

Report on Non-General Fund Information
for Submittal to the 2013 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Airport Revenue Fund (O & M)
 Legal Authority: Legislative Acts & Prior Year Rollovers

Contact Name: Ross Higashi
 Phone: 838-8646
 Fund type (MOF) B
 Appropriation Acct. No. S-XX-060-D TO S-XX-087-D

Intended Purpose:
 Operating Appropriations
 Source of Revenues:
 Airport Operating Revenues and Interest Income
 Current Program Activities/Allowable Expenses:
 Operating and maintenance expenses including state surcharge and debt service
 Purpose of Proposed Ceiling Increase (if applicable):

Encumbrances:
 Contract and claims encumbrances in support of program activities

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	312,804,997	319,696,819	323,405,402	393,314,024	418,224,881	450,520,505	450,520,505
Beginning Cash Balance	91,656,338	87,171,543	96,848,593	133,805,317	25,244,317	25,244,317	25,244,317
Revenues	85,250	105,323	73,677				
Expenditures	264,915,420	279,173,134	291,368,200	308,561,000	358,212,000	353,322,000	380,393,000
Transfers	260,345,375	288,744,861	328,251,247				
List each by JV# and date							
Net Total Transfers	260,345,375	288,744,861	328,251,247	200,000,000	358,212,000	353,322,000	380,393,000
Ending Cash Balance	87,171,543	96,848,593	133,805,317	25,244,317	25,244,317	25,244,317	25,244,317
Encumbrances	63,122,425	74,127,003	80,206,431				
Unencumbered Cash Balance	24,049,118	22,721,590	53,598,886	25,244,317	25,244,317	25,244,317	25,244,317

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2013 Legislature

Department: DOT
 Prog ID(s): TRN 195
 Name of Fund: Airport Revenue Fund
 Legal Authority: Act 106, SLH 2012, Section 9.1 and 9.2

Contact Name: Ross Higashi
 Phone: 838-8646
 Fund type (MOF) B
 Appropriation Acct. No. S-XX-308-D

Intended Purpose:

Payment of Interest and principal on rental motor vehicle customer facility charge revenue bonds

Source of Revenues:

Collection of rental motor vehicle customer facility charge

Current Program Activities/Allowable Expenses:

Payment of Interest and principal on rental motor vehicle customer facility charge revenue bonds. Transfer from Administration

Purpose of Proposed Ceiling Increase (if applicable):

Encumbrances:

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				20,750,000	20,900,000	30,900,000	30,900,000
Beginning Cash Balance		0	0	0	0	0	0
Revenues							
Expenditures				0	20,900,000	30,900,000	30,900,000
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0	20,900,000	30,900,000	30,900,000
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2013 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Airport Revenue Fund
 Legal Authority: 248-8

Contact Name: Ross Higashi
 Phone: 838-8646
 Fund type (MOF) Revolving
 Appropriation Acct. No. S-XX-361-D

Intended Purpose:
 Airport Revenue Fund
 Source of Revenues:
 Airport operating revenue
 Current Program Activities/Allowable Expenses:
 Transferred operating revenues to fund operating activities. No allowable expenses
 Purpose of Proposed Ceiling Increase (if applicable):

Encumbrances:

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	58,668,556	102,741,041	124,541,916	163,041,524	275,726,654	307,307,704	360,054,716
Revenues	306,129,251	322,516,371	359,166,529	358,465,000	383,161,000	377,714,000	406,691,000
Expenditures							
Transfers	(249,962,015)	(288,697,213)	(306,958,341)				
List each by JV# and date							
5% surcharge (per audited F/S)	(12,094,751)	(12,018,283)	(13,708,580)				
Net Total Transfers	(262,056,766)	(300,715,496)	(320,666,921)	(245,779,870)	(351,579,950)	(324,966,988)	(369,624,999)
Ending Cash Balance	102,741,041	124,541,916	163,041,524	275,726,654	307,307,704	360,054,716	397,120,717
Encumbrances							
Unencumbered Cash Balance	102,741,041	124,541,916	163,041,524	275,726,654	307,307,704	360,054,716	397,120,717

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2013 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Passenger Facility Charge Special Fund
 Legal Authority: Act 101, SLH 2004

Contact Name: Ross Higashi
 Phone: 838-8646
 Fund type (MOF) B
 Appropriation Acct. No. S-XX-363-D

Intended Purpose:

Used for Passenger Facility Charge Program (Inception date 10/01/01)

Source of Revenues:

Passenger Facility Charge (CFC) Collections and related interest income

Current Program Activities/Allowable Expenses:

Collection for above intended purposes. To fund eligible PFC funded CIP projects approved by the Federal Aviation Administration (FAA).

Purpose of Proposed Ceiling Increase (if applicable):

Encumbrances:

Contract and Claims encumbrances for program purposes

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	51,344,682	58,658,374	38,845,899	69,413,982	63,147,982	50,543,982	38,054,982
Revenues	28,524,393	31,636,356	30,309,880	32,581,000	32,846,000	33,082,000	33,497,000
Expenditures							
Transfers	(21,210,701)	(51,448,831)	258,203				
List each by JV# and date							
Net Total Transfers	(21,210,701)	(51,448,831)	258,203	(38,847,000)	(45,450,000)	(45,571,000)	(10,430,000)
Ending Cash Balance	58,658,374	38,845,899	69,413,982	63,147,982	50,543,982	38,054,982	61,121,982
Encumbrances							
Unencumbered Cash Balance	58,658,374	38,845,899	69,413,982	63,147,982	50,543,982	38,054,982	61,121,982

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2013 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Rental Motor Vehicle Customer Fac Chg Special Fund
 Legal Authority: Act 226, SLH 2008

Contact Name: Ross Higashi
 Phone: 838-8646
 Fund type (MOF) B
 Appropriation Acct. No. S-XX-364-D

Intended Purpose: Monies collected from the motor vehicle customer facility charge shall be used for the enhancement, renovation, operation, and maintenance of existing rental motor vehicle customer facilities and related services at state airports

Source of Revenues:

Collection of rental motor vehicle customer facility charge

Current Program Activities/Allowable Expenses:

Collection of above intended purposes. To fund improvements to the rental motor vehicle customer facilities at state airports

Purpose of Proposed Ceiling Increase (if applicable):

Encumbrances:

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	7,682,365	14,467,616	42,705,373	42,285,328	48,999,466	23,131,328	23,954,328
Revenues	10,580,251	40,634,256	5,579,955	50,541,000	51,105,000	51,673,000	52,244,000
Expenditures							
Transfers	(3,795,000)	(12,396,499)	(6,000,000)				
List each by JV# and date							
Net Total Transfers	(3,795,000)	(12,396,499)	(6,000,000)	(43,826,862)	(76,973,138)	(50,850,000)	(50,850,000)
Ending Cash Balance	14,467,616	42,705,373	42,285,328	48,999,466	23,131,328	23,954,328	25,348,328
Encumbrances							
Unencumbered Cash Balance	14,467,616	42,705,373	42,285,328	48,999,466	23,131,328	23,954,328	25,348,328

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2013 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Prepaid Airport Use Charge Fund
 Legal Authority: Bond Resolution

Contact Name: Ross Higashi
 Phone: 838-8646
 Fund type (MOF) Revolving
 Appropriation Acct. No. S-XX-365-D

Intended Purpose:

To provide for overpayments of Airport Use Charges

Source of Revenues:

Airport Use Charge (AUC) overpayment based on airport/airline lease agreement

Current Program Activities/Allowable Expenses:

To refund AUC overpayment based on agreed signatory airlines payment plan as approved by the Director of Transportation

Purpose of Proposed Ceiling Increase (if applicable):

Encumbrances:

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	463,926	53,179	10,356,353	3,801,843	43,328,489	43,328,489	43,328,489
Revenues							
Expenditures	410,747	2,500,000	2,500,000	4,945,000			
Transfers	0	12,803,174	(4,054,510)				
List each by JV# and date							
Net Total Transfers		12,803,174	(4,054,510)	44,471,646	0	0	0
Ending Cash Balance	53,179	10,356,353	3,801,843	43,328,489	43,328,489	43,328,489	43,328,489
Encumbrances							
Unencumbered Cash Balance	53,179	10,356,353	3,801,843	43,328,489	43,328,489	43,328,489	43,328,489

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2013 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Reserve for Future CIP
 Legal Authority: Bond Resolution

Contact Name: Ross Higashi
 Phone: 838-8646
 Fund type (MOF) Revolving
 Appropriation Acct. No. S-XX-366-D

Intended Purpose:

Provide a reserve for future Airport System Capital Improvement Projects

Source of Revenues:

Airport operating revenues and interest income

Current Program Activities/Allowable Expenses:

Transferred to fund the Airport System Capital Improvement Projects

Purpose of Proposed Ceiling Increase (if applicable):

Encumbrances:

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	15,606,316	124,875,158	111,015,400	87,088,892	54,553,892	44,871,892	37,771,892
Revenues							
Expenditures							
Transfers	109,268,842	(13,859,758)	(23,926,508)				
List each by JV# and date							
Net Total Transfers	109,268,842	(13,859,758)	(23,926,508)	(32,535,000)	(9,682,000)	(7,100,000)	(3,054,000)
Ending Cash Balance	124,875,158	111,015,400	87,088,892	54,553,892	44,871,892	37,771,892	34,717,892
Encumbrances							
Unencumbered Cash Balance	124,875,158	111,015,400	87,088,892	54,553,892	44,871,892	37,771,892	34,717,892

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2013 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Reserve for Airline Rate Mitigation
 Legal Authority: Bond Resolution

Contact Name: Ross Higashi
 Phone: 838-8646
 Fund type (MOF) Revolving
 Appropriation Acct. No. S-XX-367-D

Intended Purpose:

To provide a reserve for payment of interest on revenue bonds and to be in compliance with revenue bond covenants

Source of Revenues:

Airport operating revenues and interest income

Current Program Activities/Allowable Expenses:

Used to meet the calculation of net revenue and taxes. To provide funding for debt service - Interest portion based on airport/airline lease agreement

Purpose of Proposed Ceiling Increase (if applicable):

Encumbrances:

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	2,800,475	0	0	0	0	0	0
Revenues							
Expenditures							
Transfers	(2,800,475)	0	0				
List each by JV# and date							
Net Total Transfers	(2,800,475)	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2013 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Reserve for O & M Expenses
 Legal Authority: Bond Resolution

Contact Name: Ross Higashi
 Phone: 838-8646
 Fund type (MOF) Revolving
 Appropriation Acct. No. S-XX-368-D

Intended Purpose: Providing a reserve for payment of operating and maintenance expenses. Also for deficiencies in any other account for lawful airports system purpose. Amount on deposit should be equal to 25% of the budgeted operating and maintenance expenses.

Source of Revenues:

Aiport operating revenues and interest income.

Current Program Activities/Allowable Expenses:

Reserve only. No current activity

Purpose of Proposed Ceiling Increase (if applicable):

Encumbrances:

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	59,331,592	61,333,575	62,176,348	62,949,400	67,110,506	67,110,506	67,110,506
Revenues							
Expenditures							
Transfers	2,001,983	842,773	773,052				
List each by JV# and date							
Net Total Transfers	2,001,983	842,773	773,052	4,161,106	0	0	0
Ending Cash Balance	61,333,575	62,176,348	62,949,400	67,110,506	67,110,506	67,110,506	67,110,506
Encumbrances							
Unencumbered Cash Balance	61,333,575	62,176,348	62,949,400	67,110,506	67,110,506	67,110,506	67,110,506

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2013 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Debt Service Funded Coverage
 Legal Authority: Bond Resolution

Contact Name: Ross Higashi
 Phone: 838-8646
 Fund type (MOF) Revolving
 Appropriation Acct. No. S-XX-369-D

Intended Purpose:

To cover shortfalls in debt service coverage requirements. Minimum deposit must be 25% of current year debt service

Source of Revenues:

Airport operating revenues and interest income

Current Program Activities/Allowable Expenses:

Reserve only. No current activity

Purpose of Proposed Ceiling Increase (if applicable):

Encumbrances:

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	14,304,029	15,429,746	24,223,252	24,223,252	20,253,000	20,253,000	20,253,000
Revenues							
Expenditures							
Transfers	1,125,717	8,793,506					
List each by JV# and date							
Net Total Transfers	1,125,717	8,793,506	0	(3,970,252)	0	0	3,334,000
Ending Cash Balance	15,429,746	24,223,252	24,223,252	20,253,000	20,253,000	20,253,000	23,587,000
Encumbrances							
Unencumbered Cash Balance	15,429,746	24,223,252	24,223,252	20,253,000	20,253,000	20,253,000	23,587,000

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2013 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Airport System Interest Account
 Legal Authority: Bond Resolution

Contact Name: Ross Higashi
 Phone: 838-8646
 Fund type (MOF) Revolving
 Appropriation Acct. No. S-XX-375-D

Intended Purpose:

Used for payment of interest on outstanding bonds

Source of Revenues:

Airport operating revenues and interest income

Current Program Activities/Allowable Expenses:

Payment of interest on outstanding revenue bonds

Purpose of Proposed Ceiling Increase (if applicable):

Encumbrances:

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	17,453,058	51,915,030	42,941,583	27,434,378	23,068,731	53,208,006	65,347,363
Revenues	75,659,438	52,121,428	46,688,958	44,587,337	94,089,987	117,756,725	82,655,020
Expenditures	37,325,003	44,292,750	49,946,941	45,071,037	54,318,662	74,262,356	83,564,872
Transfers	(3,872,463)	(16,802,125)	(12,249,222)				
List each by JV# and date							
Net Total Transfers	(3,872,463)	(16,802,125)	(12,249,222)	(3,881,947)	(9,632,050)	(31,355,012)	(17,102,001)
Ending Cash Balance	51,915,030	42,941,583	27,434,378	23,068,731	53,208,006	65,347,363	47,335,510
Encumbrances							
Unencumbered Cash Balance	51,915,030	42,941,583	27,434,378	23,068,731	53,208,006	65,347,363	47,335,510

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2013 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Airport System Serial & Principal Account
 Legal Authority: Bond Resolution

Contact Name: Ross Higashi
 Phone: 838-8646
 Fund type (MOF) Revolving
 Appropriation Acct. No. S-XX-376-D

Intended Purpose:

Used for principal payments on outstanding serial bonds

Source of Revenues:

Airport operating revenues and interest income

Current Program Activities/Allowable Expenses:

Payment of principal on outstanding serial revenue bonds

Purpose of Proposed Ceiling Increase (if applicable):

Encumbrances:

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	22,310,000	23,615,000	25,370,000	27,545,000	37,271,250	35,254,250	37,013,250
Revenues	23,615,000	25,370,000	30,578,750	40,305,000	38,288,000	40,047,000	41,872,000
Expenditures	22,310,000	23,615,000	28,403,750	30,578,750	40,305,000	38,288,000	40,047,000
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	23,615,000	25,370,000	27,545,000	37,271,250	35,254,250	37,013,250	38,838,250
Encumbrances							
Unencumbered Cash Balance	23,615,000	25,370,000	27,545,000	37,271,250	35,254,250	37,013,250	38,838,250

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2013 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Airport System Debt Service Reserve
 Legal Authority: Bond Resolution

Contact Name: Ross Higashi
 Phone: 838-8646
 Fund type (MOF) Revolving
 Appropriation Acct. No. S-XX-378-D

Intended Purpose: Used as a reserve for the payment of principal, interest, and premium for bonds outstanding. The amount on deposit should be equal to the maximum aggregate of debt outstanding for all bonds in any future year.

Source of Revenues:

Proceeds for the sale of Airport System Revenue Bonds

Current Program Activities/Allowable Expenses:

Principal interest and premiums relating to bonds outstanding. No activity

Purpose of Proposed Ceiling Increase (if applicable):

Encumbrances:

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	75,251,513	96,893,008	96,893,008	96,893,008	96,893,008	114,841,008	147,082,008
Revenues	21,641,495				17,948,000	32,241,000	
Expenditures							
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	96,893,008	96,893,008	96,893,008	96,893,008	114,841,008	147,082,008	147,082,008
Encumbrances							
Unencumbered Cash Balance	96,893,008	96,893,008	96,893,008	96,893,008	114,841,008	147,082,008	147,082,008

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments	96,893,008	96,893,008	96,893,008	96,893,008	114,841,008	147,082,008	147,082,008

Report on Non-General Fund Information
for Submittal to the 2013 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Airport System Major Maintenance, Renewal/Replacement
 Legal Authority: Bond Resolution

Contact Name: Ross Higashi
 Phone: 838-8646
 Fund type (MOF) B
 Appropriation Acct. No. S-XX-379-D

Intended Purpose: To support deficiencies in the interest account, serial bond principal account, and the sinking fund. Also to fund major maintenance and repair projects not recurring annually in nature.

Source of Revenues:

Airport operating revenues and interest account

Current Program Activities/Allowable Expenses:

To fund eligible projects meeting the funding criteria for major maintenance, renewal and replacement account in accordance with the bond resolution

Purpose of Proposed Ceiling Increase (if applicable):

Encumbrances:

Contract encumbrances for program related projects

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	63,626,627	60,061,114	58,397,113	58,060,552	60,000,000	60,000,000	60,000,000
Revenues							
Expenditures	1,227,687	2,953,716	3,248,427	3,000,000	3,000,000	3,000,000	3,000,000
Transfers	(2,337,826)	1,289,715	2,911,866				
List each by JV# and date							
Net Total Transfers	(2,337,826)	1,289,715	2,911,866	4,939,448	3,000,000	3,000,000	3,000,000
Ending Cash Balance	60,061,114	58,397,113	58,060,552	60,000,000	60,000,000	60,000,000	60,000,000
Encumbrances	1,351,166	1,308,979	605,552				
Unencumbered Cash Balance	58,709,948	57,088,134	57,455,000	60,000,000	60,000,000	60,000,000	60,000,000

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2013 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Bond Revenue CIP Accounts
 Legal Authority: Legislative Acts & Prior Year Rollovers

Contact Name: Ross Higashi
 Phone: 838-8646
 Fund type (MOF) E
 Appropriation Acct. No. B-XX-XXX

Intended Purpose:

Bond funded capital improvement projects

Source of Revenues:

Sale of Bond

Current Program Activities/Allowable Expenses:

Funded capital improvement projects

Purpose of Proposed Ceiling Increase (if applicable):

New capital improvement projects will be implemented

Encumbrances:

Contract and claims encumbrance for program related projects

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	564,194,000	230,757,000	58,982,000	1,210,579,000	218,527,000	100,800,000	158,300,000
Beginning Cash Balance		281,952,864	262,236,803	233,502,847	426,279,847	321,262,847	395,434,847
Revenues	395,947,934	6,808		301,100,000	350,270,000	536,805,000	
Expenditures	35,787,050	19,722,869	28,733,956	108,323,000	455,287,000	462,633,000	200,151,000
Transfers	(78,208,020)						
List each by JV# and date							
Net Total Transfers	(78,208,020)	0	0	0	0	0	0
Ending Cash Balance	281,952,864	262,236,803	233,502,847	426,279,847	321,262,847	395,434,847	195,283,847
Encumbrances	193,770,435	152,637,217	156,346,259				
Unencumbered Cash Balance	88,182,429	109,599,586	77,156,588	426,279,847	321,262,847	395,434,847	195,283,847

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds	395,947,934			301,100,000	350,270,000	536,805,000	
EB-5							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2013 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Special State CIP
 Legal Authority: Legislative Acts & Prior Year Rollovers

Contact Name: Ross Higashi
 Phone: 838-8646
 Fund type (MOF) B
 Appropriation Acct. No. S-XX-7XX-D, S-XX-8XX-D

Intended Purpose:
 Special funded capital improvement projects
 Source of Revenues:
 Airport operating revenue
 Current Program Activities/Allowable Expenses:
 Funded capital improvement projects
 Purpose of Proposed Ceiling Increase (if applicable):

Encumbrances:

Contract and claims encumbrances for program related projects

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	11,600,000	8,000,000	20,650,000	34,125,000	11,470,000	11,500,000	11,500,000
Beginning Cash Balance	113,406,945	56,923,087	56,248,773	63,552,929	63,552,929	63,552,929	63,552,929
Revenues							
Expenditures	27,673,511	14,534,072	16,622,398	32,535,000	9,682,000	7,100,000	3,054,000
Transfers	(28,810,347)	13,859,758	23,926,554				
List each by JV# and date							
Net Total Transfers	(28,810,347)	13,859,758	23,926,554	32,535,000	9,682,000	7,100,000	3,054,000
Ending Cash Balance	56,923,087	56,248,773	63,552,929	63,552,929	63,552,929	63,552,929	63,552,929
Encumbrances	42,358,655	34,506,846	29,025,685				
Unencumbered Cash Balance	14,564,432	21,741,927	34,527,244	63,552,929	63,552,929	63,552,929	63,552,929

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2013 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Federal CIP
 Legal Authority: Legislative Acts & Prior Year Rollovers

Contact Name: Ross Higashi
 Phone: 838-8646
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-17X-D; S-XX-26X-D to
S-XX-29X-D; S-XX-30X-D

Intended Purpose:
 Capital improvement projects
 Source of Revenues:
 Federal Grants
 Current Program Activities/Allowable Expenses:
 Capital improvement projects
 Purpose of Proposed Ceiling Increase (if applicable):
 New capital improvement project will be implemented; additional funds required for for existing appropriations
 Encumbrances:
 Contract and claims encumbrances for program related projects

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	34,508,000	35,603,000	99,886,000	103,372,000	64,000,000	7,500,000	30,000,000
Beginning Cash Balance	21,820,107	8,262,795	8,547,792	10,020,846	10,020,846	10,020,846	10,020,846
Revenues	38,365,953	30,601,025	48,891,757	59,022,000	80,266,000	43,973,000	15,122,000
Expenditures	52,905,828	26,484,543	47,907,276	59,022,000	80,266,000	43,973,000	15,122,000
Transfers	982,563	(3,831,485)	488,573				
List each by JV# and date							
Net Total Transfers	982,563	(3,831,485)	488,573	0	0	0	0
Ending Cash Balance	8,262,795	8,547,792	10,020,846	10,020,846	10,020,846	10,020,846	10,020,846
Encumbrances	65,388,514	59,811,802	41,102,179				
Unencumbered Cash Balance	(57,125,719)	(51,264,010)	(31,081,333)	10,020,846	10,020,846	10,020,846	10,020,846

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2013 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Federal O & M
 Legal Authority: Legislative Acts & Prior Year Rollovers

Contact Name: Ross Higashi
 Phone: 838-8646
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-20X-D to S-XX-25X-D

Intended Purpose:
 Federal portion of projects matched by operating funds
 Source of Revenues:
 Federal grants
 Current Program Activities/Allowable Expenses:
 Operating and maintenance expenses
 Purpose of Proposed Ceiling Increase (if applicable):

Encumbrances:
 Contract and claims encumbrances for program related projects

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	5,206,919	1,527,500	11,525,500	8,000,000	6,693,500	7,201,750	7,201,750
Beginning Cash Balance	5,065,646	3,407,734	3,209,120	3,621,919	3,621,919	3,621,919	3,621,919
Revenues	3,160,704	4,036,207	3,175,508	2,000,000	2,000,000	2,000,000	2,000,000
Expenditures	3,836,054	8,066,306	2,274,136	2,000,000	2,000,000	2,000,000	2,000,000
Transfers	(982,562)	3,831,485	(488,573)				
List each by JV# and date							
Net Total Transfers	(982,562)	3,831,485	(488,573)	0	0	0	0
Ending Cash Balance	3,407,734	3,209,120	3,621,919	3,621,919	3,621,919	3,621,919	3,621,919
Encumbrances	6,574,047	3,172,429	4,595,722				
Unencumbered Cash Balance	(3,166,313)	36,691	(973,803)	3,621,919	3,621,919	3,621,919	3,621,919

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2013 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Special State CIP - Funded by Others
 Legal Authority: Legislative Acts & Prior Year Rollovers

Contact Name: Ross Higashi
 Phone: 838-8646
 Fund type (MOF) R
 Appropriation Acct. No. S-XX-9XX-D

Intended Purpose:
 Used to fund project funded by private contribution
 Source of Revenues:
 Private contribution by Castle & Cook
 Current Program Activities/Allowable Expenses:
 Funded approved capital improvement project
 Purpose of Proposed Ceiling Increase (if applicable):

Encumbrances:

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	168,213	14,734	15,405	131	0	0	0
Revenues	6,079	671	161				
Expenditures	159,558		(238,957)				
Transfers			(254,392)				
List each by JV# and date							
Net Total Transfers	0	0	(254,392)	(131)	0	0	0
Ending Cash Balance	14,734	15,405	131	0	0	0	0
Encumbrances	163,238						
Unencumbered Cash Balance	(148,504)	15,405	131	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2013 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Special State CIP - Funded by Others
 Legal Authority: Legislative Acts & Prior Year Rollovers

Contact Name: Ross Higashi
 Phone: 838-8646
 Fund type (MOF) X
 Appropriation Acct. No. S-XX-9XX-D

Intended Purpose:

Used to fund projects funded by the Passenger Facility Charge Program & other contributions

Source of Revenues:

Passenger Facility Charges Funds

Current Program Activities/Allowable Expenses:

Funded approved capital improvement projects

Purpose of Proposed Ceiling Increase (if applicable):

New capital improvement project will be implemented

Encumbrances:

Contract and claims encumbrances for program related projects

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	188,700,000	80,100,000	6,500,000	51,699,000	46,209,000	200,000	200,000
Beginning Cash Balance	14,362,015	21,066,399	27,625,355	12,962,054	12,962,054	12,962,054	12,962,054
Revenues			0				
Expenditures	14,506,317	44,889,875	14,405,098	38,847,000	45,450,000	45,571,000	10,430,000
Transfers	21,210,701	51,448,831	(258,203)				
List each by JV# and date							
Net Total Transfers	21,210,701	51,448,831	(258,203)	38,847,000	45,450,000	45,571,000	10,430,000
Ending Cash Balance	21,066,399	27,625,355	12,962,054	12,962,054	12,962,054	12,962,054	12,962,054
Encumbrances	29,066,399	26,716,594	16,775,937				
Unencumbered Cash Balance	(8,000,000)	908,761	(3,813,883)	12,962,054	12,962,054	12,962,054	12,962,054

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2013 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Special State CIP - Funded by Others
 Legal Authority: Legislative Acts & Prior Year Rollovers

Contact Name: Ross Higashi
 Phone: 838-8646
 Fund type (MOF) X
 Appropriation Acct. No. S-XX-9XX-D

Intended Purpose:

Used to fund projects funded by the Consolidated Rent A Car Facility Charge Program

Source of Revenues:

Rent A Car Facility Charges (CFC) Funds

Current Program Activities/Allowable Expenses:

Funded approved capital Improvement projects

Purpose of Proposed Ceiling Increase (if applicable):

New capital improvement project will be implemented

Encumbrances:

Contract and claims encumbrances for program related projects

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		68,100,000		93,000,000			
Beginning Cash Balance		1,667,064	3,358,651	3,102,475	3,102,475	3,102,475	3,102,475
Revenues							
Expenditures	2,127,936	10,704,913	6,256,176	43,826,862	56,073,138	19,950,000	19,950,000
Transfers	3,795,000	12,396,500	6,000,000				
List each by JV# and date							
Net Total Transfers	3,795,000	12,396,500	6,000,000	43,826,862	56,073,138	19,950,000	19,950,000
Ending Cash Balance	1,667,064	3,358,651	3,102,475	3,102,475	3,102,475	3,102,475	3,102,475
Encumbrances	1,667,064	2,648,864	40,950,692				
Unencumbered Cash Balance	0	709,787	(37,848,217)	3,102,475	3,102,475	3,102,475	3,102,475

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2013 Legislature

Department: DOT
 Prog ID(s): TRN 195
 Name of Fund: Temporary Deposits
 Legal Authority: _____

Contact Name: Ross Higashi
 Phone: 838-8646
 Fund type (MOF) Trust Fund
 Appropriation Acct. No. T-XX-961

Intended Purpose:
 Security and Other Deposits
 Source of Revenues:
 Customer security and other deposits
 Current Program Activities/Allowable Expenses:
 Received and refunded security and other deposits
 Purpose of Proposed Ceiling Increase (if applicable):

Encumbrances:

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	4,908,353	5,051,317	5,029,651	5,526,787	5,526,787	5,526,787	5,526,787
Revenues	471,085	345,986	1,019,941				
Expenditures	328,121	367,653	522,805				
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	5,051,317	5,029,651	5,526,787	5,526,787	5,526,787	5,526,787	5,526,787
Encumbrances							
Unencumbered Cash Balance	5,051,317	5,029,651	5,526,787	5,526,787	5,526,787	5,526,787	5,526,787

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2013 Legislature

Department: DOT
 Prog ID(s): TRN 195
 Name of Fund: Legislative Relief for Claims Against the State
 Legal Authority: Act 116, SLH 2012

Contact Name: Ross Higashi
 Phone: 838-8646
 Fund type (MOF) B
 Appropriation Acct. No. S-12-395

Intended Purpose:
 Payment of Judgment Claims
 Source of Revenues:
 Airport Revenue Fund
 Current Program Activities/Allowable Expenses:
 Payment of settlement agreement
 Purpose of Proposed Ceiling Increase (if applicable):

Encumbrances:

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			60,000				
Beginning Cash Balance		0	0	0	0	0	0
Revenues							
Expenditures				60,000			
Transfers							
List each by JV# and date							
Net Total Transfers				60,000			
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2013 Legislature

Department: DOT
 Prog ID(s): TRN 195
 Name of Fund: Airports Administration
 Legal Authority: Act 106, SLH 2012

Contact Name: Ross Higashi
 Phone: 838-8646
 Fund type (MOF) N
 Appropriation Acct. No. S-13-202

Intended Purpose:
 Required for Safety Management System Program
 Source of Revenues:
 Federal Grant
 Current Program Activities/Allowable Expenses:
 Development of Safety Management System at Statewide airports, FAA Part 139 requirement
 Purpose of Proposed Ceiling Increase (if applicable):

Encumbrances:

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				300,000			
Beginning Cash Balance		0	0	0	0	0	0
Revenues					300,000		
Expenditures					300,000		
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances				300,000			
Unencumbered Cash Balance	0	0	0	(300,000)	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2013 Legislature

Department: TRN
 Prog ID(s): TRN 301-395
 Name of Fund: Revenue Bonds
 Legal Authority A345/SL 86 - A106/SL 12

Contact Name: Kendrick Au
 Phone: 587-1893
 Fund type (MOF) Bond Funds
 Appropriation Acct. No. B-86-651-D to B-12-669

Intended Purpose: To Fund CIP Projects in Accordance with Bond Covenants

Source of Revenues: Revenue Bond Proceeds

Current Program Activities/Allowable Expenses: CIP Projects

Purpose of Proposed Ceiling Increase (if applicable):

Encumbrances:

Financial Data							
	FY 2010 (actual)	FY 2011 (actual)	FY 2012 (actual)	FY 2013 (estimated)	FY 2014 (estimated)	FY 2015 (estimated)	FY 2016 (estimated)
Appropriation Ceiling							
Beginning Cash Balance	59,006,463	59,381,611	191,007,404	174,021,815	121,406,996	27,725,996	165,340,996
Revenues		150,000,000	0	0	0	225,000,000	0
Expenditures	127,967	1,641,566	16,985,589	52,614,819	93,681,000	87,385,000	143,235,000
Transfers							
List each by JV# and date							
- Trf for HMP per Sch FY09 HMP Cash Trf							
- FY09 Trf fm State Operating Funds for HMP JM1845							
- Accumulated FY10 Cash transfers	503,115						
- Repayment of Balance of \$16,735,000 State Operating Funds Advanced to HMP in FY11		(16,732,641)					
Funds Advanced to HMP in FY14 & Thereafter							
Net Total Transfers	503,115	(16,732,641)	0	0	0	0	0
Ending Cash Balance	59,381,611	191,007,404	174,021,815	121,406,996	27,725,996	165,340,996	22,105,996
Encumbrances	36,716,514	23,919,741	28,144,819				
Unencumbered Cash Balance	22,665,097	167,087,663	145,876,996	121,406,996	27,725,996	165,340,996	22,105,996
Additional Information:							
Amount Req. for Bond Conveyance							
Amount Held in CODs, Escrow Accounts, or Other Investments		11,554,787					
Form 37-47 (rev. 10/18/12)							

Report on Non-General Fund Information
for Submittal to the 2013 Legislature

Department: TRN
 Prog ID(s): TRN 301-395
 Name of Fund: Federal Operating Allot (Type A)
 Legal Authority: Fed Fund

Contact Name: Kendrick Au
 Phone: 587-1893
 Fund type (MOF) Special Funds (N & V Funds)
 Appropriation Acct. No. S-09-248-D to S-12-251-D

Intended Purpose:

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	161,234	162,167	1,341,853	2,318,418	167,972	167,972	167,972
Revenues	933	72,136	620,985				
Expenditures		151,948	1,299,993	2,150,446			
Transfers							
List each by JV# and date							
Loan from State Operating Funds		26,998	1,655,573				
Loan from State Operating Funds							
ARRA PSGP		1,232,500					
Loan from State Operating Funds							
ARRA PSGP							
Net Total Transfers		1,259,498	1,655,573				
Ending Cash Balance	162,167	1,341,853	2,318,418	167,972	167,972	167,972	167,972
Encumbrances	26,998	541,130	1,003,175				
Unencumbered Cash Balance	135,169	800,723	1,315,243	167,972	167,972	167,972	167,972

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow							

Form 37-47 (rev. 10/18/12)

Report on Non-General Fund Information
for Submittal to the 2013 Legislature

Department: TRN
 Prog ID(s): TRN 301-395
 Name of Fund: State CIP
 Legal Authority: A296/SL 91 - A106/SL 12

Contact Name: Kendrick Au
 Phone: 587-1893
 Fund type (MOF) Special Funds (B & R Funds)
 Appropriation Acct. No. S-91-627-D to S-12-620-D

Intended Purpose:

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	30,000,000	15,000,000	15,000,000	20,000,000	20,000,000	20,000,000	20,000,000
Beginning Cash Balance	29,737,796	59,281,801	53,937,222	47,672,618	47,672,618	47,672,618	47,672,618
Revenues							
Expenditures	5,329,012	4,943,177	13,393,107	20,000,000	20,000,000	20,000,000	20,000,000
Transfers		(401,402)	7,128,503				
List each by JV# and date							
Trf fm State Operating to CIP Per Sch FY09 CIP Trf							
Reversion of Lapsed CIP Funds FY09 JS1038							
Reversion of Lapsed CIP Funds FY09 JS1039							
Trf fm State Operating to CIP per FY12 Multi-Year		0		20,000,000	20,000,000	20,000,000	20,000,000
FY10 Trf to CIP	34,873,018						
Net Total Transfers	34,873,018	(401,402)	7,128,503	20,000,000	20,000,000	20,000,000	20,000,000
Ending Cash Balance	59,281,801	53,937,222	47,672,618	47,672,618	47,672,618	47,672,618	47,672,618
Encumbrances	24,846,014	23,308,123	17,394,625				
Unencumbered Cash Balance	34,435,787	30,629,099	30,277,993	47,672,618	47,672,618	47,672,618	47,672,618

Additional Information:

Amount from Bond Proceeds							
Amount Held in CODs, Escrow							
Accounts, or Other Investments							

Form 37-47 (rev. 10/18/12)

Report on Non-General Fund Information
for Submittal to the 2013 Legislature

Department: TRN
 Prog ID(s): TRN 301-395
 Name of Fund: Federal CIP
 Legal Authority: Fed Fund - A91/SL99 - A106/SL 12

Contact Name: Kendrick Au
 Phone: 587-1893
 Fund type (MOF) Special Funds (N & V Funds)
 Appropriation Acct. No. S-94-269-D to S-12-266-D

Intended Purpose:

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	1,951,588	1,983,201	5,009,986	11,854,196	11,854,196	11,854,196	11,854,196
Revenues	456,281	879,780	18,196,755				
Expenditures	394,958	8,022,995	14,108,124				
Transfers		(30,000)					
List each by JV# and date							
Other Transfer	(29,710)						
Loans In from State Operating Allot							
Loans In from State Operating Allot TIGER ARRA		10,200,000	2,755,579				
Net Total Transfers	(29,710)	10,170,000	2,755,579				
Ending Cash Balance	1,983,201	5,009,986	11,854,196	11,854,196	11,854,196	11,854,196	11,854,196
Encumbrances	854,944	11,506,228	2,976,452				
Unencumbered Cash Balance	1,128,257	(6,496,242)	8,877,744	11,854,196	11,854,196	11,854,196	11,854,196

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow							

Form 37-47 (rev. 10/18/12)

Report on Non-General Fund Information
for Submittal to the 2013 Legislature

Department: TRN
 Prog ID(s): TRN 301-395
 Name of Fund: Revolving Funds
 Legal Authority Bond Resoln & S266-19 HRS

Contact Name: Kendrick Au
 Phone: 587-1893
 Fund type (MOF) Special Funds (W & B Funds)
 Appropriation Acct. No. S-97-386-D to S-13-349-D

Intended Purpose:

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	FY 2010 (actual)	FY 2011 (actual)	FY 2012 (actual)	FY 2013 (estimated)	FY 2014 (estimated)	FY 2015 (estimated)	FY 2016 (estimated)
Appropriation Ceiling							
Beginning Cash Balance	25,098,983	25,107,866	38,404,529	42,360,275	42,431,409	42,544,286	42,659,067
Revenues	23,247,208	27,103,954	31,824,210				
Expenditures	23,238,325	25,262,324	27,868,464	31,651,866	31,610,123	31,655,219	31,655,219
Transfers							
List each by JV# and date							
Transfer to Increase Stores Acct							
Trfs for Debt Svc Reserve	-						
Amounts Held in Reserve per 7th Suppl Certificate		11,455,033					
Trfs to Revenue Bond Debt Svc Reserve from State Operating Allot After Issuing 2010 Bonds				31,723,000	31,723,000	31,770,000	31,754,000
Net Total Transfers	0	11,455,033	0	31,723,000	31,723,000	31,770,000	31,754,000
Ending Cash Balance	25,107,866	38,404,529	42,360,275	42,431,409	42,544,286	42,659,067	42,757,848
Encumbrances	9,011	-	221				
Unencumbered Cash Balance	25,098,855	38,404,529	42,360,054	42,431,409	42,544,286	42,659,067	42,757,848

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2013 Legislature

Department: TRN
 Prog ID(s): TRN 301-395
 Name of Fund: Federal Operating Appropriation Type F
 Legal Authority: Fed Fund

Contact Name: Kendrick Au
 Phone: 587-1893
 Fund type (MOF) Special Funds (N Funds)
 Appropriation Acct. No. S-97-296

Intended Purpose:

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	2,802	2,802	2,802	2,802	2,802	2,802	2,802
Revenues							
Expenditures							
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	2,802	2,802	2,802	2,802	2,802	2,802	2,802
Encumbrances							
Unencumbered Cash Balance	2,802	2,802	2,802	2,802	2,802	2,802	2,802

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Form 37-47 (rev. 10/18/12)

Report on Non-General Fund Information
for Submittal to the 2013 Legislature

Department: TRN
 Prog ID(s): TRN 301-395
 Name of Fund: State Operating Allotment
 Legal Authority A345/SL86 - A106/SL12

Contact Name: Kendrick Au
 Phone: 587-1893
 Fund type (MOF) Special Funds (B Funds)
 Appropriation Acct. No. S-87-055-D to S-13-354-D

Intended Purpose:

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	86,084,756	88,662,472	90,590,022	90,618,881	90,618,881	90,618,881	90,618,881
Beginning Cash Balance	119,486,130	90,120,941	110,575,861	118,178,277	104,312,277	94,699,277	90,762,277
Revenues	70,110,689	82,675,193	92,473,138	95,933,000	102,728,000	109,214,000	111,705,000
Expenditures	39,926,540	39,295,855	37,162,526	54,695,168	57,237,322	57,999,947	58,533,000
Transfers		4,090,994	(8,195,705)				
List each by JV# and date							
Trf to DOT BUS Ref to Sch A	(1,540,337)						
Trf FY09 Increase Stores Acct JS0950							
FY09 Trfr to Bond Funds for HMP JM1845							
Trf to State CIP Per Sch FY09 CIP Trf							
Trf to State CIP	(34,873,018)						
Trf to State CIP per FY12 Multi-Year	0		0	(20,000,000)	(20,000,000)	(20,000,000)	(20,000,000)
Reversion of Lapsed State CIP Funds FY09 JS1038							
Reversion of Lapsed State CIP Funds FY09 JS1039							
Trfs to Debt Svc Reserve	(23,165,694)	(27,015,411)	(31,720,423)				
Debt Service - Reimbursable General Obligation Bonds		(2,959,988)	(3,380,916)	(3,380,832)	(3,380,678)	(3,381,053)	(3,371,000)
Trfs for Debt Svc Reserve FY12 MultiYear		-	0	(31,723,000)	(31,723,000)	(31,770,000)	(31,754,000)
Other Transfer	29,711						
Loan Out to Federal CIP TIGER ARRA Pier 29 & ARRA PSGP Fence		(10,170,000)	(2,755,579)				
Loans Out to Fed Operating Funds Appropriation Type A		(1,259,498)	(1,655,573)				
Repayments received from Federal Agencies for Federal Grants for CIP Projects Not Yet Recorded at 6/30/2011 to		(2,343,156)					
Repayment in FY2011 of Balance of \$16,735,000 from Revenue Bond Funds Originally Advanced from State		16,732,641	-				
Net Total Transfers	(59,549,338)	(22,924,418)	(47,708,196)	(55,103,832)	(55,103,678)	(55,151,053)	(55,125,000)
Ending Cash Balance	90,120,941	110,575,861	118,178,277	104,312,277	94,699,277	90,762,277	88,809,277
Encumbrances	16,603,794	17,970,848	20,547,222				
Unencumbered Cash Balance	73,517,147	92,605,013	97,631,055	104,312,277	94,699,277	90,762,277	88,809,277

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2013 Legislature

Department: TRN
 Prog ID(s): TRN 301-395
 Name of Fund: Operating Trust
 Legal Authority: _____

Contact Name: Kendrick Au
 Phone: 587-1893
 Fund type (MOF) Trust & Agency (T Funds)
 Appropriation Acct. No. T-93-941-D to T-13-941-D

Intended Purpose: Security Deposits from Leases and Contracts & Insurance Proceeds for Kawaihae Harbor Earthquake Damage

Source of Revenues: Insurance Proceeds for Kawaihae Harbor Earthquake Damage Received in FY08 and Security Deposits

Current Program Activities/Allowable Expenses: N/A

Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	3,487,503	3,248,689	3,308,469	3,252,765	3,252,765	3,252,765	3,252,765
Revenues	6,159,499	7,906,987	9,050,458				
Expenditures	6,398,313	7,847,207	9,106,162				
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	3,248,689	3,308,469	3,252,765	3,252,765	3,252,765	3,252,765	3,252,765
Encumbrances	77,540	2,540	2,540	2,540	2,540	2,540	2,540
Unencumbered Cash Balance	3,171,149	3,305,929	3,250,225	3,250,225	3,250,225	3,250,225	3,250,225

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2012 Legislature

Department: TRN
 Prog ID(s): TRN 501-595
 Name of Fund: Revenue Bonds
 Legal Authority ACT 158, SLH 2008; ACT 180, SLH 2010; ACT 106, SLH 2012

Contact Name: Jerry Sikorski
 Phone: 587-2256
 Fund type (MOF) Revenue Bonds Funds
 Appropriation Acct. No. B-00-529, B-04-500, etc.

Intended Purpose:

Source of Revenues:

Issuance of Highway Revenue Bonds

Current Program Activities/Allowable Expenses:

Roadway - Design, ROW, Construction and Planning Programs.

Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	118,649,000	85,054,000	74,190,000	97,973,800	56,391,000	38,730,000	40,000,000
Beginning Cash Balance	79,810,269	35,828,606	6,495,796	80,062,894	39,462,894	78,862,894	38,262,894
Revenues	-	(5,315,435)	125,733,458	0	80,000,000	0	80,000,000
Expenditures	43,981,663	32,317,375	43,866,360	40,600,000	40,600,000	40,600,000	40,600,000
Transfers	-	8,300,000	(8,300,000)				
List each by JV# and date							
Net Total Transfers	0	8,300,000	(8,300,000)				
Ending Cash Balance	35,828,606	6,495,796	80,062,894	39,462,894	78,862,894	38,262,894	77,662,894
Encumbrances	88,231,840	85,746,119	98,139,891	89,635,624	78,040,326	79,210,931	80,399,095
Unencumbered Cash Balance	(52,403,234)	(79,250,323)	(18,076,997)	(50,172,730)	822,569	(40,948,036)	(2,736,201)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2012 Legislature

Department: TRN
 Prog ID(s): TRN 501-597
 Name of Fund: Federal Operating Allotment
 Legal Authority FHS Act PL 89-564, 1966/HRS 286-2

Contact Name: Jerry Sikorski
 Phone: 587-2256
 Fund type (MOF) Other Federal Funds
 Appropriation Acct. No. S-12-215,203,204,205,206,225, etc.

Intended Purpose:

Source of Revenues:

Federal Reimbursements

Current Program Activities/Allowable Expenses:

Federal Reimbursement - Federal clearing Accounts for O&M and county pass thru funds.

Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	36,119,994	13,027,315	13,204,893	13,203,324	14,336,091	13,470,591	13,470,591
Beginning Cash Balance	9,311,391	13,586,239	3,208,401	6,150,645	17,850,042	24,424,929	30,873,439
Revenues	33,385,361	21,591,620	35,710,072	20,000,000	15,000,000	15,000,000	15,000,000
Expenditures	18,234,252	8,057,079	5,756,264	8,300,604	8,425,113	8,551,490	8,679,762
Transfers	(10,876,260)	(23,912,379)	(27,011,563)				
List each by JV# and date							
Net Total Transfers	(10,876,260)	(23,912,379)	(27,011,563)				
Ending Cash Balance	13,586,239	3,208,401	6,150,645	17,850,042	24,424,929	30,873,439	37,193,677
Encumbrances	12,310,098	6,670,047	2,471,900	12,000,000	12,000,000	12,000,000	12,000,000
Unencumbered Cash Balance	1,276,141	(3,461,646)	3,678,745	5,850,042	12,424,929	18,873,439	25,193,677

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2012 Legislature

Department: TRN
 Prog ID(s): TRN 501-595
 Name of Fund: State CIP
 Legal Authority A200/SL 03, A178/SL 05, A200/SL 03

Contact Name: Jerry Sikorski
 Phone: 587-2256
 Fund type (MOF) Special Funds
 Appropriation Acct. No. Various Appropriations

Intended Purpose:

Source of Revenues:

Special Funds

Current Program Activities/Allowable Expenses:

Roadway - Design, ROW, Construction and Planning Programs.

Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	19,235,000	17,400,000	12,000,000	13,000,000	18,669,000	16,000,000	16,000,000
Beginning Cash Balance	21,113,942	13,297,907	3,804,231	3,712,125	(10,974,829)	(25,882,087)	(41,012,954)
Revenues	6,347,639	0	0	0	0	0	0
Expenditures	21,673,077	14,256,064	30,664,143	14,686,954	14,907,258	15,130,867	15,357,830
Transfers	7,509,403	4,762,389	30,572,038				
List each by JV# and date							
Net Total Transfers	7,509,403	4,762,389	30,572,038				
Ending Cash Balance	13,297,907	3,804,231	3,712,125	(10,974,829)	(25,882,087)	(41,012,954)	(56,370,784)
Encumbrances	21,618,728	23,765,373	23,391,922	5,048,103	5,123,824	5,200,681	5,278,691
Unencumbered Cash Balance	(8,320,821)	(19,961,142)	(19,679,796)	(16,022,931)	(31,005,911)	(46,213,635)	(61,649,475)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2012 Legislature

Department: TRN
 Prog ID(s): TRN 501-595
 Name of Fund: Federal CIP
 Legal Authority: Title 23 U.S.C. As Amended

Contact Name: Jerry Sikorski
 Phone: 587-2256
 Fund type (MOF) Other Federal Funds
 Appropriation Acct. No. S-85-221 S-93-237 S-94-240 others

Intended Purpose:

Source of Revenues:

Federal Reimbursements

Current Program Activities/Allowable Expenses:

Design, ROW, Construction and Planning Programs.

Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	275,263,000	116,478,000	117,167,000	161,274,200	72,343,000	103,446,000	120,000,000
Beginning Cash Balance	82,244,303	85,833,263	64,311,058	79,091,274	125,737,559	173,083,538	221,139,707
Revenues	138,097,989	132,825,439	96,665,072	154,533,750	156,851,756	159,204,533	161,592,601
Expenditures	148,773,032	146,514,501	127,316,265	107,887,465	109,505,777	111,148,364	112,815,589
Transfers	14,264,004	(7,833,143)	45,431,409				
List each by JV# and date							
Net Total Transfers	14,264,004	(7,833,143)	45,431,409				
Ending Cash Balance	85,833,263	64,311,058	79,091,274	125,737,559	173,083,538	221,139,707	269,916,719
Encumbrances	260,266,074	321,071,609	358,475,767	280,243,700	284,447,355	288,714,065	293,044,776
Unencumbered Cash Balance	(174,432,811)	(256,760,551)	(279,384,493)	(154,506,140)	(111,363,817)	(67,574,358)	(23,128,057)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow							
Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2012 Legislature

Department: TRN
 Prog ID(s): TRN 501-595
 Name of Fund: Revolving & OHA
 Legal Authority: Non Lapsing

Contact Name: Jerry Sikorski
 Phone: 587-2256
 Fund type (MOF) Revolving Funds
 Appropriation Acct. No. S-12-322, 332, etc.

Intended Purpose:

Source of Revenues:

Transfer in from other funds.

Current Program Activities/Allowable Expenses:

Payroll and administration activities. Clearing accounts for payroll, fringe's and construction admin programs.

Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	26,212,914	26,700,574	33,919,670	40,770,298	39,200,890	37,607,942	35,991,100
Revenues	38,742,239	46,669,860	51,998,284	33,699,846	34,205,344	34,718,424	35,239,200
Expenditures	40,854,579	42,930,763	58,261,680	35,269,253	35,798,292	36,335,267	36,880,296
Transfers	2,600,000	3,480,000	13,114,023				
List each by JV# and date							
Net Total Transfers	2,600,000	3,480,000	13,114,023				
Ending Cash Balance	26,700,574	33,919,670	40,770,298	39,200,890	37,607,942	35,991,100	34,350,004
Encumbrances							
Unencumbered Cash Balance	26,700,574	33,919,670	40,770,298	39,200,890	37,607,942	35,991,100	34,350,004

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2012 Legislature

Department: TRN
 Prog ID(s): TRN 501-597
 Name of Fund: State Operating Allotment
 Legal Authority HRS 243-4 HRS 249-31 HRS 249-33 ACT 223/SLH 1999

Contact Name: Jerry Sikorski
 Phone: 587-2256
 Fund type (MOF) Special Funds
 Appropriation Acct. No. S-12-321,010,020,026,029,032,035,036,039

Intended Purpose:

Source of Revenues:

Fuel Taxes, Vehicle Registration Fee, Motor Vehicle Weight, Rental Motor Vehicle Surcharge Tax, and other income.

Current Program Activities/Allowable Expenses:

Roadway - Design, ROW, Construction and Planning Programs.

Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	220,461,613	218,323,808	230,083,412	272,503,384	273,501,612	273,389,194	274,588,764
Beginning Cash Balance	148,558,961	99,008,082	118,998,074	103,848,876	124,179,876	141,018,876	155,411,876
Revenues	187,359,318	199,927,881	234,152,857	249,203,000	251,839,000	254,393,000	256,846,000
Expenditures	217,305,795	184,738,757	220,677,832	228,872,000	235,000,000	240,000,000	245,000,000
Transfers	(19,604,402)	4,800,869	(28,624,223)				
List each by JV# and date							
Net Total Transfers	(19,604,402)	4,800,869	(28,624,223)				
Ending Cash Balance	99,008,082	118,998,074	103,848,876	124,179,876	141,018,876	155,411,876	167,257,876
Encumbrances	128,681,533	133,263,621	111,983,510	150,185,258	152,438,036	154,724,607	157,045,476
Unencumbered Cash Balance	(29,673,452)	(14,265,547)	(8,134,634)	(26,005,381)	(11,419,160)	687,269	10,212,400

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2012 Legislature

Department: TRN
 Prog ID(s): TRN 501-595
 Name of Fund: Operating Trust
 Legal Authority: Non Lapsing

Contact Name: Jerry Sikorski
 Phone: 587-2256
 Fund type (MOF) Trust Funds
 Appropriation Acct. No. T-12-921, 931, T-12-923, etc.

Intended Purpose:

Source of Revenues:

Cash Receipts

Current Program Activities/Allowable Expenses:

Trust and Agency Funds

Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	19,207,005	14,569,888	24,779,971	23,347,219	20,585,432	17,782,219	14,936,957
Revenues	2,513,461	12,185,754	36,729,751	2,628,271	2,667,696	2,707,711	2,748,327
Expenditures	8,666,821	7,512,249	7,593,375	5,390,058	5,470,909	5,552,973	5,636,268
Transfers	1,516,243	5,536,578	(30,569,128)				
List each by JV# and date							
Net Total Transfers	1,516,243	5,536,578	(30,569,128)				
Ending Cash Balance	14,569,888	24,779,971	23,347,219	20,585,432	17,782,219	14,936,957	12,049,016
Encumbrances	5,574,591	15,214,763	12,538,010	11,085,786	11,252,073	11,420,854	11,592,167
Unencumbered Cash Balance	8,995,297	9,565,208	10,809,209	9,499,646	6,530,146	3,516,103	456,849

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department of Transportation Highways Division
Non-general funds (excluding Federal Funds)

Table 8

<u>Name of Fund</u>	<u>Unencumbered Cash Balance</u>	<u>MOF</u>	<u>Statutory Reference</u>
Revenue Bonds	(18,076,997)	Issuance of Highway Revenue Bond	ACT 158, SLH 2008; ACT 180, SLH 2010; ACT 106, SLH 2012
Federal Operating Allotment	3,678,745	Federal Reimbursements	FHS Act PL 89-564, 1966/HRS 286-2
State CIP	(19,679,796)	Special Funds	A200/SL 03, A178/SL 05, A200/SL 03
Federal CIP	(279,384,493)	Federal Reimbursements	Title 23 U.S.C. As Amended
Revolving & OHA	40,770,298	Transfer in from other funds.	Non Lapsing
State Operating Allotment	(8,134,634)	Fuel Taxes, Vehicle Registration Fee	HRS 243-4 HRS 249-31 HRS 249-33 ACT 223 SLH 1999
Operating Trust	10,809,209	Cash Receipts	Non Lapsing

Report on Non-General Fund Information
for Submittal to the 2013 Legislature

Department: TRANSPORTATION
 Prog ID(s): TRN 995
 Name of Fund: General Administration
 Legal Authority Act 164/SLH 2011

Contact Name: Kevin Nako
 Phone: (808) 587-1989
 Fund type (MOF) B
 Appropriation Acct. No. S - 001 - D

Intended Purpose:

Operating funds for Administration

Source of Revenues:

Assessments from the other three DOT Divisions . . Airports, Harbors and Highways.

Current Program Activities/Allowable Expenses:

Departmental Administration and staff operations, payroll, non-labor expenses, protocol fund, etc.

Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	14,669,319	13,972,523	14,946,700				
Beginning Cash Balance	6,343,203	5,928,175	6,684,062	7,301,410	7,301,410	7,301,410	7,301,410
Revenues	201,254	237,427	131,538				
Expenditures	11,546,734	11,004,230	11,209,565				
Transfers							
List each by JV# and date							
JV-007 dtd 07/22/11			(2,500)				
JV-005 dtd 07/22/11			2,054,827				
JV-013 dtd 08/01/11			1,681,222				
JV-051 dtd 09/27/11			(2,566,207)				
JV-056 dtd 10/11/11			3,426,325				
JV-098 dtd 12/27/11			3,438,885				
JV-156 dtd 03/27/12			3,662,823				
Net Total Transfers	10,930,448	11,522,690	11,695,375				

Ending Cash Balance	5,928,175	6,684,062	7,301,410	7,301,410	7,301,410	7,301,410	7,301,410
Encumbrances	1,424,650	1,487,609	2,007,847				
Unencumbered Cash Balance	4,503,525	5,196,453	5,293,563	7,301,410	7,301,410	7,301,410	7,301,410

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2013 Legislature

Department: TRANSPORTATION
 Prog ID(s): TRN 695
 Name of Fund: Aloha Tower Development Corporation
 Legal Authority: Act 152/SLH 2011

Contact Name: Kevin Nako
 Phone: (808) 587-1989
 Fund type (MOF) B
 Appropriation Acct. No. S - 088 - D

Intended Purpose:

ATDC is placed within the Department of Transportation for administrative purposes, pursuant to section 26-35.

Source of Revenues:

Monthly lease payments.

Current Program Activities/Allowable Expenses:

The monies on deposit in the fund shall be used for lease payments to the Department of Transportation and for the development , re-development, or improvement of the Honolulu Waterfront located seaward of Nimitz Highway between Pier 4 and Pier 11.

Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0				
Beginning Cash Balance	0	0	0	1,000,000	1,000,000	1,000,000	1,000,000
Revenues	0	0	1,000,000				
Expenditures	0	0	0				
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0				
Ending Cash Balance	0	0	1,000,000	1,000,000	1,000,000	1,000,000	1,000,000
Encumbrances	0	0	0				
Unencumbered Cash Balance	0	0	1,000,000	1,000,000	1,000,000	1,000,000	1,000,000

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2013 Legislature

Department: TRANSPORTATION
 Prog ID(s): TRN 995
 Name of Fund: Ferry Boat Acquisitions and Pier Improvements
 Legal Authority: Non-Appropriated FTA Grants

Contact Name: Kevin Nako
 Phone: (808) 587-1989
 Fund type (MOF) N
 Appropriation Acct. No. S - 210 - D

Intended Purpose:

Federal Transit Administration Earmarked Funds.

Source of Revenues:

Federal Transit Administration (FTA) Funds. Section 5305 provides funding for contract services for the update of the coordinated Public Transit Human Services Transportation Plan.

Current Program Activities/Allowable Expenses:

Provide the Federal Share of FTA Grant No.s HI-80-0018 (grant closed 5/30/12). Federal participating expenses incurred under terms of the various FTA grant(s).

Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	100,001	33,096	30,134	30,134	30,134	30,134
Revenues	15,517	213,466	29,644				
Expenditures	15,516	223,969	19,140				
Transfers							
List each by JV# and date							
JV-039 dtd 09/06/11			(13,466)				
Net Total Transfers	100,000	(56,402)	(13,466)				
Ending Cash Balance	100,001	33,096	30,134	30,134	30,134	30,134	30,134
Encumbrances		19,629	19,629				
Unencumbered Cash Balance	100,001	13,467	10,505	30,134	30,134	30,134	30,134

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2013 Legislature

Department: TRANSPORTATION
 Prog ID(s): TRN 995
 Name of Fund: Intermodal Surface Transportation Efficiency Programs
 Legal Authority: 23 USC (23 CFR Part 420.103)

Contact Name: Kevin Nako
 Phone: (808) 587-1989
 Fund type (MOF) N
 Appropriation Acct. No. S - 256 - D

Intended Purpose:

Federal monies received from FHWA will be deposited into this account as reimbursement for the Statewide Planning & Research program.

Source of Revenues:

Current Program Activities/Allowable Expenses:

FHWA Grant SPR-0010 (29) / (31) / (32) / (33) / (34)

Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,756,854	975,000	11,303,104				
Beginning Cash Balance	401,211	501,567	28,143	4,774	4,774	4,774	4,774
Revenues	646,417	448,195	7,180				
Expenditures	685,145	376,507	4,263				
Transfers							
List each by JV# and date							
JV-039 dtd 09/06/11			(23,370)				
JV-134 dtd 02/10/12			(2,917)				
Net Total Transfers	139,084	(545,112)	(26,286)				
Ending Cash Balance	501,567	28,143	4,774	4,774	4,774	4,774	4,774
Encumbrances	374,513	4,774	4,774				
Unencumbered Cash Balance	127,054	23,369	(0)	4,774	4,774	4,774	4,774

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: TRANSPORTATION
 Prog ID(s): TRN 995
 Name of Fund: FTA (Federal Transit Authority)
 Legal Authority: 49 USC, Section 5310

Contact Name: Kevin Nako
 Phone: (808) 587-1989
 Fund type (MOF) N
 Appropriation Acct. No. S - 257 - D

Intended Purpose:

Federal Transit Act Capital Assistance grant under Section 5310. These funds will aid in financing capital items for private nonprofit groups which will help meet the transportation needs of the elderly and persons with disabilities in the State of Hawaii.

Source of Revenues:

FTA funds provide 80% matching share under various FTA capital assistance grants under section 5310. See Appropriation S-xx-335-D which provides the 20% private contributions.

Current Program Activities/Allowable Expenses:

FTA Grant No. HI-16-0034, HI-16-0035, HI-16-0036 & HI-16-0037. Financing capital items under Section 5310 for various non-profit organizations in the State of Hawaii.

Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	FY 2010 (actual)	FY 2011 (actual)	FY 2012 (actual)	FY 2013 (estimated)	FY 2014 (estimated)	FY 2015 (estimated)	FY 2016 (estimated)
Appropriation Ceiling	1,212,410	1,492,468	1,223,708				
Beginning Cash Balance	15,395	898	13,038	471	471	471	471
Revenues	898	877,514	104,686				
Expenditures	0	865,374	104,215				
Transfers							
List each by JV# and date							
JV-039 dtd 09/06/11			59,102				
JV-134 dtd 02/12/12			(72,140)				
Net Total Transfers	(15,395)	0	(13,038)				
Ending Cash Balance	898	13,038	471	471	471	471	471
Encumbrances	785,547	67,748	0				
Unencumbered Cash Balance	(784,649)	(54,710)	471	471	471	471	471

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: TRANSPORTATION
 Prog ID(s): TRN 995
 Name of Fund: FTA (Federal Transit Authority)
 Legal Authority 49 USC, Sections 5311, 5309, 5303 & 5313(b), 5316, 5317

Contact Name: Kevin Nako
 Phone: (808) 587-1989
 Fund type (MOF) N
 Appropriation Acct. No. S - 258 - D

Intended Purpose:

Federal Transit Act grants: Section 5311 funds provide public transportation assistance in non-urbanized areas. Section 5309 provides capital assistance for buses and related equipment and facilities. Sections 5303 and 5313(b) provide funds for technical studies and State planning and research. Section 5316 provides funds to address transportation challenges faced by welfare recipients and low-income persons seeking to obtain and maintain employment. Section 5317 provides additional tools to overcome existing barriers facing Americans with disabilities seeking integration into the work force and society.

Source of Revenues:

Federal Transit Administration (FTA) Funds.

Current Program Activities/Allowable Expenses:

FTA Grant Nos. HI-18-X023, -X025, -X026, -X027, -X028, -X029, -X030, HI-03-0034, -0038, -0039, -0044, -048 & HI-04-0002, -0003, -0004, -0007, -0009, -0010 & HI-37-X001, -X003, -X006, -X008 & HI-57-X001, -X006, -X008 & HI-80-X016, -X017, -0019, -0020, -0021, -0022.

Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	24,003,728	30,855,315	20,795,971				
Beginning Cash Balance	223,689	661	589,832	566,784	566,784	566,784	566,784
Revenues	4,210,123	3,302,994	11,319,840				
Expenditures	4,209,462	3,315,337	11,320,622				
Transfers							
List each by JV# and date							
JV-039 dtd 09/06/11			(22,266)				
Net Total Transfers	(223,689)	601,514	(22,266)				
Ending Cash Balance	661	589,832	566,784	566,784	566,784	566,784	566,784
Encumbrances	531	0	0				
Unencumbered Cash Balance	130	589,832	566,784	566,784	566,784	566,784	566,784

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: TRANSPORTATION
 Prog ID(s): TRN 995
 Name of Fund: Bus Purchases & Shelters - ARRA
 Legal Authority: 49 USC, Section 5311

Contact Name: Kevin Nako
 Phone: (808) 587-1989
 Fund type (MOF) V
 Appropriation Acct. No. S - 259 - D

Intended Purpose:

Federal Transit Administration's American Recovery & Reinvestment Act of 2009 (ARRA) funds.

Source of Revenues:

These ARRA FTA funds provide funding for the counties to buy buses and shelters under Section 5311.

Current Program Activities/Allowable Expenses:

FTA Grant No. HI-86-X001 (grant closed 7/26/12).

Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0				
Beginning Cash Balance	0	0	0	75,057	75,057	75,057	75,057
Revenues	0	2,861,109	72,326				
Expenditures	0	2,861,109	72,326				
Transfers							
List each by JV# and date							
JV-134 dtd 02/10/12			75,057				
Net Total Transfers	0	0	75,057				
Ending Cash Balance	0	0	75,057	75,057	75,057	75,057	75,057
Encumbrances	0	0	0				
Unencumbered Cash Balance	0	0	75,057	75,057	75,057	75,057	75,057

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: TRANSPORTATION
 Prog ID(s): TRN 995
 Name of Fund: FTA (Federal Transit Authority)
 Legal Authority: 49 USC, Section 5310

Contact Name: Kevin Nako
 Phone: (808) 587-1989
 Fund type (MOF) R
 Appropriation Acct. No. S - 335 - D

Intended Purpose:

Non-profit organizations' 20% share of Federal Transit Act Capital Assistance grant under Section 5310.

Source of Revenues:

Non-profit organizations provide the 20% matching share under various FTA capital assistance grants under Section 5310.

See Appropriation S-xx-257-D which provides the 80% Federal Share.

Current Program Activities/Allowable Expenses:

FTA Grant No. HI-16-0034, HI-16-0035, HI-16-0036 & HI-16-0037. Financing capital items under Section 5310 for various non-profit organizations in the State of Hawaii.

Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	396,437	423,067	423,067				
Beginning Cash Balance	0	196,387	16,938	0	0	0	0
Revenues	196,387	16,938	0				
Expenditures	0	196,387	16,938				
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0				
Ending Cash Balance	196,387	16,938	0	0	0	0	0
Encumbrances	0	0	0				
Unencumbered Cash Balance	196,387	16,938	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: TRANSPORTATION
 Prog ID(s): TRN 995
 Name of Fund: Protocol Funds for Executive Heads
 Legal Authority: Act 162/09

Contact Name: Kevin Nako
 Phone: (808) 587-1989
 Fund type (MOF) B
 Appropriation Acct. No. S - 398 - D

Intended Purpose:

Provide discretionary funds for the head of the department.

Source of Revenues:

\$2,500.00 is transferred from the "General Administration Fund, S-xx-001-D" to fund this appropriation.

Current Program Activities/Allowable Expenses:

To provide discretionary funds for the head of the department in accordance with Act 213, SLH 2007.

Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,500	2,500	2,500				
Beginning Cash Balance	1,966	0	1,395	1,830	1,830	1,830	1,830
Revenues	0	0	0				
Expenditures	2,500	1,105	670				
Transfers							
List each by JV# and date							
JV-007 dtd 07/22/11			2,500				
JV-051 dtd 09/27/11			(1,395)				
Net Total Transfers	534	2,500	1,105				
Ending Cash Balance	0	1,395	1,830	1,830	1,830	1,830	1,830
Encumbrances	0	0	0				
Unencumbered Cash Balance	0	1,395	1,830	1,830	1,830	1,830	1,830

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow							
Accounts, or Other Investments							

Department: TRANSPORTATION
 Prog ID(s): TRN 995
 Name of Fund: Bid Bond Deposits - Administration
 Legal Authority: _____

Contact Name: Kevin Nako
 Phone: (808) 587-1989
 Fund type (MOF) Trust
 Appropriation Acct. No. T - 905 - D

Intended Purpose:

Receipt of and disbursement of Bid Bond Deposits for contracts that are put out to bid.

Source of Revenues:

Receipt of bid bond deposits for contracts that are put out to bid. Also, AMTRAK funds are held temporarily until specific use of the funds are determined by Transportation Officials. Interest revenue from the Budget & Finance Investment Pool program.

Current Program Activities/Allowable Expenses:

Procurement & contracting. Temporary deposit of AMTRAK funds for the Highways Division. Disbursement and/or refund of the bid bond deposit for contracts. AMTRAK funds are used for various transportation projects in the State of Hawaii.

Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	585,409	188,636	201,201	295,581	295,581	295,581	295,581
Revenues	237,399	176,150	133,605				
Expenditures	634,172	163,585	39,225				
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0				
Ending Cash Balance	188,636	201,201	295,581	295,581	295,581	295,581	295,581
Encumbrances	0	0	0				

Unencumbered Cash Balance	188,636	201,201	295,581	295,581	295,581	295,581	295,581
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Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							