP grants. To provide services to the elderly.

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	7,443,720	7,443,720	7,802,796	7,569,144	7,569,144	7,569,144	7,569,144
Beginning Cash Balance	282,057	437,440	570,425	176,721	157,909	157,909	157,909
Revenues	6,901,879	7,081,893	6,282,673	7,550,332	7,569,144	7,569,144	7,569,144
Expenditures	6,746,496	6,948,908	6,676,377	7,569,144	7,569,144	7,569,144	7,569,144
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	437,440	570,425	176,721	157,909	157,909	157,909	157,909
Encumbrances in prior years are cumulative.							
Encumbrances	2,388,883	0	2,660,276				
Unencumbered Cash Balance	N/A	N/A	N/A	157,909	157,909	157,909	157,909

Note: although federal funds are encumbered, federal funds are not drawn down until approximately three days prior to payment processing.

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow							
Accounts, or Other Investments							

Note: The Executive Budget proposal for the FB 2013-15 includes conversion of various grants from "N" to "P" means of financing which will decrease the overall appropriation ceiling by \$592,678 for the State Health Insurance Program grant (SHIP), Senior Medicare Patrol SMP grant, and the Hawaii ADRC grant.

**Report on Non-General Fund Information**  
for Submittal to the 2013 Legislature

Department: HEALTH  
 Prog ID(s): HTH 560  
 Name of Fund: Personal Responsibility Education Program (PREP)  
 Legal Authority: SSA, Title V, Section 513

Contact Name: Leighton Tamura  
 Phone: 733-9073  
 Fund type (MOF) N  
 Appropriation Acct. No. S 216 H

Intended Purpose: To educate adolescents on both abstinence and contraception to prevent pregnancy and sexually transmitted infections.

Source of Revenues: DHHS, Administration for Children & Families

Current Program Activities/Allowable Expenses: This grant will support an evidence-based teen pregnancy prevention program that will be held after school during the school year. It is a teen club model that encompasses three interrelated elements: supervised community volunteer service; classroom activities, and classroom-based discussions and activities related to key social-developmental tasks. The program targets Hawaii County's 15 to 19 year old youth in eight districts across the island.

Purpose of Proposed Ceiling Increase (if applicable): Effective July 1, 2012, this grant is now appropriated in S 206 H.

Encumbrances: None

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				0	0	0	0
Beginning Cash Balance		0	0	473	473	473	473
Revenues			14,500	0	0	0	0
Expenditures			14,028	0	0	0	0
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	0	0	473	473	473	473	473
Encumbrances			98,000				
<i>Encumbrances shown for prior years are cumulative.</i>							
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Note: For federal funds, although funds are encumbered, federal funds are not drawn down until just prior to payment processing (approximately three days prior to payment).

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2013 Legislature

Department: Health  
 Prog ID(s): HTH 904  
 Name of Fund: Medicare Improvements for Patients and Providers  
 Legal Authority: MMSEA of 2007/MIPPA Sec 119 e

Contact Name: Shannon Chun  
 Phone: 586-7323  
 Fund type (MOF) N  
 Appropriation Acct. No. S215

**Intended Purpose:**

Funding is also used to provide Part D counseling to Medicare beneficiaries who live in rural areas, and to promote the new Medicare prevention and wellness benefits.

**Source of Revenues:**

Centers for Medicare and Medicaid Services

**Current Program Activities/Allowable Expenses:**

**Purpose of Proposed Ceiling Increase (if applicable):**

**Encumbrances:**

None

Financial Data							
	FY 2010 (actual)	FY 2011 (actual)	FY 2012 (actual)	FY 2013 (estimated)	FY 2014 (estimated)	FY 2015 (estimated)	FY 2016 (estimated)
Appropriation Ceiling							
Beginning Cash Balance		0	6,984	0	0	0	0
Revenues		3,964	35,341				
Expenditures		2,112	7,144				
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	0	1,852	35,181	0	0	0	0
<i>Encumbrances shown for prior years are cumulative.</i>							
Encumbrances							
Unencumbered Cash Balance	0	N/A	N/A	N/A	N/A	N/A	N/A

*Note: For federal funds, although funds are encumbered, federal funds are not drawn down until just prior to payment processing (approximately three days prior to payment).*

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2013 Legislature

Department: Health  
 Prog ID(s): HTH 904  
 Name of Fund: Senior Medicare Patrol Project  
 Legal Authority: 42 USC 3031-3037B

Contact Name: Shannon Chun  
 Phone: 586-7323  
 Fund type (MOF) N  
 Appropriation Acct. No. S211

**Intended Purpose:**

The SMP program empowers seniors through increased awareness and understanding of healthcare programs. This knowledge helps them to protect themselves from the economic and health-related consequences of Medicare and Medicaid fraud, error and abuse.

**Source of Revenues:**

Administration on Aging

**Current Program Activities/Allowable Expenses:**

**Purpose of Proposed Ceiling Increase (if applicable):**

**Encumbrances:**

None

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance		0	1,852	0	0	0	0
Revenues		3,964	67,224				
Expenditures		2,112	69,077				
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	0	1,852	0	0	0	0	0
<i>Encumbrances shown for prior years are cumulative.</i>							
Encumbrances							
Unencumbered Cash Balance	0	N/A	N/A	N/A	N/A	N/A	N/A

*Note: For federal funds, although funds are encumbered, federal funds are not drawn down until just prior to payment processing (approximately three days prior to payment).*

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2013 Legislature

Department: Health  
 Prog ID(s): HTH 905  
 Name of Fund: Developmental Disabilities (DD) Services  
 Legal Authority: P.L. 106-402 and Chapter 333E, HRS

Contact Name: Waynette Cabral  
 Phone: 586-8100  
 Fund type (MOF) N  
 Appropriation Acct. No. S-210-H

Intended Purpose: Federally funded program that supports the State Council on Developmental Disabilities to engage in advocacy, capacity building, and systemic change activities that contribute to a coordinated, consumer- and family-centered, consumer-and family-centered, consumer-and family-directed, comprehensive system of community services, individualized supports, and other forms of assistance that enable individuals with DD to exercise self-determination, be independent, be productive, and be integrated and included in all facets of community life.

Source of Revenues: Federal- U.S. Department of Health and Human Services, Administration for Community Living - DD Assistance and Bill of Rights Act (P.L. 106-402)

Current Program Activities/Allowable Expenses: Funds are used to carryout the DD Council's Five-Year State Plan activities in the areas of community supports; education and employment; health and early childhood; and self-advocacy and self-determination.

Purpose of Proposed Ceiling Increase (if applicable):

Encumbrances:

Financial Data							
	FY 2010 (actual)	FY 2011 (actual)	FY 2012 (actual)	FY 2013 (estimated)	FY 2014 (estimated)	FY 2015 (estimated)	FY 2016 (estimated)
Appropriation Ceiling	462,315	478,797	478,797	478,797	478,797	478,797	478,794
Beginning Cash Balance	16,354	23	935	748	463,412	926,076	1,388,740
Revenues	344,027	370,913	350,720	462,664	462,664	462,664	462,664
Expenditures	360,358	370,001	350,906				
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0				
Ending Cash Balance	23	935	748	463,412	926,076	1,388,740	1,851,404
Encumbrances	1,149	1,709	17,776				
<i>Encumbrances shown for prior years are cumulative.</i>							
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Note: For federal funds, although funds are encumbered, federal funds are not drawn down until just prior to payment processing (approximately three days prior to payment).

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2013 Legislature

Department: Health  
 Prog ID(s): HTH 131  
 Name of Fund: Various--See Attachment: S 208 H  
 Legal Authority: Various--See Attachment: S 208 H

Contact Name: Tammie Wong  
 Phone: 587-6599  
 Fund type (MOF) N  
 Appropriation Acct. No. S 208

Intended Purpose See Attachment: S 208 H

Source of Revenues: See Attachment: S 208 H

Current Program Activities/Allowable Expenses: See Attachment: S 208 H

Purpose of Proposed Ceiling Increase (if applicable): See Attachment: S 208 H

Encumbrances:

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	12,819,280	12,819,280	10,473,680	9,805,033	11,705,848	11,705,848	11,705,848
Beginning Cash Balance	289,700	41,247	280,398	203,002	0	0	0
Revenues	13,514,320	9,495,217	8,019,337	8,891,710	9,993,336	9,993,336	9,993,336
Expenditures	13,762,773	9,256,067	8,096,732				
Transfers							
List each by JV# and date							
Net Total Transfers	0	0					
Ending Cash Balance	41,247	280,398	203,002	9,094,712	9,993,336	9,993,336	9,993,336
Encumbrances	1,196,952	1,232,606	1,332,836				
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Note: For federal funds, although funds are encumbered, federal funds are not drawn down until just prior to payment processing (approximately three days prior to payment).

Additional Information:							
Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2013 Legislature  
Disease Outbreak Control Division**

- 1. Name of Fund:** Immunization and Vaccines for Children (Project # 000457)

**Legal Authority:** Public Health Service Act, Section 317; 42 USC 247 (B)

**Intended Purpose:** Prevent and control transmission of vaccine-preventable diseases in persons of all ages; increase and maintain high immunization coverage

**Source of Revenues:** Grant from the Centers for Disease Control and Prevention (CDC), Department of Health and Human Services (DHHS)

**Current Program Activities/Allowable Expenses:** Include vaccine coverage assessments, promotion of vaccinations, vaccine storage, distribution and inventory system
- 2. Name of Fund:** Epidemiology and Laboratory Capacity for Infectious Diseases (Project # 000267)

**Legal Authority:** Public Health Service Act, Sections 301(A) and 317 (K) (2), as amended

**Intended Purpose:** Improve surveillance for, and response to, infectious disease by strengthening epidemiologic capacity; enhancing laboratory practice; improving information systems; and developing and implementing prevention and control strategies

**Source of Revenues:** Cooperative Agreement with the CDC

**Current Program Activities/Allowable Expenses:** Include improving electronic capacity and investigation capacity and provision of funding for information technology and surveillance personnel resources



3. **Name of Fund:** Public Health Emergency Preparedness (Project # 001297)
- Legal Authority:** Public Health Service Act, Sections 319C-1
- Intended Purpose:** Upgrade and integrate public health preparedness for and response to bioterrorism and other public health emergencies with federal, state, and local government agencies, private sector, and non-governmental organizations. These emergency preparedness and response efforts are intended to support the National Response Plan (NRP) and the National Incident Management System (NIMS).
- Source of Revenues:** Cooperative Agreement with the U.S. Centers for Disease Control and Prevention
- Current Program Activities/Allowable Expenses:** Include preparedness planning, upgrade of laboratory capacity, public information, and increased surveillance capacity
4. **Name of Fund:** Adult Viral Hepatitis Prevention Program (Project # 000397)
- Legal Authority:** Public Health Service Act, Section 1706; 42 USC 300u-5, as amended
- Intended Purpose:** Improve the delivery of viral hepatitis prevention services in health care settings and public health programs that serve adults at risk for viral hepatitis
- Source of Revenues:** Cooperative Agreement with the CDC
- Current Program Activities/Allowable Expenses:** Include identification, counseling, and referral for medical management of persons with chronic hepatitis B virus or hepatitis C virus infection; collaboration with other public health programs (e.g., STD, HIV, immunization, correctional health, substance abuse treatment, syringe exchange) and medical organizations serving primary and specialty medical care providers to design and implement effective viral hepatitis prevention interventions for at-risk populations
5. **Name of Fund:** Emergency System for Advance Registration of Volunteer Professionals Grant Program (S-241, Project # 000588, to be transferred from HTH730 starting FY 2014)
- Legal Authority:** Public Law 107-188, the Public Health Security and Bioterrorism Preparedness and Response Act of 2002, authorized the development of ESAR-VHP. Statutory authority is contained in Title III, Section 319I, Public Health Services Act, (42 U.S.C. 247d-7b), as

amended by the Pandemic and All-Hazards Preparedness Act, Public Law. 109-417

**Intended Purpose:** Support for activities to develop, refine, and maintain existing Emergency System for Advance Registration of Volunteer Health Professionals (ESAR-VHP) programs. The national ESAR-VHP program is a network of interoperable state systems that is a mechanism to enable health professional volunteers in public health emergencies and disasters. Each system is maintained by a state or group of states, for the purpose of verifying the credentials, certifications, licenses, accreditations, and hospital privileges of health care professionals who volunteer to provide health services during a public health emergency.

Funds provided through this new ESAR-VHP grant will support the integration of volunteers from the Territory of Guam, American Samoa, Republic of Palau and other Pacific Islands into Hawaii's ESAR-VHP to strengthen mutual aid within the Pacific region and to increase medical and public health surge capacity in the event of a major disaster. Through the Emergency Management Assistance Compact (EMAC), which provides a legal framework for the sharing of volunteer health professionals with other states and territories, Hawaii will improve emergency response and coordination within the broader Pacific region.

**Source of Revenues:** Cooperative Agreement with U.S. Department of Health and Human Services (HHS), Office of the Secretary (OS), Office of the Assistant Secretary for Preparedness and Response (ASPR), Office of Preparedness and Emergency Operations (OPEO), National Healthcare Preparedness Programs (NHPP)

**Current Program Activities/Allowable Expenses:** Include developing health care workforce emergency surge capacity related to health care preparedness

6. **Name of Fund:** National Bioterrorism Hospital Preparedness Program (S-241, Project # 000435, to be transferred from HTH730 starting FY2014)
- Legal Authority:** Section 319C-2 of the Public Health Services Act as amended by the Pandemic and All-Hazards preparedness Act (PAHPA) of 2006
- Intended Purpose:** Enhance the ability of hospitals and health care systems to prepare for and respond to bioterrorism and other public health emergencies; improve medical surge capacity and enhance community preparedness for public health emergencies

**Source of Revenues:** Cooperative Agreement with the U.S. Department of Health and Human Services (HHS) Office of the Assistant Secretary for Preparedness and Response (ASPR)

**Current Program Activities/Allowable Expenses:** Include interoperable communication systems, bed tracking, personnel management, fatality management planning, and hospital evacuation planning

**Report on Non-General Fund Information**  
for Submittal to the 2013 Legislature

Department: HEALTH  
 Prog ID(s): HTH 100  
 Name of Fund: Various\*  
 Legal Authority: Various\*\*

Contact Name: Dr. Wasserman  
 Phone: 586-4583  
 Fund type (MOF) N  
 Appropriation Acct. No. S 207 H

**Intended Purpose:**

To prevent and reduce the incidence of Tuberculosis (TB), Hansen's Disease (HD), STD and HIV/AIDS in the State of Hawaii

**Source of Revenues:**

- 1) Centers for Disease Control & Prevention/ \*TB Control Project
- 2) U.S. Dept. of Health & Human Services/ \*Community Program Reimbursement
- 3) DHHS/HRSA/ \*a) HIV Prevention Grant; \*b) STD Disease Prevention Campaign; \*c) HIV/AIDS Surveillance; and \*d) Title II - HIV Care Grant (aka Ryan White)

**Legal Authority\*\*:**

Sec. 317, Pub Health Service Act, as amended  
 P.L. 99-117  
 Various sections of the Public Health Service Act, as amended and P.L. 101-381

**Current Program Activities/Allowable Expenses:**

- 1) TB outbreak and control activities, laboratory and direct observed therapy services; 2) HD services include medical, nursing, laboratory, educational, social, and rehabilitative services; 3a) HIV counseling and testing, education and risk reduction; 3b) STD disease intervention and detection; 3c) HIV/AIDS surveillance; and 3d) assistance in health care and support activities to those affected by HIV infection.

**Purpose of Proposed Ceiling Increase (if applicable):** Not Applicable

**Encumbrances:** Fisher Healthcare (\$46,983) - Lab supplies and HIV/STD test kits, Luther Consulting (\$15,000) - data collection, Aids Community Care (\$243,875) - HIV care services, Life Foundation, Maui AIDS Foundation, Malama Pono, Hawaii Island HIV/AIDS Foundation (\$278,608) - HIV prevention services. Note: Federal grants overlaps into two state fiscal years.

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	8,323,176	8,325,151	8,407,452	8,281,674	8,281,674	8,281,674	8,281,674
Beginning Cash Balance	1,306,506	697,956	431,237	141,124	141,124	141,124	141,124
Revenues	6,745,578	7,903,405	7,743,708				
Expenditures	7,354,128	8,170,124	8,033,822				
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	697,956	431,237	141,124	141,124	141,124	141,124	141,124
Encumbrances			1,571,971				
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Note: For federal funds, although funds are encumbered, federal funds are not drawn down until just prior to payment processing (approximately three days prior to payment).

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2013 Legislature

Department: HEALTH  
 Prog ID(s): HTH 560  
 Name of Fund: Various  
 Legal Authority: Various

Contact Name: Ellen Matoi  
 Phone: 586-9305  
 Fund type (MOF) N  
 Appropriation Acct. No. S 206 H

Intended Purpose: This fund contains all of HTH 560 federal grant funds. The purpose depends on the specific grant

Source of Revenues Grants

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Increase (if applicable): The proposed biennium budget requests for FB 2013-15 involve changing the MOF from "N" to "A" for many positions, which will decrease the "N" ceiling by \$217,500.

Encumbrances: Purchase orders are issued to vendors/providers and payments are processed as we receive invoices. Our fiscal year ends on 6/30/XX of each year, however we will always have encumbrances remaining because there are delays in the submission for invoices. The reason for this is that invoices are submitted after the services are provided, therefore invoices can be received after the close of the fiscal year. Further, grant periods do not coincide with the state

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	46,018,585	46,018,585	49,038,560	53,236,422	53,018,922	53,018,922	53,018,922
Beginning Cash Balance	1,025,822	275,745	386,183	340,562	340,562	340,562	340,562
Revenues	41,339,603	42,082,243	44,369,268	53,236,422	44,840,929	44,840,929	44,840,929
Expenditures	42,089,680	41,971,805	44,414,889	53,236,422	44,840,929	44,840,929	44,840,929
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	275,745	386,183	340,562	340,562	340,562	340,562	340,562
Encumbrances	4,207,781	3,730,381	3,985,138				
<i>Encumbrances shown for prior years are cumulative.</i>							
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Note: For federal funds, although funds are encumbered, federal funds are not drawn down until just prior to payment processing (approximately three days prior to payment).							
Additional Information:							
Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow							
Accounts, or Other Investments							

Note: The proposed biennium budget requests for FB 2013-15 has not been approved at this time. The proposed conversion of various grants from "N" to "P" means of financing will decrease the overall appropriation ceiling in S 206 from \$53.2 million to \$44.8 million. The remaining formula/project grants in S 206 will be as follows (effective FY 2014): Title V - Maternal Child Health Block Grant, Women, Infants and Children Grants, Community-Based Child Abuse Prevention, Family Planning, Preventive Health-Rape Prevention and Education and Part C - Special Education Infant and Toddlers.

**Report on Non-General Fund Information  
for Submittal to the 2013 Legislature  
Family Health Services Division (FHSD)**

**Name of Fund:** Program for Infants and Toddlers with Handicaps (project: 000213)

**Legal Authority:** P.L. 108-446, Individuals with Disabilities Education Act, Part C

**Intended Purpose:** To provide a statewide, comprehensive, multidisciplinary system of early intervention services for infants and toddlers with special needs (ages 0 to 3) with the State Department of Health as the lead agency in Hawaii.

**Source of Revenues:** U.S. Department of Education, Office of Special Education Programs

**Current Program Activities:** These funds are currently supporting multiple activities within that system of services, including administrative personnel, psychological, nutritional, and other professional support; and other required federal required activities.

\*\*\*\*\*

**Name of Fund:** Family Planning Services Grant (project: 000239)

**Legal Authority:** Title X of the Public Health Service (PHS) Act, as amended; 42 CFR 59

**Intended Purpose:** To assure statewide family planning services and reproductive health education information for all people of reproductive age (primarily uninsured and at-risk populations).

**Source of Revenues:** U.S. Department of Health & Human Services (DHHS), PHS

**Current Program Activities:**

- A. Maintain availability, through Purchase of Service (POS) contracts, of affordable, high quality family planning services to those in greatest need and with no other access to preventive medical services (i.e. populations including individuals at-risk of costly unintended pregnancy, and hard-to-reach individuals such as homeless, teen, males, disabled, substance abusers, etc.).
- B. Evaluate medical services and community health education services provided through POS contracts.
- C. Contract with community agencies for health educators to provide family planning and reproductive health education services in high-risk communities throughout the State.
- D. Facilitate training opportunities for clinical and health education providers and promote standards of care for family planning services and reproductive health education.

\*\*\*\*\*

**Name of Fund:** Heritable Disorders (project: 000257)

**Legal Authority:** Social Security Act (SSA), Title V, Section 502(a)(1), as amended

**Intended Purpose:** To plan, pilot, and evaluate a regional practice model that improves access to specialty genetic services, comprehensive primary care, and care coordination for Hawaii, Idaho, and Oregon children with heritable conditions and to increase the capacity of Alaska, California, Hawaii, Idaho, Nevada, Oregon, Washington, and Guam genetics and newborn screening programs to perform their assessment, policy development, and assurance functions.

**Report on Non-General Fund Information  
for Submittal to the 2013 Legislature  
Family Health Services Division (FHSD)**

**Source of Revenues:** DHHS, Health Resources and Services Administration (HRSA)

**Current Program Activities:** These funds are used to: 1) improve access to specialty metabolic genetic services for children with metabolic conditions; 2) improve access to clinical genetic specialty services for children with genetic conditions and congenital malformations; 3) improve access to comprehensive primary care; 4) improve access to public health services; 5) develop strategies to monitor health outcomes; 6) promote third party reimbursement of genetic services; 7) assist Alaska to assess medical management and care coordination needs; 8) assist Guam to develop a public health genetics plan; and 9) facilitate collaboration between genetic services providers, families, primary care providers, state genetic programs, and others to identify and implement strategies to increase the availability of pediatric subspecialty care for children with heritable conditions living outside urban areas.

\*\*\*\*\*

**Name of Fund:** Community-Based Child Abuse Prevention (CBCAP) Grant (project: 000270)

**Legal Authority:** Title II of the Child Abuse Prevention and Treatment Act (72 U.S.C. 5116 et seq.), as amended by P.L. 108-36

**Intended Purpose:** To support community-based efforts to develop, operate, expand, enhance, and coordinate initiatives, programs, and activities to prevent child abuse and neglect and to support the coordination of resources and activities to better strengthen and support families to reduce the likelihood of child abuse and neglect; and to foster understanding, appreciation and knowledge of diverse populations in order to effectively prevent and treat child abuse and neglect.

**Source of Revenues:** DHHS, Administration on Children, Youth and Families

**Current Program Activities:**

- A. Support the Hawaii Children's Trust Fund (HCTF) in preventing child abuse and neglect through the development, implementation and evaluation of the HCTF Public Awareness Campaign statewide.
- B. Provide technical assistance to HCTF grantees and state service providers to develop outcome-based programs which incorporate evidence-based practices to strengthening families and to prevent child abuse and neglect.
- C. Lead and collaborate with the Title V Child Abuse and Neglect (CAN) workgroup and the Maternal Child Health Branch (MCHB) Violence Collaborative to include strength based approaches, promotion of protective factors, comprehensive data collection, analysis and evaluation of MCHB prevention programs.
- D. Use a community based participatory planning process to design and implement a statewide CAN prevention plan.
- E. Collaborate and partner with existing networks to build the capacity of: culturally appropriate focused models for disparate populations; data and surveillance; and parent engagement initiatives.

\*\*\*\*\*

**Name of Fund:** Abstinence Only Education Grant (project: 000273)

**Report on Non-General Fund Information  
for Submittal to the 2013 Legislature  
Family Health Services Division (FHSD)**

**Legal Authority:** SSA, Title V, Section 510

**Intended Purpose:** To provide higher risk 10 to 12 and 13 to 17 year old youth in Honolulu and Nanakuli, an age appropriate and integrated educational and motivational program to reduce teen pregnancies by promoting healthy relationships and abstinence from substance abuse and sexual activity.

**Source of Revenues:** DHHS, Administration for Children & Families

**Current Program Activities:** This 3 year grant will support an after school and/or summer program with a positive youth development curriculum that includes eight reproductive health sessions for 10 to 12 year olds and six reproductive sessions within the 13 to 17 year old curriculum. The program targets two areas on Oahu with larger populations of Pacific Islanders and Native Hawaiians in lower economic areas.

\*\*\*\*\*

**Name of Fund:** Disparities in Perinatal Health – Border Initiatives (project: 000286)

**Legal Authority:** Sections 330(h) and 751 of the PHS Act, as amended

**Intended Purpose:** To improve birth outcomes and other measures of perinatal, post-partum, infant and interconception health status among high-risk women residing in Hawai'i County. This is to be accomplished through the development and implementation of a model of care for pregnant and postpartum women and their infants which is specific to the county's disparate populations and which integrates best practices, resources, and cultural/clinical expertise of the program and its partners. This project is a collaborative effort of the Department of Health's MCHB and Hawaii District Health Office in partnership with local perinatal and infant health care and social service providers, civic organizations, businesses, and cultural groups.

**Source of Revenues:** DHHS, HRSA

**Current Program Activities:**

- A. Implementing culturally competent core services which include outreach and client recruitment, screening and referral, health education and case management for pregnant women and continuing through a two year post-partum/interconception period. This is currently being accomplished through a local purchase of services contractor. The target population includes Native Hawaiian, Hispanic, Marshallese, Micronesian, other Pacific Islander, and Filipino women over age 18 and adolescents through age 18, regardless of ethnicity.
- B. Support and monitor progress of local and island-wide project consortia as they provide input, expertise and advice in developing a sustainable model of care.
- C. Developing procedures and protocols for program implementation, using information gathered from focus groups, cultural leaders, and program partners.
- D. Utilizing program forms and a participant tracking database to evaluate program impact and report progress and required prenatal/postpartum/interconception care indicators to HRSA.
- E. Improving knowledge, skills, and awareness of the standards of care and best practices through training forums. Topics include, but are not limited to: basic prenatal/postpartum/interconception care, including breastfeeding and birth spacing; case management related to risk factors not limited to depression, domestic violence, substance abuse, and other environmental factors; supporting medical and psycho-



**Report on Non-General Fund Information  
for Submittal to the 2013 Legislature  
Family Health Services Division (FHSD)**

social needs of the family during childbearing; infant growth and development; parenting; immunization status; and cultural practices related to pregnancy and child rearing.

\*\*\*\*\*

**Name of Fund:** Pregnancy Risk Assessment Monitoring System (project: 000319)

**Legal Authority:** Sections 301(a) & 317(k) of PHS Act; 42 USC 241(A) & 247B (K)

**Intended Purpose:** The Hawai'i Pregnancy Risk Assessment Monitoring System (PRAMS) Program is a population-based surveillance system designed to identify and monitor maternal experiences, attitudes, and behaviors from preconception, through pregnancy and into the interconception period. The goal of the Hawaii PRAMS program is to improve the health of mothers and infants by reducing adverse outcomes such as low birth weight, infant mortality and morbidity, and maternal morbidity. PRAMS provides Hawaii-specific data for planning and assessing health programs and for describing maternal experiences that may contribute to maternal and infant health.

**Source of Revenues:** Centers for Disease Control and Prevention (CDC), National Center for Chronic Disease Prevention & Health Promotion, Division of Reproductive Health

**Current Program Activities:**

- A. Maintain a surveillance system to collect information from a defined sample of new mothers with live born infants.
- B. Conduct analysis and trend analysis of data to understand the relationship between behaviors, attitudes, and experiences relating to pregnancy and early infancy.

\*\*\*\*\*

**Name of Fund:** Universal Newborn Hearing Screening (aka Baby Hearing Evaluation & Access to Services or BabyHEARS) Project (project: 000416)

**Legal Authority:** Section 399M of the Public Health Service (PHS) Act, as amended

**Intended Purpose:** To further develop and refine the system of screening, assessment, and early intervention services for young children with hearing loss, so that all young children with hearing loss will reach developmentally appropriate milestones for language and communication.

**Source of Revenues:** DHHS, HRSA

**Current Program Activities:** These funds are used to: 1) complete implementation of universal newborn hearing screening program components by establishing a state advisory committee and an evaluation component; 2) improve existing components of screening, linkage with medical home, audiological assessment, data/tracking system, and professional and public educations; and 3) refine family support and early intervention services to meet the needs of young children with hearing impairment and their families.

\*\*\*\*\*

**Name of Fund:** Special Supplemental Nutrition Program for Women, Infants & Children (WIC) (project: 000275)

**Report on Non-General Fund Information  
for Submittal to the 2013 Legislature  
Family Health Services Division (FHSD)**

**Legal Authority:** Child Nutrition Act of 1966, as amended, Section 17, 42 U.S.C. 1786, Healthy Hunger-Free Kids Act of 2010, Public Law 111-296, 7 U.S.C. 1746

**Intended Purpose:** Provide supplemental foods, nutrition counseling, breastfeeding promotion and health/social service referrals to eligible women in childbearing years, infants, and children up to age five (5).

**Source of Revenue:** United States Department of Agriculture, Food & Nutrition Service

**Current Program Activities:** Conducts clinics, monitors purchase of service contracts and vendors to ensure appropriate supply, delivery and payment of WIC approved foods; collects and evaluates data to implement/evaluate program; and serves as a resource to other private/public agencies.

\*\*\*\*\*

**Name of Fund:** Sexual Violence Prevention and Education (project: 000278)

**Legal Authority:** 42 USC 241, 243, 247b-4

**Intended Purpose:** To provide sexual violence primary prevention services through activities, such as education and workshops, that increase awareness of sexual violence and reduce attitudes that condone sexual violence; to collaborate with, plan with, and provide technical support to public and private agencies, community stakeholders, and community-based organizations; and to increase the capacity of community-based organizations to engage in sexual violence primary prevention initiatives, activities, and partnerships.

**Source of Revenues:** DHHS, CDC

**Current Program Activities:** The funding provides for a program coordinator position that is responsible for statewide sexual assault prevention efforts including collaboration, planning, and support, as well as monitoring and evaluation services, for the following:

- A. Convene and maintain a Sexual Violence Prevention Planning Committee that consists of public and private agencies and community stakeholders.
- B. Implement the Sexual Violence Primary Prevention Plan.
- C. Gain and sustain public and private support for the Sexual Violence Primary Prevention Plan and its implementation by increasing awareness of such Plan among policy-makers, legislators, foundations, and local entrepreneurs in positions to provide funding or other resources for implementation.
- D. Provide technical assistance and support for state-wide sexual violence primary prevention community teams, consisting of various public and private agencies, individuals, and community stakeholders, in their efforts to (1) increase awareness of sexual violence and (2) reduce attitudes that condone sexual violence in their specific communities.
- E. Coordinate and collaborate with the Hawaii Coalition Against Sexual Assault to provide public awareness and prevention education activities in the community.
- F. Support private and public agencies to provide sexual violence primary prevention activities that (1) increase awareness of sexual violence and (2) increase understanding of healthy relationships through education to youth and young adults from the ages of 10 to 21 and through trainings to youth-serving agencies.

\*\*\*\*\*

**Name of Fund:** WIC Breastfeeding Peer Counseling (project: 000295)

**Report on Non-General Fund Information  
for Submittal to the 2013 Legislature  
Family Health Services Division (FHSD)**

**Legal Authority:** Child Nutrition Act of 1966, as amended, Section 17, 42 U.S.C. 1786, Healthy Hunger-Free Kids Act of 2010, Public Law 111-296, 7 U.S.C. 1746

**Intended Purpose:** To enable State agencies to implement or maintain an effective breastfeeding peer counselor program aimed at increasing breastfeeding rates among WIC participants and eventually becoming a core service within the WIC program.

**Source of Revenue:** United States Department of Agriculture, Food & Nutrition Service

**Current Program Activities:** Trains and manages peers to be Breastfeeding Peer Counselors (BFPC) in the WIC clinics to improve rates of breastfeeding initiation, duration and exclusivity.

\*\*\*\*\*

**Name of Fund:** Primary Care Offices (PCO) (project: 000298)

**Legal Authority:** Sections 330(k), 330(m), and 333(d) of the PHS Act

**Intended Purpose:** The goal of this grant is to improve primary care service delivery and workforce availability to meet the needs of underserved populations in Hawaii. Primary partners in this endeavor include the Bureaus of Health Professions and Clinician and Recruitment Services of HRSA, DHHS; FHSD, Health Resources Administration, Hawaii State Department of Health; the Hawaii Primary Care Association (HPCA) and community health centers.

**Source of Revenues:** DHHS, HRSA

**Current Program Activities/Allowable Expenses:** The program's five required overarching goals that strengthen the statewide primary care system are to: (1) Demonstrate organizational effectiveness and foster collaboration by establishing and maintaining public and private partnerships, participation in national conference calls initiated by the Bureau of Clinician and Recruitment Services, and the Shortage Designation Branch, convening of the Primary Care Provider Network forum, and attendance at required meetings held with the PCO Project Officer, PCO Annual Meeting, and HRSA's all grantee meeting throughout the project period; (2) Provide technical assistance to organizations/communities wishing to expand access to primary care for underserved populations; (3) Conduct primary care needs assessment for the production of the primary care needs assessment data book, and sharing of the data with the HPCA and other entities; (4) Facilitate workforce development for the National Health Service Corps (NHSC) and safety net/health center network through the evaluation and recommendation of recruitment and retention assistance applications, NHSC site monitoring to evaluate compliance with agreements, maintenance of an inventory of eligible NHSC placement sites with current site profiles, and facilitation of placement of NHSC providers according to needs of Health Center Network; and (5) submission of Health Professional Shortage Area designations and re-designation applications.

\*\*\*\*\*

**Name of Fund:** State Systems Development Initiative (project: 000307)

**Legal Authority:** SSA, Title V, Section 502(a)(1), as amended; 42 USC 702

**Intended Purpose:** The purpose of this grant is to improve data analysis capacity for programs supported by the federal Title V Maternal and Child Health (MCH) Block Grant. The grant focuses on the development of key MCH datasets through the establishment of the data linkages between birth records and agency data (including Medicaid files and hospital discharge data); as well as improving access to data from surveys and

**Report on Non-General Fund Information  
for Submittal to the 2013 Legislature  
Family Health Services Division (FHSD)**

registries. The analysis of the data will open new paths to investigate health problems and to evaluate programs and policies for more effective Title V MCH program planning in Hawai'i.

**Source of Revenues:** DHHS, HRSA

**Current Program Activities/Allowable Expenses:** Data collection, analysis, and publication related to the MCH population are carried out on an ongoing basis. These data are used for continuing needs assessment, annual performance/outcome measurement, program planning and evaluation, and policy development. Currently, data linkages have been made between infant birth and death certificates, birth records and newborn screening files, and birth records and WIC records. Direct access to key MCH survey and registry data has also been achieved. Annual data reports are developed and disseminated to policy makers and MCH stakeholders.

\*\*\*\*\*

**Name of Fund:** Maternal & Child Health Bureau Early Childhood Comprehensive Systems (ECCS) Grant (project: 000466)

**Legal Authority:** SSA, Title V, Section 502(a)(1), as amended; 42 U.S.C. 702

**Intended Purpose:** The ECCS Grant is funded in order to accomplish the Maternal and Child Health Bureau's Strategic Plan for Early Childhood Health across all states. This implementation grant requires setting incremental goals and objectives for Hawaii to develop an early childhood comprehensive system. Achievement of outcomes in the following key areas are to be addressed: a) access to health insurance and medical homes; b) mental health and social-emotional development; c) early childcare and education; d) parent education; and e) family support.

**Source of Revenues:** DHHS, HRSA

**Current Program Activities/Allowable Expenses:**

- \* Non-competitive continuing grant award until 5/31/13.
- \* Strategic management team consists of members from Hawaii's Departments of Health, Education, and Human Services as well as early childhood stakeholders whom meet quarterly to provide oversight of implementation.

\*\*\*\*\*

**Name of Fund:** Maternal and Child Health Services Block Grant (project: 0nn201)

**Legal Authority:** Title V of the SSA, as amended

**Intended Purpose:** To assure women, especially mothers and children including children with special health needs (CSHN) and in particular those with low income or with limited availability of health services, access to quality maternal and child health services. This includes improving birth outcomes for women, improved health outcomes and health status for children of all ages including CSHN and supporting administrative activities that maintain and improve the health care system through needs assessment, surveillance, planning, policy development, systems building, and program support.

**Source of Revenues:** DHHS, HRSA

**Current Program Activities:**

**Report on Non-General Fund Information  
for Submittal to the 2013 Legislature  
Family Health Services Division (FHSD)**

- A. Administration - Supports administrative positions, which provide the infrastructure for the Division, Maternal and Child Health (MCH) and CSHN Branches to carry out its mandate and functions, and allow for integration and planning between sections, Branches, the Department, and the community at-large.
- B. Epidemiology and Data Support – provide funds to CDC-assigned epidemiologist and research statistician positions at the MCH and CSHN Branches for data collection and analysis used for program planning, evaluation, and policy development.
- C. Child Health and Family Support Services - provides funds to this section, which is responsible for planning for issues related to child/adolescent health and family strengthening services and to assist in the assurance of these services statewide, including program planning and technical support to purchase of service contractors. Needs assessments, planning and development activities are accomplished through surveys, studies and community networking. Collaborates with providers and community stakeholders to assure a system of services and established standards of care.
- D. Perinatal Services - fund use include:
  - 1. Program planning and technical support statewide to POS contractors and programs, which reduce maternal and infant mortality and morbidity; and on Hawaii Island, provide staffing to support high-risk women and children.
  - 2. Provides advocacy and educational efforts to improve and enhance birth outcomes, disseminates perinatal information, and promotes networking among providers, agencies and individuals associated with perinatal issues.
  - 3. Maintains a statewide information and referral phone line and website to assist pregnant women to access the perinatal services system (including Medicaid, primary care centers, OB/GYN, prenatal classes) and to encourage early and continuous prenatal care.

\*\*\*\*\*

**Name of Fund:** Preventive Health and Health Services Block Grant – Sexual Assault Prevention Program (project: 0nn203)

**Legal Authority:** Part A, Title XIX, PHS Act, as amended

**Intended Purpose:** To provide support and coordination of statewide sexual violence prevention education services and to provide technical assistance to increase public awareness of sexual violence.

**Source of Revenues:** DHHS, CDC

**Current Program Activities:**

- A. Manage purchase of service contracts for sexual assault primary prevention services for the general public and/or for selected populations such as youth and immigrants to increase awareness of sexual violence and to reduce attitudes that tolerate sexual violence.
- B. Collaborate on public awareness activities with Hawaii Coalition Against Sexual Assault.
- C. To collaborate and plan with the Sexual Violence Primary Prevention Planning Committee for such activities as a needs assessment of attitudes about sexual violence among selected communities.

\*\*\*\*\*

**Name of Fund:** Supporting Evidence-Based Home Visitation Programs to Prevent Child Maltreatment (project: 000274)

**Legal Authority:** Child Abuse Prevention and Treatment Act, Title I, as amended, 42 U.S.C. 5101 et seq.

**Report on Non-General Fund Information  
for Submittal to the 2013 Legislature  
Family Health Services Division (FHSD)**

**Intended Purpose:** Support the state and local infrastructure needed for the high quality adoption, implementation and sustaining of evidence-based home visiting programs to prevent child maltreatment.

**Source of Revenues:** U.S. Department of Health and Human Services, Administration for Children and Families Children's Bureau.

**Current Program Activities:** The program aims to: (1) build state and local infrastructure and implement systems changes designed to spread the use of evidence-based home visiting programs; (2) support the implementation of specific evidence-based home visiting approaches within selected target populations, and with strong fidelity to proven, effective models; (3) conduct rigorous local evaluations examining the degree to which system change has occurred, and the effects of home visiting programs in reducing child maltreatment and achieving other family and child outcomes; and (4) conduct a cross-site evaluation drawing data and cross-cutting lessons from the grantees' local evaluations.

\*\*\*\*\*

**Purpose of Proposed Ceiling Increase (if applicable):**

If authorized, the Department of Health Executive FB 2011-13 Budget Request increases the Federal ceiling by a net of \$3,019,975. This is due to requests to: reduce the ceiling for the EASI grant (- \$204,987); and increase the ceiling for the following grants: Evidence-based Home Visiting (+ \$673,000); Family Planning (+ \$639,121); WIC Supplemental Nutrition (+ \$1,530,697); and Breastfeeding Peer Counseling (+ \$382,144).

\*\*\*\*\*

**Name of Fund:** Personal Responsibility Education Grant (PREP) (project: 000613)

**Legal Authority:** SSA, Title V, Section 513

**Intended Purpose:** To educate adolescents on both abstinence and contraception to prevent pregnancy and sexually transmitted infections.

**Source of Revenues:** DHHS, Administration for Children and Families

**Current Program Activities:** This grant will support an evidence-based teen pregnancy prevention program that will be held after school during the school year. It is a teen club model that encompasses three interrelated elements: supervised community volunteer service; classroom activities, and classroom-based discussions and activities related to key social-developmental tasks. The program targets Hawaii County's 15 to 19 year old youth in eight districts across the island.

\*\*\*\*\*

**Name of Fund:** Maternal, Infant and Early Childhood Home Visiting Program (MIECHV) (project: 000629)

**Legal Authority:** Patient Protection and Affordable Care Act, P.L. 111-148

**Intended Purpose:** To strengthen and improve home visiting programs, improve service coordination for at risk communities, and identify and provide comprehensive evidence-based home visiting services to families who reside in at risk communities.

**Report on Non-General Fund Information  
for Submittal to the 2013 Legislature  
Family Health Services Division (FHSD)**

**Source of Revenues:** U.S. Department of Health and Human Services, Health Resources and Services Administration, Administration for Children and Families

**Current Program Activities:** These funds are currently supporting the development of a Hawaii Home Visiting Network (HHVN). This is a public/private partnership with existing home visiting programs to build capacity for home visiting services within the community and to address program sustainability for the future. This project will also build back a hospital based Early Identification program for families at risk for child maltreatment. Identified families will be offered home visiting services through the HHVN. This competitive grant award builds upon and expands the activities of the formula grant award. In addition to the HHVN development, these funds provide additional resources to support direct home visiting services to HHVN partner/providers.

\*\*\*\*\*

**Name of Fund:** Maternal, Infant and Early Childhood Home Visiting Program (MIECHV) (project: 000602)

**Legal Authority:** Patient Protection and Affordable Care Act, P.L. 111-148

**Intended Purpose:** To strengthen and improve home visiting programs, improve service coordination for at risk communities, and identify and provide comprehensive evidence-based home visiting services to families who reside in at risk communities.

**Source of Revenues:** U.S. Department of Health and Human Services, Health Resources and Services Administration, Administration for Children and Families

**Current Program Activities:** These funds are currently supporting the development of a Hawaii Home Visiting Network (HHVN). This is a public/private partnership with existing home visiting programs to build capacity for home visiting services within the community and to address program sustainability for the future. This project will also build back a hospital based Early Identification program for families at risk for child maltreatment. Identified families will be offered home visiting services through the HHVN.

**Report on Non-General Fund Information**  
for Submittal to the 2013 Legislature

Department: HEALTH  
 Prog ID(s): HTH 460  
 Name of Fund: Various Federal Grants (names are listed under Source of Revenues)  
 Legal Authority: Public Health Service Act, various sections, as amended

Contact Name: Wakaba Stephens  
 Phone: 733-9866  
 Fund type (MOF) N  
 Appropriation Acct. No. S 204 H / S 261 H (Combined)

**Intended Purpose**

To provide additional needed resources for the provision of comprehensive mental health services for individuals with severe and persistent mental illness.

**Source of Revenues:**

Federally funded grants from SAMHSA. Currently, there are three grants: Block Grants for Community Mental Health Services, Project Kealahou - A New Pathway for Girls, Hawaii State Mental Health Data Infrastructure Grants for Quality Improvement

**Current Program Activities/Allowable Expenses:**

Providing mental health services, supporting medical directors' continuous education, promoting programs for public awareness on mental health.

**Purpose of Proposed Ceiling Increase (if applicable):**

**Encumbrances:**

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,568,019	4,296,079	5,423,562	4,382,719	4,000,000	4,000,000	4,000,000
Beginning Cash Balance	975	17,345	16,172	1,701	1,701	1,701	1,701
Revenues	1,780,115	1,961,744	2,900,653	1,500,000	1,500,000	1,500,000	1,500,000
Expenditures	1,763,745	1,965,937	2,915,124	1,500,000	1,500,000	1,500,000	1,500,000
Transfers							
List each by JV# and date							
				Alert: Beginning balance for FY 12 included \$3,019.74 from S261			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	17,345	13,152	1,701	1,701	1,701	1,701	1,701
Encumbrances	335,089	1,196,161	477,321				
Encumbrances shown for prior years are cumulative.							
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Note: For federal funds, although funds are encumbered, federal funds are not drawn down until just prior to payment processing (approximately three days prior to payment).							
Additional Information:							
Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							



**Report on Non-General Fund Information**  
for Submittal to the 2013 Legislature

Department: HEALTH  
 Prog ID(s): HTH 440  
 Name of Fund: Various - see Attachments S 203 H  
 Legal Authority: Various - see Attachments S 203 H

Contact Name: Phillip Nguyen  
 Phone: 692-7515  
 Fund type (MOF) N  
 Appropriation Acct. No. S 203 H

**Intended Purpose:**

See Attachment S 203 H

**Source of Revenues:**

See Attachment S 203 H

**Current Program Activities/Allowable Expenses:**

See Attachment S 203 H

**Purpose of Proposed Ceiling Increase (if applicable):**

**Encumbrances:**

The encumbrance balance is from the State Prevention Framework State Incentive Grant (SPF SIG) and the Access to Recovery (ATR) grant and reflects the carryover amount in FY 2012 and outstanding encumbrances for purchase of service contracts.

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	13,609,867	13,609,867	18,609,867	13,826,731	13,826,731	13,826,731	13,826,731
Beginning Cash Balance	503,455	262,447	167,510	137,173	137,173	137,173	137,173
Revenues	12,393,463	10,550,072	16,429,169	13,826,731	11,733,731	11,733,731	11,733,731
Expenditures	12,634,471	10,645,009	16,459,505	13,826,731	11,733,731	11,733,731	11,733,731
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	262,447	167,510	137,173	137,173	137,173	137,173	137,173
Encumbrances			5,004,473				
<i>Encumbrances shown for prior years are cumulative.</i>							
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Note: For federal funds, although funds are encumbered, federal funds are not drawn down until just prior to payment processing (approximately three days prior to payment).							
Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2013 Legislature  
Alcohol and Drug Abuse Division (ADAD)**

**1, Name of Fund:** Substance Abuse Prevention & Treatment (SAPT) Block Grant

**Legal Authority:** Subparts II & III, Part B, Title XIX, Public Health Service Act; Department of Health & Human Services, Substance Abuse & Mental Health Services Administration; SAPT Block Grants, Interim Final Rule (Title 45, Code of Federal Regulations, Part 96)

**Intended Purpose:** Enables the State to plan, implement, and evaluate activities to treat and prevent substance abuse and other related authorized activities. The intent is to develop, maintain and improve the State's community-based substance abuse service system for the treatment and prevention of substance abuse. Also, the State must maintain compliance with a broad range of Federal statutory and regulatory provisions that govern expenditure and service requirements. Major SAPT Block Grant treatment requirements include the provision of substance abuse services for intravenous drug abusers, pregnant women and women with dependent children, and the provision of HIV early intervention services (subject to certain conditions) at substance abuse treatment sites. Not less than 20% of the SAPT Block Grant must be used to develop and implement a comprehensive prevention program of activities and services provided in a variety of settings for the general population as well as targeting sub-groups who are at high risk for substance abuse. Prevention activities include conducting annual random, unannounced inspections of retail outlets selling tobacco to minors, in compliance with the Synar Amendment and Tobacco Regulation for the SAPT Block Grant. The SAPT Block Grant is also used to ensure the provision of treatment and prevention services for Native Hawaiians pursuant to Block Grant requirements for Hawaii.

**Source of Revenues:** Substance Abuse and Mental Health Services Administration (SAMHSA)

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**2. Name of Fund:** Enforcing the Underage Drinking Laws (EUDL) Block Grant Program

**Legal Authority:** Public Law 107-77

**Intended Purpose:** Reduce underage drinking by expanding the number of communities taking a comprehensive approach to the problem, with a special emphasis on increasing law enforcement activities with regard to the sale of alcohol to minors by increasing State-level planning and program activities, preventing the purchase or consumption of alcoholic beverages by minors under the age of twenty-one and changing community norms and attitudes regarding underage drinking.

**Source of Revenues:** U.S Department of Justice, Office of Juvenile Justice and Delinquency Prevention (OJJDP)

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**Report on Non-General Fund Information  
for Submittal to the 2013 Legislature  
Alcohol and Drug Abuse Division (ADAD)**

3. **Name of Fund:** Drug and Alcohol Services Information System (DASIS)

**Legal Authority:** Section 505 PHS Act (42 U.S.C. 290a-4)

**Intended Purpose:** Ensuring the availability of data about the use of Substance Abuse Prevention and Treatment (SAPT) Block Grant funds by states in providing services for individuals with substance abuse problems; and providing answers to questions about the treatment programs funded, the numbers and types of patients treated, the services they receive, and effectiveness of the programs.

**Source of Revenues:** SAMHSA

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4. **Name of Fund:** Strategic Prevention Framework State Incentive Grant (SPF SIG)

**Legal Authority:** Section 516 of the Public Health Service Act, as amended.

**Intended Purpose:** Enables the State, in collaboration with communities, to implement a process known to promote youth development, reduce risk-taking behaviors, build on assets and prevent problem behaviors. The grant will also enable the State to provide leadership, support and technical assistance to help ensure that participating communities are successful, as measured by abstinence from drug use and alcohol abuse, reduction in substance abuse-related crime, attainment of employment or enrollment in school, increased stability in family and living conditions, increased access to services and increased social connectedness. As a note, this grant ended on September 29, 2012.

**Source of Revenues:** SAMHSA

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5. **Name of Fund:** Access to Recovery (ATR) Ohana Grant

**Legal Authority:** Sections 501(d)(5) and 509 of the PHS Act and Section 290

**Intended Purpose:** The ATR-Ohana initiative is an innovative project wherein clients, or members of their family, of the Department of Human Services' Child Welfare Services (CWS) system are assessed, given vouchers for recovery support services (employment, housing, parenting, child care, transportation, etc.), and tracked via an on-line, web-based information technology system for various program and service outcomes, such as abstinence. The project specifically targets CWS adult family members on the island of Oahu. These CWS families are either active or voluntary cases. Voluntary cases involve individuals that CWS strongly encourages to utilize available services to resolve their issues without formal involvement of CWS or Family Court. As a diversion strategy, this initiative proactively addresses escalating needs of families, while preventing these cases from developing into formal CWS cases.

**Source of Revenues:** SAMHSA

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**Report on Non-General Fund Information  
for Submittal to the 2013 Legislature  
Alcohol and Drug Abuse Division (ADAD)**

**Current Program Activities (for all of the above grants):** The ADAD plans, directs, coordinates, implements and evaluates substance abuse programs, services, and activities to ensure the development, provision and maintenance of a community-based system for the prevention and treatment of substance abuse. ADAD's functions encompass the formulation and implementation of policy; statewide planning and needs assessments; client data collection and treatment outcome results; client prevention data set; programming, allocation, and distribution of resources; fiscal and programmatic monitoring and quality assurance; certification and training of substance abuse counselors; accreditation of programs; and provision of technical assistance and consultation. ADAD has been successful in applying for and receiving various SAMHSA, OJJDP and other federal grant programs, both formula-based and discretionary in nature. As state resources are limited, ADAD will continue to access time limited federal competitive grants, whenever possible, to supplement general funds for the provision and expansion of substance abuse prevention and treatment services statewide.

**Report on Non-General Fund Information**  
for Submittal to the 2013 Legislature

Department: HTH  
 Prog ID(s): HTH 420  
 Name of Fund: see source of revenues  
 Legal Authority: Section 334-7, HRS

Contact Name: Amy Yamaguchi  
 Phone: 586-4682  
 Fund type (MOF) N/P  
 Appropriation Acct. No. S 202 H

**Intended Purpose:**

The purpose of the various grants is to provide additional needed resources for the provision of comprehensive mental health services for individuals with severe and persistent mental illness.

**Source of Revenues:**

1) Community Mental Health Services Block Grant; 2) Projects for Assistance in Transition from Homelessness Formula Grant; 3) Evidence-Based Forensic Student Internship (ends in FY 2013).

**Current Program Activities/Allowable Expenses:**

Activities include the purchase of residential, treatment, case management, and homeless outreach services for individuals with severe and persistent mental illness; and forensic student internships. Allowable expenses are expenses to provide or support the provision of program activities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Encumbrances: Allowable encumbrances are encumbrances to provide or support the provision of program activities.

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,632,230	1,632,230	1,632,230	1,632,230	1,632,230	1,632,230	1,632,230
Beginning Cash Balance	9,465	2,584	9,611	74,861	74,861	74,861	74,861
Revenues	1,014,446	1,194,908	829,134	1,541,975	1,541,975	1,541,975	1,541,975
Expenditures	1,021,327	1,218,881	910,884	1,541,975	1,541,975	1,541,975	1,541,975
Transfers							
List each by JV# and date		31,000					
Transfer In			147,000				
Various JVs @ Various dates							
Net Total Transfers	0	31,000	147,000				
Ending Cash Balance	2,584	9,611	74,861	74,861	74,861	74,861	74,861
Encumbrances	262,457	197,540	360,313				
<i>Encumbrances shown for prior years are cumulative.</i>							
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

*Note: For federal funds, although funds are encumbered, federal funds are not drawn down until just prior to payment processing (approximately three days prior to payment).*

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2013 Legislature

Department: HTH  
 Prog ID(s): HTH 840  
 Name of Fund: Various  
 Legal Authority: \_\_\_\_\_

Contact Name: Pat Sasaki  
 Phone: 586-4579  
 Fund type (MOF) N  
 Appropriation Acct. No. S 201 H

Intended Purpose: Comply with federal mandates of Environmental Protection Agency Clean Air, Clean Water, Resource Conservation and Recovery and Toxic Substances Control Acts that regulate protection of the environment and public health.

Source of Revenues: EPA programmatic grants including air pollution control, public water systems, hazardous waste, polluted runoff control etc.

Current Program Activities/Allowable Expenses: Administration, program development, surveillance and technical assistance, disease surveillance and investigation, laboratory capabilities and certification, enforcement, public participation, data management, training for delegated programs, regulation of air, solid waste, drinking and wastewater systems, agricultural burning, non-point source pollution, and groundwater protection coordination.

Purpose of Proposed Ceiling Increase (if applicable): The Executive budget proposal for the FB 13-15 includes: The transfer of one Environmental Health Specialist IV from Clean Air Branch (HTH840FF) to Hazard Evaluation and Emergency Response Office (HTH 849/FD).

Encumbrances: Contracts 836,784; Payroll 119,634; Attorney General 37,367; Supplies 9,106; Travel 3,341; Electricity 3,818; Rentals 10,000; Janitors 200; Fee Services 184,514; Misc. 390,960; Equipment 5,418

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	8,808,860	8,808,860	9,167,057	9,241,708	7,240,749	7,240,749	7,240,749
Beginning Cash Balance	235,497	105,774	85,905	246,594	246,594	246,594	255,594
Revenues	5,077,371	5,727,444	5,646,714	9,241,708	7,310,574	7,310,574	7,301,574
Expenditures	5,207,094	5,747,313	5,486,024	9,241,708	7,310,574	7,301,574	7,301,574
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	105,774	85,905	246,594	246,594	246,594	255,594	255,594
Encumbrances	2,403,628	2,269,705	2,489,807				
Encumbrances in prior years are cumulative.							
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Note: although federal funds are encumbered, federal funds are not drawn down until approximately three days prior to payment processing.

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Note: The Executive Budget proposal for the FB 2013-15 includes conversion of various grants from "N" to "P" means of financing which will decrease the overall appropriation ceiling by \$1,588,478 for the State Clean Diesel grant, the Beach Monitoring grant, the Water Quality Management Planning grant, and the Leaking Underground Storage Tank Program grant.