



PUBLIC SAFETY

STATE OF HAWAII

PROGRAM TITLE:

PUBLIC SAFETY

PROGRAM-ID:

PROGRAM STRUCTURE NO: 09

VARIANCE REPORT

REPORT V61

12/14/16

| | FISCAL YEAR 2015-16 | | | | THREE MONTHS ENDED 09-30-16 | | | | NINE MONTHS ENDING 06-30-17 | | | |
|--|---------------------|----------|----------|----|-----------------------------|----------|----------|----|-----------------------------|-----------|----------|----|
| | BUDGETED | ACTUAL | ± CHANGE | % | BUDGETED | ACTUAL | ± CHANGE | % | BUDGETED | ESTIMATED | ± CHANGE | % |
| PART I: EXPENDITURES & POSITIONS | | | | | | | | | | | | |
| RESEARCH & DEVELOPMENT COSTS | | | | | | | | | | | | |
| POSITIONS | | | | | | | | | | | | |
| EXPENDITURES (\$1,000's) | | | | | | | | | | | | |
| OPERATING COSTS | | | | | | | | | | | | |
| POSITIONS | 2,988.35 | 2,713.35 | - 275.00 | 9 | 3,010.35 | 2,707.35 | - 303.00 | 10 | 3,010.35 | 3,010.35 | + 0.00 | 0 |
| EXPENDITURES (\$1000's) | 375,406 | 328,948 | - 46,458 | 12 | 96,524 | 95,901 | - 623 | 1 | 307,864 | 308,489 | + 625 | 0 |
| TOTAL COSTS | | | | | | | | | | | | |
| POSITIONS | 2,988.35 | 2,713.35 | - 275.00 | 9 | 3,010.35 | 2,707.35 | - 303.00 | 10 | 3,010.35 | 3,010.35 | + 0.00 | 0 |
| EXPENDITURES (\$1000's) | 375,406 | 328,948 | - 46,458 | 12 | 96,524 | 95,901 | - 623 | 1 | 307,864 | 308,489 | + 625 | 0 |
| | | | | | FISCAL YEAR 2015-16 | | | | FISCAL YEAR 2016-17 | | | |
| | | | | | PLANNED | ACTUAL | ± CHANGE | % | PLANNED | ESTIMATED | ± CHANGE | % |
| PART II: MEASURES OF EFFECTIVENESS | | | | | | | | | | | | |
| 1. NO. ARRESTS MADE BY DEPT'L LAW ENFORCEMENT OFFICERS | | | | | 4200 | 4149 | - 51 | 1 | 4200 | 4200 | + 0 | 0 |
| 2. NO. OF ESCAPES AS DEFINED BY SEC. 710-1020, HRS | | | | | 0 | 0 | + 0 | 0 | 0 | 0 | + 0 | 0 |
| 3. NUMBER OF PAROLE VIOLATORS RETURNED TO PRISON | | | | | 300 | 399 | + 99 | 33 | 300 | 400 | + 100 | 33 |

VARIANCE REPORT NARRATIVE FY 2016 AND FY 2017

PROGRAM TITLE: PUBLIC SAFETY

09

PART I - EXPENDITURES AND POSITIONS

The variance in the program position count is generally due to vacancies because of budget constraints, personnel turnovers and recruitment difficulties. The variance in expenditures is the net effect of position vacancies, collective bargaining augmentation and authorized federal fund increases. Details of the position and expenditure variance are best examined at the lowest program level.

PART II - MEASURES OF EFFECTIVENESS

See the lowest programs for explanation of variances.

STATE OF HAWAII

PROGRAM TITLE:

PROGRAM-ID:

PROGRAM STRUCTURE NO: 0901

SAFETY FROM CRIMINAL ACTIONS

VARIANCE REPORT

REPORT V61

12/14/16

| | FISCAL YEAR 2015-16 | | | | THREE MONTHS ENDED 09-30-16 | | | | NINE MONTHS ENDING 06-30-17 | | | |
|--|---------------------|----------|----------|---|-----------------------------|----------|----------|----|-----------------------------|-----------|----------|----|
| | BUDGETED | ACTUAL | ± CHANGE | % | BUDGETED | ACTUAL | ± CHANGE | % | BUDGETED | ESTIMATED | ± CHANGE | % |
| PART I: EXPENDITURES & POSITIONS | | | | | | | | | | | | |
| RESEARCH & DEVELOPMENT COSTS | | | | | | | | | | | | |
| POSITIONS | | | | | | | | | | | | |
| EXPENDITURES (\$1,000's) | | | | | | | | | | | | |
| OPERATING COSTS | | | | | | | | | | | | |
| POSITIONS | 2,758.10 | 2,529.10 | - 229.00 | 8 | 2,773.10 | 2,519.10 | - 254.00 | 9 | 2,773.10 | 2,773.10 | + 0.00 | 0 |
| EXPENDITURES (\$1000's) | 268,361 | 260,664 | - 7,697 | 3 | 62,857 | 62,689 | - 168 | 0 | 216,901 | 217,071 | + 170 | 0 |
| TOTAL COSTS | | | | | | | | | | | | |
| POSITIONS | 2,758.10 | 2,529.10 | - 229.00 | 8 | 2,773.10 | 2,519.10 | - 254.00 | 9 | 2,773.10 | 2,773.10 | + 0.00 | 0 |
| EXPENDITURES (\$1000's) | 268,361 | 260,664 | - 7,697 | 3 | 62,857 | 62,689 | - 168 | 0 | 216,901 | 217,071 | + 170 | 0 |
| | | | | | FISCAL YEAR 2015-16 | | | | FISCAL YEAR 2016-17 | | | |
| | | | | | PLANNED | ACTUAL | ± CHANGE | % | PLANNED | ESTIMATED | ± CHANGE | % |
| PART II: MEASURES OF EFFECTIVENESS | | | | | | | | | | | | |
| 1. NO. ARRESTS MADE BY DEPT'L LAW ENFORCEMENT OFFICERS | | | | | 4200 | 4149 | - 51 | 1 | 4200 | 4200 | + 0 | 0 |
| 2. NO. OF ESCAPES AS DEFINED BY SEC. 710-1020, HRS | | | | | 0 | 0 | + 0 | 0 | 0 | 0 | + 0 | 0 |
| 3. NUMBER OF PAROLE VIOLATORS RETURNED TO PRISON | | | | | 300 | 399 | + 99 | 33 | 300 | 400 | + 100 | 33 |

Intermediate Level Program
No Narrative
(See Lowest Level Programs for Explanation of Variances)

VARIANCE REPORT

| | FISCAL YEAR 2015-16 | | | | THREE MONTHS ENDED 09-30-16 | | | | NINE MONTHS ENDING 06-30-17 | | | |
|---|---------------------|----------|----------|---|-----------------------------|----------|----------|----|-----------------------------|-----------|----------|----|
| | BUDGETED | ACTUAL | ± CHANGE | % | BUDGETED | ACTUAL | ± CHANGE | % | BUDGETED | ESTIMATED | ± CHANGE | % |
| PART I: EXPENDITURES & POSITIONS | | | | | | | | | | | | |
| RESEARCH & DEVELOPMENT COSTS | | | | | | | | | | | | |
| POSITIONS | | | | | | | | | | | | |
| EXPENDITURES (\$1,000's) | | | | | | | | | | | | |
| OPERATING COSTS | | | | | | | | | | | | |
| POSITIONS | 2,102.10 | 1,938.10 | - 164.00 | 8 | 2,109.10 | 1,922.10 | - 187.00 | 9 | 2,109.10 | 2,109.10 | + 0.00 | 0 |
| EXPENDITURES (\$1000's) | 214,090 | 211,928 | - 2,162 | 1 | 51,050 | 51,050 | + 0 | 0 | 171,312 | 171,312 | + 0 | 0 |
| TOTAL COSTS | | | | | | | | | | | | |
| POSITIONS | 2,102.10 | 1,938.10 | - 164.00 | 8 | 2,109.10 | 1,922.10 | - 187.00 | 9 | 2,109.10 | 2,109.10 | + 0.00 | 0 |
| EXPENDITURES (\$1000's) | 214,090 | 211,928 | - 2,162 | 1 | 51,050 | 51,050 | + 0 | 0 | 171,312 | 171,312 | + 0 | 0 |
| | | | | | FISCAL YEAR 2015-16 | | | | FISCAL YEAR 2016-17 | | | |
| | | | | | PLANNED | ACTUAL | ± CHANGE | % | PLANNED | ESTIMATED | ± CHANGE | % |
| PART II: MEASURES OF EFFECTIVENESS | | | | | | | | | | | | |
| 1. NO. OF ESCAPES AS DEFINED BY SEC. 710-1020, HRS | | | | | 0 | 0 | + 0 | 0 | 0 | 0 | + 0 | 0 |
| 2. PERCENT OF INMATES COMPLETING ACADEMIC PROGRAMS | | | | | 30 | 52 | + 22 | 73 | 30 | 50 | + 20 | 67 |
| 3. PERCENT OF INMATES COMPLETING VOCATIONAL PROGRAMS | | | | | 55 | 63 | + 8 | 15 | 55 | 60 | + 5 | 9 |
| 4. % OF INMATES COMPLETING COUNSELING/TREATMENT PROGS | | | | | 8 | 15.62 | + 7.62 | 95 | 8 | 8 | + 0 | 0 |
| 5. % INMATES EMPLOYED BY CORRECTNL INDUSTRIES PROGS | | | | | 5 | 8.5 | + 3.5 | 70 | 5 | 7 | + 2 | 40 |
| 6. % INMATES W/SANC FOR MISCNDT IN HIGH/GRTST CATEGS | | | | | 45 | 44 | - 1 | 2 | 45 | 45 | + 0 | 0 |
| 7. % OF INMATES WHO TEST POSITIVE ON URINALYSIS TEST | | | | | 5 | 5 | + 0 | 0 | 5 | 5 | + 0 | 0 |

Intermediate Level Program
No Narrative
(See Lowest Level Programs for Explanation of Variances)

STATE OF HAWAII

PROGRAM TITLE:

PROGRAM-ID:

PROGRAM STRUCTURE NO: 09010102

HALAWA CORRECTIONAL FACILITY

PSD-402

VARIANCE REPORT

REPORT V61

12/14/16

| | FISCAL YEAR 2015-16 | | | | THREE MONTHS ENDED 09-30-16 | | | | NINE MONTHS ENDING 06-30-17 | | | |
|---|---------------------|--------|----------|---|-----------------------------|--------|----------|------|-----------------------------|-----------|----------|----|
| | BUDGETED | ACTUAL | ± CHANGE | % | BUDGETED | ACTUAL | ± CHANGE | % | BUDGETED | ESTIMATED | ± CHANGE | % |
| PART I: EXPENDITURES & POSITIONS | | | | | | | | | | | | |
| RESEARCH & DEVELOPMENT COSTS | | | | | | | | | | | | |
| POSITIONS | | | | | | | | | | | | |
| EXPENDITURES (\$1,000's) | | | | | | | | | | | | |
| OPERATING COSTS | | | | | | | | | | | | |
| POSITIONS | 410.00 | 396.00 | - 14.00 | 3 | 410.00 | 393.00 | - 17.00 | 4 | 410.00 | 410.00 | + 0.00 | 0 |
| EXPENDITURES (\$1000's) | 27,337 | 26,979 | - 358 | 1 | 6,773 | 6,773 | + 0 | 0 | 21,388 | 21,388 | + 0 | 0 |
| TOTAL COSTS | | | | | | | | | | | | |
| POSITIONS | 410.00 | 396.00 | - 14.00 | 3 | 410.00 | 393.00 | - 17.00 | 4 | 410.00 | 410.00 | + 0.00 | 0 |
| EXPENDITURES (\$1000's) | 27,337 | 26,979 | - 358 | 1 | 6,773 | 6,773 | + 0 | 0 | 21,388 | 21,388 | + 0 | 0 |
| | | | | | FISCAL YEAR 2015-16 | | | | FISCAL YEAR 2016-17 | | | |
| | | | | | PLANNED | ACTUAL | ± CHANGE | % | PLANNED | ESTIMATED | ± CHANGE | % |
| PART II: MEASURES OF EFFECTIVENESS | | | | | | | | | | | | |
| 1. NUMBER OF ESCAPES AS DEFINED BY SEC. 710-1020, HRS | | 0 | 0 | + | 0 | 0 | 0 | 0 | 0 | 0 | + | 0 |
| 2. NUMBER OF ESCAPES AS DEFINED BY SEC. 710-1021, HRS | | 0 | 0 | + | 0 | 0 | 0 | 0 | 0 | 0 | + | 0 |
| 3. NUMBER OF INMATES RECEIVING SANCTIONS FOR MISC | | 450 | 470 | + | 20 | 4 | 450 | 470 | + | 20 | 4 | 4 |
| 4. % OF RECLASSIFICATION RESULTING IN REDUCED CUSTODY | | 20 | 25.86 | + | 5.86 | 29 | 20 | 26 | + | 6 | 30 | 30 |
| PART III: PROGRAM TARGET GROUP | | | | | | | | | | | | |
| 1. AVERAGE NUMBER OF INMATES | | 1124 | 1109 | - | 15 | 1 | 1124 | 1093 | - | 31 | 3 | 3 |
| PART IV: PROGRAM ACTIVITY | | | | | | | | | | | | |
| 1. NUMBER OF NEW ADMISSIONS | | 1200 | 1600 | + | 400 | 33 | 1212 | 1600 | + | 388 | 32 | 32 |
| 2. NUMBER OF INMATES RELEASED | | 970 | 1650 | + | 680 | 70 | 982 | 1600 | + | 618 | 63 | 63 |
| 3. NUMBER OF RECLASSIFICATION COMPLETED | | 2030 | 1752 | - | 278 | 14 | 2030 | 1752 | - | 278 | 14 | 14 |

VARIANCE REPORT NARRATIVE FY 2016 AND FY 2017

09 01 01 02
PSD 402

PROGRAM TITLE: HALAWA CORRECTIONAL FACILITY

PART I - EXPENDITURES AND POSITIONS

FY 2016: The expenditure variance is due to collective bargaining augmentation, and the transfer of funds due to critical payroll shortfall.

PART II - MEASURES OF EFFECTIVENESS

Item 4. The variance is due to the enactment of "Non-Contact Visitation." This has halted one avenue of contraband traffic and has resulted in reduced inmate disciplinary sanctions.

PART III - PROGRAM TARGET GROUPS

No significant variance.

PART IV - PROGRAM ACTIVITIES

Item 1. The variance is due to an underestimation of new admissions.

Item 2. The variance is due to PSD implementing a new inmate classification instrument that changed the inmate's status, resulting in transfer releases and a more accurate tabulation comparative system to capture the data.

Item 3. The variance is due to staffing vacancies. This has caused delays in the review of inmates' institutional files.

STATE OF HAWAII

PROGRAM TITLE:

PROGRAM-ID:

PROGRAM STRUCTURE NO:

KULANI CORRECTIONAL FACILITY

PSD-403

09010103

VARIANCE REPORT

REPORT V61

12/14/16

| | FISCAL YEAR 2015-16 | | | | | THREE MONTHS ENDED 09-30-16 | | | | NINE MONTHS ENDING 06-30-17 | | | | | | | |
|--|---------------------|--------|----------|------|---|-----------------------------|--------|----------|------|-----------------------------|----------|-----------|----------|------|---|-----|-----|
| | BUDGETED | ACTUAL | ± CHANGE | | % | BUDGETED | ACTUAL | ± CHANGE | | % | BUDGETED | ESTIMATED | ± CHANGE | | % | | |
| PART I: EXPENDITURES & POSITIONS | | | | | | | | | | | | | | | | | |
| RESEARCH & DEVELOPMENT COSTS | | | | | | | | | | | | | | | | | |
| POSITIONS | | | | | | | | | | | | | | | | | |
| EXPENDITURES (\$1,000's) | | | | | | | | | | | | | | | | | |
| OPERATING COSTS | | | | | | | | | | | | | | | | | |
| POSITIONS | 76.00 | 72.00 | - | 4.00 | 5 | 76.00 | 67.00 | - | 9.00 | 12 | 76.00 | 76.00 | + | 0.00 | 0 | | |
| EXPENDITURES (\$1000's) | 5,331 | 5,089 | - | 242 | 5 | 1,069 | 1,069 | + | 0 | 0 | 4,276 | 4,276 | + | 0 | 0 | | |
| TOTAL COSTS | | | | | | | | | | | | | | | | | |
| POSITIONS | 76.00 | 72.00 | - | 4.00 | 5 | 76.00 | 67.00 | - | 9.00 | 12 | 76.00 | 76.00 | + | 0.00 | 0 | | |
| EXPENDITURES (\$1000's) | 5,331 | 5,089 | - | 242 | 5 | 1,069 | 1,069 | + | 0 | 0 | 4,276 | 4,276 | + | 0 | 0 | | |
| | | | | | | FISCAL YEAR 2015-16 | | | | FISCAL YEAR 2016-17 | | | | | | | |
| | | | | | | PLANNED | ACTUAL | ± CHANGE | | % | PLANNED | ESTIMATED | ± CHANGE | | % | | |
| PART II: MEASURES OF EFFECTIVENESS | | | | | | NO DATA | | + | 0 | 0 | NO DATA | | NO DATA | + | 0 | 0 | |
| 1. NEW PROGRAM ESTABLISHED BY 2011 LEGISLATURE | | | | | | 0 | | 0 | + | 0 | 0 | 0 | | 0 | + | 0 | 0 |
| 2. NUMBER OR ESCAPES (1ST DEGREE) | | | | | | 0 | | 3 | + | 3 | 0 | 0 | | 0 | + | 0 | 0 |
| 3. NUMBER OF ESCAPES (2ND DEGREE) | | | | | | 20 | | 74 | + | 54 | 270 | 20 | | 50 | + | 30 | 150 |
| 4. RECLASSIFICATION | | | | | | | | | | | | | | | | | |
| PART III: PROGRAM TARGET GROUP | | | | | | 200 | | 187 | - | 13 | 7 | 200 | | 190 | - | 10 | 5 |
| 1. AVERAGE NUMBER OF INMATES | | | | | | | | | | | | | | | | | |
| PART IV: PROGRAM ACTIVITY | | | | | | 50 | | 164 | + | 114 | 228 | 50 | | 170 | + | 120 | 240 |
| 1. ADMISSIONS | | | | | | 50 | | 160 | + | 110 | 220 | 50 | | 170 | + | 120 | 240 |
| 2. NUMBER OF RELEASES | | | | | | 125 | | 290 | + | 165 | 132 | 125 | | 200 | + | 75 | 60 |
| 3. NUMBER OF RECLASSIFICATION | | | | | | | | | | | | | | | | | |

VARIANCE REPORT NARRATIVE FY 2016 AND FY 2017

09 01 01 03
PSD 403

PROGRAM TITLE: KULANI CORRECTIONAL FACILITY

PART I - EXPENDITURES AND POSITIONS

FY 2016: The expenditure variance is due to the program operating within the available revenues generated in the fiscal year. The budgeted amount is an expenditure ceiling.

FY 2017: The 1st quarter position variance is due to employee turnover and recruitment difficulties. PSD plans to fill all positions.

PART II - MEASURES OF EFFECTIVENESS

Item 3. There were three escape events in a formal charge of escape in the second degree.

Item 4. The variance is due to inmates completing Substance Abuse classes and participating in the Sex Offender Treatment Program.

PART III - PROGRAM TARGET GROUPS

No significant variance.

PART IV - PROGRAM ACTIVITIES

Item 1. The variance is due to an underestimation of the number of new admissions.

Item 2. The variance is due to an underestimation of the number of inmates released.

Item 3. The variance is because inmates are automatically reclassified every six months, unless they have had a misconduct.

STATE OF HAWAII

PROGRAM TITLE:

PROGRAM-ID:

PROGRAM STRUCTURE NO:

WAIAWA CORRECTIONAL FACILITY

PSD-404

09010104

VARIANCE REPORT

REPORT V61

12/14/16

| PART I: EXPENDITURES & POSITIONS RESEARCH & DEVELOPMENT COSTS POSITIONS EXPENDITURES (\$1,000's) | FISCAL YEAR 2015-16 | | | | THREE MONTHS ENDED 09-30-16 | | | | NINE MONTHS ENDING 06-30-17 | | | | | | |
|---|---------------------|-----------------|----------|------------|-----------------------------|---------------------|-----------------|----------|-----------------------------|---------------------|-----------------|-----------------|----------|-----------|--------|
| | BUDGETED | ACTUAL | ± CHANGE | | % | BUDGETED | ACTUAL | ± CHANGE | | % | BUDGETED | ESTIMATED | ± CHANGE | | % |
| | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | |
| OPERATING COSTS POSITIONS EXPENDITURES (\$1000's) | 110.00 6,719 | 105.00 6,755 | - + | 5.00 36 | 5 1 | 111.00 1,728 | 106.00 1,728 | - + | 5.00 0 | 5 0 | 111.00 5,257 | 111.00 5,257 | + | 0.00 0 | 0 0 |
| TOTAL COSTS POSITIONS EXPENDITURES (\$1000's) | 110.00 6,719 | 105.00 6,755 | - + | 5.00 36 | 5 1 | 111.00 1,728 | 106.00 1,728 | - + | 5.00 0 | 5 0 | 111.00 5,257 | 111.00 5,257 | + | 0.00 0 | 0 0 |
| | | | | | | FISCAL YEAR 2015-16 | | | | FISCAL YEAR 2016-17 | | | | | |
| | | | | | | PLANNED | ACTUAL | ± CHANGE | | % | PLANNED | ESTIMATED | ± CHANGE | | % |
| PART II: MEASURES OF EFFECTIVENESS | | | | | | | | | | | | | | | |
| 1. NUMBER OF ESCAPES AS DEFINED BY SEC. 710-1020, HRS | | | | | | 0 | 0 | + | 0 | 0 | 0 | 0 | + | 0 | 0 |
| 2. NUMBER OF ESCAPES AS DEFINED BY SEC. 710-1021, HRS | | | | | | 0 | 0 | + | 0 | 0 | 0 | 0 | + | 0 | 0 |
| 3. NUMBER OF INMATES RECEIVING SANCTIONS | | | | | | 0 | 131 | + | 131 | 0 | 0 | 20 | + | 20 | 0 |
| 4. % OF RELCLASSIFICATION RESULTING IN REDUCED CUSTODY | | | | | | 60 | 43 | - | 17 | 28 | 60 | 50 | - | 10 | 17 |
| PART III: PROGRAM TARGET GROUP | | | | | | | | | | | | | | | |
| 1. AVERAGE NUMBER OF INMATES | | | | | | 285 | 301 | + | 16 | 6 | 285 | 312 | + | 27 | 9 |
| PART IV: PROGRAM ACTIVITY | | | | | | | | | | | | | | | |
| 1. NUMBER OF NEW ADMISSIONS | | | | | | 400 | 475 | + | 75 | 19 | 400 | 500 | + | 100 | 25 |
| 2. NUMBER OF INMATES RELEASED | | | | | | 500 | 529 | + | 29 | 6 | 500 | 400 | - | 100 | 20 |
| 3. NUMBER OF RECLASSIFICATIONS COMPLETED | | | | | | 400 | 406 | + | 6 | 2 | 400 | 420 | + | 20 | 5 |
| 4. NUMBER OF INMATE-HOURS CONTRIBUTED IN COMMUNIT | | | | | | 4000 | 4000 | + | 0 | 0 | 4000 | 4000 | + | 0 | 0 |
| 5. NUMBER OF INMATES PARTICIPATING IN FURLOUGH PR | | | | | | 0 | 0 | + | 0 | 0 | 0 | 0 | + | 0 | 0 |
| 6. NUMBER OF INMATES PARTICIPATING IN RESIDENTIAL | | | | | | 0 | 0 | + | 0 | 0 | 0 | 0 | + | 0 | 0 |

VARIANCE REPORT NARRATIVE FY 2016 AND FY 2017

09 01 01 04
PSD 404

PROGRAM TITLE: WAIAWA CORRECTIONAL FACILITY

PART I - EXPENDITURES AND POSITIONS

FY 2016: No significant variance.

PART II - MEASURES OF EFFECTIVENESS

Item 3. The variance is due to a more aggressive inmate population. Their disregard for the rules and regulations has resulted in an increase in classifications of the greatest categories.

Item 4. The variance is because more inmates were already in community custody when they were transferred to Waiawa Correctional Facility from Halawa Correctional Facility and the neighbor islands.

PART III - PROGRAM TARGET GROUPS

No significant variance.

PART IV - PROGRAM ACTIVITIES

Item 1. The variance is because of an underestimation in the number of new admissions.

STATE OF HAWAII

VARIANCE REPORT

REPORT V61

PROGRAM TITLE:

HAWAII COMMUNITY CORRECTIONAL CENTER

PROGRAM-ID:

PSD-405

12/14/16

PROGRAM STRUCTURE NO:

09010105

| | FISCAL YEAR 2015-16 | | | | THREE MONTHS ENDED 09-30-16 | | | | NINE MONTHS ENDING 06-30-17 | | | |
|---|---------------------|--------|----------|----|-----------------------------|--------|----------|-----|-----------------------------|-----------|----------|-----|
| | BUDGETED | ACTUAL | ± CHANGE | % | BUDGETED | ACTUAL | ± CHANGE | % | BUDGETED | ESTIMATED | ± CHANGE | % |
| PART I: EXPENDITURES & POSITIONS | | | | | | | | | | | | |
| RESEARCH & DEVELOPMENT COSTS | | | | | | | | | | | | |
| POSITIONS | | | | | | | | | | | | |
| EXPENDITURES (\$1,000's) | | | | | | | | | | | | |
| OPERATING COSTS | | | | | | | | | | | | |
| POSITIONS | 166.00 | 161.00 | - 5.00 | 3 | 168.00 | 158.00 | - 10.00 | 6 | 168.00 | 168.00 | + 0.00 | 0 |
| EXPENDITURES (\$1000's) | 9,691 | 11,006 | + 1,315 | 14 | 2,716 | 2,716 | + 0 | 0 | 7,369 | 7,369 | + 0 | 0 |
| TOTAL COSTS | | | | | | | | | | | | |
| POSITIONS | 166.00 | 161.00 | - 5.00 | 3 | 168.00 | 158.00 | - 10.00 | 6 | 168.00 | 168.00 | + 0.00 | 0 |
| EXPENDITURES (\$1000's) | 9,691 | 11,006 | + 1,315 | 14 | 2,716 | 2,716 | + 0 | 0 | 7,369 | 7,369 | + 0 | 0 |
| | | | | | FISCAL YEAR 2015-16 | | | | FISCAL YEAR 2016-17 | | | |
| | | | | | PLANNED | ACTUAL | ± CHANGE | % | PLANNED | ESTIMATED | ± CHANGE | % |
| PART II: MEASURES OF EFFECTIVENESS | | | | | | | | | | | | |
| 1. NUMBER OF INMATES PLACED ON PAROLE | | | | | 50 | 55 | + 5 | 10 | 50 | 55 | + 5 | 10 |
| 2. NUMBER OF ESCAPES AS DEFINED BY SEC. 710-1020, HRS | | | | | 0 | 0 | + 0 | 0 | 0 | 0 | + 0 | 0 |
| 3. NUMBER OF ESCAPES AS DEFINED BY SEC. 710-1021, HRS | | | | | 0 | 12 | + 12 | 0 | 0 | 0 | + 0 | 0 |
| 4. NUMBER OF INMATES RECEIVING SANCTIONS | | | | | 172 | 523 | + 351 | 204 | 172 | 500 | + 328 | 191 |
| PART III: PROGRAM TARGET GROUP | | | | | | | | | | | | |
| 1. AVERAGE NUMBER OF INMATES | | | | | 500 | 570 | + 70 | 14 | 500 | 578 | + 78 | 16 |
| PART IV: PROGRAM ACTIVITY | | | | | | | | | | | | |
| 1. NUMBER OF NEW ADMISSIONS | | | | | 3000 | 3008 | + 8 | 0 | 3000 | 3000 | + 0 | 0 |
| 2. NUMBER OF INMATES RELEASED | | | | | 2500 | 2976 | + 476 | 19 | 2500 | 3000 | + 500 | 20 |
| 3. NUMBER OF RECLASSIFICATIONS TO HIGHER LEVEL | | | | | 100 | 181 | + 81 | 81 | 100 | 180 | + 80 | 80 |
| 4. NUMBER OF INMATE-HOURS CONTRIBUTED IN COMMUNIT | | | | | 17000 | 2500 | - 14500 | 85 | 17000 | 3000 | - 14000 | 82 |
| 5. NUMBER OF INMATES PARTICIPATING IN FURLOUGH | | | | | 128 | 174 | + 46 | 36 | 128 | 165 | + 37 | 29 |
| 6. NUMBER OF INMATES PARTICIPATING IN RESIDENTIAL | | | | | 100 | 265 | + 165 | 165 | 100 | 250 | + 150 | 150 |
| 7. NUMBER OF RECLASSIFICATION COMPLETED | | | | | 200 | 307 | + 107 | 54 | 200 | 300 | + 100 | 50 |

VARIANCE REPORT NARRATIVE FY 2016 AND FY 2017

09 01 01 05
PSD 405

PROGRAM TITLE: HAWAII COMMUNITY CORRECTIONAL CENTER

PART I - EXPENDITURES AND POSITIONS

FY 2016: The expenditure variance is due to collective bargaining augmentation, and the transfer of funds due to critical payroll shortfall.

PART II - MEASURES OF EFFECTIVENESS

Item 1. The variance is due to an increase in the inmate population.

Item 3. It is always Hawaii Community Correctional Center's (HCCC) goal to have no escapes. However, despite HCCC's efforts, inmates continue to struggle with compliance. There were 12 incidents of escape in the second degree, all as a result of the inmate violating their furlough contract. This meant the inmate failed to check in, failed to return to the facility or could not be contacted.

Item 4. The variance is due to overcrowding. An increase in the inmate population has led to more incidents.

PART III - PROGRAM TARGET GROUPS

Item 1. The variance is due to an underestimation of the projected number of inmates based on operating capacity.

PART IV - PROGRAM ACTIVITIES

Item 2. The variance is due to an underestimation in the number of inmate releases.

Item 3. The variance is due to an increased number of misconducts at the higher levels. Higher classification warrants movements for security and safety reasons.

Item 4. The variance is a direct result of attempting to reduce Adult Corrections Officers' overtime.

Item 5. This variance is because the Hawaii Paroling Authority is increasingly mandating work furlough as a condition for parole.

Item 6. This variance is because more inmates became eligible for transitional housing as they progressed through the work furlough program. Case Managers also utilized community resources to prepare inmates for release.

Item 7. This variance is a direct result of the increased number of misconducts. This also resulted in an increase in the number of transfers.

STATE OF HAWAII

VARIANCE REPORT

REPORT V61

PROGRAM TITLE:

MAUI COMMUNITY CORRECTIONAL CENTER

12/14/16

PROGRAM-ID:

PSD-406

PROGRAM STRUCTURE NO:

09010106

| | FISCAL YEAR 2015-16 | | | | THREE MONTHS ENDED 09-30-16 | | | | NINE MONTHS ENDING 06-30-17 | | | |
|---|---------------------|--------|----------|----|-----------------------------|--------|----------|----|-----------------------------|-----------|----------|----|
| | BUDGETED | ACTUAL | ± CHANGE | % | BUDGETED | ACTUAL | ± CHANGE | % | BUDGETED | ESTIMATED | ± CHANGE | % |
| PART I: EXPENDITURES & POSITIONS | | | | | | | | | | | | |
| RESEARCH & DEVELOPMENT COSTS | | | | | | | | | | | | |
| POSITIONS | | | | | | | | | | | | |
| EXPENDITURES (\$1,000's) | | | | | | | | | | | | |
| OPERATING COSTS | | | | | | | | | | | | |
| POSITIONS | 186.00 | 178.00 | - 8.00 | 4 | 186.00 | 172.00 | - 14.00 | 8 | 186.00 | 186.00 | + 0.00 | 0 |
| EXPENDITURES (\$1000's) | 10,965 | 12,777 | + 1,812 | 17 | 3,282 | 3,282 | + 0 | 0 | 8,476 | 8,476 | + 0 | 0 |
| TOTAL COSTS | | | | | | | | | | | | |
| POSITIONS | 186.00 | 178.00 | - 8.00 | 4 | 186.00 | 172.00 | - 14.00 | 8 | 186.00 | 186.00 | + 0.00 | 0 |
| EXPENDITURES (\$1000's) | 10,965 | 12,777 | + 1,812 | 17 | 3,282 | 3,282 | + 0 | 0 | 8,476 | 8,476 | + 0 | 0 |
| | | | | | FISCAL YEAR 2015-16 | | | | FISCAL YEAR 2016-17 | | | |
| | | | | | PLANNED | ACTUAL | ± CHANGE | % | PLANNED | ESTIMATED | ± CHANGE | % |
| PART II: MEASURES OF EFFECTIVENESS | | | | | | | | | | | | |
| 1. NUMBER OF INMATES PLACED ON PAROLE | | | | | 55 | 41 | - 14 | 25 | 55 | 55 | + 0 | 0 |
| 2. NUMBER OF ESCAPES AS DEFINED BY SEC. 710-1020, HRS | | | | | 0 | 0 | + 0 | 0 | 0 | 0 | + 0 | 0 |
| 3. NUMBER OF ESCAPES AS DEFINED BY SEC. 710-1021, HRS | | | | | 0 | 1 | + 1 | 0 | 0 | 0 | + 0 | 0 |
| 4. NUMBER OF INMATES RECEIVING SANCTIONS | | | | | 90 | 121 | + 31 | 34 | 90 | 90 | + 0 | 0 |
| PART III: PROGRAM TARGET GROUP | | | | | | | | | | | | |
| 1. AVERAGE NUMBER OF INMATES | | | | | 400 | 483 | + 83 | 21 | 400 | 472 | + 72 | 18 |
| PART IV: PROGRAM ACTIVITY | | | | | | | | | | | | |
| 1. NUMBER OF NEW ADMISSIONS | | | | | 1880 | 2191 | + 311 | 17 | 1906 | 2200 | + 294 | 15 |
| 2. NUMBER OF INMATES RELEASED | | | | | 1890 | 2145 | + 255 | 13 | 1917 | 2100 | + 183 | 10 |
| 3. NUMBER OF RECLASSIFICATIONS TO HIGHER LEVEL | | | | | 17 | 32 | + 15 | 88 | 17 | 30 | + 13 | 76 |
| 4. NUMBER OF INMATE-HOURS CONTRIBUTED | | | | | 22500 | 27585 | + 5085 | 23 | 22500 | 22255 | - 245 | 1 |
| 5. NUMBER OF INMATES PARTICIPATING IN FURLOUGH PR | | | | | 90 | 84 | - 6 | 7 | 90 | 90 | + 0 | 0 |
| 6. NUMBER OF INMATES PARTICIPATING IN RESIDENTIAL | | | | | 15 | 20 | + 5 | 33 | 15 | 15 | + 0 | 0 |
| 7. NUMBER OF RECLASSIFICATION COMPLETED | | | | | 190 | 223 | + 33 | 17 | 190 | 190 | + 0 | 0 |

VARIANCE REPORT NARRATIVE FY 2016 AND FY 2017

09 01 01 06
PSD 406

PROGRAM TITLE: MAUI COMMUNITY CORRECTIONAL CENTER

PART I - EXPENDITURES AND POSITIONS

FY 2016: The variance is due to collective bargaining augmentation, and the transfer of funds due to critical payroll shortfall.

PART II - MEASURES OF EFFECTIVENESS

Item 1. This variance is because of an increase in the number of misconducts. This meant fewer inmates qualified for parole.

Item 4. This variance is because of an increase in population and inmate substance abuse.

PART III - PROGRAM TARGET GROUPS

Item 1. The variance is due to an underestimation in the average number of inmates based on the capacity of the facility.

PART IV - PROGRAM ACTIVITIES

Item 1. The variance is due to an underestimation of the number of inmates admissions.

Item 2. The variance is because of an underestimation of the number of inmate releases.

Item 3. This variance is because of an increase in population and inmate substance abuse.

Item 4. This variance is because of an increase in population. There was also greater than expected participation in the programs.

Item 6. This variance is because of an increase in population. Also, more inmates qualified for community release.

Item 7. This variance is because of an increase in population. There was also an increase in the number of misconducts.

STATE OF HAWAII

VARIANCE REPORT

REPORT V61

PROGRAM TITLE:

OAHU COMMUNITY CORRECTIONAL CENTER

12/14/16

PROGRAM-ID:

PSD-407

PROGRAM STRUCTURE NO:

09010107

| | FISCAL YEAR 2015-16 | | | | THREE MONTHS ENDED 09-30-16 | | | | NINE MONTHS ENDING 06-30-17 | | | |
|---|---------------------|--------|----------|---|-----------------------------|--------|----------|----|-----------------------------|-----------|----------|----|
| | BUDGETED | ACTUAL | ± CHANGE | % | BUDGETED | ACTUAL | ± CHANGE | % | BUDGETED | ESTIMATED | ± CHANGE | % |
| PART I: EXPENDITURES & POSITIONS | | | | | | | | | | | | |
| RESEARCH & DEVELOPMENT COSTS | | | | | | | | | | | | |
| POSITIONS | | | | | | | | | | | | |
| EXPENDITURES (\$1,000's) | | | | | | | | | | | | |
| OPERATING COSTS | | | | | | | | | | | | |
| POSITIONS | 498.00 | 478.00 | - 20.00 | 4 | 503.00 | 472.00 | - 31.00 | 6 | 503.00 | 503.00 | + 0.00 | 0 |
| EXPENDITURES (\$1000's) | 33,499 | 32,777 | - 722 | 2 | 8,174 | 8,174 | + 0 | 0 | 26,866 | 26,866 | + 0 | 0 |
| TOTAL COSTS | | | | | | | | | | | | |
| POSITIONS | 498.00 | 478.00 | - 20.00 | 4 | 503.00 | 472.00 | - 31.00 | 6 | 503.00 | 503.00 | + 0.00 | 0 |
| EXPENDITURES (\$1000's) | 33,499 | 32,777 | - 722 | 2 | 8,174 | 8,174 | + 0 | 0 | 26,866 | 26,866 | + 0 | 0 |
| | | | | | FISCAL YEAR 2015-16 | | | | FISCAL YEAR 2016-17 | | | |
| | | | | | PLANNED | ACTUAL | ± CHANGE | % | PLANNED | ESTIMATED | ± CHANGE | % |
| PART II: MEASURES OF EFFECTIVENESS | | | | | | | | | | | | |
| 1. NUMBER OF INMATES PLACED ON PAROLE | | | | | 200 | 132 | - 68 | 34 | 200 | 150 | - 50 | 25 |
| 2. NUMBER OF ESCAPES AS DEFINED BY SEC. 710-1020, HRS | | | | | 0 | 0 | + 0 | 0 | 0 | 0 | + 0 | 0 |
| 3. NUMBER OF ESCAPES AS DEFINED BY SEC. 710-1021, HRS | | | | | 0 | 19 | + 19 | 0 | 0 | 0 | + 0 | 0 |
| 4. NUMBER OF INMATES RECEIVING SANCTIONS | | | | | 500 | 535 | + 35 | 7 | 500 | 500 | + 0 | 0 |
| PART III: PROGRAM TARGET GROUP | | | | | | | | | | | | |
| 1. AVERAGE NUMBER OF INMATES | | | | | 1500 | 1415 | - 85 | 6 | 1500 | 1391 | - 109 | 7 |
| PART IV: PROGRAM ACTIVITY | | | | | | | | | | | | |
| 1. NUMBER OF NEW ADMISSIONS | | | | | 8900 | 7850 | - 1050 | 12 | 8900 | 8000 | - 900 | 10 |
| 2. NUMBER OF INMATES RELEASED | | | | | 8200 | 8038 | - 162 | 2 | 8200 | 8100 | - 100 | 1 |
| 3. NUMBER OF RECLASSIFICATIONS TO HIGHER LEVEL | | | | | 155 | 180 | + 25 | 16 | 155 | 175 | + 20 | 13 |
| 4. NUMBER OF INMATES PARTICIPATING IN FURLOUGH PR | | | | | 400 | 547 | + 147 | 37 | 400 | 400 | + 0 | 0 |
| 5. NUMBER OF RECLASSIFICATION COMPLETED | | | | | 1200 | 774 | - 426 | 36 | 1200 | 1200 | + 0 | 0 |

VARIANCE REPORT NARRATIVE FY 2016 AND FY 2017

09 01 01 07
PSD 407

PROGRAM TITLE: OAHU COMMUNITY CORRECTIONAL CENTER

PART I - EXPENDITURES AND POSITIONS

instrument.

FY 2016: The expenditure variance is due to collective bargaining augmentation and the decrease in payroll expenditures.

PART II - MEASURES OF EFFECTIVENESS

Item 1. The variance is because the Hawaii Paroling Authority wants to see a longer furlough participation period. This means fewer overall paroles.

Item 3. There were 19 escapes in a formal charge of escape in the second degree. This variance is because of the re-evaluation and adjustments of the furlough program, plus continuance of the GPS monitoring program.

PART III - PROGRAM TARGET GROUPS

No significant variance.

PART IV - PROGRAM ACTIVITIES

Item 1. The variance is due to an overestimation in the number of new admissions.

Item 3. The variance is due to an increase in positive urinalysis testing results.

Item 4. The variance is because of the inmate's lack of self-control and discipline and inability to adhere to the furlough program's rules and regulations. The higher number of inmate failures led to a higher turnover rate of furlough bed vacancies.

Item 5. The variance is due to status changes. New information obtained about custodies are prioritized. There is also a backlog on the six-month review for pre-trial inmates (pre-trial felon and probation violation) because of new staff training on the use of the jail classification

STATE OF HAWAII

VARIANCE REPORT

REPORT V61

PROGRAM TITLE:

KAUAI COMMUNITY CORRECTIONAL CENTER

PROGRAM-ID:

PSD-408

12/14/16

PROGRAM STRUCTURE NO: 09010108

| | FISCAL YEAR 2015-16 | | | | THREE MONTHS ENDED 09-30-16 | | | | NINE MONTHS ENDING 06-30-17 | | | | | | |
|---|---------------------|--------|----------|------|-----------------------------|---------------------|--------|----------|-----------------------------|---------------------|----------|-----------|----------|------|-----|
| | BUDGETED | ACTUAL | ± CHANGE | | % | BUDGETED | ACTUAL | ± CHANGE | | % | BUDGETED | ESTIMATED | ± CHANGE | | % |
| PART I: EXPENDITURES & POSITIONS | | | | | | | | | | | | | | | |
| RESEARCH & DEVELOPMENT COSTS | | | | | | | | | | | | | | | |
| POSITIONS | | | | | | | | | | | | | | | |
| EXPENDITURES (\$1,000's) | | | | | | | | | | | | | | | |
| OPERATING COSTS | | | | | | | | | | | | | | | |
| POSITIONS | 73.00 | 71.00 | - | 2.00 | 3 | 73.00 | 71.00 | - | 2.00 | 3 | 73.00 | 73.00 | + | 0.00 | 0 |
| EXPENDITURES (\$1000's) | 4,408 | 5,083 | + | 675 | 15 | 1,304 | 1,304 | + | 0 | 0 | 3,246 | 3,246 | + | 0 | 0 |
| TOTAL COSTS | | | | | | | | | | | | | | | |
| POSITIONS | 73.00 | 71.00 | - | 2.00 | 3 | 73.00 | 71.00 | - | 2.00 | 3 | 73.00 | 73.00 | + | 0.00 | 0 |
| EXPENDITURES (\$1000's) | 4,408 | 5,083 | + | 675 | 15 | 1,304 | 1,304 | + | 0 | 0 | 3,246 | 3,246 | + | 0 | 0 |
| | | | | | | FISCAL YEAR 2015-16 | | | | FISCAL YEAR 2016-17 | | | | | |
| | | | | | | PLANNED | ACTUAL | ± CHANGE | | % | PLANNED | ESTIMATED | ± CHANGE | | % |
| PART II: MEASURES OF EFFECTIVENESS | | | | | | | | | | | | | | | |
| 1. NUMBER OF INMATES PLACED ON PAROLE | | | | | | 10 | 10 | + | 0 | 0 | 10 | 30 | + | 20 | 200 |
| 2. NUMBER OF ESCAPES AS DEFINED BY SEC. 710-1020, HRS | | | | | | 0 | 0 | + | 0 | 0 | 0 | 0 | + | 0 | 0 |
| 3. NUMBER OF ESCAPES AS DEFINED BY SEC. 710-1021, HRS | | | | | | 0 | 1 | + | 1 | 0 | 0 | 0 | + | 0 | 0 |
| 4. NUMBER OF INMATES RECEIVING SANCTIONS | | | | | | 50 | 75 | + | 25 | 50 | 50 | 50 | + | 0 | 0 |
| PART III: PROGRAM TARGET GROUP | | | | | | | | | | | | | | | |
| 1. AVERAGE NUMBER OF INMATES | | | | | | 200 | 165 | - | 35 | 18 | 200 | 204 | + | 4 | 2 |
| PART IV: PROGRAM ACTIVITY | | | | | | | | | | | | | | | |
| 1. NUMBER OF NEW ADMISSIONS | | | | | | 900 | 703 | - | 197 | 22 | 900 | 900 | + | 0 | 0 |
| 2. NUMBER OF INMATES RELEASED | | | | | | 900 | 707 | - | 193 | 21 | 900 | 900 | + | 0 | 0 |
| 3. NUMBER OF RECLASSIFICATIONS TO HIGHER LEVEL | | | | | | 10 | 30 | + | 20 | 200 | 10 | 30 | + | 20 | 200 |
| 4. NUMBER OF INMATE-HOURS CONTRIBUTED IN COMMUNIT | | | | | | 3000 | 2794 | - | 206 | 7 | 3000 | 3000 | + | 0 | 0 |
| 5. NUMBER OF INMATES PARTICIPATING IN FURLOUGH | | | | | | 120 | 120 | + | 0 | 0 | 120 | 120 | + | 0 | 0 |
| 6. NUMBER OF INMATES PARTICIPATING IN RESIDENTIAL | | | | | | 70 | 90 | + | 20 | 29 | 70 | 70 | + | 0 | 0 |
| 7. NUMBER OF RELCASSIFICATION COMPLETED | | | | | | 140 | 140 | + | 0 | 0 | 140 | 140 | + | 0 | 0 |

VARIANCE REPORT NARRATIVE FY 2016 AND FY 2017

09 01 01 08
PSD 408

PROGRAM TITLE: KAUAI COMMUNITY CORRECTIONAL CENTER

PART I - EXPENDITURES AND POSITIONS

FY 2016: The expenditure variance is due to collective bargaining augmentation, and the transfer of funds due to critical payroll shortfall.

PART II - MEASURES OF EFFECTIVENESS

Item 3. There was one escape event in a formal charge of escape in the second degree.

Item 4. The variance is due to an increased special needs population and subsequent overcrowding resulted in greater problems and conflicts. In an effort to maintain institutional order and security, inmates were being charged and held accountable for misconduct in the highest and greatest categories.

PART III - PROGRAM TARGET GROUPS

Item 1. The variance is because of an overestimation of the inmate population based on the facility's operational capacity.

PART IV - PROGRAM ACTIVITIES

Item 1. The variance is because of an overestimation of the number of new admissions.

Item 2. The variance is because of an overestimation of the number of inmates released.

Item 3. The variance is due to an increase of problem management inmates. The programs continue to work with the inmates to reduce the number of inmates being reclassified to higher-level custody.

Item 6. This variance is because more inmates became eligible for transitional housing as they progressed through the work furlough program.

STATE OF HAWAII

PROGRAM TITLE:

PROGRAM-ID:

PROGRAM STRUCTURE NO: 09010109

WOMEN'S COMMUNITY CORRECTIONAL CENTER

PSD-409

VARIANCE REPORT

REPORT V61

12/14/16

| | FISCAL YEAR 2015-16 | | | | THREE MONTHS ENDED 09-30-16 | | | | NINE MONTHS ENDING 06-30-17 | | | |
|---|---------------------|--------|----------|---------|-----------------------------|--------|----------|------|-----------------------------|-----------|----------|---|
| | BUDGETED | ACTUAL | ± CHANGE | % | BUDGETED | ACTUAL | ± CHANGE | % | BUDGETED | ESTIMATED | ± CHANGE | % |
| PART I: EXPENDITURES & POSITIONS | | | | | | | | | | | | |
| RESEARCH & DEVELOPMENT COSTS | | | | | | | | | | | | |
| POSITIONS | | | | | | | | | | | | |
| EXPENDITURES (\$1,000's) | | | | | | | | | | | | |
| OPERATING COSTS | | | | | | | | | | | | |
| POSITIONS | 132.00 | 122.00 | - 10.00 | 8 | 133.00 | 119.00 | - 14.00 | 11 | 133.00 | 133.00 | + 0.00 | 0 |
| EXPENDITURES (\$1000's) | 7,443 | 7,525 | + 82 | 1 | 1,958 | 1,958 | + 0 | 0 | 5,762 | 5,762 | + 0 | 0 |
| TOTAL COSTS | | | | | | | | | | | | |
| POSITIONS | 132.00 | 122.00 | - 10.00 | 8 | 133.00 | 119.00 | - 14.00 | 11 | 133.00 | 133.00 | + 0.00 | 0 |
| EXPENDITURES (\$1000's) | 7,443 | 7,525 | + 82 | 1 | 1,958 | 1,958 | + 0 | 0 | 5,762 | 5,762 | + 0 | 0 |
| | | | | | FISCAL YEAR 2015-16 | | | | FISCAL YEAR 2016-17 | | | |
| | | | | | PLANNED | ACTUAL | ± CHANGE | % | PLANNED | ESTIMATED | ± CHANGE | % |
| PART II: MEASURES OF EFFECTIVENESS | | | | | | | | | | | | |
| 1. NUMBER OF INMATES PLACED ON PAROLE. | | 100 | 88 | - 12 | 12 | | 100 | 88 | - 12 | 12 | | |
| 2. NUMBER OF ESCAPES AS DEFINED BY SEC. 710-1020, HRS | | 0 | 0 | + 0 | 0 | | 0 | 0 | + 0 | 0 | | |
| 3. NUMBER OF ESCAPES AS DEFINED BY SEC. 710-1021, HRS | | 0 | 0 | + 0 | 0 | | 0 | 0 | + 0 | 0 | | |
| 4. NUMBER OF INMATES RECEIVING SANCTIONS | | 54 | 58 | + 4 | 7 | | 54 | 58 | + 4 | 7 | | |
| PART III: PROGRAM TARGET GROUP | | | | | | | | | | | | |
| 1. AVERAGE NUMBER OF INMATES | | 300 | 321 | + 21 | 7 | | 300 | 343 | + 43 | 14 | | |
| PART IV: PROGRAM ACTIVITY | | | | | | | | | | | | |
| 1. NUMBER OF NEW ADMISSIONS | | 150 | 311 | + 161 | 107 | | 150 | 300 | + 150 | 100 | | |
| 2. NUMBER OF INMATES RELEASED | | 189 | 299 | + 110 | 58 | | 189 | 300 | + 111 | 59 | | |
| 3. NUMBER OF INMATE-HOURS CONTRIBUTED IN COMMUNIT | | 50000 | 1787 | - 48213 | 96 | | 50000 | 2000 | - 48000 | 96 | | |
| 4. NUMBER OF INMATES PARTICIPATING IN FURLOUGH | | 36 | 24 | - 12 | 33 | | 36 | 30 | - 6 | 17 | | |
| 5. NUMBER OF INMATES PARTICIPATING IN RESIDENTIAL | | 80 | 37 | - 43 | 54 | | 80 | 46 | - 34 | 43 | | |
| 6. NUMBER OF RECLASSIFICATION COMPLETED | | 496 | 521 | + 25 | 5 | | 496 | 610 | + 114 | 23 | | |

VARIANCE REPORT NARRATIVE FY 2016 AND FY 2017

09 01 01 09
PSD 409

PROGRAM TITLE: WOMEN'S COMMUNITY CORRECTIONAL CENTER

PART I - EXPENDITURES AND POSITIONS

FY 2016: The expenditure variance is due to collective bargaining augmentation, and the transfer of funds due to critical payroll shortfall.

FY 2017: The 1st quarter position variance is due to employee turnover and recruitment difficulties. PSD plans to fill all positions.

PART II - MEASURES OF EFFECTIVENESS

Item 1. Although the number of inmates placed on parole decreased with a variance of 12%, the number is relatively consistent with past numbers.

PART III - PROGRAM TARGET GROUPS

No significant variance.

PART IV - PROGRAM ACTIVITIES

Item 1. The variance is due to an underestimation of the number of new admissions.

Item 2. The variance is due to an underestimation of the number of releases.

Item 3. The variance is because of a gross overestimation of the community service workline hours. An increase in staff sick leave, Family Medical Leave Act (FMLA) and Workers' Compensation also greatly hindered participation in community workline programs.

Item 4. The variance is attributed to the Parole Board retaining the inmates in furlough beds, resulting in fewer overall participants in the program.

Item 5. The variance is attributed to the Parole Board retaining the inmates in residential program beds, resulting in fewer overall participants in the program.

STATE OF HAWAII

VARIANCE REPORT

REPORT V61

PROGRAM TITLE:

INTAKE SERVICE CENTERS

PROGRAM-ID:

PSD-410

PROGRAM STRUCTURE NO:

09010110

12/14/16

| | FISCAL YEAR 2015-16 | | | | THREE MONTHS ENDED 09-30-16 | | | | NINE MONTHS ENDING 06-30-17 | | | |
|---|---------------------|--------|----------|----|-----------------------------|---------|----------|-----|-----------------------------|-----------|----------|-----|
| | BUDGETED | ACTUAL | ± CHANGE | % | BUDGETED | ACTUAL | ± CHANGE | % | BUDGETED | ESTIMATED | ± CHANGE | % |
| PART I: EXPENDITURES & POSITIONS | | | | | | | | | | | | |
| RESEARCH & DEVELOPMENT COSTS | | | | | | | | | | | | |
| POSITIONS | | | | | | | | | | | | |
| EXPENDITURES (\$1,000's) | | | | | | | | | | | | |
| OPERATING COSTS | | | | | | | | | | | | |
| POSITIONS | 61.00 | 45.00 | - 16.00 | 26 | 61.00 | 46.00 | - 15.00 | 25 | 61.00 | 61.00 | + 0.00 | 0 |
| EXPENDITURES (\$1000's) | 3,649 | 3,236 | - 413 | 11 | 816 | 816 | + 0 | 0 | 2,939 | 2,939 | + 0 | 0 |
| TOTAL COSTS | | | | | | | | | | | | |
| POSITIONS | 61.00 | 45.00 | - 16.00 | 26 | 61.00 | 46.00 | - 15.00 | 25 | 61.00 | 61.00 | + 0.00 | 0 |
| EXPENDITURES (\$1000's) | 3,649 | 3,236 | - 413 | 11 | 816 | 816 | + 0 | 0 | 2,939 | 2,939 | + 0 | 0 |
| | | | | | FISCAL YEAR 2015-16 | | | | FISCAL YEAR 2016-17 | | | |
| | | | | | PLANNED | ACTUAL | ± CHANGE | % | PLANNED | ESTIMATED | ± CHANGE | % |
| PART II: MEASURES OF EFFECTIVENESS | | | | | | | | | | | | |
| 1. %PRETRIAL SUPERVSN CASES APPEAR IN COURT AS SCHED | | | | | 90 | 90 | + 0 | 0 | 90 | 90 | + 0 | 0 |
| 2. %PRETRIAL SUPERVSN CASES NOT CHARGED W/NEW OFFENSE | | | | | 95 | 95 | + 0 | 0 | 95 | 95 | + 0 | 0 |
| 3. % COMMUNITY SERVICE RESTITUTN PROG CASES COMPLETED | | | | | 35 | NO DATA | - 35 | 100 | 35 | NO DATA | - 35 | 100 |
| 4. % OF OFFENDERS THAT COMPLETE ALTERNATIVE SENTENCES | | | | | 85 | 85 | + 0 | 0 | 85 | 85 | + 0 | 0 |
| 5. NO. BED SPACE DAYS SAVED THRU ISC PRGMS/INTERVENTN | | | | | 300000 | 292000 | - 8000 | 3 | 300000 | 300000 | + 0 | 0 |
| 6. % RISK ASSESSMENTS COMPLETED WITHIN THREE DAYS | | | | | 100 | 100 | + 0 | 0 | 100 | 100 | + 0 | 0 |
| PART III: PROGRAM TARGET GROUP | | | | | | | | | | | | |
| 1. NUMBER OF PRETRIAL OFFENDERS | | | | | 1000 | 1578 | + 578 | 58 | 1000 | 1500 | + 500 | 50 |
| 2. NO. OF SENTENCED OFFENDERS WITH COMMUNITY STATUS | | | | | 650 | 1197 | + 547 | 84 | 650 | 1100 | + 450 | 69 |
| PART IV: PROGRAM ACTIVITY | | | | | | | | | | | | |
| 1. NUMBER OF PRETRIAL INVESTIGATIONS INITIATED | | | | | 11000 | 11388 | + 388 | 4 | 11000 | 11000 | + 0 | 0 |
| 2. NUMBER OF BAIL REPORTS COMPLETED | | | | | 11000 | 10697 | - 303 | 3 | 11000 | 11000 | + 0 | 0 |
| 3. NUMBER OF INTAKE SCREENINGS CONDUCTED | | | | | 11000 | 11621 | + 621 | 6 | 11000 | 11000 | + 0 | 0 |
| 4. NO. OF PRETRIAL CASES PLACED ON ISC SUPERVISION | | | | | 3000 | 2424 | - 576 | 19 | 3000 | 3000 | + 0 | 0 |
| 5. NO. SENTENCED OFFENDERS PLACED ON ISC SUPERVISION | | | | | 50 | NO DATA | - 50 | 100 | 50 | NO DATA | - 50 | 100 |

VARIANCE REPORT NARRATIVE FY 2016 AND FY 2017

09 01 01 10
PSD 410

PROGRAM TITLE: INTAKE SERVICE CENTERS

PART I - EXPENDITURES AND POSITIONS

FY 2016: The position variance is due to employee turnover and recruitment difficulties.

The expenditure variance is due to the program operating within the available revenues generated in the fiscal year. The budgeted amount is an expenditure ceiling.

FY 2017: The 1st quarter position variance is due to employee turnover and recruitment difficulties. The PSD plans to fill all positions.

No significant expenditure variance.

PART II - MEASURES OF EFFECTIVENESS

Item 3. The Community Service Restitution Program ceased after 2014 based on statutory changes.

PART III - PROGRAM TARGET GROUPS

Item 1. The variance is because of an increase in incidents where the police or courts did not release at arrest or arraignment and plea (A&P).

Item 2. The variance is because more offenders qualify for community status based on current Hawaii Paroling Authority Board and minimum sentencing schedules. Also, minimum terms are expiring.

PART IV - PROGRAM ACTIVITIES

Item 4. The number of pretrial cases placed on the Intake Service Center's (ISC) supervision is subject to the discretion of the Judiciary (Judge) as it is court ordered.

Item 5. Not applicable because ISC does not manage sentenced individuals.

STATE OF HAWAII

PROGRAM TITLE:

PROGRAM-ID:

PROGRAM STRUCTURE NO: 09010111

CORRECTIONS PROGRAM SERVICES

PSD-420

VARIANCE REPORT

REPORT V61

12/14/16

| | FISCAL YEAR 2015-16 | | | | THREE MONTHS ENDED 09-30-16 | | | | NINE MONTHS ENDING 06-30-17 | | | |
|--|---------------------|--------|----------|------|-----------------------------|--------|----------|----|-----------------------------|-----------|----------|---|
| | BUDGETED | ACTUAL | ± CHANGE | % | BUDGETED | ACTUAL | ± CHANGE | % | BUDGETED | ESTIMATED | ± CHANGE | % |
| PART I: EXPENDITURES & POSITIONS | | | | | | | | | | | | |
| RESEARCH & DEVELOPMENT COSTS | | | | | | | | | | | | |
| POSITIONS | | | | | | | | | | | | |
| EXPENDITURES (\$1,000's) | | | | | | | | | | | | |
| OPERATING COSTS | | | | | | | | | | | | |
| POSITIONS | 170.00 | 145.00 | - 25.00 | 15 | 170.00 | 146.00 | - 24.00 | 14 | 170.00 | 170.00 | + 0.00 | 0 |
| EXPENDITURES (\$1000's) | 23,219 | 22,043 | - 1,176 | 5 | 5,543 | 5,543 | + 0 | 0 | 18,408 | 18,408 | + 0 | 0 |
| TOTAL COSTS | | | | | | | | | | | | |
| POSITIONS | 170.00 | 145.00 | - 25.00 | 15 | 170.00 | 146.00 | - 24.00 | 14 | 170.00 | 170.00 | + 0.00 | 0 |
| EXPENDITURES (\$1000's) | 23,219 | 22,043 | - 1,176 | 5 | 5,543 | 5,543 | + 0 | 0 | 18,408 | 18,408 | + 0 | 0 |
| | | | | | FISCAL YEAR 2015-16 | | | | FISCAL YEAR 2016-17 | | | |
| | | | | | PLANNED | ACTUAL | ± CHANGE | % | PLANNED | ESTIMATED | ± CHANGE | % |
| PART II: MEASURES OF EFFECTIVENESS | | | | | | | | | | | | |
| 1. % OF SEX OFFENDERS COMPLETING SEX OFFENDER TREATME | 10 | 10 | + | 0 | 0 | 10 | 10 | + | 0 | 0 | 0 | 0 |
| 2. % OF SEX OFFENDERS WHO TESTED POSITIVE ON URINALYS | 5 | 5 | + | 0 | 0 | 5 | 5 | + | 0 | 0 | 0 | 0 |
| 3. PERCENT OF INMATES COMPLETING ACADEMIC PROGRAMS | 30 | 52 | + | 22 | 73 | 30 | 50 | + | 20 | 67 | | |
| 4. % OF INMATES COMPLETING PERSONAL IMPROVEMENT PROGS | 50 | 47 | - | 3 | 6 | 50 | 50 | + | 0 | 0 | 0 | 0 |
| 5. PERCENT OF INMATES COMPLETING VOCATIONAL PROGRAMS | 55 | 63 | + | 8 | 15 | 55 | 60 | + | 5 | 9 | | |
| 6. % OF SENTENCED FELONS COMPLETING SUBSTANCE ABUSE T | 75 | 65 | - | 10 | 13 | 75 | 75 | + | 0 | 0 | 0 | 0 |
| 7. % OF INMATES WHO PARTICIPATE IN RELIGIOUS (SPIRITU | 75 | 75 | + | 0 | 0 | 75 | 75 | + | 0 | 0 | 0 | 0 |
| 8. % MEALS SRVD MEET REQRTMS OF AMER DIETETIC ASSN | 100 | 100 | + | 0 | 0 | 100 | 100 | + | 0 | 0 | 0 | 0 |
| 9. % OF SEX OFFENDERS PARTICIPATING IN SEX OFFENDER T | 12 | 11 | - | 1 | 8 | 12 | 12 | + | 0 | 0 | 0 | 0 |
| 10. % OF SEX OFFENDERS WHO COMPLETED PROGRAMS AND RE-S | 5 | 5 | + | 0 | 0 | 5 | 5 | + | 0 | 0 | 0 | 0 |
| PART III: PROGRAM TARGET GROUP | | | | | | | | | | | | |
| 1. AVERAGE INMATE POPULATION | 4206 | 4065 | - | 141 | 3 | 4206 | 4100 | - | 106 | 3 | | |
| 2. NUMBER OF NEW INMATE ADMISSIONS | 14991 | 13583 | - | 1408 | 9 | 14991 | 14000 | - | 991 | 7 | | |
| PART IV: PROGRAM ACTIVITY | | | | | | | | | | | | |
| 1. NO. OF SENTENCED FELONS ENTERING SEX OFFENDER TREA | 50 | 8 | - | 42 | 84 | 50 | 50 | + | 0 | 0 | 0 | 0 |
| 2. NO. OF SENTENCED FELONS ADMITTED TO SUBSTANCE ABUS | 600 | 1300 | + | 700 | 117 | 600 | 1200 | + | 600 | 100 | | |
| 3. NUMBER OF URINALYSIS TESTS ADMINISTERED TO SENTENC | 12400 | 10155 | - | 2245 | 18 | 12400 | 12000 | - | 400 | 3 | | |
| 4. NO. OF INMATES PARTICPTG IN ACAD PROGS | 2220 | 2760 | + | 540 | 24 | 2220 | 2700 | + | 480 | 22 | | |
| 5. NO. INMATES PARTICIPATING IN VOCATIONAL/OJT PROGS | 800 | 1344 | + | 544 | 68 | 800 | 1200 | + | 400 | 50 | | |
| 6. NUMBER OF MEALS SERVED (PER DAY) | 13500 | 13060 | - | 440 | 3 | 13500 | 13500 | + | 0 | 0 | 0 | 0 |
| 7. NO. OF INMATES PARTICIPATING IN LIBRARY PROGRAMS | 29000 | 29100 | + | 100 | 0 | 29000 | 29400 | + | 400 | 1 | | |
| 8. # INMATES WHO ATTND RELIG SVCS/COUNS/STUDY CLASSES | 13200 | 13300 | + | 100 | 1 | 13200 | 13350 | + | 150 | 1 | | |
| 9. NUMBER OF VOLUNTEER HOURS PROVIDED TO INMATES | 33000 | 34000 | + | 1000 | 3 | 33000 | 37000 | + | 4000 | 12 | | |
| 10. NO. OF PRE-RELEASE RISK ASSESSMENTS CONDUCTED ON S | 55 | 50 | - | 5 | 9 | 55 | 55 | + | 0 | 0 | 0 | 0 |

VARIANCE REPORT NARRATIVE FY 2016 AND FY 2017

09 01 01 11
PSD 420

PROGRAM TITLE: CORRECTIONS PROGRAM SERVICES

PART I - EXPENDITURES AND POSITIONS

FY 2016: The position variance is due to employee turnover and recruitment difficulties.

The expenditure variance is due to collective bargaining augmentation and savings in contract deferrals.

FY 2017: The 1st quarter position variance is due to employee turnover and recruitment difficulties. PSD plans to fill all positions.

PART II - MEASURES OF EFFECTIVENESS

Item 3. The variance is because of an increase in the number of academic classes offered. This is a result of three new hires as well as three teachers hired by the Department of Education (DOE) through the Adult Education and Family Literacy Act (AEFLA) funds.

Item 5. The variance is due to the Education Branch's continued focus on career and technical education (CTE) so that inmates will develop skills that will help them become more employable.

Item 6. The variance is because of increased vacancies.

PART III - PROGRAM TARGET GROUPS

No significant variance.

PART IV - PROGRAM ACTIVITIES

Item 1. The variance is due to the retirement of the Sex Offender Treatment Program contractor.

Item 2. The variance is because of the implementation of "gap services" programming. A new immunoassay testing method was implemented. The increased accuracy of the new technology has led to an increased number of urinalysis. This has resulted in increased admissions to

substance abuse programming.

Item 3. The variance is due to the implementation of new urinalysis technology. Contract delays and training to use the new technology led to a decrease in the number of tests administered.

Item 4. The variance is because of an increase in the number of academic classes offered. This is a result of three new hires as well as three teachers hired by the DOE through the AEFLA funds.

Item 5. The variance is due to the Corrections Program Services' implementation of new CTE classes, including: a Culinary Program at Maui Community Correctional Center (MCCC), Business Application of Computers at the Women's Community Correctional Center, Food Safety and Sanitation Certification at MCCC and Kulani Correctional Facility (KCF), and Forklift Operator Training at KCF.

STATE OF HAWAII

PROGRAM TITLE:

PROGRAM-ID:

PROGRAM STRUCTURE NO: 09010112

HEALTH CARE

PSD-421

VARIANCE REPORT

REPORT V61

12/14/16

| | FISCAL YEAR 2015-16 | | | | THREE MONTHS ENDED 09-30-16 | | | | NINE MONTHS ENDING 06-30-17 | | | |
|--|---------------------|--------|----------|----|-----------------------------|--------|----------|----|-----------------------------|-----------|----------|----|
| | BUDGETED | ACTUAL | ± CHANGE | % | BUDGETED | ACTUAL | ± CHANGE | % | BUDGETED | ESTIMATED | ± CHANGE | % |
| PART I: EXPENDITURES & POSITIONS | | | | | | | | | | | | |
| RESEARCH & DEVELOPMENT COSTS | | | | | | | | | | | | |
| POSITIONS | | | | | | | | | | | | |
| EXPENDITURES (\$1,000's) | | | | | | | | | | | | |
| OPERATING COSTS | | | | | | | | | | | | |
| POSITIONS | 209.10 | 155.10 | - 54.00 | 26 | 207.10 | 162.10 | - 45.00 | 22 | 207.10 | 207.10 | + 0.00 | 0 |
| EXPENDITURES (\$1000's) | 23,881 | 24,543 | + 662 | 3 | 5,532 | 5,532 | + 0 | 0 | 18,907 | 18,907 | + 0 | 0 |
| TOTAL COSTS | | | | | | | | | | | | |
| POSITIONS | 209.10 | 155.10 | - 54.00 | 26 | 207.10 | 162.10 | - 45.00 | 22 | 207.10 | 207.10 | + 0.00 | 0 |
| EXPENDITURES (\$1000's) | 23,881 | 24,543 | + 662 | 3 | 5,532 | 5,532 | + 0 | 0 | 18,907 | 18,907 | + 0 | 0 |
| | | | | | FISCAL YEAR 2015-16 | | | | FISCAL YEAR 2016-17 | | | |
| | | | | | PLANNED | ACTUAL | ± CHANGE | % | PLANNED | ESTIMATED | ± CHANGE | % |
| PART II: MEASURES OF EFFECTIVENESS | | | | | | | | | | | | |
| 1. PERCENT OF OFFENDERS RECEIVING CLINICAL SERVICES | | | | | 100 | 100 | + 0 | 0 | 100 | 100 | + 0 | 0 |
| 2. % OF OFFENDERS RECEIVING MENTAL HEALTH SERVICES | | | | | 100 | 100 | + 0 | 0 | 100 | 100 | + 0 | 0 |
| 3. PERCENT OF OFFENDERS RECEIVING DENTAL SERVICES | | | | | 100 | 100 | + 0 | 0 | 100 | 100 | + 0 | 0 |
| 4. PERCENT OF OFFENDERS RECEIVING CHRONIC CARE SVCS | | | | | 13 | 13 | + 0 | 0 | 13 | 13 | + 0 | 0 |
| 5. % OF OFFENDERS RECEIVING OUT-OF-FACILITY SERVICES | | | | | 9 | 9 | + 0 | 0 | 9 | 9 | + 0 | 0 |
| PART III: PROGRAM TARGET GROUP | | | | | | | | | | | | |
| 1. AVERAGE FACILITY POPULATION | | | | | 4206 | 4065 | - 141 | 3 | 4206 | 4100 | - 106 | 3 |
| PART IV: PROGRAM ACTIVITY | | | | | | | | | | | | |
| 1. NUMBER OF MEDICAL PROVIDER ENCOUNTERS | | | | | 9500 | 7923 | - 1577 | 17 | 9500 | 8450 | - 1050 | 11 |
| 2. NUMBER OF PSYCHIATRIC ENCOUNTERS | | | | | 80000 | 52171 | - 27829 | 35 | 80000 | 55000 | - 25000 | 31 |
| 3. NUMBER OF NURSING ENCOUNTERS | | | | | 175000 | 163399 | - 11601 | 7 | 175000 | 165000 | - 10000 | 6 |
| 4. NUMBER OF DENTAL ENCOUNTERS | | | | | 8900 | 7743 | - 1157 | 13 | 8900 | 7700 | - 1200 | 13 |
| 5. NUMBER OF CHRONIC CARE ENCOUNTERS | | | | | 2500 | 1829 | - 671 | 27 | 2500 | 1900 | - 600 | 24 |
| 6. NUMBER OF OFFENDERS ADMITTED TO INFIRMARIES | | | | | 1500 | 1527 | + 27 | 2 | 1500 | 1550 | + 50 | 3 |
| 7. NUMBER OF HOSPITAL ADMISSIONS | | | | | 250 | 232 | - 18 | 7 | 250 | 250 | + 0 | 0 |
| 8. NUMBER OF OFFENDERS RECEIVING COMPLEX CARE | | | | | 560 | 757 | + 197 | 35 | 560 | 700 | + 140 | 25 |
| 9. # OFFENDERS REC'NG TRSFR SCREENING/DISCHRG SUMMRS | | | | | 15000 | 15000 | + 0 | 0 | 15000 | 13000 | - 2000 | 13 |

VARIANCE REPORT NARRATIVE FY 2016 AND FY 2017

09 01 01 12
PSD 421

PROGRAM TITLE: HEALTH CARE

PART I - EXPENDITURES AND POSITIONS

FY 2016: The position variance is due to employee turnover and recruitment difficulties.

The expenditure variance is due to collective bargaining augmentation, and the transfer of funds due to critical payroll shortfall.

FY 2017: The 1st quarter position variance is due to employee turnover and recruitment difficulties. PSD plans to fill all positions.

PART II - MEASURES OF EFFECTIVENESS

No significant variance.

PART III - PROGRAM TARGET GROUPS

No significant variance.

PART IV - PROGRAM ACTIVITIES

Item 1. The variance of -17% is a result of physician vacancies.

Item 2. The variance of -35% is a result of psychiatrist, psychologist, and psychiatric social worker staff vacancies.

Item 4. The variance of -13% is a result of decreased dental services hours.

Item 5. The variance of -27% is due to physician staffing vacancies.

Item 8. The variance of 35% is due to an increased demand for out-of-facility specialty care.

STATE OF HAWAII

PROGRAM TITLE:

PROGRAM-ID:

PROGRAM STRUCTURE NO: 09010113

HAWAII CORRECTIONAL INDUSTRIES

PSD-422

VARIANCE REPORT

REPORT V61

12/14/16

| | FISCAL YEAR 2015-16 | | | | THREE MONTHS ENDED 09-30-16 | | | | NINE MONTHS ENDING 06-30-17 | | | |
|--|---------------------|--------|----------|----|-----------------------------|---------|----------|-----|-----------------------------|-----------|----------|-----|
| | BUDGETED | ACTUAL | ± CHANGE | % | BUDGETED | ACTUAL | ± CHANGE | % | BUDGETED | ESTIMATED | ± CHANGE | % |
| PART I: EXPENDITURES & POSITIONS | | | | | | | | | | | | |
| RESEARCH & DEVELOPMENT COSTS | | | | | | | | | | | | |
| POSITIONS | | | | | | | | | | | | |
| EXPENDITURES (\$1,000's) | | | | | | | | | | | | |
| OPERATING COSTS | | | | | | | | | | | | |
| POSITIONS | 2.00 | 2.00 | + 0.00 | 0 | 2.00 | 2.00 | + 0.00 | 0 | 2.00 | 2.00 | + 0.00 | 0 |
| EXPENDITURES (\$1000's) | 10,286 | 6,749 | - 3,537 | 34 | 1,828 | 1,828 | + 0 | 0 | 8,324 | 8,324 | + 0 | 0 |
| TOTAL COSTS | | | | | | | | | | | | |
| POSITIONS | 2.00 | 2.00 | + 0.00 | 0 | 2.00 | 2.00 | + 0.00 | 0 | 2.00 | 2.00 | + 0.00 | 0 |
| EXPENDITURES (\$1000's) | 10,286 | 6,749 | - 3,537 | 34 | 1,828 | 1,828 | + 0 | 0 | 8,324 | 8,324 | + 0 | 0 |
| | | | | | FISCAL YEAR 2015-16 | | | | FISCAL YEAR 2016-17 | | | |
| | | | | | PLANNED | ACTUAL | ± CHANGE | % | PLANNED | ESTIMATED | ± CHANGE | % |
| PART II: MEASURES OF EFFECTIVENESS | | | | | | | | | | | | |
| 1. PERCENT OF INMATES EMPLOYED AT STATE FACILITIES | | | | | 6 | 7 | + 1 | 17 | 6 | 7 | + 1 | 17 |
| 2. % OF INMATES EMPLOYED AT THE FEDERAL DETENTION CTR | | | | | 0 | 0 | + 0 | 0 | 0 | 0 | + 0 | 0 |
| 3. PERCENT OF INMATES EMPLOYED IN SKILLED TRADES | | | | | 15 | 20 | + 5 | 33 | 15 | 20 | + 5 | 33 |
| 4. AMOUNT OF REVENUES GENERATED | | | | | 6000000 | 5400000 | - 600000 | 10 | 6000000 | 5500000 | - 500000 | 8 |
| 5. % OF INMATES ON JOINT VENTURE JOBS | | | | | 15 | 0 | - 15 | 100 | 15 | 0 | - 15 | 100 |
| PART III: PROGRAM TARGET GROUP | | | | | | | | | | | | |
| 1. AVERAGE NUMBER OF INMATES IN ALL STATE FACILITIES | | | | | 4206 | 4065 | - 141 | 3 | 4206 | 4100 | - 106 | 3 |
| 2. AVERAGE NUMBER OF INMATES IN THE FED DETENTION CTR | | | | | 250 | 217 | - 33 | 13 | 250 | 220 | - 30 | 12 |
| 3. AVE NO. INMATES IN OUT-OF-STATE CONTRACTED FACIL | | | | | 1300 | 1372 | + 72 | 6 | 1300 | 1300 | + 0 | 0 |
| PART IV: PROGRAM ACTIVITY | | | | | | | | | | | | |
| 1. NUMBER OF PRODUCTION SITES | | | | | 15 | 15 | + 0 | 0 | 15 | 15 | + 0 | 0 |
| 2. NUMBER OF INMATE APPLICATIONS RECEIVED | | | | | 700 | 900 | + 200 | 29 | 700 | 900 | + 200 | 29 |
| 3. NUMBER OF INMATES INTERVIEWED | | | | | 400 | 600 | + 200 | 50 | 400 | 600 | + 200 | 50 |
| 4. NUMBER OF INMATES EMPLOYED | | | | | 500 | 300 | - 200 | 40 | 500 | 300 | - 200 | 40 |
| 5. NUMBER OF POSITIONS IN SKILLED TRADES | | | | | 75 | 60 | - 15 | 20 | 75 | 60 | - 15 | 20 |
| 6. NUMBER OF INMATE WORK HOURS | | | | | 100000 | 180000 | + 80000 | 80 | 100000 | 180000 | + 80000 | 80 |
| 7. # INMATE WORK HRS LOST DUE TO FACIL LOCKDOWNS/OTHER | | | | | 25000 | NO DATA | - 25000 | 100 | 25000 | NO DATA | - 25000 | 100 |

VARIANCE REPORT NARRATIVE FY 2016 AND FY 2017

09 01 01 13
PSD 422

PROGRAM TITLE: HAWAII CORRECTIONAL INDUSTRIES

PART I - EXPENDITURES AND POSITIONS

FY 2016: The expenditure variance is due to the program operating within the available revenues generated in the fiscal year. The budgeted amount is an expenditure ceiling.

PART II - MEASURES OF EFFECTIVENESS

Item 1. The variance is due to an increased number of inmates eligible to be employed.

Item 3. The variance is due to an increased percent of applications received to work in skilled trade positions.

Item 4. The variance is due an overestimated amount of revenue generated for the fiscal year.

Item 5. The variance is due to no joint ventures planned or in process during the past fiscal year. Hawaii Correctional Industries (HCI) plans to amend the joint venture process regulations in statute to encourage Hawaii small businesses to participate.

PART III - PROGRAM TARGET GROUPS

Item 2. The variance is because of an overestimation of the number of inmates at the Federal Detention Center based on operational capacity.

PART IV - PROGRAM ACTIVITIES

Item 2. The variance is due to HCI encouraging eligible inmates to submit their applications for employment.

Item 3. The variance is due to an increased number of employment applications received, which resulted in an increase number of inmates being interviewed.

Item 4. The variance in the number of inmates employed is due to inmates not being retained for a long period of time.

Item 5. The variance decreased due to a lesser amount of inmates being employed in skilled positions.

Item 6. The variance in the increased number of inmate work hours is because a concerted effort has been made to increase the number of productive time spent working, with great success.

Item 7. No data available.

STATE OF HAWAII

PROGRAM TITLE:

PROGRAM-ID:

PROGRAM STRUCTURE NO: 09010114

NON-STATE FACILITIES

PSD-808

VARIANCE REPORT

REPORT V61

12/14/16

| PART I: EXPENDITURES & POSITIONS RESEARCH & DEVELOPMENT COSTS POSITIONS EXPENDITURES (\$1,000's) | FISCAL YEAR 2015-16 | | | | THREE MONTHS ENDED 09-30-16 | | | | NINE MONTHS ENDING 06-30-17 | | | |
|---|---------------------|----------------|-----------------|---------|-----------------------------|----------------|---------------|---------|-----------------------------|----------------|---------------|--------|
| | BUDGETED | ACTUAL | ± CHANGE | % | BUDGETED | ACTUAL | ± CHANGE | % | BUDGETED | ESTIMATED | ± CHANGE | % |
| | | | | | | | | | | | | |
| | | | | | | | | | | | | |
| | | | | | | | | | | | | |
| OPERATING COSTS POSITIONS EXPENDITURES (\$1000's) | 9.00 47,662 | 8.00 47,366 | - 1.00 - 296 | 11 1 | 9.00 10,327 | 8.00 10,327 | - 1.00 + 0 | 11 0 | 9.00 40,094 | 9.00 40,094 | + 0.00 + 0 | 0 0 |
| TOTAL COSTS POSITIONS EXPENDITURES (\$1000's) | 9.00 47,662 | 8.00 47,366 | - 1.00 - 296 | 11 1 | 9.00 10,327 | 8.00 10,327 | - 1.00 + 0 | 11 0 | 9.00 40,094 | 9.00 40,094 | + 0.00 + 0 | 0 0 |
| | | | | | FISCAL YEAR 2015-16 | | | | FISCAL YEAR 2016-17 | | | |
| | | | | | PLANNED | ACTUAL | ± CHANGE | % | PLANNED | ESTIMATED | ± CHANGE | % |
| PART II: MEASURES OF EFFECTIVENESS | | | | | | | | | | | | |
| 1. % OF RELCLASSIFICATIONS RESULTING IN REDUCED CUSTOD | | | | | 30 | 17 | - 13 | 43 | 30 | 15 | - 15 | 50 |
| 2. NUMBER OF ESCAPES AS DEFINED BY SEC. 710-1020, HRS | | | | | 0 | 0 | + 0 | 0 | 0 | 0 | + 0 | 0 |
| 3. NUMBER OF ESCAPES AS DEFINED BY SEC. 710-1021, HRS | | | | | 0 | 0 | + 0 | 0 | 0 | 0 | + 0 | 0 |
| 4. NUMBER OF INMATES RECEIVING SANCTIONS | | | | | 1500 | 343 | - 1157 | 77 | 1500 | 350 | - 1150 | 77 |
| 5. AVG % OF MJR CNTRT PROV W/ OUT-STATE REQ CORR ACTN | | | | | 5 | 0 | - 5 | 100 | 5 | 1 | - 4 | 80 |
| PART III: PROGRAM TARGET GROUP | | | | | | | | | | | | |
| 1. AVERAGE NUMBER OF INMATES AT OUT-OF-STATE | | | | | 1300 | 1372 | + 72 | 6 | 1300 | 1300 | + 0 | 0 |
| 2. AVERAGE NUMBER OF INMATES AT THE FEDERAL DETEN | | | | | 250 | 217 | - 33 | 13 | 250 | 220 | - 30 | 12 |
| PART IV: PROGRAM ACTIVITY | | | | | | | | | | | | |
| 1. NUMBER OF INMATE GRIEVANCES FILED | | | | | 250 | 232 | - 18 | 7 | 250 | 250 | + 0 | 0 |
| 2. AVERAGE NUMBER OF MAJOR CONTRACT | | | | | 250 | 268 | + 18 | 7 | 250 | 268 | + 18 | 7 |
| 3. NO. OF RECLASSIFICATION COMPLETED | | | | | 1250 | 1429 | + 179 | 14 | 1250 | 1600 | + 350 | 28 |

VARIANCE REPORT NARRATIVE FY 2016 AND FY 2017

09 01 01 14
PSD 808

PROGRAM TITLE: NON-STATE FACILITIES

PART I - EXPENDITURES AND POSITIONS

FY 2016: The position variance is due to employee turnover.

The expenditure variance is due to delays in filling vacancy and delays in operating expenditures.

FY 2017: The 1st quarter position variance is due to employee turnover and recruitment difficulties. PSD plans to fill all positions.

PART II - MEASURES OF EFFECTIVENESS

Item 1. The variance is because Corrections Corporation of America, Saguaro Correctional Center (CCA/Saguaro Facility) holds long-term inmates (sentences longer than five years), resulting in custody level remaining the same level.

Item 4. The variance is because CCA/Saguaro Facility staff remains vigilant in preventing high/greatest misconducts from occurring. Most misconducts are in the lower category.

Item 5. The variance is because PSD has contracted an on-site monitor at the Saguaro Facility to ensure the facility keeps in compliance to the provisions of the contract.

PART III - PROGRAM TARGET GROUPS

Item 2. The variance is due to an overestimation of the number of inmates at the Federal Detention Center based on operational capacity.

PART IV - PROGRAM ACTIVITIES

Item 3. The variance is because the average number of inmates at the CCA/Saguaro facility has increased from FY 15 (1336 average) to FY 16 (average 1386).

STATE OF HAWAII
PROGRAM TITLE: ENFORCEMENT
PROGRAM-ID:
PROGRAM STRUCTURE NO: 090102

VARIANCE REPORT

REPORT V61
12/14/16

| | FISCAL YEAR 2015-16 | | | | THREE MONTHS ENDED 09-30-16 | | | | NINE MONTHS ENDING 06-30-17 | | | |
|------------------------------------|---------------------|--------|----------|---|-----------------------------|--------|----------|---|-----------------------------|-----------|----------|---|
| | BUDGETED | ACTUAL | ± CHANGE | % | BUDGETED | ACTUAL | ± CHANGE | % | BUDGETED | ESTIMATED | ± CHANGE | % |
| PART I: EXPENDITURES & POSITIONS | | | | | | | | | | | | |
| RESEARCH & DEVELOPMENT COSTS | | | | | | | | | | | | |
| POSITIONS | | | | | | | | | | | | |
| EXPENDITURES (\$1,000's) | | | | | | | | | | | | |
| OPERATING COSTS | | | | | | | | | | | | |
| POSITIONS | 390.00 | 368.00 | - 22.00 | 6 | 396.00 | 366.00 | - 30.00 | 8 | 396.00 | 396.00 | + 0.00 | 0 |
| EXPENDITURES (\$1000's) | 23,738 | 22,641 | - 1,097 | 5 | 6,239 | 6,239 | + 0 | 0 | 17,787 | 17,787 | + 0 | 0 |
| TOTAL COSTS | | | | | | | | | | | | |
| POSITIONS | 390.00 | 368.00 | - 22.00 | 6 | 396.00 | 366.00 | - 30.00 | 8 | 396.00 | 396.00 | + 0.00 | 0 |
| EXPENDITURES (\$1000's) | 23,738 | 22,641 | - 1,097 | 5 | 6,239 | 6,239 | + 0 | 0 | 17,787 | 17,787 | + 0 | 0 |
| | | | | | FISCAL YEAR 2015-16 | | | | FISCAL YEAR 2016-17 | | | |
| | | | | | PLANNED | ACTUAL | ± CHANGE | % | PLANNED | ESTIMATED | ± CHANGE | % |
| PART II: MEASURES OF EFFECTIVENESS | | | | | | | | | | | | |
| 1. NUMBER OF NEW ARRESTS MADE | | | | | 4200 | 4149 | - 51 | 1 | 4200 | 4200 | + 0 | 0 |

Intermediate Level Program
No Narrative
(See Lowest Level Programs for Explanation of Variances)

STATE OF HAWAII

PROGRAM TITLE:

PROGRAM-ID:

PROGRAM STRUCTURE NO:

NARCOTICS ENFORCEMENT

PSD-502

09010202

VARIANCE REPORT

REPORT V61

12/14/16

| | FISCAL YEAR 2015-16 | | | | | THREE MONTHS ENDED 09-30-16 | | | | | NINE MONTHS ENDING 06-30-17 | | | | |
|----------------------------------|---------------------|--------|----------|------|----|-----------------------------|--------|----------|------|----|-----------------------------|-----------|----------|------|---|
| | BUDGETED | ACTUAL | ± CHANGE | | % | BUDGETED | ACTUAL | ± CHANGE | | % | BUDGETED | ESTIMATED | ± CHANGE | | % |
| PART I: EXPENDITURES & POSITIONS | | | | | | | | | | | | | | | |
| RESEARCH & DEVELOPMENT COSTS | | | | | | | | | | | | | | | |
| POSITIONS | | | | | | | | | | | | | | | |
| EXPENDITURES (\$1,000's) | | | | | | | | | | | | | | | |
| OPERATING COSTS | | | | | | | | | | | | | | | |
| POSITIONS | 21.00 | 16.00 | - | 5.00 | 24 | 21.00 | 16.00 | - | 5.00 | 24 | 21.00 | 21.00 | + | 0.00 | 0 |
| EXPENDITURES (\$1000's) | 2,156 | 1,750 | - | 406 | 19 | 426 | 426 | + | 0 | 0 | 1,768 | 1,768 | + | 0 | 0 |
| TOTAL COSTS | | | | | | | | | | | | | | | |
| POSITIONS | 21.00 | 16.00 | - | 5.00 | 24 | 21.00 | 16.00 | - | 5.00 | 24 | 21.00 | 21.00 | + | 0.00 | 0 |
| EXPENDITURES (\$1000's) | 2,156 | 1,750 | - | 406 | 19 | 426 | 426 | + | 0 | 0 | 1,768 | 1,768 | + | 0 | 0 |

| | FISCAL YEAR 2015-16 | | | | FISCAL YEAR 2016-17 | | | | | |
|---|---------------------|--------|----------|---|---------------------|-----------|----------|---|---|----|
| | PLANNED | ACTUAL | ± CHANGE | % | PLANNED | ESTIMATED | ± CHANGE | % | | |
| PART II: MEASURES OF EFFECTIVENESS | | | | | | | | | | |
| 1. % CERTIFICATES/PERMITS ISSUED WITHOUT COMPLAINT | 97 | 97 | + | 0 | 0 | 97 | 97 | + | 0 | 0 |
| 2. % OF CASES THAT RESULTED IN SUCCESSFUL PROSECUTION | 90 | 90 | + | 0 | 0 | 90 | 95 | + | 5 | 6 |
| 3. % OF CASES RELEASED PENDING FUTHER INVESTIGATION | 25 | 24 | - | 1 | 4 | 25 | 25 | + | 0 | 0 |
| 4. % CASES CONFERRED/ACCEPTED BY PROSECUTING AGENCIES | 97 | 100 | + | 3 | 3 | 97 | 97 | + | 0 | 0 |
| 5. % CASES CONFERRED/DECLINED BY PROSECUTING AGENCIES | 3 | 1 | - | 2 | 67 | 3 | 3 | + | 0 | 0 |
| 6. PERCENT OF CASES REFERRED TO FEDERAL AGENCIES | NO DATA | 4 | + | 4 | 0 | 4 | 3 | - | 1 | 25 |
| 7. % CRIMINL CASES RSLTD IN ASSET FORFT/RECVD BY DEPT | 3 | 1 | - | 2 | 67 | 3 | 3 | + | 0 | 0 |
| 8. % CASES INVESTIGTD & RESOLVD W/OUT CRIMINAL ACTION | 65 | 71 | + | 6 | 9 | 65 | 65 | + | 0 | 0 |
| 9. % OF DRUG EDUCATION OR TRAINING SESSIONS CONDUCTED | 95 | 93 | - | 2 | 2 | 95 | 95 | + | 0 | 0 |
| 10. % INQUIRIES MADE ON ELECTRONIC RX MONITRNG PRGM | 99 | 100 | + | 1 | 1 | 99 | 99 | + | 0 | 0 |

| | | | | | | | | | | | |
|--------------------------------|--|------|------|---|------|-----|------|------|---|------|-----|
| PART III: PROGRAM TARGET GROUP | | | | | | | | | | | |
| 1. | NUMBER OF CONTROLLED SUBSTANCE REGISTRANTS | 5800 | 7099 | + | 1299 | 22 | 5800 | 7000 | + | 1200 | 21 |
| 2. | NUMBER OF REGULATED CHEMICAL REGISTRANTS | 29 | 32 | + | 3 | 10 | 29 | 29 | + | 0 | 0 |
| 3. | NUMBER OF MEDICAL USE OF MARIJUANA PATIENTS | 1300 | 0 | - | 1300 | 100 | 1300 | 0 | - | 1300 | 100 |
| 4. | NUMBER OF MEDICAL USE OF MARIJUANA CAREGIVERS | 1500 | 0 | - | 1500 | 100 | 1500 | 0 | - | 1500 | 100 |
| 5. | NO. PHYSICNS PARTICPTG IN MED USE OF MARIJUANA PRG | 95 | 0 | - | 95 | 100 | 95 | 0 | - | 95 | 100 |

| | | | | | | | | | | | |
|---------------------------|---|---------|---------|---|-------|-----|---------|---------|---|-------|-----|
| PART IV: PROGRAM ACTIVITY | | | | | | | | | | | |
| 1. | # CONTR SUBS/REG CHM/ORAL/MJ RGSTRNS/PRMTS PRCSSD | 20500 | 8000 | - | 12500 | 61 | 20500 | 8000 | - | 12500 | 61 |
| 2. | TOTAL NO. CASES THAT RESULTED IN SUCCESSFUL PROSEC | 25 | 35 | + | 10 | 40 | 25 | 25 | + | 0 | 0 |
| 3. | TOTAL NO. CASES CONFERRD/ACCEPTD BY PROSECUTG AGEN | 100 | 96 | - | 4 | 4 | 100 | 100 | + | 0 | 0 |
| 4. | TOTAL NO. CASES REFERRD/DECLND BY PROSECUTNG AGENC | 3 | 1 | - | 2 | 67 | 3 | 3 | + | 0 | 0 |
| 5. | TOTAL NUMBER OF CASES REFERRED TO FEDERAL AGENCIES | 35 | 21 | - | 14 | 40 | 35 | 25 | - | 10 | 29 |
| 6. | NO. CASES INVESTGTD FROM HIA/CORR FACILITIES/OTHER | 900 | 810 | - | 90 | 10 | 900 | 850 | - | 50 | 6 |
| 7. | NUMBER OF REGULATORY ACTIONS TAKEN | 200 | 165 | - | 35 | 18 | 200 | 200 | + | 0 | 0 |
| 8. | NO. OF EDUCATIONAL AND TRAINING SESSIONS CONDUCTED | 60 | 56 | - | 4 | 7 | 60 | 55 | - | 5 | 8 |
| 9. | NO. OF FORENSIC DRUG ANALYSIS CONDUCTED BY NED LAB | 900 | 2041 | + | 1141 | 127 | 900 | 2000 | + | 1100 | 122 |
| 10. | # CNTRLLED SUBS RX PROCSSD BY ELECTNC RX MONITR PRG | 1500000 | 1579588 | + | 79588 | 5 | 1500000 | 1500000 | + | 0 | 0 |

VARIANCE REPORT NARRATIVE FY 2016 AND FY 2017

09 01 02 02
PSD 502

PROGRAM TITLE: NARCOTICS ENFORCEMENT

PART I - EXPENDITURES AND POSITIONS

FY 2016: The position variance is due to the program operating within the available revenues generated in the fiscal year.

The expenditure variance is due to the program operating within the available revenues generated in the fiscal year. The budgeted amount is an expenditure ceiling.

FY 2017: The 1st quarter position variance is due to employee turnover and recruitment difficulties. The PSD plans to fill all positions.

PART II - MEASURES OF EFFECTIVENESS

Item 5. The variance is due to the prosecuting agencies accepting all cases presented to them by the Narcotics Enforcement Division (NED), who has made an effort to provide adequate identification of suspects and perform proper search and seizure.

Item 7. The variance is due to an overestimation of the percent of criminal cases that resulted in asset forfeiture and received by the Department.

PART III - PROGRAM TARGET GROUPS

Item 1. The variance is due to physician assistants and advanced practice registered nurses being employed by the health care system and in need of a controlled substance registration.

Item 2. The variance is due to an increase in out-of-state distributors obtaining regulated chemical registrant permits.

Item 3. The variance is because oversight of the medical marijuana (MM) program has been transferred to the Department of Health (DOH).

Item 4. The variance is because oversight of the MM program has been transferred to the DOH.

Item 5. The variance is because oversight of the MM program has been transferred to the DOH.

PART IV - PROGRAM ACTIVITIES

Item 1. The variance is due to the Medical Marijuana Patient Registrations not being removed from the FY 16 projections. The program was moved to the DOH January 1, 2014. Projections for FY 17 represent projected Controlled Substance Program registrations only.

Item 2. The variance is due to the county prosecutor's office resolving all investigation with criminal prosecution on NED's criminal cases, resulting in the increased number of successful prosecution.

Item 4. The variance is due to the prosecuting agencies accepting all cases presented to them by NED, who has made an effort to provide adequate identification of suspects, and perform proper search and seizure.

Item 5. The variance is due to an overestimation of the number of cases referred to the federal agency.

Item 6. The variance is due to an overestimation of the number of cases investigated from Honolulu International Airport and from the correctional facilities.

Item 7. The variance is due to an overestimation of the number of regulatory actions as physicians and pharmacies are now able to access electronic databases to verify registrant status and confirm patient prescriptive history.

Item 9. The variance is due to an increased demand for drug analysis by law enforcement agencies in the State of Hawaii.

PROGRAM STRUCTURE NO:

09010203

12/14/16

| | FISCAL YEAR 2015-16 | | | | THREE MONTHS ENDED 09-30-16 | | | | NINE MONTHS ENDING 06-30-17 | | | |
|--|---------------------|--------|----------|---|-----------------------------|--------|----------|-----|-----------------------------|-----------|----------|---|
| | BUDGETED | ACTUAL | ± CHANGE | % | BUDGETED | ACTUAL | ± CHANGE | % | BUDGETED | ESTIMATED | ± CHANGE | % |
| PART I: EXPENDITURES & POSITIONS | | | | | | | | | | | | |
| RESEARCH & DEVELOPMENT COSTS | | | | | | | | | | | | |
| POSITIONS | | | | | | | | | | | | |
| EXPENDITURES (\$1,000's) | | | | | | | | | | | | |
| OPERATING COSTS | | | | | | | | | | | | |
| POSITIONS | 369.00 | 352.00 | - 17.00 | 5 | 375.00 | 350.00 | - 25.00 | 7 | 375.00 | 375.00 | + 0.00 | 0 |
| EXPENDITURES (\$1000's) | 21,582 | 20,891 | - 691 | 3 | 5,813 | 5,813 | + 0 | 0 | 16,019 | 16,019 | + 0 | 0 |
| TOTAL COSTS | | | | | | | | | | | | |
| POSITIONS | 369.00 | 352.00 | - 17.00 | 5 | 375.00 | 350.00 | - 25.00 | 7 | 375.00 | 375.00 | + 0.00 | 0 |
| EXPENDITURES (\$1000's) | 21,582 | 20,891 | - 691 | 3 | 5,813 | 5,813 | + 0 | 0 | 16,019 | 16,019 | + 0 | 0 |
| | | | | | FISCAL YEAR 2015-16 | | | | FISCAL YEAR 2016-17 | | | |
| | | | | | PLANNED | ACTUAL | ± CHANGE | % | PLANNED | ESTIMATED | ± CHANGE | % |
| PART II: MEASURES OF EFFECTIVENESS | | | | | | | | | | | | |
| 1. PERCENT OF GRAND JURY AND HPA WARRANTS SERVED | | | | | 75 | 43 | - 32 | 43 | 75 | 75 | + 0 | 0 |
| 2. PERCENT OF TRAFFIC WARRANTS SERVED | | | | | 0 | 0 | + 0 | 0 | 0 | 0 | + 0 | 0 |
| 3. PERCENT OF THREATS INVESTIGATED | | | | | 100 | 100 | + 0 | 0 | 100 | 100 | + 0 | 0 |
| PART III: PROGRAM TARGET GROUP | | | | | | | | | | | | |
| 1. NUMBER OF STATE DEPARTMENTS | | | | | 21 | 20 | - 1 | 5 | 21 | 20 | - 1 | 5 |
| 2. NUMBER OF STATE COURTHOUSES | | | | | 15 | 15 | + 0 | 0 | 15 | 15 | + 0 | 0 |
| 3. # PERSONS IN CUSTODY REQ DETENTN/TRANSPRT/PROCESS | | | | | 35000 | 43198 | + 8198 | 23 | 35000 | 35000 | + 0 | 0 |
| PART IV: PROGRAM ACTIVITY | | | | | | | | | | | | |
| 1. NUMBER OF SERVICE TYPE CASES | | | | | 3000 | 4708 | + 1708 | 57 | 3000 | 3000 | + 0 | 0 |
| 2. NUMBER OF CRIMINAL CASES RECEIVED | | | | | 5000 | 6120 | + 1120 | 22 | 5000 | 5000 | + 0 | 0 |
| 3. NUMBER OF ARREST INCIDENTS | | | | | 4200 | 4149 | - 51 | 1 | 4200 | 4200 | + 0 | 0 |
| 4. NO. THREATS AGNST GOVT OFFICLS/STATE GOVT EMPLOYEES | | | | | 2 | 12 | + 10 | 500 | 2 | 2 | + 0 | 0 |
| 5. NUMBER OF GRAND JURY AND HPA WARRANTS RECEIVED | | | | | 1000 | 1594 | + 594 | 59 | 1000 | 1000 | + 0 | 0 |
| 6. NO. PERSONS DETAINED IN DISTRICT & CIRCUIT COURTS | | | | | 31000 | 41574 | + 10574 | 34 | 31000 | 31000 | + 0 | 0 |
| 7. NUMBER OF CUSTODY TRANSPORTS | | | | | 4000 | 4101 | + 101 | 3 | 4000 | 4000 | + 0 | 0 |
| 8. NUMBER OF TRAFFIC WARRANTS RECEIVED | | | | | 0 | 0 | + 0 | 0 | 0 | 0 | + 0 | 0 |
| 9. NUMBER OF TRAFFIC CITATIONS ISSUED | | | | | 4200 | 3179 | - 1021 | 24 | 4200 | 4200 | + 0 | 0 |

VARIANCE REPORT NARRATIVE FY 2016 AND FY 2017

09 01 02 03
PSD 503

PROGRAM TITLE: SHERIFF

PART I - EXPENDITURES AND POSITIONS

FY 2016: Expenditure variance due to collective bargaining augmentation and decreased operational requirements.

PART II - MEASURES OF EFFECTIVENESS

Item 1. The variance is due to an underestimation of the percent of grand jury and Hawaii Paroling Authority (HPA) warrants served. In addition, unexpected operations, such as the law enforcement operation on Mauna Kea, and a very marked increase in necessary training for deputy sheriffs, temporarily shifted the duties and responsibilities of the warrant teams.

Item 2. We cannot calculate the percent of traffic warrants served because traffic warrants are not received by the Sheriff Division. The warrants are electronically generated by the Judiciary and law enforcement agencies can search eBW (Electronic Bench Warrant System).

PART III - PROGRAM TARGET GROUPS

Item 3. The variance is due to an underestimation of persons in custody requiring detention/transportation/process. This number is based upon arrests and Judicial hearings and processes.

PART IV - PROGRAM ACTIVITIES

Item 1. The variance is due to an underestimation of service type cases. These cases are based on calls from the general public.

Item 2. The variance is due to an underestimation of criminal cases received. These cases are based on criminal complaints from the general public.

Item 4. The variance is due to an underestimation of number of threats against Government officials and State government employees reported.

Item 5. The variance is due to an underestimation of the number of Grand Jury and HPA warrants received.

Item 6. The variance is due to an underestimation of person(s) detained in District and Circuit courts. This number is based upon arrests by all State and county law enforcement agencies, as well as court hearings scheduled.

Item 9. The variance is due to an overestimation of the number of traffic citations issued. Traffic citations are issued based on observed traffic violations.

STATE OF HAWAII

PROGRAM TITLE:

PROGRAM-ID:

PROGRAM STRUCTURE NO: 090103

PAROLE SUPERVISION AND COUNSELING

VARIANCE REPORT

REPORT V61

12/14/16

| | FISCAL YEAR 2015-16 | | | | | THREE MONTHS ENDED 09-30-16 | | | | NINE MONTHS ENDING 06-30-17 | | | | | |
|--|---------------------|--------|----------|-------|----|-----------------------------|--------|----------|-------|-----------------------------|-----------|----------|---|------|----|
| | BUDGETED | ACTUAL | ± CHANGE | | % | BUDGETED | ACTUAL | ± CHANGE | % | BUDGETED | ESTIMATED | ± CHANGE | % | | |
| PART I: EXPENDITURES & POSITIONS | | | | | | | | | | | | | | | |
| RESEARCH & DEVELOPMENT COSTS | | | | | | | | | | | | | | | |
| POSITIONS | | | | | | | | | | | | | | | |
| EXPENDITURES (\$1,000's) | | | | | | | | | | | | | | | |
| OPERATING COSTS | | | | | | | | | | | | | | | |
| POSITIONS | 68.00 | 55.00 | - | 13.00 | 19 | 68.00 | 57.00 | - | 11.00 | 16 | 68.00 | 68.00 | + | 0.00 | 0 |
| EXPENDITURES (\$1000's) | 4,592 | 4,162 | - | 430 | 9 | 859 | 859 | + | 0 | 0 | 3,831 | 3,831 | + | 0 | 0 |
| TOTAL COSTS | | | | | | | | | | | | | | | |
| POSITIONS | 68.00 | 55.00 | - | 13.00 | 19 | 68.00 | 57.00 | - | 11.00 | 16 | 68.00 | 68.00 | + | 0.00 | 0 |
| EXPENDITURES (\$1000's) | 4,592 | 4,162 | - | 430 | 9 | 859 | 859 | + | 0 | 0 | 3,831 | 3,831 | + | 0 | 0 |
| | | | | | | FISCAL YEAR 2015-16 | | | | FISCAL YEAR 2016-17 | | | | | |
| | | | | | | PLANNED | ACTUAL | ± CHANGE | % | PLANNED | ESTIMATED | ± CHANGE | % | | |
| PART II: MEASURES OF EFFECTIVENESS | | | | | | | | | | | | | | | |
| 1. NUMBER OF PAROLE VIOLATORS RETURNED TO PRISON | | | | | | 300 | 399 | + | 99 | 33 | 300 | 400 | + | 100 | 33 |
| 2. PERCENT OF INMATES GRANTED EARLY PAROLE RELEASE | | | | | | 5 | 5 | + | 0 | 0 | 5 | 5 | + | 0 | 0 |
| 3. AV TIME ON PAROLE BEFORE FINAL DISCHARGE (YRS) | | | | | | 6 | 5 | - | 1 | 17 | 6 | 5 | - | 1 | 17 |
| 4. UNEMPLOYMENT RATE AMONG PAROLEES | | | | | | 14 | 13 | - | 1 | 7 | 14 | 14 | + | 0 | 0 |

Intermediate Level Program
No Narrative
(See Lowest Level Programs for Explanation of Variances)

STATE OF HAWAII

VARIANCE REPORT

REPORT V61

PROGRAM TITLE: ADULT PAROLE DETERMINATIONS

PROGRAM-ID: PSD-611

12/14/16

PROGRAM STRUCTURE NO: 09010301

| PART I: EXPENDITURES & POSITIONS RESEARCH & DEVELOPMENT COSTS POSITIONS EXPENDITURES (\$1,000's) | FISCAL YEAR 2015-16 | | | | THREE MONTHS ENDED 09-30-16 | | | | NINE MONTHS ENDING 06-30-17 | | | | | | |
|---|---------------------|-------------|----------|------------|-----------------------------|-------------|-------------|--------|-----------------------------|-----------|-------------|-------------|--------|-----------|--------|
| | BUDGETED | ACTUAL | ± CHANGE | % | BUDGETED | ACTUAL | ± CHANGE | % | BUDGETED | ESTIMATED | ± CHANGE | % | | | |
| | | | | | | | | | | | | | | | |
| OPERATING COSTS POSITIONS EXPENDITURES (\$1000's) | 6.00 391 | 6.00 428 | + + | 0.00 37 | 0 9 | 6.00 110 | 6.00 110 | + + | 0.00 0 | 0 0 | 6.00 281 | 6.00 281 | + + | 0.00 0 | 0 0 |
| TOTAL COSTS POSITIONS EXPENDITURES (\$1000's) | 6.00 391 | 6.00 428 | + + | 0.00 37 | 0 9 | 6.00 110 | 6.00 110 | + + | 0.00 0 | 0 0 | 6.00 281 | 6.00 281 | + + | 0.00 0 | 0 0 |
| | | | | | FISCAL YEAR 2015-16 | | | | FISCAL YEAR 2016-17 | | | | | | |
| | | | | | PLANNED | ACTUAL | ± CHANGE | % | PLANNED | ESTIMATED | ± CHANGE | % | | | |
| PART II: MEASURES OF EFFECTIVENESS | | | | | | | | | | | | | | | |
| 1. PERCENT OF INMATES GRANTED EARLY PAROLE RELEASE | | | | | 5 | 5 | + | 0 | 0 | 5 | 5 | + | 0 | 0 | 0 |
| 2. AV LENGTH OF TIME BEFORE NEXT PAROLE RVIEW (MNTHS) | | | | | 6 | 8 | + | 2 | 33 | 6 | 6 | + | 0 | 0 | 0 |
| 3. AV TIME ON PAROLE BEFORE FINAL DISCHARGE (YRS) | | | | | 6 | 5 | - | 1 | 17 | 6 | 6 | + | 0 | 0 | 0 |
| 4. % INMATES GRANTED PAROLE AT EXPIRATN OF MIN SENTCE | | | | | 55 | 32 | - | 23 | 42 | 55 | 55 | + | 0 | 0 | 0 |
| 5. NUMBER OF PAROLE VIOLATORS RETURNED TO PRISON | | | | | 300 | 399 | + | 99 | 33 | 300 | 350 | + | 50 | 17 | 17 |
| PART III: PROGRAM TARGET GROUP | | | | | | | | | | | | | | | |
| 1. AV NO. OF SENTENCED FELONS IN STATE PRISON SYSTEM | | | | | 4182 | 4461 | + | 279 | 7 | 4182 | 4300 | + | 118 | 3 | 3 |
| 2. NUMBER OF PAROLEES UNDER HAWAII JURISDICTION | | | | | 1660 | 1479 | - | 181 | 11 | 1660 | 1600 | - | 60 | 4 | 4 |
| PART IV: PROGRAM ACTIVITY | | | | | | | | | | | | | | | |
| 1. NUMBER OF MINIMUM SENTENCES FIXED | | | | | 2000 | 1682 | - | 318 | 16 | 2000 | 1800 | - | 200 | 10 | 10 |
| 2. # PERSONS CONSIDERED FOR PAROLE AT MIN EXPRTN DATE | | | | | 2550 | 2608 | + | 58 | 2 | 2550 | 2550 | + | 0 | 0 | 0 |
| 3. NUMBER OF PAROLES GRANTED | | | | | 800 | 814 | + | 14 | 2 | 800 | 800 | + | 0 | 0 | 0 |
| 4. NUMBER OF PAROLES DENIED | | | | | 1450 | 1789 | + | 339 | 23 | 1450 | 1500 | + | 50 | 3 | 3 |
| 5. NUMBER OF PAROLES REVOKED | | | | | 300 | 336 | + | 36 | 12 | 300 | 300 | + | 0 | 0 | 0 |
| 6. NO. OF APPL FOR REDUCTN OF MIN SENTENCE CONSIDERED | | | | | 250 | 188 | - | 62 | 25 | 250 | 250 | + | 0 | 0 | 0 |
| 7. NUMBER OF PARDON APPLICATIONS CONSIDERED | | | | | 100 | 56 | - | 44 | 44 | 100 | 100 | + | 0 | 0 | 0 |
| 8. NUMBER OF PAROLEES REVIEWED FOR DISCHARGE | | | | | 160 | 125 | - | 35 | 22 | 160 | 150 | - | 10 | 6 | 6 |
| 9. NUMBER OF INFORMAL INTERVIEWS CONDUCTED | | | | | 0 | 0 | + | 0 | 0 | 0 | 0 | + | 0 | 0 | 0 |

VARIANCE REPORT NARRATIVE FY 2016 AND FY 2017

09 01 03 01
PSD 611

PROGRAM TITLE: ADULT PAROLE DETERMINATIONS

PART I - EXPENDITURES AND POSITIONS

FY 2016: No significant variance.

PART II - MEASURES OF EFFECTIVENESS

Item 2. Average Length of Time on Before Next Parole Review (Months): The average length of time before next parole review has lengthened as a result of an increase in the number of offenders that have had not yet participated in the work furlough program when appearing before the Parole Board for an initial parole consideration hearing. In addition, fewer offenders qualified for the 6-month maximum parole revocation provision of Act 139.

Item 3. Average Length of Time on Parole Before Final Discharge (Years): The average length of time on parole before final discharge has increased as a result of a great number of offenders on parole with Class B (10-year prison term) and Class A (20-year prison term), which may require longer periods of supervision to ensure the offender is completely stable, has successfully reintegrated back into the community, and no longer poses a risk to public safety. Furthermore offenders with more serious offenses usually have larger amounts of restitution, court fees, and fines to pay. Pursuant to Hawaii Paroling Authority's (HPA) Hawaii Administrative Rules, Chapter 23-700-52(e), offenders with any outstanding restitution cannot be granted an early discharge.

Item 4. Percentage of Inmates Granted Parole at the Expiration of Minimum Sentence: The percentage of inmates granted parole during their initial parole consideration hearing held prior to the expiration of their minimum term has decreased significantly as a result of HPA's misunderstanding of this question in the past and because of an increase in the number of parole denials during initial parole consideration hearing. Often times, offenders have not yet completed all Reception Assessment Diagnostics (RAD) recommended programs and/or have incurred recent serious misconducts. In addition, offenders sometimes do not submit parole plans as set forth under Section 706-670(3) and (4), leading to denial of release on parole.

Item 5. Number of Parole Violators Returned to Prison: The number of parole violators returned to prison has increased as a result of HPA's error in past reports, whereby the HPA only reported the number of parole violators returned to custody whose parole was revoked, and did not include those returned to custody, but later released prior to the parole violation hearing and/or released by the Parole Board following their parole revocation hearing. As an example, while 399 parole violators were returned to prison, only 336 violators were revoked and remained in custody after their parole violation hearing was held.

PART III - PROGRAM TARGET GROUPS

Item 2. Number of Parolees Under Hawaii Jurisdiction: The number of parolees under Hawaii jurisdiction is lower than anticipated as a result of an increase in the number of early discharges (93 for FY 2015 and 125 for FY 2016). In addition, fewer offenders from other jurisdictions applied for Interstate to Hawaii than anticipated.

PART IV - PROGRAM ACTIVITIES

Item 1. Number of Minimum Sentences Fixed: The number of minimum sentences is lower than anticipated as a result of fewer offenders being sentenced to prison by the courts and of those offenders sentenced to prison, some did not have multiple minimum terms to be set.

Item 4. Number of Paroles Denied: The number of denials is higher than anticipated as a result of an increase in the number of inmates who appeared before the Parole Board who had not yet completed all RAD recommended programs. Also, some inmates had committed serious misconducts and/or had not submitted an appropriate parole plan. HPA has seen an increase in the number of inmates who refuse to submit a parole plan.

Item 5. Number of Paroles Revoked: The number of paroles revoked is higher than anticipated as a result of HPA's error in past reports as explained in Part II (Item #5) above.

VARIANCE REPORT NARRATIVE FY 2016 AND FY 2017

09 01 03 01
PSD 611

PROGRAM TITLE: ADULT PAROLE DETERMINATIONS

Item 6. Number of Applications for Reduction of Minimum Sentences Considered: The number of applications considered was lower than anticipated as a result of fewer inmates applying for this potential reduction in their sentences. As an example, for FY 2014, HPA considered 266 applications; in FY 2015, HPA considered 212 applications; and in FY 2016, HPA considered 188 applications.

Item 7. Number of Pardon Applications Considered: The number of pardon applications considered is lower than anticipated as a result of fewer offenders applying for a pardon. As an example, during FY 2014, HPA considered 86 applications; during FY 2015, HPA considered 100 applications; and during FY 2016, HPA considered 56 applications. The number of applications fluctuates annually and the HPA has no control over the number of offenders who decide to apply for a pardon.

Item 8. Number of Parolees Reviewed and Discharged: The number of parolees reviewed for discharge is lower than anticipated for the reasons provided in Part II (Item #3) above.

STATE OF HAWAII

VARIANCE REPORT

REPORT V61

PROGRAM TITLE:

ADULT PAROLE SUPERVISION & COUNSELING

PROGRAM-ID:

PSD-612

PROGRAM STRUCTURE NO: 09010302

12/14/16

| | FISCAL YEAR 2015-16 | | | | THREE MONTHS ENDED 09-30-16 | | | | NINE MONTHS ENDING 06-30-17 | | | |
|----------------------------------|---------------------|--------|----------|----|-----------------------------|--------|----------|----|-----------------------------|-----------|----------|---|
| | BUDGETED | ACTUAL | ± CHANGE | % | BUDGETED | ACTUAL | ± CHANGE | % | BUDGETED | ESTIMATED | ± CHANGE | % |
| PART I: EXPENDITURES & POSITIONS | | | | | | | | | | | | |
| RESEARCH & DEVELOPMENT COSTS | | | | | | | | | | | | |
| POSITIONS | | | | | | | | | | | | |
| EXPENDITURES (\$1,000's) | | | | | | | | | | | | |
| OPERATING COSTS | | | | | | | | | | | | |
| POSITIONS | 62.00 | 49.00 | - 13.00 | 21 | 62.00 | 51.00 | - 11.00 | 18 | 62.00 | 62.00 | + 0.00 | 0 |
| EXPENDITURES (\$1000's) | 4,201 | 3,734 | - 467 | 11 | 749 | 749 | + 0 | 0 | 3,550 | 3,550 | + 0 | 0 |
| TOTAL COSTS | | | | | | | | | | | | |
| POSITIONS | 62.00 | 49.00 | - 13.00 | 21 | 62.00 | 51.00 | - 11.00 | 18 | 62.00 | 62.00 | + 0.00 | 0 |
| EXPENDITURES (\$1000's) | 4,201 | 3,734 | - 467 | 11 | 749 | 749 | + 0 | 0 | 3,550 | 3,550 | + 0 | 0 |

| | FISCAL YEAR 2015-16 | | | | FISCAL YEAR 2016-17 | | | |
|---|---------------------|--------|----------|----|---------------------|-----------|----------|----|
| | PLANNED | ACTUAL | ± CHANGE | % | PLANNED | ESTIMATED | ± CHANGE | % |
| PART II: MEASURES OF EFFECTIVENESS | | | | | | | | |
| 1. % RECOM RELATG TO PAROLE RELEASE ACCEPTED BY BOARD | 80 | 75 | - 5 | 6 | 80 | 80 | + 0 | 0 |
| 2. NUMBER OF PAROLE VIOLATORS RETURNED TO PRISON | 300 | 399 | + 99 | 33 | 300 | 400 | + 100 | 33 |
| 3. AMOUNT OF RESTITUTION COLLECTED | 100000 | 40735 | - 59265 | 59 | 100000 | 45000 | - 55000 | 55 |
| 4. AV TIME ON PAROLE BEFORE FINAL DISCHARGE (YEARS) | 6 | 5 | - 1 | 17 | 6 | 6 | + 0 | 0 |
| 5. UNEMPLOYMENT RATE AMONG PAROLEES | 14 | 13 | - 1 | 7 | 14 | 14 | + 0 | 0 |
| PART III: PROGRAM TARGET GROUP | | | | | | | | |
| 1. NO. OF PAROLEES IN HAWAII FROM OTHER JURISDICTIONS | 40 | 33 | - 7 | 18 | 40 | 35 | - 5 | 13 |
| 2. NO. PAROLEES UNDER HAWAII JURISDICTN OUT OF STATE | 115 | 99 | - 16 | 14 | 115 | 105 | - 10 | 9 |
| 3. NO. PAROLEES UNDER HAWAII JURISDICTN WITHIN STATE | 1680 | 1479 | - 201 | 12 | 1680 | 1500 | - 180 | 11 |
| 4. AV NO. OF SENTENCED INMATES IN STATE PRISON SYSTEM | 4182 | 5748 | + 1566 | 37 | 4182 | 5500 | + 1318 | 32 |
| PART IV: PROGRAM ACTIVITY | | | | | | | | |
| 1. NUMBER OF PREPAROLE INVESTIGATIONS CONDUCTED | 2500 | 2608 | + 108 | 4 | 2500 | 2600 | + 100 | 4 |
| 2. NUMBER OF ARREST WARRANTS ISSUED | 370 | 363 | - 7 | 2 | 370 | 370 | + 0 | 0 |
| 3. NUMBER OF PAROLE DISCHARGES RECOMMENDED | 240 | 293 | + 53 | 22 | 240 | 280 | + 40 | 17 |
| 4. NUMBER OF PARDON INVESTIGATIONS CONDUCTED | 100 | 56 | - 44 | 44 | 100 | 50 | - 50 | 50 |
| 5. NUMBER OF INTERSTATE COMPACT AGREEMENTS | 150 | 132 | - 18 | 12 | 150 | 140 | - 10 | 7 |
| 6. NUMBER OF PAROLEES UNDER SPECIALIZED SUPERVISION | 170 | 174 | + 4 | 2 | 170 | 170 | + 0 | 0 |
| 7. NUMBER OF PAROLEES UNDER INTENSIVE SUPERVISION | 45 | 41 | - 4 | 9 | 45 | 45 | + 0 | 0 |
| 8. NUMBER OF ADMINISTRATIVE HEARINGS CONDUCTED | 800 | 683 | - 117 | 15 | 800 | 700 | - 100 | 13 |

VARIANCE REPORT NARRATIVE FY 2016 AND FY 2017

09 01 03 02

PSD 612

PROGRAM TITLE: ADULT PAROLE SUPERVISION & COUNSELING

PART I - EXPENDITURES AND POSITIONS

FY 2016: The position variance is due to employee turnover and difficulty in recruitment.

The expenditure variance is due to delays in filling vacancies and delays in operating expenditures.

FY 2017: The 1st quarter position variance is due to employee turnover and recruitment difficulties. PSD plans to fill all positions.

PART II - MEASURES OF EFFECTIVENESS

Item 2. Number of Parole Violators Returned to Prison: The number of parole violators returned to prison has increased as a result of Hawaii Paroling Authority's (HPA) error in past reports, whereby the HPA only reported the number of parole violators returned to custody whose parole was revoked and did not include those returned to custody, but later released prior to the parole violation hearing and/or released by the Parole Board following their parole revocation hearing. As an example, while 399 parole violators were returned to prison, only 336 violators were revoked and remained in custody after their parole violation hearing was held.

Item 3. Amount of Restitution Collected: The amount of restitution collected significantly decreased because during the previous reporting period, several parolees made substantially large one-time payments, which in most of the cases, completely paid off the remaining balance owed.

Item 4. Average Length of Time on Parole Before Final Discharge (Years): The average length of time on parole before final charge has increased as a result of a great number of offenders on parole with Class B (10-year prison term) and Class A (20-year prison term), which may require longer periods of supervision to ensure the offender is completely stable, has successfully reintegrated back into the community, and no longer poses, a risk to public safety. Furthermore, offenders with more

serious offenses usually have larger amounts of restitution, court fees, and fines to pay. Pursuant to HPA's Hawaii Administrative Rules, Chapter 23-700-52(e), offenders with any outstanding restitution cannot be granted an early discharge.

PART III - PROGRAM TARGET GROUPS

Item 1. Number of Parolees in Hawaii From Other Jurisdictions: The number of parolees from other jurisdictions is less than anticipated as a result of HPA receiving fewer Interstate Compact applications from offenders from other jurisdictions. There could be several reasons for a decline, including the cost of living in Hawaii vs. other States, lack of a family support system in Hawaii, etc.

Item 2. Number of Parolees Under Hawaii Jurisdiction Out of State: The number of parolees under Hawaii jurisdiction being supervised out of State is lower than anticipated as a result of fewer inmate's being granted parole than anticipated (see PSD 611, Part II, Measures of Effectiveness, Item #4) and mostly likely recent changes in the rules governing states that participate in the Western Interstate Compact Rules.

Item 3. Number of Parolees Under Hawaii Jurisdiction Within the State: The number of parolees under Hawaii jurisdiction within the State is lower than anticipated as a result of several factors, including, but not limited to, an increase in the number of early discharges (93 for FY 2015 and 125 for FY 2016), fewer offenders from other jurisdictions applied for Interstate Compact to Hawaii, etc.

Item 4. The average number of sentenced inmates was underestimated based on current facility operational capacity.

PART IV - PROGRAM ACTIVITIES

Item 3. Number of Parole Discharges Recommended: The number of parolees recommended for discharge was higher than anticipated because of an increase in the number of early discharges recommended by Parole Officers.

VARIANCE REPORT NARRATIVE FY 2016 AND FY 2017

PROGRAM TITLE: ADULT PAROLE SUPERVISION & COUNSELING

**09 01 03 02
PSD 612**

Item 4. Number of Pardon Investigations Conducted: The number of pardon investigations conducted is much lower than anticipated as a result of receiving fewer applications. Oftentimes, after a new Governor is sworn in, the HPA sees an increase in pardon applications and once the Governor has been in office for more than one year, we see a decrease in the number of applications received. As an example, during FY 2014, the HPA processed 86 pardon applications, and during FY 2015, HPA processed 100 applications. For FY 2016, the HPA processed 56 applications.

Item 5. Number of Interstate Compact Agreements: The number of interstate compact agreements is lower than anticipated for the reasons cited in Part III, Items #1 and #2 above.

Item 8. Number of Administrative Hearings Conducted: The number of administrative hearings conducted is lower than anticipated for several reasons. Fewer inmates applied for reduction of minimum, fewer offenders applied for Intra-State transfer of their case within Hawaii, etc.

STATE OF HAWAII

PROGRAM TITLE:

PROGRAM-ID:

PROGRAM STRUCTURE NO:

CRIME VICTIM COMPENSATION COMMISSION

PSD-613

090104

VARIANCE REPORT

REPORT V61

12/14/16

| | FISCAL YEAR 2015-16 | | | | THREE MONTHS ENDED 09-30-16 | | | | NINE MONTHS ENDING 06-30-17 | | | |
|---|---------------------|---------|----------|----|-----------------------------|--------|----------|----|-----------------------------|-----------|----------|--------|
| | BUDGETED | ACTUAL | ± CHANGE | % | BUDGETED | ACTUAL | ± CHANGE | % | BUDGETED | ESTIMATED | ± CHANGE | % |
| PART I: EXPENDITURES & POSITIONS | | | | | | | | | | | | |
| RESEARCH & DEVELOPMENT COSTS | | | | | | | | | | | | |
| POSITIONS | | | | | | | | | | | | |
| EXPENDITURES (\$1,000's) | | | | | | | | | | | | |
| OPERATING COSTS | | | | | | | | | | | | |
| POSITIONS | 13.00 | 11.00 | - 2.00 | 15 | 13.00 | 10.00 | - 3.00 | 23 | 13.00 | 13.00 | + 0.00 | 0 |
| EXPENDITURES (\$1000's) | 3,389 | 1,542 | - 1,847 | 54 | 442 | 442 | + 0 | 0 | 2,966 | 2,966 | + 0 | 0 |
| TOTAL COSTS | | | | | | | | | | | | |
| POSITIONS | 13.00 | 11.00 | - 2.00 | 15 | 13.00 | 10.00 | - 3.00 | 23 | 13.00 | 13.00 | + 0.00 | 0 |
| EXPENDITURES (\$1000's) | 3,389 | 1,542 | - 1,847 | 54 | 442 | 442 | + 0 | 0 | 2,966 | 2,966 | + 0 | 0 |
| | | | | | FISCAL YEAR 2015-16 | | | | FISCAL YEAR 2016-17 | | | |
| | | | | | PLANNED | ACTUAL | ± CHANGE | % | PLANNED | ESTIMATED | ± CHANGE | % |
| PART II: MEASURES OF EFFECTIVENESS | | | | | | | | | | | | |
| 1. AV TIME FM DATE APP RCV TO DATE DECISN MAILD (WKS) | | 20 | 20 | + | 0 | 0 | | | 20 | 20 | + | 0 |
| 2. AV TIME FROM AWARD TO DATE PURCH ORDR PREPRD (WKS) | | 4 | 4 | + | 0 | 0 | | | 4 | 4 | + | 0 |
| 3. PERCENT OF CLAIMANTS WHO RECEIVED COMPENSATION | | 75 | 89 | + | 14 | 19 | | | 75 | 75 | + | 0 |
| 4. AVERAGE COMPENSATION AWARD MADE | | 800 | 854 | + | 54 | 7 | | | 800 | 800 | + | 0 |
| PART III: PROGRAM TARGET GROUP | | | | | | | | | | | | |
| 1. # PERSONS STATEWIDE WHO MAY BE ELIG FOR COMPENSATN | | 1470000 | 1470000 | + | 0 | 0 | | | 1470000 | 1470000 | + | 0 |
| PART IV: PROGRAM ACTIVITY | | | | | | | | | | | | |
| 1. NUMBER OF CLAIMS RECEIVED | | 750 | 506 | - | 244 | 33 | | | 750 | 550 | - | 200 |
| 2. DOLLAR VALUE OF CLAIMS RECEIVED | | 700000 | 531201 | - | 168799 | 24 | | | 700000 | 600000 | - | 100000 |
| 3. NUMBER OF HEARINGS HELD | | 6 | 1 | - | 5 | 83 | | | 6 | 4 | - | 2 |
| 4. NUMBER OF COMPENSATION AWARDS MADE | | 800 | 967 | + | 167 | 21 | | | 800 | 900 | + | 100 |
| 5. NUMBER OF ADMINISTRATIVE MEETINGS HELD | | 4 | 4 | + | 0 | 0 | | | 4 | 4 | + | 0 |
| 6. NUMBER OF CLAIMS DENIED | | 175 | 76 | - | 99 | 57 | | | 175 | 100 | - | 75 |

VARIANCE REPORT NARRATIVE FY 2016 AND FY 2017

PROGRAM TITLE: CRIME VICTIM COMPENSATION COMMISSION

**09 01 04
PSD 613**

PART I - EXPENDITURES AND POSITIONS

FY 2016: The position variance is due to employee turnover.

The expenditure variance is due to the program operating within the available revenues generated in the fiscal year and less federal funds.

FY 2017: The 1st quarter position variance is due to employee turnover and recruitment difficulties. PSD plans to fill all positions.

PART II - MEASURES OF EFFECTIVENESS

Item 3. Percent of Claimants Who Received Compensation: There was an increase in the number of compensations made and a decrease in the number of claims denied.

PART III - PROGRAM TARGET GROUPS

No significant variance.

PART IV - PROGRAM ACTIVITIES

Item 1. Number of Claims Received: There was a 33% decrease in the number of actual claims received versus the estimated number of claims received. According to the Honolulu Police Department, the number of violent crimes has decreased during the period in question. With the number of violent crimes decreasing, this would decrease the number of victims and, subsequently, the number of applicants to the Commission.

Item 2. Dollar Value of Claims Received: The dollar value paid on claims received was 24% lower than planned. The dollar value of claims paid is lower due to the decrease in the amounts awarded due to limitations in compensation in some categories. The Commission's Medical Reduction Project also contributed to the lower amounts paid. Additionally, the lower number of claims received also lowered the dollar value paid out.

Item 3. Number of Hearings Held: The number of hearings held was 83% lower than planned due to the lower number of appeals received

during the past fiscal year.

Item 4. Number of Compensation Awards Made: The actual number of compensation awards made was 21% higher than planned due to the increased number of medical providers being paid on behalf of victims.

Item 6. Number of Claims Denied: The number of claims denied decreased by 57% over the planned figure. The decrease is attributed to the increase in the number of eligible claims filed as the Commission works to ensure that eligible victims' applications are filed accurately and promptly so that the claim is not denied. Also, the Commission works with the county victim witness advocates to ensure that claims are not filed on behalf of non-qualifying victims.

VARIANCE REPORT

| | FISCAL YEAR 2015-16 | | | | THREE MONTHS ENDED 09-30-16 | | | | NINE MONTHS ENDING 06-30-17 | | | |
|--|---------------------|--------|----------|----|-----------------------------|--------|----------|----|-----------------------------|-----------|----------|-----|
| | BUDGETED | ACTUAL | ± CHANGE | % | BUDGETED | ACTUAL | ± CHANGE | % | BUDGETED | ESTIMATED | ± CHANGE | % |
| PART I: EXPENDITURES & POSITIONS | | | | | | | | | | | | |
| RESEARCH & DEVELOPMENT COSTS | | | | | | | | | | | | |
| POSITIONS | | | | | | | | | | | | |
| EXPENDITURES (\$1,000's) | | | | | | | | | | | | |
| OPERATING COSTS | | | | | | | | | | | | |
| POSITIONS | 185.00 | 157.00 | - 28.00 | 15 | 187.00 | 164.00 | - 23.00 | 12 | 187.00 | 187.00 | + 0.00 | 0 |
| EXPENDITURES (\$1000's) | 22,552 | 20,391 | - 2,161 | 10 | 4,267 | 4,099 | - 168 | 4 | 21,005 | 21,175 | + 170 | 1 |
| TOTAL COSTS | | | | | | | | | | | | |
| POSITIONS | 185.00 | 157.00 | - 28.00 | 15 | 187.00 | 164.00 | - 23.00 | 12 | 187.00 | 187.00 | + 0.00 | 0 |
| EXPENDITURES (\$1000's) | 22,552 | 20,391 | - 2,161 | 10 | 4,267 | 4,099 | - 168 | 4 | 21,005 | 21,175 | + 170 | 1 |
| | | | | | FISCAL YEAR 2015-16 | | | | FISCAL YEAR 2016-17 | | | |
| | | | | | PLANNED | ACTUAL | ± CHANGE | % | PLANNED | ESTIMATED | ± CHANGE | % |
| PART II: MEASURES OF EFFECTIVENESS | | | | | | | | | | | | |
| 1. PERCENTAGE OF VACANCIES FILLED | | | | | 50 | 50 | + 0 | 0 | 50 | 50 | + 0 | 0 |
| 2. AV TIME TO COMPLETE PAYMENT TRANSACTIONS (DAYS) | | | | | 25 | 26 | + 1 | 4 | 25 | 26 | + 1 | 4 |
| 3. % DEPT'L EMPLOYEES COMPLETING TSD TRAING SESSIONS | | | | | 20 | 27 | + 7 | 35 | 20 | 72 | + 52 | 260 |

Intermediate Level Program
No Narrative
(See Lowest Level Programs for Explanation of Variances)

STATE OF HAWAII

PROGRAM TITLE:

GENERAL ADMINISTRATION

PROGRAM-ID:

PSD-900

PROGRAM STRUCTURE NO: 09010501

VARIANCE REPORT

REPORT V61

12/14/16

| | FISCAL YEAR 2015-16 | | | | THREE MONTHS ENDED 09-30-16 | | | | NINE MONTHS ENDING 06-30-17 | | | |
|--|---------------------|--------|----------|----|-----------------------------|--------|----------|-----|-----------------------------|-----------|----------|-----|
| | BUDGETED | ACTUAL | ± CHANGE | % | BUDGETED | ACTUAL | ± CHANGE | % | BUDGETED | ESTIMATED | ± CHANGE | % |
| PART I: EXPENDITURES & POSITIONS | | | | | | | | | | | | |
| RESEARCH & DEVELOPMENT COSTS | | | | | | | | | | | | |
| POSITIONS | | | | | | | | | | | | |
| EXPENDITURES (\$1,000's) | | | | | | | | | | | | |
| OPERATING COSTS | | | | | | | | | | | | |
| POSITIONS | 136.00 | 113.00 | - 23.00 | 17 | 138.00 | 121.00 | - 17.00 | 12 | 138.00 | 138.00 | + 0.00 | 0 |
| EXPENDITURES (\$1000's) | 16,513 | 15,696 | - 817 | 5 | 2,879 | 2,879 | + 0 | 0 | 15,367 | 15,367 | + 0 | 0 |
| TOTAL COSTS | | | | | | | | | | | | |
| POSITIONS | 136.00 | 113.00 | - 23.00 | 17 | 138.00 | 121.00 | - 17.00 | 12 | 138.00 | 138.00 | + 0.00 | 0 |
| EXPENDITURES (\$1000's) | 16,513 | 15,696 | - 817 | 5 | 2,879 | 2,879 | + 0 | 0 | 15,367 | 15,367 | + 0 | 0 |
| | | | | | FISCAL YEAR 2015-16 | | | | FISCAL YEAR 2016-17 | | | |
| | | | | | PLANNED | ACTUAL | ± CHANGE | % | PLANNED | ESTIMATED | ± CHANGE | % |
| PART II: MEASURES OF EFFECTIVENESS | | | | | | | | | | | | |
| 1. PERCENT OF VACANCIES FILLED | | | | | 50 | 50 | + 0 | 0 | 50 | 50 | + 0 | 0 |
| 2. PERCENT OF DELEGATED POSITION ACTIONS PROCESSED | | | | | 90 | 81 | - 9 | 10 | 90 | 90 | + 0 | 0 |
| 3. AV TIME TO COMPLETE PAYMENT TRANSACTIONS (DAYS) | | | | | 25 | 26 | + 1 | 4 | 25 | 26 | + 1 | 4 |
| 4. % DEPT'L EMPLOYEES COMPLETING TSD TRAING SESSIONS | | | | | 20 | 27 | + 7 | 35 | 20 | 56 | + 36 | 180 |
| 5. % INTERNL INVSTGTNS CLOSED BY INSP & INVSTGTN OFFC | | | | | 85 | 81 | - 4 | 5 | 85 | 85 | + 0 | 0 |
| 6. % INTERNL INVSTGTNS COMPLETED BY INTERNAL AFFAIRS | | | | | 83 | 94 | + 11 | 13 | 83 | 90 | + 7 | 8 |
| 7. % ADA COMPLNTS INVSTGTD/CLOSED AFTER ACTION TAKEN | | | | | 80 | 20 | - 60 | 75 | 80 | 80 | + 0 | 0 |
| 8. % HARASSMNT/DISCRIMNTN COMPLNTS INVSTGTD/CLOSED | | | | | 70 | 47 | - 23 | 33 | 70 | 70 | + 0 | 0 |
| 9. % ACTIVE CIP PROJECTS ON/AHEAD OF SCHDL A/O JUNE 30 | | | | | 25 | 25 | + 0 | 0 | 25 | 25 | + 0 | 0 |
| PART III: PROGRAM TARGET GROUP | | | | | | | | | | | | |
| 1. NUMBER OF DEPARTMENTAL EMPLOYEES | | | | | 2503 | 2424 | - 79 | 3 | 2503 | 2503 | + 0 | 0 |
| 2. NUMBER OF CORRECTIONAL FACILITIES | | | | | 8 | 8 | + 0 | 0 | 8 | 8 | + 0 | 0 |
| 3. AVERAGE INMATE POPULATION | | | | | 5706 | 6108 | + 402 | 7 | 5706 | 6000 | + 294 | 5 |
| PART IV: PROGRAM ACTIVITY | | | | | | | | | | | | |
| 1. NUMBER OF NEW REQUESTS TO FILL VACANCIES | | | | | 300 | 250 | - 50 | 17 | 300 | 250 | - 50 | 17 |
| 2. NUMBER OF DELEGATED POSITION ACTIONS RECEIVED | | | | | 500 | 940 | + 440 | 88 | 500 | 650 | + 150 | 30 |
| 3. NUMBER OF FISCAL TRANSACTIONS PROCESSED PER DAY | | | | | 240 | 250 | + 10 | 4 | 240 | 260 | + 20 | 8 |
| 4. # TIME SHEETS PROC FOR OT & EMERG HIRES PER MONTH | | | | | 3800 | 3900 | + 100 | 3 | 3800 | 4000 | + 200 | 5 |
| 5. NUMBER OF TRAINING SESSIONS CONDUCTED | | | | | 25 | 45 | + 20 | 80 | 25 | 174 | + 149 | 596 |
| 6. # INTERNAL INVSTGTNS RCVD BY INSP & INVSTGTN OFFC | | | | | 85 | 170 | + 85 | 100 | 85 | 150 | + 65 | 76 |
| 7. NO. INTERNAL INVSTGTNS INITIATD BY INTERNL AFFAIRS | | | | | 100 | 121 | + 21 | 21 | 100 | 110 | + 10 | 10 |
| 8. NUMBER OF ADA COMPLAINTS FILED | | | | | 10 | 10 | + 0 | 0 | 10 | 10 | + 0 | 0 |
| 9. NO. OF HARASSMENT/DISCRIMINATION COMPLAINTS FILED | | | | | 45 | 47 | + 2 | 4 | 45 | 45 | + 0 | 0 |
| 10. NUMBER OF ACTIVE CIP PROJECTS AS OF JUNE 30 | | | | | 10 | 10 | + 0 | 0 | 10 | 10 | + 0 | 0 |

VARIANCE REPORT NARRATIVE FY 2016 AND FY 2017

09 01 05 01
PSD 900

PROGRAM TITLE: GENERAL ADMINISTRATION

PART I - EXPENDITURES AND POSITIONS

FY 2016: The position variance is due to employee turnover and recruitment difficulties.

The expenditure variance is due to the program operating within the available revenues generated in the fiscal year and less federal and trust funds.

FY 2017: The 1st quarter position variance is due to employee turnover and recruitment difficulties. PSD plans to fill all positions.

PART II - MEASURES OF EFFECTIVENESS

Item 2. The variance is because of the significant increase in the number of delegated actions received. While more were processed, the large number received means a lower percentage were processed.

Item 4. After an internal audit identified training deficiencies, a concerted effort was made to improve the quality and quantity of training.

Item 6. The variance is due to an influx of pre-employment investigations, particularly for the Sheriff Division. These investigations are more streamlined than regular investigations and take less time to do. Thus, a higher percentage can be completed in the same amount of time.

Item 7. The variance is due to an overestimation of the percentage of ADA complaints investigated and closed after action was taken. Two factors contributed to this: two unexpected vacancies, each more than a year; and cases filed by outside agencies, which are awaiting adjudication. This is outside of the control of the Civil Right's Compliance Office.

Item 8. The variance is due to an overestimation in the percentage of harassment/discrimination complaints closed. The staff vacancies mentioned in Item #7 also contributed to the lower percentage.

PART III - PROGRAM TARGET GROUPS

No significant variance.

PART IV - PROGRAM ACTIVITIES

Item 1. The variance is due to the filling of uniformed positions and decreased turnover; this means fewer vacancies and fewer requests to fill.

Item 2. The variance is due to the large number of updates to Deputy Sheriff position descriptions.

Item 5. After an internal audit identified training deficiencies, a concerted effort was made to improve the quality and quantity of training.

Item 6. The variance is due to a new format of short form investigations. There was also a massive influx of Sheriff Division cases.

Item 7. The variance is due to the significant number of truth verification pre-employment investigations conducted for Deputy Sheriff applicants. The Internal Affairs Office conducted 71 Deputy Sheriff pre-employment investigations during FY 16.

STATE OF HAWAII

PROGRAM TITLE:

PROGRAM-ID:

PROGRAM STRUCTURE NO: 09010502

STATE CRIMINAL JUSTICE INFO & IDENTIFICATION

ATG-231

VARIANCE REPORT

REPORT V61

12/14/16

| | FISCAL YEAR 2015-16 | | | | THREE MONTHS ENDED 09-30-16 | | | | NINE MONTHS ENDING 06-30-17 | | | |
|---|---------------------|---------|----------|--------|-----------------------------|--------|----------|-----|-----------------------------|-----------|----------|--------|
| | BUDGETED | ACTUAL | ± CHANGE | % | BUDGETED | ACTUAL | ± CHANGE | % | BUDGETED | ESTIMATED | ± CHANGE | % |
| PART I: EXPENDITURES & POSITIONS | | | | | | | | | | | | |
| RESEARCH & DEVELOPMENT COSTS | | | | | | | | | | | | |
| POSITIONS | | | | | | | | | | | | |
| EXPENDITURES (\$1,000's) | | | | | | | | | | | | |
| OPERATING COSTS | | | | | | | | | | | | |
| POSITIONS | 49.00 | 44.00 | - 5.00 | 10 | 49.00 | 43.00 | - 6.00 | 12 | 49.00 | 49.00 | + 0.00 | 0 |
| EXPENDITURES (\$1000's) | 6,039 | 4,695 | - 1,344 | 22 | 1,388 | 1,220 | - 168 | 12 | 5,638 | 5,808 | + 170 | 3 |
| TOTAL COSTS | | | | | | | | | | | | |
| POSITIONS | 49.00 | 44.00 | - 5.00 | 10 | 49.00 | 43.00 | - 6.00 | 12 | 49.00 | 49.00 | + 0.00 | 0 |
| EXPENDITURES (\$1000's) | 6,039 | 4,695 | - 1,344 | 22 | 1,388 | 1,220 | - 168 | 12 | 5,638 | 5,808 | + 170 | 3 |
| | | | | | FISCAL YEAR 2015-16 | | | | FISCAL YEAR 2016-17 | | | |
| | | | | | PLANNED | ACTUAL | ± CHANGE | % | PLANNED | ESTIMATED | ± CHANGE | % |
| PART II: MEASURES OF EFFECTIVENESS | | | | | | | | | | | | |
| 1. AV# DAYS REQUIRED TO COMPLETE EXPUNGEMENT PROCESS | | 120 | + | 0 | | 120 | + | 0 | | 120 | + | 0 |
| 2. AV # DAYS TO ENTER DISPOSITION DATA PER SEGMENT | | 12 | + | 0 | | 12 | + | 0 | | 12 | + | 0 |
| 3. % COMPLETE DISPOSITIONS ON CJIS-HAWAII | | 94 | + | 1 | | 95 | + | 1 | | 94 | + | 1 |
| 4. % OF ELIGIBLE SEX OFFENDERS THAT REGISTERED | | 46 | + | 46 | | 92 | + | 100 | | 46 | + | 46 |
| 5. % REG SEX OFFENDERS WHO COMPLY W/VER PROCESS | | 75 | + | 8 | | 83 | + | 11 | | 75 | + | 8 |
| 6. AV# DAYS TO COMPLETE CRIM HIS RECORD CHK REQUESTS | | 5 | + | 0 | | 5 | + | 0 | | 5 | + | 0 |
| 7. % MONTHLY LATENT FINGERPRT/PALMPRT HITS | | 21 | - | 13 | | 8 | - | 62 | | 21 | - | 13 |
| 8. % HELP DESK TICKETS RESOLVED IN 48 HOURS | | 67 | + | 1 | | 68 | + | 1 | | 67 | + | 0 |
| PART III: PROGRAM TARGET GROUP | | | | | | | | | | | | |
| 1. PERSONS WITH CRIMINAL RECORDS | 569000 | 579572 | + | 10572 | | 2 | | | 569000 | 590000 | + | 21000 |
| 2. PERSONS WITH EXPUNGEABLE RECORDS | 352000 | 367103 | + | 15103 | | 4 | | | 352000 | 360000 | + | 8000 |
| 3. NO. CRIMINAL JUSTICE AGENCIES SVD (CNTY/STATE/FED) | 95 | 94 | - | 1 | | 1 | | | 95 | 95 | + | 0 |
| 4. CJIS-HAWAII USERS | 5127 | 4897 | - | 230 | | 4 | | | 5127 | 4900 | - | 227 |
| 5. PERSONS WITH ELIGIBLE SEX OFFENDER CHARGES | 6406 | 3293 | - | 3113 | | 49 | | | 6406 | 3300 | - | 3106 |
| 6. NUMBER OF NON-CRIMINAL JUSTICE AGENCIES SERVICED | 90 | 159 | + | 69 | | 77 | | | 90 | 170 | + | 80 |
| 7. NCIC USERS | 2890 | 2681 | - | 209 | | 7 | | | 2890 | 2700 | - | 190 |
| 8. NUMBER OF NON-COMPLIANT SEX OFFENDERS | 490 | 397 | - | 93 | | 19 | | | 490 | 400 | - | 90 |
| PART IV: PROGRAM ACTIVITY | | | | | | | | | | | | |
| 1. # REG SEX OFFENDRS REQUIRING QTRLY VERIFICATION | 2298 | 2376 | + | 78 | | 3 | | | 2298 | 2450 | + | 152 |
| 2. #PUB ACC/WEB TRANSACTNS CONDUCTED ON CJIS-HAWAII | 390000 | 352195 | - | 37805 | | 10 | | | 390000 | 350000 | - | 40000 |
| 3. # OF INQUIRY TRANSACTIONS CONDUCTED ON CJIS-HAWAII | 1700000 | 2028225 | + | 328225 | | 19 | | | 1700000 | 2000000 | + | 300000 |
| 4. # OF EXPUNGEMENT REQUESTS PROCESSED/DENIED | 1597 | 1760 | + | 163 | | 10 | | | 1597 | 1800 | + | 203 |
| 5. NUMBER OF FIRST-TIMERS ADDED TO AFIS | 8970 | 6512 | - | 2458 | | 27 | | | 8970 | 6000 | - | 2970 |
| 6. #NAME-BASED APPLICANT RECORD CHECKS PROCESSED | 3600 | 2192 | - | 1408 | | 39 | | | 3600 | 2000 | - | 1600 |
| 7. #FINGERPRT-BASED APPLICANT RECORD CHKS PROCESSED | 40000 | 40640 | + | 640 | | 2 | | | 40000 | 40000 | + | 0 |
| 8. # CRIMINAL FINGERPRINTS PROCESSED THRU AFIS | 45000 | 45461 | + | 461 | | 1 | | | 45000 | 45000 | + | 0 |
| 9. #LATENT FINGER/PALM PRINT SEARCHES DONE THRU AFIS | 1400 | 5208 | + | 3808 | | 272 | | | 1400 | 5000 | + | 3600 |
| 10. #CJIS-HAWAII RECS INDEXED ON INTERSTATE ID INDEX | 234888 | 265578 | + | 30690 | | 13 | | | 234888 | 290000 | + | 55112 |

VARIANCE REPORT NARRATIVE FY 2016 AND FY 2017

09 01 05 02
ATG 231

PROGRAM TITLE: STATE CRIMINAL JUSTICE INFO & IDENTIFICATION

PART I - EXPENDITURES AND POSITIONS

The position variance is due to vacant positions that the Hawaii Criminal Justice Data Center is working to fill.

The difference between budgeted and actual expenditures occurred because not all vacancies were filled and significantly less than anticipated was spent out of the non-general fund accounts.

PART II - MEASURES OF EFFECTIVENESS

Item 4: The increase is due to the way the total number of eligible sex offenders are now being counted. See Item 5 in Part III.

Item 5: The increase is due to the State's efforts to enforce the annual in-person and periodic verification provision of Chapter 846E, HRS.

Item 7: The reports that are run out of the Automated Fingerprint Identification System (AFIS) show that there was a total of 428 latent hits in FY 16. A total of 5,208 latent searches were conducted. The number of latent hits was less than anticipated.

PART III - PROGRAM TARGET GROUPS

Item 5: In prior years, offenders that might qualify as an eligible covered offender were counted. After further research, more times than not, these offenders were not eligible for registration. Therefore, we are no longer including this category of offenders in this count.

Item 6: This increase is due to the implementation of the Volunteer and Employee Criminal History Service (VECHS) program in Hawaii. This allows more non-criminal justice entities to be eligible to conduct fingerprint-based background checks on their volunteers and employees.

Item 8: This decrease is due to the State's efforts to enforce the annual in-person and periodic verification provision of Chapter 846E, HRS.

PART IV - PROGRAM ACTIVITIES

Item 2: The less than anticipated number of public access and web-based transactions conducted on CJIS-Hawaii could be due to the implementation of the VECHS program (see Item 6 in Part III). Entities that used public access and the web-based query are now eligible to conduct fingerprint-based state and national checks.

Item 3: More than anticipated inquiry transactions were conducted on CJIS-Hawaii.

Item 4: There were more than anticipated expungement applications received in FY 16.

Item 5: There were less than anticipated offenders being arrested for the first time in FY 16.

Item 6: Fewer name-based criminal history record checks were processed during FY 16 as less than the anticipated requests were received.

Item 9: There were more latent search requests received in FY 16 than anticipated.

Item 10: There are more than anticipated CJIS-Hawaii records indexed on the Interstate Identification Index.

STATE OF HAWAII

PROGRAM TITLE:

SAFETY FROM PHYSICAL DISASTERS

PROGRAM-ID:

PROGRAM STRUCTURE NO: 0902

VARIANCE REPORT

REPORT V61

12/14/16

| | FISCAL YEAR 2015-16 | | | | THREE MONTHS ENDED 09-30-16 | | | | NINE MONTHS ENDING 06-30-17 | | | |
|--|---------------------|--------|----------|----|-----------------------------|--------|----------|----|-----------------------------|-----------|----------|---|
| | BUDGETED | ACTUAL | ± CHANGE | % | BUDGETED | ACTUAL | ± CHANGE | % | BUDGETED | ESTIMATED | ± CHANGE | % |
| PART I: EXPENDITURES & POSITIONS | | | | | | | | | | | | |
| RESEARCH & DEVELOPMENT COSTS | | | | | | | | | | | | |
| POSITIONS | | | | | | | | | | | | |
| EXPENDITURES (\$1,000's) | | | | | | | | | | | | |
| OPERATING COSTS | | | | | | | | | | | | |
| POSITIONS | 230.25 | 184.25 | - 46.00 | 20 | 237.25 | 188.25 | - 49.00 | 21 | 237.25 | 237.25 | + 0.00 | 0 |
| EXPENDITURES (\$1000's) | 107,045 | 68,284 | - 38,761 | 36 | 33,667 | 33,212 | - 455 | 1 | 90,963 | 91,418 | + 455 | 1 |
| TOTAL COSTS | | | | | | | | | | | | |
| POSITIONS | 230.25 | 184.25 | - 46.00 | 20 | 237.25 | 188.25 | - 49.00 | 21 | 237.25 | 237.25 | + 0.00 | 0 |
| EXPENDITURES (\$1000's) | 107,045 | 68,284 | - 38,761 | 36 | 33,667 | 33,212 | - 455 | 1 | 90,963 | 91,418 | + 455 | 1 |
| | | | | | FISCAL YEAR 2015-16 | | | | FISCAL YEAR 2016-17 | | | |
| PART II: MEASURES OF EFFECTIVENESS | | | | | PLANNED | ACTUAL | ± CHANGE | % | PLANNED | ESTIMATED | ± CHANGE | % |
| 1. DEATHS/INJ/PROP DAM DUE TO FLOODS (INCIDENTS) | | | | | 4 | 1 | - 3 | 75 | 4 | 4 | + 0 | 0 |

Intermediate Level Program
No Narrative
(See Lowest Level Programs for Explanation of Variances)

STATE OF HAWAII

PROGRAM TITLE:

PROGRAM-ID:

PROGRAM STRUCTURE NO: 090201

PREVENTION OF NATURAL DISASTERS

LNR-810

VARIANCE REPORT

REPORT V61

12/14/16

| | FISCAL YEAR 2015-16 | | | | THREE MONTHS ENDED 09-30-16 | | | | NINE MONTHS ENDING 06-30-17 | | | | | | |
|---|---------------------|--------|----------|------|-----------------------------|--------|----------|----|-----------------------------|-----------|----------|-------|---|------|----|
| | BUDGETED | ACTUAL | ± CHANGE | % | BUDGETED | ACTUAL | ± CHANGE | % | BUDGETED | ESTIMATED | ± CHANGE | % | | | |
| PART I: EXPENDITURES & POSITIONS | | | | | | | | | | | | | | | |
| RESEARCH & DEVELOPMENT COSTS | | | | | | | | | | | | | | | |
| POSITIONS | | | | | | | | | | | | | | | |
| EXPENDITURES (\$1,000's) | | | | | | | | | | | | | | | |
| OPERATING COSTS | | | | | | | | | | | | | | | |
| POSITIONS | 8.00 | 7.00 | - | 1.00 | 13 | 8.00 | 7.00 | - | 1.00 | 13 | 8.00 | 8.00 | + | 0.00 | 0 |
| EXPENDITURES (\$1000's) | 2,490 | 2,127 | - | 363 | 15 | 740 | 285 | - | 455 | 61 | 1,770 | 2,225 | + | 455 | 26 |
| TOTAL COSTS | | | | | | | | | | | | | | | |
| POSITIONS | 8.00 | 7.00 | - | 1.00 | 13 | 8.00 | 7.00 | - | 1.00 | 13 | 8.00 | 8.00 | + | 0.00 | 0 |
| EXPENDITURES (\$1000's) | 2,490 | 2,127 | - | 363 | 15 | 740 | 285 | - | 455 | 61 | 1,770 | 2,225 | + | 455 | 26 |
| | | | | | FISCAL YEAR 2015-16 | | | | FISCAL YEAR 2016-17 | | | | | | |
| | | | | | PLANNED | ACTUAL | ± CHANGE | % | PLANNED | ESTIMATED | ± CHANGE | % | | | |
| PART II: MEASURES OF EFFECTIVENESS | | | | | | | | | | | | | | | |
| 1. NO. LOSSES DUE TO DEATHS/INJ/DISABILITIES/PROP DAM | | | | | 4 | 1 | - | 3 | 75 | 4 | 4 | + | 0 | 0 | |
| PART III: PROGRAM TARGET GROUP | | | | | | | | | | | | | | | |
| 1. DEFACTO POPULATION (MILLIONS) | | | | | 1.4 | 1.4 | + | 0 | 0 | 1.4 | 1.4 | + | 0 | 0 | |
| PART IV: PROGRAM ACTIVITY | | | | | | | | | | | | | | | |
| 1. NO. OF FLOOD CONTROL & PREVENTION PLANS REVIEWED | | | | | 4 | 4 | + | 0 | 0 | 4 | 4 | + | 0 | 0 | |
| 2. NUMBER OF FLOOD CONTROL PROJECTS IMPLEMENTED | | | | | 1 | 0 | - | 1 | 100 | 1 | 0 | - | 1 | 100 | |
| 3. NUMBER OF COOPERATIVE AGREEMENTS ENTERED INTO | | | | | 1 | 1 | + | 0 | 0 | 1 | 1 | + | 0 | 0 | |
| 4. NO. OF FLOODWATER CONTROL & CONSRV LAWS REVIEWED | | | | | 2 | 2 | + | 0 | 0 | 2 | 2 | + | 0 | 0 | |
| 5. NO. FLOOD CNTRL RSEARCH/STUDIES/INVESTGTNS PERFRMD | | | | | 4 | 1 | - | 3 | 75 | 4 | 4 | + | 0 | 0 | |
| 6. FLOOD MITIGATION (MAN-HOURS) | | | | | 100 | 50 | - | 50 | 50 | 100 | 100 | + | 0 | 0 | |
| 7. NO. OF TECHNICAL ASSISTANCE RENDERED (MAN-HOURS) | | | | | 500 | 500 | + | 0 | 0 | 500 | 500 | + | 0 | 0 | |
| 8. NUMBER OF REPORTS AND MAPS PREPARED | | | | | 2 | 1 | - | 1 | 50 | 2 | 2 | + | 0 | 0 | |
| 9. NO. OF DAM SAFETY PROJECTS DEVELOPED OR IMPLEMENTD | | | | | 1 | 1 | + | 0 | 0 | 1 | 1 | + | 0 | 0 | |
| 10. NUMBER OF DAMS INSPECTED | | | | | 66 | 60 | - | 6 | 9 | 66 | 66 | + | 0 | 0 | |

VARIANCE REPORT NARRATIVE FY 2016 AND FY 2017

09 02 01
LNR 810

PROGRAM TITLE: PREVENTION OF NATURAL DISASTERS

PART I - EXPENDITURES AND POSITIONS

FY 16 variance due to position vacancy due to transfer of incumbent to another program. Position description update and recruitment were underway as of September 30, 2016.

Expenditure variance due to vacancy and having an expenditure ceiling greater than the amount of Dam and Reservoir Safety Special Funds available.

PART II - MEASURES OF EFFECTIVENESS

Item 1: Storm frequency and intensity less than anticipated.

PART III - PROGRAM TARGET GROUPS

No significant variance.

PART IV - PROGRAM ACTIVITIES

Item 2: Variance due to staff focus on other priority tasks.

Item 5: Variance due to storm frequency and intensity less than anticipated.

Item 6: Variance due to storm frequency and intensity less than anticipated.

Item 8: Variance due to staff limitations and focus on other priority tasks.

Item 10: The annual number of estimated inspections is half of the current number of regulated dams, as the program attempts to inspect each regulated once every two years. The number of regulated dams statewide has been decreasing as dams are removed from service or modified to be smaller than regulated size. Areas of staff focus for dam safety related activities include public outreach sessions, informal dam inspections and site visits to monitor dam construction

VARIANCE REPORT NARRATIVE FY 2016 AND FY 2017

09 02 02
DEF 110

PROGRAM TITLE: AMELIORATION OF PHYSICAL DISASTERS

PART I - EXPENDITURES AND POSITIONS

FY 2016: The difference in the budgeted and actual positions filled for FY 2016 was caused by the inability to get eligible applicant listings on a timely basis. Also, as the economy improves, the private sector is offering compensation that is higher than most vacant positions pay.

The expenditures variance were due to: 1) general fund restrictions imposed on programs; 2) changes in budgeting for federal funds were not properly reflected; and 3) delays in processing Federal Emergency Management Agency's reimbursements for emergency disasters.

PART II - MEASURES OF EFFECTIVENESS

Item 2. % of Civil Defense Organizational & Training Readiness:

The Hawaii Emergency Management Agency (HI-EMA) has been very consistent over the past few years in organizational and training readiness (actual = 75). It seems that the planned number at 60 for FY 2016 is not quite correct, as the planned number for FY 2015 was 78. When comparing the budgeted number of 78 to the actual number of 75, there is no significant variance. The planned number for FY 2017 should read 78, too, in lieu of 60. Also, there is no significant changes for FY 2017.

PART III - PROGRAM TARGET GROUPS

No significant changes.

PART IV - PROGRAM ACTIVITIES

Item 2. Amount of Direct Federal Fund Support for Military Defense (000's):

For FY 2016, the discrepancy is due to the Hawaii Army National Guard not receiving the amount of funds to support exercises, conduct training, and attend schooling as expected.

Item Nos. 3, 4, and 5: No data available.

Item 7. Number of Civil Defense Plans Updated:

The expected updated Civil Defense plan(s) did not materialize by the end of FY 2016 and is expected to be completed in FY 2017.

Item 9. No. of Emergency Shelter Spaces Maintained (000's):

In 2006, evacuation shelter standards were changed to include the requirement for structural engineers to provide assessments, but the guidance was never fully implemented, as the costs of such an activity made it infeasible to do. In the fall of 2015, newly hired staff at HI-EMA initiated a shelter program review, which included an assessment of the shelter retrofit program in addition to reassessing current shelter inventory based on old and new standards. The review is on going.

Item 10. With increased staffing, the installation of warning devices for the safety and security of Hawaii communities also increased.