

**Report on Non-General Fund Information**  
for Submittal to the 2018 Legislature

Department: TRN  
 Prog ID(s): TRN 995  
 Name of Fund: General Administration  
 Legal Authority: Act 124 / SLH 16

Contact Name: Clarita Hironaka  
 Phone: 587-2211  
 Fund type (MOF) B  
 Appropriation Acct. No. S-001-D

**Intended Purpose:**

Operating Funds for Administration

**Source of Revenues:**

Assessments from the other three Divisions (Airports, Harbors and Highways)

**Current Program Activities/Allowable Expenses:**

Departmental Administration and staff operations, payroll, non-labor expenses, protocol fund, etc.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	17,536,442	17,314,203	17,668,916	18,270,201			
Beginning Cash Balance	7,341,574	1,161,706	11,515,829	6,668,718	9,549,755	9,549,755	9,549,755
Revenues	388,105	492,476	227,866	196,316			
Expenditures	12,030,367	13,481,553	14,007,162	14,276,135			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV-010 dtd 07/18/16				(2,500)			
JV-015 dtd 07/21/16				4,542,729			
JV-027 dtd 08/08/16				(4,056,845)			
JV-044 dtd 09/20/16				5,281,208			
JV-091 dtd 12/21/16				4,208,146			
JV-110 dtd 01/25/17				(700,000)			
JV-146 dtd 03/28/17				4,238,118			
JV-195 dtd 06/23/17				3,400,000			
JV-199 dtd 06/28/17				50,000			
Net Total Transfers	14,462,394	14,343,200	8,932,185	16,960,856	0	0	0
Ending Cash Balance	10,161,706	11,515,829	6,668,718	9,549,755	9,549,755	9,549,755	9,549,755
Encumbrances	1,894,495	1,666,707	1,858,319	1,357,702			
Unencumbered Cash Balance	8,267,211	9,849,122	4,810,400	8,192,053	9,549,755	9,549,755	9,549,755

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: TRN  
 Prog ID(s): TRN 695  
 Name of Fund: Aloha Tower Development Corporation  
 Legal Authority Act 124 / SLH 2016

Contact Name: Clarita Hironaka  
 Phone: 587-2211  
 Fund type (MOF) B  
 Appropriation Acct. No. S-088-D

**Intended Purpose:**

ATDC was placed within the Department of Transportation for administrative purposes, pursuant to section 26-35

**Source of Revenues:**

Monthly lease payments

**Current Program Activities/Allowable Expenses:**

The monies on deposit in the fund shall be used for lease payments to the Department of Transportation and for the development, re-development or improvement of the Honolulu Waterfront located seaward of Nimitz Highway between Pier 4 and Pier 11

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,800,368	1,829,736	1,839,565	1,842,173			
Beginning Cash Balance	552,318	901,946	684,673	1,465,143	1,863,084	1,863,084	1,863,084
Revenues	617,769	7,727	1,005,674	1,010,441			
Expenditures	268,141	225,000	225,204	612,500			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	901,946	684,673	1,465,143	1,863,084	1,863,084	1,863,084	1,863,084
Encumbrances							
Unencumbered Cash Balance	901,946	684,673	1,465,143	1,863,084	1,863,084	1,863,084	1,863,084

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: TRN  
 Prog ID(s): TRN 995  
 Name of Fund: 49 U.S.C. SEC 5309 - NEW STARTS  
 Legal Authority: Administratively Created

Contact Name: Clarita Hironaka  
 Phone: 587-2211  
 Fund type (MOF) N  
 Appropriation Acct. No. S-213-D (S-14-213-D)

**Intended Purpose:**

Federal Transit Administration (FTA) Capital Improvement grant under Section 5309. These funds assist in financing the acquisition, construction reconstruction, and improvement of facilities and equipment for use in mass transportation in urban areas.

**Source of Revenues:**

FTA Grant HI-03-0038

**Current Program Activities/Allowable Expenses:**

Pass thru payments to DLNR

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

<b>Financial Data</b>							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			11,970,430	8,461,747			
Beginning Cash Balance		0	0	1,400,000	0	0	0
Revenues			998,687	3,768,684	2,500,000	1,900,000	
Expenditures			998,687	3,768,684	2,500,000	1,900,000	
Transfers	List each net transfer in/out/ or projection in/out; list each account number						
JV-195 dtd 06/23/17				(1,400,000)			
Net Total Transfers	0	0	1,400,000	(1,400,000)	0	0	0
Ending Cash Balance	0	0	1,400,000	0	0	0	0
Encumbrances			2,509,996	0			
Unencumbered Cash Balance	0	0	(1,109,996)	0	0	0	0

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: TRN  
 Prog ID(s): TRN 995  
 Name of Fund: 49 U.S.C. SEC 5311 - NONURBANIZED AREA FORMULA  
 Legal Authority: Administratively Created

Contact Name: Clarita Hironaka  
 Phone: 587-2211  
 Fund type (MOF) N  
 Appropriation Acct. No. S-231-D

**Intended Purpose:**

Federal Transit Administration (FTA) Capital Improvement grant under Section 5311. These funds provide financial, operating, and technical assistance in providing public transportation in non-urbanized areas.

**Source of Revenues:**

FTA Grant HI-18-X032, HI-2016-004

**Current Program Activities/Allowable Expenses:**

Pass thru payments to Counties of Maui, Kauai and Hawaii

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

<b>Financial Data</b>							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			500,000	257,337			
Beginning Cash Balance		0	0	0	0	0	0
Revenues			242,663	459,237			
Expenditures			242,663	459,237			
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: TRN  
 Prog ID(s): TRN 995  
 Name of Fund: SPR - State Planning and Research  
 Legal Authority: Administratively Created

Contact Name: Clarita Hironaka  
 Phone: 587-2211  
 Fund type (MOF) N  
 Appropriation Acct. No. S-236-D

**Intended Purpose:**

Federal monies received from FHWA will be deposited into this account as reimbursement for the Statewide Planning & Research program

**Source of Revenues:**

FHWA Grant SPR-0010 (33) / (34) / (35)

**Current Program Activities/Allowable Expenses:**

Staff time, Travel

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		540,000	509,000				
Beginning Cash Balance		0	34,924	34,924	(0)	(0)	(0)
Revenues		30,847	0	0			
Expenditures		30,847	0	0			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV-128 dtd 02/21/17				34,924			
JV-179 dtd 06/01/17				(69,849)			
Net Total Transfers	0	34,924	0	(34,924)	0	0	0
Ending Cash Balance	0	34,924	34,924	(0)	(0)	(0)	(0)
Encumbrances							
Unencumbered Cash Balance	0	34,924	34,924	(0)	(0)	(0)	(0)

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: TRN  
 Prog ID(s): TRN 995  
 Name of Fund: Non-Appropriated  
 Legal Authority Administratively Created

Contact Name: Clarita Hironaka  
 Phone: 587-2211  
 Fund type (MOF) N  
 Appropriation Acct. No. S-240-D

**Intended Purpose:**

Federal monies received from FHWA will be deposited into this account as reimbursement for Oahu Metropolitan Planning Organization

**Source of Revenues:**

PL-0052(038)

**Current Program Activities/Allowable Expenses:**

OahuMPO consultant contract costs - federal portion

Purpose of Proposed Ceiling Adjustment (if applicable):

**Variances:**

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			4,695,244	4,649,294			
Beginning Cash Balance		0	0	43,127	112,346	112,346	112,346
Revenues			30,909	161,485			
Expenditures			45,950	92,265			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	58,168	0	0	0	0
Ending Cash Balance	0	0	43,127	112,346	112,346	112,346	112,346
Encumbrances			310,198	217,933			
Unencumbered Cash Balance	0	0	(267,071)	(105,587)	112,346	112,346	112,346

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: TRN  
 Prog ID(s): TRN 995  
 Name of Fund: SPR - State Planning and Research  
 Legal Authority: Administratively Created

Contact Name: Clarita Hironaka  
 Phone: 587-2211  
 Fund type (MOF) N  
 Appropriation Acct. No. S-255-D

**Intended Purpose:**

Federal monies received from FHWA will be deposited into this account as reimbursement for the Statewide Planning & Research program

**Source of Revenues:**

FHWA Grant SPR-0010 (36) / (37) / (38) / (39)

**Current Program Activities/Allowable Expenses:**

Staff time, Travel

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		72,744	68,643	68,643			
Beginning Cash Balance		0	18,234	22,684	58,878	58,878	58,878
Revenues		2,335	4,450	37,471			
Expenditures		4,101	0	1,277			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	20,000	0	0	0	0	0
Ending Cash Balance	0	18,234	22,684	58,878	58,878	58,878	58,878
Encumbrances							
Unencumbered Cash Balance	0	18,234	22,684	58,878	58,878	58,878	58,878

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: TRN  
 Prog ID(s): TRN 995  
 Name of Fund: Intermodel Surface Transportation Efficiency Programs  
 Legal Authority Act 134, SLH 2013/Act 122, SLH 2014/Act 119, SLH 2015  
Act 124, SLH 2016/Act 49, SLH 2017

Contact Name: Clarita Hironaka  
 Phone: 587-2211  
 Fund type (MOF) N  
 Appropriation Acct. No. S-256-D

Intended Purpose:  
 Mother Account for Federal Appropriations  
 Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,118,158	6,513,574	11,793,093				
Beginning Cash Balance	16,140	31,566	0	0	0	0	0
Revenues	151,324	3,359	0	0			
Expenditures	57,767	0	0	0			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(78,131)	(34,925)	0	0	0	0	0
Ending Cash Balance	31,566	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	31,566	0	0	0	0	0	0

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							



Department: TRN  
 Prog ID(s): TRN 995  
 Name of Fund: 49 U.S.C. SEC 5310 - Capital Assistance  
 Legal Authority: Administratively Created

Contact Name: Clarita Hironaka  
 Phone: 587-2211  
 Fund type (MOF) N  
 Appropriation Acct. No. S-257-D (S-14-257-D)

**Intended Purpose:**

Federal Transit Act Capital Assistance grant under Section 5310. These funds will aid in financing capital items for private nonprofit groups which will help meet the transportation needs of the elderly and persons with disabilities in the State of Hawaii.

**Source of Revenues:**

FTA funds provide 80% matching share under various FTA capital assistance grants under section 5310. Appropriation S-xx-335-D provides the 20% private contributions, but for FY16, there were no private contributions.

**Current Program Activities/Allowable Expenses:**

FTA Grant No. HI-16-0034, -0035, -0036, & -0037. Financing capital items under Section 5310 for various non-profit organizations in the State of Hawaii. For FY 16 only staff time was charged.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,486,419	2,138,940	2,124,860	2,107,276			
Beginning Cash Balance	0	63,049	97,630	97,630	132,554	132,554	132,554
Revenues	1,300,396	68,661	17,584	15,637			
Expenditures	1,347,478	14,080	17,584	15,637			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV-128 dtd 02/21/17				(34,924)			
JV-199 dtd 06/28/17				69,849			
Net Total Transfers	110,131	(20,000)	0	34,924	0	0	0
Ending Cash Balance	63,049	97,630	97,630	132,554	132,554	132,554	132,554
Encumbrances				174,055			
Unencumbered Cash Balance	63,049	97,630	97,630	(41,501)	132,554	132,554	132,554

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: TRN  
 Prog ID(s): TRN 995  
 Name of Fund: FTA (Federal Transit Authority)  
 Legal Authority: Administratively Created

Contact Name: Clarita Hironaka  
 Phone: 587-2211  
 Fund type (MOF) N  
 Appropriation Acct. No. S-258-D (S-14-258-D)

**Intended Purpose:**

Federal Transit Administration (FTA) Funds - to account for pre-FY14 FTA grants which are still active.

**Source of Revenues:**

Federal Transit Administration (FTA) Funds.

**Current Program Activities/Allowable Expenses:**

FTA Grant Nos. HI-18-X031 & HI-03-0038 & HI-04-0004,-0009, -0010, -0013, -0014 & HI-37-X006, -X008 & HI-57-X008 & HI-80-X019, -0021 & HI-34-0001.

Purpose of Proposed Ceiling Adjustment (if applicable):

**Variances:**

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	30,882,626	17,263,920	9,912,334	6,802,205			
Beginning Cash Balance	556,984	542,282	680,565	1,984,317	680,157	680,157	680,157
Revenues	10,896,462	9,729,411	3,113,881	4,965,984			
Expenditures	10,879,164	9,591,128	3,110,129	4,970,144			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV-195 dtd 06/23/17				(1,300,000)			
Net Total Transfers	(32,000)	0	1,300,000	(1,300,000)	0	0	0
Ending Cash Balance	542,282	680,565	1,984,317	680,157	680,157	680,157	680,157
Encumbrances	2,739,542	120,871	2,710,079	46,316			
Unencumbered Cash Balance	(2,197,260)	559,694	(725,762)	633,841	680,157	680,157	680,157

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: TRN  
 Prog ID(s): TRN 995  
 Name of Fund: Oahu Metropolitan Plan Org Tech Study Grant  
 Legal Authority: Administratively Created

Contact Name: Clarita Hironaka  
 Phone: 587-2211  
 Fund type (MOF) N  
 Appropriation Acct. No. S-259-D (S-84-259-D)

**Intended Purpose:**

Federal monies received from FHWA will be deposited into this account as reimbursement for Oahu Metropolitan Planning Organization.

**Source of Revenues:**

Federal Highway Administration (FHWA) Funds.

**Current Program Activities/Allowable Expenses:**

OahuMPO consultant contract costs - federal portion

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,328,274	111,430	48,943				
Beginning Cash Balance	76,079	80,121	55,133	256	(0)	(0)	(0)
Revenues	238,602	57,499	52,234	0			
Expenditures	234,560	62,487	48,943	0			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV-048 dtd 09/28/16				(256)			
Net Total Transfers	0	(20,000)	(58,168)	(256)	0	0	0
Ending Cash Balance	80,121	55,133	256	(0)	(0)	(0)	(0)
Encumbrances	111,430	48,943	0	0			
Unencumbered Cash Balance	(31,309)	6,190	256	(0)	(0)	(0)	(0)

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: TRN  
 Prog ID(s): TRN 995  
 Name of Fund: Oahu Metropolitan Plan Org - Exp Clearing Acct  
 Legal Authority: Administratively Created

Contact Name: Clarita Hironaka  
 Phone: 587-2211  
 Fund type (MOF) W  
 Appropriation Acct. No. S-334-D (S-84-334-D)

**Intended Purpose:**

For Oahu Metropolitan Planning Organization

**Source of Revenues:**

FHWA funds

**Current Program Activities/Allowable Expenses:**

OahuMPO consultant contract costs (local share)

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	500,631	111,418	401,720	100,711	10,210	10,210	10,210
Revenues	863,562	2,061,181	66,881	0			
Expenditures	1,252,775	1,740,879	67,890	10,004			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV-048 dtd 09/28/16				(80,497)			
Net Total Transfers	0	(30,000)	(300,000)	(80,497)	0	0	0
Ending Cash Balance	111,418	401,720	100,711	10,210	10,210	10,210	10,210
Encumbrances	29,701	40,482	20,214	10,210			
Unencumbered Cash Balance	81,717	361,238	80,497	(0)	10,210	10,210	10,210

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: TRN  
 Prog ID(s): TRN 995  
 Name of Fund: URBAN MASS TRANSPORTATION ACT OF 1964  
 Legal Authority Administratively Created

Contact Name: Clarita Hironaka  
 Phone: 587-2211  
 Fund type (MOF) R  
 Appropriation Acct. No. S-335-D

**Intended Purpose:**

To receive private non-profit group's 20% contribution toward the purchase of capital items for private non-profit groups who help meet the transportation needs of the elderly and persons with disabilities in the State of Hawaii.

**Source of Revenues:**

The 20% private contributions toward the purchase of capital items for private non-profit groups. FTA funds provide 80% matching share under various FTA capital assistance grants under section 5310 (S-257-D).

**Current Program Activities/Allowable Expenses:**

Purchases of capital items (vehicles such as buses, vans, etc.)

Purpose of Proposed Ceiling Adjustment (if applicable):

**Variances:**

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance		0	0	0	55,092	55,092	55,092
Revenues				55,092			
Expenditures				0			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	55,092	55,092	55,092	55,092
Encumbrances							
Unencumbered Cash Balance	0	0	0	55,092	55,092	55,092	55,092

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: TRN  
 Prog ID(s): TRN 995  
 Name of Fund: Protocol fund for Executive Heads  
 Legal Authority Act 200 Section143/SLH 2003

Contact Name: Clarita Hironaka  
 Phone: 587-2211  
 Fund type (MOF) B  
 Appropriation Acct. No. S-398-D

**Intended Purpose:**

Provide discretionary funds for the head of the department.

**Source of Revenues:**

\$2,500.00 is transferred from the "General Administration Fund, S-XX-001-D" to fund this appropriation

**Current Program Activities/Allowable Expenses:**

To provide discretionary funds for the head of the department in accordance with Section 143 of Act 200, SLH 2003 per Comptroller's Memorandum 2003-22 dated 09/23/03.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,500	2,500	2,500	2,500			
Beginning Cash Balance	1,824	2,112	2,048	1,136	2,291	2,291	2,291
Revenues							
Expenditures	388	452	1,393	239			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV-010 dtd 07/18/16				2,500			
JV-027 dtd 08/08/16				(1,106)			
Net Total Transfers	676	388	481	1,394	0	0	0
Ending Cash Balance	2,112	2,048	1,136	2,291	2,291	2,291	2,291
Encumbrances		29	30	134			
Unencumbered Cash Balance	2,112	2,019	1,106	2,156	2,291	2,291	2,291

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: TRN  
 Prog ID(s): TRN 995  
 Name of Fund: Non-Appropriated  
 Legal Authority Administratively Created

Contact Name: Clarita Hironaka  
 Phone: 587-2211  
 Fund type (MOF) N  
 Appropriation Acct. No. S-505-D (S-15-505-D)

**Intended Purpose:**

Federal monies received from FHWA will be deposited into this account as reimbursement for Oahu Metropolitan Planning Organization.

**Source of Revenues:**

PL-0052(036)

**Current Program Activities/Allowable Expenses:**

OahuMPO consultant contract costs - federal portion

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		2,879,201	2,872,185	2,829,608			
Beginning Cash Balance		0	12,984	15,412	20,256	20,256	20,256
Revenues			45,005	169,394			
Expenditures		7,016	42,577	164,806			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV-048 dtd 09/28/16				256			
Net Total Transfers	0	20,000	0	256	0	0	0
Ending Cash Balance	0	12,984	15,412	20,256	20,256	20,256	20,256
Encumbrances		112,984	469,803	304,997			
Unencumbered Cash Balance	0	(100,000)	(454,391)	(284,741)	20,256	20,256	20,256

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: TRN  
 Prog ID(s): TRN 995  
 Name of Fund: Non-Appropriated  
 Legal Authority: Administratively Created

Contact Name: Clarita Hironaka  
 Phone: 587-2211  
 Fund type (MOF) N  
 Appropriation Acct. No. S-513-D (S-17-513-D)

**Intended Purpose:**

Federal monies received from FHWA will be deposited into this account as reimbursement for Oahu Metropolitan Planning Organization.

**Source of Revenues:**

PL-0052(039)

**Current Program Activities/Allowable Expenses:**

OahuMPO consultant contract costs - federal portion

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance		0	0	0	39,011	39,011	39,011
Revenues				39,011			
Expenditures				0			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	39,011	39,011	39,011	39,011
Encumbrances				792,000			
Unencumbered Cash Balance	0	0	0	(752,989)	39,011	39,011	39,011

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							



Department: TRN  
 Prog ID(s): TRN 995  
 Name of Fund: Bid Bond Deposits - Administration  
 Legal Authority: \_\_\_\_\_

Contact Name: Clarita Hironaka  
 Phone: 587-2211  
 Fund type (MOF) T  
 Appropriation Acct. No. T-905-D

**Intended Purpose:**

Receipt and disbursement of Bid Bond Deposits for contracts that are put out to bid.

**Source of Revenues:**

Receipt of bid bond deposits for contracts that are put out to bid. Interest revenue from the Budget & Finance investment Pool program.

**Current Program Activities/Allowable Expenses:**

Procurement & contracting.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	188,365	63,561	49,425	7,400	67,400	67,400	67,400
Revenues	326,161	165,552	10,343	60,000			
Expenditures	412,155	179,688	52,368	0			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(38,810)	0	0	0	0	0	0
Ending Cash Balance	63,561	49,425	7,400	67,400	67,400	67,400	67,400
Encumbrances							
Unencumbered Cash Balance	63,561	49,425	7,400	67,400	67,400	67,400	67,400

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: TRN  
 Prog ID(s): TRN 995  
 Name of Fund: WASHTO Quality Award Program  
 Legal Authority: \_\_\_\_\_

Contact Name: Clarita Hironaka  
 Phone: 587-2211  
 Fund type (MOF) T  
 Appropriation Acct. No. T-908-D

**Intended Purpose:**

Receipt and disbursement of cash awards for the Employees Incentive Awards Program.

**Source of Revenues:**

WASHTO

**Current Program Activities/Allowable Expenses:**

Cash awards for the Employees Incentive Awards Program.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	3,000	3,000	3,000	3,000	3,000	3,000	3,000
Revenues	3,000	3,000	3,000	3,000			
Expenditures	3,000	3,000	3,000	3,000			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	3,000	3,000	3,000	3,000	3,000	3,000	3,000
Encumbrances							
Unencumbered Cash Balance	3,000	3,000	3,000	3,000	3,000	3,000	3,000

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Airport Revenue Fund (O & M)  
 Legal Authority: HRS 248-8/261-5/261-7

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) B  
 Appropriation Acct. No. S-XX-060-D TO S-XX-087-D

Intended Purpose:  
 Operating Appropriations  
 Source of Revenues:  
 Airport Operating Revenues and Interest Income  
 Current Program Activities/Allowable Expenses:  
 Operating and maintenance expenses including state surcharge and debt service  
 Purpose of Proposed Ceiling Increase (if applicable):

**Variances**

	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	495,993,189	554,091,772	435,788,768	506,282,987	511,320,109	525,867,419	
Beginning Cash Balance	165,864,009	180,459,375	227,550,661	237,572,369	232,765,328	232,765,328	232,765,328
Revenues	121,507	61,061	4,264,906	156,632			
Expenditures	314,396,297	328,938,312	348,122,414	383,913,461	388,096,000	419,128,000	443,898,000
Transfers	328,870,156	375,968,536	353,879,216	378,949,789			
List each by JV# and date							
Net Total Transfers	328,870,156	375,968,536	353,879,216	378,949,789	388,096,000	419,128,000	443,898,000
Ending Cash Balance	180,459,375	227,550,661	237,572,369	232,765,328	232,765,328	232,765,328	232,765,328
Encumbrances	102,342,323	127,413,112	155,728,384	141,565,202			
Unencumbered Cash Balance	78,117,052	100,137,548	81,843,985	91,200,126	232,765,328	232,765,328	232,765,328

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DOT  
 Prog ID(s): TRN 195  
 Name of Fund: Airport Revenue Fund  
 Legal Authority: Act 106, SLH 2012, Section 9.1 and 9.2

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) B  
 Appropriation Acct. No. S-XX-308-D

**Intended Purpose:**

Payment of Interest and principal on rental motor vehicle customer facility charge revenue bonds

**Source of Revenues:**

Collection of rental motor vehicle customer facility charge

**Current Program Activities/Allowable Expenses:**

Payment of Interest and principal on rental motor vehicle customer facility charge revenue bonds. Transfer from Administration

**Purpose of Proposed Ceiling Increase (if applicable):**

**Variances**

Increase in debt service costs due to sale of bonds

<b>Financial Data</b>							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	20,900,000	30,900,000	10,507,207	1,140,000	36,319,000	36,319,000	
Beginning Cash Balance	0	442,195	0	79	(0)	(0)	(0)
Revenues				0			
Expenditures		442,195	523,645	813,952	5,069,000	23,961,000	31,926,000
Transfers			523,724	813,873			
List each by JV# and date							
Net Total Transfers	0	0	523,724	813,873	5,069,000	23,961,000	31,926,000
Ending Cash Balance	0	0	79	(0)	(0)	(0)	(0)
Encumbrances							
Unencumbered Cash Balance	0	0	79	(0)	(0)	(0)	(0)

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Airport Revenue Fund  
 Legal Authority: HRS 248-8/261-5

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) B  
 Appropriation Acct. No. S-XX-361-D

Intended Purpose:  
 Airport Revenue Fund  
 Source of Revenues:  
 Airport operating revenue  
 Current Program Activities/Allowable Expenses:  
 Transferred operating revenues to fund operating activities. No allowable expenses  
 Purpose of Proposed Ceiling Increase (if applicable):

**Variances**

Projected incremental increases based on projected increases in passenger traffic and inflation

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	130,960,086	149,161,962	105,786,091	102,272,805	115,687,885	131,663,904	126,083,904
Revenues	331,504,699	347,457,609	359,063,294	402,407,463	416,057,000	443,153,000	471,705,000
Expenditures							
Transfers	(301,016,462)	(378,468,594)	(349,790,139)	(377,514,391)			
List each by JV# and date							
5% surcharge (per audited F/S)	(12,286,361)	(12,364,886)	(12,786,441)	(11,477,992)			
Net Total Transfers	(313,302,823)	(390,833,479)	(362,576,580)	(388,992,383)	(400,080,981)	(448,733,000)	(487,103,000)
Ending Cash Balance	149,161,962	105,786,091	102,272,805	115,687,885	131,663,904	126,083,904	110,685,904
Encumbrances							
Unencumbered Cash Balance	149,161,962	105,786,091	102,272,805	115,687,885	131,663,904	126,083,904	110,685,904

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Passenger Facility Charge Special Fund  
 Legal Authority: HRS 261-5.5

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) B  
 Appropriation Acct. No. S-XX-363-D

**Intended Purpose:**

Used for Passenger Facility Charge Program (Inception date 10/01/01)

**Source of Revenues:**

Passenger Facility Charge (PFC) Collections and related interest income

**Current Program Activities/Allowable Expenses:**

Collection for above intended purposes. To fund eligible PFC funded CIP projects approved by the Federal Aviation Administration (FAA).

Purpose of Proposed Ceiling Increase (if applicable):

**Variances:**

<b>Financial Data</b>							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	91,830,518	99,107,951	108,276,897	134,522,929	134,300,103	153,935,103	173,278,103
Revenues	34,218,366	36,483,697	38,866,936	38,953,765	41,409,000	42,402,000	43,481,000
Expenditures							
Transfers	(26,940,933)	(27,314,750)	(12,620,904)	(39,176,590)			
List each by JV# and date							
Net Total Transfers	(26,940,933)	(27,314,750)	(12,620,904)	(39,176,590)	(21,774,000)	(23,059,000)	(8,538,000)
Ending Cash Balance	99,107,951	108,276,897	134,522,929	134,300,103	153,935,103	173,278,103	208,221,103
Encumbrances							
Unencumbered Cash Balance	99,107,951	108,276,897	134,522,929	134,300,103	153,935,103	173,278,103	208,221,103

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Rental Motor Vehicle Customer Fac Chg Special Fund  
 Legal Authority: HRS 261-5.6

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) B  
 Appropriation Acct. No. S-XX-364-D

Intended Purpose: Monies collected from the motor vehicle customer facility charge shall be used for the enhancement, renovation, operation, and maintenance of existing rental motor vehicle customer facilities and related services at state airports

Source of Revenues:

Collection of rental motor vehicle customer facility charge

Current Program Activities/Allowable Expenses:

Collection of above intended purposes. To fund improvements to the rental motor vehicle customer facilities at state airports

Purpose of Proposed Ceiling Increase (if applicable):

Variances

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	49,371,707	21,313,337	110,083,199	167,808,469	64,030,122	0	0
Revenues	55,299,432	64,366,563	69,216,192	70,035,526	70,990,000	72,060,000	73,131,000
Expenditures							
Transfers	(83,357,802)	24,403,299	(11,490,922)	(173,813,873)			
List each by JV# and date							
Net Total Transfers	(83,357,802)	24,403,299	(11,490,922)	(173,813,873)	(135,020,122)	(72,060,000)	(73,131,000)
Ending Cash Balance	21,313,337	110,083,199	167,808,469	64,030,122	0	0	0
Encumbrances							
Unencumbered Cash Balance	21,313,337	110,083,199	167,808,469	64,030,122	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Prepaid Airport Use Charge Fund  
 Legal Authority: HRS 248-8/261-5/261-7/39-53/39-61

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) B  
 Appropriation Acct. No. S-XX-365-D

**Intended Purpose:**

To provide for overpayments of Airport Use Charges

**Source of Revenues:**

Airport Use Charge (AUC) overpayment based on airport/airline lease agreement

**Current Program Activities/Allowable Expenses:**

To refund AUC overpayment based on agreed signatory airlines payment plan as approved by the Director of Transportation

**Purpose of Proposed Ceiling Increase (if applicable):**

**Variances**

Payment out is to clear overpayment balance due as agreed to in the payment plan.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	40,671,687	21,797,541	0	0	0	0	0
Revenues							
Expenditures		0					
Transfers	(18,874,146)	0	0	0			
List each by JV# and date							
Net Total Transfers	(18,874,146)	(21,797,541)	0	0	0	0	0
Ending Cash Balance	21,797,541	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	21,797,541	0	0	0	0	0	0

Account Closed. Balance Transferred to Trust Account T-XX-963

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							



**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Reserve for Future CIP  
 Legal Authority: HRS 248-8/261-5/261-7/39-53/39-61

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) D  
 Appropriation Acct. No. S-XX-366-D

Intended Purpose:  
 Provide a reserve for future Airport System Capital Improvement Projects  
 Source of Revenues:  
 Airport operating revenues and interest income  
 Current Program Activities/Allowable Expenses:  
 Transferred to fund the Airport System Capital Improvement Projects  
 Purpose of Proposed Ceiling Increase (if applicable):

Variances

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	57,638,527	53,214,466	58,104,972	34,173,696	27,349,252	18,232,835	9,116,417
Revenues							
Expenditures							
Transfers	(4,424,061)	4,890,506	(23,931,276)	(6,824,443)			
List each by JV# and date							
Net Total Transfers	(4,424,061)	4,890,506	(23,931,276)	(6,824,443)	(9,116,417)	(9,116,417)	(9,116,417)
Ending Cash Balance	53,214,466	58,104,972	34,173,696	27,349,252	18,232,835	9,116,417	0
Encumbrances							
Unencumbered Cash Balance	53,214,466	58,104,972	34,173,696	27,349,252	18,232,835	9,116,417	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Reserve for Airline Rate Mitigation  
 Legal Authority: HRS 248-8/261-5/261-7/39-53/39-61

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) D  
 Appropriation Acct. No. S-XX-367-D

**Intended Purpose:**

To provide a reserve for payment of interest on revenue bonds and to be in compliance with revenue bond covenants

**Source of Revenues:**

Aiport operating revenues and interest income

**Current Program Activities/Allowable Expenses:**

Used to meet the calculation of net revenue and taxes. To provide funding for debt service - Interest portion based on airport/airline lease agreement

**Purpose of Proposed Ceiling Increase (if applicable):**

**Variances**

<b>Financial Data</b>							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues							
Expenditures							
Transfers	0	0	0	0			
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Reserve for O & M Expenses  
 Legal Authority: HRS 248-8/261-5/261-7/39-53/39-61

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) D  
 Appropriation Acct. No. S-XX-368-D

Intended Purpose: Providing a reserve for payment of operating and maintenance expenses. Also for deficiencies in any other account for lawful airports system purpose. Amount on deposit should be equal to 25% of the budgeted operating and maintenance expenses.

Source of Revenues:

Aiport operating revenues and interest income.

Current Program Activities/Allowable Expenses:

Reserve only. No current activity

Purpose of Proposed Ceiling Increase (if applicable):

Variances

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	67,110,506	69,420,151	77,857,740	84,064,428	84,064,428	91,016,428	91,016,428
Revenues							
Expenditures							
Transfers	2,309,645	8,437,589	6,206,688	0			
List each by JV# and date							
Net Total Transfers	2,309,645	8,437,589	6,206,688	0	6,952,000	0	5,653,000
Ending Cash Balance	69,420,151	77,857,740	84,064,428	84,064,428	91,016,428	91,016,428	96,669,428
Encumbrances							
Unencumbered Cash Balance	69,420,151	77,857,740	84,064,428	84,064,428	91,016,428	91,016,428	96,669,428

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Debt Service Funded Coverage  
 Legal Authority: HRS 248-8/261-5/261-7/39-53/39-61

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) D  
 Appropriation Acct. No. S-XX-369-D

**Intended Purpose:**

To cover shortfalls in debt service coverage requirements. Minimum deposit must be 25% of current year debt service

**Source of Revenues:**

Airport operating revenues and interest income

**Current Program Activities/Allowable Expenses:**

Reserve only. No current activity

**Purpose of Proposed Ceiling Increase (if applicable):**

**Variances**

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	24,223,252	19,311,268	19,311,268	22,338,019	22,338,019	21,802,000	24,446,000
Revenues							
Expenditures							
Transfers							
List each by JV# and date	(4,911,984)	0	3,026,751	0			
Net Total Transfers	(4,911,984)	0	3,026,751	0	(536,019)	2,644,000	2,626,000
Ending Cash Balance	19,311,268	19,311,268	22,338,019	22,338,019	21,802,000	24,446,000	27,072,000
Encumbrances							
Unencumbered Cash Balance	19,311,268	19,311,268	22,338,019	22,338,019	21,802,000	24,446,000	27,072,000

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Airport System Interest Account  
 Legal Authority: HRS 248-8/261-5/261-7/39-53/39-61

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) D  
 Appropriation Acct. No. S-XX-375-D

**Intended Purpose:**

Used for payment of interest on outstanding bonds

**Source of Revenues:**

Airport operating revenues and interest income

**Current Program Activities/Allowable Expenses:**

Payment of interest on outstanding revenue bonds

**Purpose of Proposed Ceiling Increase (if applicable):**

**Variances**

Increase is dependent on bond sale for airport improvement program

<b>Financial Data</b>							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	23,068,731	21,672,006	20,757,862	35,445,920	27,942,602	27,942,602	27,942,602
Revenues	43,033,987	41,515,725	60,346,535	50,416,476	46,454,000	55,199,000	63,598,000
Expenditures	43,810,662	42,274,856	40,735,372	52,685,366	46,454,000	55,199,000	63,598,000
Transfers	(620,050)	(155,012)	(4,923,105)	(5,234,429)			
List each by JV# and date							
Net Total Transfers	(620,050)	(155,012)	(4,923,105)	(5,234,429)			
Ending Cash Balance	21,672,006	20,757,862	35,445,920	27,942,602	27,942,602	27,942,602	27,942,602
Encumbrances							
Unencumbered Cash Balance	21,672,006	20,757,862	35,445,920	27,942,602	27,942,602	27,942,602	27,942,602

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Airport System Serial & Principal Account  
 Legal Authority: HRS 248-8/261-5/261-7/39-53/39-61

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) D  
 Appropriation Acct. No. S-XX-376-D

**Intended Purpose:**

Used for principal payments on outstanding serial bonds

**Source of Revenues:**

Airport operating revenues and interest income

**Current Program Activities/Allowable Expenses:**

Payment of principal on outstanding serial revenue bonds

Purpose of Proposed Ceiling Increase (if applicable):

**Variances**

Increase is dependent on bond sale for airport improvement program

<b>Financial Data</b>							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	40,305,000	34,210,000	35,725,000	37,290,000	38,935,000	38,935,000	38,935,000
Revenues	34,210,000	35,725,000	37,290,000	38,935,000	40,755,000	42,585,000	44,690,000
Expenditures	40,305,000	34,210,000	35,725,000	37,290,000	40,755,000	42,585,000	44,690,000
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	34,210,000	35,725,000	37,290,000	38,935,000	38,935,000	38,935,000	38,935,000
Encumbrances							
Unencumbered Cash Balance	34,210,000	35,725,000	37,290,000	38,935,000	38,935,000	38,935,000	38,935,000

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Airport System Debt Service Reserve  
 Legal Authority: HRS 248-8/261-5/261-7/39-53/39-61

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) D  
 Appropriation Acct. No. S-XX-378-D

Intended Purpose: Used as a reserve for the payment of principal, interest, and premium for bonds outstanding. The amount on deposit should be equal to the maximum aggregate of debt outstanding for all bonds in any future year.

Source of Revenues:

Proceeds for the sale of Airport System Revenue Bonds

Current Program Activities/Allowable Expenses:

Principal interest and premiums relating to bonds outstanding. No activity

Purpose of Proposed Ceiling Increase (if applicable):

Variances

Increase in revenue dependent on bond sale for airport improvement program

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	96,893,008	96,893,008	96,893,008	96,893,008	96,893,008	96,893,008	120,863,008
Revenues						23,970,000	25,845,000
Expenditures							
Transfers				0			
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	96,893,008	96,893,008	96,893,008	96,893,008	96,893,008	120,863,008	146,708,008
Encumbrances							
Unencumbered Cash Balance	96,893,008	96,893,008	96,893,008	96,893,008	96,893,008	120,863,008	146,708,008

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments	96,893,008	96,893,008	96,893,008	96,893,008	96,893,008	120,863,008	146,708,008

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Airport System Major Maintenance, Renewal/Replacement  
 Legal Authority: HRS 248-8/261-5/261-7/39-53/39-61

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) D  
 Appropriation Acct. No. S-XX-379-D

Intended Purpose: To support deficiencies in the interest account, serial bond principal account, and the sinking fund. Also to fund major maintenance and repair projects not recurring annually in nature.

Source of Revenues:

Airport operating revenues and interest account

Current Program Activities/Allowable Expenses:

To fund eligible projects meeting the funding criteria for major maintenance, renewal and replacement account in accordance with the bond resolution

Purpose of Proposed Ceiling Increase (if applicable):

Variances

Estimated expenditures dependent on ongoing and future projects for airport programs

<b>Financial Data</b>							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	59,980,198	59,898,925	60,000,000	60,000,000	60,000,000	60,000,000	60,000,000
Revenues							
Expenditures	101,075	26,808	0	0	500,000	3,000,000	3,000,000
Transfers	19,802	127,883	0	0			
List each by JV# and date							
Net Total Transfers	19,802	127,883	0	0	500,000	3,000,000	3,000,000
Ending Cash Balance	59,898,925	60,000,000	60,000,000	60,000,000	60,000,000	60,000,000	60,000,000
Encumbrances	54,909						
Unencumbered Cash Balance	59,844,016	60,000,000	60,000,000	60,000,000	60,000,000	60,000,000	60,000,000

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							



Department: DOT  
 Prog ID(s): TRN - 195  
 Name of Fund: Airport System Legis Claims Against The State-Airports  
 Legal Authority: A 19/SL 17

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) B  
 Appropriation Acct. No. S-XX-395-D

Intended Purpose:  
 To satisfy claims for legislative release. (Helix Electric, Inc. v. DCK Pacific Construction, LLC.)  
 Source of Revenues:  
 Airport Revenue Fund  
 Current Program Activities/Allowable Expenses:  
 Payments to subcontractors and suppliers for claims: judgements, settlements, or other liabilities.  
 Purpose of Proposed Ceiling Increase (if applicable):

#### Variances

Estimated expenditures dependent on claims

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				10,080,000			
Beginning Cash Balance	0	0	0	0	10,080,000	0	0
Revenues							
Expenditures	0	0	0	0	10,080,000	0	0
Transfers	0	0	0	10,080,000			
List each by JV# and date							
Net Total Transfers	0	0	0	10,080,000	0	0	0
Ending Cash Balance	0	0	0	10,080,000	0	0	0
Encumbrances	0						
Unencumbered Cash Balance	0	0	0	10,080,000	0	0	0

#### Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Bond Revenue CIP Accounts  
 Legal Authority: HRS 39-53/39-61

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) E  
 Appropriation Acct. No. B-XX-XXX

Intended Purpose:  
 Bond funded capital improvement projects  
 Source of Revenues:  
 Sale of Bond  
 Current Program Activities/Allowable Expenses:  
 Funded capital improvement projects  
 Purpose of Proposed Ceiling Increase (if applicable):  
 New capital improvement projects will be implemented  
 Variances

Revenue and expenditures are dependent on the status of various construction projects ongoing for the airport modernization program

<b>Financial Data</b>							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	213,417,000	536,540,000	251,743,000	348,919,000	500,437,000	153,017,000	0
Beginning Cash Balance	213,141,261	144,748,530	43,114,377	205,066,630	172,555,085	0	0
Revenues			250,006,365	0		250,000,000	292,489,000
Expenditures	68,392,731	101,634,153	88,054,112	75,511,545	172,555,085	250,000,000	292,489,000
Transfers				43,000,000			
List each by JV# and date							
Net Total Transfers	0	0	0	43,000,000	0	0	0
Ending Cash Balance	144,748,530	43,114,377	205,066,630	172,555,085	0	0	0
Encumbrances	483,912,419	405,371,526	813,157,977	0			
Unencumbered Cash Balance	(339,163,889)	(362,257,150)	(608,091,347)	172,555,085	0	0	0

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds			250,006,365	0	0	250,000,000	292,489,000
EB-5							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DOT  
 Prog ID(s): TRN 114  
 Name of Fund: General Obligation Bond Fund  
 Legal Authority: HRS 39

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) C  
 Appropriation Acct. No. B-XX-700/B-XX-701

**Intended Purpose:**

Various Improvements to Ellison Onizuka Space Museum/KONA Airport Fed Inspection Station

**Source of Revenues:**

General Obligation Bond

**Current Program Activities/Allowable Expenses:**

Capital improvement project

**Purpose of Proposed Ceiling Increase (if applicable):**

**Variances**

<b>Financial Data</b>							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,000,000	3,000,000	3,000,000	2,500,000	2,500,000	2,500,000	
Beginning Cash Balance			0	0	0	0	0
Revenues						2,500,000	
Expenditures						2,500,000	
Transfers							
List each by JV# and date							
Net Total Transfers			0	0	0	0	0
Ending Cash Balance			0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance			0	0	0	0	0

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds					0	2,500,000	
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Special State CIP  
 Legal Authority: HRS 248-8/261-5

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) B  
 Appropriation Acct. No. S-XX-7XX-D, S-XX-8XX-D

Intended Purpose:  
 Special funded capital improvement projects  
 Source of Revenues:  
 Airport operating revenue  
 Current Program Activities/Allowable Expenses:  
 Funded capital improvement projects  
 Purpose of Proposed Ceiling Increase (if applicable):

**Variances**

Expenditures are dependent on the status of various construction projects ongoing for the airport modernization program

<b>Financial Data</b>							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	12,470,000	11,450,000	15,300,000	61,730,000	26,203,000	69,020,000	
Beginning Cash Balance	71,730,029	62,176,381	64,690,663	60,885,348	71,212,914	50,996,332	47,887,749
Revenues		0		0			
Expenditures	13,977,709	24,827,418	6,810,741	(3,503,123)	29,333,000	12,225,000	87,000
Transfers	4,424,061	27,341,700	3,005,426	6,824,443			
List each by JV# and date							
Net Total Transfers	4,424,061	27,341,700	3,005,426	6,824,443	9,116,417	9,116,417	9,116,417
Ending Cash Balance	62,176,381	64,690,663	60,885,348	71,212,914	50,996,332	47,887,749	56,917,167
Encumbrances	40,350,438	57,466,425	92,060,265	94,859,694			
Unencumbered Cash Balance	21,825,943	7,224,239	(31,174,917)	(23,646,780)	50,996,332	47,887,749	56,917,167

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Special State CIP - Funded by Others  
 Legal Authority: HRS 261-5.5

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) X  
 Appropriation Acct. No. S-XX-9XX-D

Intended Purpose:  
 Used to fund projects funded by the Passenger Facility Charge Program & other contributions  
 Source of Revenues:  
 Passenger Facility Charges Funds  
 Current Program Activities/Allowable Expenses:  
 Funded approved capital improvement projects  
 Purpose of Proposed Ceiling Increase (if applicable):  
 New capital improvement project will be implemented  
 Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	46,204,000	100,000	125,000	0	19,325,000	28,125,000	
Beginning Cash Balance	10,278,289	29,831,970	9,559,053	14,519,350	15,703,315	4,488,887	4,488,887
Revenues				0			
Expenditures	7,387,252	16,103,989	28,697,307	37,341,808	32,988,427	23,059,000	8,538,000
Transfers	26,940,933	(4,168,928)	33,657,604	38,525,773			
List each by JV# and date							
Net Total Transfers	26,940,933	(4,168,928)	33,657,604	38,525,773	21,774,000	23,059,000	8,538,000
Ending Cash Balance	29,831,970	9,559,053	14,519,350	15,703,315	4,488,887	4,488,887	4,488,887
Encumbrances	61,808,632	6,008,372	27,726,316	11,214,427			
Unencumbered Cash Balance	(31,976,662)	3,550,681	(13,206,966)	4,488,887	4,488,887	4,488,887	4,488,887

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Special State CIP - Funded by Others  
 Legal Authority: HRS 261-5.6

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) X/B  
 Appropriation Acct. No. S-XX-9XX-D; S-XX-7XX-D

**Intended Purpose:**

Used to fund projects funded by the Consolidated Rent A Car Facility Charge Program

**Source of Revenues:**

Rent A Car Facility Charges (CFC) Funds

**Current Program Activities/Allowable Expenses:**

Funded approved capital improvement projects

**Purpose of Proposed Ceiling Increase (if applicable):**

New capital improvement project will be implemented

**Variances:**

Expenditures are dependent on the status of various construction projects ongoing for the airport modernization program

<b>Financial Data</b>							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	90,000,000	42,000,000	86,811,000	0			
Beginning Cash Balance	28,792,886	106,478,703	71,946,658	48,431,290	79,322,128	183,244,250	139,295,250
Revenues		34,910,142		41,089,858	230,000,000	127,000,000	0
Expenditures	5,671,985	43,848,165	34,623,020	140,199,020	256,029,000	219,048,000	14,214,000
Transfers	83,357,802	(25,594,022)	11,107,652	130,000,000			
List each by JV# and date							
Net Total Transfers	83,357,802	(25,594,022)	11,107,652	130,000,000	129,951,122	48,099,000	41,205,000
Ending Cash Balance	106,478,703	71,946,658	48,431,290	79,322,128	183,244,250	139,295,250	166,286,250
Encumbrances	222,748,193	179,715,500	256,601,553	284,968,311			
Unencumbered Cash Balance	(116,269,490)	(107,768,842)	(208,170,263)	(205,646,183)	183,244,250	139,295,250	166,286,250

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
EB-5		34,910,142					
CFC					230,000,000	127,000,000	
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Federal CIP  
 Legal Authority: Title 49 United State Code (Grants)

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) N  
 Appropriation Acct. No. S-XX-16X-D to S-XX-19X-D;  
S-XX-26X-D to S-XX-29X-D;

**Intended Purpose:**

Capital improvement projects

**Source of Revenues:**

Federal Grants

**Current Program Activities/Allowable Expenses:**

Capital improvement projects

**Purpose of Proposed Ceiling Increase (if applicable):**

New capital improvement project will be implemented; additional funds required for for existing appropriations

**Variances**

Revenue and expenditures are dependent on the status of various construction projects ongoing for the airport modernization program

<b>Financial Data</b>							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	64,025,000	12,810,000	47,875,000	44,205,000	11,002,000	3,000	
Beginning Cash Balance	7,722,885	13,463,387	13,910,085	15,463,906	13,269,320	13,269,320	13,269,320
Revenues	58,613,115	40,078,813	28,892,579	11,757,789	26,419,000	26,524,000	26,630,000
Expenditures	56,138,751	39,556,205	25,813,439	13,663,472	26,419,000	26,524,000	26,630,000
Transfers	3,266,138	(75,911)	(1,525,319)	(288,903)			
List each by JV# and date							
Net Total Transfers	3,266,138	(75,911)	(1,525,319)	(288,903)	0	0	0
Ending Cash Balance	13,463,387	13,910,085	15,463,906	13,269,320	13,269,320	13,269,320	13,269,320
Encumbrances	40,766,888	40,521,771	45,701,388	51,143,021			
Unencumbered Cash Balance	(27,303,501)	(26,611,687)	(30,237,482)	(37,873,702)	13,269,320	13,269,320	13,269,320

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2015 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Federal O & M  
 Legal Authority: Title 49 United State Code (Grants)

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) N  
 Appropriation Acct. No. S-XX-20X-D to S-XX-25X-D  
S-XX-50X-D

Intended Purpose:  
 Federal portion of projects matched by operating funds  
 Source of Revenues:  
 Federal grants  
 Current Program Activities/Allowable Expenses:  
 Operating and maintenance expenses  
 Purpose of Proposed Ceiling Increase (if applicable):

**Variances**

Expenditures are dependent on the status of various construction projects ongoing for the airport modernization program

<b>Financial Data</b>							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,450,000	7,037,250	9,695,545	10,881,000	8,244,750	3,606,000	
Beginning Cash Balance	4,422,415	1,327,075	1,131,366	1,032,386	1,251,256	1,251,256	1,251,256
Revenues	2,178,911	251,975	270,728	1,907,150	2,000,000	2,000,000	2,000,000
Expenditures	2,008,113	523,595	1,895,027	1,977,184	2,000,000	2,000,000	2,000,000
Transfers	(3,266,138)	75,911	1,525,319	288,903			
List each by JV# and date							
Net Total Transfers	(3,266,138)	75,911	1,525,319	288,903	0	0	0
Ending Cash Balance	1,327,075	1,131,366	1,032,386	1,251,256	1,251,256	1,251,256	1,251,256
Encumbrances	857,718	4,743,121	4,989,448	0			
Unencumbered Cash Balance	469,357	(3,611,755)	(3,957,062)	1,251,256	1,251,256	1,251,256	1,251,256

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							



**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Special State CIP - Funded by Others  
 Legal Authority: Legislative Acts & Prior Year Rollovers

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) R  
 Appropriation Acct. No. S-XX-9XX-D

Intended Purpose:  
 Used to fund project funded by private contribution  
 Source of Revenues:

Current Program Activities/Allowable Expenses:  
 Funded approved capital improvement project  
 Purpose of Proposed Ceiling Increase (if applicable):

**Variances**

<b>Financial Data</b>							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				3,995,000			
Beginning Cash Balance	131	131	0	0	0	0	0
Revenues	0	0					
Expenditures	0	0					
Transfers	0	(131)	0	0			
List each by JV# and date							
Net Total Transfers	0	(131)	0	0	0	0	0
Ending Cash Balance	131	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	131	0	0	0	0	0	0

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DOT  
 Prog ID(s): TRN 195  
 Name of Fund: Temporary Deposits  
 Legal Authority: HRS 261-5/261-7

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) T  
 Appropriation Acct. No. T-XX-961

Intended Purpose:  
 Security and Other Deposits  
 Source of Revenues:  
 Customer security and other deposits  
 Current Program Activities/Allowable Expenses:  
 Received and refunded security and other deposits  
 Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	6,491,195	5,866,733	55,541,397	7,387,041	8,452,929	8,452,929	8,452,929
Revenues	851,445	202,145,900	1,647,910	1,450,957			
Expenditures	1,475,907	152,471,236	431,613	385,070			
Transfers			(49,370,653)	0			
List each by JV# and date							
Net Total Transfers			(49,370,653)	0			
Ending Cash Balance	5,866,733	55,541,397	7,387,041	8,452,929	8,452,929	8,452,929	8,452,929
Encumbrances							
Unencumbered Cash Balance	5,866,733	55,541,397	7,387,041	8,452,929	8,452,929	8,452,929	8,452,929

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Prepaid Airport Use Charge Fund  
 Legal Authority: HRS 248-8/261-5/261-7/39-53/39-61

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) T  
 Appropriation Acct. No. T-XX-963-D

**Intended Purpose:**

To provide for overpayments of Airport Use Charges

**Source of Revenues:**

Airport Use Charge (AUC) overpayment based on airport/airline lease agreement

**Current Program Activities/Allowable Expenses:**

To refund AUC overpayment based on agreed signatory airlines payment plan as approved by the Director of Transportation

**Purpose of Proposed Ceiling Increase (if applicable):**

**Variances**

Payment out is to clear overpayment balance due as agreed to in the payment plan.

<b>Financial Data</b>							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	8,591,873	9,245,460	10,350,275	9,350,275	8,350,275
Revenues	0	0	4,653,587	1,104,816			
Expenditures	0	0	4,000,000	0	1,000,000	1,000,000	1,000,000
Transfers		8,591,873		0			
List each by JV# and date							
Net Total Transfers		8,591,873					
Ending Cash Balance	0	8,591,873	9,245,460	10,350,275	9,350,275	8,350,275	7,350,275
Encumbrances							
Unencumbered Cash Balance	0	8,591,873	9,245,460	10,350,275	9,350,275	8,350,275	7,350,275

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Energy Savings Performance Contract  
 Legal Authority: HRS 36-41

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) T  
 Appropriation Acct. No. T-XX-964-D

Intended Purpose:  
 ESCO/ESPC Energy Savings  
 Source of Revenues:  
 Savings from Utilities and Interest Income  
 Current Program Activities/Allowable Expenses:  
 Payment of P&I, COPS Issuance costs and trustee fees  
 Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
			(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	40,778,779	24,306,466	69,085,173	16,774,000	16,774,000
Revenues	0	0	8,090,011	51,576,368			
Expenditures	0	0	24,562,324	6,797,661	52,311,173		
Transfers		40,778,779		0			
List each by JV# and date							
Net Total Transfers		40,778,779					
Ending Cash Balance	0	40,778,779	24,306,466	69,085,173	16,774,000	16,774,000	16,774,000
Encumbrances							
Unencumbered Cash Balance	0	40,778,779	24,306,466	69,085,173	16,774,000	16,774,000	16,774,000

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2018 Legislature

Department: <u>TRN</u>		Contact Name: <u>Kendrick Au</u>					
Prog ID(s): <u>TRN 301-395</u>		Phone: <u>587-1893</u>					
Name of Fund: <u>Revenue Bonds</u>		Fund type (MOF) <u>Bond Funds</u>					
Legal Authority <u>A345/SL 86 - A124/SL 16</u>		Appropriation Acct. No. <u>B-86-651-D to B-17-670</u>					
Intended Purpose: To Fund CIP Projects in Accordance with Bond Covenants							
Source of Revenues: Revenue Bond Proceeds							
Current Program Activities/Allowable Expenses: CIP Projects							
Purpose of Proposed Ceiling Increase (if applicable):							
Variances: Expenditures are expected to increase from FY2018 through FY2020 due to the increased construction activity related to the "Build-out" of the KMR Piers and Container Yard Facilities at the Honolulu Harbor.							
<b>Financial Data</b>							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	155,538,578	144,147,370	111,761,268	81,310,073	127,610,233	58,659,698	194,333,640
Revenues	-	-				250,000,000	
Expenditures	11,391,208	32,386,102	30,451,195	23,699,840	78,950,535	114,542,057	60,000,000
Transfers							
List each by JV# and date							
Transfer for the Purpose to Loan Special Funds Cash Financing				70,000,000	10,000,000	15,000,000	
Transfer from Bond Proceeds to Debt Service Reserve per Requirements of Debt Issuance						(14,784,000)	
Net Total Transfers	0	0	0	70,000,000	10,000,000	216,000	0
Ending Cash Balance	144,147,370	111,761,268	81,310,073	127,610,233	58,659,698	194,333,640	134,333,640
Encumbrances	74,016,134	86,529,524	62,783,710	34,495,171	123,201,755	135,457,943	
Unencumbered Cash Balance	70,131,236	25,231,744	18,526,363	93,115,062	(64,542,057)	58,875,697	134,333,640
<b>Additional Information:</b>							
Amount Req. for Bond Conveyance							
Amount Held in CODs, Escrow Accounts, or Other Investments		-				14,784,000	
Form 37-47 (rev. 9/27/16)							

**Report on Non-General Fund Information**  
for Submittal to the 2018 Legislature

Department: <u>TRN</u>	Contact Name: <u>Kendrick Au</u>							
Prog ID(s): <u>TRN 301-395</u>	Phone: <u>587-1893</u>							
Name of Fund: <u>Federal Operating Allot (Type A)</u>	Fund type (MOF) <u>Special Funds (N &amp; V Funds)</u>							
Legal Authority <u>Fed Fund</u>	Appropriation Acct. No. <u>S-09-248-D to S-16-511-D</u>							
Intended Purpose: <u>State Funds Match Required by Federal Grants</u>								
Source of Revenues: <u>Loans from Harbors Special Funds</u>								
Current Program Activities/Allowable Expenses: <u>Port Security Improvements</u>								
Purpose of Proposed Ceiling Increase (if applicable):								
Variances								
Financial Data								
		FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
		(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling								
Beginning Cash Balance		2,075,029	2,075,033	2,075,039	1,023,818	105,456	0	0
Revenues		4	6					
Expenditures				160,273		105,456		
Transfers								
List each by JV# and date								
Loan from Harbors Special Fund					103,505			
Repayment of Loans Made by Harbors Special Fund				(890,948)	(1,021,867)			
Net Total Transfers				(890,948)	(918,362)			
Ending Cash Balance		2,075,033	2,075,039	1,023,818	105,456	0	0	0
Encumbrances								
Unencumbered Cash Balance		2,075,033	2,075,039	1,023,818	105,456	0	0	0
<u>Additional Information:</u>								
Amount Req. for Bond Conveyance								
Amount from Bond Proceeds								
Amount Held in CODs, Escrow								

**Report on Non-General Fund Information**  
for Submittal to the 2018 Legislature

Department: <u>TRN</u>	Contact Name: <u>Kendrick Au</u>
Prog ID(s): <u>TRN 301-395</u>	Phone: <u>587-1893</u>
Name of Fund: <u>State CIP</u>	Fund type (MOF) <u>Special Funds (B &amp; R Funds)</u>
Legal Authority <u>A296/SL 91 - A124/SL 16</u>	Appropriation Acct. No. <u>S-91-627-D to S-17-620-D</u>

Intended Purpose: To Fund CIP Projects with Specific Appropriations

Source of Revenues: Harbors Special Fund

Current Program Activities/Allowable Expenses: CIP Projects

Purpose of Proposed Ceiling Increase (if applicable):

Variances: FY2018 CIP expenditures for projects funded by Harbors Cash Balances, other than Harbors Modernization Projects, are planned to proceed.

Financial Data								
		FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
		(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		20,000,000	30,000,000	30,000,000	30,000,000	30,000,000	30,000,000	30,000,000
Beginning Cash Balance		42,909,731	39,200,359	35,641,152	40,939,836	39,217,990	46,188,546	41,684,546
Revenues			4,821	900,000				
Expenditures		3,128,892	9,009,775	4,790,326	6,651,846	17,091,444	15,000,000	15,000,000
Transfers In			5,445,747	9,189,010				
List each by JV# and date								
Trf fm State Operating to CIP Per Sch FY09 CIP Trf								
Reversion of Lapsed CIP Funds FY09 JS1038								
Net Transfer / Reversion of CIP Funds		(580,480)						
Trf fm State Operating to CIP per FY18 Multi-Year					4,930,000	24,062,000	10,496,000	28,109,000
Net Total Transfers		(580,480)	5,445,747	9,189,010	4,930,000	24,062,000	10,496,000	28,109,000
Ending Cash Balance		39,200,359	35,641,152	40,939,836	39,217,990	46,188,546	41,684,546	54,793,546
Encumbrances		15,309,780	8,852,183	18,841,578	17,091,444	15,000,000	15,000,000	15,000,000
Unencumbered Cash Balance		23,890,579	26,788,969	22,098,258	22,126,546	31,188,546	26,684,546	39,793,546
Additional Information:								
Amount from Bond Proceeds								
Amount Held in CODs, Escrow Accounts, or Other Investments								

**Report on Non-General Fund Information**  
for Submittal to the 2018 Legislature

Department: TRN  
 Prog ID(s): TRN 301-395  
 Name of Fund: Federal CIP  
 Legal Authority Fed Fund - A91/SL99 - A124/SL 16

Contact Name: Kendrick Au  
 Phone: 587-1893  
 Fund type (MOF) Special Funds (N & V Funds)  
 Appropriation Acct. No. S-94-269-D to S-14-650-D

Intended Purpose: Cash Allotments to Provide for State Funds to Match Federal Grant Funds

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Increase (if applicable):

Variances

Financial Data								
		FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
		(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling								
Beginning Cash Balance		13,131,554	13,131,554	1,575,295	328,525	328,525	328,525	328,525
Revenues		948,492	284,458	0	0	0	0	0
Expenditures		948,492	284,458	217,450	0	0	0	0
Transfers								
List each by JV# and date								
Other Transfer			(11,556,259)					
Loans In from State Operating Allot								
Loans In from State Operating Allot								
TIGER ARRA								
Repayment of Loans Made by Harbors								
Special Fund				(1,029,320)				
Net Total Transfers		0	(11,556,259)	(1,029,320)	0	0	0	0
Ending Cash Balance		13,131,554	1,575,295	328,525	328,525	328,525	328,525	328,525
Encumbrances		75,585	75,585	75,585	75,585	75,585	75,585	75,585
Unencumbered Cash Balance		13,055,969	1,499,710	252,940	252,940	252,940	252,940	252,940

Additional Information:

Amount Req. for Bond Conveyance								
Amount from Bond Proceeds								
Amount Held in CODs, Escrow								
Form 37-47 (rev. 10/14/15)								



**Report on Non-General Fund Information**  
for Submittal to the 2018 Legislature

Department: <u>TRN</u>	Contact Name: <u>Kendrick Au</u>						
Prog ID(s): <u>TRN 301-395</u>	Phone: <u>587-1893</u>						
Name of Fund: <u>Revolving Funds</u>	Fund type (MOF) <u>Special Funds (W &amp; B Funds)</u>						
Legal Authority <u>Bond Resoln &amp; S266-19 HRS</u>	Appropriation Acct. No. <u>S-97-386-D to S-17-349-D</u>						
Intended Purpose: <u>Debt Service Cash Reserves to Comply With Bond Certificate of the DOT Director for Revenue Bonds Issued</u>							
Source of Revenues: <u>Transfers of Funds from TRN 395 (Harbors Administration Operating Unit)</u>							
Current Program Activities/Allowable Expenses: <u>Repay Revenue Bond Debt</u>							
Purpose of Proposed Ceiling Increase (if applicable):							
Variances: <u>Expenditures increase from FY2018 to FY2019 due to the increased debt service related to the 2019 Revenue Bond Issue to fund the KMR Piers and Container Yard.</u>							
<b>Financial Data</b>							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	42,574,852	42,696,495	42,836,819	43,030,157	42,450,070	42,450,070	57,234,070
Revenues	32,101,220	31,416,215	31,401,847	30,596,323	29,113,000	32,923,000	44,889,000
Expenditures	31,979,577	31,275,891	31,186,685	31,176,410	29,113,000	32,923,000	44,889,000
Transfers							
List each by JV# and date							
Transfer to Increase Stores Acct	0	0	(21,824)				
Trfs for Debt Svc Reserve:							
Amounts Held in Reserve per 10th Suppl Certificate, 2019 Bond Issue	0	0	0	0	0	14,784,000	0
Monthly Trfs to Bond Debt Svc Reserve from State Operating Allot for TRN395 All Revenue Bond					-		
Net Total Transfers	0	0	(21,824)	0	0	14,784,000	0
Ending Cash Balance	42,696,495	42,836,819	43,030,157	42,450,070	42,450,070	57,234,070	57,234,070
Encumbrances							
Unencumbered Cash Balance	42,696,495	42,836,819	43,030,157	42,450,070	42,450,070	57,234,070	57,234,070
<b>Additional Information:</b>							
Amount Req. for Bond Conveyance							
Amount from Bond Proceeds						14,784,000	
Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2018 Legislature

Department:	<u>TRN</u>	Contact Name:	<u>Kendrick Au</u>				
Prog ID(s):	<u>TRN 301-395</u>	Phone:	<u>587-1893</u>				
Name of Fund:	<u>Federal Operating Appropriation Type F</u>	Fund type (MOF)	<u>Special Funds (N Funds)</u>				
Legal Authority	<u>Fed Fund</u>	Appropriation Acct. No.	<u>S-97-296</u>				
Intended Purpose: To Fund Projects for Disaster Recovery from Hurricane Iniki; Cash Balances Were Transferred in FY2015 Because This Purpose Has Expired.							
Source of Revenues: Loans from Harbors Special Funds							
Current Program Activities/Allowable Expenses:							
Purpose of Proposed Ceiling Increase (if applicable):							
Variances							
<b>Financial Data</b>							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	2,802	2,802	0	0	0	0	0
Revenues							
Expenditures		2,802					
Transfers							
List each by JV# and date		0					
Net Total Transfers		0					
Ending Cash Balance	2,802	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	2,802	0	0	0	0	0	0
<u>Additional Information:</u>							
Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2018 Legislature

Department: <u>TRN</u>	Contact Name: <u>Kendrick Au</u>						
Prog ID(s): <u>TRN 301-395</u>	Phone: <u>587-1893</u>						
Name of Fund: <u>Harbor Special Fund</u>	Fund type (MOF) <u>Special Funds (B Funds)</u>						
Legal Authority <u>S266-19 HRS</u>	Appropriation Acct. No. <u>S-13-341-D to S-17-341-D</u>						
Intended Purpose: Parent Fund for the Commercial Harbors System into							
Source of Revenues: User Fees Assessed to Harbor Users, Primarily Wharfage Fees, Port Entry Fees, Dockage Fees and Rents							
Current Program Activities/Allowable Expenses: Transfers of Funds to Operating Units and for Cash Funded CIP Projects							
Purpose of Proposed Ceiling Increase (if applicable):							
Variances:							
<b>Financial Data</b>							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	90,618,881	128,489,000	109,143,801	109,143,801	114,578,643	115,005,333	115,005,333
Beginning Cash Balance	97,638,817	129,921,778	144,140,819	145,442,722	91,604,678	78,901,035	91,243,702
Revenues Estimates Reflect Revenues per MYFP of 10/12/17	111,770,283	111,124,534	120,043,711	131,996,682	135,937,000	152,844,000	158,360,000
Expenditures	0	0	0	0	0	0	0
<b>Transfers</b>							
List each by JV# and date							
Transfer to Increase Stores Acct							
Net Transfer / Reversion of CIP Funds	580,480	33,074,914					
Trf to State CIP per FY18 Multi-Year	0			(4,930,000)	(24,062,000)	(10,496,000)	(28,109,000)
Trf to Program Appropriations for Harbors Operations	(77,974,837)	(127,834,407)	(107,079,151)	(110,052,123)	(111,969,643)	(112,344,333)	(111,842,000)
Other Transfer Departmental Pro-Rata Share to TRN 995	(2,104,373)	(2,146,000)	(2,473,647)	(2,557,828)	(2,609,000)	(2,661,000)	(2,714,000)
Trf to State CIP Restricted Cash			(9,189,010)	1,705,225			
Other Transfers - Net	11,408			0			
Loan Out to Federal CIP TIGER ARRA Pier 29 & ARRA PSGP Fence							
Loans Out to Fed Operating Funds Appropriation Type A							
Loans of Special Funds to Fund Revenue Bond Fund Appropriations				(70,000,000)	(10,000,000)	(15,000,000)	
Repayment of Loans Made to Federal Appropriations	0						
Revenue Bond Funds Originally Advanced from State Operating Funds to HMP							
<b>Net Total Transfers</b>	(79,487,322)	(96,905,493)	(118,741,808)	(185,834,726)	(148,640,643)	(140,501,333)	(142,665,000)
Ending Cash Balance	129,921,778	144,140,819	145,442,722	91,604,678	78,901,035	91,243,702	106,938,702
Encumbrances							
Unencumbered Cash Balance	129,921,778	144,140,819	145,442,722	91,604,678	78,901,035	91,243,702	106,938,702
<b>Additional Information:</b>							
Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2018 Legislature

Department: <u>TRN</u>	Contact Name: <u>Kendrick Au</u>						
Prog ID(s): <u>TRN 301-395</u>	Phone: <u>587-1893</u>						
Name of Fund: <u>State Operating Allotment</u>	Fund type (MOF) <u>Special Funds (B Funds)</u>						
Legal Authority <u>A345/SL86 - A124/SL16</u>	Appropriation Acct. No. <u>S-87-055-D to S-17-055-D</u>						
Intended Purpose: Funds Appropriated by Legislature for the Operating Costs of the State of Hawaii Commercial Harbors System							
Source of Revenues: Transfers of Funds from the Harbors Special Fund							
Current Program Activities/Allowable Expenses: Operating Costs of the Commercial Harbors System							
Purpose of Proposed Ceiling Increase (if applicable):							
Variances: Increase in projected expenditures for FY2018 over FY2017 actuals due to FY18 expenditures of \$5.3 million for Equipment Replacement and \$1.2 Million for Vehicle Re							
FY18 increase in the Central Services Assesment of approximately \$1.5 Million and increase in FY18 Sepcial Maintenance of approximately \$2.5 Million.							
<b>Financial Data</b>							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	90,618,881	98,489,000	109,143,801	109,143,801	114,578,643	115,005,333	115,005,333
Beginning Cash Balance	35,844,423	37,509,546	62,264,535	89,331,325	117,326,954	135,803,954	147,816,954
Revenues	38,392	58,088	57,691	67,714	0	0	0
Expenditures	40,991,712	41,741,236	47,957,843	48,147,065	60,999,025	64,027,525	66,435,550
<b>Transfers</b>							
List each by JV# and date							
Trf to Program Appropriations for Harbors Operations from Harbors Special Fund S-FY-341-D	77,974,837	101,235,404	109,749,442	110,052,123	111,969,643	112,344,333	111,842,000
Debt Service - Reimbursable General Obligation Bonds	(3,380,687)	(3,381,053)	(3,380,653)	(3,380,820)	(3,380,618)	(3,380,808)	(3,380,783)
Net Transfer / Reversion of CIP Funds							
Trfs to Debt Svc Reserve	(31,975,707)	(31,416,215)	(31,401,847)	(30,596,323)			
Trfs for Debt Svc Reserve FY18 MultiYear		0			(29,113,000)	(32,923,000)	(44,889,000)
Other Transfer							
<b>Net Total Transfers</b>	42,618,443	66,438,136	74,966,942	76,074,980	79,476,025	76,040,525	63,572,217
Ending Cash Balance	37,509,546	62,264,535	89,331,325	117,326,954	135,803,954	147,816,954	144,953,621
Encumbrances	23,608,303	30,949,609	27,695,890	30,032,396	30,032,396	30,032,396	30,032,396
Unencumbered Cash Balance	13,901,243	31,314,926	61,635,435	87,294,558	105,771,558	117,784,558	114,921,225
<b>Additional Information:</b>							
Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2018 Legislature

Department: <u>TRN</u>		Contact Name: <u>Kendrick Au</u>
Prog ID(s): <u>TRN 301-395</u>		Phone: <u>587-1893</u>
Name of Fund: <u>Operating Trust</u>		Fund type (MOF) <u>Trust &amp; Agency (T Funds)</u>
Legal Authority <u></u>		Appropriation Acct. No. <u>T-93-941-D to T-17-941-D</u>
Intended Purpose: Security Deposits from Leases and Contracts & Insurance Proceeds for Kawaihae Harbor Earthquake Damage		
Source of Revenues: Insurance Proceeds for Kawaihae Harbor Earthquake Damage Received in FY08 and Security Deposits		
Current Program Activities/Allowable Expenses: N/A		
Purpose of Proposed Ceiling Increase (if applicable):		
Variances		

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	3,511,881	2,627,206	2,636,830	4,601,712	5,865,361	5,865,361	5,865,361
Revenues	11,509,539	13,010,972	14,035,705	14,818,397	15,100,000	15,100,000	15,100,000
Expenditures-Pmts to OHA & Others	11,509,317	12,683,923	12,000,921	13,539,173	15,100,000	15,100,000	15,100,000
Expenditures - Payments to Refund Tenant Security Deposits Primarily KMR Tenants	884,897	317,425	69,902	15,575	-	-	-
Transfers							
List each by JV# and date							
<b>Net Total Transfers</b>							
Ending Cash Balance	2,627,206	2,636,830	4,601,712	5,865,361	5,865,361	5,865,361	5,865,361
Encumbrances	2,540	2,000	2,000	2,000	2,000	2,000	2,000
Unencumbered Cash Balance	2,624,666	2,634,830	4,599,712	5,863,361	5,863,361	5,863,361	5,863,361
<b>Additional Information:</b>							
Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2018 Legislature

Department: Transportation - Highways  
 Prog ID(s): TRN 501-595  
 Name of Fund: Revenue Bonds  
 Legal Authority: Various (Latest: ACT 122 SLH 2014)

Contact Name: Alma Calucag  
 Phone: 587-2256  
 Fund type (MOF) Revenue Bond Funds (E)  
 Appropriation Acct. No. B-00-529, B-04-500 etc

Intended Purpose:

Source of Revenues:

Issuance of Highway Revenue Bonds

Current Program Activities/Allowable Expenses:

Roadway - Design, ROW, Construction and Planning Programs

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	136,721,000	155,955,000	89,538,000	75,344,000	87,300,000	168,860,000	64,522,000
Beginning Cash Balance	27,180,265	4,150,304	85,377,254	47,276,159	135,007,271	101,958,103	188,578,443
Revenues	0	120,683,617	0	120,503,062		120,000,000	
Expenditures	23,779,961	37,456,667	38,101,095	32,721,949	33,049,168	33,379,660	33,713,457
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	750,000	(2,000,000)	0	(50,000)			
Net Total Transfers	750,000	(2,000,000)	0	(50,000)	0	0	0
Ending Cash Balance	4,150,304	85,377,254	47,276,159	135,007,271	101,958,103	188,578,443	154,864,987
Encumbrances	149,507,206	104,271,678	139,113,962	87,586,559	88,462,425	89,347,049	90,240,519
Unencumbered Cash Balance	(145,356,902)	(18,894,424)	(91,837,803)	47,420,713	13,495,678	99,231,394	64,624,467

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2018 Legislature

Department: Transportation - Highways  
 Prog ID(s): TRN 501-597  
 Name of Fund: Federal Operating Allotment  
 Legal Authority: FHS Act PL 89-564, 1966/HRS 286-2

Contact Name: Alma Calucag  
 Phone: 587-2256  
 Fund type (MOF) Other Federal Funds  
 Appropriation Acct. No. S-xx-215, 203, 204, 205, 206, 225 etc

Intended Purpose:

Source of Revenues:

Federal Reimbursements

Current Program Activities/Allowable Expenses:

Federal Reimbursement - Federal Clearing Accounts for O&M and county pass thru funds

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	13,006,585	22,533,524	19,709,737	21,751,705	19,770,424	10,581,401	10,581,401
Beginning Cash Balance	5,760,843	15,568,634	17,715,551	18,776,368	19,873,344	48,496,034	77,404,952
Revenues	69,518,050	38,887,561	38,026,235	33,311,777	33,644,895	33,981,344	34,321,157
Expenditures	5,208,412	4,256,780	4,847,273	4,972,479	5,022,204	5,072,426	5,123,150
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	(54,501,847)	(32,483,864)	(32,118,145)	(27,242,323)			
Net Total Transfers	(54,501,847)	(32,483,864)	(32,118,145)	(27,242,323)	0	0	0
Ending Cash Balance	15,568,634	17,715,551	18,776,368	19,873,344	48,496,034	77,404,952	106,602,960
Encumbrances	4,405,158	5,848,044	4,862,187	4,810,241	4,858,343	4,906,926	4,955,995
Unencumbered Cash Balance	11,163,476	11,867,507	13,914,181	15,063,103	43,637,691	72,498,026	101,646,964

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2018 Legislature

Department: Transportation - Highways  
 Prog ID(s): TRN 501-595  
 Name of Fund: State CIP  
 Legal Authority: Various (Latest: ACT 122, SLH 2014)

Contact Name: Alma Calucag  
 Phone: 587-2256  
 Fund type (MOF) Special Funds (including county and private)  
 Appropriation Acct. No. Various Appropriations

Intended Purpose:

Source of Revenues:

Special Funds

Current Program Activities/Allowable Expenses:

Roadway - Design, ROW, Construction and Planning Programs

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	18,669,000	26,200,000	17,266,000	53,000,000	16,000,000	16,000,000	16,000,000
Beginning Cash Balance	3,405,714	3,390,004	3,816,898	1,918,020	2,565,809	(11,556,743)	(25,820,521)
Revenues	0	0	0	0	0	0	0
Expenditures	12,467,473	9,646,454	9,626,644	13,982,725	14,122,552	14,263,778	14,406,416
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	12,451,763	10,073,348	7,727,766	14,630,514			
Net Total Transfers	12,451,763	10,073,348	7,727,766	14,630,514	0	0	0
Ending Cash Balance	3,390,004	3,816,898	1,918,020	2,565,809	(11,556,743)	(25,820,521)	(40,226,937)
Encumbrances	17,290,458	13,313,801	15,209,497	12,408,763	12,532,851	12,658,180	12,784,762
Unencumbered Cash Balance	(13,900,454)	(9,496,903)	(13,291,477)	(9,842,954)	(24,089,594)	(38,478,700)	(53,011,698)

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							



**Report on Non-General Fund Information**  
for Submittal to the 2018 Legislature

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**Report on Non-General Fund Information**  
for Submittal to the 2018 Legislature

Department: Transportation - Highways  
 Prog ID(s): TRN 501-595  
 Name of Fund: Federal CIP  
 Legal Authority: Title 23 U.S.C. As Amended (Latest: ACT 122, SLH 2014)

Contact Name: Alma Calucag  
 Phone: 587-2256  
 Fund type (MOF): Other Federal Funds (N.V.)  
 Appropriation Acct. No. S-85-221, S-93-237, S-94-240 ott

Intended Purpose:

Source of Revenues:

Federal Reimbursements

Current Program Activities/Allowable Expenses:

Design, ROW, Construction and Planning Programs

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	89,145,000	358,326,000	97,652,000	166,855,000	261,400,000	455,794,000	163,136,000
Beginning Cash Balance	96,573,693	83,740,576	28,225,459	33,161,185	41,821,337	14,728,234	(12,635,799)
Revenues	97,289,948	139,976,559	113,006,078	80,788,654	81,596,541	82,412,506	83,236,631
Expenditures	148,772,475	195,936,728	148,343,135	107,613,508	108,689,643	109,776,539	110,874,304
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	38,649,410	45,109,339	40,272,782	35,485,006			
Net Total Transfers	38,649,410	45,109,339	40,272,782	35,485,006	0	0	0
Ending Cash Balance	83,740,576	28,225,459	33,161,185	41,821,337	14,728,234	(12,635,799)	(40,273,472)
Encumbrances	602,350,709	527,907,140	150,219,591	324,154,009	327,395,549	330,669,504	333,976,199
Unencumbered Cash Balance	(518,610,133)	(499,681,681)	(117,058,406)	(282,332,673)	(312,667,315)	(343,305,303)	(374,249,671)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2018 Legislature

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**Report on Non-General Fund Information**  
for Submittal to the 2018 Legislature

Department: Transportation - Highways  
 Prog ID(s): TRN 501-595  
 Name of Fund: Revolving & OHA  
 Legal Authority: Non Lapsing

Contact Name: Alma Calucag  
 Phone: 587-2256  
 Fund type (MOF) Revolving Funds  
 Appropriation Acct. No. S-xx-322, 332, etc

Intended Purpose:

Source of Revenues:

Transfer in from other funds

Current Program Activities/Allowable Expenses:

Payroll and administration activities. Clearing accounts for payroll, fringes and construction and admin programs

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	39,718,677	40,509,241	44,228,956	21,568,680	43,895,882	36,479,656	28,989,268
Revenues	48,267,158	53,772,208	33,602,071	71,823,237	72,541,469	73,266,884	73,999,553
Expenditures	58,596,595	60,992,493	70,967,348	79,166,035	79,957,695	80,757,272	81,564,845
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	11,120,000	10,940,000	14,705,000	29,670,000			
Net Total Transfers	11,120,000	10,940,000	14,705,000	29,670,000	0	0	0
Ending Cash Balance	40,509,241	44,228,956	21,568,680	43,895,882	36,479,656	28,989,268	21,423,976
Encumbrances	43,425	36,357	65,430	94,448	95,392	96,346	97,309
Unencumbered Cash Balance	40,465,816	44,192,600	21,503,250	43,801,434	36,384,264	28,892,922	21,326,667

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2018 Legislature

Department: Transportation - Highways  
 Prog ID(s): TRN 501-597  
 Name of Fund: State Operating Allotment  
 Legal Authority: HRS 243-4 HRS 249-31 HRS 249-33 ACT 223 SLH B1999

Contact Name: Alma Calucag  
 Phone: 587-2256  
 Fund type (MOF) Special Funds  
 Appropriation Acct. No. S-xx-321, 010, 020, 026, 029, 032, 035, 036,

Intended Purpose:

Source of Revenues:

Fuel Taxes, Vehicle Registration Fee, Motor Vehicle Weight, Rental Motor Vehicle Surcharge Tax and other income

Current Program Activities/Allowable Expenses:

Roadway - Design, ROW, Construction and Planning Programs

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	274,336,981	285,782,442	263,068,584	307,427,392	309,145,882	284,923,954	286,818,566
Beginning Cash Balance	109,073,034	110,916,164	147,881,763	171,366,281	155,457,094	475,958,291	799,664,500
Revenues	257,788,813	299,027,588	280,196,636	317,327,918	320,501,197	323,706,209	326,943,271
Expenditures	238,828,328	223,204,823	220,333,222	274,350,463			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	(17,117,355)	(38,857,166)	(36,378,896)	(58,886,642)			
Net Total Transfers	(17,117,355)	(38,857,166)	(36,378,896)	(58,886,642)	0	0	0
Ending Cash Balance	110,916,164	147,881,763	171,366,281	155,457,094	475,958,291	799,664,500	1,126,607,771
Encumbrances	120,132,079	140,226,894	147,091,268	129,882,401	131,181,225	132,493,037	133,817,967
Unencumbered Cash Balance	(9,215,915)	7,654,869	24,275,012	25,574,693	344,777,066	667,171,463	992,789,804

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow							
Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2018 Legislature

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**Report on Non-General Fund Information**  
for Submittal to the 2018 Legislature

Department: Transportation - Highways  
 Prog ID(s): TRN 501-595  
 Name of Fund: Operating Trust  
 Legal Authority: Non Lapsing

Contact Name: Alma Calucag  
 Phone: 587-2256  
 Fund type (MOF) Trust Funds  
 Appropriation Acct. No. T-15-921, 931, T-15-923 etc

Intended Purpose:

Source of Revenues:

Cash Receipts

Current Program Activities/Allowable Expenses:

Trust and Agency Funds

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	22,735,958	17,324,153	15,157,231	13,099,038	10,663,237	8,203,078	5,718,317
Revenues	743,374	209,838	1,185,208	133,027	134,357	135,701	137,058
Expenditures	6,155,179	2,376,760	3,243,401	2,568,828	2,594,516	2,620,461	2,646,666
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	0	0	0	0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	17,324,153	15,157,231	13,099,038	10,663,237	8,203,078	5,718,317	3,208,710
Encumbrances	10,145,446	8,286,612	9,294,217	7,052,284	7,122,807	7,194,035	7,265,975
Unencumbered Cash Balance	7,178,707	6,870,619	3,804,821	3,610,953	1,080,271	(1,475,718)	(4,057,266)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2018 Legislature

Department: Transportation - Highways  
 Prog ID(s): TRN 501-597  
 Name of Fund: Safe Routes to School Program  
 Legal Authority: Section 291C-4, HRS

Contact Name: Alma Calucag  
 Phone: 587-2256  
 Fund type (MOF) Special Funds  
 Appropriation Acct. No. S-xx-318

Intended Purpose:

Source of Revenues:

Cash Receipts

Current Program Activities/Allowable Expenses:

Trust and Agency Funds

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,647,065	1,465,500	1,465,500	631,500	636,679	606,679	606,679
Beginning Cash Balance	417,666	844,379	837,084	986,655	765,310	541,751	315,957
Revenues	629,165	707,370	733,578	646,723	653,190	659,722	666,319
Expenditures	204,480	712,637	589,007	868,068	876,749	885,516	894,371
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	2,029	(2,029)	5,000	0			
Net Total Transfers	2,029	(2,029)	5,000	0	0	0	0
Ending Cash Balance	844,379	837,084	986,655	765,310	541,751	315,957	87,905
Encumbrances	0	43,232	582,635	291,318	294,231	297,173	300,145
Unencumbered Cash Balance	844,379	793,852	404,019	473,992	247,520	18,783	(212,240)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							



Department of Transportation Highways Division  
Non-General Funds (excluding Federal Funds)

Table 8

<u>Name of Fund</u>	<u>Unencumbered Cash Balance</u>	<u>MOF</u>	<u>Statutory Reference</u>
Revenue Bonds	(91,837,802.63)	Issuance of Highway Revenue Bonds	ACT 252 SLH 1994 ACT 287 SLH 1996 ACT 116 SLH 1998 ACT 259 SLH 2001
Federal Operating Allotment	13,914,180.97	Federal Reimbursements	FHS Act PL 89-564, 1966/HRS 286-2
State CIP	(13,291,476.80)	Special Funds	A200/SL 03, A178/SL 05, A200/SL 03
Federal CIP	(117,058,406.18)	Federal Reimbursements	Title 23 U.S.C. As Amended
Revolving & OHA	21,503,249.73	Transfer in from other funds.	Non Lapsing
State Operating Allotment	24,275,012.02	Fuel Taxes, Vehicle Registration Fee, M	HRS 243-4 HRS 249-31 HRS 249-33 ACT 223 SLH 1999
Operating Trust	3,804,820.66	Cash Receipts	Non Lapsing
Safe Route to School Program	404,019.46	Speeding And Traffic Violation Fines	Section 291C-4, HRS