

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: TRANSPORTATION
 Prog ID(s): TRN 995
 Name of Fund: General Administration
 Legal Authority: Act 122/SLH2014

Contact Name: Kevin Nako
 Phone: (808) 587-1989
 Fund type (MOF) B
 Appropriation Acct. No. S - 001 - D

Intended Purpose:

Operating funds for Administration

Source of Revenues:

Assessments from the other three DOT Divisions . . Airports, Harbors and Highways.

Current Program Activities/Allowable Expenses:

Departmental Administration and staff operations, payroll, non-labor expenses, protocol fund, etc.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Increases in Revenues from FY2013 to FY2014 were due to \$370 K in reimbursements from HART for Rail Oversight contract.

Financial Data							
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	14,946,700	14,833,618	17,536,442				
Beginning Cash Balance	6,684,062	7,301,410	7,341,574	10,161,706	10,161,706	10,161,706	10,161,706
Revenues	131,538	102,953	388,105				
Expenditures	11,209,565	12,422,346	12,030,367				
Transfers							
List each by JV# and date							
JV-001 dtd 7/8/13			0				
JV-012 dtd 7/18/13			(2,500)				
JV-017 dtd 7/23/13			4,306,232				
JV-025 dtd 8/15/13			0				
JV-047 dtd 9/27/13			(3,071,548)				
JV-050 dtd 9/24/13			4,412,140				
JV-087 dtd 11/13/13			0				
JV-106 dtd 12/19/13			4,543,918				
JV-155 dtd 3/20/14			4,274,152				
Net Total Transfers	11,695,375	12,359,557	14,462,394				
Ending Cash Balance	7,301,410	7,341,574	10,161,706	10,161,706	10,161,706	10,161,706	10,161,706
Encumbrances	2,007,847	1,375,119	1,894,495				
Unencumbered Cash Balance	5,293,563	5,966,455	8,267,211	10,161,706	10,161,706	10,161,706	10,161,706

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: TRANSPORTATION
 Prog ID(s): TRN 695
 Name of Fund: Aloha Tower Development Corporation
 Legal Authority: Act 134/SLH2013

Contact Name: Kevin Nako
 Phone: (808) 587-1989
 Fund type (MOF) B
 Appropriation Acct. No. S - 088 - D

Intended Purpose:

ATDC was placed within the Department of Transportation for administrative purposes, pursuant to section 26-35.

Source of Revenues:

Monthly lease payments.

Current Program Activities/Allowable Expenses:

The monies on deposit in the fund shall be used for lease payments to the Department of Transportation and for the development, re-development, or improvement of the Honolulu Waterfront located seaward of Nimitz Highway between Pier 4 and Pier 11.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Unusually large expenditures in FY 13 were caused by the payment of "loss in revenues" amounts for FY 11 (\$225,000) & FY 12 (\$225,000) as well as \$387,500 for FY 12's Equity Participation.

Financial Data							
	FY 2012 (actual)	FY 2013 (actual)	FY 2014 (actual)	FY 2015 (estimated)	FY 2016 (estimated)	FY 2017 (estimated)	FY 2018 (estimated)
Appropriation Ceiling			1,800,368				
Beginning Cash Balance	0	1,000,000	552,318	898,946	898,946	898,946	898,946
Revenues	1,000,000	614,818	614,769				
Expenditures	0	1,062,500	268,141				
Transfers							
List each by JV# and date			0				
Net Total Transfers	0	0	0				
Ending Cash Balance	1,000,000	552,318	898,946	898,946	898,946	898,946	898,946
Encumbrances	0	0	0				
Unencumbered Cash Balance	1,000,000	552,318	898,946	898,946	898,946	898,946	898,946

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: TRANSPORTATION
 Prog ID(s): TRN 995
 Name of Fund: Ferry Boat Acquisitions and Pier Improvements
 Legal Authority: Non-Appropriated FTA Grants

Contact Name: Kevin Nako
 Phone: (808) 587-1989
 Fund type (MOF) N
 Appropriation Acct. No. S - 210 - D

Intended Purpose:

Federal Transit Administration Earmarked Funds.

Source of Revenues:

Federal Transit Administration (FTA) Funds. Section 5305 provides funding for contract services for the update of the coordinated Public Transit Human Services Transportation Plan.

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	33,096	30,134	0	0	0	0	0
Revenues	29,644	0	0				
Expenditures	19,140	0	0				
Transfers							
List each by JV# and date							
Net Total Transfers	(13,466)	(30,134)	0				
Ending Cash Balance	30,134	0	0	0	0	0	0
Encumbrances	19,629	0	0				
Unencumbered Cash Balance	10,505	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: TRANSPORTATION
 Prog ID(s): TRN 995
 Name of Fund: Intermodal Surface Transportation Efficiency Programs
 Legal Authority: 23 USC (23 CFR Part 420.103)

Contact Name: Kevin Nako
 Phone: (808) 587-1989
 Fund type (MOF) N
 Appropriation Acct. No. S - 256 - D

Intended Purpose:

Federal monies received from FHWA will be deposited into this account as reimbursement for the Statewide Planning & Research program.

Source of Revenues:

FHWA Grant SPR-0010 (33) / (35) / (36)

Current Program Activities/Allowable Expenses:

County of Hawaii's Intelligent Transportation System Architecture Contract

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Expenditures were made to the County of Hawaii for \$93,000 in FY 13 and \$56,000 in FY 14 for its ITS project. Both amounts were received as revenue in FY 14.

Financial Data							
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	11,303,104	3,690,489	1,118,158				
Beginning Cash Balance	28,143	4,774	16,140	31,566	31,566	31,566	31,566
Revenues	7,180	6,433	151,324				
Expenditures	4,263	100,728	57,767				
Transfers							
List each by JV# and date							
JV-034A dtd 8/31/13			100,000				
JV-094 dtd 11/19/13			(110,131)				
JV-239 dtd 6/30/14			32,000				
JV-254 dtd 6/30/14			(100,000)				
Net Total Transfers	(26,286)	105,661	(78,131)				
Ending Cash Balance	4,774	16,140	31,566	31,566	31,566	31,566	31,566
Encumbrances	4,774	0					
Unencumbered Cash Balance	0	16,140	31,566	31,566	31,566	31,566	31,566

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: TRANSPORTATION
 Prog ID(s): TRN 995
 Name of Fund: FTA (Federal Transit Authority)
 Legal Authority: 49 USC, Section 5310

Contact Name: Kevin Nako
 Phone: (808) 587-1989
 Fund type (MOF) N
 Appropriation Acct. No. S - 257 - D

Intended Purpose:

Federal Transit Act Capital Assistance grant under Section 5310. These funds will aid in financing capital items for private nonprofit groups which will help meet the transportation needs of the elderly and persons with disabilities in the State of Hawaii.

Source of Revenues:

FTA funds provide 80% matching share under various FTA capital assistance grants under section 5310. See Appropriation S-xx-335-D which provides the 20% private contributions.

Current Program Activities/Allowable Expenses:

FTA Grant No. HI-16-0034, -0035, -0036, -0037 & -0038. Financing capital items under Section 5310 for various non-profit organizations in the State of Hawaii.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Increases in revenues and expenditures from FY2013 to FY2014 were due to contracts for vehicles encumbered and paid from 3 FTA grants and their corresponding Federal drawdowns received in FY2014.

Financial Data							
	FY 2012 (actual)	FY 2013 (actual)	FY 2014 (actual)	FY 2015 (estimated)	FY 2016 (estimated)	FY 2017 (estimated)	FY 2018 (estimated)
Appropriation Ceiling	1,223,708	1,292,468	3,486,419				
Beginning Cash Balance	13,038	471	0	63,049	63,049	63,049	63,049
Revenues	104,686	46,286	1,300,396				
Expenditures	104,215	46,286	1,347,478				
Transfers							
List each by JV# and date							
JV-034A dtd 8/31/13			300,000				
JV-094 dtd 11/19/13			110,131				
JV-254 dtd 6/30/14			(300,000)				
Net Total Transfers	(13,038)	(471)	110,131				
Ending Cash Balance	471	0	63,049	63,049	63,049	63,049	63,049
Encumbrances	0	0					
Unencumbered Cash Balance	471	0	63,049	63,049	63,049	63,049	63,049

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
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Department: TRANSPORTATION
 Prog ID(s): TRN 995
 Name of Fund: FTA (Federal Transit Authority)
 Legal Authority: 49 USC, Sections 5311, 5309, 5303 & 5313(b), 5316, 5317, 5339

Contact Name: Kevin Nako
 Phone: (808) 587-1989
 Fund type (MOF) N
 Appropriation Acct. No. S - 258 - D

Intended Purpose:

Federal Transit Administration (FTA) Funds - to account for pre-FY14 FTA grants which are still active.

Source of Revenues:

Federal Transit Administration (FTA) Funds.

Current Program Activities/Allowable Expenses:

FTA Grant Nos. HI-18-X029, -X030, -X031 & HI-03-0034, -0038 & HI-04-0002, -0003, -0004, -0007, -0009, -0010, -0013, -0014 & HI-37-X006, -X008 & HI-57-X006, -X008 & HI-80-X019, -0020, -0021, -0022, -0023 & HI-34-0001.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

In FY 14, with the effort to close out old grants, both the Counties of Hawaii and Kauai increased their reimbursement requests (expenditures) over FY13 by \$3.2 million, but this was tempered by a \$2.2 million decrease in reimbursement requests by Maui County and DLNR. Please note that revenues follow the same pattern.

Financial Data							
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	20,795,971	28,174,481	30,882,626				
Beginning Cash Balance	589,832	566,784	566,984	542,282	542,282	542,282	542,282
Revenues	11,319,840	9,748,278	10,896,462				
Expenditures	11,320,622	9,748,078	10,879,164				
Transfers							
List each by JV# and date							
JV-034A dtd 8/31/13			2,400,000				
JV-094 dtd 11/19/13			0				
JV-239 dtd 6/26/14			(32,000)				
JV-254 dtd 6/30/14			(2,400,000)				
Net Total Transfers	(22,266)	0	(32,000)				
Ending Cash Balance	566,784	566,984	542,282	542,282	542,282	542,282	542,282
Encumbrances	0	0	2,739,542				
Unencumbered Cash Balance	566,784	566,984	(2,197,260)	542,282	542,282	542,282	542,282

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: TRANSPORTATION
 Prog ID(s): TRN 995
 Name of Fund: Bus Purchases & Shelters - ARRA
 Legal Authority: 49 USC, Section 5311

Contact Name: Kevin Nako
 Phone: (808) 587-1989
 Fund type (MOF) N
 Appropriation Acct. No. S - 259 - D

Intended Purpose:

Federal Transit Administration's American Recovery & Reinvestment Act of 2009 (ARRA) funds.

Source of Revenues:

These ARRA FTA funds provide funding for the counties to buy buses and shelters under Section 5311.

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0					
Beginning Cash Balance	0	75,057	0	0	0	0	0
Revenues	72,326	0					
Expenditures	72,326	0					
Transfers							
List each by JV# and date							
Net Total Transfers	75,057	(75,057)	0				
Ending Cash Balance	75,057	0	0	0	0	0	0
Encumbrances	0	0					
Unencumbered Cash Balance	75,057	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: TRANSPORTATION
 Prog ID(s): TRN 995
 Name of Fund: FTA (Federal Transit Authority)
 Legal Authority: 49 USC, Section 5310

Contact Name: Kevin Nako
 Phone: (808) 587-1989
 Fund type (MOF) N
 Appropriation Acct. No. S - 335 - D

Intended Purpose:

Non-profit organizations' 20% share of Federal Transit Act Capital Assistance grant under Section 5310.

Source of Revenues:

Non-profit organizations provide the 20% matching share under various FTA capital assistance grants under Section 5310.
 See Appropriation S-xx-257-D which provides the 80% Federal Share.

Current Program Activities/Allowable Expenses:

FTA Grant No. HI-16-0034, -0035, -0036, -0037 & -0038. Financing capital items under Section 5310 for various non-profit organizations in the State of Hawaii.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Increases in revenues and expenditures from FY2013 to FY2014 were due to contracts for vehicles encumbered and paid from 3 FTA grants and their corresponding Federal drawdowns received in FY2014.

Financial Data							
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	423,067	423,067	964,172				
Beginning Cash Balance	16,938	0	0	0	0	0	0
Revenues	0	0	330,357				
Expenditures	16,938	0	330,357				
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0				
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0				
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: TRANSPORTATION
 Prog ID(s): TRN 995
 Name of Fund: Protocol Funds for Executive Heads
 Legal Authority: Act 200/Section 143/SLH 2003

Contact Name: Kevin Nako
 Phone: (808) 587-1989
 Fund type (MOF) B
 Appropriation Acct. No. S - 398 - D

Intended Purpose:

Provide discretionary funds for the head of the department.

Source of Revenues:

\$2,500.00 is transferred from the "General Administration Fund, S-xx-001-D" to fund this appropriation.

Current Program Activities/Allowable Expenses:

To provide discretionary funds for the head of the department in accordance with Section 143 of Act 200, SLH 2003 per Comptroller's Memorandum No. 200
 Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,500	2,500	2,500				
Beginning Cash Balance	1,395	1,830	1,824	2,112	2,112	2,112	2,112
Revenues	0	0	0				
Expenditures	670	676	388				
Transfers							
List each by JV# and date							
JV-012 dtd 7/18/13			2,500				
JV-047 dtd 9/27/13			(1,824)				
Net Total Transfers	1,105	670	676				
Ending Cash Balance	1,830	1,824	2,112	2,112	2,112	2,112	2,112
Encumbrances	0	0					
Unencumbered Cash Balance	1,830	1,824	2,112	2,112	2,112	2,112	2,112

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: TRANSPORTATION
 Prog ID(s): TRN 995
 Name of Fund: Bid Bond Deposits - Administration
 Legal Authority: _____

Contact Name: Kevin Nako
 Phone: (808) 587-1989
 Fund type (MOF) T
 Appropriation Acct. No. T - 905 - D

Intended Purpose:

Receipt of and disbursement of Bid Bond Deposits for contracts that are put out to bid.

Source of Revenues:

Receipt of bid bond deposits for contracts that are put out to bid. Also, AMTRAK funds are held temporarily until specific use of the funds are determined by Transportation Officials. Interest revenue from the Budget & Finance Investment Pool program.

Current Program Activities/Allowable Expenses:

Procurement & contracting. Temporary deposit of AMTRAK funds for the Highways Division. Disbursement and/or refund of the bid bond deposit for contracts. AMTRAK funds are used for various transportation projects in the State of Hawaii.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Revenues varies depending on the number of contracts that are put out for bid and expenditures varies depending on how may bid bond deposits are refunded in a particular fiscal year.

Financial Data							
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	201,201	295,581	188,365	63,561	63,561	63,561	63,561
Revenues	133,605	55,567	326,161				
Expenditures	39,225	162,783	412,155				
Transfers							
List each by JV# and date							
JV-148 dtd 03/11/14			(38,810)				
Net Total Transfers	0	0	(38,810)				
Ending Cash Balance	295,581	188,365	63,561	63,561	63,561	63,561	63,561
Encumbrances	0	0					
Unencumbered Cash Balance	295,581	188,365	63,561	63,561	63,561	63,561	63,561

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: TRANSPORTATION
 Prog ID(s): TRN 995
 Name of Fund: WASHTO Quality Award Program
 Legal Authority: _____

Contact Name: Kevin Nako
 Phone: (808) 587-1989
 Fund type (MOF) T
 Appropriation Acct. No. T - 908 - D

Intended Purpose:
 Receipt of and disbursement of cash awards for the Employees Incentive Awards Program.
 Source of Revenues:
 WASHTO

Current Program Activities/Allowable Expenses:
 Cash awards for the Employees Incentive Award Programs.

Purpose of Proposed Ceiling Increase (if applicable):

Variations:
 In FY 13 and FY 14, cash awards were not disbursed until the next fiscal year (August 2013 and August 2014, respectively).

Financial Data							
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	3,000	3,000	3,000	3,000	3,000
Revenues	3,000	3,000	3,000				
Expenditures	3,000	0	3,000				
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0				
Ending Cash Balance	0	3,000	3,000	3,000	3,000	3,000	3,000
Encumbrances	0	0	0				
Unencumbered Cash Balance	0	3,000	3,000	3,000	3,000	3,000	3,000

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: TRANSPORTATION
 Prog ID(s): TRN 995
 Name of Fund: AMTRAK Trust Account
 Legal Authority: _____

Contact Name: Kevin Nako
 Phone: (808) 587-1989
 Fund type (MOF) T
 Appropriation Acct. No. T - 909 - D

Intended Purpose:

Source of Revenues:

AMTRAK funds are held temporarily until specific use of the funds are determined by Transportation Officials. Interest revenue from the Budget & Finance Investment Pool program.

Current Program Activities/Allowable Expenses:

Temporary deposit of AMTRAK funds for the Highways Division. AMTRAK funds are used for various transportation projects in the State of Hawaii.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	38,810	0	0	0
Revenues	0	0	0				
Expenditures	0	0	0	38,810			
Transfers							
List each by JV# and date							
JV-148 dtd 03/11/14			38,810				
Net Total Transfers	0	0	38,810				
Ending Cash Balance	0	0	38,810	0	0	0	0
Encumbrances	0	0					
Unencumbered Cash Balance	0	0	38,810	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Airport Revenue Fund (O & M)
 Legal Authority: HRS 248-8/261-5/261-7

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) B
 Appropriation Acct. No. S-XX-060-D TO S-XX-087-D

Intended Purpose:
 Operating Appropriations
 Source of Revenues:
 Airport Operating Revenues and Interest Income
 Current Program Activities/Allowable Expenses:
 Operating and maintenance expenses including state surcharge and debt service
 Purpose of Proposed Ceiling Increase (if applicable):

Variations

Increases in expenditures due to increases in payroll and operating costs including maintenance and debt services costs/inflation

Financial Data							
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	323,405,402	473,520,454	495,993,189	484,146,396	446,376,193	499,531,331	499,500,000
Beginning Cash Balance	96,848,593	133,805,317	165,864,009	180,459,375	180,459,375	180,459,375	180,459,375
Revenues	73,677	130,788	121,507				
Expenditures	291,368,200	310,836,076	314,396,297	305,223,000	343,191,000	361,700,000	394,586,000
Transfers	328,251,247	342,763,980	328,870,156				
List each by JV# and date							
Net Total Transfers	328,251,247	342,763,980	328,870,156	305,223,000	343,191,000	361,700,000	394,586,000
Ending Cash Balance	133,805,317	165,864,009	180,459,375	180,459,375	180,459,375	180,459,375	180,459,375
Encumbrances	80,206,431	90,028,607	102,342,323				
Unencumbered Cash Balance	53,598,886	75,835,402	78,117,052	180,459,375	180,459,375	180,459,375	180,459,375

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: DOT
 Prog ID(s): TRN 195
 Name of Fund: Airport Revenue Fund
 Legal Authority: Act 106, SLH 2012, Section 9.1 and 9.2

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) B
 Appropriation Acct. No. S-XX-308-D

Intended Purpose:

Payment of Interest and principal on rental motor vehicle customer facility charge revenue bonds

Source of Revenues:

Collection of rental motor vehicle customer facility charge

Current Program Activities/Allowable Expenses:

Payment of Interest and principal on rental motor vehicle customer facility charge revenue bonds. Transfer from Administration

Purpose of Proposed Ceiling Increase (if applicable):

Variances

Increase in debt service costs due to sale of bonds

Financial Data							
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		20,750,000	20,900,000	30,900,000	10,507,207	44,238,958	44,239,000
Beginning Cash Balance		0	0	0	0	0	0
Revenues							
Expenditures				180,369	10,507,000	44,238,958	44,239,000
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	180,369	10,507,000	44,238,958	44,239,000
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Airport Revenue Fund
 Legal Authority: HRS 248-8/261-5

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) B
 Appropriation Acct. No. S-XX-361-D

Intended Purpose:
 Airport Revenue Fund
 Source of Revenues:
 Airport operating revenue
 Current Program Activities/Allowable Expenses:
 Transferred operating revenues to fund operating activities. No allowable expenses
 Purpose of Proposed Ceiling Increase (if applicable):

Variances
 Projected incremental increases based on projected increases in passenger traffic and inflation

Financial Data							
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	124,541,916	163,041,524	130,960,086	149,161,962	110,229,050	171,966,050	143,889,092
Revenues	359,166,529	363,168,980	331,504,699	340,269,000	375,456,000	388,630,000	415,268,000
Expenditures							
Transfers	(306,958,341)	(382,776,790)	(301,016,462)				
List each by JV# and date							
5% surcharge (per audited F/S)	(13,708,580)	(12,473,628)	(12,286,361)				
Net Total Transfers	(320,666,921)	(395,250,418)	(313,302,823)	(379,201,912)	(313,719,000)	(416,706,958)	(456,472,000)
Ending Cash Balance	163,041,524	130,960,086	149,161,962	110,229,050	171,966,050	143,889,092	102,685,092
Encumbrances							
Unencumbered Cash Balance	163,041,524	130,960,086	149,161,962	110,229,050	171,966,050	143,889,092	102,685,092

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Passenger Facility Charge Special Fund
 Legal Authority: HRS 261-5.5

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF): B
 Appropriation Acct. No.: S-XX-363-D

Intended Purpose:

Used for Passenger Facility Charge Program (Inception date 10/01/01)

Source of Revenues:

Passenger Facility Charge (CFC) Collections and related interest income

Current Program Activities/Allowable Expenses:

Collection for above intended purposes. To fund eligible PFC funded CIP projects approved by the Federal Aviation Administration (FAA).

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	38,845,899	69,413,982	91,830,518	99,107,951	75,568,951	66,974,951	98,473,951
Revenues	30,309,880	36,435,201	34,218,366	34,757,000	35,162,000	35,617,000	36,102,000
Expenditures							
Transfers	258,203	(14,018,665)	(26,940,933)				
List each by JV# and date							
Net Total Transfers	258,203	(14,018,665)	(26,940,933)	(58,296,000)	(43,756,000)	(4,118,000)	(729,000)
Ending Cash Balance	69,413,982	91,830,518	99,107,951	75,568,951	66,974,951	98,473,951	133,846,951
Encumbrances							
Unencumbered Cash Balance	69,413,982	91,830,518	99,107,951	75,568,951	66,974,951	98,473,951	133,846,951

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Rental Motor Vehicle Customer Fac Chg Special Fund
 Legal Authority: HRS 261-5.6

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) B
 Appropriation Acct. No. S-XX-364-D

Intended Purpose: Monies collected from the motor vehicle customer facility charge shall be used for the enhancement, renovation, operation, and maintenance of existing rental motor vehicle customer facilities and related services at state airports

Source of Revenues:

Collection of rental motor vehicle customer facility charge

Current Program Activities/Allowable Expenses:

Collection of above intended purposes. To fund improvements to the rental motor vehicle customer facilities at state airports

Purpose of Proposed Ceiling Increase (if applicable):

Variances

Financial Data							
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	42,705,373	42,285,328	49,371,707	21,313,337	23,704,110	23,699,110	23,690,110
Revenues	5,579,955	50,494,596	55,299,432	56,876,000	57,604,000	58,342,000	59,090,000
Expenditures							
Transfers	(6,000,000)	(43,408,217)	(83,357,802)				
List each by JV# and date							
Net Total Transfers	(6,000,000)	(43,408,217)	(83,357,802)	(54,485,227)	(57,609,000)	(58,351,000)	(59,104,000)
Ending Cash Balance	42,285,328	49,371,707	21,313,337	23,704,110	23,699,110	23,690,110	23,676,110
Encumbrances							
Unencumbered Cash Balance	42,285,328	49,371,707	21,313,337	23,704,110	23,699,110	23,690,110	23,676,110

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Prepaid Airport Use Charge Fund
 Legal Authority: HRS 248-8/261-5/261-7/39-53/39-61

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) B
 Appropriation Acct. No. S-XX-365-D

Intended Purpose:

To provide for overpayments of Airport Use Charges

Source of Revenues:

Airport Use Charge (AUC) overpayment based on airport/airline lease agreement

Current Program Activities/Allowable Expenses:

To refund AUC overpayment based on agreed signatory airlines payment plan as approved by the Director of Transportation

Purpose of Proposed Ceiling Increase (if applicable):

Variations

Payment out is to clear FY 2010 overpayment balance due as agreed to in the payment plan.

Financial Data							
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	10,356,353	3,801,843	40,671,687	21,797,541	27,105,207	27,105,207	27,105,207
Revenues							
Expenditures	2,500,000	7,445,000		1,091,862			
Transfers	(4,054,510)	44,314,844	(18,874,146)				
List each by JV# and date							
Net Total Transfers	(4,054,510)	44,314,844	(18,874,146)	6,399,528	0	0	0
Ending Cash Balance	3,801,843	40,671,687	21,797,541	27,105,207	27,105,207	27,105,207	27,105,207
Encumbrances							
Unencumbered Cash Balance	3,801,843	40,671,687	21,797,541	27,105,207	27,105,207	27,105,207	27,105,207

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Reserve for Future CIP
 Legal Authority: HRS 248-8/261-5/261-7/39-53/39-61

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) D
 Appropriation Acct. No. S-XX-366-D

Intended Purpose:
 Provide a reserve for future Airport System Capital Improvement Projects
 Source of Revenues:
 Airport operating revenues and interest income
 Current Program Activities/Allowable Expenses:
 Transferred to fund the Airport System Capital Improvement Projects
 Purpose of Proposed Ceiling Increase (if applicable):

Variances

Financial Data							
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	111,015,400	87,088,892	57,638,527	53,214,466	3,214,466	53,214,466	53,214,466
Revenues		47,953					
Expenditures							
Transfers	(23,926,508)	(29,498,318)	(4,424,061)				
List each by JV# and date							
Net Total Transfers	(23,926,508)	(29,498,318)	(4,424,061)	(50,000,000)	50,000,000		
Ending Cash Balance	87,088,892	57,638,527	53,214,466	3,214,466	53,214,466	53,214,466	53,214,466
Encumbrances							
Unencumbered Cash Balance	87,088,892	57,638,527	53,214,466	3,214,466	53,214,466	53,214,466	53,214,466

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Reserve for Airline Rate Mitigation
 Legal Authority: HRS 248-8/261-5/261-7/39-53/39-61

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) D
 Appropriation Acct. No. S-XX-367-D

Intended Purpose:

To provide a reserve for payment of interest on revenue bonds and to be in compliance with revenue bond covenants

Source of Revenues:

Aiport operating revenues and interest income

Current Program Activities/Allowable Expenses:

Used to meet the calculation of net revenue and taxes. To provide funding for debt service - Interest portion based on airport/airline lease agreement

Purpose of Proposed Ceiling Increase (if applicable):

Variances

Financial Data							
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues							
Expenditures							
Transfers	0	0	0				
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Reserve for O & M Expenses
 Legal Authority: HRS 248-8/261-5/261-7/39-53/39-61

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) D
 Appropriation Acct. No. S-XX-368-D

Intended Purpose: Providing a reserve for payment of operating and maintenance expenses. Also for deficiencies in any other account for lawful airports system purpose. Amount on deposit should be equal to 25% of the budgeted operating and maintenance expenses.

Source of Revenues:

Aiport operating revenues and interest income.

Current Program Activities/Allowable Expenses:

Reserve only. No current activity

Purpose of Proposed Ceiling Increase (if applicable):

Variances

Financial Data							
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	62,176,348	62,949,400	67,110,506	69,420,151	77,857,740	77,857,740	77,857,740
Revenues							
Expenditures							
Transfers	773,052	4,161,106	2,309,645				
List each by JV# and date							
Net Total Transfers	773,052	4,161,106	2,309,645	8,437,589	0	0	0
Ending Cash Balance	62,949,400	67,110,506	69,420,151	77,857,740	77,857,740	77,857,740	77,857,740
Encumbrances							
Unencumbered Cash Balance	62,949,400	67,110,506	69,420,151	77,857,740	77,857,740	77,857,740	77,857,740

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Debt Service Funded Coverage
 Legal Authority: HRS 248-8/261-5/261-7/39-53/39-61

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) D
 Appropriation Acct. No. S-XX-369-D

Intended Purpose:

To cover shortfalls in debt service coverage requirements. Minimum deposit must be 25% of current year debt service

Source of Revenues:

Airport operating revenues and interest income

Current Program Activities/Allowable Expenses:

Reserve only. No current activity

Purpose of Proposed Ceiling Increase (if applicable):

Variances

Financial Data							
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	24,223,252	24,223,252	24,223,252	19,311,268	21,223,000	21,223,000	21,724,000
Revenues							
Expenditures							
Transfers							
List each by JV# and date			(4,911,984)				
Net Total Transfers	0	0	(4,911,984)	1,911,732	0	501,000	7,125,000
Ending Cash Balance	24,223,252	24,223,252	19,311,268	21,223,000	21,223,000	21,724,000	28,849,000
Encumbrances							
Unencumbered Cash Balance	24,223,252	24,223,252	19,311,268	21,223,000	21,223,000	21,724,000	28,849,000

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Airport System Interest Account
 Legal Authority: HRS 248-8/261-5/261-7/39-53/39-61

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF): D
 Appropriation Acct. No.: S-XX-375-D

Intended Purpose:

Used for payment of interest on outstanding bonds

Source of Revenues:

Airport operating revenues and interest income

Current Program Activities/Allowable Expenses:

Payment of interest on outstanding revenue bonds

Purpose of Proposed Ceiling Increase (if applicable):

Variances

Increase is dependent on bond sale for airport improvement program

Financial Data							
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	42,941,583	27,434,378	23,068,731	21,672,006	20,757,862	22,391,009	23,981,734
Revenues	46,688,958	44,587,337	43,033,987	50,832,144	53,125,082	56,235,357	82,699,357
Expenditures	49,946,941	45,071,037	43,810,662	51,591,276	51,491,935	54,644,632	69,360,832
Transfers	(12,249,222)	(3,881,947)	(620,050)				
List each by JV# and date							
Net Total Transfers	(12,249,222)	(3,881,947)	(620,050)	(155,012)	0	0	0
Ending Cash Balance	27,434,378	23,068,731	21,672,006	20,757,862	22,391,009	23,981,734	37,320,259
Encumbrances							
Unencumbered Cash Balance	27,434,378	23,068,731	21,672,006	20,757,862	22,391,009	23,981,734	37,320,259

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Airport System Serial & Principal Account
 Legal Authority: HRS 248-8/261-5/261-7/39-53/39-61

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) D
 Appropriation Acct. No. S-XX-376-D

Intended Purpose:

Used for principal payments on outstanding serial bonds

Source of Revenues:

Airport operating revenues and interest income

Current Program Activities/Allowable Expenses:

Payment of principal on outstanding serial revenue bonds

Purpose of Proposed Ceiling Increase (if applicable):

Variances

Increase is dependent on bond sale for airport improvement program

Financial Data							
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	25,370,000	27,545,000	40,305,000	34,210,000	35,725,000	37,290,000	43,680,000
Revenues	30,578,750	40,305,000	34,210,000	35,725,000	37,290,000	43,680,000	46,430,000
Expenditures	28,403,750	27,545,000	40,305,000	34,210,000	35,725,000	37,290,000	43,680,000
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	27,545,000	40,305,000	34,210,000	35,725,000	37,290,000	43,680,000	46,430,000
Encumbrances							
Unencumbered Cash Balance	27,545,000	40,305,000	34,210,000	35,725,000	37,290,000	43,680,000	46,430,000

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Airport System Debt Service Reserve
 Legal Authority: HRS 248-8/261-5/261-7/39-53/39-61

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF): D
 Appropriation Acct. No.: S-XX-378-D

Intended Purpose: Used as a reserve for the payment of principal, interest, and premium for bonds outstanding. The amount on deposit should be equal to the maximum aggregate of debt outstanding for all bonds in any future year.

Source of Revenues:

Proceeds for the sale of Airport System Revenue Bonds

Current Program Activities/Allowable Expenses:

Principal interest and premiums relating to bonds outstanding. No activity

Purpose of Proposed Ceiling Increase (if applicable):

Variations

Increase in revenue dependent on bond sale for airport improvement program

Financial Data							
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	96,893,008	96,893,008	96,893,008	96,893,008	96,893,008	166,975,008	194,158,008
Revenues					70,082,000	27,183,000	23,671,000
Expenditures							
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	96,893,008	96,893,008	96,893,008	96,893,008	166,975,008	194,158,008	217,829,008
Encumbrances							
Unencumbered Cash Balance	96,893,008	96,893,008	96,893,008	96,893,008	166,975,008	194,158,008	217,829,008

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments	96,893,008	96,893,008	96,893,008	96,893,008	114,841,008	147,082,008	147,082,008

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Airport System Major Maintenance, Renewal/Replacement
 Legal Authority: HRS 248-8/261-5/261-7/39-53/39-61

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) D
 Appropriation Acct. No. S-XX-379-D

Intended Purpose: To support deficiencies in the interest account, serial bond principal account, and the sinking fund. Also to fund major maintenance and repair projects not recurring annually in nature.

Source of Revenues:

Airport operating revenues and interest account

Current Program Activities/Allowable Expenses:

To fund eligible projects meeting the funding criteria for major maintenance, renewal and replacement account in accordance with the bond resolution

Purpose of Proposed Ceiling Increase (if applicable):

Variances

Estimated expenditures dependent on ongoing and future projects for airport programs

Financial Data							
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	58,397,113	58,060,552	59,980,198	59,898,925	60,000,000	60,000,000	60,000,000
Revenues							
Expenditures	3,248,427	322,585	101,075	500,000	3,000,000	3,000,000	3,000,000
Transfers	2,911,866	2,242,231	19,802				
List each by JV# and date							
Net Total Transfers	2,911,866	2,242,231	19,802	601,075	3,000,000	3,000,000	3,000,000
Ending Cash Balance	58,060,552	59,980,198	59,898,925	60,000,000	60,000,000	60,000,000	60,000,000
Encumbrances	605,552	155,984	54,909				
Unencumbered Cash Balance	57,455,000	59,824,214	59,844,016	60,000,000	60,000,000	60,000,000	60,000,000

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Bond Revenue CIP Accounts
 Legal Authority: HRS 39-53/39-61

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) E
 Appropriation Acct. No. B-XX-XXX

Intended Purpose:
 Bond funded capital improvement projects
 Source of Revenues:
 Sale of Bond
 Current Program Activities/Allowable Expenses:
 Funded capital improvement projects
 Purpose of Proposed Ceiling Increase (if applicable):
 New capital improvement projects will be implemented
 Variances

Revenue and expenditures are dependent on the status of various construction projects ongoing for the airport modernization program

Financial Data							
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	58,982,000	1,079,919,000	213,417,000	536,540,000	224,593,000	83,090,000	298,400,000
Beginning Cash Balance	262,236,803	233,502,847	213,141,261	144,748,530	26,243,530	571,576,530	400,078,530
Revenues					833,303,000	310,160,000	274,041,000
Expenditures	28,733,956	20,361,586	68,392,731	218,505,000	187,970,000	481,658,000	332,481,000
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	100,000,000	(100,000,000)	0	0
Ending Cash Balance	233,502,847	213,141,261	144,748,530	26,243,530	571,576,530	400,078,530	341,638,530
Encumbrances	156,346,259	165,446,678	483,912,419				
Unencumbered Cash Balance	77,156,588	47,694,583	(339,163,889)	26,243,530	571,576,530	400,078,530	341,638,530

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds					833,303,000	310,160,000	274,041,000
EB-5							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: DOT
 Prog ID(s): TRN 114
 Name of Fund: General Obligation Bond Fund
 Legal Authority: HRS 39

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) C
 Appropriation Acct. No. B-13-700/B-13-701

Intended Purpose:
 Various Improvements to Ellison Onizuka Space Museum
 Source of Revenues:
 General Obligation Bond
 Current Program Activities/Allowable Expenses:
 Capital improvement project
 Purpose of Proposed Ceiling Increase (if applicable):

Variances

Financial Data							
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			3,000,000				
Beginning Cash Balance				0	0	0	0
Revenues							
Expenditures							
Transfers							
List each by JV# and date							
Net Total Transfers				0	0	0	0
Ending Cash Balance				0	0	0	0
Encumbrances							
Unencumbered Cash Balance				0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds					0	0	
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Special State CIP
 Legal Authority: HRS 248-8/261-5

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) B
 Appropriation Acct. No. S-XX-7XX-D, S-XX-8XX-D

Intended Purpose:
 Special funded capital improvement projects
 Source of Revenues:
 Airport operating revenue
 Current Program Activities/Allowable Expenses:
 Funded capital improvement projects
 Purpose of Proposed Ceiling Increase (if applicable):

Variations

Expenditures are dependent on the status of various construction projects ongoing for the airport modernization program

Financial Data							
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	20,650,000	34,125,000	12,470,000	11,450,000	87,611,000	12,800,000	14,551,000
Beginning Cash Balance	56,248,773	63,552,929	71,730,029	62,176,381	34,920,381	25,224,381	21,055,381
Revenues							
Expenditures	16,622,398	21,321,217	13,977,709	27,256,000	9,696,000	4,169,000	5,009,000
Transfers	23,926,554	29,498,317	4,424,061				
List each by JV# and date							
Net Total Transfers	23,926,554	29,498,317	4,424,061				
Ending Cash Balance	63,552,929	71,730,029	62,176,381	34,920,381	25,224,381	21,055,381	16,046,381
Encumbrances	29,025,685	26,251,129	40,350,438				
Unencumbered Cash Balance	34,527,244	45,478,900	21,825,943	34,920,381	25,224,381	21,055,381	16,046,381

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Special State CIP - Funded by Others
 Legal Authority: HRS 261-5.5

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) X
 Appropriation Acct. No. S-XX-9XX-D

Intended Purpose:

Used to fund projects funded by the Passenger Facility Charge Program & other contributions

Source of Revenues:

Passenger Facility Charges Funds

Current Program Activities/Allowable Expenses:

Funded approved capital improvement projects

Purpose of Proposed Ceiling Increase (if applicable):

New capital improvement project will be implemented

Variances:

Expenditures are dependent on the status of various construction projects ongoing for the airport modernization program

Financial Data							
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	6,500,000	51,699,000	46,204,000	100,000	125,000	125,000	100,000
Beginning Cash Balance	27,625,355	12,962,054	10,278,289	29,831,970	29,831,970	29,831,970	29,831,970
Revenues							
Expenditures	14,405,098	16,702,429	7,387,252	58,296,000	43,756,000	4,118,000	729,000
Transfers	(258,203)	14,018,664	26,940,933				
List each by JV# and date							
Net Total Transfers	(258,203)	14,018,664	26,940,933	58,296,000	43,756,000	4,118,000	729,000
Ending Cash Balance	12,962,054	10,278,289	29,831,970	29,831,970	29,831,970	29,831,970	29,831,970
Encumbrances	16,775,937	8,070,247	61,808,632				
Unencumbered Cash Balance	(3,813,883)	2,208,042	(31,976,662)	29,831,970	29,831,970	29,831,970	29,831,970

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Special State CIP - Funded by Others
 Legal Authority: HRS 261-5.6

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) X/B
 Appropriation Acct. No. S-XX-9XX-D; S-XX-7XX-D

Intended Purpose:

Used to fund projects funded by the Consolidated Rent A Car Facility Charge Program

Source of Revenues:

Rent A Car Facility Charges (CFC) Funds

Current Program Activities/Allowable Expenses:

Funded approved capital improvement projects

Purpose of Proposed Ceiling Increase (if applicable):

New capital improvement project will be implemented

Variances:

Expenditures are dependent on the status of various construction projects ongoing for the airport modernization program

Financial Data							
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	223,660,000	90,000,000	42,000,000			
Beginning Cash Balance	3,358,651	3,102,475	28,792,886	106,478,703	106,478,703	9,223,703	26,666,703
Revenues				34,910,142	41,100,000		
Expenditures	6,256,176	17,717,806	5,671,985	89,215,000	195,964,000	40,908,000	33,733,000
Transfers	6,000,000	43,408,217	83,357,802				
List each by JV# and date							
Net Total Transfers	6,000,000	43,408,217	83,357,802	54,304,858	57,609,000	58,351,000	59,104,000
Ending Cash Balance	3,102,475	28,792,886	106,478,703	106,478,703	9,223,703	26,666,703	52,037,703
Encumbrances	40,950,692	28,792,886	222,748,193				
Unencumbered Cash Balance	(37,848,217)	0	(116,269,490)	106,478,703	9,223,703	26,666,703	52,037,703

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
EB-5				34,910,142	41,100,000		
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Federal CIP
 Legal Authority: Title 49 United State Code (Grants)

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-17X-D; S-XX-26X-D to
S-XX-29X-D; S-XX-30X-D

Intended Purpose:
 Capital improvement projects
 Source of Revenues:
 Federal Grants
 Current Program Activities/Allowable Expenses:
 Capital improvement projects
 Purpose of Proposed Ceiling Increase (if applicable):
 New capital improvement project will be implemented; additional funds required for for existing appropriations
 Variances

Revenue and expenditures are dependent on the status of various construction projects ongoing for the airport modernization program

Financial Data							
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	99,886,000	103,372,000	64,025,000	12,810,000	47,325,000	46,750,000	13,550,000
Beginning Cash Balance	8,547,792	10,020,846	7,722,885	13,463,387	13,463,387	13,463,387	13,463,387
Revenues	48,891,757	21,022,812	58,613,115	54,571,000	51,151,000	36,309,000	32,285,000
Expenditures	47,907,276	22,710,278	56,138,751	54,571,000	51,151,000	36,309,000	32,285,000
Transfers	488,573	(610,495)	3,266,138				
List each by JV# and date							
Net Total Transfers	488,573	(610,495)	3,266,138	0	0	0	0
Ending Cash Balance	10,020,846	7,722,885	13,463,387	13,463,387	13,463,387	13,463,387	13,463,387
Encumbrances	41,102,179	56,365,521	40,766,888				
Unencumbered Cash Balance	(31,081,333)	(48,642,636)	(27,303,501)	13,463,387	13,463,387	13,463,387	13,463,387

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Federal O & M
 Legal Authority: Title 49 United State Code (Grants)

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-20X-D to S-XX-25X-D

Intended Purpose:
 Federal portion of projects matched by operating funds
 Source of Revenues:
 Federal grants
 Current Program Activities/Allowable Expenses:
 Operating and maintenance expenses
 Purpose of Proposed Ceiling Increase (if applicable):

Variations

Expenditures are dependent on the status of various construction projects ongoing for the airport modernization program

Financial Data							
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	11,525,500	8,000,000	3,450,000	7,037,250	7,750,000	7,322,300	7,000,000
Beginning Cash Balance	3,209,120	3,621,919	4,422,415	1,327,075	1,327,075	1,327,075	1,327,075
Revenues	3,175,508	4,246,793	2,178,911	2,000,000	2,000,000	2,000,000	2,000,000
Expenditures	2,274,136	4,056,791	2,008,113	2,000,000	2,000,000	2,000,000	2,000,000
Transfers	(488,573)	610,494	(3,266,138)				
List each by JV# and date							
Net Total Transfers	(488,573)	610,494	(3,266,138)	0	0	0	0
Ending Cash Balance	3,621,919	4,422,415	1,327,075	1,327,075	1,327,075	1,327,075	1,327,075
Encumbrances	4,595,722	2,972,544	857,718				
Unencumbered Cash Balance	(973,803)	1,449,871	469,357	1,327,075	1,327,075	1,327,075	1,327,075

16,339,040

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Special State CIP - Funded by Others
 Legal Authority: Legislative Acts & Prior Year Rollovers

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) R
 Appropriation Acct. No. S-XX-9XX-D

Intended Purpose:
 Used to fund project funded by private contribution
 Source of Revenues:
 Private contribution by Castle & Cook
 Current Program Activities/Allowable Expenses:
 Funded approved capital improvement project
 Purpose of Proposed Ceiling Increase (if applicable):

Variances

Financial Data							
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	15,405	131	131	131	0	0	0
Revenues	161	0	0				
Expenditures	(238,957)	0	0				
Transfers	(254,392)	0	0				
List each by JV# and date							
Net Total Transfers	(254,392)	0	0	(131)	0	0	0
Ending Cash Balance	131	131	131	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	131	131	131	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: DOT
 Prog ID(s): TRN 195
 Name of Fund: Temporary Deposits
 Legal Authority: HRS 261-5/261-7

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF): T
 Appropriation Acct. No. T-XX-961

Intended Purpose:
 Security and Other Deposits
 Source of Revenues:
 Customer security and other deposits
 Current Program Activities/Allowable Expenses:
 Received and refunded security and other deposits
 Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	5,029,651	5,526,787	6,491,195	5,866,733	5,866,733	5,866,733	5,866,733
Revenues	1,019,941	1,133,808	851,445				
Expenditures	522,805	169,400	1,475,907				
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	5,526,787	6,491,195	5,866,733	5,866,733	5,866,733	5,866,733	5,866,733
Encumbrances							
Unencumbered Cash Balance	5,526,787	6,491,195	5,866,733	5,866,733	5,866,733	5,866,733	5,866,733

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: DOT
 Prog ID(s): TRN 195
 Name of Fund: Airports Administration
 Legal Authority: Act 106, SLH 2012

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) N
 Appropriation Acct. No. S-13-202

Intended Purpose:
 Required for Safety Management System Program
 Source of Revenues:
 Federal Grant
 Current Program Activities/Allowable Expenses:
 Development of Safety Management System at Statewide airports, FAA Part 139 requirement
 Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		300,000		0			
Beginning Cash Balance		0	0	0	0	0	0
Revenues							
Expenditures							
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances				0			
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: DOT
 Prog ID(s): TRN 195
 Name of Fund: Legislative Relief for Claims Against the State
 Legal Authority: Act 116, SLH 2012

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) B
 Appropriation Acct. No. S-12-395

Intended Purpose:
 Payment of Judgment Claims
 Source of Revenues:
 Airport Revenue Fund
 Current Program Activities/Allowable Expenses:
 Payment of settlement agreement
 Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	60,000						
Beginning Cash Balance		0	0	0	0	0	0
Revenues							
Expenditures		60,000					
Transfers							
List each by JV# and date		60,000					
Net Total Transfers		60,000	0				
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: TRN
 Prog ID(s): TRN 301-395
 Name of Fund: Revenue Bonds
 Legal Authority A345/SL 86 - A122/SL 14

Contact Name: Kendrick Au
 Phone: 587-1893
 Fund type (MOF) Bond Funds
 Appropriation Acct. No. B-86-651-D to B-14-656

Intended Purpose: To Fund CIP Projects in Accordance with Bond Covenants

Source of Revenues: Revenue Bond Proceeds

Current Program Activities/Allowable Expenses: CIP Projects

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Expenditures are expected to increase from FY2014 through FY2017 due to the increased construction activity related to the "Build-out" of the KMR Piers and Container Yard Facilities at the Honolulu Harbor; FY2018 expenditures decrease as that activity wanes.

Financial Data

	FY 2012 (actual)	FY 2013 (actual)	FY 2014 (actual)	FY 2015 (estimated)	FY 2016 (estimated)	FY 2017 (estimated)	FY 2018 (estimated)
Appropriation Ceiling							
Beginning Cash Balance	191,007,404	174,021,815	155,538,578	144,147,370	56,762,370	178,743,370	35,508,370
Revenues	-	-	-	-	280,000,000	-	-
Expenditures	16,985,589	18,483,237	11,391,208	87,385,000	143,235,000	143,235,000	35,508,370
Transfers							
List each by JV# and date							
Transfer to Debt Service Reserve Revolving Debt Service Account					(14,784,000)		
Net Total Transfers	0	0	0	0	(14,784,000)	0	0
Ending Cash Balance	174,021,815	155,538,578	144,147,370	56,762,370	178,743,370	35,508,370	0
Encumbrances	28,144,819	41,188,048	74,016,134	50,000,000	50,000,000	35,000,000	0
Unencumbered Cash Balance	145,876,996	114,350,530	70,131,236	6,762,370	128,743,370	508,370	0
Additional Information:							
Amount Req. for Bond Conveyance							
Amount Held in CODs, Escrow Accounts, or Other Investments				-	14,784,000		
Form 37-47 (rev. 10/4/13)							

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: TRN Contact Name: Kendrick Au
 Prog ID(s): TRN 301-395 Phone: 587-1893
 Name of Fund: Federal Operating Allot (Type A) Fund type (MOF) Special Funds (N & V Funds)
 Legal Authority Fed Fund / S36-22 HRS Appropriation Acct. No. S-09-248-D to S-15-249-D

Intended Purpose: State Funds Match Required by Federal Grants

Source of Revenues: Loans from Harbors Special Funds

Current Program Activities/Allowable Expenses: Port Security Improvements

Purpose of Proposed Ceiling Increase (if applicable):

Variances

Financial Data

	FY 2012 (actual)	FY 2013 (actual)	FY 2014 (actual)	FY 2015 (estimated)	FY 2016 (estimated)	FY 2017 (estimated)	FY 2018 (estimated)
Appropriation Ceiling							
Beginning Cash Balance	1,341,853	2,318,418	2,075,029	2,075,029	2,075,029	2,075,029	2,075,029
Revenues	620,985	2,145,217					
Expenditures	1,299,993	1,386,350					
Transfers							
List each by JV# and date							
Loan from Harbors Special Fund	1,655,573						
Repayment of Loans Made by Harbors Special Fund		(1,002,256)					
Net Total Transfers	1,655,573	(1,002,256)					
Ending Cash Balance	2,318,418	2,075,029	2,075,029	2,075,029	2,075,029	2,075,029	2,075,029
Encumbrances	1,003,175						
Unencumbered Cash Balance	1,315,243	2,075,029	2,075,029	2,075,029	2,075,029	2,075,029	2,075,029

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow							
Form 37-47 (rev. 10/4/13)							

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: <u>TRN</u>	Contact Name: <u>Kendrick Au</u>
Prog ID(s): <u>TRN 301-395</u>	Phone: <u>587-1893</u>
Name of Fund: <u>State CIP</u>	Fund type (MOF): <u>Special Funds (B & R Funds)</u>
Legal Authority: <u>A296/SL 91 - A122/SL 14</u>	Appropriation Acct. No. <u>S-91-627-D to S-14-616-D</u>

Intended Purpose: To Fund CIP Projects with Specific Appropriations

Source of Revenues: Harbors Special Fund

Current Program Activities/Allowable Expenses: CIP Projects

Purpose of Proposed Ceiling Increase (if applicable):

Variances: FY2013 CIP expenditures included the purchase of a land parcel located at Kalaeloa, Oahu which used approximately \$10 million of Harbors Special Funds; no such large CIP expenditures occurred in FY2014.
 Variances: FY2015 CIP expenditures for projects funded by Harbors Cash Balances, other than Harbors Modernization Projects, are planned to proceed.

Financial Data

	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	15,000,000	20,000,000	20,000,000	30,000,000	30,000,000	30,000,000	25,000,000
Beginning Cash Balance	53,937,222	47,672,618	42,909,731	39,200,359	39,200,359	39,200,359	39,200,359
Revenues							
Expenditures	13,393,107	13,399,200	3,128,892	30,000,000	30,000,000	30,000,000	25,000,000
Transfers In	7,128,503	8,636,313					
List each by JV# and date							
Trf fm State Operating to CIP Per Sch FY09 CIP Trf							
Reversion of Lapsed CIP Funds FY09 JS1038							
Net Transfer / Reversion of CIP Funds			(580,480)				
Trf fm State Operating to CIP per FY13 Multi-Year				30,000,000	30,000,000	30,000,000	25,000,000
FY10 Trf to CIP							
Net Total Transfers	7,128,503	8,636,313	(580,480)	30,000,000	30,000,000	30,000,000	25,000,000
Ending Cash Balance	47,672,618	42,909,731	39,200,359	39,200,359	39,200,359	39,200,359	39,200,359
Encumbrances	17,394,625	8,449,587	15,309,780	15,000,000	15,000,000	15,000,000	15,000,000
Unencumbered Cash Balance	30,277,993	34,460,144	23,890,579	24,200,359	24,200,359	24,200,359	24,200,359

Additional Information:

Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: <u>TRN</u>	Contact Name: <u>Kendrick Au</u>
Prog ID(s): <u>TRN 301-395</u>	Phone: <u>587-1893</u>
Name of Fund: <u>Federal CIP</u>	Fund type (MOF) <u>Special Funds (N & V Funds)</u>
Legal Authority <u>Fed Fund - A91/SL99 - A122/SL 14</u>	Appropriation Acct. No. <u>S-94-269-D to S-14-650-D</u>

Intended Purpose: Cash Allotments to Provide for State Funds to Match Federal Grant Funds

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Increase (if applicable): 11854195.87

Variations

Financial Data

	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	5,009,986	11,854,196	13,131,554	13,131,554	13,131,554	13,131,554	13,131,554
Revenues	18,196,755	7,402,898	948,492	0	0	0	0
Expenditures	14,108,124	4,040,250	948,492	0	0	0	0
Transfers							
List each by JV# and date							
Other Transfer							
Loans In from State Operating Allot							
Loans In from State Operating Allot							
TIGER ARRA	2,755,579						
Repayment of Loans Made by Harbors							
Special Fund		(2,085,290)					
Net Total Transfers	2,755,579	(2,085,290)					
Ending Cash Balance	11,854,196	13,131,554	13,131,554	13,131,554	13,131,554	13,131,554	13,131,554
Encumbrances	2,976,452	1,024,076	75,585				
Unencumbered Cash Balance	8,877,744	12,107,478	13,055,969	13,131,554	13,131,554	13,131,554	13,131,554

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow							
Form 37-47 (rev. 10/4/13)							

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: <u>TRN</u>	Contact Name: <u>Kendrick Au</u>
Prog ID(s): <u>TRN 301-395</u>	Phone: <u>587-1893</u>
Name of Fund: <u>Revolving Funds</u>	Fund type (MOF) <u>Special Funds (W & B Funds)</u>
Legal Authority <u>Bond Resoln & S266-19 HRS</u>	Appropriation Acct. No. <u>S-97-386-D to S-13-355-D</u>

Intended Purpose: Debt Service Cash Reserves to Comply With Bond Certificate of the DOT Director for Revenue Bonds Issued

Source of Revenues: Transfers of Funds from TRN 395 (Harbors Administration Operating Unit)

Current Program Activities/Allowable Expenses: Repay Revenue Bond Debt

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Expenditures increase from FY2015 to FY2016 due to the increased debt service related to the 2015 Revenue Bond Issue to fund the KMR Piers and Container Yard.

Financial Data

	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	38,404,529	42,360,275	42,574,852	42,696,494	42,710,494	57,494,494	57,494,494
Revenues	0	0	0	0	0	0	0
Expenditures	27,868,464	31,653,106	31,979,577	31,402,000	49,923,000	46,217,000	46,232,000
Transfers							
List each by JV# and date							
Transfer to Increase Stores Acct	103,787		125,511				
Trfs for Debt Svc Reserve:							
Amounts Held in Reserve per 7th Suppl Certificate	0	0	0	0	14,784,000	0	0
Monthly Trfs to Bond Debt Svc Reserve from State Operating Allot for TRN395 All Revenue Bond	31,720,423	31,867,683	31,975,708	31,416,000	49,923,000	46,217,000	46,232,000
Net Total Transfers	31,824,210	31,867,683	32,101,219	31,416,000	64,707,000	46,217,000	46,232,000
Ending Cash Balance	42,360,275	42,574,852	42,696,494	42,710,494	57,494,494	57,494,494	57,494,494
Encumbrances	221						
Unencumbered Cash Balance	42,360,054	42,574,852	42,696,494	42,710,494	57,494,494	57,494,494	57,494,494

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Accounts, or Other Investments							

Form 37-47 (rev. 10/4/13)

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department:	<u>TRN</u>	Contact Name:	<u>Kendrick Au</u>
Prog ID(s):	<u>TRN 301-395</u>	Phone:	<u>587-1893</u>
Name of Fund:	<u>Federal Operating Appropriation Type F</u>	Fund type (MOF):	<u>Special Funds (N Funds)</u>
Legal Authority	<u>Fed Fund</u>	Appropriation Acct. No.:	<u>S-97-296</u>

Intended Purpose: To Fund Projects for Disaster Recovery from Hurricane Iniki; Cash Balances Were Transferred in FY2015 Because This Purpose Has Expired.

Source of Revenues: Loans from Harbors Special Funds

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Increase (if applicable):

Variations

Financial Data

	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	2,802	2,802	2,802	2,802	0	0	0
Revenues							
Expenditures							
Transfers							
List each by JV# and date				(2,802)			
Net Total Transfers				(2,802)			
Ending Cash Balance	2,802	2,802	2,802	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	2,802	2,802	2,802	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							
Form 37-47 (rev. 10/4/13)							

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: TRN
 Prog ID(s): TRN 301-395
 Name of Fund: Harbor Special Fund
 Legal Authority: S266-19 HRS

Contact Name: Kendrick Au
 Phone: 587-1893
 Fund type (MOF): Special Funds (B Funds)
 Appropriation Acct. No. S-10-341-D to S-14-341-D

Intended Purpose: Parent Fund for the Commercial Harbors System into

Source of Revenues: User Fees Assessed to Harbor Users, Primarily Wharfage Fees, Port Entry Fees, Dockage Fees and Rents

Current Program Activities/Allowable Expenses: Transfers of Funds to Operating Units and for Cash Funded CIP Projects

Purpose of Proposed Ceiling Increase (if applicable):

Variations:

Financial Data

	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	90,590,022	90,618,881	90,618,881	128,489,000	150,317,000	147,200,000	145,254,000
Beginning Cash Balance	71,363,623	82,330,224	97,638,817	129,921,778	112,999,778	79,383,778	54,295,778
Revenues	92,450,540	101,371,586	111,770,283	111,567,000	116,701,000	122,112,000	127,902,000
Expenditures	0	0	0	0	0	0	0
Transfers		0					
List each by JV# and date							
Transfer to Increase Stores Acct							
Net Transfer / Reversion of CIP Funds	(7,128,503)	(8,636,313)	580,480				
Trf to State CIP per FY15 Multi-Year			0	(30,000,000)	(30,000,000)	(30,000,000)	(25,000,000)
Trf to Program Appropriations for Harbors Operations	(68,877,083)	(76,815,011)	(77,974,837)	(96,343,000)	(118,128,000)	(114,967,000)	(120,254,000)
Other Transfer Departmental Pro-Rata Share to TRN 995	(1,785,614)	(1,517,223)	(2,104,373)	(2,146,000)	(2,189,000)	(2,233,000)	(2,277,000)
Other Transfers - Net	718,413	(2,181,992)	11,408				
Loan Out to Federal CIP TIGER ARRA Pier 29 & ARRA PSGF	(2,755,579)						
Loans Out to Fed Operating Funds Appropriation Type A	(1,655,573)						
Repayment of Loans Made to Federal Appropriations	0	3,087,546	0				
Repayment in FY2011 of Balance of \$16,735,000 from Revenue Bond Funds Originally Advanced from State	-						
Net Total Transfers	(81,483,939)	(86,062,993)	(79,487,322)	(128,489,000)	(150,317,000)	(147,200,000)	(147,531,000)
Ending Cash Balance	82,330,224	97,638,817	129,921,778	112,999,778	79,383,778	54,295,778	34,666,778
Encumbrances							
Unencumbered Cash Balance	82,330,224	97,638,817	129,921,778	112,999,778	79,383,778	54,295,778	34,666,778

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							
Form 37-47 (rev. 10/4/13)							

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: <u>TRN</u>	Contact Name: <u>Kendrick Au</u>
Prog ID(s): <u>TRN 301-395</u>	Phone: <u>587-1893</u>
Name of Fund: <u>State Operating Allotment</u>	Fund type (MOF) <u>Special Funds (B Funds)</u>
Legal Authority <u>A345/SL86 - A134/SL13</u>	Appropriation Acct. No. <u>S-87-055-D to S-14-354-D</u>

Intended Purpose: Funds Appropriated by Legislature for the Operating Costs of the State of Hawaii Commercial Harbors System

Source of Revenues: Transfers of Funds from the Harbors Special Fund

Current Program Activities/Allowable Expenses: Operating Costs of the Commercial Harbors System

Purpose of Proposed Ceiling Increase (if applicable):

Variations: Increase in projected expenditures for FY2015 over FY2014 actuals due to \$1.3 million for EPA Consent Decree Penalties, approximately \$3.1 million for Personal Services costs including new staff positions to comply with EPA Consent Decree, the incurring of storm drain cleaning costs of approximately \$1.5 million, \$5 million Asset Management System, drydocking services for the Fireboat (Moku Ahi) of approximately \$5 million, and \$.717 million for replacement of aged equipment, offset by decreases in FY2014 actuals due to delay in personnel services costs and other current expenditures

Financial Data							
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	90,590,022	90,618,881	90,618,881	98,489,000	120,317,000	117,200,000	120,254,000
Beginning Cash Balance	39,212,238	35,848,054	35,844,423	37,509,546	37,509,546	37,509,546	37,509,546
Revenues	22,598	72,419	38,392	0	0	0	0
Expenditures	37,162,526	41,758,362	40,991,712	61,545,947	64,824,000	65,369,000	68,364,000
Transfers							
List each by JV# and date							
Trf to Program Appropriations for Harbors Operations from Harbors Special Fund S-FY-341-D	68,877,083	76,815,011	77,974,837	96,343,000	118,128,000	114,967,000	117,977,000
Debt Service - Reimbursable General Obligation Bonds	(3,380,916)	(3,380,832)	(3,380,687)	(3,381,053)	(3,381,000)	(3,381,000)	(3,381,000)
Net Transfer / Reversion of CIP Funds							
Trfs to Debt Svc Reserve	(31,720,423)	(31,751,867)	(31,975,707)				
Trfs for Debt Svc Reserve FY15 MultiYear				(31,416,000)	(49,923,000)	(46,217,000)	(46,232,000)
Other Transfer							
Net Total Transfers	33,775,744	41,682,312	42,618,443	61,545,947	64,824,000	65,369,000	68,364,000
Ending Cash Balance	35,848,054	35,844,423	37,509,546	37,509,546	37,509,546	37,509,546	37,509,546
Encumbrances	20,547,222	21,246,960	23,608,303	23,608,303	23,608,303	23,608,303	23,608,303
Unencumbered Cash Balance	15,300,832	14,597,463	13,901,243	13,901,243	13,901,243	13,901,243	13,901,243

Additional Information:							
Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							
Form 37-47 (rev. 10/4/13)							

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: <u>TRN</u>	Contact Name: <u>Kendrick Au</u>
Prog ID(s): <u>TRN 301-395</u>	Phone: <u>587-1893</u>
Name of Fund: <u>Operating Trust</u>	Fund type (MOF) <u>Trust & Agency (T Funds)</u>
Legal Authority _____	Appropriation Acct. No. <u>T-93-941-D to T-14-941-D</u>

Intended Purpose: Security Deposits from Leases and Contracts & Insurance Proceeds for Kawaihae Harbor Earthquake Damage

Source of Revenues: Insurance Proceeds for Kawaihae Harbor Earthquake Damage Received in FY08 and Security Deposits

Current Program Activities/Allowable Expenses: N/A

Purpose of Proposed Ceiling Increase (if applicable):

Variances

Financial Data							
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	3,308,469	3,252,765	3,511,881	2,627,206	2,627,206	2,627,206	2,627,206
Revenues	9,050,458	10,883,498	11,509,539	11,999,261	12,639,397	13,316,889	14,034,745
Expenditures - Payments to OHA	9,029,188	10,559,140	11,509,317	11,999,261	12,639,397	13,316,889	14,034,745
Expenditures - Payments to Refund Security Deposits Primarily KMR Tenants	76,974	65,242	884,897	-	-	-	-
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	3,252,765	3,511,881	2,627,206	2,627,206	2,627,206	2,627,206	2,627,206
Encumbrances	2,540	2,540	2,540	2,540	2,540	2,540	2,540
Unencumbered Cash Balance	3,250,225	3,509,341	2,624,666	2,624,666	2,624,666	2,624,666	2,624,666

Additional Information:							
Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							
Form 37-47 (rev. 10/4/13)							

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: TRN
 Prog ID(s): TRN 501-595
 Name of Fund: Revenue Bonds
 Legal Authority: Various (Latest: ACT 122 SLH 2014)

Contact Name: Robyn Fujioka
 Phone: 587-4077
 Fund type (MOF) Revenue Bond Funds (E)
 Appropriation Acct. No. B-00-529, B-04-500, etc.

Intended Purpose:

Source of Revenues:

Issuance of Highway Revenue Bonds

Current Program Activities/Allowable Expenses:

Roadway - Design, ROW, Construction and Planning Programs

Purpose of Proposed Ceiling Increase (if applicable):

Variances: The expenditure variance is due to the nature of the progress of CIP projects at various phases (planning, design, and construction). The progress depends on various factors, such as permitting and environmental issues, that may cause the fluctuations from year to year, as shown in prior years.

Financial Data							
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	74,190,000	97,973,800	136,721,000	155,955,000	43,548,000	42,449,000	40,000,000
Beginning Cash Balance	6,495,796	80,062,894	27,180,265	4,150,304	83,567,430	42,967,430	82,367,430
Revenues	125,733,458	-	-	120,017,125		80,000,000	
Expenditures	43,866,360	50,782,629	23,779,961	40,600,000	40,600,000	40,600,000	40,600,000
Transfers	(8,300,000)	(2,100,000)	750,000				
List each by JV# and date							
Net Total Transfers	(8,300,000)	(2,100,000)	750,000				
Ending Cash Balance	80,062,894	27,180,265	4,150,304	83,567,430	42,967,430	82,367,430	41,767,430
Encumbrances	98,139,891	83,912,067	149,507,206	79,210,931	80,399,095	60,000,000	60,000,000
Unencumbered Cash Balance	(18,076,997)	(56,731,801)	(145,356,902)	4,356,499	(37,431,665)	22,367,430	(18,232,570)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: TRN
 Prog ID(s): TRN 501-597
 Name of Fund: Federal Operating Allotment
 Legal Authority: FHS Act PL 89-564, 1966/HRS 286-2

Contact Name: Robyn Fujioka
 Phone: 587-4077
 Fund type (MOF) Other Federal Funds
 Appropriation Acct. No. S-14-215,203,204,205,206,225,etc.

Intended Purpose:

Source of Revenues:

Federal Reimbursements

Current Program Activities/Allowable Expenses:

Federal Reimbursement - Federal clearing accounts for O&M and county pass thru funds

Purpose of Proposed Ceiling Increase (if applicable):

Variances: The revenue variance is due to the increase in federalizing special maintenance projects to maximize federal dollars.

The expenditure variance is due to the increase in spending for the Freeway Service Patrol Project and Statewide Noxious Invasive Plant Project.

Financial Data							
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	13,204,894	13,203,324	14,604,835	23,930,425	12,227,484	11,582,750	11,582,750
Beginning Cash Balance	3,208,401	6,150,645	5,760,843	15,568,634	14,568,634	13,568,634	12,568,634
Revenues	35,710,072	51,886,513	69,518,050	5,000,000	5,000,000	5,000,000	5,000,000
Expenditures	5,756,264	3,683,695	5,208,412	6,000,000	6,000,000	6,000,000	6,000,000
Transfers	(27,011,563)	(48,592,620)	(54,501,847)				
List each by JV# and date							
Net Total Transfers	(27,011,563)	(48,592,620)	(54,501,847)				
Ending Cash Balance	6,150,645	5,760,843	15,568,634	14,568,634	13,568,634	12,568,634	11,568,634
Encumbrances	2,471,900	5,465,365	4,405,158	5,500,000	5,500,000	5,500,000	5,500,000
Unencumbered Cash Balance	3,678,745	295,478	11,163,476	9,068,634	8,068,634	7,068,634	6,068,634

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: TRN
 Prog ID(s): TRN 501-595
 Name of Fund: State CIP
 Legal Authority: Various (Latest: ACT 122, SLH 2014)

Contact Name: Robyn Fujioka
 Phone: 587-4077
 Fund type (MOF) Special Funds (including county and private) (B,R,S)
 Appropriation Acct. No. Various Appropriations

Intended Purpose:

Source of Revenues:

Special Funds

Current Program Activities/Allowable Expenses:

Roadway - Design, ROW, Construction and Planning Programs

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	12,000,000	13,000,000	18,669,000	26,200,000	16,000,000	16,000,000	16,000,000
Beginning Cash Balance	3,804,232	3,712,125	3,405,714	3,390,005	(9,202,142)	(21,920,211)	(34,765,461)
Revenues	0	0	0	0	0	0	0
Expenditures	30,664,143	11,036,127	12,467,473	12,592,147	12,718,069	12,845,249	12,973,702
Transfers	30,572,037	10,729,716	12,451,763				
List each by JV# and date							
Net Total Transfers	30,572,037	10,729,716	12,451,763				
Ending Cash Balance	3,712,125	3,405,714	3,390,005	(9,202,142)	(21,920,211)	(34,765,461)	(47,739,163)
Encumbrances	23,391,922	18,653,028	17,920,458	5,200,682	5,252,689	5,305,216	5,358,268
Unencumbered Cash Balance	(19,679,796)	(15,247,314)	(14,530,453)	(14,402,824)	(27,172,900)	(40,070,676)	(53,097,430)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: TRN
 Prog ID(s): TRN 501-595
 Name of Fund: Federal CIP
 Legal Authority: Title 23 U.S.C. As Amended, (Latest: ACT 122, SLH 2014)

Contact Name: Robyn Fujioka
 Phone: 587-4077
 Fund type (MOF) Other Federal Funds (N,V)
 Appropriation Acct. No. S-85-221,S-93-237, S-94-240 others

Intended Purpose:

Source of Revenues:

Federal Reimbursements

Current Program Activities/Allowable Expenses:

Design, ROW, Construction and Planning Programs.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	117,167,000	161,274,200	89,145,000	358,326,000	79,692,000	102,691,000	120,000,000
Beginning Cash Balance	64,311,057	79,091,274	96,573,693	83,740,576	44,740,576	5,740,576	(33,844,424)
Revenues	96,665,072	96,703,781	97,289,948	110,000,000	110,000,000	111,650,000	113,324,750
Expenditures	127,316,265	153,050,844	148,772,475	149,000,000	149,000,000	151,235,000	153,503,525
Transfers	45,431,410	73,829,482	38,649,410				
List each by JV# and date							
Net Total Transfers	45,431,410	73,829,482	38,649,410				
Ending Cash Balance	79,091,274	96,573,693	83,740,576	44,740,576	5,740,576	(33,844,424)	(74,023,199)
Encumbrances	358,475,767	348,545,634	602,350,709	284,447,356	288,714,066	293,044,777	297,440,449
Unencumbered Cash Balance	(279,384,493)	(251,971,941)	(518,610,133)	(239,706,780)	(282,973,490)	(326,889,201)	(371,463,648)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: TRN
 Prog ID(s): TRN 501-595
 Name of Fund: Revolving & OHA
 Legal Authority: Non Lapsing

Contact Name: Robyn Fujioka
 Phone: 587-4077
 Fund type (MOF) Revolving Funds
 Appropriation Acct. No. S-14-322, 332, etc.

Intended Purpose:

Source of Revenues:

Transfer in from other funds.

Current Program Activities/Allowable Expenses:

Payroll and administration activities. Clearing accounts for payroll, fringe's and construction admin programs.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	33,919,670	40,770,298	39,718,677	40,509,241	29,961,241	19,307,761	8,547,746
Revenues	51,998,284	47,832,844	48,267,158	48,052,000	48,532,520	49,017,845	49,508,024
Expenditures	58,261,680	58,206,590	58,596,595	58,600,000	59,186,000	59,777,860	60,375,639
Transfers	13,114,023	9,322,125	11,120,000				
List each by JV# and date							
Net Total Transfers	13,114,023	9,322,125	11,120,000				
Ending Cash Balance	40,770,298	39,718,677	40,509,241	29,961,241	19,307,761	8,547,746	(2,319,869)
Encumbrances			43,425				
Unencumbered Cash Balance	40,770,298	39,718,677	40,465,816	29,961,241	19,307,761	8,547,746	(2,319,869)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: TRN
 Prog ID(s): TRN 501-597
 Name of Fund: State Operating Allotment
 Legal Authority: HRS 243-4 HRS 249-31 HRS 249-33 ACT 223 SLH 1999

Contact Name: Robyn Fujioka
 Phone: 587-4077
 Fund type (MOF) Special Funds
 Appropriation Acct. No. S-14-321,010,020,026,029,032,035,036,039

Intended Purpose:

Source of Revenues:

Fuel Taxes, Vehicle Registration Fee, Motor Vehicle Weight, Rental Motor Vehicle Surcharge Tax, and other income.

Current Program Activities/Allowable Expenses:

Roadway - Design, ROW, Construction and Planning Programs.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: The expenditure variance is due to an increase in the number of federalized special maintenance projects.

Financial Data							
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	230,083,412	272,503,384	275,385,796	285,836,452	254,654,284	292,675,035	286,177,321
Beginning Cash Balance	118,998,075	103,848,876	109,490,700	111,760,544	111,427,544	130,874,544	114,147,544
Revenues	234,152,857	258,761,218	258,417,978	261,578,000	263,893,000	266,230,000	268,591,000
Expenditures	220,677,832	204,354,237	239,032,808	261,911,000	244,446,000	282,957,000	283,615,000
Transfers	(28,624,224)	(48,765,157)	(17,115,326)				
List each by JV# and date							
Net Total Transfers	(28,624,224)	(48,765,157)	(17,115,326)				
Ending Cash Balance	103,848,876	109,490,700	111,760,544	111,427,544	130,874,544	114,147,544	99,123,544
Encumbrances	111,983,510	123,627,276	120,132,079	125,000,000	126,875,000	128,778,125	130,709,797
Unencumbered Cash Balance	(8,134,634)	(14,136,577)	(8,371,535)	(13,572,456)	3,999,544	(14,630,581)	(31,586,253)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: TRN
 Prog ID(s): TRN 501-595
 Name of Fund: Operating Trust
 Legal Authority: Non Lapsing

Contact Name: Robyn Fujioka
 Phone: 587-4077
 Fund type (MOF): Trust Funds
 Appropriation Acct. No.: T14-921,931,T-14-923,etc

Intended Purpose:

Source of Revenues:

Cash Receipts

Current Program Activities/Allowable Expenses:

Trust and Agency Funds

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	24,779,971	23,347,219	22,735,959	17,324,153	16,420,803	15,503,903	14,573,249
Revenues	36,729,751	1,931,947	743,374	2,537,500	2,575,563	2,614,196	2,653,409
Expenditures	7,593,375	1,159,255	6,155,179	3,440,850	3,492,463	3,544,850	3,598,022
Transfers	(30,569,127)	(1,383,953)	0				
List each by JV# and date							
Net Total Transfers	(30,569,127)	(1,383,953)	0				
Ending Cash Balance	23,347,219	22,735,959	17,324,153	16,420,803	15,503,903	14,573,249	13,628,636
Encumbrances	12,538,010	9,282,865	10,145,446	9,135,000	9,272,025	9,411,105	9,552,272
Unencumbered Cash Balance	10,809,209	13,453,094	7,178,707	7,285,803	6,231,878	5,162,144	4,076,364

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department of Transportation Highways Division
 Non-General Funds (excluding Federal Funds)

Table 8*

<u>Name of Fund</u>	<u>Unencumbered Cash Balance</u>	<u>MOF</u>	<u>Statutory Reference</u>
Revenue Bonds	(145,356,901.66)	Issuance of Highway Revenue Bonds	ACT 252 SLH 1994 ACT 287 SLH 1996 ACT 116 SLH 1998 ACT 259 SLH 2001
Federal Operating Allotment	11,163,475.99	Federal Reimbursements	FHS Act PL 89-564, 1966/HRS 286-2
State CIP	(14,530,453.35)	Special Funds	A200/SL 03, A178/SL 05, A200/SL 03
Federal CIP	(518,610,132.90)	Federal Reimbursements	Title 23 U.S.C. As Amended
Revolving & OHA	40,465,816.17	Transfer in from other funds.	Non Lapsing
State Operating Allotment	(8,371,535.23)	Fuel Taxes, Vehicle Registration Fee, M	HRS 243-4 HRS 249-31 HRS 249-33 ACT 223 SLH 1999
Operating Trust	7,178,707.03	Cash Receipts	Non Lapsing