

**Report on Non-General Fund Information**  
for Submittal to the 2015 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Airport Revenue Fund (O & M)  
 Legal Authority: HRS 248-8/261-5/261-7

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) B  
 Appropriation Acct. No. S-XX-060-D TO S-XX-087-D

Intended Purpose:  
 Operating Appropriations  
 Source of Revenues:  
 Airport Operating Revenues and Interest Income  
 Current Program Activities/Allowable Expenses:  
 Operating and maintenance expenses including state surcharge and debt service  
 Purpose of Proposed Ceiling Increase (if applicable):

**Variances**

Increases in expenditures due to increases in payroll and operating costs including maintenance and debt services costs/inflation

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	473,520,454	495,993,189	554,091,772	446,376,193	499,531,331	499,500,000	499,500,000
Beginning Cash Balance	133,805,317	165,864,009	180,459,375	227,550,661	227,550,661	227,550,661	227,550,661
Revenues	130,788	121,507	61,061				
Expenditures	310,836,076	314,396,297	328,938,312	336,231,000	360,938,000	387,312,000	409,490,000
Transfers	342,763,980	328,870,156	375,968,536				
List each by JV# and date							
Net Total Transfers	342,763,980	328,870,156	375,968,536	336,231,000	360,938,000	387,312,000	409,490,000
Ending Cash Balance	165,864,009	180,459,375	227,550,661	227,550,661	227,550,661	227,550,661	227,550,661
Encumbrances	90,028,607	102,342,323	127,413,112				
Unencumbered Cash Balance	75,835,402	78,117,052	100,137,548	227,550,661	227,550,661	227,550,661	227,550,661

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2015 Legislature

Department: DOT  
 Prog ID(s): TRN 195  
 Name of Fund: Airport Revenue Fund  
 Legal Authority: Act 106, SLH 2012, Section 9.1 and 9.2

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) B  
 Appropriation Acct. No. S-XX-308-D

**Intended Purpose:**

Payment of interest and principal on rental motor vehicle customer facility charge revenue bonds

**Source of Revenues:**

Collection of rental motor vehicle customer facility charge

**Current Program Activities/Allowable Expenses:**

Payment of interest and principal on rental motor vehicle customer facility charge revenue bonds. Transfer from Administration

**Purpose of Proposed Ceiling Increase (if applicable):**

**Variances**

Increase in debt service costs due to sale of bonds

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	20,750,000	20,900,000	30,900,000	10,507,207	44,238,958	44,239,000	44,239,000
Beginning Cash Balance	0	0	442,195	0	0	0	0
Revenues							
Expenditures			442,195	10,507,000	44,238,958	44,239,000	44,239,000
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	10,507,000	44,238,958	44,239,000	44,239,000
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2015 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Airport Revenue Fund  
 Legal Authority: HRS 248-8/261-5

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) B  
 Appropriation Acct. No. S-XX-361-D

Intended Purpose:  
 Airport Revenue Fund  
 Source of Revenues:  
 Airport operating revenue  
 Current Program Activities/Allowable Expenses:  
 Transferred operating revenues to fund operating activities. No allowable expenses  
 Purpose of Proposed Ceiling Increase (if applicable):

**Variances**

Projected incremental increases based on projected increases in passenger traffic and inflation

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	163,041,524	130,960,086	149,161,962	105,786,091	121,041,671	94,825,713	68,083,713
Revenues	363,168,980	331,504,699	347,457,609	364,088,000	388,808,000	416,877,000	440,423,000
Expenditures							
Transfers	(382,776,790)	(301,016,462)	(378,468,594)				
List each by JV# and date							
5% surcharge (per audited F/S)	(12,473,628)	(12,286,361)	(12,364,886)				
Net Total Transfers	(395,250,418)	(313,302,823)	(390,833,479)	(348,832,420)	(415,023,958)	(443,619,000)	(464,043,000)
Ending Cash Balance	130,960,086	149,161,962	105,786,091	121,041,671	94,825,713	68,083,713	44,463,713
Encumbrances							
Unencumbered Cash Balance	130,960,086	149,161,962	105,786,091	121,041,671	94,825,713	68,083,713	44,463,713

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2015 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Passenger Facility Charge Special Fund  
 Legal Authority: HRS 261-5.5

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) B  
 Appropriation Acct. No. S-XX-363-D

**Intended Purpose:**

Used for Passenger Facility Charge Program (Inception date 10/01/01)

**Source of Revenues:**

Passenger Facility Charge (PFC) Collections and related interest income

**Current Program Activities/Allowable Expenses:**

Collection for above intended purposes. To fund eligible PFC funded CIP projects approved by the Federal Aviation Administration (FAA).

**Purpose of Proposed Ceiling Increase (if applicable):**

**Variances:**

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	69,413,982	91,830,518	99,107,951	108,276,897	85,649,897	120,467,897	159,815,897
Revenues	36,435,201	34,218,366	36,483,697	37,872,000	38,538,000	39,348,000	40,202,000
Expenditures							
Transfers	(14,018,665)	(26,940,933)	(27,314,750)				
List each by JV# and date							
Net Total Transfers	(14,018,665)	(26,940,933)	(27,314,750)	(60,499,000)	(3,720,000)	0	0
Ending Cash Balance	91,830,518	99,107,951	108,276,897	85,649,897	120,467,897	159,815,897	200,017,897
Encumbrances							
Unencumbered Cash Balance	91,830,518	99,107,951	108,276,897	85,649,897	120,467,897	159,815,897	200,017,897

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2015 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Rental Motor Vehicle Customer Fac Chg Special Fund  
 Legal Authority: HRS 261-5.6

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) B  
 Appropriation Acct. No. S-XX-364-D

Intended Purpose: Monies collected from the motor vehicle customer facility charge shall be used for the enhancement, renovation, operation, and maintenance of existing rental motor vehicle customer facilities and related services at state airports

Source of Revenues:

Collection of rental motor vehicle customer facility charge

Current Program Activities/Allowable Expenses:

Collection of above intended purposes. To fund improvements to the rental motor vehicle customer facilities at state airports

Purpose of Proposed Ceiling Increase (if applicable):

Variances

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	42,285,328	49,371,707	21,313,337	110,083,199	110,083,199	110,083,199	110,083,199
Revenues	50,494,596	55,299,432	64,366,563	66,790,000	67,820,000	68,850,000	68,879,000
Expenditures							
Transfers	(43,408,217)	(83,357,802)	24,403,299				
List each by JV# and date							
Net Total Transfers	(43,408,217)	(83,357,802)	24,403,299	(66,790,000)	(67,820,000)	(68,850,000)	(69,879,000)
Ending Cash Balance	49,371,707	21,313,337	110,083,199	110,083,199	110,083,199	110,083,199	109,083,199
Encumbrances							
Unencumbered Cash Balance	49,371,707	21,313,337	110,083,199	110,083,199	110,083,199	110,083,199	109,083,199

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2015 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Prepaid Airport Use Charge Fund  
 Legal Authority: HRS 248-8/261-5/261-7/39-53/39-61

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) B  
 Appropriation Acct. No. S-XX-365-D

**Intended Purpose:**

To provide for overpayments of Airport Use Charges

**Source of Revenues:**

Airport Use Charge (AUC) overpayment based on airport/airline lease agreement

**Current Program Activities/Allowable Expenses:**

To refund AUC overpayment based on agreed signatory airlines payment plan as approved by the Director of Transportation

**Purpose of Proposed Ceiling Increase (if applicable):**

**Variiances**

Payment out is to clear overpayment balance due as agreed to in the payment plan.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	3,801,843	40,671,687	21,797,541	(0)	(0)	(0)	(0)
Revenues							
Expenditures	7,445,000		21,797,541				
Transfers	44,314,844	(18,874,146)					
List each by JV# and date							
Net Total Transfers	44,314,844	(18,874,146)	0	0	0	0	0
Ending Cash Balance	40,671,687	21,797,541	(0)	(0)	(0)	(0)	(0)
Encumbrances							
Unencumbered Cash Balance	40,671,687	21,797,541	(0)	(0)	(0)	(0)	(0)

Account Closed. Balance Transferred to Trust Account

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2015 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Reserve for Future CIP  
 Legal Authority: HRS 248-8/261-5/261-7/39-53/39-61

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF): D  
 Appropriation Acct. No. S-XX-366-D

Intended Purpose:  
 Provide a reserve for future Airport System Capital Improvement Projects  
 Source of Revenues:  
 Airport operating revenues and interest income  
 Current Program Activities/Allowable Expenses:  
 Transferred to fund the Airport System Capital Improvement Projects  
 Purpose of Proposed Ceiling Increase (if applicable):

Variations

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	87,088,892	57,638,527	53,214,466	58,104,972	58,104,972	58,104,972	58,104,972
Revenues	47,953						
Expenditures							
Transfers	(29,498,318)	(4,424,061)	4,890,506				
List each by JV# and date							
Net Total Transfers	(29,498,318)	(4,424,061)	4,890,506				
Ending Cash Balance	57,638,527	53,214,466	58,104,972	58,104,972	58,104,972	58,104,972	58,104,972
Encumbrances							
Unencumbered Cash Balance	57,638,527	53,214,466	58,104,972	58,104,972	58,104,972	58,104,972	58,104,972

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2015 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Reserve for Airline Rate Mitigation  
 Legal Authority: HRS 248-8/261-5/261-7/39-53/39-61

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) D  
 Appropriation Acct. No. S-XX-367-D

**Intended Purpose:**

To provide a reserve for payment of interest on revenue bonds and to be in compliance with revenue bond covenants

**Source of Revenues:**

Aiport operating revenues and interest income

**Current Program Activities/Allowable Expenses:**

Used to meet the calculation of net revenue and taxes. To provide funding for debt service - Interest portion based on airport/airline lease agreement

**Purpose of Proposed Ceiling Increase (if applicable):**

**Variances**

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues							
Expenditures							
Transfers	0	0	0				
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							



**Report on Non-General Fund Information**  
for Submittal to the 2015 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Reserve for O & M Expenses  
 Legal Authority: HRS 248-8/261-5/261-7/39-53/39-61

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) D  
 Appropriation Acct. No. S-XX-368-D

Intended Purpose: Providing a reserve for payment of operating and maintenance expenses. Also for deficiencies in any other account for lawful airports system purpose. Amount on deposit should be equal to 25% of the budgeted operating and maintenance expenses.

Source of Revenues:

Aiport operating revenues and interest income.

Current Program Activities/Allowable Expenses:

Reserve only. No current activity

Purpose of Proposed Ceiling Increase (if applicable):

Variances

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	62,949,400	67,110,506	69,420,151	77,857,740	84,064,428	84,064,428	84,064,428
Revenues							
Expenditures							
Transfers	4,161,106	2,309,645	8,437,589				
List each by JV# and date							
Net Total Transfers	4,161,106	2,309,645	8,437,589	6,206,688	0	0	0
Ending Cash Balance	67,110,506	69,420,151	77,857,740	84,064,428	84,064,428	84,064,428	84,064,428
Encumbrances							
Unencumbered Cash Balance	67,110,506	69,420,151	77,857,740	84,064,428	84,064,428	84,064,428	84,064,428

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2015 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Debt Service Funded Coverage  
 Legal Authority: HRS 248-8/261-5/261-7/39-53/39-61

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) D  
 Appropriation Acct. No. S-XX-369-D

**Intended Purpose:**

To cover shortfalls in debt service coverage requirements. Minimum deposit must be 25% of current year debt service

**Source of Revenues:**

Airport operating revenues and interest income

**Current Program Activities/Allowable Expenses:**

Reserve only. No current activity

**Purpose of Proposed Ceiling Increase (if applicable):**

**Variations**

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	24,223,252	24,223,252	19,311,268	19,311,268	19,955,000	21,362,000	24,800,000
Revenues							
Expenditures							
Transfers							
List each by JV# and date		(4,911,984)	0				
Net Total Transfers	0	(4,911,984)	0	643,732	1,407,000	3,438,000	2,027,000
Ending Cash Balance	24,223,252	19,311,268	19,311,268	19,955,000	21,362,000	24,800,000	26,827,000
Encumbrances							
Unencumbered Cash Balance	24,223,252	19,311,268	19,311,268	19,955,000	21,362,000	24,800,000	26,827,000

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2015 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Airport System Interest Account  
 Legal Authority: HRS 248-8/261-5/261-7/39-53/39-61

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) D  
 Appropriation Acct. No. S-XX-375-D

**Intended Purpose:**

Used for payment of interest on outstanding bonds

**Source of Revenues:**

Airport operating revenues and interest income

**Current Program Activities/Allowable Expenses:**

Payment of interest on outstanding revenue bonds

**Purpose of Proposed Ceiling Increase (if applicable):**

**Variances**

Increase is dependent on bond sale for airport improvement program

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	27,434,378	23,068,731	21,672,006	20,757,862	20,757,862	20,757,862	20,757,862
Revenues	44,587,337	43,033,987	41,515,725	42,532,000	45,182,000	46,454,000	46,454,000
Expenditures	45,071,037	43,810,662	42,274,856	42,532,000	45,182,000	46,454,000	46,454,000
Transfers	(3,881,947)	(620,050)	(155,012)				
List each by JV# and date							
Net Total Transfers	(3,881,947)	(620,050)	(155,012)				
Ending Cash Balance	23,068,731	21,672,006	20,757,862	20,757,862	20,757,862	20,757,862	20,757,862
Encumbrances							
Unencumbered Cash Balance	23,068,731	21,672,006	20,757,862	20,757,862	20,757,862	20,757,862	20,757,862

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2015 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Airport System Serial & Principal Account  
 Legal Authority: HRS 248-8/261-5/261-7/39-53/39-61

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) D  
 Appropriation Acct. No. S-XX-376-D

Intended Purpose:  
 Used for principal payments on outstanding serial bonds  
 Source of Revenues:  
 Airport operating revenues and interest income  
 Current Program Activities/Allowable Expenses:  
 Payment of principal on outstanding serial revenue bonds  
 Purpose of Proposed Ceiling Increase (if applicable):

Variations  
 Increase is dependent on bond sale for airport improvement program

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	27,545,000	40,305,000	34,210,000	35,725,000	37,290,000	38,935,000	40,755,000
Revenues	40,305,000	34,210,000	35,725,000	37,290,000	38,935,000	40,755,000	42,585,000
Expenditures	27,545,000	40,305,000	34,210,000	35,725,000	37,290,000	38,935,000	40,755,000
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	40,305,000	34,210,000	35,725,000	37,290,000	38,935,000	40,755,000	42,585,000
Encumbrances							
Unencumbered Cash Balance	40,305,000	34,210,000	35,725,000	37,290,000	38,935,000	40,755,000	42,585,000

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2015 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Airport System Debt Service Reserve  
 Legal Authority: HRS 248-8/261-5/261-7/39-53/39-61

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) D  
 Appropriation Acct. No. S-XX-378-D

Intended Purpose: Used as a reserve for the payment of principal, interest, and premium for bonds outstanding. The amount on deposit should be equal to the maximum aggregate of debt outstanding for all bonds in any future year.

Source of Revenues:

Proceeds for the sale of Airport System Revenue Bonds

Current Program Activities/Allowable Expenses:

Principal interest and premiums relating to bonds outstanding. No activity

Purpose of Proposed Ceiling Increase (if applicable):

Variations

Increase in revenue dependent on bond sale for airport improvement program

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	96,893,008	96,893,008	96,893,008	96,893,008	96,893,008	122,385,008	129,402,008
Revenues				0	25,492,000	7,017,000	0
Expenditures							
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	96,893,008	96,893,008	96,893,008	96,893,008	122,385,008	129,402,008	129,402,008
Encumbrances							
Unencumbered Cash Balance	96,893,008	96,893,008	96,893,008	96,893,008	122,385,008	129,402,008	129,402,008

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments	96,893,008	96,893,008	96,893,008	114,841,008	147,082,008	147,082,008	147,082,008

**Report on Non-General Fund Information**  
for Submittal to the 2015 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Airport System Major Maintenance, Renewal/Replacement  
 Legal Authority: HRS 248-8/261-5/261-7/39-53/39-61

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) D  
 Appropriation Acct. No. S-XX-379-D

Intended Purpose: To support deficiencies in the interest account, serial bond principal account, and the sinking fund. Also to fund major maintenance and repair projects not recurring annually in nature.

Source of Revenues:

Airport operating revenues and interest account

Current Program Activities/Allowable Expenses:

To fund eligible projects meeting the funding criteria for major maintenance, renewal and replacement account in accordance with the bond resolution

Purpose of Proposed Ceiling Increase (if applicable):

Variances

Estimated expenditures dependent on ongoing and future projects for airport programs

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	58,060,552	59,980,198	59,898,925	60,000,000	60,000,000	60,000,000	60,000,000
Revenues							
Expenditures	322,585	101,075	26,808	500,000	3,000,000	3,000,000	3,000,000
Transfers	2,242,231	19,802	127,883				
List each by JV# and date							
Net Total Transfers	2,242,231	19,802	127,883	500,000	3,000,000	3,000,000	3,000,000
Ending Cash Balance	59,980,198	59,898,925	60,000,000	60,000,000	60,000,000	60,000,000	60,000,000
Encumbrances	155,984	54,909					
Unencumbered Cash Balance	59,824,214	59,844,016	60,000,000	60,000,000	60,000,000	60,000,000	60,000,000

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2015 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Bond Revenue CIP Accounts  
 Legal Authority: HRS 39-53/39-61

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) E  
 Appropriation Acct. No. B-XX-XXX

Intended Purpose:  
 Bond funded capital improvement projects  
 Source of Revenues:  
 Sale of Bond  
 Current Program Activities/Allowable Expenses:  
 Funded capital improvement projects  
 Purpose of Proposed Ceiling Increase (if applicable):  
 New capital improvement projects will be implemented  
 Variances

Revenue and expenditures are dependent on the status of various construction projects ongoing for the airport modernization program

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,079,919,000	213,417,000	536,540,000	224,593,000	83,090,000	298,450,000	298,450,000
Beginning Cash Balance	233,502,847	213,141,261	144,748,530	43,114,377	130,904,377	415,746,377	181,576,377
Revenues				264,654,000	456,722,000	114,556,000	0
Expenditures	20,361,586	68,392,731	101,634,153	176,864,000	171,880,000	348,726,000	100,255,000
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	213,141,261	144,748,530	43,114,377	130,904,377	415,746,377	181,576,377	81,321,377
Encumbrances	165,446,678	483,912,419	405,371,526				
Unencumbered Cash Balance	47,694,583	(339,163,889)	(362,257,150)	130,904,377	415,746,377	181,576,377	81,321,377

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds				264,654,000	456,722,000	114,556,000	0
EB-5							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2015 Legislature

Department: DOT  
 Prog ID(s): TRN 114  
 Name of Fund: General Obligation Bond Fund  
 Legal Authority: HRS 39

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) C  
 Appropriation Acct. No. B-13-700/B-13-701

Intended Purpose:  
 Various Improvements to Ellison Onizuka Space Museum  
 Source of Revenues:  
 General Obligation Bond  
 Current Program Activities/Allowable Expenses:  
 Capital improvement project  
 Purpose of Proposed Ceiling Increase (if applicable):

Variances

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		3,000,000	3,000,000				
Beginning Cash Balance				0	0	0	0
Revenues							
Expenditures							
Transfers							
List each by JV# and date							
Net Total Transfers				0	0	0	0
Ending Cash Balance				0	0	0	0
Encumbrances							
Unencumbered Cash Balance				0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds					0	0	
Amount Held in CODs, Escrow Accounts, or Other Investments							



**Report on Non-General Fund Information**  
for Submittal to the 2015 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Special State CIP  
 Legal Authority: HRS 248-8/261-5

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) B  
 Appropriation Acct. No. S-XX-7XX-D, S-XX-8XX-D

Intended Purpose:  
 Special funded capital improvement projects  
 Source of Revenues:  
 Airport operating revenue  
 Current Program Activities/Allowable Expenses:  
 Funded capital improvement projects  
 Purpose of Proposed Ceiling Increase (if applicable):

**Variations**

Expenditures are dependent on the status of various construction projects ongoing for the airport modernization program

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	34,125,000	12,470,000	11,450,000	87,611,000	12,800,000	14,551,000	14,500,000
Beginning Cash Balance	63,552,929	71,730,029	62,176,381	64,690,663	39,697,663	20,085,663	14,668,663
Revenues			0				
Expenditures	21,321,217	13,977,709	24,827,418	24,993,000	19,612,000	5,417,000	2,929,000
Transfers	29,498,317	4,424,061	27,341,700				
List each by JV# and date							
Net Total Transfers	29,498,317	4,424,061	27,341,700				
Ending Cash Balance	71,730,029	62,176,381	64,690,663	39,697,663	20,085,663	14,668,663	11,739,663
Encumbrances	26,251,129	40,350,438	57,466,425				
Unencumbered Cash Balance	45,478,900	21,825,943	7,224,239	39,697,663	20,085,663	14,668,663	11,739,663

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2015 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Special State CIP - Funded by Others  
 Legal Authority: HRS 261-5.5

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) X  
 Appropriation Acct. No. S-XX-9XX-D

Intended Purpose:  
Used to fund projects funded by the Passenger Facility Charge Program & other contributions

Source of Revenues:  
Passenger Facility Charges Funds  
 Current Program Activities/Allowable Expenses:  
Funded approved capital improvement projects  
 Purpose of Proposed Ceiling Increase (if applicable):  
New capital improvement project will be implemented

Variances:  
Expenditures are dependent on the status of various construction projects ongoing for the airport modernization program

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	51,699,000	46,204,000	100,000	125,000	125,000	100,000	100,000
Beginning Cash Balance	12,962,054	10,278,289	29,831,970	9,559,053	9,559,053	9,559,053	9,559,053
Revenues							
Expenditures	16,702,429	7,387,252	16,103,989	60,499,000	3,720,000	0	0
Transfers	14,018,664	26,940,933	(4,168,928)				
List each by JV# and date							
Net Total Transfers	14,018,664	26,940,933	(4,168,928)	60,499,000	3,720,000	0	0
Ending Cash Balance	10,278,289	29,831,970	9,559,053	9,559,053	9,559,053	9,559,053	9,559,053
Encumbrances	8,070,247	61,808,632	6,008,372				
Unencumbered Cash Balance	2,208,042	(31,976,662)	3,550,681	9,559,053	9,559,053	9,559,053	9,559,053

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2015 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Special State CIP - Funded by Others  
 Legal Authority: HRS 261-5.6

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) X/B  
 Appropriation Acct. No. S-XX-9XX-D; S-XX-7XX-D

**Intended Purpose:**

Used to fund projects funded by the Consolidated Rent A Car Facility Charge Program

**Source of Revenues:**

Rent A Car Facility Charges (CFC) Funds

Current Program Activities/Allowable Expenses:

Funded approved capital improvement projects

Purpose of Proposed Ceiling Increase (if applicable):

New capital improvement project will be implemented

**Variances:**

Expenditures are dependent on the status of various construction projects ongoing for the airport modernization program

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	223,660,000	90,000,000	42,000,000	203,950,000			
Beginning Cash Balance	3,102,475	28,792,886	106,478,703	71,946,658	110,744,658	353,037,658	152,053,658
Revenues			34,910,142	41,100,000	365,000,000		
Expenditures	17,717,806	5,671,985	43,848,165	69,092,000	190,527,000	269,834,000	176,737,000
Transfers	43,408,217	83,357,802	(25,594,022)				
List each by JV# and date							
Net Total Transfers	43,408,217	83,357,802	(25,594,022)	66,790,000	67,820,000	68,850,000	69,879,000
Ending Cash Balance	28,792,886	106,478,703	71,946,658	110,744,658	353,037,658	152,053,658	45,195,658
Encumbrances	28,792,886	222,748,193	179,715,500				
Unencumbered Cash Balance	0	(116,269,490)	(107,768,842)	110,744,658	353,037,658	152,053,658	45,195,658

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
EB-5			34,910,142	41,100,000			
CFC					365,000,000		
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2015 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Federal CIP  
 Legal Authority: Title 49 United State Code (Grants)

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) N  
 Appropriation Acct. No. S-XX-17X-D; S-XX-26X-D to  
S-XX-29X-D; S-XX-30X-D

Intended Purpose:  
 Capital improvement projects  
 Source of Revenues:  
 Federal Grants  
 Current Program Activities/Allowable Expenses:  
 Capital improvement projects  
 Purpose of Proposed Ceiling Increase (if applicable):  
 New capital improvement project will be implemented; additional funds required for for existing appropriations  
 Variances

Revenue and expenditures are dependent on the status of various construction projects ongoing for the airport modernization program

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	103,372,000	64,025,000	12,810,000	47,325,000	46,750,000	13,550,000	13,550,000
Beginning Cash Balance	10,020,846	7,722,885	13,463,387	13,910,085	13,910,085	13,910,085	13,910,085
Revenues	21,022,812	58,613,115	40,078,813	55,613,000	52,406,000	18,246,000	11,289,000
Expenditures	22,710,278	56,138,751	39,556,205	55,613,000	52,406,000	18,246,000	11,289,000
Transfers	(610,495)	3,266,138	(75,911)				
List each by JV# and date							
Net Total Transfers	(610,495)	3,266,138	(75,911)	0	0	0	0
Ending Cash Balance	7,722,885	13,463,387	13,910,085	13,910,085	13,910,085	13,910,085	13,910,085
Encumbrances	56,365,521	40,766,888	40,521,771				
Unencumbered Cash Balance	(48,642,636)	(27,303,501)	(26,611,687)	13,910,085	13,910,085	13,910,085	13,910,085

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2015 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Federal O & M  
 Legal Authority: Title 49 United State Code (Grants)

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) N  
 Appropriation Acct. No. S-XX-20X-D to S-XX-25X-D

Intended Purpose:  
 Federal portion of projects matched by operating funds  
 Source of Revenues:  
 Federal grants  
 Current Program Activities/Allowable Expenses:  
 Operating and maintenance expenses  
 Purpose of Proposed Ceiling Increase (if applicable):

**Variances**

Expenditures are dependent on the status of various construction projects ongoing for the airport modernization program

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	8,000,000	3,450,000	7,037,250	7,750,000	7,322,300	7,000,000	7,000,000
Beginning Cash Balance	3,621,919	4,422,415	1,327,075	1,131,366	1,131,366	1,131,366	1,131,366
Revenues	4,246,793	2,178,911	251,975	2,000,000	2,000,000	2,000,000	2,000,000
Expenditures	4,056,791	2,008,113	523,595	2,000,000	2,000,000	2,000,000	2,000,000
Transfers	610,494	(3,266,138)	75,911				
List each by JV# and date							
Net Total Transfers	610,494	(3,266,138)	75,911	0	0	0	0
Ending Cash Balance	4,422,415	1,327,075	1,131,366	1,131,366	1,131,366	1,131,366	1,131,366
Encumbrances	2,972,544	857,718	4,743,121				
Unencumbered Cash Balance	1,449,871	469,357	(3,611,755)	1,131,366	1,131,366	1,131,366	1,131,366

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2015 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Special State CIP - Funded by Others  
 Legal Authority: Legislative Acts & Prior Year Rollovers

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) R  
 Appropriation Acct. No. S-XX-9XX-D

Intended Purpose:  
 Used to fund project funded by private contribution  
 Source of Revenues:  
 Private contribution by Castle & Cook  
 Current Program Activities/Allowable Expenses:  
 Funded approved capital improvement project  
 Purpose of Proposed Ceiling Increase (if applicable):

Variances

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	131	131	131	0	0	0	0
Revenues	0	0	0				
Expenditures	0	0	0				
Transfers	0	0	(131)				
List each by JV# and date							
Net Total Transfers	0	0	(131)	0	0	0	0
Ending Cash Balance	131	131	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	131	131	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2015 Legislature

Department: DOT  
 Prog ID(s): TRN 195  
 Name of Fund: Temporary Deposits  
 Legal Authority: HRS 261-5/261-7

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) T  
 Appropriation Acct. No. T-XX-961

Intended Purpose:  
 Security and Other Deposits  
 Source of Revenues:  
 Customer security and other deposits  
 Current Program Activities/Allowable Expenses:  
 Received and refunded security and other deposits  
 Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	5,526,787	6,491,195	5,866,733	55,541,397	14,762,618	14,762,618	14,762,618
Revenues	1,133,808	851,445	202,145,900				
Expenditures	169,400	1,475,907	152,471,236	40,778,779			
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	6,491,195	5,866,733	55,541,397	14,762,618	14,762,618	14,762,618	14,762,618
Encumbrances							
Unencumbered Cash Balance	6,491,195	5,866,733	55,541,397	14,762,618	14,762,618	14,762,618	14,762,618

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2015 Legislature

Department: DOT  
 Prog ID(s): TRN 195  
 Name of Fund: Airports Administration  
 Legal Authority: Act 106, SLH 2012

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) N  
 Appropriation Acct. No. S-13-202

Intended Purpose:  
 Required for Safety Management System Program  
 Source of Revenues:  
 Federal Grant  
 Current Program Activities/Allowable Expenses:  
 Development of Safety Management System at Statewide airports, FAA Part 139 requirement  
 Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	300,000						
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues							
Expenditures							
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances				0			
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							



**Report on Non-General Fund Information**  
for Submittal to the 2015 Legislature

Department: DOT  
 Prog ID(s): TRN 195  
 Name of Fund: Legislative Relief for Claims Against the State  
 Legal Authority: Act 116, SLH 2012

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) B  
 Appropriation Acct. No. S-12-395

Intended Purpose:  
 Payment of Judgment Claims  
 Source of Revenues:  
 Airport Revenue Fund  
 Current Program Activities/Allowable Expenses:  
 Payment of settlement agreement  
 Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance		0	0	0	0	0	0
Revenues							
Expenditures	60,000						
Transfers							
List each by JV# and date	60,000						
Net Total Transfers	60,000	0	0				
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2015 Legislature

Department:	<u>TRN</u>	Contact Name:	<u>Kendrick Au</u>
Prog ID(s):	<u>TRN 301-395</u>	Phone:	<u>587-1893</u>
Name of Fund:	<u>Revenue Bonds</u>	Fund type (MOF)	<u>Bond Funds</u>
Legal Authority	<u>A345/SL 86 - A119/SL 15</u>	ropriation Acct. No.	<u>B-86-651-D to B-15-670</u>

Intended Purpose: To Fund CIP Projects in Accordance with Bond Covenants

Source of Revenues: Revenue Bond Proceeds

Current Program Activities/Allowable Expenses: CIP Projects

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Expenditures are expected to increase from FY2014 through FY2017 due to the increased construction activity related to the "Build-out" of the KMR Piers and Container Yard Facilities at the Honolulu Harbor; FY2018 expenditures decrease as that activity wanes.

**Financial Data**

	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	174,021,815	155,538,578	144,147,370	111,761,268	69,761,268	256,977,268	196,977,268
Revenues	-	-	-	-	250,000,000		
Expenditures	18,483,237	11,391,208	32,386,102	42,000,000	48,000,000	60,000,000	60,000,000
Transfers							
List each by JV# and date							
Transfer to Debt Service Reserve Revolving Debt Service Account					(14,784,000)		
Net Total Transfers	0	0	0	0	(14,784,000)	0	0
Ending Cash Balance	155,538,578	144,147,370	111,761,268	69,761,268	256,977,268	196,977,268	136,977,268
Encumbrances	41,188,048	74,016,134	86,529,524	44,529,524	246,529,524	186,529,524	126,529,524
Unencumbered Cash Balance	114,350,530	70,131,236	25,231,744	25,231,744	10,447,744	10,447,744	10,447,744
<b>Additional Information:</b>							
Amount Req. for Bond Conveyance							
Amount Held in CODs, Escrow Accounts, or Other Investments					14,784,000		
Form 37-47 (rev. 10/14/15)							

**Report on Non-General Fund Information**  
for Submittal to the 2015 Legislature

Department: TRN Contact Name: Kendrick Au  
 Prog ID(s): TRN 301-395 Phone: 587-1893  
 Name of Fund: Federal Operating Allot (Type Fund type (MOF) Special Funds (N & V Funds))  
 Legal Authority Fed Fund Appropriation Acct. No. S-09-248-D to S-15-249-D

Intended Purpose: State Funds Match Required by Federal Grants

Source of Revenues: Loans from Harbors Special Funds

Current Program Activities/Allowable Expenses: Port Security Improvements

Purpose of Proposed Ceiling Increase (if applicable):

Variances

**Financial Data**

	FY 2013 (actual)	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (estimated)	FY 2017 (estimated)	FY 2018 (estimated)	FY 2019 (estimated)
Appropriation Ceiling							
Beginning Cash Balance	2,318,418	2,075,029	2,075,033	2,075,039	2,075,039	2,075,039	2,075,039
Revenues	2,145,217	4	6				
Expenditures	1,386,350						
Transfers							
List each by JV# and date							
Loan from Harbors Special Fund							
Repayment of Loans Made by Harbors Special Fund	(1,002,256)						
Net Total Transfers	(1,002,256)						
Ending Cash Balance	2,075,029	2,075,033	2,075,039	2,075,039	2,075,039	2,075,039	2,075,039
Encumbrances							
Unencumbered Cash Balance	2,075,029	2,075,033	2,075,039	2,075,039	2,075,039	2,075,039	2,075,039

**Additional Information:**

Amount Req. for Bond Conveyance

Amount from Bond Proceeds

Amount Held in CODs, Escrow

Form 37-47 (rev. 10/14/15)

**Report on Non-General Fund Information**  
for Submittal to the 2015 Legislature

Department: TRN Contact Name: Kendrick Au  
 Prog ID(s): TRN 301-395 Phone: 587-1893  
 Name of Fund: State CIP Fund type (MOF) Special Funds (B & R Funds)  
 Legal Authority A296/SL 91 - A119/SL 15 ppropriation Acct. No. S-91-627-D to S-15-614-D

Intended Purpose: To Fund CIP Projects with Specific Appropriations

Source of Revenues: Harbors Special Fund

Current Program Activities/Allowable Expenses: CIP Projects

Purpose of Proposed Ceiling Increase (if applicable):

Variances: FY2013 CIP expenditures included the purchase of a land parcel located at Kalaeloa, Oahu which used approximately \$10 million of Harbors Special Funds; no such large CIP expenditures occurred in FY2014.  
 Variances: FY2015 CIP expenditures for projects funded by Harbors Cash Balances, other than Harbors Modernization Projects, are planned to proceed.

**Financial Data**

	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	20,000,000	20,000,000	30,000,000	30,000,000	30,000,000	30,000,000	30,000,000
Beginning Cash Balance	47,672,618	42,909,731	39,200,359	35,641,152	35,641,152	35,641,152	35,641,152
Revenues			4,821				
Expenditures	13,399,200	3,128,892	9,009,775	30,000,000	30,000,000	30,000,000	30,000,000
Transfers In	8,636,313		5,445,747				
List each by JV# and date							
Trf fm State Operating to CIP Per Sch FY09 CIP Trf							
Reversion of Lapsed CIP Funds FY09 JS1038							
Net Transfer / Reversion of CIP Funds		(580,480)					
Trf fm State Operating to CIP per FY16 Multi-Year				30,000,000	30,000,000	30,000,000	30,000,000
Net Total Transfers	8,636,313	(580,480)	5,445,747	30,000,000	30,000,000	30,000,000	30,000,000
Ending Cash Balance	42,909,731	39,200,359	35,641,152	35,641,152	35,641,152	35,641,152	35,641,152
Encumbrances	8,449,587	15,309,780	8,852,183	15,000,000	15,000,000	15,000,000	15,000,000
Unencumbered Cash Balance	34,460,144	23,890,579	26,788,969	20,641,152	20,641,152	20,641,152	20,641,152

**Additional Information:**

Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							
Form 37-47 (rev. 10/14/15)							

**Report on Non-General Fund Information**  
for Submittal to the 2015 Legislature

Department: <u>TRN</u>	Contact Name: <u>Kendrick Au</u>
Prog ID(s): <u>TRN 301-395</u>	Phone: <u>587-1893</u>
Name of Fund: <u>Federal CIP</u>	Fund type (MOF) <u>Special Funds (N &amp; V Funds)</u>
Legal Authority <u>Fed Fund - A91/SL99 - A122/SL 14</u> Appropriation Acct. No. <u>S-94-269-D to S-14-650-D</u>	

Intended Purpose: Cash Allotments to Provide for State Funds to Match Federal Grant Funds

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Increase (if applicable) 11854195.87

Variances

**Financial Data**

	FY 2013 (actual)	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (estimated)	FY 2017 (estimated)	FY 2018 (estimated)	FY 2019 (estimated)
Appropriation Ceiling							
Beginning Cash Balance	11,854,196	13,131,554	13,131,554	1,575,295	1,575,295	1,575,295	1,575,295
Revenues	7,402,898	948,492	284,458	0	0	0	0
Expenditures	4,040,250	948,492	284,458	0	0	0	0
Transfers							
List each by JV# and date							
Other Transfer			(11,556,259)				
Loans In from State Operating Allot							
Loans In from State Operating Allot							
TIGER ARRA							
Repayment of Loans Made by Harbors Special Fund	(2,085,290)						
Net Total Transfers	(2,085,290)	0	(11,556,259)				
Ending Cash Balance	13,131,554	13,131,554	1,575,295	1,575,295	1,575,295	1,575,295	1,575,295
Encumbrances	1,024,076	75,585	75,585	75,585	0	0	0
Unencumbered Cash Balance	12,107,478	13,055,969	1,499,710	1,499,710	1,575,295	1,575,295	1,575,295

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow							
Form 37-47 (rev. 10/14/15)							

**Report on Non-General Fund Information**  
for Submittal to the 2015 Legislature

Department: <u>TRN</u>	Contact Name: <u>Kendrick Au</u>	
Prog ID(s): <u>TRN 301-395</u>	Phone: <u>587-1893</u>	
Name of Fund: <u>Revolving Funds</u>	Fund type (MOF): <u>Special Funds (W &amp; B Funds)</u>	
Legal Authority: <u>Bond Resoln &amp; S266-19 HRS</u>	Appropriation Acct. No.: <u>S-97-386-D to S-16-349-D</u>	
Intended Purpose: <u>Debt Service Cash Reserves to Comply With Bond Certificate of the DOT Director for Revenue Bonds Issued</u>		
Source of Revenues: <u>Transfers of Funds from TRN 395 (Harbors Administration Operating Unit)</u>		
Current Program Activities/Allowable Expenses: <u>Repay Revenue Bond Debt</u>		
Purpose of Proposed Ceiling Increase (if applicable):		
Variances: <u>Expenditures increase from FY2016 to FY2017 due to the increased debt service related to the 2017 Revenue Bond Issue to fund the KMR Piers and Container Yard.</u>		

Financial Data								
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)	
<b>Appropriation Ceiling</b>								
Beginning Cash Balance	42,360,275	42,574,852	42,696,495	42,836,819	43,052,134	43,052,134	43,052,134	
Revenues - Transfers from Harbor Special Fund Operating Allotment Account Deposited Monthly for the Interest and Principal Payments Due on Bonds & for Trf to Stores Acct	31,867,683	32,101,220	31,416,215	31,402,000	31,433,000	46,232,000	46,232,000	
Revenues Amount from Bond Proceeds					14,784,000			
Expenditures	31,653,106	31,979,577	31,275,891	31,186,685	46,217,000	46,232,000	46,232,000	
Transfers								
List each by JV# and date								
Transfer to Increase Stores Acct		0	0					
Trfs for Debt Svc Reserve:								
Amounts Held in Reserve per 8th Suppl Certificate	0	0	0	0	0	0	0	
Monthly Trfs to Bond Debt Svc Reserve from State Operating Allot for TRN395 All Revenue Bond	0	0	0	0	0	0	0	
Net Total Transfers	0	0	0	0	0	0	0	
Ending Cash Balance	42,574,852	42,696,495	42,836,819	43,052,134	43,052,134	43,052,134	43,052,134	
Encumbrances	10,709							
Unencumbered Cash Balance	42,564,143	42,696,495	42,836,819	43,052,134	43,052,134	43,052,134	43,052,134	

<b>Additional Information:</b>								
Amount Req. for Bond Conveyance								
Amount from Bond Proceeds					14,784,000			
Accounts, or Other Investments								
Form 37-47 (rev. 10/14/15)								

**Report on Non-General Fund Information**  
for Submittal to the 2015 Legislature

Department:	<u>TRN</u>	Contact Name:	<u>Kendrick Au</u>
Prog ID(s):	<u>TRN 301-395</u>	Phone:	<u>587-1893</u>
Name of Fund:	<u>Federal Operating Appropriation Type Fund type (MOF) Special Funds (N Funds)</u>		
Legal Authority	<u>Fed Fund</u>	Appropriation Acct. No.	<u>S-97-296</u>

Intended Purpose: To Fund Projects for Disaster Recovery from Hurricane Iniki; Cash Balances Were Transferred in FY2015 Because This Purpose Has Expired.

Source of Revenues: Loans from Harbors Special Funds

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Increase (if applicable):

Variances

**Financial Data**

	FY 2013 (actual)	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (estimated)	FY 2017 (estimated)	FY 2018 (estimated)	FY 2019 (estimated)
Appropriation Ceiling							
Beginning Cash Balance	2,802	2,802	2,802	0	0	0	0
Revenues							
Expenditures			2,802				
Transfers							
List each by JV# and date			0				
Net Total Transfers			0				
Ending Cash Balance	2,802	2,802	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	2,802	2,802	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							
Form 37-47 (rev. 10/14/15)							

**Report on Non-General Fund Information**  
for Submittal to the 2015 Legislature

Department: <u>TRN</u>	Contact Name: <u>Kendrick Au</u>
Prog ID(s): <u>TRN 301-395</u>	Phone: <u>587-1893</u>
Name of Fund: <u>Harbor Special Fund</u>	Fund type (MOF) <u>Special Funds (B Funds)</u>
Legal Authority <u>S266-19 HRS</u>	Appropriation Acct. No. <u>S-13-341-D to S-16-341-D</u>

Intended Purpose: Parent Fund for the Commercial Harbors System into

Source of Revenues: User Fees Assessed to Harbor Users, Primarily Wharfage Fees, Port Entry Fees, Dockage Fees and Rents

Current Program Activities/Allowable Expenses: Transfers of Funds to Operating Units and for Cash Funded CIP Projects

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data						
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	90,618,881	90,618,881	128,489,000	150,317,000	147,200,000	145,254,000
Beginning Cash Balance	82,330,224	97,638,817	129,921,778	144,140,819	144,296,819	149,242,819
Revenues	101,371,586	111,770,283	111,124,534	117,354,000	146,875,000	151,712,000
Expenditures	0	0	0	0	0	
<b>Transfers</b>						
List each by JV# and date						
Transfer to Increase Stores Acct						
Net Transfer / Reversion of CIP Funds	(8,636,313)	580,480	33,074,914			
Trf to State CIP per FY15 Multi-Year		0		(5,500,000)	(30,000,000)	(30,000,000)
Trf to Program Appropriations for Harbors Operations	(76,815,011)	(77,974,837)	(127,834,407)	(109,578,000)	(109,767,000)	(111,467,810)
Other Transfer Departmental Pro-Rata Share to TRN 995	(1,517,223)	(2,104,373)	(2,146,000)	(2,120,000)	(2,162,000)	(2,205,190)
Other Transfers - Net	(2,181,992)	11,408				
Loan Out to Federal CIP TIGER ARRA Pier 29 & ARRA PSGP Fence						
Loans Out to Fed Operating Funds Appropriation Type A						
Repayment of Loans Made to Federal Appropriations	3,087,546	0				
Revenue Bond Funds Originally Advanced from State						
Operating Funds to HMP						
<b>Net Total Transfers</b>	<b>(86,062,993)</b>	<b>(79,487,322)</b>	<b>(96,905,493)</b>	<b>(117,198,000)</b>	<b>(141,929,000)</b>	<b>(143,673,000)</b>
Ending Cash Balance	97,638,817	129,921,778	144,140,819	144,296,819	149,242,819	157,281,819
Encumbrances						
Unencumbered Cash Balance	97,638,817	129,921,778	144,140,819	144,296,819	149,242,819	157,281,819
<b>Additional Information:</b>						
Amount Req. for Bond Conveyance						
Amount from Bond Proceeds						
Amount Held in CODs, Escrow Accounts, or Other Investments						
Form 37-47 (rev. 10/14/15)						



**Report on Non-General Fund Information**  
for Submittal to the 2015 Legislature

Department: <u>TRN</u>	Contact Name: <u>Kendrick Au</u>
Prog ID(s): <u>TRN 301-395</u>	Phone: <u>587-1893</u>
Name of Fund: <u>State Operating Allotment</u>	Fund type (MOF) <u>Special Funds (B Funds)</u>
Legal Authority <u>A345/SL86 - A119/SL15</u>	Appropriation Acct. No. <u>S-87-055-D to S-16-055-D</u>

Intended Purpose: Funds Appropriated by Legislature for the Operating Costs of the State of Hawaii Commercial Harbors System

Source of Revenues: Transfers of Funds from the Harbors Special Fund

Current Program Activities/Allowable Expenses: Operating Costs of the Commercial Harbors System

Purpose of Proposed Ceiling Increase (if applicable):

Variations: Increase in projected expenditures for FY2015 over FY2014 actuals due to \$1.3 million for EPA Consent Decree Penalties, approximately \$3.1 million for Personal Services costs including new staff positions to comply with EPA Consent Decree, the incurring of storm drain cleaning costs of approximately \$1.5 million, \$.5 million Asset Management System, drydocking services for the Fireboat (Moku Ahi) of approximately \$.5 million, and \$.717 million for replacement of aged equipment, offset by decreases in FY2014 actuals due to delay in personnel services costs and other current expenditures

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	90,618,881	90,618,881	98,489,000	109,749,442	120,254,000	120,254,000	120,254,000
Beginning Cash Balance	35,848,054	35,844,423	37,509,546	62,264,535	64,453,535	66,686,535	68,963,535
Revenues	72,419	38,392	58,088	0	0	0	0
Expenditures	41,758,362	40,991,712	41,741,236	83,345,347	65,369,180	68,364,382	68,364,192
<b>Transfers</b>							
List each by JV# and date							
Trf to Program Appropriations for Harbors Operations from Harbors Special Fund S-FY-341-D	76,815,011	77,974,837	101,235,404	120,317,000	117,200,000	120,254,000	120,254,000
Debt Service - Reimbursable General Obligation Bonds	(3,380,832)	(3,380,687)	(3,381,053)	(3,380,653)	(3,380,820)	(3,380,618)	(3,380,808)
Net Transfer / Reversion of CIP Funds							
Trfs to Debt Svc Reserve	(31,751,867)	(31,975,707)	(31,416,215)				
Trfs for Debt Svc Reserve FY16 MultiYear			0	(31,402,000)	(46,217,000)	(46,232,000)	(46,232,000)
Other Transfer							
<b>Net Total Transfers</b>	<b>41,682,312</b>	<b>42,618,443</b>	<b>66,438,136</b>	<b>85,534,347</b>	<b>67,602,180</b>	<b>70,641,382</b>	<b>70,641,192</b>
Ending Cash Balance	35,844,423	37,509,546	62,264,535	64,453,535	66,686,535	68,963,535	71,240,535
Encumbrances	21,246,960	23,608,303	30,949,609	30,949,609	30,949,609	30,949,609	30,949,609
Unencumbered Cash Balance	14,597,463	13,901,243	31,314,926	33,503,926	35,736,926	38,013,926	40,290,926

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							
Form 37-47 (rev. 10/14/15)							

**Report on Non-General Fund Information**  
for Submittal to the 2015 Legislature

Department: <u>TRN</u>	Contact Name: <u>Kendrick Au</u>
Prog ID(s): <u>TRN 301-395</u>	Phone: <u>587-1893</u>
Name of Fund: <u>Operating Trust</u>	Fund type (MOF) <u>Trust &amp; Agency (T Funds)</u>
Legal Authority: _____	Appropriation Acct. No. <u>T-93-941-D to T-16-941-D</u>
Intended Purpose: <u>Security Deposits from Leases and Contracts &amp; Insurance Proceeds for Kawaihae Harbor Earthquake Damage</u>	
Source of Revenues: <u>Insurance Proceeds for Kawaihae Harbor Earthquake Damage Received in FY08 and Security Deposits</u>	
Current Program Activities/Allowable Expenses: <u>N/A</u>	
Purpose of Proposed Ceiling Increase (if applicable): _____	
Variances _____	

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(estimated)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	3,252,765	3,511,881	2,627,206	2,636,830	2,636,830	2,636,830	2,636,830
Revenues	10,883,498	11,509,539	13,010,972	12,763,418	14,499,340	14,654,648	15,017,351
Expenditures - Payments to OHA	10,559,140	11,509,317	12,683,923	12,763,418	14,499,340	14,654,648	15,017,351
Expenditures - Payments to Refund Security Deposits Primarily KMR Tenants	65,242	884,897	317,425	-	-	-	-
Transfers							
List each by JV# and date							
<b>Net Total Transfers</b>							
Ending Cash Balance	3,511,881	2,627,206	2,636,830	2,636,830	2,636,830	2,636,830	2,636,830
Encumbrances	2,540	2,540	2,000	2,000	2,000	2,000	2,000
Unencumbered Cash Balance	3,509,341	2,624,666	2,634,830	2,634,830	2,634,830	2,634,830	2,634,830
<b>Additional Information:</b>							
Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							
Form 37-47 (rev. 10/14/15)							

**Report on Non-General Fund Information**  
for Submittal to the 2015 Legislature

Department: TRN  
 Prog ID(s): TRN 501-595  
 Name of Fund: Revenue Bonds  
 Legal Authority: Various (Latest: ACT 122 SLH 2014)

Contact Name: Alma Calucag  
 Phone: 587-2256  
 Fund type (MOF) Revenue Bond Funds (E)  
 Appropriation Acct. No. B-00-529, B-04-500, etc.

Intended Purpose:

Source of Revenues:  
 Issuance of Highway Revenue Bonds  
 Current Program Activities/Allowable Expenses:  
 Roadway - Design, ROW, Construction and Planning Programs  
 Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	97,973,800	136,721,000	155,955,000	43,548,000	42,449,000	40,000,000	40,000,000
Beginning Cash Balance	80,062,894	27,180,265	4,150,304	85,377,254	44,777,254	84,177,254	43,577,254
Revenues	-	-	120,683,617		80,000,000		80,000,000
Expenditures	50,782,629	23,779,961	37,456,667	40,600,000	40,600,000	40,600,000	40,600,000
Transfers	(2,100,000)	750,000	(2,000,000)				
List each by JV# and date							
Net Total Transfers	(2,100,000)	750,000	(2,000,000)				
Ending Cash Balance	27,180,265	4,150,304	85,377,254	44,777,254	84,177,254	43,577,254	82,977,254
Encumbrances	83,912,067	149,507,206	104,343,466	80,399,095	60,000,000	60,000,000	60,000,000
Unencumbered Cash Balance	(56,731,801)	(145,356,902)	(18,966,212)	(35,621,841)	24,177,254	(16,422,746)	22,977,254

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2015 Legislature

Department: TRN  
 Prog ID(s): TRN 501-597  
 Name of Fund: Federal Operating Allotment  
 Legal Authority: FHS Act PL 89-564, 1966/HRS 286-2

Contact Name: Alma Calucag  
 Phone: 587-2256  
 Fund type (MOF) Other Federal Funds  
 Appropriation Acct. No. S-15-215,203,204,205,206,225,etc.

Intended Purpose:

Source of Revenues:

Federal Reimbursements

Current Program Activities/Allowable Expenses:

Federal Reimbursement - Federal clearing accounts for O&M and county pass thru funds

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	13,203,324	14,604,835	23,266,274	19,855,824	12,379,750	12,379,750	12,379,750
Beginning Cash Balance	6,150,645	5,760,843	15,568,634	17,715,551	16,715,551	15,715,551	14,715,551
Revenues	51,886,513	69,518,050	38,887,561	5,000,000	5,000,000	5,000,000	5,000,000
Expenditures	3,683,695	5,208,412	4,256,780	6,000,000	6,000,000	6,000,000	6,000,000
Transfers	(48,592,620)	(54,501,847)	(32,483,864)				
List each by JV# and date							
Net Total Transfers	(48,592,620)	(54,501,847)	(32,483,864)				
Ending Cash Balance	5,760,843	15,568,634	17,715,551	16,715,551	15,715,551	14,715,551	13,715,551
Encumbrances	5,465,365	4,405,158	5,852,624	5,500,000	5,500,000	5,500,000	5,500,000
Unencumbered Cash Balance	295,478	11,163,476	11,862,928	11,215,551	10,215,551	9,215,551	8,215,551

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2015 Legislature

Department: TRN  
 Prog ID(s): TRN 501-595  
 Name of Fund: State CIP  
 Legal Authority: Various (Latest: ACT 122, SLH 2014)

Contact Name: Alma Calucag  
 Phone: 587-2256  
 Fund type (MOF) Special Funds (including county and private) (B,R,S)  
 Appropriation Acct. No. Various Appropriations

Intended Purpose:

Source of Revenues:

Special Funds

Current Program Activities/Allowable Expenses:

Roadway - Design, ROW, Construction and Planning Programs

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	13,000,000	18,669,000	26,200,000	16,000,000	16,000,000	16,000,000	16,000,000
Beginning Cash Balance	3,712,125	3,405,714	3,390,005	3,816,899	(8,775,248)	(21,493,317)	(34,338,567)
Revenues	0	0	0	0	0	0	0
Expenditures	11,036,127	12,467,473	9,646,454	12,592,147	12,718,069	12,845,249	12,973,702
Transfers	10,729,716	12,451,763	10,073,348				
List each by JV# and date							
Net Total Transfers	10,729,716	12,451,763	10,073,348				
Ending Cash Balance	3,405,714	3,390,005	3,816,899	(8,775,248)	(21,493,317)	(34,338,567)	(47,312,268)
Encumbrances	18,653,028	17,920,458	13,313,801	5,252,689	5,305,216	5,358,268	5,411,851
Unencumbered Cash Balance	(15,247,314)	(14,530,453)	(9,496,902)	(14,027,937)	(26,798,533)	(39,696,834)	(52,724,119)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2015 Legislature

Department: TRN  
 Prog ID(s): TRN 501-595  
 Name of Fund: Federal CIP  
 Legal Authority Title 23 U.S.C. As Amended, (Latest: ACT 122, SLH 2014)

Contact Name: Alma Calucag  
 Phone: 587-2256  
 Fund type (MOF) Other Federal Funds (N,V)  
 Appropriation Acct. No. S-85-221,S-93-237, S-94-240 others

Intended Purpose:

Source of Revenues:  
 Federal Reimbursements  
 Current Program Activities/Allowable Expenses:  
 Design, ROW, Construction and Planning Programs.  
 Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	117,167,000	89,145,000	358,326,000	79,692,000	102,691,000	120,000,000	120,000,000
Beginning Cash Balance	79,091,274	96,573,693	83,740,576	28,225,459	(10,774,541)	(50,359,541)	(90,538,316)
Revenues	96,703,781	97,289,948	139,976,559	110,000,000	111,650,000	113,324,750	115,024,621
Expenditures	153,050,844	148,772,475	195,936,728	149,000,000	151,235,000	153,503,525	155,806,078
Transfers	73,829,482	38,649,410	45,109,339				
List each by JV# and date							
Net Total Transfers	73,829,482	38,649,410	45,109,339				
Ending Cash Balance	96,573,693	83,740,576	28,225,459	(10,774,541)	(50,359,541)	(90,538,316)	(131,319,773)
Encumbrances	348,545,634	602,350,709	527,907,140	288,714,066	293,044,777	297,440,449	297,440,449
Unencumbered Cash Balance	(251,971,941)	(518,610,133)	(499,681,681)	(299,488,607)	(343,404,318)	(387,978,765)	(428,760,222)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2015 Legislature

Department: TRN  
 Prog ID(s): TRN 501-595  
 Name of Fund: Revolving & OHA  
 Legal Authority: Non Lapsing

Contact Name: Alma Calucag  
 Phone: 587-2256  
 Fund type (MOF) Revolving Funds  
 Appropriation Acct. No. S-15-322, 332, etc.

Intended Purpose:

Source of Revenues:

Transfer in from other funds.

Current Program Activities/Allowable Expenses:

Payroll and administration activities. Clearing accounts for payroll, fringe's and construction admin programs.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	40,770,298	39,718,677	40,509,241	44,228,956	33,575,476	22,815,462	11,947,847
Revenues	47,832,844	48,267,158	53,772,208	48,532,520	49,017,845	49,508,024	50,003,104
Expenditures	58,206,590	58,596,595	60,992,493	59,186,000	59,777,860	60,375,639	60,979,395
Transfers	9,322,125	11,120,000	10,940,000				
List each by JV# and date							
Net Total Transfers	9,322,125	11,120,000	10,940,000				
Ending Cash Balance	39,718,677	40,509,241	44,228,956	33,575,476	22,815,462	11,947,847	971,556
Encumbrances		43,425	36,357				
Unencumbered Cash Balance	39,718,677	40,465,816	44,192,600	33,575,476	22,815,462	11,947,847	971,556

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2015 Legislature

Department: TRN  
 Prog ID(s): TRN 501-597  
 Name of Fund: State Operating Allotment  
 Legal Authority: HRS 243-4 HRS 249-31 HRS 249-33 ACT 223 SLH 1999

Contact Name: Alma Calucag  
 Phone: 587-2256  
 Fund type (MOF) Special Funds  
 Appropriation Acct. No. S-15-321,010,020,026,029,032,035,036,039

Intended Purpose:

Source of Revenues:

Fuel Taxes, Vehicle Registration Fee, Motor Vehicle Weight, Rental Motor Vehicle Surcharge Tax, and other income.

Current Program Activities/Allowable Expenses:

Roadway - Design, ROW, Construction and Planning Programs.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	272,503,384	275,385,796	286,831,257	263,750,084	302,888,457	297,765,468	277,082,590
Beginning Cash Balance	103,848,876	109,490,700	111,760,544	148,718,847	168,165,847	151,438,847	136,414,847
Revenues	258,761,218	258,417,978	299,734,958	263,893,000	266,230,000	268,591,000	270,981,460
Expenditures	204,354,237	239,032,808	223,917,460	244,446,000	282,957,000	283,615,000	263,915,018
Transfers	(48,765,157)	(17,115,326)	(38,859,195)				
List each by JV# and date							
Net Total Transfers	(48,765,157)	(17,115,326)	(38,859,195)				
Ending Cash Balance	109,490,700	111,760,544	148,718,847	168,165,847	151,438,847	136,414,847	143,481,289
Encumbrances	123,627,276	120,132,079	140,270,126	126,875,000	128,778,125	130,709,797	132,670,444
Unencumbered Cash Balance	(14,136,577)	(8,371,535)	8,448,721	41,290,847	22,660,722	5,705,050	10,810,845

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							



**Report on Non-General Fund Information**  
for Submittal to the 2015 Legislature

Department: TRN  
 Prog ID(s): TRN 501-595  
 Name of Fund: Operating Trust  
 Legal Authority: Non Lapsing

Contact Name: Alma Calucag  
 Phone: 587-2256  
 Fund type (MOF): Trust Funds  
 Appropriation Acct. No. T15-921,931,T-15-923,etc

Intended Purpose:

Source of Revenues:

Cash Receipts

Current Program Activities/Allowable Expenses:

Trust and Agency Funds

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2018
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	23,347,219	22,735,959	17,324,153	15,157,231	14,240,331	13,309,677	12,365,064
Revenues	1,931,947	743,374	209,838	2,575,563	2,614,196	2,653,409	2,693,210
Expenditures	1,159,255	6,155,179	2,376,760	3,492,463	3,544,850	3,598,022	3,651,993
Transfers	(1,383,953)	0	0				
List each by JV# and date							
Net Total Transfers	(1,383,953)	0	0				
Ending Cash Balance	22,735,959	17,324,153	15,157,231	14,240,331	13,309,677	12,365,064	11,406,281
Encumbrances	9,282,865	10,145,446	8,286,612	9,272,025	9,411,105	9,552,272	9,695,556
Unencumbered Cash Balance	13,453,094	7,178,707	6,870,619	4,968,306	3,898,572	2,812,792	1,710,725

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department of Transportation Highways Division  
Non-General Funds (excluding Federal Funds)

Table 8

<u>Name of Fund</u>	<u>Unencumbered Cash Balance</u>	<u>MOF</u>	<u>Statutory Reference</u>
Revenue Bonds	(18,966,211.90)	Issuance of Highway Revenue Bonds	ACT 252 SLH 1994 ACT 287 SLH 1996 ACT 116 SLH 1998 ACT 259 SLH 2001
Federal Operating Allotment	11,862,927.52	Federal Reimbursements	FHS Act PL 89-564, 1966/HRS 286-2
State CIP	(9,496,901.95)	Special Funds	A200/SL 03, A178/SL 05, A200/SL 03
Federal CIP	(499,681,681.15)	Federal Reimbursements	Title 23 U.S.C. As Amended
Revolving & OHA	44,192,599.67	Transfer in from other funds.	Non Lapsing
State Operating Allotment	8,448,720.72	Fuel Taxes, Vehicle Registration Fee, M	HRS 243-4 HRS 249-31 HRS 249-33 ACT 223 SLH 1999
Operating Trust	6,870,618.94	Cash Receipts	Non Lapsing

**Report on Non-General Fund Information**  
for Submittal to the 2016 Legislature

Department: Transportation  
 Prog ID(s): TRN 995  
 Name of Fund: General Administration  
 Legal Authority: Act 122/SLH 2014

Contact Name: Clarita Hironaka  
 Phone: 587-2211  
 Fund type (MOF) B  
 Appropriation Acct. No. S - 001 - D

**Intended Purpose:**

Operating funds for Administration

**Source of Revenues:**

Assessments from the other three DOT Divisions . . Airports, Harbors and Highways.

**Current Program Activities/Allowable Expenses:**

Departmental Administration and staff operations, payroll, non-labor expenses, protocol fund, etc.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	14,833,618	17,536,442	17,314,203				
Beginning Cash Balance	7,301,410	7,341,574	10,161,706	11,515,829	11,515,829	11,515,829	11,515,829
Revenues	102,953	388,105	492,476				
Expenditures	12,422,346	12,030,367	13,481,553				
Transfers							
List each net transfer in/out; list each account number							
JV-001 dtd 07/07/14			0				
JV-012 dtd 07/18/14			(2,500)				
JV-013 dtd 07/21/14			2,375,104				
JV-018 dtd 07/25/14			1,791,746				
JV-026 dtd 08/01/14			0				
JV-040 dtd 09/03/14			(2,968,503)				
JV-058 dtd 10/06/14			4,871,609				
JV-116 dtd 12/26/14			4,529,196				
JV-180 dtd 03/20/15			3,746,548				
Net Total Transfers	12,359,557	14,462,394	14,343,200				
Ending Cash Balance	7,341,574	10,161,706	11,515,829	11,515,829	11,515,829	11,515,829	11,515,829
Encumbrances	1,375,119	1,894,495	1,666,707				
Unencumbered Cash Balance	5,966,455	8,267,211	9,849,122	11,515,829	11,515,829	11,515,829	11,515,829

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: Transportation  
 Prog ID(s): TRN 695  
 Name of Fund: Aloha Tower Development Corporation  
 Legal Authority: Act 122/SLH 2014

Contact Name: Clarita Hironaka  
 Phone: 587-2211  
 Fund type (MOF) B  
 Appropriation Acct. No. S - 088 - D

**Intended Purpose:**

ATDC was placed within the Department of Transportation for administrative purposes, pursuant to section 26-35.

**Source of Revenues:**

Monthly lease payments.

**Current Program Activities/Allowable Expenses:**

The monies on deposit in the fund shall be used for lease payments to the Department of Transportation and for the development, re-development, or improvement of the Honolulu Waterfront located seaward of Nimitz Highway between Pier 4 and Pier 11.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

Decrease in revenues was due to the abatement of lease rent for all of FY 15 and therefore no equity participation payment was made.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		1,800,368	1,829,736				
Beginning Cash Balance	1,000,000	552,318	898,946	681,673	681,673	681,673	681,673
Revenues	614,818	614,769	7,727				
Expenditures	1,062,500	268,141	225,000				
Transfers							
List each net transfer in/out; list each account number			0				
Net Total Transfers	0	0	0				
Ending Cash Balance	552,318	898,946	681,673	681,673	681,673	681,673	681,673
Encumbrances	0	0	0				
Unencumbered Cash Balance	552,318	898,946	681,673	681,673	681,673	681,673	681,673

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: Transportation  
 Prog ID(s): TRN 995  
 Name of Fund: SPR - State Planning and Research  
 Legal Authority: Act 134, SLH 2013/Act 122, SLH 2014

Contact Name: Clarita Hironaka  
 Phone: 587-2211  
 Fund type (MOF) N  
 Appropriation Acct. No. S - 236 - D

**Intended Purpose:**

Federal monies received from FHWA will be deposited into this account as reimbursement for the Statewide Planning & Research program.

**Source of Revenues:**

FHWA Grant SPR-0010 (33) / (34) / (35)

**Current Program Activities/Allowable Expenses:**

County of Hawaii's Intelligent Transportation System Architecture Contract

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			540,000				
Beginning Cash Balance	0	0	0	34,924	34,924	34,924	34,924
Revenues	0	0	30,847				
Expenditures	0	0	30,847				
Transfers							
List each net transfer in/out; list each account number							
JV-130 dtd 02/06/15			34,924				
Net Total Transfers	0	0	34,924				
Ending Cash Balance	0	0	34,924	34,924	34,924	34,924	34,924
Encumbrances	0	0	0				
Unencumbered Cash Balance	0	0	34,924	34,924	34,924	34,924	34,924

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: Transportation  
 Prog ID(s): TRN 995  
 Name of Fund: SPR - State Planning and Research  
 Legal Authority: Act 134/SLH 2013

Contact Name: Clarita Hironaka  
 Phone: 587-2211  
 Fund type (MOF) N  
 Appropriation Acct. No. S - 255 - D

**Intended Purpose:**

Federal monies received from FHWA will be deposited into this account as reimbursement for the Statewide Planning & Research program.

**Source of Revenues:**

FHWA Grant SPR-0010 (37)

**Current Program Activities/Allowable Expenses:**

Travel for SubSTAC meetings and Staff time

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			72,744				
Beginning Cash Balance	0	0	0	18,234	18,234	18,234	18,234
Revenues	0	0	2,335				
Expenditures	0	0	4,101				
Transfers							
List each net transfer in/out; list each account number							
JV-153 dtd 02/27/15			20,000				
Net Total Transfers	0	0	20,000				
Ending Cash Balance	0	0	18,234	18,234	18,234	18,234	18,234
Encumbrances	0	0	0				
Unencumbered Cash Balance	0	0	18,234	18,234	18,234	18,234	18,234

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: Transportation  
 Prog ID(s): TRN 995  
 Name of Fund: Intermodal Surface Transportation Efficiency Programs  
 Legal Authority: 23 USC (23 CFR Part 420.103)

Contact Name: Clarita Hironaka  
 Phone: 587-2211  
 Fund type (MOF) N  
 Appropriation Acct. No. S - 256 - D

**Intended Purpose:**

Federal monies received from FHWA will be deposited into this account as reimbursement for the Statewide Planning & Research program.

**Source of Revenues:**

FHWA Grant SPR-0010 (36)

**Current Program Activities/Allowable Expenses:**

Staff time

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,690,489	1,118,158	6,513,574				
Beginning Cash Balance	4,774	16,140	31,566	0	0	0	0
Revenues	6,433	151,324	3,359				
Expenditures	100,728	57,767	0				
Transfers							
List each net transfer in/out; list each account number							
JV-130 dtd 02/06/15			(34,924)				
Net Total Transfers	105,661	(78,131)	(34,924)				
Ending Cash Balance	16,140	31,566	0	0	0	0	0
Encumbrances	0	0	0				
Unencumbered Cash Balance	16,140	31,566	0	0	0	0	0

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: Transportation  
 Prog ID(s): TRN 995  
 Name of Fund: FTA (Federal Transit Authority)  
 Legal Authority: 49 USC, Section 5310

Contact Name: Clarita Hironaka  
 Phone: 587-2211  
 Fund type (MOF) N  
 Appropriation Acct. No. S - 257 - D

**Intended Purpose:**

Federal Transit Act Capital Assistance grant under Section 5310. These funds will aid in financing capital items for private nonprofit groups which will help meet the transportation needs of the elderly and persons with disabilities in the State of Hawaii.

**Source of Revenues:**

FTA funds provide 80% matching share under various FTA capital assistance grants under section 5310. Appropriation S-xx-335-D provides the 20% private contributions, but for FY15, there were no private contributions.

**Current Program Activities/Allowable Expenses:**

FTA Grant No. HI-16-0034, -0035, -0036, -0037 & -0038. Financing capital items under Section 5310 for various non-profit organizations in the State of Hawaii. For FY15, only staff time was charged.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

Increases in revenues and expenditures from FY2013 to FY2014 were due to contracts for vehicles encumbered and paid from 3 FTA grants and their corresponding Federal drawdowns received in FY2014.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,292,468	3,486,419	2,138,940				
Beginning Cash Balance	471	0	63,049	97,630	97,630	97,630	97,630
Revenues	46,286	1,300,396	68,661				
Expenditures	46,286	1,347,478	14,080				
Transfers							
List each net transfer in/out; list each account number							
JV-153 dtd 02/27/15			(20,000)				
Net Total Transfers	(471)	110,131	(20,000)				
Ending Cash Balance	0	63,049	97,630	97,630	97,630	97,630	97,630
Encumbrances	0	0	0				
Unencumbered Cash Balance	0	63,049	97,630	97,630	97,630	97,630	97,630

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							



Department: Transportation  
 Prog ID(s): TRN 995  
 Name of Fund: FTA (Federal Transit Authority)  
 Legal Authority: 49 USC, Sections 5311, 5309, 5303 & 5313(b), 5316, 5317, 5339

Contact Name: Clarita Hironaka  
 Phone: 587-2211  
 Fund type (MOF) N  
 Appropriation Acct. No. S - 258 - D

**Intended Purpose:**

Federal Transit Administration (FTA) Funds - to account for pre-FY14 FTA grants which are still active.

**Source of Revenues:**

Federal Transit Administration (FTA) Funds.

**Current Program Activities/Allowable Expenses:**

FTA Grant Nos. HI-18-X030, -X031 & HI-03-0038 & HI-04-0004, -0007, -0009, -0010, -0013, -0014 & HI-37-X006, -X008 & HI-57-X008 & HI-80-X019, -- 0021,-0023 & HI-34-0001.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

In FY 14, with the effort to close out old grants, both the Counties of Hawaii and Kauai increased their reimbursement requests (expenditures) over FY13 by \$3.2 million, but this was tempered by a \$2.2 million decrease in reimbursement requests by Maui County and DLNR. Please note that revenues follow the same pattern.

Financial Data							
	FY 2013 (actual)	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (estimated)	FY 2017 (estimated)	FY 2018 (estimated)	FY 2019 (estimated)
Appropriation Ceiling	28,174,481	30,882,626	17,263,920				
Beginning Cash Balance	566,784	566,984	552,282	690,565	690,565	690,565	690,565
Revenues	9,748,278	10,896,462	9,729,411				
Expenditures	9,748,078	10,879,164	9,591,128				
Transfers							
List each net transfer in/out; list each account number							
JV-006 dtd 07/14/14			1,000,000				
JV-171 dtd 03/16/15			500,000				
JV-278 dtd 06/30/15			(1,500,000)				
Net Total Transfers	0	(32,000)	0				
Ending Cash Balance	566,984	552,282	690,565	690,565	690,565	690,565	690,565
Encumbrances	0	2,739,542	120,871				
Unencumbered Cash Balance	566,984	(2,187,260)	569,694	690,565	690,565	690,565	690,565

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: Transportation  
 Prog ID(s): TRN 995  
 Name of Fund: Protocol Funds for Executive Heads  
 Legal Authority: Act 200/Section 143/SLH 2003

Contact Name: Clarita Hironaka  
 Phone: 587-2211  
 Fund type (MOF) B  
 Appropriation Acct. No. S - 398 - D

**Intended Purpose:**

Provide discretionary funds for the head of the department.

**Source of Revenues:**

\$2,500.00 is transferred from the "General Administration Fund, S-xx-001-D" to fund this appropriation

**Current Program Activities/Allowable Expenses:**

To provide discretionary funds for the head of the department in accordance with Section 143 of Act 200, SLH 2003 per Comptroller's Memorandum No. 2003-22 dated 09/23/03.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,500	2,500	2,500				
Beginning Cash Balance	1,830	1,824	2,112	2,048	2,048	2,048	2,048
Revenues	0	0	0				
Expenditures	676	388	452				
Transfers							
List each net transfer in/out; list each account number							
JV-012 dtd 07/18/14			2,500				
JV-040 dtd 09/30/14			(2,112)				
Net Total Transfers	670	676	388				
Ending Cash Balance	1,824	2,112	2,048	2,048	2,048	2,048	2,048
Encumbrances	0	0	29				
Unencumbered Cash Balance	1,824	2,112	2,019	2,048	2,048	2,048	2,048

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: Transportation  
 Prog ID(s): TRN 995  
 Name of Fund: Non- Appropriated  
 Legal Authority: Administratively created

Contact Name: Clarita Hironaka  
 Phone: 587-2211  
 Fund type (MOF) P  
 Appropriation Acct. No. S - 504 -D

**Intended Purpose:**

Federal monies received from FHWA will be deposited into this account as reimbursement for the National Summer Transportation Institute program.

**Source of Revenues:**

FHWA Grant NSTI-1500 (088)

**Current Program Activities/Allowable Expenses:**

Cost reimbursable contract with University of Hawaii Outreach College

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

Financial Data							
	FY 2013 (actual)	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (estimated)	FY 2017 (estimated)	FY 2018 (estimated)	FY 2019 (estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	7,989				
Expenditures	0	0	7,989				
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	0	0	0				
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0				
Unencumbered Cash Balance	0	0	0	0	0	0	0

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: Transportation  
 Prog ID(s): TRN 995  
 Name of Fund: WASHTO Quality Award Program  
 Legal Authority: \_\_\_\_\_

Contact Name: Clarita Hironaka  
 Phone: 587-2211  
 Fund type (MOF) T  
 Appropriation Acct. No. T - 908 - D

**Intended Purpose:**

Receipt of and disbursement of cash awards for the Employees Incentive Awards Program.

**Source of Revenues:**

WASHTO

**Current Program Activities/Allowable Expenses:**

Cash awards for the Employees Incentive Award Programs.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

In FY 14 and FY 15, cash awards were not disbursed until the next fiscal year (August 2014 and August 2015, respectively).

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	3,000	3,000	3,000	3,000	3,000	3,000
Revenues	3,000	3,000	3,000				
Expenditures	0	3,000	3,000				
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	0	0					
Ending Cash Balance	3,000	3,000	3,000	3,000	3,000	3,000	3,000
Encumbrances	0	0					
Unencumbered Cash Balance	3,000	3,000	3,000	3,000	3,000	3,000	3,000

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: Transportation  
 Prog ID(s): TRN 995  
 Name of Fund: AMTRAK Trust Account  
 Legal Authority: \_\_\_\_\_

Contact Name: Clarita Hironaka  
 Phone: 587-2211  
 Fund type (MOF) T  
 Appropriation Acct. No. T - 909 - D

Intended Purpose:

Source of Revenues:

AMTRAK funds are held temporarily until specific use of the funds are determined by Transportation Officials. Interest revenue from the Budget & Finance Investment Pool program.

Current Program Activities/Allowable Expenses:

Temporary deposit of AMTRAK funds for the Highways Division. AMTRAK funds are used for various transportation projects in the State of Hawaii.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance		0	38,810	0	0	0	0
Revenues		0	0				
Expenditures		0	38,810				
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers		38,810	0				
Ending Cash Balance	0	38,810	0	0	0	0	0
Encumbrances			0				
Unencumbered Cash Balance	0	38,810	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: TRN  
 Prog ID(s): TRN 501-597  
 Name of Fund: Safe Routes to School Program  
 Legal Authority: Section 291C-4, HRS

Contact Name: Alma Calucag  
 Phone: 587-2256  
 Fund type (MOF) Special Funds  
 Appropriation Acct. No. S-15-318

Intended Purpose: to support the safe routes to school program, which enhances traffic safety around schools and makes bicycling and walking to school safer and more appealing alternatives.

Source of Revenues:

Speeding and Traffic Violation fines

Current Program Activities/Allowable Expenses:

Counties Safe Routes to School projects

Purpose of Proposed Ceiling Increase (if applicable): NA

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	1,048,815	1,048,815	1,381,500	631,500	631,500	631,500
Beginning Cash Balance		417,666	844,379	837,084	831,765	826,393	820,967
Revenues	417,666	629,165	707,370	714,444	721,589	728,805	736,093
Expenditures	0	204,480	712,637	719,763	726,961	734,230	741,573
Transfers	0	2,029	(2,029)				
List each by JV# and date							
Net Total Transfers	0	2,029	(2,029)				
Ending Cash Balance	417,666	844,379	837,084	831,765	826,393	820,967	815,487
Encumbrances	0	0	43,232	43,664	44,101	44,542	44,987
Unencumbered Cash Balance	417,666	844,379	793,852	788,101	782,292	776,425	770,499

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							