

**Report on Non-General Fund Information
for Submittal to the 2015 Legislature**

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-102
 Name of Fund: State Broadband Data and Development Grant Program
 Legal Authority: non-appropriated 1/, CFDA: 11.558 American Recovery and Reinvestment Act-SBDD-Hawaii Department of Commerce Affairs (Award no. 15-50-M09057)

Contact Name: Cathy Takase
 Phone: 586-5481
 Fund type (MOF): Federal Stimulus Funds (V)
 Appropriation Acct. No. S-XX-201-R

Intended Purpose:

The grant is to develop an on-line database that can be used by consumers to identify the availability, speed, and location of broadband services throughout Hawaii.

Source of Revenues:

Federal grant from the U.S. Department of Commerce

Current Program Activities/Allowable Expenses:

The initial grant includes \$1,449,940 for broadband data collection and mapping activities over a two-year period and \$500,000 for broadband planning activities. The overall award period is January 1, 2010 - December 31, 2011 for broadband mapping activities and January 1, 2010 - December 31, 2014 for broadband planning activities, if any. The project end-date has been subsequently extended to January 31, 2015.

Subsequently, additional federal funding in the amount of \$2,400,000 was awarded on September 28, 2010 to complete the project to map broadband availability and engage in broadband planning activities for five years.

Purpose of Proposed Ceiling Increase (if applicable):

Not applicable.

Variances:

Variances in the revenues and expenditures each year reflect differing projects completed by the Department to map broadband availability and promote broadband access. The grant terminates in FY 2015.

Financial Data							
	FY 2012 (actual)	FY 2013 (actual)	FY 2014 (actual)	FY 2015 (estimated)	FY 2016 (estimated)	FY 2017 (estimated)	FY 2018 (estimated)
Appropriation Ceiling							
Beginning Cash Balance	73	130	206	175	175	175	175
Revenues 2/	830,409	432,663	944,260	766,593	0	0	0
Expenditures	830,352	432,587	944,291	766,593	0	0	0
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	130	206	175	175	175	175	175
Encumbrances		0	0				
Unencumbered Cash Balance	130	206	175	175	175	175	175

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Governor's approval to apply and expend federal grant (federal stimulus funds) were secured on September 17, 2010 and October 18, 2010.

2/ Revenue Projections based on Rev Est to B&F November 2014.

**Report on Non-General Fund Information
for Submittal to the 2015 Legislature**

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-102
 Name of Fund: Compliance Resolution Fund - Cable Television
 Legal Authority: Chapter 440G, HRS, Act 199, SLH 2010

Contact Name: Michael Wun
 Phone: 587-6754
 Fund type (MOF): Special Funds (B)
 Appropriation Acct. No.: S-XX-302-R

Intended Purpose:

To foster the development of responsive and reliable cable communications services for the people of Hawaii, by promoting the public interest in authorizations by the State regarding cable franchises; regulate basic cable rates and service to ensure compliance with applicable State and Federal law; expand and operate the statewide Institutional Network; and continue the availability of public, education and government cable access. Additional responsibilities due to Act 199 tasked CATV to implement activities to create ubiquitous access to high speed broadband at affordable prices throughout the State.

Source of Revenues:

Franchise Fees (HAR 16-132) paid by cable tv operators.

Current Program Activities/Allowable Expenses:

- Issues and enforces franchises for cable communications services.
- Promulgates and enforces rules and regulations governing the practices, procedures, quality and quantity of services including rates, and customer and technical operations of cable communications companies.
- Plans and encourages the development of new, improved and more effective utilization of cable communications services, equipment, and facilities including the State's Institutional Network (INET), and public, educational, and government (PEG) access resources and facilities, which will enhance communications services available to Hawaii's citizens.
- Support public and private efforts to enhance or facilitate deployment; making recommendations to establish affordable, accessible broadband services to un-served and underserved areas; increase usage and demand by facilitating deployment of expanded applications such as telework, telemedicine, e-learning; and assist in implementing recommendations in the Hawaii Broadband Task Force Report of December 2008, and the goals of the Governor's Hawaii Broadband Initiative of August 2011.

Purpose of Proposed Ceiling Increase (if applicable):

1. Fringe benefits

Pursuant to Finance Memorandum No. 14-12, the State's fringe benefit assessment rate for non-general fund programs increases to 52% for FY 16 and FY 17 due primarily to the projected costs for the prefunding of other post-employment benefits. The Department requires the requested budget increase to effectively fund all authorized positions.

Variances:

Variances in revenues result from an increase in the franchise fee from approximately 4.64% to the 5% limit, allowed pursuant to federal law, which will be implemented in FY 2015. Variances for expenditures relate to anticipated management audit and other expert consulting services contracts for work requested by the Legislature and to assist with review of other large proceedings.

Financial Data							
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,753,288	2,110,809	2,391,537	2,391,537	2,534,951	2,565,971	2,565,971
Beginning Cash Balance	3,170,379	3,336,867	3,477,137	4,076,170	2,785,205	2,516,045	2,113,429
Revenues 1/	1,975,771	2,046,102	2,102,851	2,200,000	2,600,000	2,500,000	2,500,000
Expenditures	1,525,105	1,524,055	1,068,949	3,100,456	2,534,951	2,565,971	2,565,971
Transfers							
List each by JV# and date							
JS1552	9/19/2013		(108,717)				
JS2718	11/6/2013		(108,717)				
JS4371	2/14/2014		(108,717)				
JS5504	4/15/2014		(108,718)				
Net Total Transfers	(284,178)	(381,777)	(434,869)	(390,509)	(334,209)	(336,645)	(336,645)
Ending Cash Balance	3,336,867	3,477,137	4,076,170	2,785,205	2,516,045	2,113,429	1,710,813
Encumbrances	254,492	273,382	708,919				
Unencumbered Cash Balance	3,082,375	3,203,755	3,367,251	2,785,205	2,516,045	2,113,429	1,710,813

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue projections based on Rev Est to B&F November 2014.

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-102
 Name of Fund: Funds held outside the State Treasury by Time Warner
 Legal Authority: Decision & Order No. 346, Section IV.I.4

Contact Name: Glen Chock
 Phone: 586-2620
 Fund type (MOF): Funds held outside of State Treasury
 Appropriation Acct. No. T-15-907

Intended Purpose:

Franchise fees over the cap amount that is paid by Oceanic to Olelo are provided to DCCA for INET/Broadband purposes.

Source of Revenues:

Franchise fees (HAR 16-132) paid by cable tv operators.

Current Program Activities/Allowable Expenses:

Expenses related to expansion and upgrade of the Institutional Network ("INET"); and any other expenses/activities relating to broadband.

Purpose of Proposed Ceiling Increase (if applicable):

N/A

Variations:

Variations in revenues each year arise due to changes in Oceanic's gross revenues, which are based upon the number of customers and the kinds of packages to which such customers subscribe. The variance for FY 2015 expenditure reflects the payment by the Department to the Department of Labor and Industrial Relations for Capital Improvement Grant to Hawaii Public Television, authorized by the 2014 Legislature.

Financial Data							
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				2,000,000			0
Beginning Cash Balance	2,754,912	4,619,445	6,313,011	7,742,119	7,242,119	8,742,119	10,242,119
Revenues	1,864,533	1,693,566	1,429,108	1,500,000	1,500,000	1,500,000	1,500,000
Expenditures							
Transfers							
List each by JV# and date			0				
Net Total Transfers			0	(2,000,000)			
Ending Cash Balance	4,619,445	6,313,011	7,742,119	7,242,119	8,742,119	10,242,119	11,742,119
Encumbrances							
Unencumbered Cash Balance	4,619,445	6,313,011	7,742,119	7,242,119	8,742,119	10,242,119	11,742,119

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-103
 Name of Fund: CRF-PUC Spcl Fnd Allocation-Consumer Advocacy
 Legal Authority: Section 269-33, HRS

Contact Name: Michael Wun
 Phone: 587-6758
 Fund type (MOF): Special Funds (B)
 Appropriation Acct. No.: S-XX-303-R

Intended Purpose:

To fund the operations of the Division of Consumer Advocacy.

Source of Revenues:

Public utility fees paid to the public utilities commission pursuant to HRS §269-30, and placed in the public utilities commission special fund. Pursuant to HRS §269-33, on a quarterly basis, an amount is allocated in accordance with legislative appropriations to the DCA and deposited in the compliance resolution fund.

Current Program Activities/Allowable Expenses:

To represent, advance and protect the interests of consumers of regulated utility and transportation services. The division is a party to every proceeding before the Hawaii Public Utilities Commission. The division participates in, among other things, reviews of utility and transportation companies' requests to increase rates, service reliability investigations, energy utility integrated resource plans, and capital improvement projects for utilities. In addition, the division strives to promote effective competition and consumer protection through contested cases and rule making proceedings regarding telecommunications and electric power infrastructure development.

Purpose of Proposed Ceiling Increase (if applicable):

1. Fringe benefits

Pursuant to Finance Memorandum No. 14-12, the State's fringe benefit assessment rate for non-general fund programs increases to 52% for FY 16 and FY 17 due primarily to the projected costs for the prefunding of other post-employment benefits. The Department requires the requested budget increase to effectively fund all authorized positions.

Variations:

Expenditure variances: Year to year variances in the expenditures will be primarily driven by variances in consultant contracts. The Division relies on assistance from consultants for large and/or complex proceedings.

Revenue variances: Revenue reflects amount allocated by legislative appropriation.

Financial Data

	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,701,465	2,938,787	3,031,508	3,830,936	4,159,141	4,241,213	4,241,213
Beginning Cash Balance	3,395,361	4,275,160	4,695,037	5,399,924	3,612,071	3,066,337	2,520,603
Revenues 1/	3,024,837	2,715,111	3,092,514	3,842,936	4,171,141	4,253,213	4,253,213
Expenditures	1,718,681	1,758,522	1,835,200	5,082,446	4,159,141	4,241,213	4,241,213
Transfers							
List each by JV# and date							
JS1552 9/19/2013			(138,107)				
JS2718 11/6/2013			(138,107)				
JS4371 2/14/2014			(138,107)				
JS5504 4/15/2014			(138,106)				
Net Total Transfers	(426,359)	(536,712)	(552,427)	(548,343)	(557,734)	(557,734)	(557,734)
Ending Cash Balance	4,275,158	4,695,037	5,399,924	3,612,071	3,066,337	2,520,603	1,974,869
Encumbrances	1,051,449	844,196	1,251,510				
Unencumbered Cash Balance	3,223,709	3,850,841	4,148,414	3,612,071	3,066,337	2,520,603	1,974,869

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue projections based on Rev Est to B&F November 2014.

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA 104, CCA-110
 Name of Fund: Multi-state Enforcement and Education Fund/
 Multi-state Mortgage Servicer Agreement Fund
 Legal Authority: Multi-state Mortgage Settlement Agreement,
 Multi-state Mortgage Servicer Settlement Agreements

Contact Name: Michael Wun
 Phone: 587-6754

Fund type (MOF) Trust Funds (T)

Appropriation Acct. No. T-XX-932-R

Intended Purpose:

- Provide support of general consumer protection enforcement efforts, including but not limited to, mortgage rescue fraud, and to educate homeowners about mortgage rescue fraud scams.
- Monitor compliance with the terms of the multi-state mortgage servicer settlement agreements, supervise and regulate the mortgage loan industry, and provide consumer education.

Source of Revenues:

- Part of a grant from the Dept. of the Attorney General awarded to the DCCA out of Hawaii's share of the National Mortgage Settlement.
- Funds paid by the five mortgage servicers as required by the terms of the multi-state mortgage servicer settlement agreements.

Current Program Activities/Allowable Expenses:

- The fund permits the Office of Consumer Protection to hire additional legal, investigative and support staff to support ongoing enforcement actions, investigations and outreach programs, as well as related operating expenses.
- Licenses, supervises and regulates mortgage loan originators, mortgage loan originator companies and mortgage servicers. Funds will be used to hire staff to conduct investigations, examine for compliance

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable.

Variances:

- Variances in revenues between various FYs due to timing of disbursements of the grant from the Dept. of the Attorney General and payments under the multi-state mortgage servicer settlement agreements.
- Variances in expenditures primarily due to: (1) FY 14 increase over FY 13 - hiring of staff for examinations and investigations, (2) FY 15 increase over FY 14 - replacement of computers, reconfiguration of office, training and collective bargaining increases for mortgage-related staff, (3) FY 17 and FY18 - most of settlement funds spent through FY 17, balance remaining to be spent in FY 18.

Financial Data							
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				0	0	0	0
Beginning Cash Balance	0	0	2,078,093	1,380,418	848,948	405,323	101,723
Revenues ^{1/}		2,250,000	0	371,000	379,000	0	0
Expenditures		171,907	497,675	902,470	822,625	303,600	22,200
Transfers							
List each by JV# and date							
JM0018	7/5/2013		(200,000)				
Net Total Transfers	0	0	(200,000)				
Ending Cash Balance	0	2,078,093	1,380,418	848,948	405,323	101,723	79,523
Encumbrances		95,145	157,416				
Unencumbered Cash Balance	0	1,982,948	1,223,002	848,948	405,323	101,723	79,523

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

^{1/} Revenue projections based on Rev Est to B&F November 2014.

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-104
 Name of Fund: CRF- Financial Institutions
 Legal Authority: Sections 412:2-109, 449-14, 489D-12.5, 454F-18, 454F-23, 454M-11, and 26-9(o), HRS

Contact Name: Michael Wun
 Phone: 587-6754
 Fund type (MOF): Special Funds (B)
 Appropriation Acct. No. S-XX-320-R

Intended Purpose:

To allow the Commissioner to appoint examiners to examine the affairs of financial institutions, escrow depositories, money transmitters, mortgage loan originators, mortgage loan originator companies, and mortgage servicers; to appoint any necessary administrative support personnel; and to pay for any expenses incurred during examinations or necessary for the education and training of personnel and other expenses related to examinations and administrative costs as well as to support the entire program of the Division of Financial Institutions (DFI).

Source of Revenues:

Transfer of \$2 million from taxes paid by banks and other financial corporations (HRS 241-7); and application, examination, licensing, and other fees and fines paid by financial institutions, escrow depositories, money transmitters, mortgage loan originators, mortgage loan originator companies, and mortgage servicers.

Current Program Activities/Allowable Expenses:

Ensures the safety and soundness of state-chartered and state-licensed financial institutions by fairly administering applicable statutes and rules through a program of supervision and regulation through chartering or licensure, examination, application review, off-site monitoring, investigation, and complaint handling and is also responsible for the licensing, supervision, and examination of escrow depositories, money transmitters, mortgage loan originators, mortgage loan originator companies, and mortgage servicers.

Purpose of Proposed Ceiling Increase (if applicable):

1. Fringe benefits

Pursuant to Finance Memorandum No. 14-12, the State's fringe benefit assessment rate for non-general fund programs increases to 52% for FY 16 and FY 17 due primarily to the projected costs for the prefunding of other post-employment benefits. The Department requires the requested budget increase to effectively fund all authorized positions.

Variances:

Increase in revenues between FY 13 and FY 14 was due to increases in the fees assessed all entities regulated by DFI that were enacted in 2013 and took effect in FY 14. Expenditures increased or are expected to increase for the following reasons: (1) FY 13 increase over FY 12: collective bargaining pay raises, replacement of computers, and various increases in other expenditure categories; (2) FY 15 increase over FY 14: increase in personnel expenditures as Division fills its vacant positions; and (3) FY 16 over FY 15: collective bargaining increases and budget requests to cover increase in fringe rate for existing positions.

Financial Data							
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,387,006	3,277,594	3,384,920	3,384,920	3,832,746	3,954,912	3,954,912
Beginning Cash Balance	4,637,308	5,043,246	5,276,725	6,416,485	7,043,404	7,114,724	7,063,103
Revenues 1/	3,348,969	3,623,557	4,346,585	4,565,043	4,461,800	4,461,025	4,501,000
Expenditures	2,414,663	2,785,228	2,588,064	3,398,770	3,832,746	3,954,912	3,954,912
Transfers							
List each by JV# and date							
JS1552	9/19/2013		(154,690)				
JS2718	11/6/2013		(154,690)				
JS4371	2/14/2014		(154,690)				
JS5504	4/15/2014		(154,691)				
Net Total Transfers	(528,368)	(604,850)	(618,761)	(539,354)	(557,734)	(557,734)	(557,734)
Ending Cash Balance	5,043,246	5,276,725	6,416,485	7,043,404	7,114,724	7,063,103	7,051,457
Encumbrances	125,531	16,216	13,850				
Unencumbered Cash Balance	4,917,715	5,260,509	6,402,635	7,043,404	7,114,724	7,063,103	7,051,457

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue projections based on Rev Est to B&F November 2014.

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-104
 Name of Fund: Mortgage Loan Recovery Fund
 Legal Authority: Section 454F-41, HRS

Contact Name: Michael Wun
 Phone: 587-6754
 Fund type (MOF): Trust Funds (T)
 Appropriation Acct. No.: T-XX-926-R

Intended Purpose:

To allow consumers harmed by persons that violate Chapter 454F, HRS, to recover damages sustained by the fraud, misrepresentation, or deceit of such persons.

Source of Revenues:

Fees paid by mortgage loan originator companies, branch offices of mortgage loan originator companies, and mortgage loan originators upon initial licensure and at annual renewal.

Current Program Activities/Allowable Expenses:

Licenses and regulates mortgage loan originator companies and mortgage loan originators. Mortgage Loan Recovery Fund (Recovery Fund) was established to protect consumers by making it easier to recover losses caused by persons that violate Chapter 454F, HRS. Allowable expenses are the payment of claims as ordered by a court and expenses related to the administration of the Recovery Fund.

Purpose of Proposed Ceiling Increase (if applicable):

Purpose of this request is to make permanent the increase in the appropriation for the Recovery Fund established by HRS Chapter 454F in order to pay claims against the Recovery Fund as may be ordered by the courts and to pay any expenses related to the administration of the Recovery Fund. The Division of Financial Institutions ("DFI") is currently aware of potential claims against two licensees that could require the payment of the maximum \$100,000 per licensee. Under the current appropriation ceiling, claimants would have to be paid over two years.

Variances:

Increase in revenues between FY 12 and FY 13 was due to increases in applicants for initial licensure and for license renewals that paid Recovery Fund fees. Revenue is expected to decrease in FY 16 when Commissioner makes the determination to cease collecting Recovery Fund fees at license renewal after DFI adopts administrative rules pursuant to HRS Section 454F-41 that will allow Commissioner to do so. Projected expenditures in FY 15 are based on claims against two licensees that are pending in court that are expected to be paid in FY 15. There were no previous payments from the Recovery Fund and DFI is not aware of other pending claims against other licensees.

Financial Data							
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	110,000	110,000	110,000	220,000	220,000	220,000	220,000
Beginning Cash Balance	285,450	493,750	803,115	1,124,965	1,232,865	1,344,965	1,458,565
Revenues ^{1/}	208,300	309,365	321,850	307,900	112,100	113,600	117,000
Expenditures	0	0	0	200,000	0	0	0
Transfers							
List each by JV# and date							
Net Total Transfers			0				
Ending Cash Balance	493,750	803,115	1,124,965	1,232,865	1,344,965	1,458,565	1,575,565
Encumbrances							
Unencumbered Cash Balance	493,750	803,115	1,124,965	1,232,865	1,344,965	1,458,565	1,575,565

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

^{1/} Revenue projections based on Rev Est to B&F, November 2014.

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-105
 Name of Fund: Compliance Resolution Fund - PVL
 Legal Authority: Section 26-9, HRS

Contact Name: Michael Wun
 Phone: 587-6754
 Fund type (MOF): Special Funds (B)
 Appropriation Acct. No.: S-XX-305-R

Intended Purpose:

To fund the operations of the Professional and Vocational Licensing Division.

Source of Revenues:

Application, license, and renewal fees.

Current Program Activities/Allowable Expenses:

For 48 regulated areas - process applications for licensure, examine applicants, license, renew, update licensee files, provide information and guidance to the public, applicants and licensees regarding regulatory areas orally and in writing, assist with or adopt or amend rules, propose and respond to legislative measures, liaison between 25 regulatory boards and DCCA, conduct public meetings, public hearings, produce minutes, facilitate decision-making by the boards in ordering disciplinary action against a licensee and granting, denying or otherwise conditioning license applications, network with outside entities for coordinated activities and keeping abreast of current trends, network with other State agencies for compliance (legislative auditor, ethics commission, procurement office).

Purpose of Proposed Ceiling Increase (if applicable):

1. Fringe Benefits

Pursuant to Finance Memorandum No. 14-12, the State's fringe benefit assessment rate for non-general fund programs increases to 52% for FY 16 and FY 17 due primarily to the projected costs for the prefunding of other post-employment benefits. The Department requires the requested budget increase to effectively fund all authorized positions.

Variances:

FY 2013 expenditure variance: Vacant positions were filled; FY 2015 expenditure variance: ALIAS database replacement project/collective bargaining agreements costs. Revenue Variances: the licensing renewal cycles are primarily bi-annual with some on annual and triennial cycles. Additionally, in FY 14 and FY 15 the program picked up a new licensing area- security guards.

Financial Data							
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	5,573,217	5,847,701	6,040,488	6,040,488	6,341,895	6,443,703	6,443,703
Beginning Cash Balance	5,085,645	5,569,732	4,892,873	5,581,187	3,977,886	3,998,258	3,106,215
Revenues 1/	5,680,330	5,252,095	6,729,244	5,794,689	7,208,899	6,398,292	7,225,000
Expenditures	4,325,831	4,856,729	4,936,633	6,484,745	6,341,895	6,443,703	6,443,703
Transfers							
List each by JV# and date							
JS1552	9/19/2013		(276,074)				
JS2718	11/6/2013		(276,074)				
JS4371	2/14/2014		(276,074)				
JS5504	4/15/2014		(276,075)				
Net Total Transfers	(870,412)	(1,072,225)	(1,104,297)	(913,245)	(846,632)	(846,632)	(846,632)
Ending Cash Balance	5,569,732	4,892,873	5,581,187	3,977,886	3,998,258	3,106,215	3,040,880
Encumbrances	322,336	69,661	444,257				
Unencumbered Cash Balance	5,247,396	4,823,212	5,136,930	3,977,886	3,998,258	3,106,215	3,040,880

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue projections based Rev Est to B&F November 2014 and reflect Section 92-28 temporary fee increases.

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-105
 Name of Fund: Real Estate Recovery Fund
 Legal Authority: Sections 467-16-19, 21-24, HRS

Contact Name: Michael Wun
 Phone: 587-6754
 Fund type (MOF) Trust Funds (T)
 Appropriation Acct. No. T-XX-904-R

Intended Purpose:

As stated in §467-16(a), HRS, the Real Estate Recovery Trust Fund is intended to provide recovery to persons "aggrieved by an act, representation, transaction, or conduct of a duly licensed real estate broker, or real estate salesperson, upon the grounds of fraud, misrepresentation, or deceit". The statute establishes limits of \$25,000 per transaction and \$50,000 per licensee.

Source of Revenues:

Original real estate license fees.

Current Program Activities/Allowable Expenses:

See attached.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Variations:

Revenue variances mostly based on 13.8% increase in new licensees. Expenditure variances: Expenditures are based on actual claims filed.

	Financial Data						
	FY 2012 (actual)	FY 2013 (actual)	FY 2014 (actual)	FY 2015 (estimated)	FY 2016 (estimated)	FY 2017 (estimated)	FY 2018 (estimated)
Appropriation Ceiling	502,310	502,310	502,310	502,310	503,871	504,583	504,583
Beginning Cash Balance	766,462	757,199	718,442	700,568	660,568	620,568	580,568
Revenues 1/	51,650	57,570	64,918	50,000	50,000	50,000	50,000
Expenditures	60,913	96,327	82,792	90,000	90,000	90,000	90,000
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0				
Ending Cash Balance	757,199	718,442	700,568	660,568	620,568	580,568	540,568
Encumbrances	2,733						
Unencumbered Cash Balance	754,466	718,442	700,568	660,568	620,568	580,568	540,568

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue projections based on Rev Est to B&F November 2014.

**Report on Non-General Fund Information
for Submittal to the 2015 Legislature**

DCCA Non-General Fund Information - Real Estate Recovery Fund

Page 2

Current Program Activities/Allowable Expenses:

- Defend and administer claims through contracted attorneys who represent the Commission in actions for claims, including administering contracts and payment for services.
- Provide information, advice, and referral on all inquiries concerning the Real Estate Recovery Trust Fund and claims.
- Receive, process, and act on complaint notices, court filed motions, subpoenas, settlement claims, and court ordered claims including record keeping and monitoring the complaints through the courts.
- Arrange and assist in presentation of settlement claims, court ordered claims, and other issues to the Commission at its monthly scheduled meetings or emergency meetings.
- Administer budget, including development and approval of biennium budget, record keeping, any CPA audit, investment of funds, development of statistical and other periodic reports to licensees, Budget and Finance, Governor, and the Legislature.
- Study and develop case summaries for educational purposes.
- Initiate and develop collection program, continue to administer the State Tax Intercept Program with the Department of Taxation and DAGS.
- Research, develop, print, and distribute a simple plain language brochure for claimants.
- Provide funding for clerical position, who services the program.

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-105
 Name of Fund: Real Estate Education Fund
 Legal Authority: Sections 467-11-19, HRS

Contact Name: Michael Wun
 Phone: 587-6754
 Fund type (MOF): Trust Funds (T)
 Appropriation Acct. No.: T-XX-905-R

Intended Purpose:

See attached.

Source of Revenues:

Original licensing and biennial renewals fees. Registration of schools, providers, courses and instructors. Interest due from the real estate recovery fund.

Current Program Activities/Allowable Expenses:

See Attached

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Variances:

Revenue variances - Renewal fees are on a biennial basis resulting in variances between FYs. Expenditure variances - 2012-2013 Expenditure variance based on, upon other things increased personnel costs and Committee programs and technology.

Financial Data							
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	689,052	676,183	689,052	689,052	705,568	713,213	713,213
Beginning Cash Balance	1,003,366	668,918	902,791	487,689	723,689	314,689	550,689
Revenues 1/	72,948	745,020	77,990	730,000	85,000	730,000	85,000
Expenditures	407,396	511,147	493,092	494,000	494,000	494,000	494,000
Transfers							
List each by JV# and date							
Net Total Transfers	0	0					
Ending Cash Balance	668,918	902,791	487,689	723,689	314,689	550,689	141,689
Encumbrances	34,358	113,261	93,324				
Unencumbered Cash Balance	634,560	789,530	394,365	723,689	314,689	550,689	141,689

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue projections based on Rev Est to B&F November 2014.

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

DCCA Non-General Fund Information - Real Estate Education Fund
Page 2

Intended Purpose:

As stated in §467-19(b), HRS, the Real Estate Education Trust Fund is intended to "promote the advancement of education and research in the field of real estate for the benefit of the public and those licensed under the provisions of this chapter and the improvement and more efficient administration of the real estate industry."

Current Program Activities/Allowable Expenses:

Funding of Exempt Positions

- Provide funding for Real Estate Specialists and clerical positions, who administer the programs below.

Information, Advice and Referral

- Respond to inquiries from the public, consumers, real estate licensees, government officials, attorneys, educators, and others via telephone, office walk-ins, written inquiries and applications, and website, providing information, advice and referral.
- Administer real estate website.
- Administer and provide information as required under the Uniform Information Practices Act.
- Administer and maintain a public information self-help area in the office for walk-in traffic, which includes public information documents, educational materials, and reference materials for public review.

- Arrange and conduct Real Estate Specialists of the Day at neighbor island sites.

Educational and Informational Materials

- Research, develop, publish and distribute the quarterly real estate bulletin to all real estate licensees, government officials, State Libraries, and others in related areas.
- Printing and distribution of Chapter 467, HRS, as amended to all principal brokers and brokers-in-charge.
- School Files, a quarterly bulletin to real estate prelicense schools, prelicense and continuing education instructors and continuing education providers which provides current information on instruction, education, curriculum, testing, application fees and procedures.
- Various publications and informational sheets for consumers and real estate licensees.
- Research, develop and submit articles for the media.
- Research and report on alternative delivery of educational materials.
- Develop and maintain website on the internet for dissemination of information, publications, forms, agendas, etc. to licensees and the general public.
- Distribute new salesperson and broker start-up kits, consisting of information and educational materials.

Administer Education Review Committee, Laws and Rules Review Committee and Real Estate Commission

- Hold monthly meetings for the two standing committees and the Commission with some meetings at neighbor island sites.
- Administration of the committee meetings, including agenda, distribution materials, room and equipment arrangements, oral testifiers, minutes, committee reports, post-meeting responsibilities, etc.
- Conduct and administer periodic symposiums.
- Administration of Education Evaluation Task Force Subcommittee.

Research, develop or update curriculum and instructional materials for courses and administer courses

- Continuing education core course.
- Prelicensing real estate broker course.
- Prelicensing real estate salesperson course.

Research, develop and conduct seminars, workshops and meetings

- Instructor development workshops, course briefings and other meetings for real estate educators.
- Real estate seminars for real estate licensees.

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-105
 Name of Fund: Condominium Education Trust Fund
 Legal Authority: Sections 514A-40(c), 131, 132, 133, HRS

Contact Name: Michael Wun
 Phone: 587-6754
 Fund type (MOF) Trust Funds (T)
 Appropriation Acct. No. T-XX-906-R

Intended Purpose:
See attached.

Source of Revenues:
Developers' final public report fees, association of unit owners registration and biennial re-registration fees.

Current Program Activities/Allowable Expenses:
See attached.

Purpose of Proposed Ceiling Increase (if applicable):
Not Applicable

Variances:
Revenue variances - Renewal fees are on a biennial basis resulting in variances between FYs.

Financial Data							
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	640,981	627,542	640,981	640,981	657,587	665,274	665,274
Beginning Cash Balance	682,914	424,581	869,968	785,062	1,299,062	1,107,062	1,621,062
Revenues 1/	88,790	832,019	306,173	904,000	198,000	904,000	198,000
Expenditures	347,123	386,632	391,079	390,000	390,000	390,000	390,000
Transfers							
List each by JV# and date							
			0				
Net Total Transfers		0	0				
Ending Cash Balance	424,581	869,968	785,062	1,299,062	1,107,062	1,621,062	1,429,062
Encumbrances	26,898	8,432	22,586				
Unencumbered Cash Balance	397,683	861,536	762,476	1,299,062	1,107,062	1,621,062	1,429,062

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/Revenue Projections based on Rev Est to B&F November 2014.

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

DCCA Non-General Fund Information- Condominium Education Trust Fund
Page 2

Intended Purpose:

514B-71, HRS, the Condominium Education Trust Fund is intended to finance or promote: "(1) Education and research in the field of condominium management, condominium registration, and real estate for the benefit of the public and those

Current Program Activities/Allowable Expenses:

Funding of Exempt Positions

- Provide funding for Condominium Specialists and clerical positions, who service the programs below.

Information, Advice and Referral

- Respond to inquiries from the public, consumers, condominium owners, board of directors, condominium managing agents, real estate licensees, attorneys, educators, government officials and others via
- Administer condominium website.
- Administer condominium reference library program through State Libraries, other venues and in-house, providing publications and information to condominium owners and the public.
- Administer and provide information, as required under the Uniform Information Practices Act.
- Administer and maintain a public information self-help area in the office for walk-in traffic, which includes public information documents, educational materials and reference materials for public review.

- Arrange and conduct Condominium Specialists of the Day at various neighbor island sites.
- Study the feasibility of finding other means of providing access to condominium public information through computer discs or compact discs, State Libraries, webpage, etc.

- Coordinate educational sessions regarding §§514A and 514B and updates.

Educational Research and Informational Materials

- Research, develop, publish and distribute quarterly condominium bulletin to registered condominium associations and condominium managing agents, government officials, State Libraries and others in related areas.
- Research, develop, publish and distribute stand-alone chapters (brochures) for the Condominium Board of Directors Guide to registered condominium associations, condominium managing agents, government officials, State Libraries and others in related areas.
- Print and distribute information and educational materials including start-up kits to new condominium associations and new condominium managing agents.
- Research, develop and submit various articles for media publication.
- Develop and maintain website on the internet for dissemination of information, forms, publications, etc. for developers, associations, CMA's, condominium owners, and other interested parties.

- Print and distribute amended Chapters 514A and 514B to all registered condominium associations and condominium managing agents.
- Update, print and distribute updated Condominium Board of Directors series.
- Continue with the recodification of the condominium law educational efforts.

Rulemaking

- Research, develop and initiate Chapter 107, HAR rulemaking for Chapters 514A and 514B, HRS, including public workshops with those affected.
- Research, present, and receive recommendations for amendments to Chapter 107, HAR, and discuss and receive comments at the monthly Condominium Review Committee meetings with the condominium community.
- Research, study, and make recommendations concerning fees for Chapter 53, HAR, and assist the Professional and Vocational Licensing Division in the rulemaking process.

**Report on Non-General Fund Information
for Submittal to the 2015 Legislature**

DCCA Non-General Fund Information- Condominium Education Trust Fund
Page 3

Mediation of Governance and Management Problems

- Administer contracts and provide funding for alternative dispute resolution through mediation, through the procured mediation providers for all islands.
- Secure mediation information and develop report to the Legislature and Governor.
- Continue to seek other mediation service vendors.
- Coordinate and administer joint complaint/mediation program with the Regulated Industries Complaints Office including funding of mediations.
- Research, development, publication, and distribution of brochure on dispute resolution alternatives.
- Where requested by the Office of Administrative Hearing, support the Condominium Dispute Resolution Program which is to be sunsetted June 30, 2009.

Arbitration of Condominium Problems

- Administer program through American Arbitration Association and other arbitration organizations.

Condominium Project Registration and Public Reports

- Administer registration of new condominium projects, review of documents, owner-occupants reservation procedures, and public reports, including records management.

- Administer consultant contracts and the review of project documents and public reports.
- Administer public review of condominium project documents and public reports, including requests for copies.
- Conduct periodic meetings and workshops with the condominium consultants, developers and attorneys.

Administer Condominium Review Committee

- Administer and conduct monthly meetings of the Condominium Review Committee, standing committee of the Commission, including meetings at various neighbor island sites.

- Administration includes agenda, distribution of materials, room and equipment arrangements, receipt of testimony, minutes, Committee Reports, post-meeting responsibilities.

- Conduct and administer periodic educational symposiums.

Administer Seminars, Workshops and Meetings

- Research, develop, procure, contract and conduct condominium seminars, workshops and special meetings with those in the condominium community.
- Administer seminar subsidy program, including contract administration.

Interactive Educational and Research Participation

- Provide Educational speakers to organizations, government entities and others.
- Participate, exchange and network with local, state, national and international organizations and other governmental entities.
- Provide briefings to Legislators, testify on legislative bills and participate in other legislative matters.
- Research and report to the Legislature annually on condominium programs, budget and mediation programs.
- Research, coordinate, and implement Recodification Plan for Chapter 514A, HRS.

Administration of Condominium Association, Condominium Managing Agent Registrations, and Condominium Hotel Operators

- Administer registration and biennial re-registration of condominium associations, condominium managing agents and condominium hotel operators, including applications, review/approval process and records management.
- Conduct periodic workshops and meetings with registrants.

Administration of Budget and Biennial Plans

- Development and approval of the Condominium Education Trust Fund budget.
- Administration of budget, investment of funds and any CPA audits.
- Research, development and submission of information and reports required by the Department, Budget and Finance, Governor and the Legislature.
- Research, development, approval and administration of the Biennial Education and Research Plan.

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-105
 Name of Fund: Contractors' Recovery Fund
 Legal Authority: Section 444-26, HRS

Contact Name: Michael Wun
 Phone: 587-6754
 Fund type (MOF) Trust Funds (T)
 Appropriation Acct. No. T-XX-908-R

Intended Purpose:

Provide fund from which a person injured by an act, representation, transaction, or conduct of a duly licensed contractor may recover by an order of the court, an amount of not more than \$12,500 per contract and \$25,000 per contractor.

Source of Revenues:

All new contracting entity licensees (firms/sole proprietors) shall pay \$150 into the fund upon initial licensure and \$10 upon each license renewal. At any time the fund balance falls below \$250,000 the Contractors License Board may assess every contractor a fee not to exceed \$500 annually for deposit into the fund.

Current Program Activities/Allowable Expenses:

Allowable expenses include payments to eligible consumers who have obtained an arbitrated award or court judgment against a contractor, and payment of attorney - consultant fees.

Purpose of Proposed Ceiling Increase (if applicable):

Not applicable.

Variiances:

Revenue variances - Renewal fees are on a biennial basis resulting in variances between FYs. Expenditure variances - Dependent upon the amount of claims paid.

	Financial Data						
	FY 2012 (actual)	FY 2013 (actual)	FY 2014 (actual)	FY 2015 (estimated)	FY 2016 (estimated)	FY 2017 (estimated)	FY 2018 (estimated)
Appropriation Ceiling	200,000	200,000	200,000	200,000	200,000	200,000	200,000
Beginning Cash Balance	845,777	753,774	771,673	746,358	746,358	646,358	646,358
Revenues 1/	78,890	141,315	78,570	200,000	100,000	200,000	100,000
Expenditures	170,893	123,416	103,885	200,000	200,000	200,000	200,000
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0				
Ending Cash Balance	753,774	771,673	746,358	746,358	646,358	646,358	546,358
Encumbrances							
Unencumbered Cash Balance	753,774	771,673	746,358	746,358	646,358	646,358	546,358

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue Projections based on Rev Est to B&F November 2014.

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-105
 Name of Fund: Contractors' Education Fund
 Legal Authority: Section 444-29, HRS

Contact Name: Michael Wun
 Phone: 587-6754
 Fund type (MOF): Trust Funds (T)
 Appropriation Acct. No.: T-XX-909-R

Intended Purpose:

To be used for consumers, licensees, Contractors License Board members and staff; to be used for publications, media exposure, participation in national association meetings, classes and other educational purpose the Board deems necessary.

Source of Revenues:

The interest from the investments for the contractors' recovery fund shall be deposited to the credit of the contractors' education fund. In addition, all new contracting entity licensees (firms/sole proprietors) shall pay \$10 into the fund upon initial licensure and \$5 upon each license renewal.

Current Program Activities/Allowable Expenses:

Funded activities include: National Association dues; attendance by Executive Officer and Board members at national association meetings; registration fees for attendance at national meetings, travel expense for neighbor island Board members to attend special Board meetings on Oahu; publication of educational materials; and overtime pay for clerical staff as needed.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Variations:

Revenue variances - Renewal fees are on a biennial basis resulting in variances between FYs. Expenditure variances - Dependent upon participation at national association meetings, special meetings requiring travel for neighbor island board members and publication of educational materials.

Financial Data							
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	16,968	16,968	56,968	16,968	16,968	16,968	16,968
Beginning Cash Balance	425,263	431,700	463,855	462,158	525,190	558,222	621,254
Revenues 1/	12,190	39,649	8,938	80,000	50,000	80,000	50,000
Expenditures	5,753	7,494	10,635	16,968	16,968	16,968	16,968
Transfers							
List each by JV# and date							
Net Total Transfers	0	0					
Ending Cash Balance	431,700	463,855	462,158	525,190	558,222	621,254	654,286
Encumbrances	0	0					
Unencumbered Cash Balance	431,700	463,855	462,158	525,190	558,222	621,254	654,286

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue Projections based on Rev Est to B&F November 2014.

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-105
 Name of Fund: Travel Agency Recovery Fund
 Legal Authority: Chapter 468K, HRS; Act 285, SLH 1991, repealed Act 468K (see below *)

Contact Name: Michael Wun
 Phone: 587-6754
 Fund type (MOF): Trust Funds (T)
 Appropriation Acct. No. T-XX-910-R

Intended Purpose:

\$468K-3 Travel Agency Recovery Fund; use of funds; fees. There shall be established and maintained a Travel Agency Recovery Fund from which any person aggrieved by an act, omission of material fact, representation, transaction, or conduct of a licensee, that is in violation of this chapter or its adopted rules, or which constitutes an unfair or deceptive act or practice in violation of section 480-2, may recover, by order of a court of competent jurisdiction within the State, an amount of not more than \$8,000 for damages sustained as a result of the act, representation, transaction, or conduct of each licensee. Recovery from the fund shall be limited to the actual damages suffered by the claimant, including court costs and fees as set by law, and reasonable attorneys' fees as determined by the court.

Source of Revenues:

Non-refundable mandatory contribution from each licensee: \$50 for each travel agency licensee, and \$25 for each travel agency sales representative.

Current Program Activities/Allowable Expenses:

*Act 285, SLH 1991, repealed Chapter 468K; Pursuant to Act 124, SLH 2011 funds were transferred to the General Fund.

Purpose of Proposed Ceiling Increase (if applicable):

Not applicable.

Variances:

Not applicable.

Financial Data							
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	22	0	0	0	0	0	0
Revenues							
Expenditures 1/				0	0	0	0
Transfers							
List each by JV# and date			0				
Net Total Transfers	(22)		0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow							
Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-105
 Name of Fund: Travel Agency Education Fund
 Legal Authority: Chapter 468K, HRS
Act 41, SLH 1994

Contact Name: Michael Wun
 Phone: 587-6754
 Fund type (MOF) Trust Funds (T)
 Appropriation Acct. No. T-XX-911-R

Intended Purpose:

The department shall use the amounts available in the Education Fund to alert all current licensees under chapter 468K, Hawaii Revised Statutes, to the requirements of Act 396, SLH 1991 and to inform the public about the rights and remedies provided in Act 285, SLH 1991. The fund may also be used to pay for any claim or expense of the Recovery Fund in the event the Recovery Fund becomes exhausted. Any amount that remains after payment of educational expenses or for recovery fund claims and expenses shall become part of the Compliance Resolution Fund for use in travel agency related cases.

Source of Revenues:

The Travel Agency Education Fund will generate its funds from any funds remaining in the Travel Agency Recovery Fund after all claims have been settled.

Current Program Activities/Allowable Expenses:

Pursuant to Act 124, SLH 2011, Section # 2: (1) All moneys in the travel agency recovery fund and the travel agency education fund, as of June 30, 2011, shall be transferred to the general fund of the State of Hawaii; and (2) The travel agency recovery fund and the travel agency education fund shall cease to exist.

Purpose of Proposed Ceiling Increase (if applicable):

Not applicable

Variations

Not applicable

Financial Data							
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	7,000			0	0	0	0
Beginning Cash Balance	21,449	0	0	0	0	0	0
Revenues							
Expenditures				0	0	0	0
Transfers							
List each by JV# and date							
Net Total Transfers 1/	(21,449)		0				
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-105
 Name of Fund: Real Estate Appraisers
 Legal Authority: Chapter 466K, HRS; Title 16, Chapter 114, HAR

Contact Name: Michael Wun
 Phone: 587-6754
 Fund type (MOF): Trust Funds (T)
 Appropriation Acct. No.: T-XX-919-R

Intended Purpose:
See attached.

Source of Revenues:
The Federal Financial Institutions Examination Council ("FFIEC") mandates that each state collect a \$40 annual fee from each licensed and certified real estate appraiser to maintain the federal national registry. Appraisers must be on the national registry to perform appraisals in connection with federally related real estate transactions.

Current Program Activities/Allowable Expenses:
See attached.

Purpose of Proposed Ceiling Increase (if applicable):
Not Applicable

Variations:
Revenue and Expenditure variances: Although it is an annual \$40 fee, \$80 is collected every two years at license renewal only and remitted accordingly to the FFIEC upon receipt, as required by federal law.

Financial Data							
	FY 2012 (actual)	FY 2013 (actual)	FY 2014 (actual)	FY 2015 (estimated)	FY 2016 (estimated)	FY 2017 (estimated)	FY 2018 (estimated)
Appropriation Ceiling	30,000	5,000	55,000	55,000	55,000	55,000	55,000
Beginning Cash Balance	4,950	5,450	5,330	6,030	0	0	0
Revenues ^{1/}	25,600	2,120	39,420	5,000	55,000	5,000	55,000
Expenditures	25,100	2,240	38,720	11,030	55,000	5,000	55,000
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0				
Ending Cash Balance	5,450	5,330	6,030	0	0	0	0
Encumbrances	0	0					
Unencumbered Cash Balance	5,450	5,330	6,030	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

^{1/} Revenue Projections based on Rev Est to B&F November 2014.

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

DCCA Non-General Fund Information - Real Estate Appraisers Trust Fund
Page 2

Intended Purpose:

The Legislature finds that the regulation of real estate appraisers is reasonably necessary to protect consumers. The Legislature further finds that 12 United States Code §3301 et seq. requires that real estate appraisals utilized in connection with federally related transactions be performed by individuals who are licensed or certified appraisers. The purposes of this chapter (466K, HRS) are to implement the requirements of 12 United States Code Sec §3301 et seq. and to require that all real estate appraisals be performed by licensed or certified appraisers. The requirements of this chapter do not apply to any real estate appraiser employed by any county for purposes of valuing real property for ad valorem taxation.

Current Program Activities/Allowable Expenses:

In addition to any other powers and duties authorized by law, the director shall have the following powers and duties:

1. To grant permission to practice as a certified real estate appraiser in this State pursuant to this chapter and 12 United States Code §3301 et seq. and the rules and regulations adopted pursuant thereto;
2. To adopt, amend, or repeal rules as the director finds necessary to effectuate fully this chapter and 12 United States Code §3301 et seq.;
3. To enforce this chapter and 12 United States Code §3301 et seq. and rules and regulations adopted pursuant thereto;
4. To discipline a certified real estate appraiser for any cause prescribed by this chapter or 12 United States Code §3301 et seq. for any violation of the rules and regulations and refuse to grant a person permission to practice as a certified real estate appraiser for any cause that would be grounds for disciplining a certified real estate appraiser;
5. To act as the designated representative of this State to implement 12 United States Code §3301 et seq.; and
6. To appoint an advisory committee to assist with the implementation of this chapter and 12 United States Code §3301 et seq. and the rules and regulations adopted pursuant thereto.

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-106
 Name of Fund: Grants to States for Health Insurance Premium Review-Cycle I
 Legal Authority: Non-appropriated; CFDA: 93.511; Section 1003 of the Affordable Care Act

Contact Name: Jerry Bump
 Phone: 586-0985
 Fund type (MOF): Federal Funds (N)
 Appropriation Acct. No.: S-XX-203-R

Intended Purpose:

To enhance the current capacity to review and, to extent permitted by state law, approve or deny rate increases in the individual and group markets.

Source of Revenues:

\$1.0 million Federal Grant - Department of Health & Human Services; Grant period 8/9/10 to 9/30/12.

Current Program Activities/Allowable Expenses:

Grant has expired. A level II grant is being utilized for a similar purpose.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Variances:

Variance due to Federal Grant expiring during FY 2012.

Financial Data							
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	0	2	2	0	0	0	0
Revenues	20,734	0	0	0	0	0	0
Expenditures	20,732	0	2	0	0	0	0
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	2	2	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	2	2	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-106
 Name of Fund: State Planning & Establishment Grants for the Affordable Care Act's Exchanges
 Legal Authority: Non-appropriated; CFDA: 93.525; Section 1311 of the Affordable Care Act

Contact Name: Jerry Bump
 Phone: 586-0985
 Fund type (MOF) Federal Funds (N)
 Appropriation Acct. No. S-XX-204-R

Intended Purpose:

To research whether an exchange is needed and whether an exchange is feasible given the State's information technology structure.

Source of Revenues:

\$1.0 million Federal Grant - Department of Health & Human Services; Grant period 9/30/10 to 9/29/13

Current Program Activities/Allowable Expenses:

Research being conducted regarding the implementation of the Patient Protection and Affordable Care Act.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Variances:

FY12-FY13 variance due to contractual work in FY13 being completed. FY13-FY14 variance due to grant funds expiring.

Financial Data							
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	0	6,224	671	0	0	0	0
Revenues	256,731	536,388	0	0	0	0	0
Expenditures	250,507	541,941	671	0	0	0	0
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	6,224	671	0	0	0	0	0
Encumbrances	10						
Unencumbered Cash Balance	6,214	671	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-106
 Name of Fund: Grants to States for Health Insurance Premium Review Cycle II
 Legal Authority: CFDA: 93.511; Section 1003 of the Affordable Care Act

Contact Name: Jerry Bump
 Phone: 586-0985
 Fund type (MOF) Federal Funds (P)
 Appropriation Acct. No. S-XX-205-R

Intended Purpose:

To enhance the current capacity to review and, to extent permitted by state law, approve or deny rate increases in the individual and group markets.

Source of Revenues:

\$3.0 million Federal Grant - Department of Health & Human Services; Grant period extended to 9/30/15.

Current Program Activities/Allowable Expenses:

Modification to electronic rate filing system. Hiring of additional rate analysts.

Purpose of Proposed Ceiling Increase (if applicable):

Not applicable.

Variances:

Variances related to the timing in hiring additional rate analysts and finding appropriate vendors for modifying electronic rate filing system.

Financial Data							
	FY 2012	FY 2013	FY 2014 1/	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	1,000,000	250,000	0	0	0
Beginning Cash Balance	0	24,875	65,254	15,998	0	0	0
Revenues	38,291	177,453	268,753	1,484,002	1,031,501	0	0
Expenditures	13,416	137,074	318,009	1,500,000	1,031,501	0	0
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	24,875	65,254	15,998	0	0	0	0
Encumbrances	2,292	64,062					
Unencumbered Cash Balance	22,583	1,192	15,998	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Governor approved expenditure ceiling increase of \$1,747,698.03 on 9-19-14.

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-106
 Name of Fund: Cooperative Agreements to Support Establishment of State-
Operated Health Insurance Exchanges
 Legal Authority: Non-appropriated; CFDA: 93.525; Section 1311 of the Affordable Care Act

Contact Name: Jerry Bump
 Phone: 586-0985
 Fund type (MOF) Federal Funds (N)
 Appropriation Acct. No. S-XX-206-R

Intended Purpose:

Establishment of the Hawaii Health Connector under Act 205 (SLH 2011).

Source of Revenues:

\$14.4 million Federal Grant - Department of Health & Human Services; Grant period 11/29/2011 to 11/27/2013.

Current Program Activities/Allowable Expenses:

Working to establish a centralized website to allow individuals and small businesses to shop for health insurance.

Purpose of Proposed Ceiling Increase (if applicable):

Not applicable

Variances:

FY12-13 variance due to development of exchange by the Health Connector. FY13-14 variance due to grant funds expiring.

Financial Data							
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			0	0	0	0	0
Beginning Cash Balance	-	223,949	1,045	0	0	0	0
Revenues	834,047	3,409,492	0	0	0	0	0
Expenditures	610,098	3,632,396	1,045	0	0	0	0
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	223,949	1,045	0	0	0	0	0
Encumbrances	41,297						
Unencumbered Cash Balance	182,652	1,045	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information
for Submittal to the 2015 Legislature**

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-106
 Name of Fund: Cooperative Agreements to Support Establishment of State-Operated Health Insurance Exchanges
 Legal Authority: Non-appropriated; CFDA: 93.525; Section 1311 of the Affordable Care Act

Contact Name: Jerry Bump
 Phone: 586-0985
 Fund type (MOF) Federal Funds (N)
 Appropriation Acct. No. S-XX-207-R

Intended Purpose:
 Establishment of the Hawaii Health Connector under Act 205 (SLH 2011).

Source of Revenues:
 Sub-awardee from Hawaii Health Connector Federal Grant.

Current Program Activities/Allowable Expenses:
 Working to establish a centralized website to allow individuals and small businesses to shop for health insurance.

Purpose of Proposed Ceiling Increase (if applicable):
 Not applicable

Variations:
 FY13-F14 variance due to funds not received until near the end of FY13, and FY14 represents a full year. FY14-FY15 variance due to grant funds expiring.

Financial Data							
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			0	0	0	0	0
Beginning Cash Balance	-	0	0	51,583	0	0	0
Revenues		50,548	412,450	78,761	0	0	0
Expenditures		50,548	360,867	130,344	0	0	0
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	51,583	0	0	0	0
Encumbrances		3,722					
Unencumbered Cash Balance	0	(3,722)	51,583	0	0	0	0

Additional Information:							
Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-106
 Name of Fund: Driver Education Fund
 Legal Authority: Section 431:10C-115, HRS; Section 431:10G-107, HRS

Contact Name: Michael Wun
 Phone: 587-6754
 Fund type (MOF): Special Funds (B)
 Appropriation Acct. No. S-XX-309-R

Intended Purpose:

Provide drivers training and education.

Source of Revenues:

An annual fee paid by insurers and self-insurers for each vehicle, motorcycle and motor scooter insured.

Current Program Activities/Allowable Expenses:

All collected revenues disbursed to the Judiciary, Department of Education (DOE), and Department of Transportation (DOT). Judiciary's funds are expended for the operation of the drivers' education program. DOE's funds support drivers' education for high school students. The DOT uses its funds for the operation of a drivers' education program for operators of motorcycles, motor scooters or similar vehicles.

Purpose of Proposed Ceiling Increase (if applicable):

Not applicable.

Variances:

Not applicable.

Financial Data							
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,900,000	2,900,000	2,900,000	2,900,000	2,900,000	2,900,000	2,900,000
Beginning Cash Balance	59,820	92,343	150,147	227,292	327,292	427,292	527,292
Revenues	2,932,523	2,957,804	2,977,145	3,000,000	3,000,000	3,000,000	3,000,000
Expenditures	0	0	0	0	0	0	0
Transfers							
List each by JV# and date							
JS6212 5/16/2014			(2,900,000)				
Net Total Transfers	(2,900,000)	(2,900,000)	(2,900,000)	(2,900,000)	(2,900,000)	(2,900,000)	(2,900,000)
Ending Cash Balance	92,343	150,147	227,292	327,292	427,292	527,292	627,292
Encumbrances							
Unencumbered Cash Balance	92,343	150,147	227,292	327,292	427,292	527,292	627,292

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information
for Submittal to the 2015 Legislature**

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-106
 Name of Fund: Compliance Resolution Fund - Insurance Regulation Fund
 Legal Authority: Sections 431:2-215 & 431:2-216, HRS

Contact Name: Michael Wun
 Phone: 587-6754
 Fund type (MOF) Special Funds (B)
 Appropriation Acct. No. S-XX-313-R

Intended Purpose:

The fund permits the Insurance Division to become self-sufficient and eliminates reliance on general funds and revolving funds administered by the Division.

Source of Revenues:

Fees, fines, penalties, assessments, and reimbursements collected under Title 24, Hawaii Revised Statutes.

Current Program Activities/Allowable Expenses:

Used to defray any administrative cost, including personnel cost, associated with all of the programs of the Insurance Division, and incurred by supporting offices and divisions.

Purpose of Proposed Ceiling Increase (if applicable):

1. Fringe benefits

Pursuant to Finance Memorandum No. 14-12, the State's fringe benefit assessment rate for non-general fund programs increases to 52% for FY 16 and FY 17 due primarily to the projected costs for the prefunding of other post-employment benefits. The Department requires the requested budget increase to effectively fund all authorized positions.

Variances:

Between FY14 & FY15, revenue increase attributed to larger assessment of industry and expenditures includes contingencies for insolvencies.

Financial Data							
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	8,947,275	8,739,474	9,015,536	9,496,660	10,584,732	10,818,377	10,818,377
Beginning Cash Balance	3,734,812	4,179,556	4,122,154	3,910,165	64,725	(2,693,306)	(5,787,128)
Revenues 1/	8,024,136	8,055,527	8,613,693	8,592,938	9,226,000	9,151,000	9,251,000
Expenditures	6,176,441	6,514,143	7,189,767	10,859,669	10,584,732	10,818,377	10,818,377
Transfers							
List each by JV# and date							
JS1552	9/19/2013		(408,979)				
JS2718	11/6/2013		(408,979)				
JS4371	2/14/2014		(408,979)				
JS5504	4/15/2014		(408,978)				
Net Total Transfers	(1,402,951)	(1,598,786)	(1,635,915)	(1,578,709)	(1,399,299)	(1,426,445)	(1,426,445)
Ending Cash Balance	4,179,556	4,122,154	3,910,165	64,725	(2,693,306)	(5,787,128)	(8,780,950)
Encumbrances	992,482	596,628	1,363,009				
Unencumbered Cash Balance	3,187,074	3,525,526	2,547,156	64,725	(2,693,306)	(5,787,128)	(8,780,950)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue projections based on Revenue Estimates to B&F November 2014.

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-106
 Name of Fund: Loss Mitigation Grant Fund
 Legal Authority: Section 431:22-102, HRS

Contact Name: Michael Wun
 Phone: 587-6754
 Fund type (MOF): Special Funds (B)
 Appropriation Acct. No.: S-XX-314-R

Intended Purpose:

A grant program to assist residents with installing wind resistive devices to protect their property against wind damage. Also provides grants for safe rooms for residents who may not be able to afford reinforcement of their entire home; protection against natural disasters.

Source of Revenues:

Appropriations out of the Hawaii Hurricane Relief Fund.

Current Program Activities/Allowable Expenses:

Pursuant to Act 124, SLH 2011 the loss Mitigation Grant Fund was terminated, the account closed and funds transferred to the General Fund.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Variations:

Variance due to account closed.

Financial Data							
	FY 2012 1/	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	259,962	0	0	0	0	0	0
Revenues							
Expenditures				0	0	0	0
Transfers							
List each by JV# and date							
Net Total Transfers	(259,962)		0				
Ending Cash Balance 1/	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Pursuant to Act 124, SLH 2011 the account closed, with remaining funds transferred to the General Fund.

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-106
 Name of Fund: Captive Insurance Administrative Fund
 Legal Authority: Section 431:19-101.8, HRS

Contact Name: Michael Wun
 Phone: 587-6754
 Fund type (MOF) Special Funds (B)
 Appropriation Acct. No. S-XX-317-R

Intended Purpose:

The fund shall be expended by the Insurance Commissioner to carry out his/her duties and obligations under article 19 of chapter 431, HRS.

Source of Revenues:

All moneys collected from captive licensees pursuant to article 19, chapter 431, including premium taxes, application and annual licensing fees, examination fees, and other reimbursements.

Current Program Activities/Allowable Expenses:

Licensing, monitoring, regulation, development of captive insurance companies, and promotion of Hawaii as a captive insurance domicile pursuant to article 19, chapter 431, including defrayal of any administrative costs, employment or retention of hearings officers, attorneys, investigators, accountants, examiners, and other necessary professional, technical, and support personnel.

Purpose of Proposed Ceiling Increase (if applicable):

1. Fringe benefits

Pursuant to Finance Memorandum No. 14-12, the State's fringe benefit assessment rate for non-general fund programs increases to 52% for FY 16 and FY 17 due primarily to the projected costs for the prefunding of other post-employment benefits. The Department requires the requested budget increase to effectively fund all authorized positions.

2. Transfer of Position from S-313 to S-317

The Captive Insurance Branch ("CIB") has grown from zero captive insurance licensees in 1987 to 188 in 2014. To support the growth of the Captive insurance Branch and to provide critical services in a timely and efficient manner, the position transfer is needed.

Variances:

Revenue variance in FY13 related to a few captives writing \$75 million less premiums, thus lowering premium tax revenue. Revenue Variance in FY 14 related to exam cost reimbursements. Expenditure variance in FY15 is expected due to additional approved positions to be hired and contractual exam work anticipated.

Financial Data							
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,434,480	2,400,652	2,400,652	2,434,480	2,644,152	2,696,969	2,696,969
Beginning Cash Balance	3,139,530	3,811,602	4,117,661	4,746,720	4,388,231	3,944,079	3,447,110
Revenues 1/	2,143,326	1,889,230	2,143,205	2,200,000	2,200,000	2,200,000	2,200,000
Expenditures	1,471,254	1,583,171	1,514,146	2,558,489	2,644,152	2,696,969	2,696,969
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	3,811,602	4,117,661	4,746,720	4,388,231	3,944,079	3,447,110	2,950,141
Encumbrances	52,064	9,030	124,009				
Unencumbered Cash Balance	3,759,538	4,108,631	4,622,711	4,388,231	3,944,079	3,447,110	2,950,141

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue projections based on Rev Est to B&F November 2014.

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-106
 Name of Fund: Patient's Compensation Fund
 Legal Authority: Act 232, SLH 1984; Act 219, SLH 1976

Contact Name: Michael Wun
 Phone: 587-6754
 Fund type (MOF): Trust Funds (T)
 Appropriation Acct. No.: T-XX-912-R

Intended Purpose:

In 1976 this fund was established to provide doctors medical malpractice insurance for coverage in excess of their underlying policy from a commercial insurer.

Source of Revenues:

None. However, there are some paid claims with settlement agreements which required the claimant to return any unused cash after all of the payments required by the settlement agreement are satisfied.

Current Program Activities/Allowable Expenses:

The claims against the Fund exceed the available fund assets and as a result, the authority for this Fund, section 671, was repealed by Act 232, SLH 1984. The Act required the unpaid claims to be carried forward from year to year for possible payment as the Fund acquires additional assets for prorated distribution.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Variations:

Variance due to in FY12, settled claims being paid out and in FY14 remaining refund of premiums were paid to policyholders.

Financial Data							
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	172,765	178,243	178,618	9,287	(0)	(0)	(0)
Revenues	795,780	375	490	0	0	0	0
Expenditures	790,302	0	169,821	9,287	0	0	0
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0				
Ending Cash Balance	178,243	178,618	9,287	(0)	(0)	(0)	(0)
Encumbrances							
Unencumbered Cash Balance	178,243	178,618	9,287	(0)	(0)	(0)	(0)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-106
 Name of Fund: Premium Taxes Paid Pending Appeal
 Legal Authority: Sections 431:7-202, 431:7-209, HRS

Contact Name: Michael Wun
 Phone: 587-6754
 Fund type (MOF): Trust Funds (T)
 Appropriation Acct. No.: T-XX-916-R

Intended Purpose:

Premium taxes paid in protest due to denial of high tech tax credits.

Source of Revenues:

Premium taxes.

Current Program Activities/Allowable Expenses:

Pending result of appeal.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Variances:

Varies year to year depending on an insurers' request for appeal.

Financial Data							
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	156,493	156,493	1,314,201	2,265,346	2,265,346	2,265,346	2,265,346
Revenues	0	1,157,708	951,145				
Expenditures	0	0	0	0	0	0	0
Transfers							
List each by JV# and date							
Net Total Transfers			0				
Ending Cash Balance	156,493	1,314,201	2,265,346	2,265,346	2,265,346	2,265,346	2,265,346
Encumbrances							
Unencumbered Cash Balance	156,493	1,314,201	2,265,346	2,265,346	2,265,346	2,265,346	2,265,346

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-106
 Name of Fund: Commissioner's Education and Training Fund
 Legal Authority: Section 431:2-214, HRS

Contact Name: Michael Wun
 Phone: 587-6754
 Fund type (MOF) Trust Funds (T)
 Appropriation Acct. No. T-XX-917-R

Intended Purpose:

This fund is used for the education and training of Insurance Division staff and personnel, and to pay for the cost of consumer education and information.

Source of Revenues:

Fees for rate and form filings.

Current Program Activities/Allowable Expenses:

Reimburse staff and personnel for various education and training expenses, including insurance and computer courses, conferences, and seminars. Publish annually, the Report of the Insurance Commissioner for consumer information. Presentations of insurance information to Hawaii consumers. Purchase of books and periodicals for staff education and training.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Variances:

Revenues vary depending on the number of rate and form filings. Expenditures are dependent on educational and training opportunities available.

Financial Data							
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	200,000	200,000	200,000	200,000	200,000	200,000	200,000
Beginning Cash Balance	778,367	899,428	982,960	1,079,596	1,034,596	989,596	944,596
Revenues	189,846	141,752	169,132	155,000	155,000	155,000	155,000
Expenditures	68,785	58,220	72,496	200,000	200,000	200,000	200,000
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0				
Ending Cash Balance	899,428	982,960	1,079,596	1,034,596	989,596	944,596	899,596
Encumbrances	1,636	3,239	8,642				
Unencumbered Cash Balance	897,792	979,721	1,070,954	1,034,596	989,596	944,596	899,596

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information
for Submittal to the 2015 Legislature**

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-106
 Name of Fund: Service Contract Provider's Fin Sec Dep
 Legal Authority: Section 481X-4, HRS

Contact Name: Michael Wun
 Phone: 587-6754
 Fund type (MOF): Trust Funds (T)
 Appropriation Acct. No.: T-XX-927-R

Intended Purpose:

The fund will hold financial security deposits placed in trust with the Commissioner by service contract providers for all service contracts issued and in force in this State.

Source of Revenues:

Financial security deposits from service contract providers placed in trust with the Insurance Commissioner.

Current Program Activities/Allowable Expenses:

Current activities include the issuance and renewal of service contract providers registration.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Variations:

N/A

Financial Data							
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	41,560	41,560	41,560	41,560	41,560	41,560	41,560
Revenues	0	0	0	0	0	0	0
Expenditures				0	0	0	0
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	41,560	41,560	41,560	41,560	41,560	41,560	41,560
Encumbrances							
Unencumbered Cash Balance	41,560	41,560	41,560	41,560	41,560	41,560	41,560

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-106
 Name of Fund: Captive Insurance Companies LOC Escrow
 Legal Authority: Section 431:19-104(b), HRS

Contact Name: Michael Wun
 Phone: 587-6754
 Fund type (MOF) Trust Funds (T)
 Appropriation Acct. No. T-XX-931-R

Intended Purpose:

The fund shall be used to maintain cash drawn from Letters of Credit (LOC) issued on behalf of captive insurance licensees and in favor of the Insurance Commissioner.

Source of Revenues:

Cash draw from Letter of Credits (LOC) issued on behalf of captive licensees.

Current Program Activities/Allowable Expenses:

The Commissioner may draw upon the LOC when the captive licensee is not in compliance with the provisions of article 19 of chapter 431, including but not limited to its minimum capital and surplus requirements, applicable investment provisions, or otherwise in adverse financial condition, or operating in a manner that is detrimental or its condition unsound with respect to the public or to its policyholders. The cash drawn from the LOC is used to cover liabilities and other obligations of the captive licensee, including policy holder claims, operating expenses, taxes, fees, fines, and other expenses.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Variances:

N/A

Financial Data							
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	509	512	513	514	514	514	514
Revenues	3	1	1	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0				
Ending Cash Balance	512	513	514	514	514	514	514
Encumbrances							
Unencumbered Cash Balance	512	513	514	514	514	514	514

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: DCCA-Insurance Division
 Prog ID(s): CCA-106
 Name of Fund: Hawaii Hurricane Relief Fund
 Legal Authority: Chapter 431P, HRS

Contact Name: Jerry Bump
 Phone: 808-586-0985
 Fund type (MOF): Trust Fund (T)
 Appropriation Acct. No. T-XX-999-R

Intended Purpose: Provide hurricane insurance in the event that such coverage is unavailable in the private insurance market.

Source of Revenues: Investment income.

Current Program Activities/Allowable Expenses:
 Program activities - investments. Allowable expenses - financial services and management expenses.

Purpose of Proposed Ceiling Increase (if applicable):
 Not applicable.

Variiances:
 Revenues and Expenditures expected to increase with additional funds to be invested.

Financial Data							
<i>A/</i>	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Fund Balance	21,144,717	21,078,646	20,794,227	126,645,536	181,970,536	181,795,536	181,620,536
Revenues	374,840	83,873	1,540,120	2,500,000	2,500,000	2,500,000	2,500,000
Expenditures	41,158	49,644	101,507	175,000	175,000	175,000	175,000
Transfers to State General Fund			0	0	0	0	0
Transfers from State General Fund			105,500,000	55,500,000	0	0	0
Accrd Interest paid	(399,753)	(318,648)	(1,087,304)	(2,500,000)	(2,500,000)	(2,500,000)	(2,500,000)
Net Total Transfers	(399,753)	(318,648)	104,412,696	53,000,000	(2,500,000)	(2,500,000)	(2,500,000)
Ending Fund Balance	21,078,646	20,794,227	126,645,536	181,970,536	181,795,536	181,620,536	181,445,536
Encumbrances							
Unencumbered Cash Balance	21,078,646	20,794,227	126,645,536	181,970,536	181,795,536	181,620,536	181,445,536

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

A/ = Prepared on the accrual basis of accounting as required by the Governmental Accounting Standards Board for Enterprise Funds.

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-107
 Name of Fund: Compliance Resolution Fund- HPEAP
 Legal Authority: HRS 305J-18(A)

Contact Name: Bobbi Lum-Mew
 Phone: 586-7327
 Fund type (MOF): Special Funds (B)
 Appropriation Acct. No. S-XX-307-R

Intended Purpose:

To implement authorization and complaints processing procedures for qualified post-secondary educational institutions as required by law.

Source of Revenues:

Authorization and re-authorization fees.

Current Program Activities/Allowable Expenses:

Review and authorize qualified post-secondary educational institutions and receive and process complaints against authorized institutions.

Purpose of Proposed Ceiling Increase (if applicable):

1. Fringe benefits

Pursuant to Finance Memorandum No. 14-12, the State's fringe benefit assessment rate for non-general fund programs increases to 52% for FY 16 and FY 17 due primarily to the projected costs for the prefunding of other post-employment benefits. The Department requires the requested budget increase to effectively fund all authorized positions.

Variances:

Institutions apply for re-authorization biennially resulting in variances between FYs.

Financial Data							
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			0	263,796	282,233	288,611	288,611
Beginning Cash Balance 1/		0	0	430,510	175,045	56,302	(219,562)
Revenues 2/			0	50,700	200,700	50,700	201,000
Expenditures			0	263,796	282,233	288,611	288,611
Transfers							
List each by JV# and date							
Net Total Transfers			0	(42,369)	(37,210)	(37,953)	(37,953)
Ending Cash Balance	0	0	0	175,045	56,302	(219,562)	(345,126)
Encumbrances							
Unencumbered Cash Balance	0	0	0	175,045	56,302	(219,562)	(345,126)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Ending cash balance from S-14-360 used for Beginning cash balance for S-15-307

2/ Revenue projections based on Rev Est to B&F November 2014.

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-110
 Name of Fund: CRF-Office Of Consumer Protection
 Legal Authority: Section 487-2, HRS

Contact Name: Michael Wun
 Phone: 587-6754
 Fund type (MOF): Special Funds (B)
 Appropriation Acct. No.: S-XX-323-R

Intended Purpose:

To protect Hawaii consumers utilizing investigative resources, civil enforcement actions and consumer education.

Source of Revenues:

Penalties or fines assessed as a result of actions brought by OCP, and penalties, fines, or reimbursement of costs or attorneys' fees assessed as a result of actions brought for violations of chapters 480 and 487 HRS.

Current Program Activities/Allowable Expenses:

OCP receives and investigates consumer complaints, provides consumer education to the public through oral presentations and the dissemination of consumer literature, and enforces

Purpose of Proposed Ceiling Increase (if applicable):

1. Fringe benefits

Pursuant to Finance Memorandum No. 14-12, the State's fringe benefit assessment rate for non-general fund programs increases to 52% for FY 16 and FY 17 due primarily to the projected costs for the prefunding of other post-employment benefits. The Department requires the requested budget increase to effectively fund all authorized positions.

Variations:

Revenue: OCP revenue from year to year may fluctuate greatly due to a number of factors including the absence of settlements in multistate cases and the complexities of some OCP enforcement actions.

Expenditures: FY 13 - due to Central Services Assessment on increased revenues from multi-state settlements. FY14 - due to unanticipated position vacancies and retirement. Increases in FY15 and beyond are due to anticipated personnel actions, including hiring to fill vacant positions and creating permanent positions as well as increased fringe benefits.

Financial Data							
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,573,840	1,524,748	1,781,593	1,784,652	2,025,447	2,079,294	2,079,294
Beginning Cash Balance	529,945	1,776,108	5,586,878	4,640,406	5,288,894	3,893,373	2,444,005
Revenues 1/	1,878,132	5,278,539	95,772	2,010,400	161,000	161,000	161,000
Expenditures	1,359,546	1,719,569	1,492,728	1,830,838	2,025,447	2,079,294	2,079,294
Transfers							
List each by JV# and date							
JS1552	9/19/2013		112,621				
JS2718	11/6/2013		112,621				
JS4371	2/14/2014		112,621				
JS5504	4/15/2014		112,621				
Net Total Transfers	727,577	251,800	450,484	468,926	468,926	468,926	468,926
Ending Cash Balance	1,776,108	5,586,878	4,640,406	5,288,894	3,893,373	2,444,005	994,637
Encumbrances	90,955	11,985	46,186				
Unencumbered Cash Balance	1,685,153	5,574,893	4,594,220	5,288,894	3,893,373	2,444,005	994,637

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue projections based on Rev Est to B&F November 2014.

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-110
 Name of Fund: OCP Restitution Fund
 Legal Authority: Section 487:14(c), HRS

Contact Name: Michael Wun
 Phone: 587-6754
 Fund type (MOF): Trust Funds (T)
 Appropriation Acct. No.: T-XX-920-R

Intended Purpose:

To hold and disburse moneys received or recovered by the Office of Consumer Protection payable to consumers as restitution pursuant to settlement or appropriate court orders and judgments.

Source of Revenues:

Consumer restitution paid by respondents and disbursed by OCP pursuant to settlement or court order.

Current Program Activities/Allowable Expenses:

We are continuing to collect and distribute restitution payments from this account pursuant to appropriate court orders and judgments.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Variations:

Revenues: Restitution recoveries awarded by a court or through voluntary compliance agreements vary greatly from year to year and the circumstances of each OCP case.

Expenditures: Restitution payments distributed in any given year varies widely based upon the resolution of enforcement actions through settlement or court action as well as the manner in which the restitution payments to consumers is structured.

Financial Data							
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	100,681	100,681	100,681	100,681	100,681	100,681	100,681
Beginning Cash Balance	140,169	143,419	147,521	108,056	12,615	0	0
Revenues 1/	5,950	4,102	18,210	5,240	0	0	0
Expenditures	2,700	0	57,675	100,681	12,615	0	0
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0				
Ending Cash Balance	143,419	147,521	108,056	12,615	0	0	0
Encumbrances							
Unencumbered Cash Balance	143,419	147,521	108,056	12,615	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue Projections based on B&F Rev Est Nov2014.

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-111
 Name of Fund: Compliance Resolution Fund - Business Registration
 Legal Authority: Section 26-9, HRS

Contact Name: Michael Wun
 Phone: 587-6754
 Fund type (MOF) Special Funds (B)
 Appropriation Acct. No. S-XX-306-R

Intended Purpose:

To fund the operations of the Business Registration Division.

Source of Revenues:

Chapters 414, 414D, 415A, 417E, 419, 420D, 421, 421C, 421H, 421J, 423, 424, 425, 425E, 428, 474, 482, and 519 HRS. - Business registration fees: Corporation, partnership, limited liability company and cooperative registration fees and trademark, trade name, service mark, publicity right filing fees.

Chapter 482E - Franchise filing fees and penalties for franchise violations.

Chapter 485A - Securities fees and penalties: Securities, broker-dealer, sales agents, investment adviser and investment adviser representative filing fees and penalty fees for securities law violations.

Current Program Activities/Allowable Expenses:

Register and maintain the registry for public access for corporations, partnerships, limited liability companies, cooperatives, trademarks, trade names, service marks and publicity rights. Help the public in their business registration filings through business centers that offer assistance in facilitating, coordinating and expediting filings. Review and approve filing applications and investigate, prosecute and enforce substantive regulatory compliance pursuant to State securities and franchise filing laws.

Purpose of Proposed Ceiling Increase (if applicable):

1. Fringe benefits,

Pursuant to Finance Memorandum No. 14-12, the State's fringe benefit assessment rate for non-general fund programs increases to 52% for FY 16 and FY 17 due primarily to the projected costs for the prefunding of other post-employment benefits. The Department requires the requested budget increase to effectively fund all authorized positions.

Variances:

FY13-FY14 - Actual revenue is based on the registration fees of filers and fines and penalties for securities and franchise violations. There was a decrease in revenues in FY14 due in part to reduced fees and lower imposed penalties. FY14 - FY15 - The estimated decrease in revenues in FY15 reflects current downward trend. The estimated increase in expenditures in FY15 is due to anticipated increased expenses involving IT systems.

Financial Data							
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	6,649,240	6,472,012	6,649,240	6,649,240	7,317,621	7,414,132	7,414,132
Beginning Cash Balance	6,209,520	9,032,676	11,200,800	11,870,606	10,477,046	8,730,666	6,877,551
Revenues 1/	8,793,157	8,598,228	7,321,288	6,545,400	6,536,000	6,536,000	6,536,000
Expenditures	4,931,644	5,241,954	5,433,372	6,830,710	7,317,621	7,414,132	7,414,132
Transfers							
List each by JV# and date							
JS1552	9/19/2013		(304,527)				
JS2718	11/6/2013		(304,527)				
JS4371	2/14/2014		(304,527)				
JS5504	4/15/2014		(304,529)				
Net Total Transfers	(1,038,357)	(1,188,150)	(1,218,110)	(1,108,250)	(964,759)	(974,983)	(974,983)
Ending Cash Balance	9,032,676	11,200,800	11,870,606	10,477,046	8,730,666	6,877,551	5,024,436
Encumbrances	278,738	137,815	181,470				
Unencumbered Cash Balance	8,753,938	11,062,985	11,689,136	10,477,046	8,730,666	6,877,551	5,024,436

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue projections based on Rev Est to B&F November 2014.

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-112
 Name of Fund: Compliance Resolution Fund - RICO
 Legal Authority: Section 26-9(o), HRS

Contact Name: Michael Wun
 Phone: 587-6754
 Fund type (MOF) Special Funds (B)
 Appropriation Acct. No. S-XX-312-R

Intended Purpose:

Complaints receipt, mediation, arbitration, investigation, and prosecution of matters in areas for which a license, registration or certificate is required from the Department or its boards, commissions or regulatory programs.

Source of Revenues:

Compliance Resolution Fund fee; penalties and fines collected; interest earned on fund balances.

Current Program Activities/Allowable Expenses:

Complaints receipt, mediation, investigation, complaint resolution and prosecution related to licenses, registrations or certificates required from the Department or its boards, commissions or

Purpose of Proposed Ceiling Increase (if applicable):

1. Fringe increase

Pursuant to Finance Memorandum No. 14-12, the State's fringe benefit assessment rate for non-general fund programs increases to 52% for FY 16 and FY 17 due primarily to the projected costs for the prefunding of other post-employment benefits. The Department requires the requested budget increase to effectively fund all authorized positions.

Variations:

Revenue Variance: Renewal fees are cyclical; FY15 and out-years include temporary fee increase to certain licensing fees.

Financial Data							
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	5,579,836	5,405,578	5,631,030	5,631,030	6,442,820	6,631,429	6,631,429
Beginning Cash Balance	6,323,075	5,928,338	5,850,462	5,496,577	5,478,039	3,971,388	3,065,169
Revenues 1/	4,940,521	5,763,254	5,574,322	6,602,788	5,856,788	6,655,784	5,820,161
Expenditures	4,467,951	4,844,071	4,898,100	5,662,243	6,442,820	6,631,429	6,631,429
Transfers							
List each by JV# and date							
JS1552 9/19/2013			(257,527)				
JS2718 11/6/2013			(257,527)				
JS4371 2/14/2014			(257,527)				
JS5504 4/15/2014			(257,526)				
Net Total Transfers	(867,307)	(997,059)	(1,030,107)	(959,083)	(920,619)	(930,574)	(930,574)
Ending Cash Balance	5,928,338	5,850,462	5,496,577	5,478,039	3,971,388	3,065,169	1,323,327
Encumbrances	285,370	34,963	31,213				
Unencumbered Cash Balance	5,642,968	5,815,499	5,465,364	5,478,039	3,971,388	3,065,169	1,323,327

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue projections include temporary fee increases pursuant to Section 92-28.

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-112
 Name of Fund: State Certified Motor Vehicle Arbitration Fund
 Legal Authority: Chapter 4811, HRS

Contact Name: Michael Wun
 Phone: 587-6754
 Fund type (MOF): Trust Funds (T)
 Appropriation Acct. No.: T-XX-915-R

Intended Purpose:

Administration of the State Certified Motor Vehicle Arbitration Program (Lemon Law) created pursuant to Chapter 4811, HRS.

Source of Revenues:

Filing fees paid by vehicle manufacturers and consumers.

Current Program Activities/Allowable Expenses:

Implementation and administration of the State Certified Arbitration Program (Lemon Law) created pursuant to Chapter 4811, HRS.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Variances:

Revenues are based on the number of filings received which vary year to year. Expenditures vary based on the number of neighbor island claims and the amount of consumer education materials which need to be purchased.

Financial Data							
	FY 2012 (actual)	FY 2013 (actual)	FY 2014 (actual)	FY 2015 (estimated)	FY 2016 (estimated)	FY 2017 (estimated)	FY 2018 (estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	135,951	131,250	129,591	124,356	122,356	117,856	115,856
Revenues 1/	1,100	2,300	1,400	2,000	2,000	2,000	2,000
Expenditures	5,801	3,959	6,635	4,000	6,500	4,000	6,500
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0				
Ending Cash Balance	131,250	129,591	124,356	122,356	117,856	115,856	111,356
Encumbrances	925	812					
Unencumbered Cash Balance	130,325	128,779	124,356	122,356	117,856	115,856	111,356

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue projections based on Rev Est to B&F November 2014.

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-191
 Name of Fund: Electric Vehicle Rebate Program
 Legal Authority: Non-appropriated: (ARRA, Transportation Energy
Diversification Project, State Energy Program-DBEDT).

Contact Name: Michael Wun
 Phone: 587-6754
 Fund type (MOF) Federal Stimulus Funds (V)
 Appropriation Acct. No. S-XX-202-R

Intended Purpose:

American Recovery and Reinvestment Act of 2009 (ARRA) funds awarded to the Department of Business, Economic Development, and Tourism (DBEDT) for the purpose of carrying out the Transportation Energy Diversification Project (Project) under the State Energy Program (SEP). The Electric Vehicle Rebate Program is to help accelerate the adoption of electric vehicles (EV) in Hawaii. The Department of Commerce and Consumer Affairs (DCCA) to administer the EV Rebate Program for DBEDT through December, 31, 2012 or until project funds have been exhausted, whichever comes first.

Source of Revenues:

Federal grant through the U.S. Department of Energy (USDOE).

Current Program Activities/Allowable Expenses:

Project funds were budgeted for a rebate program for electric vehicles and charging equipment. Program ended in FY13.

Purpose of Proposed Ceiling Increase (if applicable):

Not applicable

Variances:

Revenues and expenditures varied in FY 13 due to program ending.

Financial Data							
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	116,918	32,701	0	0	0	0	0
Revenues	1,877,754			0	0	0	0
Expenditures	1,961,971	32,701			0	0	0
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	32,701	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	32,701	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information
for Submittal to the 2015 Legislature**

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-191
 Name of Fund: Compliance Resolution Fund
 Legal Authority: Section 26-9(o), HRS

Contact Name: Michael Wun
 Phone: 587-6754
 Fund type (MOF): Special Funds (B)
 Appropriation Acct. No. S-XX-310-R,S-XX-398-R, S-14-360

Intended Purpose:

To enhance program effectiveness and efficiency by providing direction and general support services.

Source of Revenues:

Administrative fee from MICP claim fees pursuant to HRS §671-11(d), publication/copy fees.

Current Program Activities/Allowable Expenses:

See attached.

Purpose of Proposed Ceiling Increase (if applicable):

1. Fringe benefits,

Pursuant to Finance Memorandum No. 14-12, the State's fringe benefit assessment rate for non-general fund programs increases to 52% for FY 16 and FY 17 due primarily to the projected costs for the prefunding of other post-employment benefits. The Department requires the requested budget increase to effectively fund all authorized positions.

Variances

Revenues: Administrative expense assessments varies annually as a result of the varying cash balances; FY14 - fees from newly established Hawaii Post-Secondary Education Authorization Program
 Expenditures: FY13 over FY12 - primarily due to increased building/maintenance services provided by DAGS and IT software and hardware upgrades.

Financial Data							
	FY 2012	FY 2013	FY 2014 ^{1/2}	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	5,823,384	6,532,299	7,259,050	6,880,350	7,460,424	7,587,106	7,587,106
Beginning Cash Balance	2,675,386	1,987,718	2,613,491	3,593,901	3,243,139	2,579,952	1,813,725
Revenues 1/	423,891	476,511	1,175,247	462,650	471,710	469,000	469,000
Expenditures	5,401,912	5,978,497	6,411,244	7,078,649	7,460,424	7,587,106	7,587,106
Transfers							
List each by JV# and date							
JS1552 9/19/2013			1,554,101				
JS2718 11/6/2013			1,554,101				
JS4371 2/14/2014			1,554,104				
JS5504 4/15/2014			1,554,101				
Net Total Transfers	4,290,353	6,127,759	6,216,407	6,265,237	6,325,527	6,351,879	6,351,879
Ending Cash Balance	1,987,718	2,613,491	3,593,901	3,243,139	2,579,952	1,813,725	1,047,498
Encumbrances	307,776	204,317	198,299				
Unencumbered Cash Balance	1,679,942	2,409,174	3,395,602	3,243,139	2,579,952	1,813,725	1,047,498

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue based on B&F Rev Est November 2014.

2/ Include Post-Secondary Education Authorization Program established pursuant to Act 180, SLH 2013.

Report on Non-General Fund Information
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DCCA Non-General Fund Information - Compliance Resolution Fund (S-310-R, S-398-R)
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Current Program Activities/Allowable Expenses:

Provide general policy and administrative leadership, supervision and coordination of the various programs of the department. Provide individual programs with financial resources, budgetary direction and control necessary for their operation. Assist individual programs with the supply, development and maintenance of the human resources necessary for staffing their operations. Provide Director with staff assistance in the direction and supervision of the operating division; and provide centralized clerical services and relief necessary to support and supplement the operating division. Plans, directs and coordinates the department's internal and external communications through the sharing and transfer of the information via various mediums. Directs the development, implementation and maintenance of computerized information systems capable of communicating and sharing data and information internally and externally. Administers a program for informed consumerism through the sharing and transfer of information departmentally and statewide.

Provide specialized staff support to all divisions and boards by conducting hearings, as required, to ensure impartial and informed treatment in resolving consumer complaints; and provide a means for prompt and fair disposition or settlement of medical tort claims or claims of professional negligence against an engineer, architect or surveyor licensed under Chapter 464, HRS.

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-191
 Name of Fund: MFRD Special Fund
 Legal Authority: Section 667-86, HRS

Contact Name: Michael Wun
 Phone: 587-6754
 Fund type (MOF): Special Funds (B)
 Appropriation Acct. No.: S-XX-316-R

Intended Purpose:

To give a mortgagee the power to require a foreclosing mortgagee to engage in dispute resolution with the mortgagor prior to a nonjudicial mortgage foreclosure in an effort to avoid foreclosure or mitigate damages if foreclosure is unavoidable.

Source of Revenues:

Fees collected from the mortgagee and the mortgagor.

Current Program Activities/Allowable Expenses:

For all expenses of the mortgage foreclosure dispute resolution program to carry out the purposes of Act 48, SLH 2011 and to reimburse the Compliance Resolution Fund for the seed capital.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Variations:

Revenue variances: FY13 over FY12 - increased filing fees for non-judicial foreclosures and penalties of chapter violation, FY14 over FY13 - collection of fee for electronic public notice of public sale.

Expenditure variances: FY14 over FY13 - due to vacancy, and positions are anticipated to be vacant for the out-years due to lack of program activities.

Financial Data							
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,850,000	1,850,000	306,461	306,461	306,461	306,461	306,461
Beginning Cash Balance	0	260,972	121,071	238,185	220,869	214,965	209,664
Revenues 1/	2,119	4,613	34,723	37,905	40,000	40,000	40,000
Expenditures	141,147	144,514	117,609	6,000	5,500	5,000	5,000
Transfers							
List each by JV# and date							
JM0018 7/5/2013			200,000				
Net Total Transfers	400,000	0	200,000	(49,221)	(40,404)	(40,301)	(40,301)
Ending Cash Balance	260,972	121,071	238,185	220,869	214,965	209,664	204,363
Encumbrances	6,993	9,659	97				
Unencumbered Cash Balance	253,979	111,412	238,088	220,869	214,965	209,664	204,363

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue based on B&F Rev Est November 2014.

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): Various
 Name of Fund: Temporary Deposits
 Legal Authority: _____

Contact Name: Kay Okimoto
 Phone: 586-2831
 Fund type (MOF) Temporary Deposits
 Appropriation Acct. No. T-XX-902-R

Intended Purpose:

The fund was established by DCCA to account for temporary deposits. Moneys deposited in this fund may be later transferred to the appropriate fund.

Source of Revenues:

Various revenue sources (e.g., Moneys for the Medical Claims Conciliation Panel, Design Claims Conciliation Panel, & Hawaii Joint Underwriting Plan are deposited into this account, and when an invoice is received it is paid out from this account).

Current Program Activities/Allowable Expenses:

See above information.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Variances:

Revenue and expenditure variances are based on divisions' timing and execution of contracts and projects.

Financial Data							
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	1,912,647	1,752,588	1,991,262	1,511,786	2,115,936	1,607,662	2,144,287
Revenues	1,678,966	1,841,835	1,971,185	2,016,855	1,812,506	1,944,280	1,817,506
Expenditures	1,839,025	1,603,161	2,450,661	1,412,705	2,320,780	1,407,655	1,841,655
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0				
Ending Cash Balance	1,752,588	1,991,262	1,511,786	2,115,936	1,607,662	2,144,287	2,120,138
Encumbrances	11,485	13,302	8,812				
Unencumbered Cash Balance	1,741,103	1,977,960	1,502,974	2,115,936	1,607,662	2,144,287	2,120,138

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							