

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: ALL
 Legal Authority: Legislative Acts & Prior Year Rollovers

Contact Name: Ross Higashi
 Phone: 838-8646
 Fund type (MOF) B
 Appropriation Acct. No. S-XX-060-D TO S-XX-087-D

Intended Purpose:

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Increase (if applicable):

Encumbrances:

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	743,784,319	521,008,902	3,276,427,526	871,627,031	984,152,802	640,101,750	730,201,750
Beginning Cash Balance	1,075,560,378	1,100,586,388	1,128,832,464	1,190,496,320	1,173,127,357	1,072,700,916	1,012,820,627
Revenues	507,374,431	525,485,116	561,573,268	792,085,988	1,138,577,725	809,795,020	983,838,470
Expenditures	477,304,831	491,950,206	494,319,205	803,678,951	1,232,685,166	863,134,309	865,811,129
Transfers	6,974,692	8,419,746	6,883,421				
List each by JV# and date							
	(12,018,283)	(13,708,580)	(12,473,628)				
Net Total Transfers	(5,043,591)	(5,288,834)	(5,590,207)	(5,776,000)	(6,319,000)	(6,541,000)	(6,769,000)
Ending Cash Balance	1,100,586,388	1,128,832,464	1,190,496,320	1,173,127,357	1,072,700,916	1,012,820,627	1,124,078,968
Encumbrances	354,929,734	369,608,457	378,083,596				
Unencumbered Cash Balance	745,656,654	759,224,007	812,412,724	1,173,127,357	1,072,700,916	1,012,820,627	1,124,078,968

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds	0	-	-	150,000,000	476,972,000	220,000,000	324,398,000
Amount Held in CODs, Escrow Accounts, or Other Investments	96,893,008	96,893,008	96,893,008	96,893,008	114,841,008	147,082,008	147,082,008

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Department: DOT
 Prog ID(s): TRN 195
 Name of Fund: Airport Revenue Fund
 Legal Authority: Act 106, SLH 2012, Section 9.1 and 9.2

Contact Name: Ross Higashi
 Phone: 838-8646
 Fund type (MOF) B
 Appropriation Acct. No. S-XX-308-D

Intended Purpose:

Payment of Interest and principal on rental motor vehicle customer facility charge revenue bonds

Source of Revenues:

Collection of rental motor vehicle customer facility charge

Current Program Activities/Allowable Expenses:

Payment of Interest and principal on rental motor vehicle customer facility charge revenue bonds. Transfer from Administration

Purpose of Proposed Ceiling Increase (if applicable):

Encumbrances:

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			20,750,000	20,750,000	20,900,000	30,900,000	41,000,000
Beginning Cash Balance		0	0	0	0	0	0
Revenues							
Expenditures				10,628,000	19,757,000	30,181,000	40,606,000
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	10,628,000	19,757,000	30,181,000	40,606,000
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Airport Revenue Fund
 Legal Authority: 248-8

Contact Name: Ross Higashi
 Phone: 838-8646
 Fund type (MOF) Revolving
 Appropriation Acct. No. S-XX-361-D

Intended Purpose:
 Airport Revenue Fund
 Source of Revenues:
 Airport operating revenue
 Current Program Activities/Allowable Expenses:
 Transferred operating revenues to fund operating activities. No allowable expenses
 Purpose of Proposed Ceiling Increase (if applicable):

Encumbrances:

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	102,741,041	124,541,916	163,041,524	130,960,086	161,527,219	158,144,231	200,902,231
Revenues	322,516,371	359,166,529	363,168,980	343,645,000	369,873,000	372,798,000	402,535,000
Expenditures							
Transfers	(288,697,213)	(306,958,341)	(382,776,790)				
List each by JV# and date							
5% surcharge (per audited F/S)	(12,018,283)	(13,708,580)	(12,473,628)				
Net Total Transfers	(300,715,496)	(320,666,921)	(395,250,418)	(313,077,867)	(373,255,988)	(330,040,000)	(361,915,000)
Ending Cash Balance	124,541,916	163,041,524	130,960,086	161,527,219	158,144,231	200,902,231	241,522,231
Encumbrances							
Unencumbered Cash Balance	124,541,916	163,041,524	130,960,086	161,527,219	158,144,231	200,902,231	241,522,231

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Passenger Facility Charge Special Fund
 Legal Authority: Act 101, SLH 2004

Contact Name: Ross Higashi
 Phone: 838-8646
 Fund type (MOF) B
 Appropriation Acct. No. S-XX-363-D

Intended Purpose:

Used for Passenger Facility Charge Program (Inception date 10/01/01)

Source of Revenues:

Passenger Facility Charge (CFC) Collections and related interest income

Current Program Activities/Allowable Expenses:

Collection for above intended purposes. To fund eligible PFC funded CIP projects approved by the Federal Aviation Administration (FAA).

Purpose of Proposed Ceiling Increase (if applicable):

Encumbrances:

Contract and Claims encumbrances for program purposes

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	58,658,374	38,845,899	69,413,982	91,830,518	102,760,518	43,949,518	55,877,518
Revenues	31,636,356	30,309,880	36,435,201	34,341,000	34,678,000	35,002,000	35,506,000
Expenditures							
Transfers	(51,448,831)	258,203	(14,018,665)				
List each by JV# and date							
Net Total Transfers	(51,448,831)	258,203	(14,018,665)	(23,411,000)	(93,489,000)	(23,074,000)	(1,437,000)
Ending Cash Balance	38,845,899	69,413,982	91,830,518	102,760,518	43,949,518	55,877,518	89,946,518
Encumbrances							
Unencumbered Cash Balance	38,845,899	69,413,982	91,830,518	102,760,518	43,949,518	55,877,518	89,946,518

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Rental Motor Vehicle Customer Fac Chg Special Fund
 Legal Authority: Act 226, SLH 2008

Contact Name: Ross Higashi
 Phone: 838-8646
 Fund type (MOF) B
 Appropriation Acct. No. S-XX-364-D

Intended Purpose: Monies collected from the motor vehicle customer facility charge shall be used for the enhancement, renovation, operation, and maintenance of existing rental motor vehicle customer facilities and related services at state airports

Source of Revenues:

Collection of rental motor vehicle customer facility charge

Current Program Activities/Allowable Expenses:

Collection of above intended purposes. To fund improvements to the rental motor vehicle customer facilities at state airports

Purpose of Proposed Ceiling Increase (if applicable):

Encumbrances:

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	14,467,616	42,705,373	42,285,328	49,371,707	44,830,593	19,831,593	3,936,593
Revenues	40,634,256	5,579,955	50,494,596	56,157,000	56,876,000	57,604,000	58,342,000
Expenditures							
Transfers	(12,396,499)	(6,000,000)	(43,408,217)				
List each by JV# and date							
Net Total Transfers	(12,396,499)	(6,000,000)	(43,408,217)	(60,698,114)	(81,875,000)	(73,499,000)	(50,348,000)
Ending Cash Balance	42,705,373	42,285,328	49,371,707	44,830,593	19,831,593	3,936,593	11,930,593
Encumbrances							
Unencumbered Cash Balance	42,705,373	42,285,328	49,371,707	44,830,593	19,831,593	3,936,593	11,930,593

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Prepaid Airport Use Charge Fund
 Legal Authority: Bond Resolution

Contact Name: Ross Higashi
 Phone: 838-8646
 Fund type (MOF) Revolving
 Appropriation Acct. No. S-XX-365-D

Intended Purpose:

To provide for overpayments of Airport Use Charges

Source of Revenues:

Airport Use Charge (AUC) overpayment based on airport/airline lease agreement

Current Program Activities/Allowable Expenses:

To refund AUC overpayment based on agreed signatory airlines payment plan as approved by the Director of Transportation

Purpose of Proposed Ceiling Increase (if applicable):

Encumbrances:

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	53,179	10,356,353	3,801,843	40,671,687	38,297,541	38,297,541	38,297,541
Revenues							
Expenditures	2,500,000	2,500,000	7,445,000	2,500,000			
Transfers	12,803,174	(4,054,510)	44,314,844				
List each by JV# and date							
Net Total Transfers	12,803,174	(4,054,510)	44,314,844	125,854	0	0	0
Ending Cash Balance	10,356,353	3,801,843	40,671,687	38,297,541	38,297,541	38,297,541	38,297,541
Encumbrances							
Unencumbered Cash Balance	10,356,353	3,801,843	40,671,687	38,297,541	38,297,541	38,297,541	38,297,541

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Reserve for Future CIP
 Legal Authority: Bond Resolution

Contact Name: Ross Higashi
 Phone: 838-8646
 Fund type (MOF) Revolving
 Appropriation Acct. No. S-XX-366-D

Intended Purpose:
 Provide a reserve for future Airport System Capital Improvement Projects
 Source of Revenues:
 Airport operating revenues and interest income
 Current Program Activities/Allowable Expenses:
 Transferred to fund the Airport System Capital Improvement Projects
 Purpose of Proposed Ceiling Increase (if applicable):

Encumbrances:

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	124,875,158	111,015,400	87,088,892	57,638,527	35,974,527	26,966,527	22,119,527
Revenues			47,953				
Expenditures							
Transfers	(13,859,758)	(23,926,508)	(29,498,318)				
List each by JV# and date							
Net Total Transfers	(13,859,758)	(23,926,508)	(29,498,318)	(21,664,000)	(9,008,000)	(4,847,000)	(3,128,000)
Ending Cash Balance	111,015,400	87,088,892	57,638,527	35,974,527	26,966,527	22,119,527	18,991,527
Encumbrances							
Unencumbered Cash Balance	111,015,400	87,088,892	57,638,527	35,974,527	26,966,527	22,119,527	18,991,527

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Reserve for Airline Rate Mitigation
 Legal Authority: Bond Resolution

Contact Name: Ross Higashi
 Phone: 838-8646
 Fund type (MOF) Revolving
 Appropriation Acct. No. S-XX-367-D

Intended Purpose:

To provide a reserve for payment of interest on revenue bonds and to be in compliance with revenue bond covenants

Source of Revenues:

Aiport operating revenues and interest income

Current Program Activities/Allowable Expenses:

Used to meet the calculation of net revenue and taxes. To provide funding for debt service - Interest portion based on airport/airline lease agreement

Purpose of Proposed Ceiling Increase (if applicable):

Encumbrances:

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues							
Expenditures							
Transfers	0	0	0				
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2013 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Reserve for O & M Expenses
 Legal Authority: Bond Resolution

Contact Name: Ross Higashi
 Phone: 838-8646
 Fund type (MOF) Revolving
 Appropriation Acct. No. S-XX-368-D

Intended Purpose: Providing a reserve for payment of operating and maintenance expenses. Also for deficiencies in any other account for lawful airports system purpose. Amount on deposit should be equal to 25% of the budgeted operating and maintenance expenses.

Source of Revenues:

Aiport operating revenues and interest income.

Current Program Activities/Allowable Expenses:

Reserve only. No current activity

Purpose of Proposed Ceiling Increase (if applicable):

Encumbrances:

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	61,333,575	62,176,348	62,949,400	67,110,506	69,420,151	69,420,151	69,420,151
Revenues							
Expenditures							
Transfers	842,773	773,052	4,161,106				
List each by JV# and date							
Net Total Transfers	842,773	773,052	4,161,106	2,309,645	0	0	0
Ending Cash Balance	62,176,348	62,949,400	67,110,506	69,420,151	69,420,151	69,420,151	69,420,151
Encumbrances							
Unencumbered Cash Balance	62,176,348	62,949,400	67,110,506	69,420,151	69,420,151	69,420,151	69,420,151

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Debt Service Funded Coverage
 Legal Authority: Bond Resolution

Contact Name: Ross Higashi
 Phone: 838-8646
 Fund type (MOF) Revolving
 Appropriation Acct. No. S-XX-369-D

Intended Purpose:

To cover shortfalls in debt service coverage requirements. Minimum deposit must be 25% of current year debt service

Source of Revenues:

Airport operating revenues and interest income

Current Program Activities/Allowable Expenses:

Reserve only. No current activity

Purpose of Proposed Ceiling Increase (if applicable):

Encumbrances:

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	15,429,746	24,223,252	24,223,252	24,223,252	21,223,000	21,223,000	22,029,000
Revenues							
Expenditures							
Transfers	8,793,506						
List each by JV# and date							
Net Total Transfers	8,793,506	0	0	(3,000,252)	0	806,000	0
Ending Cash Balance	24,223,252	24,223,252	24,223,252	21,223,000	21,223,000	22,029,000	22,029,000
Encumbrances							
Unencumbered Cash Balance	24,223,252	24,223,252	24,223,252	21,223,000	21,223,000	22,029,000	22,029,000

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Airport System Interest Account
 Legal Authority: Bond Resolution

Contact Name: Ross Higashi
 Phone: 838-8646
 Fund type (MOF) Revolving
 Appropriation Acct. No. S-XX-375-D

Intended Purpose:

Used for payment of interest on outstanding bonds

Source of Revenues:

Airport operating revenues and interest income

Current Program Activities/Allowable Expenses:

Payment of interest on outstanding revenue bonds

Purpose of Proposed Ceiling Increase (if applicable):

Encumbrances:

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	51,915,030	42,941,583	27,434,378	23,068,731	21,672,006	66,888,856	55,313,510
Revenues	52,121,428	46,688,958	44,587,337	43,033,988	63,260,725	61,701,020	85,046,470
Expenditures	44,292,750	49,946,941	45,071,037	43,810,662	53,224,863	62,403,366	73,373,745
Transfers	(16,802,125)	(12,249,222)	(3,881,947)				
List each by JV# and date							
Net Total Transfers	(16,802,125)	(12,249,222)	(3,881,947)	(620,051)	35,180,988	(10,873,000)	(10,873,000)
Ending Cash Balance	42,941,583	27,434,378	23,068,731	21,672,006	66,888,856	55,313,510	56,113,235
Encumbrances							
Unencumbered Cash Balance	42,941,583	27,434,378	23,068,731	21,672,006	66,888,856	55,313,510	56,113,235

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Airport System Serial & Principal Account
 Legal Authority: Bond Resolution

Contact Name: Ross Higashi
 Phone: 838-8646
 Fund type (MOF) Revolving
 Appropriation Acct. No. S-XX-376-D

Intended Purpose:
 Used for principal payments on outstanding serial bonds
 Source of Revenues:
 Airport operating revenues and interest income
 Current Program Activities/Allowable Expenses:
 Payment of principal on outstanding serial revenue bonds
 Purpose of Proposed Ceiling Increase (if applicable):

Encumbrances:

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	23,615,000	25,370,000	27,545,000	40,305,000	34,210,000	35,725,000	37,290,000
Revenues	25,370,000	30,578,750	40,305,000	34,210,000	35,725,000	37,290,000	38,935,000
Expenditures	23,615,000	28,403,750	27,545,000	40,305,000	34,210,000	35,725,000	37,290,000
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	25,370,000	27,545,000	40,305,000	34,210,000	35,725,000	37,290,000	38,935,000
Encumbrances							
Unencumbered Cash Balance	25,370,000	27,545,000	40,305,000	34,210,000	35,725,000	37,290,000	38,935,000

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Airport System Debt Service Reserve
 Legal Authority: Bond Resolution

Contact Name: Ross Higashi
 Phone: 838-8646
 Fund type (MOF) Revolving
 Appropriation Acct. No. S-XX-378-D

Intended Purpose: Used as a reserve for the payment of principal, interest, and premium for bonds outstanding. The amount on deposit should be equal to the maximum aggregate of debt outstanding for all bonds in any future year.

Source of Revenues:

Proceeds for the sale of Airport System Revenue Bonds

Current Program Activities/Allowable Expenses:

Principal interest and premiums relating to bonds outstanding. No activity

Purpose of Proposed Ceiling Increase (if applicable):

Encumbrances:

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	96,893,008	96,893,008	96,893,008	96,893,008	96,893,008	128,058,008	128,058,008
Revenues					31,165,000		34,069,000
Expenditures							
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	96,893,008	96,893,008	96,893,008	96,893,008	128,058,008	128,058,008	162,127,008
Encumbrances							
Unencumbered Cash Balance	96,893,008	96,893,008	96,893,008	96,893,008	128,058,008	128,058,008	162,127,008

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments	96,893,008	96,893,008	96,893,008	96,893,008	114,841,008	147,082,008	147,082,008

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Airport System Major Maintenance, Renewal/Replacement
 Legal Authority: Bond Resolution

Contact Name: Ross Higashi
 Phone: 838-8646
 Fund type (MOF) B
 Appropriation Acct. No. S-XX-379-D

Intended Purpose: To support deficiencies in the interest account, serial bond principal account, and the sinking fund. Also to fund major maintenance and repair projects not recurring annually in nature.

Source of Revenues:

Airport operating revenues and interest account

Current Program Activities/Allowable Expenses:

To fund eligible projects meeting the funding criteria for major maintenance, renewal and replacement account in accordance with the bond resolution

Purpose of Proposed Ceiling Increase (if applicable):

Encumbrances:

Contract encumbrances for program related projects

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	60,061,114	58,397,113	58,060,552	59,980,198	60,000,000	60,000,000	60,000,000
Revenues							
Expenditures	2,953,716	3,248,427	322,585	3,000,000	3,000,000	3,000,000	3,000,000
Transfers	1,289,715	2,911,866	2,242,231				
List each by JV# and date							
Net Total Transfers	1,289,715	2,911,866	2,242,231	3,019,802	3,000,000	3,000,000	3,000,000
Ending Cash Balance	58,397,113	58,060,552	59,980,198	60,000,000	60,000,000	60,000,000	60,000,000
Encumbrances	1,308,979	605,552	155,984				
Unencumbered Cash Balance	57,088,134	57,455,000	59,824,214	60,000,000	60,000,000	60,000,000	60,000,000

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Bond Revenue CIP Accounts
 Legal Authority: Legislative Acts & Prior Year Rollovers

Contact Name: Ross Higashi
 Phone: 838-8646
 Fund type (MOF) E
 Appropriation Acct. No. B-XX-XXX

Intended Purpose:

Bond funded capital improvement projects

Source of Revenues:

Sale of Bond

Current Program Activities/Allowable Expenses:

Funded capital improvement projects

Purpose of Proposed Ceiling Increase (if applicable):

New capital improvement projects will be implemented

Encumbrances:

Contract and claims encumbrance for program related projects

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	230,757,000	58,982,000	1,547,489,978	293,417,000	218,527,000	100,800,000	158,300,000
Beginning Cash Balance	281,952,864	262,236,803	233,502,847	213,141,261	209,809,972	127,687,669	43,067,726
Revenues	6,808			150,000,000	476,972,000	220,000,000	324,398,000
Expenditures	19,722,869	28,733,956	20,361,586	153,331,289	559,094,303	304,619,943	329,208,384
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	262,236,803	233,502,847	213,141,261	209,809,972	127,687,669	43,067,726	38,257,342
Encumbrances	152,637,217	156,346,259	165,446,678				
Unencumbered Cash Balance	109,599,586	77,156,588	47,694,583	209,809,972	127,687,669	43,067,726	38,257,342

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds				150,000,000	476,972,000	220,000,000	324,398,000
EB-5							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Department: DOT
 Prog ID(s): TRN 114
 Name of Fund: General Obligation Bond Fund
 Legal Authority: ACT 134, SLH 2013

Contact Name: Ross Higashi
 Phone: 838-8646
 Fund type (MOF) C
 Appropriation Acct. No. B-13-700/B-13-701

Intended Purpose:
 Various Improvements to Ellison Onizuka Space Museum
 Source of Revenues:
 General Obligation Bond
 Current Program Activities/Allowable Expenses:
 Capital improvement project
 Purpose of Proposed Ceiling Increase (if applicable):

Encumbrances:

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				3,000,000			
Beginning Cash Balance				0	1,500,000	0	0
Revenues				3,000,000			
Expenditures				1,500,000	1,500,000		
Transfers							
List each by JV# and date							
Net Total Transfers				0	0	0	0
Ending Cash Balance				1,500,000	0	0	0
Encumbrances							
Unencumbered Cash Balance				1,500,000	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds					0	0	
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Special State CIP
 Legal Authority: Legislative Acts & Prior Year Rollovers

Contact Name: Ross Higashi
 Phone: 838-8646
 Fund type (MOF) B
 Appropriation Acct. No. S-XX-7XX-D, S-XX-8XX-D

Intended Purpose:
 Special funded capital improvement projects
 Source of Revenues:
 Airport operating revenue
 Current Program Activities/Allowable Expenses:
 Funded capital improvement projects
 Purpose of Proposed Ceiling Increase (if applicable):

Encumbrances:
 Contract and claims encumbrances for program related projects

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	8,000,000	20,650,000	200,414,612	12,470,000	11,470,000	11,500,000	11,500,000
Beginning Cash Balance	56,923,087	56,248,773	63,552,929	71,730,029	71,730,029	71,730,029	71,730,029
Revenues							
Expenditures	14,534,072	16,622,398	21,321,217	21,664,000	9,008,000	4,847,000	3,128,000
Transfers	13,859,758	23,926,554	29,498,317				
List each by JV# and date							
Net Total Transfers	13,859,758	23,926,554	29,498,317	21,664,000	9,008,000	4,847,000	3,128,000
Ending Cash Balance	56,248,773	63,552,929	71,730,029	71,730,029	71,730,029	71,730,029	71,730,029
Encumbrances	34,506,846	29,025,685	26,251,129				
Unencumbered Cash Balance	21,741,927	34,527,244	45,478,900	71,730,029	71,730,029	71,730,029	71,730,029

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Federal CIP
 Legal Authority: Legislative Acts & Prior Year Rollovers

Contact Name: Ross Higashi
 Phone: 838-8646
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-17X-D; S-XX-26X-D to
S-XX-29X-D; S-XX-30X-D

Intended Purpose:

Capital improvement projects

Source of Revenues:

Federal Grants

Current Program Activities/Allowable Expenses:

Capital improvement projects

Purpose of Proposed Ceiling Increase (if applicable):

New capital improvement project will be implemented; additional funds required for for existing appropriations

Encumbrances:

Contract and claims encumbrances for program related projects

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	35,603,000	99,886,000	580,240,621	64,025,000	64,000,000	7,500,000	30,000,000
Beginning Cash Balance	8,262,795	8,547,792	10,020,846	7,722,885	7,722,885	7,722,885	7,722,885
Revenues	30,601,025	48,891,757	21,022,812	78,699,000	68,028,000	23,400,000	3,007,000
Expenditures	26,484,543	47,907,276	22,710,278	78,699,000	68,028,000	23,400,000	3,007,000
Transfers	(3,831,485)	488,573	(610,495)				
List each by JV# and date							
Net Total Transfers	(3,831,485)	488,573	(610,495)	0	0	0	0
Ending Cash Balance	8,547,792	10,020,846	7,722,885	7,722,885	7,722,885	7,722,885	7,722,885
Encumbrances	59,811,802	41,102,179	56,365,521				
Unencumbered Cash Balance	(51,264,010)	(31,081,333)	(48,642,636)	7,722,885	7,722,885	7,722,885	7,722,885

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Federal O & M
 Legal Authority: Legislative Acts & Prior Year Rollovers

Contact Name: Ross Higashi
 Phone: 838-8646
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-20X-D to S-XX-25X-D

Intended Purpose:
 Federal portion of projects matched by operating funds
 Source of Revenues:
 Federal grants
 Current Program Activities/Allowable Expenses:
 Operating and maintenance expenses
 Purpose of Proposed Ceiling Increase (if applicable):

Encumbrances:
 Contract and claims encumbrances for program related projects

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,527,500	11,525,500	15,737,831	6,550,000	5,988,000	7,201,750	7,201,750
Beginning Cash Balance	3,407,734	3,209,120	3,621,919	4,422,415	4,422,415	4,422,415	4,422,415
Revenues	4,036,207	3,175,508	4,246,793	2,000,000	2,000,000	2,000,000	2,000,000
Expenditures	8,066,306	2,274,136	4,056,791	2,000,000	2,000,000	2,000,000	2,000,000
Transfers	3,831,485	(488,573)	610,494				
List each by JV# and date							
Net Total Transfers	3,831,485	(488,573)	610,494	0	0	0	0
Ending Cash Balance	3,209,120	3,621,919	4,422,415	4,422,415	4,422,415	4,422,415	4,422,415
Encumbrances	3,172,429	4,595,722	2,972,544				
Unencumbered Cash Balance	36,691	(973,803)	1,449,871	4,422,415	4,422,415	4,422,415	4,422,415

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Special State CIP - Funded by Others
 Legal Authority: Legislative Acts & Prior Year Rollovers

Contact Name: Ross Higashi
 Phone: 838-8646
 Fund type (MOF): R
 Appropriation Acct. No.: S-XX-9XX-D

Intended Purpose:

Used to fund project funded by private contribution

Source of Revenues:

Private contribution by Castle & Cook

Current Program Activities/Allowable Expenses:

Funded approved capital improvement project

Purpose of Proposed Ceiling Increase (if applicable):

Encumbrances:

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	14,734	15,405	131	131	0	0	0
Revenues	671	161	0				
Expenditures		(238,957)	0				
Transfers		(254,392)	0				
List each by JV# and date							
Net Total Transfers	0	(254,392)	0	(131)	0	0	0
Ending Cash Balance	15,405	131	131	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	15,405	131	131	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Special State CIP - Funded by Others
 Legal Authority: Legislative Acts & Prior Year Rollovers

Contact Name: Ross Higashi
 Phone: 838-8646
 Fund type (MOF) X
 Appropriation Acct. No. S-XX-9XX-D

Intended Purpose:

Used to fund projects funded by the Passenger Facility Charge Program & other contributions

Source of Revenues:

Passenger Facility Charges Funds

Current Program Activities/Allowable Expenses:

Funded approved capital improvement projects

Purpose of Proposed Ceiling Increase (if applicable):

New capital improvement project will be implemented

Encumbrances:

Contract and claims encumbrances for program related projects

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	80,100,000	6,500,000	296,863,338	46,204,000	46,209,000	200,000	200,000
Beginning Cash Balance	21,066,399	27,625,355	12,962,054	10,278,289	10,278,289	10,278,289	10,278,289
Revenues			0				
Expenditures	44,889,875	14,405,098	16,702,429	23,411,000	93,489,000	23,074,000	1,437,000
Transfers	51,448,831	(258,203)	14,018,664				
List each by JV# and date							
Net Total Transfers	51,448,831	(258,203)	14,018,664	23,411,000	93,489,000	23,074,000	1,437,000
Ending Cash Balance	27,625,355	12,962,054	10,278,289	10,278,289	10,278,289	10,278,289	10,278,289
Encumbrances	26,716,594	16,775,937	8,070,247				
Unencumbered Cash Balance	908,761	(3,813,883)	2,208,042	10,278,289	10,278,289	10,278,289	10,278,289

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Special State CIP - Funded by Others
 Legal Authority: Legislative Acts & Prior Year Rollovers

Contact Name: Ross Higashi
 Phone: 838-8646
 Fund type (MOF) X/B
 Appropriation Acct. No. S-XX-9XX-D; S-XX-7XX-D

Intended Purpose:

Used to fund projects funded by the Consolidated Rent A Car Facility Charge Program

Source of Revenues:

Rent A Car Facility Charges (CFC) Funds

Current Program Activities/Allowable Expenses:

Funded approved capital Improvement projects

Purpose of Proposed Ceiling Increase (if applicable):

New capital improvement project will be implemented

Encumbrances:

Contract and claims encumbrances for program related projects

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	68,100,000	0	141,110,692	10,000,000	135,000,000		
Beginning Cash Balance	1,667,064	3,358,651	3,102,475	28,792,886	10,000,000	10,000,000	10,000,000
Revenues				50,000,000			
Expenditures	10,704,913	6,256,176	17,717,806	118,863,000	62,118,000	43,318,000	9,742,000
Transfers	12,396,500	6,000,000	43,408,217				
List each by JV# and date							
Net Total Transfers	12,396,500	6,000,000	43,408,217	50,070,114	62,118,000	43,318,000	9,742,000
Ending Cash Balance	3,358,651	3,102,475	28,792,886	10,000,000	10,000,000	10,000,000	10,000,000
Encumbrances	2,648,864	40,950,692	28,792,886				
Unencumbered Cash Balance	709,787	(37,848,217)	0	10,000,000	10,000,000	10,000,000	10,000,000

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
EB-5				50,000,000			
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Department: DOT
 Prog ID(s): TRN 195
 Name of Fund: Temporary Deposits
 Legal Authority: _____

Contact Name: Ross Higashi
 Phone: 838-8646
 Fund type (MOF) Trust Fund
 Appropriation Acct. No. T-XX-961

Intended Purpose:
 Security and Other Deposits
 Source of Revenues:
 Customer security and other deposits
 Current Program Activities/Allowable Expenses:
 Received and refunded security and other deposits
 Purpose of Proposed Ceiling Increase (if applicable):

Encumbrances:

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	5,051,317	5,029,651	5,526,787	6,491,195	6,491,195	6,491,195	6,491,195
Revenues	345,986	1,019,941	1,133,808				
Expenditures	367,653	522,805	169,400				
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	5,029,651	5,526,787	6,491,195	6,491,195	6,491,195	6,491,195	6,491,195
Encumbrances							
Unencumbered Cash Balance	5,029,651	5,526,787	6,491,195	6,491,195	6,491,195	6,491,195	6,491,195

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Department: DOT
 Prog ID(s): TRN 195
 Name of Fund: Legislative Relief for Claims Against the State
 Legal Authority: Act 116, SLH 2012

Contact Name: Ross Higashi
 Phone: 838-8646
 Fund type (MOF) B
 Appropriation Acct. No. S-12-395

Intended Purpose:
 Payment of Judgment Claims
 Source of Revenues:
 Airport Revenue Fund
 Current Program Activities/Allowable Expenses:
 Payment of settlement agreement
 Purpose of Proposed Ceiling Increase (if applicable):

Encumbrances:

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		60,000					
Beginning Cash Balance		0	0	0	0	0	0
Revenues							
Expenditures			60,000				
Transfers			60,000				
List each by JV# and date							
Net Total Transfers			60,000				
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Department: DOT
 Prog ID(s): TRN 195
 Name of Fund: Airports Administration
 Legal Authority: Act 106, SLH 2012

Contact Name: Ross Higashi
 Phone: 838-8646
 Fund type (MOF) N
 Appropriation Acct. No. S-13-202

Intended Purpose:
 Required for Safety Management System Program
 Source of Revenues:
 Federal Grant
 Current Program Activities/Allowable Expenses:
 Development of Safety Management System at Statewide airports, FAA Part 139 requirement
 Purpose of Proposed Ceiling Increase (if applicable):

Encumbrances:

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			300,000	0			
Beginning Cash Balance		0	0	0	0	0	0
Revenues							
Expenditures							
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances				0			
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Department: TRN
 Prog ID(s): TRN 301-395
 Name of Fund: Revenue Bonds
 Legal Authority A345/SL 86 - A134/SL 13

Contact Name: Kendrick Au
 Phone: 587-1893
 Fund type (MOF) Bond Funds
 Appropriation Acct. No. B-86-651-D to B-13-665

Intended Purpose: To Fund CIP Projects in Accordance with Bond Covenants
 Source of Revenues: Revenue Bond Proceeds
 Current Program Activities/Allowable Expenses: CIP Projects
 Purpose of Proposed Ceiling Increase (if applicable):
 Encumbrances:

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	59,381,611	191,007,404	174,021,815	155,538,578	120,191,844	201,741,805	76,751,805
Revenues	150,000,000	-	-	-	200,000,000	-	-
Expenditures	1,641,566	16,985,589	18,483,237	35,346,734	118,450,039	124,990,000	63,500,000
Transfers							
List each by JV# and date							
- Trf for HMP per Sch FY09 HMP Cash Trf							
- FY09 Trf fm State Operating Funds for HMP JM1845							
- Accumulated FY10 Cash							
- Repayment of Balance of \$16,735,000 State Operating Funds Advanced to HMP in Funds Advanced to HMP in FY14 & Thereafter	(16,732,641)						
Net Total Transfers	(16,732,641)	0	0	0	0	0	0
Ending Cash Balance	191,007,404	174,021,815	155,538,578	120,191,844	201,741,805	76,751,805	13,251,805
Encumbrances	23,919,741	28,144,819	41,188,048	71,370,444	185,490,000	62,000,000	0
Unencumbered Cash Balance	167,087,663	145,876,996	114,350,530	48,821,400	16,251,805	14,751,805	13,251,805

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

<u>Additional Information:</u>							
Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments	11,554,787				15,273,377		

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Department: TRN
 Prog ID(s): TRN 301-395
 Name of Fund: Federal Operating Allot (Type A)
 Legal Authority Fed Fund

Contact Name: Kendrick Au
 Phone: 587-1893
 Fund type (MOF) Special Funds (N & V Funds)
 Appropriation Acct. No. S-09-248-D to S-13-251-D

Intended Purpose: State Funds Match Required by Federal Grants
 Source of Revenues: Loans from Harbors Special Funds
 Current Program Activities/Allowable Expenses: Port Security Improvements
 Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	162,167	1,341,853	2,318,418	2,075,029	2,075,029	2,075,029	2,075,029
Revenues	72,136	620,985	2,145,217				
Expenditures	151,948	1,299,993	1,386,350				
Transfers							
List each by JV# and date							
Loan from State Operating Fund	26,998	1,655,573	(1,002,256)				
Loan from State Operating	1,232,500						
Loan from State Operating							
Net Total Transfers	1,259,498	1,655,573	(1,002,256)				
Ending Cash Balance	1,341,853	2,318,418	2,075,029	2,075,029	2,075,029	2,075,029	2,075,029
Encumbrances	541,130	1,003,175	0	0	0	0	0
Unencumbered Cash Balance	800,723	1,315,243	2,075,029	2,075,029	2,075,029	2,075,029	2,075,029

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow							

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Department: TRN
 Prog ID(s): TRN 301-395
 Name of Fund: State CIP
 Legal Authority Statute A296/SL 91 - A134/SL 13

Contact Name: Kendrick Au
 Phone: 587-1893
 Fund type (MOF) Special Funds (B & R Funds)
 Appropriation Acct. No. S-91-627-D to S-13-617-D

Intended Purpose: To Fund CIP Projects with Specific Appropriations
 Source of Revenues: Harbors Special Fund
 Current Program Activities/Allowable Expenses: CIP Projects
 Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	15,000,000	15,000,000	20,000,000	20,000,000	20,000,000	20,000,000	20,000,000
Beginning Cash Balance	59,281,801	53,937,222	47,672,618	42,909,731	62,909,731	62,909,731	62,909,731
Revenues							
Expenditures	4,943,177	13,393,107	13,399,200	20,000,000	20,000,000	20,000,000	20,000,000
Transfers	(401,402)	7,128,503	8,636,313				
List each by JV# and date							
Trf fm State Operating to CIP pe	0			40,000,000	20,000,000	20,000,000	15,000,000
FY10 Trf to CIP		7,128,503	8,636,313				
Ending Cash Balance	53,937,222	47,672,618	42,909,731	62,909,731	62,909,731	62,909,731	57,909,731
Encumbrances	23,308,123	17,394,625	8,327,943				
Unencumbered Cash Balance	30,629,099	30,277,993	34,581,788	62,909,731	62,909,731	62,909,731	57,909,731
Additional Information:							
Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow							

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Department: TRN
 Prog ID(s): TRN 301-395
 Name of Fund: Federal CIP
 Legal Authority Statute - A91/SL99 - A134/SL 13

Contact Name: Kendrick Au
 Phone: 587-1893
 Fund type (MOF) Special Funds (N & V Funds)
 Appropriation Acct. No. S-94-269-D to S-13-500-D

Intended Purpose: State Funds Match Required by Federal Grants for CIP Projects
 Source of Revenues: Harbors Special Fund
 Current Program Activities/Allowable Expenses: Port Security Improvements - CIP Projects
 Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	1,983,201	5,009,986	11,854,196	13,131,554	13,131,554	13,131,554	13,131,554
Revenues	879,780	18,196,755	7,402,898				
Expenditures	8,022,995	14,108,124	4,040,250				
Transfers	(30,000)		(2,085,290)				
List each by JV# and date							
Loans In from State Operating A	10,200,000	2,755,579					
Net Total Transfers	10,170,000	2,755,579	(2,085,290)				
Ending Cash Balance	5,009,986	11,854,196	13,131,554	13,131,554	13,131,554	13,131,554	13,131,554
Encumbrances	11,506,228	2,976,452	1,024,076	1,024,076	1,024,076	1,024,076	1,024,076
Unencumbered Cash Balance	(6,496,242)	8,877,744	12,107,478	12,107,478	12,107,478	12,107,478	12,107,478

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow							

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Department: TRN
 Prog ID(s): TRN 301-395
 Name of Fund: Revolving Funds
 Legal Authority Bond Resoln & S266-19 HRS

Contact Name: Kendrick Au
 Phone: 587-1893
 Fund type (MOF) Special Funds (W & B Funds)
 Appropriation Acct. No. S-97-386-D to S-13-355-D

Intended Purpose: Debt Service Reserve Required by Bond Certificate
 Source of Revenues: Harbors Special Fund & Bond Issuance
 Current Program Activities/Allowable Expenses: Repayment of Bond Debt, Principal and Interest
 Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	25,107,866	38,404,529	42,360,275	44,679,852	44,792,729	67,648,887	80,738,668
Revenues	27,103,954	31,824,210					
Expenditures	25,262,324	27,868,464	31,653,106	31,610,123	31,655,219	31,655,219	31,655,219
Transfers							
List each by JV# and date							
Amounts Held in Reserve per Trfs to Revenue Bond Debt Svc	11,455,033				15,273,377		
Net Total Transfers	11,455,033	0	33,972,683	31,723,000	39,238,000	44,745,000	44,745,000
Ending Cash Balance	38,404,529	42,360,275	44,679,852	44,792,729	67,648,887	80,738,668	93,828,449
Encumbrances	-	221					
Unencumbered Cash Balance	38,404,529	42,360,054	44,679,852	44,792,729	67,648,887	80,738,668	93,828,449

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow							

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Department: TRN
 Prog ID(s): TRN 301-395
 Name of Fund: Federal Operating Appropriation Type F
 Legal Authority Fed Fund

Contact Name: Kendrick Au
 Phone: 587-1893
 Fund type (MOF) Special Funds (N Funds)
 Appropriation Acct. No. S-97-296

Intended Purpose:
 Source of Revenues:
 Current Program Activities/Allowable Expenses:
 Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	2,802	2,802	2,802	2,802	2,802	2,802	2,802
Revenues							
Expenditures							
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	2,802	2,802	2,802	2,802	2,802	2,802	2,802
Encumbrances							
Unencumbered Cash Balance	2,802	2,802	2,802	2,802	2,802	2,802	2,802

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow							

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Department: TRN
 Prog ID(s): TRN 301-395
 Name of Fund: State Operating Allotment
 Legal Authority Statute A345/SL86 - A134/SL13

Contact Name: Kendrick Au
 Phone: 587-1893
 Fund type (MOF) Special Funds (B Funds)
 Appropriation Acct. No. S-87-055-D to S-13-397-D

Intended Purpose: To Fund the Operations of the State of Hawaii Commercial Harbors
 Source of Revenues: Harbor User Fees
 Current Program Activities/Allowable Expenses: Operate and Maintain the State of Hawaii Commercial Harbors
 Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	88,662,472	90,590,022	90,618,881	90,618,881	90,618,881	90,618,881	90,618,881
Beginning Cash Balance	90,120,941	110,575,861	118,178,277	133,483,239	103,895,239	87,673,239	66,766,239
Revenues	82,675,193	92,473,138	101,444,005	104,762,000	104,720,000	107,266,000	109,880,000
Expenditures	39,295,855	37,162,526	41,758,362	59,246,322	58,322,947	60,057,000	60,636,000
Transfers	4,090,994	(8,195,705)	(9,247,982)				
List each by JV# and date							
Trf to State CIP per FY12 Multi-Year				(40,000,000)	(20,000,000)	(20,000,000)	(15,000,000)
Reversion of Lapsed State CIP Funds FY09 JS1038							
Reversion of Lapsed State CIP Funds FY09 JS1039							
Trfs to Debt Svc Reserve	(27,015,411)	(31,720,423)	(31,751,867)				
Debt Service - Reimbursable General Obligation Bonds	(2,959,988)	(3,380,916)	(3,380,832)	(3,380,678)	(3,381,053)	(3,371,000)	(3,382,000)
Trfs for Debt Svc Reserve FY12 MultiYear				(31,723,000)	(39,238,000)	(44,745,000)	(44,779,000)
Other Transfer							
Loan Out to Federal CIP TIGER	(10,170,000)	(2,755,579)					
Loans Out to Fed Operating Funds Appropriation Type A	(1,259,498)	(1,655,573)					

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Repayments received from Federal Agencies for Federal Grants for CIP Projects Not Yet Recorded at 6/30/2011 to Federal CIP Apprn Accts	(2,343,156)						
Balance of \$16,735,000 from Revenue Bond Funds Originally Advanced from State Operating Funds to HMP	16,732,641	-					
Net Total Transfers	(22,924,418)	(47,708,196)	(44,380,681)	(75,103,678)	(62,619,053)	(68,116,000)	(63,161,000)
Ending Cash Balance	110,575,861	118,178,277	133,483,239	103,895,239	87,673,239	66,766,239	52,849,239
Encumbrances	17,970,848	20,547,222	21,246,960				
Unencumbered Cash Balance	92,605,013	97,631,055	112,236,279	103,895,239	87,673,239	66,766,239	52,849,239

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow							

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Department: TRN
 Prog ID(s): TRN 301-395
 Name of Fund: Operating Trust
 Legal Authority _____

Contact Name: Kendrick Au
 Phone: 587-1893
 Fund type (MOF) Trust & Agency (T Funds)
 Appropriation Acct. No. T-93-941-D to T-13-941-D

Intended Purpose: Security Deposits from Leases and Contracts & Insurance Proceeds for Kawaihae Harbor Earthquake Damage
 Source of Revenues: Insurance Proceeds for Kawaihae Harbor Earthquake Damage Received in FY08 and Security Deposits
 Current Program Activities/Allowable Expenses: N/A
 Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	3,248,689	3,308,469	3,252,765	3,511,881	3,511,881	3,511,881	3,511,881
Revenues	7,906,987	9,050,458	10,883,498				
Expenditures	7,847,207	9,106,162	10,624,382				
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	3,308,469	3,252,765	3,511,881	3,511,881	3,511,881	3,511,881	3,511,881
Encumbrances	2,540	2,540	2,540	2,540	2,540	2,540	2,540
Unencumbered Cash Balance	3,305,929	3,250,225	3,509,341	3,509,341	3,509,341	3,509,341	3,509,341

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Department: TRN
 Prog ID(s): TRN 501-595
 Name of Fund: Revenue Bonds
 Legal Authority Various (Latest: ACT 134 SLH 2013)

Contact Name: Jerry Sikorski
 Phone: 587-2256
 Fund type (MOF) Revenue Bonds Funds (E)
 Appropriation Acct. No. B-00-529, B-04-500, etc.

Intended Purpose:

Source of Revenues:

Issuance of Highway Revenue Bonds

Current Program Activities/Allowable Expenses:

Roadway - Design, ROW, Construction and Planning Programs.

Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	85,054,000	74,190,000	97,973,800	137,720,000	131,824,000	40,000,000	40,000,000
Beginning Cash Balance	35,828,606	6,495,796	80,062,895	27,180,266	106,580,266	65,980,266	105,380,266
Revenues	(5,315,435)	125,733,458	-	120,000,000		80,000,000	
Expenditures	32,317,375	43,866,360	50,782,629	40,600,000	40,600,000	40,600,000	40,600,000
Transfers	8,300,000	(8,300,000)	(2,100,000)				
List each by JV# and date							
Net Total Transfers	8,300,000	(8,300,000)	(2,100,000)				
Ending Cash Balance	6,495,796	80,062,895	27,180,266	106,580,266	65,980,266	105,380,266	64,780,266
Encumbrances	85,746,119	98,139,891	83,912,067	78,040,326	79,210,931	80,399,095	81,605,081
Unencumbered Cash Balance	(79,250,323)	(18,076,997)	(56,731,801)	28,539,940	(13,230,665)	24,981,171	(16,824,815)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Department: TRN
 Prog ID(s): TRN 501-597
 Name of Fund: Federal Operating Allotment
 Legal Authority FHS Act PL 89-564, 1966/HRS 286-2

Contact Name: Jerry Sikorski
 Phone: 587-2256
 Fund type (MOF) Other Federal Funds
 Appropriation Acct. No. S-14-215,203,204,205,206,225, etc.

Intended Purpose:

Source of Revenues:

Federal Reimbursements

Current Program Activities/Allowable Expenses:

Federal Reimbursement - Federal clearing Accounts for O&M and county pass thru funds.

Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	13,027,315	13,204,897	13,203,324	14,836,091	13,970,591	13,970,591	13,970,591
Beginning Cash Balance	13,586,239	3,208,401	6,150,646	5,760,844	17,460,240	24,035,127	30,483,637
Revenues	21,591,620	35,710,072	51,886,513	20,000,000	15,000,000	15,000,000	15,000,000
Expenditures	8,057,079	5,756,264	3,683,695	8,300,604	8,425,113	8,551,490	8,679,762
Transfers	(23,912,379)	(27,011,563)	(48,592,620)				
List each by JV# and date							
Net Total Transfers	(23,912,379)	(27,011,563)	(48,592,620)				
Ending Cash Balance	3,208,401	6,150,646	5,760,844	17,460,240	24,035,127	30,483,637	36,803,875
Encumbrances	6,670,047	2,471,900	5,465,365	12,000,000	12,000,000	12,000,000	12,000,000
Unencumbered Cash Balance	(3,461,646)	3,678,746	295,479	5,460,240	12,035,127	18,483,637	24,803,875
Additional Information:							
Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Department: TRN
 Prog ID(s): TRN 501-595
 Name of Fund: State CIP
 Legal Authority Various (Latest: ACT 134 SLH 2013)

Contact Name: Jerry Sikorski
 Phone: 587-2256
 Fund type (MOF) B,R,S
 Appropriation Acct. No. Various Appropriations

Intended Purpose:

Source of Revenues:

Special Funds

Current Program Activities/Allowable Expenses:

Roadway - Design, ROW, Construction and Planning Programs.

Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	17,400,000	12,000,000	13,000,000	18,669,000	26,200,000	16,000,000	16,000,000
Beginning Cash Balance	13,297,907	3,804,231	3,712,125	3,405,714	(11,501,545)	(26,632,412)	(41,990,242)
Revenues	0	0	0	0	0	0	0
Expenditures	14,256,064	30,664,143	11,036,127	14,907,258	15,130,867	15,357,830	15,588,197
Transfers	4,762,389	30,572,037	10,729,716				
List each by JV# and date							
Net Total Transfers	4,762,389	30,572,037	10,729,716				
Ending Cash Balance	3,804,231	3,712,125	3,405,714	(11,501,545)	(26,632,412)	(41,990,242)	(57,578,439)
Encumbrances	23,765,373	23,391,922	18,653,028	5,123,825	5,200,682	5,278,692	5,357,872
Unencumbered Cash Balance	(19,961,142)	(19,679,797)	(15,247,314)	(16,625,369)	(31,833,094)	(47,268,934)	(62,936,311)

Additional Information:

Amount Req. for Bond Conveyance							

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Department: TRN
 Prog ID(s): TRN 501-595
 Name of Fund: Federal CIP
 Legal Authority Title 23 U.S.C. As Amended, Various State Acts(Latest: ACT 134 SLH 2013)

Contact Name: Jerry Sikorski
 Phone: 587-2256
 Fund type (MOF) Other Federal Funds (N,V)
 Appropriation Acct. No. S-85-221 S-93-237 S-94-240 others

Intended Purpose:

Source of Revenues:

Federal Reimbursements

Current Program Activities/Allowable Expenses:

Design, ROW, Construction and Planning Programs.

Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	116,478,000	117,167,000	161,274,200	89,145,000	355,423,000	120,000,000	120,000,000
Beginning Cash Balance	85,833,263	64,311,058	79,091,274	96,573,694	79,573,694	62,318,694	44,804,869
Revenues	132,825,439	96,665,072	96,703,781	123,000,000	124,845,000	126,717,675	128,618,440
Expenditures	146,514,501	127,316,265	153,050,844	140,000,000	142,100,000	144,231,500	146,394,973
Transfers	(7,833,143)	45,431,410	73,829,482				
List each by JV# and date							
Net Total Transfers	(7,833,143)	45,431,410	73,829,482				
Ending Cash Balance	64,311,058	79,091,274	96,573,694	79,573,694	62,318,694	44,804,869	27,028,336
Encumbrances	321,071,609	358,475,767	348,545,634	280,243,700	284,447,356	288,714,066	293,044,777
Unencumbered Cash Balance	(256,760,551)	(279,384,493)	(251,971,940)	(200,670,006)	(222,128,662)	(243,909,197)	(266,016,441)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Department: TRN
 Prog ID(s): TRN 501-595
 Name of Fund: Revolving & OHA
 Legal Authority Non Lapsing

Contact Name: Jerry Sikorski
 Phone: 587-2256
 Fund type (MOF) Revolving Funds
 Appropriation Acct. No. S-14-322, 332, etc.

Intended Purpose:

Source of Revenues:

Transfer in from other funds.

Current Program Activities/Allowable Expenses:

Payroll and administration activities. Clearing accounts for payroll, fringe's and construction admin programs.

Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	26,700,574	33,919,671	40,770,298	39,718,678	38,125,730	36,508,887	34,867,792
Revenues	46,669,860	51,998,284	47,832,844	34,205,344	34,718,424	35,239,200	35,767,789
Expenditures	42,930,763	58,261,680	58,206,590	35,798,292	36,335,266	36,880,295	37,433,500
Transfers	3,480,000	13,114,023	9,322,125				
List each by JV# and date							
Net Total Transfers	3,480,000	13,114,023	9,322,125				
Ending Cash Balance	33,919,671	40,770,298	39,718,678	38,125,730	36,508,887	34,867,792	33,202,081
Encumbrances							
Unencumbered Cash Balance	33,919,671	40,770,298	39,718,678	38,125,730	36,508,887	34,867,792	33,202,081

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Department: TRN
 Prog ID(s): TRN 501-597
 Name of Fund: State Operating Allotment
 Legal Authority HRS 243-4 HRS 249-31 HRS 249-33 ACT 223 SLH 1999

Contact Name: Jerry Sikorski
 Phone: 587-2256
 Fund type (MOF) Special Funds
 Appropriation Acct. No. S-14-321,010,020,026,029,032,035,036,039

Intended Purpose:

Source of Revenues:

Fuel Taxes, Vehicle Registration Fee, Motor Vehicle Weight, Rental Motor Vehicle Surcharge Tax, and other income.

Current Program Activities/Allowable Expenses:

Roadway - Design, ROW, Construction and Planning Programs.

Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	218,323,808	227,582,156	272,503,384	275,713,039	276,025,658	275,657,142	279,257,924
Beginning Cash Balance	99,008,082	118,998,074	103,848,876	109,490,699	137,370,180	147,517,861	161,100,309
Revenues	199,927,881	234,152,857	258,761,218	256,751,481	260,147,681	263,582,448	267,060,908
Expenditures	184,738,757	220,677,832	204,354,237	228,872,000	250,000,000	250,000,000	250,000,000
Transfers	4,800,869	(28,624,224)	(48,765,157)				
List each by JV# and date							
Net Total Transfers	4,800,869	(28,624,224)	(48,765,157)				
Ending Cash Balance	118,998,074	103,848,876	109,490,699	137,370,180	147,517,861	161,100,309	178,161,217
Encumbrances	133,263,621	111,983,510	123,627,276	150,185,258	152,438,037	154,724,607	157,045,477
Unencumbered Cash Balance	(14,265,547)	(8,134,635)	(14,136,577)	(12,815,078)	(4,920,176)	6,375,702	21,115,740

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Department: TRN
 Prog ID(s): TRN 501-595
 Name of Fund: Operating Trust
 Legal Authority Non Lapsing

Contact Name: Jerry Sikorski
 Phone: 587-2256
 Fund type (MOF) Trust Funds
 Appropriation Acct. No. T-14-921, 931,T-14-923,etc.

Intended Purpose:

Source of Revenues:

Cash Receipts

Current Program Activities/Allowable Expenses:

Trust and Agency Funds

Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	14,569,888	24,779,971	23,347,220	22,735,959	21,845,959	20,942,609	20,025,709
Revenues	12,185,754	36,729,751	1,931,947	2,500,000	2,537,500	2,575,563	2,614,196
Expenditures	7,512,249	7,593,375	1,159,255	3,390,000	3,440,850	3,492,463	3,544,850
Transfers	5,536,578	(30,569,127)	(1,383,953)				
List each by JV# and date							
Net Total Transfers	5,536,578	(30,569,127)	(1,383,953)				
Ending Cash Balance	24,779,971	23,347,220	22,735,959	21,845,959	20,942,609	20,025,709	19,095,055
Encumbrances	15,214,763	12,538,010	9,282,865	9,000,000	9,135,000	9,272,025	9,411,105
Unencumbered Cash Balance	9,565,208	10,809,210	13,453,095	12,845,959	11,807,609	10,753,684	9,683,950

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Department: TRANSPORTATION
 Prog ID(s): TRN 995
 Name of Fund: General Administration
 Legal Authority Act 106/SLH 2012

Contact Name: Kevin Nako
 Phone: (808) 587-1989
 Fund type (MOF) B
 Appropriation Acct. No. S - 001 - D

Intended Purpose:

Operating funds for Administration

Source of Revenues:

Assessments from the other three DOT Divisions . . Airports, Harbors and Highways.

Current Program Activities/Allowable Expenses:

Departmental Administration and staff operations, payroll, non-labor expenses, protocol fund, etc.

Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	13,972,523	14,946,700	14,833,618				
Beginning Cash Balance	5,298,175	6,684,062	7,301,410	7,341,574	7,341,574	7,341,574	7,341,574
Revenues	237,427	131,538	102,953				
Expenditures	11,004,230	11,209,565	12,422,346				
Transfers							
List each by JV# and date							
JV-005 dtd 07/16/12			(2,500)				
JV-007 dtd 07/25/12			3,707,779				
JV-028 dtd 08/27/12			(2,810,160)				
JV-051 dtd 10/04/12			4,030,909				
JV-095 dtd 12/19/12			3,793,162				
JV-158 dtd 03/2/13			3,640,367				
Net Total Transfers	11,522,690	11,695,375	12,359,557				
Ending Cash Balance	6,684,062	7,301,410	7,341,574	7,341,574	7,341,574	7,341,574	7,341,574
Encumbrances	1,487,609	2,007,847	1,375,119				

Unencumbered Cash Balance	5,196,453	5,293,563	5,966,455	7,341,574	7,341,574	7,341,574	7,341,574

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Department: TRANSPORTATION
 Prog ID(s): TRN 695
 Name of Fund: Aloha Tower Development Corporation
 Legal Authority Act 106/SLH 2012

Contact Name: Kevin Nako
 Phone: (808) 587-1989
 Fund type (MOF) B
 Appropriation Acct. No. S - 088 - D

Intended Purpose:

ATDC is placed within the Department of Transportation for administrative purposes, pursuant to section 26-35.

Source of Revenues:

Monthly lease payments.

Current Program Activities/Allowable Expenses:

The monies on deposit in the fund shall be used for lease payments to the Department of Transportation and for the development , re-development, or improvement of the Honolulu Waterfront located seaward of Nimitz Highway between Pier 4 and Pier 11.

Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0				
Beginning Cash Balance	0	0	1,000,000	552,318	552,318	552,318	552,318
Revenues	0	1,000,000	614,818				
Expenditures	0	0	1,062,500				
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0				
Ending Cash Balance	0	1,000,000	552,318	552,318	552,318	552,318	552,318
Encumbrances	0	0	0				
Unencumbered Cash Balance	0	1,000,000	552,318	552,318	552,318	552,318	552,318

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Department: TRANSPORTATION
 Prog ID(s): TRN 995
 Name of Fund: Ferry Boat Acquisitions and Pier Improvements
 Legal Authority: Non-Appropriated FTA Grants

Contact Name: Kevin Nako
 Phone: (808) 587-1989
 Fund type (MOF) N
 Appropriation Acct. No. S - 210 - D

Intended Purpose:

Federal Transit Administration Earmarked Funds.

Source of Revenues:

Federal Transit Administration (FTA) Funds. Section 5305 provides funding for contract services for the update of the coordinated Public Transit Human

Current Program Activities/Allowable Expenses:

Provide the Federal Share of FTA Grant No.s HI-80-0018 (grant closed 5/30/12). Federal participating expenses incurred under terms of the various FTA grant(s).

Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	100,001	33,096	30,134	0	0	0	0
Revenues	213,466	29,644	0				
Expenditures	223,969	19,140	0				
Transfers							
List each by JV# and date							
JV-022 dtd 08/26/12			(30,134)				
Net Total Transfers	(56,402)	(13,466)	(30,134)				
Ending Cash Balance	33,096	30,134	0	0	0	0	0
Encumbrances	19,629	19,629	0				
Unencumbered Cash Balance	13,467	10,505	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Department: TRANSPORTATION
 Prog ID(s): TRN 995
 Name of Fund: Intermodal Surface Transportation Efficiency Programs
 Legal Authority: 23 USC (23 CFR Part 420.103)

Contact Name: Kevin Nako
 Phone: (808) 587-1989
 Fund type (MOF) N
 Appropriation Acct. No. S - 256 - D

Intended Purpose:

Federal monies received from FHWA will be deposited into this account as reimbursement for the Statewide Planning & Research program.

Source of Revenues:

Current Program Activities/Allowable Expenses:

FHWA Grant SPR-0010 (29) / (31) / (32) / (33) / (34)

Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	975,000	11,303,104	3,690,489				
Beginning Cash Balance	501,567	28,143	4,774	16,140	16,140	16,140	16,140
Revenues	448,195	7,180	6,433				
Expenditures	376,507	4,263	100,728				
Transfers							
List each by JV# and date							
JV-022 dtd 08/26/12			30,604				
JV-232 dtd 06/24/13			75,057				
Net Total Transfers	(545,112)	(26,286)	105,661				
Ending Cash Balance	28,143	4,774	16,140	16,140	16,140	16,140	16,140
Encumbrances	4,774	4,774	0				
Unencumbered Cash Balance	23,369	0	16,140	16,140	16,140	16,140	16,140

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: TRANSPORTATION
 Prog ID(s): TRN 995
 Name of Fund: FTA (Federal Transit Authority)
 Legal Authority 49 USC, Section 5310

Contact Name: Kevin Nako
 Phone: (808) 587-1989
 Fund type (MOF) N
 Appropriation Acct. No. S - 257 - D

Intended Purpose:

Federal Tranist Act Capital Assistance grant under Section 5310. These funds will aid in financing capital items for private nonprofit groups which will help meet the transportation needs of the elderly and persons with disabilities in the State of Hawaii.

Source of Revenues:

FTA funds provide 80% matching share under various FTA capital assistance grants under section 5310. See Appropriation S-xx-335-D which provides the 20% private contributions.

Current Program Activities/Allowable Expenses:

FTA Grant No. HI-16-0034, HI-16-0035, HI-16-0036 & HI-16-0037. Financing capital items under Section 5310 for various non-profit organizations in the State of Hawaii.

Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,492,468	1,223,708	1,292,468				
Beginning Cash Balance	898	13,038	471	0	0	0	0
Revenues	877,514	104,686	46,286				
Expenditures	865,374	104,215	46,286				
Transfers							
List each by JV# and date							
JV-022 dtd 08/26/12			(471)				
Net Total Transfers	0	(13,038)	(471)				
Ending Cash Balance	13,038	471	0	0	0	0	0
Encumbrances	67,748	0	0				
Unencumbered Cash Balance	(54,710)	471	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: TRANSPORTATION
 Prog ID(s): TRN 995
 Name of Fund: FTA (Federal Transit Authority)
 Legal Authority 49 USC, Sections 5311, 5309, 5303 & 5313(b), 5316, 5317

Contact Name: Kevin Nako
 Phone: (808) 587-1989
 Fund type (MOF) N
 Appropriation Acct. No. S - 258 - D

Intended Purpose:

Federal Transit Act grants: Section 5311 funds provide public transportation assistance in non-urbanized areas. Section 5309 provides capital assistance for buses and related equipment and facilities. Sections 5303 and 5313(b) provide funds for technical studies and State planning and research. Section 5316 provides funds to address transportation challenges faced by welfare recipients and low-income persons seeking to obtain and maintain employment. Section 5317 provides additional tools to overcome existing barriers facing Americans with disabilities seeking integration into the work force and society.

Source of Revenues:

Federal Transit Administration (FTA) Funds.

Current Program Activities/Allowable Expenses:

FTA Grant Nos. HI-18-X023, -X025, -X026, -X027, -X028, -X029, -X030, HI-03-0034, -0038, -0039, -0044, -048 & HI-04-0002, -0003, -0004, -0007, -0009, -0010 & HI-37-X001, -X003, -X006, -X008 & HI-57-X001, -X006, -X008 & HI-80-X016, -X017, -0019, -0020, -0021, -0022.

Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	30,855,315	20,795,971	28,174,481				
Beginning Cash Balance	661	589,832	566,784	566,984	566,984	566,984	566,984
Revenues	3,302,994	11,319,840	9,748,278				
Expenditures	3,315,337	11,320,622	9,748,078				
Transfers							
List each by JV# and date							
Net Total Transfers	601,514	(22,266)	0				
Ending Cash Balance	589,832	566,784	566,984	566,984	566,984	566,984	566,984

Encumbrances	0	0	0				
Unencumbered Cash Balance	589,832	566,784	566,984	566,984	566,984	566,984	566,984

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: TRANSPORTATION
 Prog ID(s): TRN 995
 Name of Fund: Bus Purchases & Shelters - ARRA
 Legal Authority 49 USC, Section 5311

Contact Name: Kevin Nako
 Phone: (808) 587-1989
 Fund type (MOF) V
 Appropriation Acct. No. S - 259 - D

Intended Purpose:

Federal Transit Administration's American Recovery & Reinvestment Act of 2009 (ARRA) funds.

Source of Revenues:

These ARRA FTA funds provide funding for the counties to buy buses and shelters under Section 5311.

Current Program Activities/Allowable Expenses:

FTA Grant No. HI-86-X001 (grant closed 7/26/12).

Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0				
Beginning Cash Balance	0	0	75,057	0	0	0	0
Revenues	2,861,109	72,326	0				
Expenditures	2,861,109	72,326	0				
Transfers							
List each by JV# and date							
JV-232 dtd 06/24/13			(75,057)				
Net Total Transfers	0	75,057	(75,057)				
Ending Cash Balance	0	75,057	0	0	0	0	0
Encumbrances	0	0	0				
Unencumbered Cash Balance	0	75,057	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: TRANSPORTATION
 Prog ID(s): TRN 995
 Name of Fund: FTA (Federal Transit Authority)
 Legal Authority 49 USC, Section 5310

Contact Name: Kevin Nako
 Phone: (808) 587-1989
 Fund type (MOF) R
 Appropriation Acct. No. S - 335 - D

Intended Purpose:

Non-profit organizations' 20% share of Federal Transit Act Capital Assistance grant under Section 5310.

Source of Revenues:

Non-profit organizations provide the 20% matching share under various FTA capital assistance grants under Section 5310.

See Appropriation S-xx-257-D which provides the 80% Federal Share.

Current Program Activities/Allowable Expenses:

FTA Grant No. HI-16-0034, HI-16-0035, HI-16-0036 & HI-16-0037. Financing capital items under Section 5310 for various non-profit organizations in the State of Hawaii.

Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	423,067	423,067	423,067				
Beginning Cash Balance	196,387	16,938	0	0	0	0	0
Revenues	16,938	0	0				
Expenditures	196,387	16,938	0				
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0				
Ending Cash Balance	16,938	0	0	0	0	0	0
Encumbrances	0	0	0				
Unencumbered Cash Balance	16,938	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: TRANSPORTATION
 Prog ID(s): TRN 995
 Name of Fund: Protocol Funds for Executive Heads
 Legal Authority Act 106/SLH 2012

Contact Name: Kevin Nako
 Phone: (808) 587-1989
 Fund type (MOF) B
 Appropriation Acct. No. S - 398 - D

Intended Purpose:

Provide discretionary funds for the head of the department.

Source of Revenues:

\$2,500.00 is transferred from the "General Administration Fund, S-xx-001-D" to fund this appropriation.

Current Program Activities/Allowable Expenses:

To provide discretionary funds for the head of the department in accordance with Act 213, SLH 2007.

Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,500	2,500	2,500				
Beginning Cash Balance	0	1,395	1,830	1,824	1,824	1,824	1,824
Revenues	0	0	0				
Expenditures	1,105	670	676				
Transfers							
List each by JV# and date							
JV-007 dtd 07/22/12			2,500				
JV-028 dtd 08/27/12			(1,830)				
Net Total Transfers	2,500	1,105	670				
Ending Cash Balance	1,395	1,830	1,824	1,824	1,824	1,824	1,824
Encumbrances	0	0	0				
Unencumbered Cash Balance	1,395	1,830	1,824	1,824	1,824	1,824	1,824

Additional Information:

Amount Req. for Bond Conveyance							
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Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: TRANSPORTATION
 Prog ID(s): TRN 995
 Name of Fund: Bid Bond Deposits - Administration
 Legal Authority

Contact Name: Kevin Nako
 Phone: (808) 587-1989
 Fund type (MOF) Trust
 Appropriation Acct. No. T - 905 - D

Intended Purpose:

Receipt of and disbursement of Bid Bond Deposits for contracts that are put out to bid.

Source of Revenues:

Receipt of bid bond deposits for contracts that are put out to bid. Also, AMTRAK funds are held temporarily until specific use of the funds are determined by Transportation Officials. Interest revenue from the Budget & Finance Investment Pool program.

Current Program Activities/Allowable Expenses:

Procurement & contracting. Temporary deposit of AMTRAK funds for the Highways Division. Disbursement and/or refund of the bid bond deposit for contracts. AMTRAK funds are used for various transportation projects in the State of Hawaii.

Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	188,636	201,201	295,581	188,365	188,365	188,365	188,365
Revenues	176,150	133,605	55,567				
Expenditures	163,585	39,225	162,783				
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0				
Ending Cash Balance	201,201	295,581	188,365	188,365	188,365	188,365	188,365
Encumbrances	0	0	0				
Unencumbered Cash Balance	201,201	295,581	188,365	188,365	188,365	188,365	188,365

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							