

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-102
 Name of Fund: Compliance Resolution Fund - Cable Television
 Legal Authority: Chapter 440G, HRS, Act 199, SLH 2010

Contact Name: Michael Wun
 Phone: 587-6754
 Fund type (MOF): Special Funds (B)
 Appropriation Acct. No. S-XX-302-R, S-11-311-R

Intended Purpose:

To foster the development of responsive and reliable cable communications services for the people of Hawaii, by promoting the public interest in authorizations by the State regarding cable franchises; regulate basic cable rates and service to ensure compliance with applicable State and Federal law; expand and operate the statewide Institutional Network; and continue the availability of public, education and government cable access. Additional responsibilities due to Act 199 tasked CATV to implement activities to create ubiquitous access to high speed broadband at affordable prices throughout the State.

Source of Revenues:

Franchise Fees (HAR 16-132) paid by cable tv operators.

Current Program Activities/Allowable Expenses:

- Issues and enforces franchises for cable communications services.
- Promulgates and enforces rules and regulations governing the practices, procedures, quality and quantity of services including rates, and customer and technical operations of cable communications companies.
- Plans and encourages the development of new, improved and more effective utilization of cable communications services, equipment, and facilities including the State's Institutional Network (INET), and public, educational, and government (PEG) access resources and facilities, which will enhance communications services available to Hawaii's citizens.
- Support public and private efforts to enhance or facilitate deployment; making recommendations to establish affordable, accessible broadband services to un-served and underserved areas; increase usage and demand by facilitating deployment of expanded applications such as telework, telemedicine, e-learning; and assist in implementing recommendations in the Hawaii Broadband Task Force Report of December 2008, and the goals of the Governor's Hawaii Broadband Initiative of August 2011.

Purpose of Proposed Ceiling Increase (if applicable):

Trade off funds, no ceiling increase: Add Staff Attorney position to assist with telecom reform, broadband deployment, and cable regulation.

Encumbrances:

Claims outstanding for telephone charges; equipment rental; contracts outstanding for Hawaii Broadband Initiative support; specialized legal counsel and CPA services.

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,753,288	1,753,288	2,110,809	2,391,537	2,391,537	2,391,537	2,391,537
Beginning Cash Balance	2,271,178	3,170,379	3,336,867	3,477,137	2,264,414	1,453,894	643,374
Revenues 1/	1,926,773	1,975,771	2,046,102	2,010,050	2,010,000	2,010,000	2,010,000
Expenditures	759,572	1,525,105	1,524,055	2,787,904	2,391,537	2,391,537	2,391,537
Transfers							
List each by JV# and date							
JS0784 8/21/2012			(91,809)				
JS3287 11/20/2012			(96,656)				
JS4177 1/16/2013			(96,656)				
JS5488 4/5/2013			(96,656)				
Net Total Transfers	(268,000)	(284,178)	(381,777)	(434,869)	(428,983)	(428,983)	(428,983)
Ending Cash Balance	3,170,379	3,336,867	3,477,137	2,264,414	1,453,894	643,374	(167,146)
Encumbrances	254,492	273,382	396,367	0	0	0	0
Unencumbered Cash Balance	2,915,887	3,063,485	3,080,770	2,264,414	1,453,894	643,374	(167,146)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue projections based on Rev Est to B&F November 2013.

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-102
 Name of Fund: State Broadband Data and Development Grant Program
 Legal Authority: non-appropriated, CFDA: 11.558 American Recovery and Reinvestment Act-SBDD-Hawaii Department of Commerce Affairs (Award no. 15-50-M09057)

Contact Name: Cathy Takase
 Phone: 586-5481
 Fund type (MOF) Federal Stimulus Funds (V)
 Appropriation Acct. No. S-XX-201-R

Intended Purpose:

The grant is to develop an on-line database that can be used by consumers to identify the availability, speed, and location of broadband services throughout Hawaii.

Source of Revenues:

Federal grant from the U.S. Department of Commerce

Current Program Activities/Allowable Expenses:

The initial grant includes \$1,449,940 for broadband data collection and mapping activities over a two-year period and \$500,000 for broadband planning activities. The overall award period is January 1, 2010 through December 31, 2011 for broadband mapping activities and January 1, 2010 through December 31, 2014 for broadband planning activities, if any.

Subsequently, additional federal funding in the amount of \$2,400,000 was awarded on September 28, 2010 to complete the project to map broadband availability and engage in broadband planning activities for five years.

Purpose of Proposed Ceiling Increase (if applicable):

Not applicable.

Encumbrances

None.

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	73	130	206	0	0	0
Revenues	1,440,387	830,409	432,663	1,097,792	548,896	0	0
Expenditures	1,440,314	830,352	432,587	1,097,998	548,896	0	0
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	73	130	206	0	0	0	0
Encumbrances		0	0				
Unencumbered Cash Balance	73	130	206	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-102
 Name of Fund: Funds held outside the State Treasury by Time Warner
 Legal Authority Decision & Order No. 346, Section IV.1.4

Contact Name: Glen Chock
 Phone: 586-2620
 Fund type (MOF) Funds held outside of State Treasury
 Appropriation Acct. No. _____

Intended Purpose:

Franchise fees over the cap amount that is paid by TWE to Olelo are provided to DCCA for INET/Broadband purposes.

Source of Revenues:

Franchise fees (HAR 16-132) paid by cable tv operators.

Current Program Activities/Allowable Expenses:

Expenses related to expansion and upgrade of the Institutional Network ("INET"); and any other expenses/activities relating to broadband.

Purpose of Proposed Ceiling Increase (if applicable):

Increase ceiling for inter-departmental transfer to the Department of Labor and Industrial Relations for Capital Improvement Program Grant to Hawaii Public Television Foundation

Encumbrances:

Not applicable.

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	4,000,000				2,000,000		0
Beginning Cash Balance	4,911,801	2,754,912	4,619,445	6,313,011	7,813,011	7,313,011	8,813,011
Revenues	1,843,111	1,864,533	1,693,566	1,500,000	1,500,000	1,500,000	1,500,000
Expenditures	4,000,000		0	0	2,000,000	0	0
Transfers							
List each by JV# and date			0				
Net Total Transfers			0				
Ending Cash Balance	2,754,912	4,619,445	6,313,011	7,813,011	7,313,011	8,813,011	10,313,011
Encumbrances			0	0	0	0	0
Unencumbered Cash Balance	2,754,912	4,619,445	6,313,011	7,813,011	7,313,011	8,813,011	10,313,011

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-103
 Name of Fund: CRF-PUC Spcl Fnd Allocation-Consumer Advocacy
 Legal Authority: Section 269-33, HRS

Contact Name: Michael Wun
 Phone: 587-6758
 Fund type (MOF) Special Funds (B)
 Appropriation Acct. No. S-XX-303-R, S-11-308-R

Intended Purpose:
To fund the operations of the Division of Consumer Advocacy.

Source of Revenues:
Public utility fees paid to the public utilities commission pursuant to HRS §269-30, and placed in the public utilities commission special fund. Pursuant to HRS §269-33, on a quarterly basis, an amount is allocated in accordance with legislative appropriations to the DCA and deposited in the compliance resolution fund.

Current Program Activities/Allowable Expenses:
To represent, advance and protect the interests of consumers of regulated utility and transportation services. The division is a party to every proceeding before the Hawaii Public Utilities Commission. The division participates in, among other things, reviews of utility and transportation companies' requests to increase rates, service reliability investigations, energy utility integrated resource plans, and capital improvement projects for utilities. In addition, the division strives to promote effective competition and consumer protection through contested cases and rule making proceedings regarding telecommunications and electric power infrastructure development.

Purpose of Proposed Ceiling Increase (if applicable):
Ceiling increase for consultant work and an attorney position within the Legal Branch to support Administration Initiatives, such as Clean Energy and Broadband Initiatives.

Encumbrances:
The balance predominantly reflects the encumbrance for consultant contracts. The remaining encumbrances are for IT expenses, long distance telephone charges, inter-island and out-of-state travel expenses.

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling 1/	2,721,882	2,701,465	2,938,787	3,031,508	3,781,222	3,830,936	3,830,936
Beginning Cash Balance	3,572,073	3,395,361	4,275,160	4,695,037	3,330,753	2,663,932	1,997,083
Revenues 2/	2,422,960	3,024,837	2,715,111	3,063,847	3,793,222	3,842,908	3,842,908
Expenditures	2,280,528	1,718,681	1,758,522	3,875,704	3,781,222	3,830,936	3,830,936
Transfers							
List each by JV# and date							
JS0784 8/21/2012			(129,067)				
JS3287 11/20/2012			(135,882)				
JS4177 1/16/2013			(135,882)				
JS5488 4/5/2013			(135,881)				
Net Total Transfers	(319,144)	(426,357)	(536,712)	(552,427)	(678,821)	(678,821)	(678,821)
Ending Cash Balance	3,395,361	4,275,160	4,695,037	3,330,753	2,663,932	1,997,083	1,330,234
Encumbrances	770,120	1,051,449	844,196				
Unencumbered Cash Balance	2,625,241	3,223,711	3,850,841	3,330,753	2,663,932	1,997,083	1,330,234

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Includes specific appropriation: (1) Act 130, SLH 2010 appropriated \$634,000 in FY11.
 2/ Revenue projections based on Rev Est to B&F November 2013; reflect FB 2013-2015 Supplemental Budget Requests submitted to B+F for executive decision.
 Revenues for FY2011 include \$8,225 vacation transfer erroneously coded to S-11-308-R.

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-104
 Name of Fund: CRF- Financial Institutions
 Legal Authority: Sections 412:2-109, 449-14, 489D-12.5, 454F-18, 454F-23, 454M-11, and 26-9(o), HRS

Contact Name: Michael Wun
 Phone: 587-6754
 Fund type (MOF) Special Funds (B)
 Appropriation Acct. No. S-XX-320-R, S-11-326-R

Intended Purpose:

To allow the Commissioner to appoint financial institutions examiners to examine the affairs of financial institutions, to appoint any necessary administrative support personnel, and to pay for any expenses incurred during examinations or necessary for the education and training of personnel and other expenses related to examinations and administrative costs as well as to support the entire program of the Division of Financial Institutions (DFI).

Source of Revenues:

Transfer of \$2 million from taxes paid by banks and other financial corporations (HRS 241-7); and application, examination, licensing, and other fees and fines paid by financial institutions, escrow depositories, money transmitters, mortgage loan originators, mortgage loan originator companies, and mortgage servicers.

Current Program Activities/Allowable Expenses:

Ensures the safety and soundness of state-chartered and state-licensed financial institutions by fairly administering applicable statutes and rules through a program of supervision and regulation through chartering or licensure, examination, application review, off-site monitoring, investigation, and complaint handling and is also responsible for the licensing and supervision of escrow depositories, money transmitters, mortgage loan originators, mortgage loan originator companies, and mortgage servicers.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Encumbrances:

Includes claims outstanding for training and travel expenses, software maintenance, hardware upgrade, and accrued vacation payout for an employee who resigned.

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling 1/	3,686,507	3,387,006	3,277,594	3,384,920	3,384,920	3,384,920	3,384,920
Beginning Cash Balance	4,213,855	4,637,308	5,043,246	5,276,725	5,309,333	5,504,987	5,663,141
Revenues 2/	3,429,771	3,348,969	3,623,557	4,052,505	4,190,960	4,153,460	4,196,000
Expenditures	2,456,202	2,414,663	2,785,228	3,401,136	3,384,920	3,384,920	3,384,920
Transfers							
List each by JV# and date							
JS0784 8/21/2012			(150,180)				
JS3287 11/20/2012			(151,557)				
JS4177 1/16/2013			(151,557)				
JS5488 4/5/2013			(151,556)				
Net Total Transfers	(550,116)	(528,368)	(604,850)	(618,761)	(610,386)	(610,386)	(610,386)
Ending Cash Balance	4,637,308	5,043,246	5,276,725	5,309,333	5,504,987	5,663,141	5,863,835
Encumbrances	84,668	125,531	16,216				
Unencumbered Cash Balance	4,552,640	4,917,715	5,260,509	5,309,333	5,504,987	5,663,141	5,863,835

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Includes specific appropriations: Act 84, SLH 2010 appropriates \$694,077 for FY11.

2/ Revenue projections based on Rev Est to B&F November 2013.

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-104
 Name of Fund: Mortgage Loan Recovery Fund
 Legal Authority: Section 454F-41, HRS

Contact Name: Michael Wun
 Phone: 587-6754
 Fund type (MOF): Trust Funds (T)
 Appropriation Acct. No. T-XX-926-R

Intended Purpose:

To allow consumers harmed by persons that violate Chapter 454F, HRS, to recover damages sustained by the fraud, misrepresentation, or deceit of such persons.

Source of Revenues:

Fees paid by mortgage loan originator companies, mortgage servicer companies that engage in mortgage loan origination, branch offices of mortgage loan originator companies, and mortgage loan originators upon initial licensure and at annual renewal.

Current Program Activities/Allowable Expenses:

Licenses and regulates mortgage loan originator companies, mortgage servicer companies that engage in mortgage loan origination, and mortgage loan originators. Mortgage Loan Recovery Fund was established to protect consumers by making it easier to recover losses caused by persons that violate Chapter 454F, HRS. Allowable expenses are the payment of claims and expenses related to the administration of the Mortgage Loan Recovery Fund.

Purpose of Proposed Ceiling Increase (if applicable):

Increase is needed in the ceiling for the Mortgage Loan Recovery Fund established by Chapter 454F, HRS, in order to pay for claims against the recovery fund and to cover any miscellaneous contingency expenses related to the administration of the recovery fund.

Encumbrances:

None.

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	110,000	110,000	110,000	220,000	220,000	220,000
Beginning Cash Balance	0	285,450	493,750	803,115	978,215	1,023,365	860,715
Revenues 1/	285,450	208,300	309,365	285,100	265,150	57,350	57,000
Expenditures	0	0	0	110,000	220,000	220,000	220,000
Transfers							
List each by JV# and date							
Net Total Transfers			0				
Ending Cash Balance	285,450	493,750	803,115	978,215	1,023,365	860,715	697,715
			0				
Encumbrances							
Unencumbered Cash Balance	285,450	493,750	803,115	978,215	1,023,365	860,715	697,715

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue projections based on Rev Est to B&F, November 2013.

Report on Non-General Fund Information
for Submittal to the 2013 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA 104, CCA-110
 Name of Fund: Multi-state Enforcement and Education Fund/Multi-state Mortgage Servicer Agreement Fund
 Legal Authority: Multi-state Mortgage Settlement Agreement, Multi-state Mortgage Servicer Settlement Agreements

Contact Name: Michael Wun
 Phone: 587-6754
 Fund type (MOF): Trust Funds (T)
 Appropriation Acct. No. T-XX-932-R

Intended Purpose:

- Provide support of general consumer protection enforcement efforts, including but not limited to, mortgage rescue fraud, and to educate homeowners about mortgage rescue fraud scams.
- Monitor compliance with the terms of the multi-state mortgage servicer settlement agreements, supervise and regulate the mortgage loan industry, and provide consumer education.

Source of Revenues:

- Part of a grant from the Dept. of the Attorney General awarded to the DCCA out of Hawaii's share of the National Mortgage Settlement.
- Funds paid by the five mortgage servicers as required by the terms of the multi-state mortgage servicer settlement agreements.

Current Program Activities/Allowable Expenses:

- The fund permits the Office of Consumer Protection to hire additional legal, investigative and support staff to support ongoing enforcement actions, investigations and outreach programs, as well as related operating expenses.
- Licenses, supervises and regulates mortgage loan originators, mortgage loan originator companies and mortgage servicers. Funds will be used to hire staff to conduct investigations, examine for compliance with applicable laws and rules, and to provide consumer education and outreach. Funds will also be used for related expenses including training of staff.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable.

Encumbrances:

Contract balance for advertising campaign.

Financial Data							
	FY 2011 (actual)	FY 2012 (actual)	FY 2013 (actual)	FY 2014 (estimated)	FY 2015 (estimated)	FY 2016 (estimated)	FY 2017 (estimated)
Appropriation Ceiling				0	0	0	0
Beginning Cash Balance	0	0	0	2,078,093	1,463,683	750,699	453,749
Revenues 1/			2,250,000	371,000	379,000	0	0
Expenditures			171,907	985,410	1,091,984	296,950	152,000
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0				
Ending Cash Balance	0	0	2,078,093	1,463,683	750,699	453,749	301,749
Encumbrances			95,145				
Unencumbered Cash Balance	0	0	1,982,948	1,463,683	750,699	453,749	301,749

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue projections based on Rev Est to B&F November 2013.

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-105
 Name of Fund: Compliance Resolution Fund - PVL
 Legal Authority: Section 26-9, HRS

Contact Name: Michael Wun
 Phone: 587-6754
 Fund type (MOF): Special Funds (B)
 Appropriation Acct. No. S-XX-305-R

Intended Purpose:

To fund the operations of the Professional and Vocational Licensing Division.

Source of Revenues:

Application, license, and renewal fees.

Current Program Activities/Allowable Expenses:

For 48 regulated areas - process applications for licensure, examine applicants, license, renew, update licensee files, provide information and guidance to the public, applicants and licensees regarding regulatory areas orally and in writing, assist with or adopt or amend rules, propose and react to legislative measures, liaison between 25 regulatory boards and DCCA, conduct public meetings, public hearings, produce minutes, facilitate decision-making by the boards in ordering disciplinary action against a licensee and granting, denying or otherwise conditioning license applications, network with outside entities for coordinated activities and keeping abreast of current trends, network with other State agencies for compliance (legislative auditor, ethics commission, procurement office).

Purpose of Proposed Ceiling Increase (if applicable):

Position conversion; no ceiling increase.

Encumbrances:

Include claims outstanding for Board travel-related expenses, utility charges, repairs/maintenance, IT equipment purchases and overtime related to the registration of guard employee licenses.

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	5,180,504	5,573,217	5,847,701	6,040,488	6,040,488	5,640,488	5,640,488
Beginning Cash Balance	5,617,423	5,085,645	5,569,732	4,892,873	3,268,617	1,600,380	263,543
Revenues 1/	4,426,157	5,680,330	5,252,095	5,590,190	5,461,600	5,393,000	4,720,000
Expenditures	4,167,480	4,325,831	4,856,729	6,110,149	6,040,488	5,640,488	5,640,488
Transfers							
List each by JV# and date							
JS0784 8/21/2012			(346,122)				
JS3287 11/20/2012			(242,034)				
JS4177 1/16/2013			(242,034)				
JS5488 4/5/2013			(242,035)				
Net Total Transfers	(790,455)	(870,412)	(1,072,225)	(1,104,297)	(1,089,349)	(1,089,349)	(1,089,349)
Ending Cash Balance	5,085,645	5,569,732	4,892,873	3,268,617	1,600,380	263,543	(1,746,294)
Encumbrances	107,535	322,336	69,661				
Unencumbered Cash Balance	4,978,110	5,247,396	4,823,212	3,268,617	1,600,380	263,543	(1,746,294)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue projections based on Rev Est to B&F November 2013.

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-105
 Name of Fund: Real Estate Recovery Fund
 Legal Authority: Sections 467-16-19, 21-24, HRS

Contact Name: Michael Wun
 Phone: 587-6754
 Fund type (MOF) Trust Funds (T)
 Appropriation Acct. No. T-XX-904-R

Intended Purpose:

As stated in §467-16(a), HRS, the Real Estate Recovery Trust Fund is intended to provide recovery to persons "aggrieved by an act, representation, transaction, or conduct of a duly licensed real estate broker, or real estate salesperson, upon the grounds of fraud, misrepresentation, or deceit". The statute establishes limits of \$25,000 per transaction and \$50,000 per licensee.

Source of Revenues:

Original real estate license fees.

Current Program Activities/Allowable Expenses:

See attached.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Encumbrances:

None.

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	502,310	502,310	500,773	502,310	502,310	502,310	502,310
Beginning Cash Balance	846,256	766,462	757,199	718,442	266,132	219,632	173,132
Revenues	52,005	51,650	57,570	50,000	50,000	50,000	50,000
Expenditures	131,799	60,913	96,327	502,310	96,500	96,500	96,500
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0				
Ending Cash Balance	766,462	757,199	718,442	266,132	219,632	173,132	126,632
Encumbrances	0	2,733	0	0	0	0	0
Unencumbered Cash Balance	766,462	754,466	718,442	266,132	219,632	173,132	126,632

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

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DCCA Non-General Fund Information - Real Estate Recovery Fund
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Current Program Activities/Allowable Expenses:

- Defend and administer claims through contracted attorneys who represent the Commission in actions for claims, including administering contracts and payment for services.
- Provide information, advice, and referral on all inquiries concerning the Real Estate Recovery Trust Fund and claims.
- Receive, process, and act on complaint notices, court filed motions, subpoenas, settlement claims, and court ordered claims including record keeping and monitoring the complaints through the courts.
- Arrange and assist in presentation of settlement claims, court ordered claims, and other issues to the Commission at its monthly scheduled meetings or emergency meetings.
- Administer budget, including development and approval of biennium budget, record keeping, any CPA audit, investment of funds, development of statistical and other periodic reports to licensees, Budget and Finance, Governor, and the Legislature.
- Study and develop case summaries for educational purposes.
- Initiate and develop collection program, continue to administer the State Tax Intercept Program with the Department of Taxation and DAGS.
- Research, develop, print, and distribute a simple plain language brochure for claimants.
- Provide funding for clerical position, who services the program.

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-105
 Name of Fund: Real Estate Education Fund
 Legal Authority: Sections 467-11-19, HRS

Contact Name: Michael Wun
 Phone: 587-6754
 Fund type (MOF) Trust Funds (T)
 Appropriation Acct. No. T-XX-905-R

Intended Purpose:

See attached.

Source of Revenues:

Original licensing and biennial renewals fees. Registration of schools, providers, courses and instructors. Interest due from the real estate recovery fund.

Current Program Activities/Allowable Expenses:

See Attached

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Encumbrances:

Include claims outstanding for travel-related expenses, printing services, and professional services (prelicense program and professional course development).

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	665,162	689,052	676,183	689,052	689,052	689,052	689,052
Beginning Cash Balance	1,259,598	1,003,366	668,918	902,791	335,739	419,889	76,039
Revenues	105,504	72,948	745,020	122,000	550,000	122,000	550,000
Expenditures	361,736	407,396	511,147	689,052	465,850	465,850	465,850
Transfers							
List each by JV# and date							
Net Total Transfers	0	0					
Ending Cash Balance	1,003,366	668,918	902,791	335,739	419,889	76,039	160,189
Encumbrances	14,012	34,358	113,261				
Unencumbered Cash Balance	989,354	634,560	789,530	335,739	419,889	76,039	160,189

Additional Information:

Amount Req. for Bond Conveyance							
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**Report on Non-General Fund Information
for Submittal to the 2014 Legislature**

Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

DCCA Non-General Fund Information - Real Estate Education Fund
Page 2

Intended Purpose:

As stated in §467-19(b), HRS, the Real Estate Education Trust Fund is intended to "promote the advancement of education and research in the field of real estate for the benefit of the public and those licensed under the provisions of this chapter and the improvement and more efficient administration of the real estate industry."

Current Program Activities/Allowable Expenses:

Funding of Exempt Positions

- Provide funding for Real Estate Specialists and clerical positions, who administer the programs below.

Information, Advice and Referral

- Respond to inquiries from the public, consumers, real estate licensees, government officials, attorneys, educators, and others via telephone, office walk-ins, written inquiries and applications, and website, providing information, advice and referral.
- Administer real estate website.
- Administer and provide information as required under the Uniform Information Practices Act.
- Administer and maintain a public information self-help area in the office for walk-in traffic, which includes public information documents, educational materials, and reference materials for public review.
- Arrange and conduct Real Estate Specialists of the Day at neighbor island sites.

Educational and Informational Materials

- Research, develop, publish and distribute the quarterly real estate bulletin to all real estate licensees, government officials, State Libraries, and others in related areas.
- Printing and distribution of Chapter 467, HRS, as amended to all principal brokers and brokers-in-charge.
- School Files, a quarterly bulletin to real estate prelicense schools, prelicense and continuing education instructors and continuing education providers which provides current information on instruction, education, curriculum, testing, application fees and procedures.
- Various publications and informational sheets for consumers and real estate licensees.
- Research, develop and submit articles for the media.
- Research and report on alternative delivery of educational materials.
- Develop and maintain website on the internet for dissemination of information, publications, forms, agendas, etc. to licensees and the general public.
- Distribute new salesperson and broker start-up kits, consisting of information and educational materials.

Administer Education Review Committee, Laws and Rules Review Committee and Real Estate Commission

- Hold monthly meetings for the two standing committees and the Commission with some meetings at neighbor island sites.
- Administration of the committee meetings, including agenda, distribution materials, room and equipment arrangements, oral testifiers, minutes, committee reports, post-meeting responsibilities, etc.
- Conduct and administer periodic symposiums.
- Administration of Education Evaluation Task Force Subcommittee.

Research, develop or update curriculum and instructional materials for courses and administer courses

- Continuing education core course.
- Prelicensing real estate broker course.
- Prelicensing real estate salesperson course.

Research, develop and conduct seminars, workshops and meetings

- Instructor development workshops, course briefings and other meetings for real estate educators.
- Real estate seminars for real estate licensees.

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-105
 Name of Fund: Condominium Education Trust Fund
 Legal Authority: Sections 514A-40(c), 131, 132, 133, HRS

Contact Name: Michael Wun
 Phone: 587-6754
 Fund type (MOF): Trust Funds (T)
 Appropriation Acct. No. T-XX-906-R

Intended Purpose:
See attached.

Source of Revenues:
Developers' final public report fees, association of unit owners registration and biennial re-registration fees.

Current Program Activities/Allowable Expenses:
See attached.

Purpose of Proposed Ceiling Increase (if applicable):
Not Applicable

Encumbrances:
Include claims outstanding for professional services (educational course development and professional mediation) and printing services.

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	640,981	640,981	627,542	640,981	640,981	640,981	640,981
Beginning Cash Balance	505,701	682,914	424,581	869,968	418,555	835,044	545,533
Revenues 1/	543,111	88,790	832,019	198,000	904,000	198,000	904,000
Expenditures	366,698	347,123	386,632	649,413	487,511	487,511	487,511
Transfers							
List each by JV# and date							
			0				
Net Total Transfers	800	0	0				
Ending Cash Balance	682,914	424,581	869,968	418,555	835,044	545,533	962,022
Encumbrances	19,906	26,898	8,432				
Unencumbered Cash Balance	663,008	397,683	861,536	418,555	835,044	545,533	962,022

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

* Act 129, SLH 2009 merges the condominium management education fund (CMEF) into the condominium education trust fund (CETF) by repealing the CMEF, transferring all unexpended & unencumbered balances remaining in the CMEF to the credit of the CETF. As such, please refer to the Report on Non-General Fund for CMEF (appropriation symbol T-918-R) for data on FY07 to FY09.

1/ Revenues for FY2011 include \$3,752 erroneously deposited to T-918-R. Revenue Projections based on Rev Est to B&F November 2013

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

DCCA Non-General Fund Information- Condominium Education Trust Fund
Page 2

Intended Purpose:

514B-71, HRS, the Condominium Education Trust Fund is intended to finance or promote: "(1) Education and research in the field of condominium management, condominium

Current Program Activities/Allowable Expenses:

Funding of Exempt Positions

- Provide funding for Condominium Specialists and clerical positions, who service the programs below.

Information, Advice and Referral

- Respond to inquiries from the public, consumers, condominium owners, board of directors, condominium managing agents, real estate licensees, attorneys,
- Administer condominium website.
- Administer condominium reference library program through State Libraries, other venues and in-house, providing publications and information to condominium owners and the public.
- Administer and provide information, as required under the Uniform Information Practices Act.
- Administer and maintain a public information self-help area in the office for walk-in traffic, which includes public information documents, educational materials and reference materials for public review.
- Arrange and conduct Condominium Specialists of the Day at various neighbor island sites.
- Study the feasibility of finding other means of providing access to condominium public information through computer discs or compact discs, State Libraries, webpage, etc.
- Coordinate educational sessions regarding §§514A and 514B and updates.

Educational Research and Informational Materials

- Research, develop, publish and distribute quarterly condominium bulletin to registered condominium associations and condominium managing agents, government officials, State Libraries and others in related areas.
- Research, develop, publish and distribute stand-alone chapters (brochures) for the Condominium Board of Directors Guide to registered condominium associations, condominium managing agents, government officials, State Libraries and others in related areas.
- Print and distribute information and educational materials including start-up kits to new condominium associations and new condominium managing agents.
- Research, develop and submit various articles for media publication.
- Develop and maintain website on the internet for dissemination of information, forms, publications, etc. for developers, associations, CMA's, condominium owners, and other interested parties.
- Print and distribute amended Chapters 514A and 514B to all registered condominium associations and condominium managing agents.
- Update, print and distribute updated Condominium Board of Directors series.
- Continue with the recodification of the condominium law educational efforts.

Rulemaking

- Research, develop and initiate Chapter 107, HAR rulemaking for Chapters 514A and 514B, HRS, including public workshops with those affected.
- Research, present, and receive recommendations for amendments to Chapter 107, HAR, and discuss and receive comments at the monthly Condominium Review Committee meetings with the condominium community.
- Research, study, and make recommendations concerning fees for Chapter 53, HAR, and assist the Professional and Vocational Licensing Division in the rulemaking process.

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

DCCA Non-General Fund Information- Condominium Education Trust Fund
Page 3

Mediation of Governance and Management Problems

- Administer contracts and provide funding for alternative dispute resolution through mediation, through the procured mediation providers for all islands.
- Secure mediation information and develop report to the Legislature and Governor.
- Continue to seek other mediation service vendors.
- Coordinate and administer joint complaint/mediation program with the Regulated Industries Complaints Office including funding of mediations.
- Research, development, publication, and distribution of brochure on dispute resolution alternatives.
- Where requested by the Office of Administrative Hearing, support the Condominium Dispute Resolution Program which is to be sunsetted June 30, 2009.

Arbitration of Condominium Problems

- Administer program through American Arbitration Association and other arbitration organizations.

Condominium Project Registration and Public Reports

- Administer registration of new condominium projects, review of documents, owner-occupants reservation procedures, and public reports, including records management.
- Administer consultant contracts and the review of project documents and public reports.
- Administer public review of condominium project documents and public reports, including requests for copies.
- Conduct periodic meetings and workshops with the condominium consultants, developers and attorneys.

Administer Condominium Review Committee

- Administer and conduct monthly meetings of the Condominium Review Committee, standing committee of the Commission, including meetings at various neighbor island sites.
- Administration includes agenda, distribution of materials, room and equipment arrangements, receipt of testimony, minutes, Committee Reports, post-meeting responsibilities.
- Conduct and administer periodic educational symposiums.

Administer Seminars, Workshops and Meetings

- Research, develop, procure, contract and conduct condominium seminars, workshops and special meetings with those in the condominium community.
- Administer seminar subsidy program, including contract administration.

Interactive Educational and Research Participation

- Provide Educational speakers to organizations, government entities and others.
- Participate, exchange and network with local, state, national and international organizations and other governmental entities.
- Provide briefings to Legislators, testify on legislative bills and participate in other legislative matters.
- Research and report to the Legislature annually on condominium programs, budget and mediation programs.
- Research, coordinate, and implement Recodification Plan for Chapter 514A, HRS.

Administration of Condominium Association, Condominium Managing Agent Registrations, and Condominium Hotel Operators

- Administer registration and biennial re-registration of condominium associations, condominium managing agents and condominium hotel operators, including applications, review/approval process and records management.
- Conduct periodic workshops and meetings with registrants.

Administration of Budget and Biennial Plans

- Development and approval of the Condominium Education Trust Fund budget.
- Administration of budget, investment of funds and any CPA audits.
- Research, development and submission of information and reports required by the Department, Budget and Finance, Governor and the Legislature.
- Research, development, approval and administration of the Biennial Education and Research Plan.

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-105
 Name of Fund: Contractors' Recovery Fund
 Legal Authority: Section 444-26, HRS

Contact Name: Michael Wun
 Phone: 587-6754
 Fund type (MOF): Trust Funds (T)
 Appropriation Acct. No. T-XX-908-R

Intended Purpose:

Provide fund from which a person injured by an act, representation, transaction, or conduct of a duly licensed contractor may recover by an order of the court, an amount of not more than \$12,500 per contract and \$25,000 per contractor.

Source of Revenues:

All new contracting entity licensees (firms/sole proprietors) shall pay \$150 into the fund upon initial licensure and \$10 upon each license renewal. At any time the fund balance falls below \$250,000 the Contractors License Board may assess every contractor a fee not to exceed \$500 annually for deposit into the fund.

Current Program Activities/Allowable Expenses:

Allowable expenses include payments to eligible consumers who have obtained an arbitrated award or court judgment against a contractor, and payment of attorney - consultant fees.

Purpose of Proposed Ceiling Increase (if applicable):

Not applicable.

Encumbrances:

None.

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	200,000	200,000	200,000	200,000	200,000	200,000	200,000
Beginning Cash Balance	802,800	845,777	753,774	771,673	671,673	671,673	571,673
Revenues	156,300	78,890	141,315	100,000	200,000	100,000	200,000
Expenditures	113,323	170,893	123,416	200,000	200,000	200,000	200,000
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0				
Ending Cash Balance	845,777	753,774	771,673	671,673	671,673	571,673	571,673
Encumbrances							
Unencumbered Cash Balance	845,777	753,774	771,673	671,673	671,673	571,673	571,673

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-105
 Name of Fund: Contractors' Education Fund
 Legal Authority: Section 444-29, HRS

Contact Name: Michael Wun
 Phone: 587-6754
 Fund type (MOF) Trust Funds (T)
 Appropriation Acct. No. T-XX-909-R

Intended Purpose:

To be used for consumers, licensees, Contractors License Board members and staff; to be used for publications, media exposure, participation in national association meetings, classes and other educational purpose the Board deems necessary.

Source of Revenues:

The interest from the investments for the contractors' recovery fund shall be deposited to the credit of the contractors' education fund. In addition, all new contracting entity licensees (firms/sole proprietors) shall pay \$10 into the fund upon initial licensure and \$5 upon each license renewal.

Current Program Activities/Allowable Expenses:

Funded activities include: National Association dues; attendance by Executive Officer and Board members at national association meetings; registration fees for attendance at national meetings, travel expense for neighbor island Board members to attend special Board meetings on Oahu; publication of educational materials; and overtime pay for clerical staff as needed.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Encumbrances:

None.

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	16,968	16,968	16,968	56,968	16,968	16,968	16,968
Beginning Cash Balance	374,307	425,263	431,700	463,855	456,887	519,919	552,951
Revenues 1/	58,024	12,190	39,649	50,000	80,000	50,000	80,000
Expenditures	7,068	5,753	7,494	56,968	16,968	16,968	16,968
Transfers							
List each by JV# and date							
Net Total Transfers	0	0					
Ending Cash Balance	425,263	431,700	463,855	456,887	519,919	552,951	615,983
Encumbrances	0	0					
Unencumbered Cash Balance	425,263	431,700	463,855	456,887	519,919	552,951	615,983

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue Projections based on Rev Est to B&F November 2013.

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-105
 Name of Fund: Travel Agency Recovery Fund
 Legal Authority: Chapter 468K, HRS; Act 285, SLH 1991, repealed Chap 468K (see below *)

Contact Name: Michael Wun
 Phone: 587-6754
 Fund type (MOF): Trust Funds (T)
 Appropriation Acct. No. T-XX-910-R

Intended Purpose:
See Attached

Source of Revenues:
When the travel agency recovery fund program was established, each licensee made a mandatory contribution which was non-refundable: \$50 for each travel agency licensee, and \$25 for each travel agency sales representative. If at any time the money deposited in the travel agency recovery fund was insufficient to satisfy any duly authorized claim or portion thereof, the licensees could be charged an additional fee to return the travel agency recovery fund to an appropriate amount needed to satisfy any and all claims.

Current Program Activities/Allowable Expenses:
Not Applicable

Purpose of Proposed Ceiling Increase (if applicable):
Not applicable.

Encumbrances:
Not applicable.

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	22	22	0	0	0	0	0
Revenues							
Expenditures				0	0	0	0
Transfers							
List each by JV# and date			0				
Net Total Transfers 1/	0	(22)	0	0	0	0	0
Ending Cash Balance	22	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	22	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

*Act 285, SLH 1991, repealed Chapter 468K; however, moneys remaining in the recovery fund were held for disbursement to claimants until the fund is exhausted.
 1/ Pursuant to Act 124, SLH 2011 funds were transferred to the General Fund.

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

DCCA Non-General Fund Information - Travel Agency Recovery Fund
Page 2

Intended Purpose:

§468K-3 Travel Agency Recovery Fund; use of funds; fees. There shall be established and maintained a Travel Agency Recovery Fund from which any person aggrieved by an act, omission of material fact, representation, transaction, or conduct of a licensee, that is in violation of this chapter or its adopted rules, or which constitutes an unfair or deceptive act or practice in violation of section 480-2, may recover, by order of a court of competent jurisdiction within the State, an amount of not more than \$8,000 for damages sustained as a result of the act, representation, transaction, or conduct of each licensee. Recovery from the fund shall be limited to the actual damages suffered by the claimant, including court costs and fees as set by law, and reasonable attorneys' fees as determined by the court.

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-105
 Name of Fund: Travel Agency Education Fund
 Legal Authority: Chapter 468K, HRS

Contact Name: Michael Wun
 Phone: 587-6754
 Fund type (MOF): Trust Funds (T)
 Appropriation Acct. No.: T-XX-911-R

Intended Purpose:

The department shall use the amounts available in the Education Fund to alert all current licensees under chapter 468K, Hawaii Revised Statutes, to the requirements of Act 396, SLH 1991 and to inform the public about the rights and remedies provided in Act 285, SLH 1991. The fund may also be used to pay for any claim or expense of the Recovery Fund in the event the Recovery Fund becomes exhausted. Any amount that remains after payment of educational expenses or for recovery fund claims and expenses shall become part of the Compliance Resolution Fund for use in travel agency related cases.

Source of Revenues:

The Travel Agency Education Fund will generate its funds from any funds remaining in the Travel Agency Recovery Fund after all claims have been settled.

Current Program Activities/Allowable Expenses:

Pursuant to Act 124, SLH 2011, Section # 2: (1) All moneys in the travel agency recovery fund and the travel agency education fund, as of June 30, 2011, shall be transferred to the general fund of the State of Hawaii; and (2) The travel agency recovery fund and the travel agency education fund shall cease to exist.

Purpose of Proposed Ceiling Increase (if applicable):

Not applicable.

Encumbrances:

Not applicable.

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	7,000	7,000	0	0	0	0	0
Beginning Cash Balance	21,449	21,449	0	0	0	0	0
Revenues							
Expenditures				0	0	0	0
Transfers							
List each by JV# and date							
Net Total Transfers 1/	0	(21,449)	0				
Ending Cash Balance	21,449	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	21,449	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Act 124, SLH 2011 specifies that all cash in the travel agency education fund as of 6/30/11 shall be transferred to the General Fund.

Report on Non-General Fund Information
for Submittal to the 2014
Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-105
 Name of Fund: Condominium Management Education Fund
 Legal Authority: Sections 514A-40(c), 131, 132, 133, HRS

Contact Name: Michael Wun
 Phone: 587-6754
 Fund type (MOF) Trust Funds (T)
 Appropriation Acct. No. T-XX-918-R

Intended Purpose:

As stated in §§514A-131 514B-71, HRS, the Condominium Management Education Trust Fund is intended to finance or promote: "(1) Education and research in the field of condominium management, condominium registration, and real estate for the benefit of the public and those required to be registered under this chapter; (2) The improvement and more efficient administration of condominium associations; and (3) Expeditious and inexpensive procedures for resolving condominium association disputes."

Source of Revenues:

Developers' final public report fees, association of apartment owners registration and biennial re-registration fees.

Current Program Activities/Allowable Expenses:

ACT 129 , SLH 2009 – Merges the condominium management education fund (CMEF) into the condominium education trust fund (CETF) by repealing the CMEF, transferring all unexpended & uncumbered balances remaining in the CMEF to the credit of the CETF.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Encumbrances:

Not Applicable

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	800	0	0	0	0	0	0
Revenues	0						
Expenditures				0	0	0	0
Transfers							
List each by JV# and date							
Net Total Transfers	(800)		0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0					
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-105
 Name of Fund: Real Estate Appraisers
 Legal Authority: Chapter 466K, HRS; Title 16, Chapter 114, HAR

Contact Name: Michael Wun
 Phone: 587-6754
 Fund type (MOF) Trust Funds (T)
 Appropriation Acct. No. T-XX-919-R

Intended Purpose:
See attached.

Source of Revenues:

The Federal Financial Institutions Examination Council ("FFIEC") mandates that each state collect a \$40 annual fee from each licensed and certified real estate appraiser to maintain the federal national registry. Appraisers must be on the national registry to perform appraisals in connection with federally related real estate transactions.

Current Program Activities/Allowable Expenses:
See attached.

Purpose of Proposed Ceiling Increase (if applicable):
Not Applicable

Encumbrances:
None.

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	5,000	30,000	5,000	55,000	55,000	55,000	55,000
Beginning Cash Balance	5,425	4,950	5,450	5,330	5,330	5,330	5,330
Revenues 1/	1,825	25,600	2,120	55,000	5,000	55,000	5,000
Expenditures	2,300	25,100	2,240	55,000	5,000	55,000	5,000
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0				
Ending Cash Balance	4,950	5,450	5,330	5,330	5,330	5,330	5,330
Encumbrances	0	0					
Unencumbered Cash Balance	4,950	5,450	5,330	5,330	5,330	5,330	5,330

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

1/ Revenue Projections based on Rev Est to B&F, November 2013.

DCCA Non-General Fund Information - Real Estate Appraisers Trust Fund
Page 2

Intended Purpose:

The Legislature finds that the regulation of real estate appraisers is reasonably necessary to protect consumers. The Legislature further finds that 12 United States Code §3301 et seq. requires that real estate appraisals utilized in connection with federally related transactions be performed by individuals who are licensed or certified appraisers. The purposes of this chapter (466K, HRS) are to implement the requirements of 12 United States Code Sec §3301 et seq. and to require that all real estate appraisals be performed by licensed or certified appraisers. The requirements of this chapter do not apply to any real estate appraiser employed by any county for purposes of valuing real property for ad valorem taxation.

Current Program Activities/Allowable Expenses:

In addition to any other powers and duties authorized by law, the director shall have the following powers and duties:

1. To grant permission to practice as a certified real estate appraiser in this State pursuant to this chapter and 12 United States Code §3301 et seq. and the rules and regulations adopted pursuant thereto;
2. To adopt, amend, or repeal rules as the director finds necessary to effectuate fully this chapter and 12 United States Code §3301 et seq.;
3. To enforce this chapter and 12 United States Code §3301 et seq. and rules and regulations adopted pursuant thereto;
4. To discipline a certified real estate appraiser for any cause prescribed by this chapter or 12 United States Code §3301 et seq. for any violation of the rules and regulations and refuse to grant a person permission to practice as a certified real estate appraiser for any cause that would be grounds for disciplining a certified real estate appraiser;
5. To act as the designated representative of this State to implement 12 United States Code §3301 et seq.; and
6. To appoint an advisory committee to assist with the implementation of this chapter and 12 United States Code §3301 et seq. and the rules and regulations adopted pursuant thereto.

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-106
 Name of Fund: Grants to States for Health Insurance Premium Review-Cycle I
 Legal Authority: Non-appropriated; CFDA: 93.511; Section 1003 of the Affordable Care Act

Contact Name: Jerry Bump
 Phone: 586-0985
 Fund type (MOF) Federal Funds (N)
 Appropriation Acct. No. S-XX-203-R

Intended Purpose:

To enhance the current capacity to review and, to extent permitted by state law, approve or deny rate increases in the individual and group markets.

Source of Revenues:

\$1.0 million Federal Grant - Department of Health & Human Services; Grant period 8/9/10 to 9/30/12.

Current Program Activities/Allowable Expenses:

Grant has expired. A level II grant is being utilized for a similar purpose.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Encumbrances:

None

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	0	0	2	2	0	0	0
Revenues	0	20,734		0	0		
Expenditures 1/	0	20,732		2	0	0	0
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	2	2	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	2	2	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Unencumbered cash balances expended in FY 14. Balance of account is 0.

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-106
 Name of Fund: State Planning & Establishment Grants for the Affordable Care Act's Exchanges
 Legal Authority: Non-appropriated; CFDA: 93.525; Section 1311 of the Affordable Care Act

Contact Name: Jerry Bump
 Phone: 586-0985
 Fund type (MOF) Federal Funds (N)
 Appropriation Acct. No. S-XX-204-R

Intended Purpose:

To research whether an exchange is needed and whether an exchange is feasible given the State's information technology structure.

Source of Revenues:

\$1.0 million Federal Grant - Department of Health & Human Services; Grant period 9/30/10 to 9/29/13

Current Program Activities/Allowable Expenses:

Research being conducted regarding the implementation of the Patient Protection and Affordable Care Act.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Encumbrances:

None

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	0	0	6,224	671	(0)	(0)	(0)
Revenues	0	256,731	536,388			0	0
Expenditures	0	250,507	541,941	671		0	0
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	6,224	671	(0)	(0)	(0)	(0)
Encumbrances		10					
Unencumbered Cash Balance	0	6,214	671	(0)	(0)	(0)	(0)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-106
 Name of Fund: Grants to States for Health Insurance Premium Review Cycle II
 Legal Authority: CFDA: 93.511; Section 1003 of the Affordable Care Act

Contact Name: Jerry Bump
 Phone: 586-0985
 Fund type (MOF) Federal Funds (P)
 Appropriation Acct. No. S-XX-205-R

Intended Purpose:

To enhance the current capacity to review and, to extent permitted by state law, approve or deny rate increases in the individual and group markets.

Source of Revenues:

\$3.0 million Federal Grant - Department of Health & Human Services; Grant period 10/1/11 to 9/30/14.

Current Program Activities/Allowable Expenses:

Modification to electronic rate filing system. Hiring of additional rate analysts.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Encumbrances:

Remaining balance from contractual work with NAIC.

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	1,000,000	250,000	0	0
Beginning Cash Balance	0	0	24,875	65,254	0	0	0
Revenues	0	38,291	177,453	1,000,000	250,000		
Expenditures	0	13,416	137,074	1,065,254	250,000		
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	24,875	65,254	0	0	0	0
Encumbrances		2,292	64,062				
Unencumbered Cash Balance	0	22,583	1,192	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-106
 Name of Fund: Cooperative Agreements to Support Establishment of State-Operated Health Insurance Exchanges
 Legal Authority: Non-appropriated; CFDA: 93.525; Section 1311 of the Affordable Care Act

Contact Name: Jerry Bump
 Phone: 586-0985
 Fund type (MOF) Federal Funds (N)
 Appropriation Acct. No. S-XX-206-R

Intended Purpose:

Establishment of the Hawaii Health Connector under Act 205 (SLH 2011).

Source of Revenues:

\$14.4 million Federal Grant - Department of Health & Human Services; Grant period 11/29/2011 to 11/27/2013. Grant closed on 12/21/12 and remaining grant funds transferred to Hawaii Health Connector.

Current Program Activities/Allowable Expenses:

Working to establish a centralized website to allow individuals and small businesses to shop for health insurance.

Purpose of Proposed Ceiling Increase (if applicable):

Not applicable

Encumbrances:

None

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			0	0	0	0	0
Beginning Cash Balance	-	0	223,949	1,045	0	0	0
Revenues		834,047	3,409,492	0	0	0	0
Expenditures		610,098	3,632,396	1,045	0	0	0
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	223,949	1,045	0	0	0	0
Encumbrances		41,297					
Unencumbered Cash Balance	0	182,652	1,045	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information
for Submittal to the 2014 Legislature**

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-106
 Name of Fund: Cooperative Agreements to Support Establishment of State-Operated Health Insurance Exchanges
 Legal Authority: Non-appropriated; CFDA: 93.525; Section 1311 of the Affordable Care Act

Contact Name: Jerry Bump
 Phone: 586-0985
 Fund type (MOF) Federal Funds (N)
 Appropriation Acct. No. S-XX-207-R

Intended Purpose:
 Establishment of the Hawaii Health Connector under Act 205 (SLH 2011).

Source of Revenues:
 Sub-awardee from Hawaii Health Connector Federal Grant.

Current Program Activities/Allowable Expenses:
 Working to establish a centralized website to allow individuals and small businesses to shop for health insurance.

Purpose of Proposed Ceiling Increase (if applicable):
 Not applicable

Encumbrances:
 Outstanding purchase order for computer equipment.

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			0	0	0	0	0
Beginning Cash Balance	-	0	0	0	0	0	0
Revenues			50,548	585,000		0	0
Expenditures			50,548	585,000		0	0
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances			3,722				
Unencumbered Cash Balance	0	0	(3,722)	0	0	0	0

Additional Information:							
Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-106
 Name of Fund: Driver Education Fund
 Legal Authority: Section 431:10C-115, HRS; Section 431:10G-107, HRS

Contact Name: Michael Wun
 Phone: 587-6754
 Fund type (MOF) Special Funds (B)
 Appropriation Acct. No. S-XX-309-R

Intended Purpose:

Provide drivers training and education.

Source of Revenues:

An annual fee paid by insurers and self-insurers for each vehicle, motorcycle and motor scooter insured.

Current Program Activities/Allowable Expenses:

All collected revenues disbursed to the Judiciary, Department of Education (DOE), and Department of Transportation (DOT). Judiciary's funds are expended for the operation of the drivers' education program. DOE's funds support drivers' education for high school students. The DOT uses its funds for the operation of a drivers' education program for operators of motorcycles, motor scooters or similar vehicles.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Encumbrances:

None.

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,560,000	2,900,000	2,900,000	2,900,000	2,900,000	2,900,000	2,900,000
Beginning Cash Balance	1,137,974	59,820	92,343	150,147	252,147	354,147	456,147
Revenues	2,881,846	2,932,523	2,957,804	3,002,000	3,002,000	3,002,000	3,102,000
Expenditures	0	0	0	0	0	0	0
Transfers							
List each by JV# and date							
JS6048 5/8/2013			(1,005,945)				
JS6266 5/21/2013			(1,894,055)				
Net Total Transfers	(3,960,000)	(2,900,000)	(2,900,000)	(2,900,000)	(2,900,000)	(2,900,000)	(2,900,000)
Ending Cash Balance	59,820	92,343	150,147	252,147	354,147	456,147	658,147
Encumbrances							
Unencumbered Cash Balance	59,820	92,343	150,147	252,147	354,147	456,147	658,147

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-106
 Name of Fund: Compliance Resolution Fund - Insurance Regulation Fund
 Legal Authority: Sections 431:2-215 & 431:2-216, HRS; Act 1, 2005 Special Session

Contact Name: Michael Wun
 Phone: 587-6754
 Fund type (MOF): Special Funds (B)
 Appropriation Acct. No.: S-XX-313-R

Intended Purpose:

The fund permits the Insurance Division to become self-sufficient and eliminates reliance on general funds and revolving funds administered by the Division.

Source of Revenues:

Fees, fines, penalties, assessments, and reimbursements collected under Title 24, Hawaii Revised Statutes.

Current Program Activities/Allowable Expenses:

Used to defray any administrative cost, including personnel cost, associated with all of the programs of the Insurance Division, and incurred by supporting offices and divisions.

Purpose of Proposed Ceiling Increase (if applicable):

Convert filled positions and funds to support the Hawaii Health Connector System for the Insurance Regulatory Division (IRD).

Encumbrances:

Balance consists primarily of ongoing contracts for examination and actuarial services. Also includes payroll expenses at FY end.

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	8,882,578	8,947,275	8,739,474	9,015,536	9,496,660	9,495,536	9,495,536
Beginning Cash Balance	5,635,061	3,734,812	4,179,556	4,122,154	266,125	(848,827)	(3,162,805)
Revenues 1/	5,938,937	8,024,136	8,055,527	7,392,050	10,096,150	8,896,000	9,421,000
Expenditures	6,546,112	6,176,441	6,514,143	9,612,164	9,496,660	9,495,536	9,495,536
Transfers							
List each by JV# and date							
JS0784 8/21/2012			(393,067)				
JS3287 11/20/2012			(401,906)				
JS4177 1/16/2013			(401,906)				
JS5488 4/5/2013			(401,907)				
Net Total Transfers	(1,293,074)	(1,402,951)	(1,598,786)	(1,635,915)	(1,714,442)	(1,714,442)	(1,714,442)
Ending Cash Balance	3,734,812	4,179,556	4,122,154	266,125	(848,827)	(3,162,805)	(4,951,783)
Encumbrances	803,106	992,482	596,628				
Unencumbered Cash Balance	2,931,706	3,187,074	3,525,526	266,125	(848,827)	(3,162,805)	(4,951,783)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue projections based on Revenue Estimates to B&F November 2013.

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-106
 Name of Fund: Loss Mitigation Grant Fund
 Legal Authority: Section 431:22-102, HRS

Contact Name: Michael Wun
 Phone: 587-6754
 Fund type (MOF) Special Funds (B)
 Appropriation Acct. No. S-XX-314-R

Intended Purpose:

A grant program to assist residents with installing wind resistive devices to protect their property against wind damage. Also provides grants for safe rooms for residents who may not be able to afford reinforcement of their entire home; protection against natural disasters.

Source of Revenues:

Appropriations out of the Hawaii Hurricane Relief Fund.

Current Program Activities/Allowable Expenses:

Pursuant to Act 124, SLH 2011 the loss Mitigation Grant Fund was terminated, the account closed and funds transferred to the General Fund.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Encumbrances:

Not Applicable

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	3,400,785	259,962	0	0	0	0	0
Revenues	59,177						
Expenditures 2/	3,200,000			0	0	0	0
Transfers							
List each by JV# and date							
Net Total Transfers	0	(259,962)	0				
Ending Cash Balance 1/	259,962	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	259,962	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Pursuant to Act 124, SLH 2011 the loss Mitigation Grant Fund was terminated and the account closed, with remaining funds transferred to the General Fund.

2/ Expenditures for FY2011 include \$3,200,000 to the general fund pursuant to Act 192, SLH 2010.

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-106
 Name of Fund: Captive Insurance Administrative Fund
 Legal Authority: Section 431:19-101.8, HRS, Act 261, 1997 SLH; Act 131, SLH 1999; Act 1, 2005 Special Session; Act 213, SLH 2007; Act 158 SLH 2008

Contact Name: Michael Wun
 Phone: 587-6754
 Fund type (MOF) Special Funds (B)
 Appropriation Acct. No. S-XX-317-R

Intended Purpose:

The fund shall be expended by the Insurance Commissioner to carry out his/her duties and obligations under article 19 of chapter 431, HRS.

Source of Revenues:

All moneys collected from captive licensees pursuant to article 19, chapter 431, including premium taxes, application and annual licensing fees, examination fees, and other reimbursements.

Current Program Activities/Allowable Expenses:

Licensing, monitoring, regulation, development of captive insurance companies, and promotion of Hawaii as a captive insurance domicile pursuant to article 19, chapter 431, including defrayal of any administrative costs, employment or retention of hearings officers, attorneys, investigators, accountants, examiners, and other necessary professional, technical, and support personnel.

Purpose of Proposed Ceiling Increase (if applicable):

No ceiling increase. Add position count and trade off/transfer funds for the IRD Captive Insurance Branch.

Encumbrances:

Balance is mostly related to examination contracts and travel expense reimbursement.

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,434,480	2,434,480	2,400,652	2,434,480	2,434,480	2,434,480	2,434,480
Beginning Cash Balance	5,151,680	3,139,530	3,811,602	4,117,661	4,019,151	3,929,671	3,840,191
Revenues ^{1/}	1,786,527	2,143,326	1,889,230	2,345,000	2,345,000	2,345,000	2,345,000
Expenditures	3,798,677	1,471,254	1,583,171	2,443,510	2,434,480	2,434,480	2,434,480
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	3,139,530	3,811,602	4,117,661	4,019,151	3,929,671	3,840,191	3,750,711
Encumbrances	42,419	52,064	9,030				
Unencumbered Cash Balance	3,097,111	3,759,538	4,108,631	4,019,151	3,929,671	3,840,191	3,750,711

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

^{1/} Revenue projections based on Rev Est to B&F November 2013.

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-106
 Name of Fund: Patient's Compensation Fund
 Legal Authority: Act 232, SLH 1984; Act 219, SLH 1976

Contact Name: Michael Wun
 Phone: 587-6754
 Fund type (MOF) Trust Funds (T)
 Appropriation Acct. No. T-XX-912-R

Intended Purpose:

In 1976 this fund was established to provide doctors medical malpractice insurance for coverage in excess of their underlying policy from a commercial insurer.

Source of Revenues:

None. However, there are some paid claims with settlement agreements which required the claimant to return any unused cash after all of the payments required by the settlement agreement are satisfied.

Current Program Activities/Allowable Expenses:

The claims against the Fund exceed the available fund assets and as a result, the authority for this Fund, section 671, was repealed by Act 232, SLH 1984. The Act required the unpaid claims to be carried forward from year to year for possible payment as the Fund acquires additional assets for prorated distribution. Prorated final distribution have begun and hope to be completed by the end of FY14.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	4,324,804	172,765	178,243	178,618	0	0	0
Revenues	76,140	795,780	375	0	0	0	0
Expenditures	4,228,179	790,302	0	178,618	0	0	0
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0				
Ending Cash Balance	172,765	178,243	178,618	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	172,765	178,243	178,618	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-106
 Name of Fund: Premium Taxes Paid Pending Appeal
 Legal Authority: Sections 431:7-202, 431:7-209, HRS

Contact Name: Michael Wun
 Phone: 587-6754
 Fund type (MOF): Trust Funds (T)
 Appropriation Acct. No. T-XX-916-R

Intended Purpose:

Premium taxes paid in protest due to denial of high tech tax credits.

Source of Revenues:

Premium taxes.

Current Program Activities/Allowable Expenses:

Pending result of appeal.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Encumbrances:

None.

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	1,400,122	156,493	156,493	1,314,201	1,314,201	1,314,201	1,314,201
Revenues	156,493	0	1,157,708	0	0	0	0
Expenditures	1,400,122	0	0	0	0	0	0
Transfers							
List each by JV# and date							
Net Total Transfers			0				
Ending Cash Balance	156,493	156,493	1,314,201	1,314,201	1,314,201	1,314,201	1,314,201
Encumbrances							
Unencumbered Cash Balance	156,493	156,493	1,314,201	1,314,201	1,314,201	1,314,201	1,314,201

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-106
 Name of Fund: Commissioner's Education and Training Fund
 Legal Authority: Section 431:2-214, HRS; Act 348, SLH 1987

Contact Name: Michael Wun
 Phone: 587-6754
 Fund type (MOF) Trust Funds (T)
 Appropriation Acct. No. T-XX-917-R

Intended Purpose:

This fund is used for the education and training of Insurance Division staff and personnel, and to pay for the cost of consumer education and information.

Source of Revenues:

Fees for rate and form filings.

Current Program Activities/Allowable Expenses:

Reimburse staff and personnel for various education and training expenses, including insurance and computer courses, conferences, and seminars. Publish annually, the Report of the Insurance Commissioner for consumer information. Presentations of insurance information to Hawaii consumers. Purchase of books and periodicals for staff education and training.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Encumbrances:

Pending travel reimbursements.

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	200,000	200,000	200,000	200,000	200,000	200,000	200,000
Beginning Cash Balance	667,224	778,367	899,428	982,960	934,721	889,721	844,721
Revenues	159,663	189,846	141,752	155,000	155,000	155,000	155,000
Expenditures	48,520	68,785	58,220	203,239	200,000	200,000	2,000
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0				
Ending Cash Balance	778,367	899,428	982,960	934,721	889,721	844,721	997,721
Encumbrances	7,792	1,636	3,239				
Unencumbered Cash Balance	770,575	897,792	979,721	934,721	889,721	844,721	997,721

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-106
 Name of Fund: Service Contract Provider's Fin Sec Dep
 Legal Authority: Section 481X-4, HRS

Contact Name: Michael Wun
 Phone: 587-6754
 Fund type (MOF): Trust Funds (T)
 Appropriation Acct. No. T-XX-927-R

Intended Purpose:

The fund will hold financial security deposits placed in trust with the Commissioner by service contract providers for all service contracts issued and in force in this State.

Source of Revenues:

Financial security deposits from service contract providers placed in trust with the Insurance Commissioner.

Current Program Activities/Allowable Expenses:

Current activities include the issuance and renewal of service contract providers registration.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Encumbrances:

None.

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	25,000	41,560	41,560	41,560	41,560	41,560	41,560
Revenues	16,560	0	0	0	0	0	0
Expenditures				0	0	0	0
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	41,560	41,560	41,560	41,560	41,560	41,560	41,560
Encumbrances							
Unencumbered Cash Balance	41,560	41,560	41,560	41,560	41,560	41,560	41,560

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-106
 Name of Fund: Captive Insurance Companies LOC Escrow
 Legal Authority: Section 431:19-104(b), HRS

Contact Name: Michael Wun
 Phone: 587-6754
 Fund type (MOF): Trust Funds (T)
 Appropriation Acct. No.: T-XX-931-R

Intended Purpose:

The fund shall be used to maintain cash drawn from Letters of Credit (LOC) issued on behalf of captive insurance licensees and in favor of the Insurance Commissioner.

Source of Revenues:

Cash draw from Letter of Credits (LOC) issued on behalf of captive licensees.

Current Program Activities/Allowable Expenses:

The Commissioner may draw upon the LOC when the captive licensee is not in compliance with the provisions of article 19 of chapter 431, including but not limited to its minimum capital and surplus requirements, applicable investment provisions, or otherwise in adverse financial condition, or operating in a manner that is detrimental or its condition unsound with respect to the public or to its policyholders. The cash drawn from the LOC is used to cover liabilities and other obligations of the captive licensee, including policy holder claims, operating expenses, taxes, fees, fines, and other expenses.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Encumbrances:

None

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2015	FY 2016
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	500	509	512	513	513	513	513
Revenues	9	3	1	0	0	0	0
Expenditures				0	0	0	0
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0				
Ending Cash Balance	509	512	513	513	513	513	513
Encumbrances							
Unencumbered Cash Balance	509	512	513	513	513	513	513

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Department: DCCA-Insurance Division
 Prog ID(s): CCA-106
 Name of Fund: Hawaii Hurricane Relief Fund
 Legal Authority: Chapter 431P, HRS

Contact Name: Jerry Bump
 Phone: 808-586-0985
 Fund type (MOF): Trust Fund (T)
 Appropriation Acct. No. T-XX-999-R

Intended Purpose: Provide hurricane insurance in the event that such coverage is unavailable in the private insurance market.

Source of Revenues: Investment income.

Current Program Activities/Allowable Expenses:

Program activities - investments. Allowable expenses - financial services and management expenses.

Purpose of Proposed Ceiling Increase (if applicable):

Not applicable.

Encumbrances:

Not applicable.

Financial Data							
A/	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Fund Balance	188,190,997	21,144,717	21,078,646	20,794,227	124,654,227	178,014,227	175,874,227
Revenues	2,763,450	374,840	83,873	400,000	400,000	400,000	400,000
Expenditures	179,189	41,158	49,644	40,000	40,000	40,000	40,000
Transfers to State General Fund	(178,000,000)		0				
Transfers from State General Fund	12,373,013.00		0	105,500,000	55,500,000		
Accrd Interest paid	(4,003,554)	(399,753)	(318,648)	(2,000,000)	(2,500,000)	(2,500,000)	(2,500,000)
Net Total Transfers	(169,630,541)	(399,753)	(318,648)	103,500,000	53,000,000	(2,500,000)	(2,500,000)
Ending Fund Balance	21,144,717	21,078,646	20,794,227	124,654,227	178,014,227	175,874,227	173,734,227
Encumbrances							
Unencumbered Cash Balance	21,144,717	21,078,646	20,794,227	124,654,227	178,014,227	175,874,227	173,734,227

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

A/ = Prepared on the accrual basis of accounting as required by the Governmental Accounting Standards Board for Enterprise Funds.

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-110
 Name of Fund: CRF-Office Of Consumer Protection
 Legal Authority Section 487-2, HRS

Contact Name: Michael Wun
 Phone: 587-6754
 Fund type (MOF) Special Funds (B)
 Appropriation Acct. No. S-XX-323-R

Intended Purpose:

To protect Hawaii consumers utilizing investigative resources, civil enforcement actions and consumer education.

Source of Revenues:

Penalties or fines assessed as a result of actions brought by OCP, and penalties, fines, or reimbursement of costs or attorneys' fees assessed as a result of actions brought for violations of chapters 480 and 487 HRS.

Current Program Activities/Allowable Expenses:

OCP receives and investigates consumer complaints, provides consumer education to the public through oral presentations and the dissemination of consumer literature, and enforces consumer protection laws through legal process coordinated by its attorneys.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Encumbrances:

Include claims outstanding for IT/equipment purchase; litigation expense; telephone charges; mileage and parking reimbursement for staff; repairs/maintenance; equipment/furniture purchase; repairs/maintenance for equipment; payroll; and rental of office space (neighbor island).

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,461,378	1,573,840	1,524,748	1,781,593	1,784,652	1,784,652	1,784,652
Beginning Cash Balance	685,325	529,945	1,776,108	5,586,878	4,405,434	3,233,531	2,061,128
Revenues ^{1/}	1,649,073	1,878,132	5,278,539	161,500	161,500	161,000	161,000
Expenditures	2,450,283	1,359,546	1,719,569	1,793,428	1,784,652	1,784,652	1,784,652
Transfers							
List each by JV# and date							
JS0784 8/21/2012			96,510				
JS3287 11/20/2012			51,763				
JS4177 1/16/2013			51,763				
JS5488 4/5/2013			51,764				
Net Total Transfers	645,830	727,577	251,800	450,484	451,249	451,249	451,249
Ending Cash Balance	529,945	1,776,108	5,586,878	4,405,434	3,233,531	2,061,128	888,724
Encumbrances	40,783	90,955	11,835				
Unencumbered Cash Balance	489,162	1,685,153	5,575,043	4,405,434	3,233,531	2,061,128	888,724

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

^{1/} Revenue projections based on Rev Est to B&F November 2013.

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-110
 Name of Fund: OCP Restitution Fund
 Legal Authority: Section 487:14(c), HRS

Contact Name: Michael Wun
 Phone: 587-6754
 Fund type (MOF) Trust Funds (T)
 Appropriation Acct. No. T-XX-920-R

Intended Purpose:

To hold and disburse moneys received or recovered by the Office of Consumer Protection which are due to consumers as restitution.

Source of Revenues:

Consumer restitution paid by respondents and disbursed by OCP pursuant to settlement or court order.

Current Program Activities/Allowable Expenses:

We are continuing to collect and distribute restitution payments from this account pursuant to appropriate court orders and judgments.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Encumbrances:

None.

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	50,681	100,681	100,681	100,681	100,681	100,681	100,681
Beginning Cash Balance	141,779	140,169	143,419	147,521	107,521	107,521	107,521
Revenues 1/	15,743	5,950	4,102	0	0	0	0
Expenditures	17,353	2,700	0	40,000	0	0	0
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0				
Ending Cash Balance	140,169	143,419	147,521	107,521	107,521	107,521	107,521
Encumbrances							
Unencumbered Cash Balance	140,169	143,419	147,521	107,521	107,521	107,521	107,521

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue Projections based on B&F Rev Est November 2013.

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-111
 Name of Fund: Compliance Resolution Fund - Business Registration
 Legal Authority: Section 26-9, HRS

Contact Name: Michael Wun
 Phone: 587-6754
 Fund type (MOF): Special Funds (B)
 Appropriation Acct. No.: S-XX-306-R

Intended Purpose:

To fund the operations of the Business Registration Division.

Source of Revenues:

Chapters 414, 414D, 415A, 417E, 419, 420D, 421, 421C, 421H, 421I, 421J, 423, 424, 425, 425E, 428, 482, and 519 HRS. - Business registration fees: Corporation, partnership, limited liability company and cooperative registration fees and trademark, trade name, service mark, publicity right filing fees.

Chapter 482E - Franchise filing fees.

Chapter 485A - Securities fees and penalties: Securities, broker-dealer, agents, investment adviser and investment adviser representative filing fees and penalty fees for securities law violations.

Current Program Activities/Allowable Expenses:

Register and maintain the registry for public access for corporations, partnerships, limited liability companies, cooperatives, trademarks, trade names, service marks and publicity rights. Help the public in their business registration filings through business centers that offer assistance in facilitating, coordinating and expediting filings. Review and approve filing applications and investigate, prosecute and enforce substantive regulatory compliance pursuant to State securities and franchise filing laws.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Encumbrances:

The balance predominantly reflects the encumbrance for on-going lease agreements. The remaining encumbrances are for equipment, travel costs, supplies, and pre-encumbrances for payroll.

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	6,295,310	6,649,240	6,472,012	6,649,240	6,649,240	6,649,240	6,649,240
Beginning Cash Balance	9,311,491	6,209,520	9,032,676	11,200,800	10,000,635	9,714,773	9,418,911
Revenues 1/	5,980,132	8,793,157	8,598,228	6,805,000	7,565,000	7,555,000	7,555,000
Expenditures	8,119,830	4,931,644	5,241,954	6,787,055	6,649,240	6,649,240	6,649,240
Transfers							
List each by JV# and date							
JS0784 8/21/2012			(285,724)				
JS3287 11/20/2012			(300,809)				
JS4177 1/16/2013			(300,809)				
JS5488 4/5/2013			(300,808)				
Net Total Transfers	(962,273)	(1,038,357)	(1,188,150)	(1,218,110)	(1,201,622)	(1,201,622)	(1,201,622)
Ending Cash Balance 2/	6,209,520	9,032,676	11,200,800	10,000,635	9,714,773	9,418,911	9,123,049
Encumbrances	49,124	278,738	137,815				
Unencumbered Cash Balance	6,160,396	8,753,938	11,062,985	10,000,635	9,714,773	9,418,911	9,123,049

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue projections based on Rev Est to B&F November 2013.

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-112
 Name of Fund: Compliance Resolution Fund - RICO
 Legal Authority: Section 26-9(o), HRS

Contact Name: Michael Wun
 Phone: 587-6754
 Fund type (MOF): Special Funds (B)
 Appropriation Acct. No.: S-XX-312-R

Intended Purpose:

Complaints receipt, mediation, arbitration, investigation, and prosecution of matters in areas for which a license, registration or certificate is required from the Department or its boards, commissions or regulatory programs.

Source of Revenues:

Compliance Resolution Fund fee; penalties and fines collected; interest earned on fund balances.

Current Program Activities/Allowable Expenses:

Complaints receipt, mediation, investigation, complaint resolution and prosecution related to licenses, registrations or certificates required from the Department or its boards, commissions or programs.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Encumbrances

Includes claims for outstanding travel-related expenses, telephone charges, rent, utilities, and janitorial services for neighbor island offices, and IT expenses.

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	5,231,770	5,579,836	5,405,578	5,631,030	5,631,030	5,631,030	5,631,030
Beginning Cash Balance	6,208,806	6,323,075	5,928,338	5,850,462	4,213,194	2,969,520	902,592
Revenues 1/	5,666,784	4,940,521	5,763,254	4,640,000	5,463,254	4,640,000	5,463,254
Expenditures	4,752,810	4,467,951	4,844,071	5,247,161	5,690,763	5,690,763	5,690,763
Transfers							
List each by JV# and date							
JS0826 08/24/2010			(239,771)				
JS2884 12/30/2010			(252,429)				
JS3159 01/19/2011			(252,429)				
JS4909 05/04/2011			(252,430)				
Net Total Transfers	(799,705)	(867,307)	(997,059)	(1,030,107)	(1,016,165)	(1,016,165)	(1,016,165)
Ending Cash Balance	6,323,075	5,928,338	5,850,462	4,213,194	2,969,520	902,592	(341,082)
Encumbrances	47,814	285,370	34,963	0	0	0	0
Unencumbered Cash Balance	6,275,261	5,642,968	5,815,499	4,213,194	2,969,520	902,592	(341,082)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue projections based on Rev Est to B&F November 2013.

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-112
 Name of Fund: State Certified Motor Vehicle Arbitration Fund
 Legal Authority: Chapter 481I, HRS

Contact Name: Michael Wun
 Phone: 587-6754
 Fund type (MOF): Trust Funds (T)
 Appropriation Acct. No.: T-XX-915-R

Intended Purpose:

Administration of the State Certified Motor Vehicle Arbitration Program (Lemon Law) created pursuant to Chapter 481I, HRS.

Source of Revenues:

Filing fees paid by vehicle manufacturers and consumers.

Current Program Activities/Allowable Expenses:

Implementation and administration of the State Certified Arbitration Program (Lemon Law) created pursuant to Chapter 481I, HRS.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Encumbrances:

Out-of-state, transportation.

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	140,311	135,951	131,250	129,591	126,091	124,091	121,091
Revenues 1/	1,550	1,100	2,300	1,500	2,000	2,000	2,000
Expenditures	5,910	5,801	3,959	5,000	4,000	5,000	4,000
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0				
Ending Cash Balance	135,951	131,250	129,591	126,091	124,091	121,091	119,091
Encumbrances		925	812	0	0	0	0
Unencumbered Cash Balance	135,951	130,325	128,779	126,091	124,091	121,091	119,091

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue projections based on Rev Est to B&F November 2013.

Report on Non-General Fund Information
for Submittal to the 2011 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-191
 Name of Fund: Electric Vehicle Rebate Program
 Legal Authority: Non-appropriated: (ARRA, Transportation Energy Diversification Project, State Energy Program-DBEDT).

Contact Name: Michael Wun
 Phone: 587-6754
 Fund type (MOF) Federal Stimulus Funds (V)
 Appropriation Acct. No. S-XX-202-R

Intended Purpose:

American Recovery and Reinvestment Act of 2009 (ARRA) funds awarded to the Department of Business, Economic Development, and Tourism (DBEDT) for the purpose of carrying out the Transportation Energy Diversification Project (Project) under the State Energy Program (SEP). The Electric Vehicle Rebate Program is to help accelerate the adoption of electric vehicles (EV) in Hawaii. The Department of Commerce and Consumer Affairs (DCCA) to administer the EV Rebate Program for DBEDT through December, 31, 2012 or until project funds have been exhausted, whichever comes first.

Source of Revenues:

Federal grant through the U.S. Department of Energy (USDOE).

Current Program Activities/Allowable Expenses:

Project funds were budgeted for a rebate program for electric vehicles and charging equipment. Program ended in FY13.

Purpose of Proposed Ceiling Increase (if applicable):

Not applicable

Encumbrances:

Not applicable

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	0	116,918	32,701	0	0	0	0
Revenues	293,012	1,877,754		0	0	0	0
Expenditures	176,095	1,961,971	32,701	0	0	0	0
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	116,918	32,701	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	116,918	32,701	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-191
 Name of Fund: Compliance Resolution Fund
 Legal Authority: Section 26-9(o), HRS; Act 180, SLH 2013.

Contact Name: Michael Wun
 Phone: 587-6754
 Fund type (MOF) Special Funds (B)
 Appropriation Acct. No. S-XX-310-R,S-XX-398-R,
S-14-360-R

Intended Purpose:

To enhance program effectiveness and efficiency by providing direction and general support services.

Source of Revenues:

Administrative fee from MCCP claim fees pursuant to HRS §671-11(d), publication/copy fees.

Current Program Activities/Allowable Expenses:

See attached.

Purpose of Proposed Ceiling Increase (if applicable):

Add funds for Information Systems & Communications Office (ISCO) to update email system to the cloud based architecture

Encumbrances:

Balance reflects payroll encumbrances, travel, and IT costs.

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014 ^{1/2}	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	5,953,460	5,823,384	6,532,299	7,259,050	6,880,350	6,805,350	6,805,350
Beginning Cash Balance	2,825,144	2,675,386	1,987,718	2,613,491	2,958,019	3,360,911	3,835,866
Revenues ^{1/}	465,504	423,891	476,511	1,080,377	482,285	479,348	482,000
Expenditures	4,952,199	5,401,912	5,978,497	7,463,367	6,880,350	6,805,350	6,805,350
Transfers							
List each by JV# and date							
JS0784 8/21/2012			1,539,230				
JS3287 11/20/2012			1,529,510				
JS4177 1/16/2013			1,529,510				
JS5488 4/5/2013			1,529,509				
Net Total Transfers	4,336,937	4,290,353	6,127,759	6,727,518	6,800,957	6,800,957	6,800,957
Ending Cash Balance	2,675,386	1,987,718	2,613,491	2,958,019	3,360,911	3,835,866	4,313,473
Encumbrances	91,203	307,776	204,317				
Unencumbered Cash Balance	2,584,183	1,679,942	2,409,174	2,958,019	3,360,911	3,835,866	4,313,473

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue based on B&F. Rev Est November 2013.

2/ Include Post-Secondary Education Authorization Program established pursuant to Act 180, SLH 2013.

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

DCCA Non-General Fund Information - Compliance Resolution Fund (S-310-R, S-398-R, S-360-R)

Current Program Activities/Allowable Expenses:

Provide general policy and administrative leadership, supervision and coordination of the various programs of the department. Provide individual programs with financial resources, budgetary direction and control necessary for their operation. Assist individual programs with the supply, development and maintenance of the human resources necessary for staffing their operations. Provide Director with staff assistance in the direction and supervision of the operating division; and provide centralized clerical services and relief necessary to support and supplement the operating division. Plans, directs and coordinates the department's internal and external communications through the sharing and transfer of the information via various mediums. Directs the development, implementation and maintenance of computerized information systems capable of communicating and sharing data and information internally and externally. Administers a program for informed consumerism through the sharing and transfer of information departmentally and statewide.

Provide specialized staff support to all divisions and boards by conducting hearings, as required, to ensure impartial and informed treatment in resolving consumer complaints; and provide a means for prompt and fair disposition or settlement of medical tort claims or claims of professional negligence against an engineer, architect or surveyor licensed under Chapter 464, HRS.

Provide administrative leadership and staffing of the Post-Secondary Education Authorization Program to implement Act 180 (SLH 2013). The law was enacted to comply with federal rule 34 CFR § 600.9 and requires the department to establish an authorization process and a complaints process for post-secondary educational institutions in order for students of these institutions to be eligible to obtain financial aid under Title IV programs.

Report on Non-General Fund Information
for Submittal to the 2013 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-191
 Name of Fund: Mortgage Foreclosure Dispute Resolution Special Fund
 Legal Authority: Section 667-86, HRS

Contact Name: Michael Wun
 Phone: 587-6754
 Fund type (MOF): Special Funds (B)
 Appropriation Acct. No.: S-XX-316-R

Intended Purpose:

To give a mortgagor the power to require a foreclosing mortgagee to engage in dispute resolution with the mortgagor prior to a nonjudicial mortgage foreclosure in an effort to avoid foreclosure or mitigate damages if foreclosure is unavoidable.

Source of Revenues:

Fees collected from the mortgagee and the mortgagor.

Current Program Activities/Allowable Expenses:

For all expenses to implement and operate the mortgage foreclosure dispute resolution program.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Encumbrances:

Includes claims outstanding for personnel and telephone costs.

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		1,850,000	1,850,000	306,461	306,461	306,461	306,461
Beginning Cash Balance	0	0	260,972	121,071	10,451	0	0
Revenues 1/		2,119	4,613	205,500	205,500	5,000	5,000
Expenditures		141,147	144,514	316,120	215,951	5,000	5,000
Transfers							
List each by JV# and date							
Net Total Transfers	0	400,000		0	0	0	0
Ending Cash Balance	0	260,972	121,071	10,451	0	0	0
Encumbrances	0	6,993	9,659				
Unencumbered Cash Balance	0	253,979	111,412	10,451	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenues: include \$200K each of multi-state mortgage settlement grant monies in FY14 and FY15.

Report on Non-General Fund Information
for Submittal to the 2013 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): Various
 Name of Fund: Temporary Deposits
 Legal Authority: _____

Contact Name: Kay Okimoto
 Phone: 586-2831
 Fund type (MOF) Temporary Deposits
 Appropriation Acct. No. T-XX-902-R

Intended Purpose:

The fund was established by DCCA to account for temporary deposits. Moneys deposited in this fund may be later transferred to the appropriate fund.

Source of Revenues:

Various revenue sources (e.g., Moneys for the Medical Claims Conciliation Panel, Medical Inquiry and Conciliation Panel, Design Claims Conciliation Panel, & Hawaii Joint Underwriting Plan are deposited into this account, and when an invoice is received it is paid out from this account).

Current Program Activities/Allowable Expenses:

See above information.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Encumbrances:

Balance include amounts for payroll expenses, travel costs, and personal services.

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	1,367,742	1,912,647	1,752,588	1,991,262	1,520,888	2,146,983	1,728,459
Revenues	1,701,595	1,678,966	1,841,835	1,748,581	1,912,875	1,785,006	1,961,500
Expenditures	1,156,690	1,839,025	1,603,161	2,218,955	1,286,780	2,203,530	1,312,405
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0				
Ending Cash Balance	1,912,647	1,752,588	1,991,262	1,520,888	2,146,983	1,728,459	2,377,554
Encumbrances	1,314	11,485	13,302				
Unencumbered Cash Balance	1,911,333	1,741,103	1,977,960	1,520,888	2,146,983	1,728,459	2,377,554

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							