



PUBLIC SAFETY

PROGRAM TITLE:

PUBLIC SAFETY

12/6/19

PROGRAM-ID:

PROGRAM STRUCTURE NO: 09

	FISCAL YEAR 2018-19				THREE MONTHS ENDED 09-30-19				NINE MONTHS ENDING 06-30-20			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	3,001.60	2,590.60	- 411.00	14	3,075.60	3,008.60	- 67.00	2	3,075.60	3,076.60	+ 1.00	0
EXPENDITURES (\$1000's)	390,666	330,779	- 59,887	15	87,535	86,910	- 625	1	320,791	321,161	+ 370	0
TOTAL COSTS												
POSITIONS	3,001.60	2,590.60	- 411.00	14	3,075.60	3,008.60	- 67.00	2	3,075.60	3,076.60	+ 1.00	0
EXPENDITURES (\$1000's)	390,666	330,779	- 59,887	15	87,535	86,910	- 625	1	320,791	321,161	+ 370	0
	FISCAL YEAR 2018-19				FISCAL YEAR 2019-20							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. NO. ARRESTS MADE BY DEPT'L LAW ENFORCEMT OFFICERS	4200	5252	+ 1052	25	4200	4200	+ 0	0				
2. NO. OF ESCAPES AS DEFINED BY SEC. 710-1020, HRS	0	0	+ 0	0	0	0	+ 0	0				
3. NUMBER OF PAROLE VIOLATORS RETURNED TO PRISON	370	363	- 7	2	370	365	- 5	1				

VARIANCE REPORT NARRATIVE FY 2019 AND FY 2020

PROGRAM TITLE: PUBLIC SAFETY

PART I - EXPENDITURES AND POSITIONS

Details of the position and expenditure variances are best examined at the lowest program level.

PART II - MEASURES OF EFFECTIVENESS

See Lowest Level Programs for additional information.

VARIANCE REPORT

	FISCAL YEAR 2018-19				THREE MONTHS ENDED 09-30-19				NINE MONTHS ENDING 06-30-20			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	2,754.60	2,396.60	- 358.00	13	2,828.60	2,821.60	- 7.00	0	2,828.60	2,829.60	+ 1.00	0
EXPENDITURES (\$1000's)	292,551	292,057	- 494	0	75,925	75,670	- 255	0	228,847	228,847	+ 0	0
TOTAL COSTS												
POSITIONS	2,754.60	2,396.60	- 358.00	13	2,828.60	2,821.60	- 7.00	0	2,828.60	2,829.60	+ 1.00	0
EXPENDITURES (\$1000's)	292,551	292,057	- 494	0	75,925	75,670	- 255	0	228,847	228,847	+ 0	0
	FISCAL YEAR 2018-19				FISCAL YEAR 2019-20							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. NO. ARRESTS MADE BY DEPT'L LAW ENFORCEMNT OFFICERS	4200	5252	+ 1052	25	4200	4200	+ 0	0				
2. NO. OF ESCAPES AS DEFINED BY SEC. 710-1020, HRS	0	0	+ 0	0	0	0	+ 0	0				
3. NUMBER OF PAROLE VIOLATORS RETURNED TO PRISON	370	363	- 7	2	370	365	- 5	1				

PART I - EXPENDITURES AND POSITIONS

Details of the position and expenditure variances are best examined at the lowest program level.

PART II - MEASURES OF EFFECTIVENESS

See Lowest Level Programs for additional information.

VARIANCE REPORT

	FISCAL YEAR 2018-19				THREE MONTHS ENDED 09-30-19				NINE MONTHS ENDING 06-30-20			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	2,098.60	1,810.60	- 288.00	14	2,146.60	2,146.60	+ 0.00	0	2,146.60	2,146.60	+ 0.00	0
EXPENDITURES (\$1000's)	229,481	230,448	+ 967	0	58,901	58,901	+ 0	0	177,217	177,217	+ 0	0
TOTAL COSTS												
POSITIONS	2,098.60	1,810.60	- 288.00	14	2,146.60	2,146.60	+ 0.00	0	2,146.60	2,146.60	+ 0.00	0
EXPENDITURES (\$1000's)	229,481	230,448	+ 967	0	58,901	58,901	+ 0	0	177,217	177,217	+ 0	0

	FISCAL YEAR 2018-19				FISCAL YEAR 2019-20			
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%
PART II: MEASURES OF EFFECTIVENESS								
1. NO. OF ESCAPES AS DEFINED BY SEC. 710-1020, HRS	0	0	+ 0	0	0	0	+ 0	0
2. PERCENT OF INMATES COMPLETING ACADEMIC PROGRAMS	30	20	- 10	33	30	30	+ 0	0
3. PERCENT OF INMATES COMPLETING VOCATIONAL PROGRAMS	60	50	- 10	17	60	60	+ 0	0
4. % OF INMATES COMPLETING COUNSELING/TREATMENT PROGS	8	3	- 5	63	8	4	- 4	50
5. % INMATES EMPLOYED BY CORRECTNL INDUSTRIES PROGS	5	6	+ 1	20	5	5	+ 0	0
6. % INMATES W/SANC FOR MISCNDT IN HIGH/GRTST CATEGS	45	11	- 34	76	45	11	- 34	76
7. % OF INMATES WHO TEST POSITIVE ON URINALYSIS TEST	5	0	- 5	100	5	3	- 2	40

PART I - EXPENDITURES AND POSITIONS

Details of the position and expenditure variances are best examined at the lowest program level.

PART II - MEASURES OF EFFECTIVENESS

See Lowest Level Programs for additional information.

PROGRAM TITLE:

HALAWA CORRECTIONAL FACILITY

12/6/19

PROGRAM-ID:

PSD-402

PROGRAM STRUCTURE NO:

09010102

	FISCAL YEAR 2018-19				THREE MONTHS ENDED 09-30-19				NINE MONTHS ENDING 06-30-20			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	411.00	345.00	- 66.00	16	411.00	411.00	+ 0.00	0	411.00	411.00	+ 0.00	0
EXPENDITURES (\$1000's)	28,330	28,445	+ 115	0	6,740	6,740	+ 0	0	23,432	23,432	+ 0	0
TOTAL COSTS												
POSITIONS	411.00	345.00	- 66.00	16	411.00	411.00	+ 0.00	0	411.00	411.00	+ 0.00	0
EXPENDITURES (\$1000's)	28,330	28,445	+ 115	0	6,740	6,740	+ 0	0	23,432	23,432	+ 0	0
	FISCAL YEAR 2018-19				FISCAL YEAR 2019-20							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. NUMBER OF ESCAPES AS DEFINED BY SEC. 710-1020, HRS	0	NO DATA	- 0	0	0	NO DATA	- 0	0				
2. NUMBER OF ESCAPES AS DEFINED BY SEC. 710-1021, HRS	0	NO DATA	- 0	0	0	NO DATA	- 0	0				
3. NUMBER OF INMATES RECEIVING SANCTIONS FOR MISC	450	259	- 191	42	450	307	- 143	32				
4. % OF RECLASSIFICATION RESULTING IN REDUCED CUSTODY	20	16	- 4	20	20	25	+ 5	25				
PART III: PROGRAM TARGET GROUP												
1. AVERAGE NUMBER OF INMATES	725	807	+ 82	11	725	636	- 89	12				
PART IV: PROGRAM ACTIVITY												
1. NUMBER OF NEW ADMISSIONS	400	392	- 8	2	400	350	- 50	13				
2. NUMBER OF INMATES RELEASED	500	459	- 41	8	500	466	- 34	7				
3. NUMBER OF RECLASSIFICATION COMPLETED	1645	1025	- 620	38	1645	1213	- 432	26				

PROGRAM TITLE: HALAWA CORRECTIONAL FACILITY

PART I - EXPENDITURES AND POSITIONS

FY 19: The position variance is due to employee turnover and recruitment difficulties.

PART II - MEASURES OF EFFECTIVENESS

Item 1 & 2: No data available for the number of escapees as defined by SEC. 710-1020, HRS and SEC. 710-1021, HRS.

Item 3 & 4: These variances are directly related to the decline in inmate population in Halawa Correctional Facility (HCF) because of the contracted transfer to Saguaro Correctional Center in Arizona (AZSC). This reduction allowed more capacity and oversight for the facility officers in implementing order in the facility, thereby lowering the number of inmates receiving sanctions and custody statuses.

PART III - PROGRAM TARGET GROUPS

Item 1: All estimates for HCF were impacted because of the loss of a housing module and the transfer of inmates to AZSC.

PART IV - PROGRAM ACTIVITIES

Item 1: A decrease in admissions is attributed to inmates being housed in a contracted facility on the mainland. Facility maintenance has prevented the use of one housing module.

Item 2: Decline in inmate release accounts for the lower inmate population admitted in the facility.

Item 3: The HCF consists of two separate and distinct buildings, the Medium Security Facility (MSF) and the Special Needs Facility. Two approved capital improvement projects commenced at the MSF for Housing Modules 1-4 simultaneously to recognize labor savings and lessen operational disruptions; 1) DAGS Job No. 12-27-5635 Plumbing Replacement, and 2) DAGS Job No. 12-27-5644 Security Electronics Division 11/17, also known as Security Electronic Hardware Replacement

and Improvement Project (SEHRIP). The two projects required the closure of an affected housing unit as construction happens; thus, funding was appropriated to pay for temporary non-state housing of 248 inmates, which commenced on July 2016.

The SEHRIP timeline has been delayed due to the difficulties of specialty subcontractors in the job performance, and the necessary hiring of a new qualified vendor. There has been challenges in the replacement installation for the existing system's backbone as well. The General Contractor, BCP Construction of Hawaii Inc. states the revised completion date is tentatively slated for June 2019 which is eighteen (18) months beyond the target date of December 2017. Furthermore, the revised Departmental Classification System sets more stringent criteria in qualifying inmates to transfer out of the facility. The significant decrease in the inmate population and the revision of the Departmental Classification System relate to the overall reduction of misconducts, custody statuses and completed re-classifications.

PROGRAM TITLE:

KULANI CORRECTIONAL FACILITY

12/6/19

PROGRAM-ID:

PSD-403

PROGRAM STRUCTURE NO:

09010103

	FISCAL YEAR 2018-19				THREE MONTHS ENDED 09-30-19				NINE MONTHS ENDING 06-30-20			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	77.00	70.00	- 7.00	9	83.00	83.00	+ 0.00	0	83.00	83.00	+ 0.00	0
EXPENDITURES (\$1000's)	6,067	5,972	- 95	2	1,401	1,401	+ 0	0	4,991	4,991	+ 0	0
TOTAL COSTS												
POSITIONS	77.00	70.00	- 7.00	9	83.00	83.00	+ 0.00	0	83.00	83.00	+ 0.00	0
EXPENDITURES (\$1000's)	6,067	5,972	- 95	2	1,401	1,401	+ 0	0	4,991	4,991	+ 0	0
	FISCAL YEAR 2018-19				FISCAL YEAR 2019-20							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. NUMBER OR ESCAPES (1ST DEGREE)	0	0	+ 0	0	0	0	+ 0	0	0	0	+ 0	0
2. NUMBER OF ESCAPES (2ND DEGREE)	0	0	+ 0	0	0	0	+ 0	0	0	0	+ 0	0
3. RECLASSIFICATION	20	52	+ 32	160	20	50	+ 30	150				
PART III: PROGRAM TARGET GROUP												
1. AVERAGE NUMBER OF INMATES	150	130	- 20	13	150	173	+ 23	15				
PART IV: PROGRAM ACTIVITY												
1. ADMISSIONS	10	8	- 2	20	10	2	- 8	80				
2. NUMBER OF RELEASES	25	16	- 9	36	25	26	+ 1	4				
3. NUMBER OF RECLASSIFICATION	200	220	+ 20	10	200	150	- 50	25				

PROGRAM TITLE: KULANI CORRECTIONAL FACILITY

PART I - EXPENDITURES AND POSITIONS

FY 19: Expenditure variance is attributed to the lower personnel count resulting in lower operating costs.

PART II - MEASURES OF EFFECTIVENESS

Item 3: Due to the decline in the average inmate population, staff are able to reclassify and reassess greater numbers of inmates.

PART III - PROGRAM TARGET GROUPS

Item 1: The estimates were based on the assumption that the facility would be filled but it was not.

PART IV - PROGRAM ACTIVITIES

Item 1 & 2: The estimates were based on the assumption that the facility would be filled but it was not. The number of releases declined in the first quarter of FY 20, but it is expected to ramp up in the coming quarters.

Item 3: Reclassification of an inmate is done every six months for minimum custody or when the status changes (program completion, misconduct hearing, and security/custody level status change). Community custody is done once a year unless there is a misconduct hearing or program change. Due to the decline in the average inmate population, staff are able to reclassify and reassess greater numbers of inmates.

PROGRAM TITLE:

WAIAWA CORRECTIONAL FACILITY

12/6/19

PROGRAM-ID:

PSD-404

PROGRAM STRUCTURE NO:

09010104

	FISCAL YEAR 2018-19				THREE MONTHS ENDED 09-30-19				NINE MONTHS ENDING 06-30-20			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	112.00	102.00	- 10.00	9	113.00	113.00	+ 0.00	0	113.00	113.00	+ 0.00	0
EXPENDITURES (\$1000's)	7,198	7,610	+ 412	6	2,085	2,085	+ 0	0	5,638	5,638	+ 0	0
TOTAL COSTS												
POSITIONS	112.00	102.00	- 10.00	9	113.00	113.00	+ 0.00	0	113.00	113.00	+ 0.00	0
EXPENDITURES (\$1000's)	7,198	7,610	+ 412	6	2,085	2,085	+ 0	0	5,638	5,638	+ 0	0
	FISCAL YEAR 2018-19				FISCAL YEAR 2019-20							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. NUMBER OF ESCAPES AS DEFINED BY SEC. 710-1020, HRS	0	0	+ 0	0	0	0	+ 0	0	0	0	+ 0	0
2. NUMBER OF ESCAPES AS DEFINED BY SEC. 710-1021, HRS	0	0	+ 0	0	0	0	+ 0	0	0	0	+ 0	0
3. NUMBER OF INMATES RECEIVING SANCTIONS	40	49	+ 9	23	40	40	+ 0	0	40	40	+ 0	0
4. % OF RECLASSIFICATION RESULTING IN REDUCED CUSTODY	60	165	+ 105	175	60	100	+ 40	67	60	100	+ 40	67
PART III: PROGRAM TARGET GROUP												
1. AVERAGE NUMBER OF INMATES	260	227	- 33	13	260	235	- 25	10	260	235	- 25	10
PART IV: PROGRAM ACTIVITY												
1. NUMBER OF NEW ADMISSIONS	60	80	+ 20	33	60	74	+ 14	23	60	74	+ 14	23
2. NUMBER OF INMATES RELEASED	60	57	- 3	5	60	60	+ 0	0	60	60	+ 0	0
3. NUMBER OF RECLASSIFICATIONS COMPLETED	400	454	+ 54	14	400	420	+ 20	5	400	420	+ 20	5
4. NUMBER OF INMATE-HOURS CONTRIBUTED IN COMMUNIT	4000	4000	+ 0	0	4000	4200	+ 200	5	4000	4200	+ 200	5
5. NUMBER OF INMATES PARTICIPATING IN TREATMENT PROGR	240	235	- 5	2	240	240	+ 0	0	240	240	+ 0	0
6. NUMBER OF INMATES PARTICIPATING IN WORK/VOCATIONAL	220	234	+ 14	6	220	220	+ 0	0	220	220	+ 0	0

PROGRAM TITLE: WAIAWA CORRECTIONAL FACILITY

PART I - EXPENDITURES AND POSITIONS

FY 19: Expenditure variance is attributed to increased operational costs, collective bargaining augmentations in the facility.

PART II - MEASURES OF EFFECTIVENESS

Item 3: More inmates were sanctioned for narcotic, tobacco, and violent misconducts. This can be attributed to the prevalence of drugs and tobacco in the facility. Also, there were more aggressive and intimidating inmates, who could not follow Waiawa Correctional Facility's (WCF) policy of zero tolerance for aggressive-type of behaviors.

Item 4: The number of custody decrease reclassifications was significantly higher. Treatment programs were re-organized and inmates were able to complete programs sooner which resulted in more custody decreases. Also, minimum custody inmates who completed programs from other facilities were reclassified at WCF as community custody.

PART III - PROGRAM TARGET GROUPS

Item 1: This variance is difficult to narrow down to its causation because of the multiple variables involved. We hope the average number of inmates decrease in the other facilities to increase in ours because there are more rehabilitative opportunities to integrate back into society than at the other facilities.

PART IV - PROGRAM ACTIVITIES

Item 1: Although the average number of inmates decreased in Part III, Item 1, new admissions increased as a positive trend. More inmates are eligible for transfer from the other facilities.

Item 2: Planning numbers require adjustment to reflect decline in inmate admissions' impact on inmate release.

Item 3: More reclassifications were completed due to program completions and guilty misconducts.

	FISCAL YEAR 2018-19				THREE MONTHS ENDED 09-30-19				NINE MONTHS ENDING 06-30-20					
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%		
PART I: EXPENDITURES & POSITIONS														
RESEARCH & DEVELOPMENT COSTS														
POSITIONS														
EXPENDITURES (\$1,000's)														
OPERATING COSTS														
POSITIONS														
169.00	160.00	-	9.00	5	171.00	171.00	+	0.00	0	171.00	171.00	+	0.00	0
10,324	13,162	+	2,838	27	3,408	3,408	+	0	0	8,353	8,353	+	0	0
TOTAL COSTS														
POSITIONS														
169.00	160.00	-	9.00	5	171.00	171.00	+	0.00	0	171.00	171.00	+	0.00	0
10,324	13,162	+	2,838	27	3,408	3,408	+	0	0	8,353	8,353	+	0	0
FISCAL YEAR 2018-19 FISCAL YEAR 2019-20														
PLANNED ACTUAL ± CHANGE % PLANNED ESTIMATED ± CHANGE %														
PART II: MEASURES OF EFFECTIVENESS														
1. NUMBER OF INMATES PLACED ON PAROLE	50	19	-	31	62	50	20	-	30	60				
2. NUMBER OF ESCAPES AS DEFINED BY SEC. 710-1020, HRS	0	0	+	0	0	0	0	+	0	0				
3. NUMBER OF ESCAPES AS DEFINED BY SEC. 710-1021, HRS	0	3	+	3	0	0	0	+	0	0				
4. NUMBER OF INMATES RECEIVING SANCTIONS	350	212	-	138	39	350	280	-	70	20				
PART III: PROGRAM TARGET GROUP														
1. AVERAGE NUMBER OF INMATES	530	528	-	2	0	530	513	-	17	3				
PART IV: PROGRAM ACTIVITY														
1. NUMBER OF NEW ADMISSIONS	2650	2382	-	268	10	2650	2573	-	77	3				
2. NUMBER OF INMATES RELEASED	2625	2392	-	233	9	2625	2560	-	65	2				
3. NUMBER OF RECLASSIFICATIONS TO HIGHER LEVEL	180	58	-	122	68	180	75	-	105	58				
4. NUMBER OF INMATE-HOURS CONTRIBUTED IN COMMUNIT	3000	518	-	2482	83	3000	1000	-	2000	67				
5. NUMBER OF INMATES PARTICIPATING IN FURLOUGH	130	322	+	192	148	130	300	+	170	131				
6. NUMBER OF INMATES PARTICIPATING IN RESIDENTIAL	200	112	-	88	44	200	120	-	80	40				
7. NUMBER OF RECLASSIFICATION COMPLETED	250	327	+	77	31	250	330	+	80	32				

PROGRAM TITLE: HAWAII COMMUNITY CORRECTIONAL CENTER

PART I - EXPENDITURES AND POSITIONS

FY 19: The expenditure variance is due to increased payroll cost and the transfer of funds to offset shortfalls.

PART II - MEASURES OF EFFECTIVENESS

Item 1: The Hawaii Paroling Authority determines inmate eligibility which by this practice control numbers. Inmates success in completion of Hawaii Community Correctional Center's (HCCC) Furlough Program is one of the factors that parole measures and grants approval. High number of furlough violations over the past year contributed to reduced inmates on parole.

Item 4: There was a total of 212 misconduct reports where inmates received sanctions for violations in the highest and greatest categories. Actual estimates were lower compared to planned due to hearings not conducted within the (45) day requirement. HCCC is addressing this concern.

PART III - PROGRAM TARGET GROUPS

No significant variance.

PART IV - PROGRAM ACTIVITIES

Item 1: The decrease in admissions by 10% was because the courts either sentenced less to confinement, or more were able to post bail.

Item 3: Actual numbers would have been higher if misconduct violations were adjusted within the 45 day limit. HCCC is addressing this concern.

Item 4: Community Work line Programs have been limited to assist a few community non-profit organizations and other government requests. Significant reduction was due to closure of workline posts and the reassignment of the officer to fill needed security posts due to staff shortages. Because of the staff shortages, less community work lines were approved.

Item 5: Actual number of inmates participating in furlough program is accurate. The furlough program has been found effective in assisting inmate transition .

Item 6: Planned numbers relate to inmates that were initially eligible to participate in programs. Although more were eligible, inmate committing rule violations reduced the numbers.

Item 7: For the FY 19, HCCC planned numbers were 250. Actual was 327, which was an increase of 77. Significant increase was due to an increase in inmate misconducts which resulted in change of classification.

PROGRAM TITLE:

MAUI COMMUNITY CORRECTIONAL CENTER

12/6/19

PROGRAM-ID:

PSD-406

PROGRAM STRUCTURE NO:

09010106

	FISCAL YEAR 2018-19				THREE MONTHS ENDED 09-30-19				NINE MONTHS ENDING 06-30-20			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	187.00	150.00	- 37.00	20	187.00	187.00	+ 0.00	0	187.00	187.00	+ 0.00	0
EXPENDITURES (\$1000's)	11,870	13,302	+ 1,432	12	3,489	3,489	+ 0	0	9,887	9,887	+ 0	0
TOTAL COSTS												
POSITIONS	187.00	150.00	- 37.00	20	187.00	187.00	+ 0.00	0	187.00	187.00	+ 0.00	0
EXPENDITURES (\$1000's)	11,870	13,302	+ 1,432	12	3,489	3,489	+ 0	0	9,887	9,887	+ 0	0
	FISCAL YEAR 2018-19				FISCAL YEAR 2019-20							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. NUMBER OF INMATES PLACED ON PAROLE	55	62	+ 7	13	55	60	+ 5	9				
2. NUMBER OF ESCAPES AS DEFINED BY SEC. 710-1020, HRS	0	0	+ 0	0	0	0	+ 0	0				
3. NUMBER OF ESCAPES AS DEFINED BY SEC. 710-1021, HRS	0	3	+ 3	0	0	0	+ 0	0				
4. NUMBER OF INMATES RECEIVING SANCTIONS	250	267	+ 17	7	250	260	+ 10	4				
PART III: PROGRAM TARGET GROUP												
1. AVERAGE NUMBER OF INMATES	500	412	- 88	18	500	459	- 41	8				
PART IV: PROGRAM ACTIVITY												
1. NUMBER OF NEW ADMISSIONS	1750	1628	- 122	7	1750	1768	+ 18	1				
2. NUMBER OF INMATES RELEASED	1775	1699	- 76	4	1775	1805	+ 30	2				
3. NUMBER OF RECLASSIFICATIONS TO HIGHER LEVEL	24	62	+ 38	158	24	24	+ 0	0				
4. NUMBER OF INMATE-HOURS CONTRIBUTED	22500	24120	+ 1620	7	22500	24000	+ 1500	7				
5. NUMBER OF INMATES PARTICIPATING IN FURLOUGH PR	96	72	- 24	25	96	60	- 36	38				
6. NUMBER OF INMATES PARTICIPATING IN RESIDENTIAL	40	21	- 19	48	40	25	- 15	38				
7. NUMBER OF RECLASSIFICATION COMPLETED	190	489	+ 299	157	600	500	- 100	17				

PROGRAM TITLE: MAUI COMMUNITY CORRECTIONAL CENTER

PART I - EXPENDITURES AND POSITIONS

FY 19: The position variance is due to employee turnover and recruitment difficulties.

The expenditure variance is due to increased payroll cost and the transfer of funds to offset shortfall.

PART II - MEASURES OF EFFECTIVENESS

Item 1: More inmates were paroled than estimated. This appears to be a positive indication of successful sequential phasing efforts.

PART III - PROGRAM TARGET GROUPS

Item 1: The average number of inmates decreased, in part, because a number of inmates were transferred to Halawa Correctional Facility after the riot damaged the housing modules and disciplinary action was taken on those who incited the disturbance.

PART IV - PROGRAM ACTIVITIES

Items 3: The planned number was estimated for programming failures and/or MAX custody transfers, which does not occur very often. It varies greatly from the actual number because of the riot that resulted in the unexpected transfer of 39 MAX custody inmates. If it was not for this incident, the planned number would have been closer to the actual number.

Item 5: The planned (96) was calculated anticipating an average of (8) incoming inmates for sequential phasing per month from other facilities after the inmate had completed a major program. However, the actual incoming average has been closer to (3) to (5) per month.

Item 6: The Electronic Monitoring Furlough (EMF) population is considered in Maui Community Correctional Center. The planned number was estimated in consideration for the planned number of

inmates that were anticipated to be on furlough. But since the actual number of furlough inmates turned out to be less than expected (as explained above), naturally, the number of furlough inmates transitioning to EMF was also less. The decreased number of inmates transitioning to EMF is also affected by the increased number of inmates being released to parole.

Item 7: Re-classes/jail reviews are completed by facility staff for inmates based upon factors related to length of incarceration, misconduct, exception cases, or stat changes. Since the majority of inmate population are jail inmates, the jail initial classification is completed by Maui Intake Service Center for all newly admitted Pre-Trial and incoming sentenced jail inmates and is usually sufficient for many of the inmates without having to go further than this.

PROGRAM TITLE:

OAHU COMMUNITY CORRECTIONAL CENTER

12/6/19

PROGRAM-ID:

PSD-407

PROGRAM STRUCTURE NO:

09010107

	FISCAL YEAR 2018-19				THREE MONTHS ENDED 09-30-19				NINE MONTHS ENDING 06-30-20			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	501.00	432.00	- 69.00	14	501.00	501.00	+ 0.00	0	501.00	501.00	+ 0.00	0
EXPENDITURES (\$1000's)	35,222	34,997	- 225	1	8,730	8,730	+ 0	0	27,881	27,881	+ 0	0
TOTAL COSTS												
POSITIONS	501.00	432.00	- 69.00	14	501.00	501.00	+ 0.00	0	501.00	501.00	+ 0.00	0
EXPENDITURES (\$1000's)	35,222	34,997	- 225	1	8,730	8,730	+ 0	0	27,881	27,881	+ 0	0
	FISCAL YEAR 2018-19				FISCAL YEAR 2019-20							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. NUMBER OF INMATES PLACED ON PAROLE	150	145	- 5	3	150	150	+ 0	0				
2. NUMBER OF ESCAPES AS DEFINED BY SEC. 710-1020, HRS	0	2	+ 2	0	0	0	+ 0	0				
3. NUMBER OF ESCAPES AS DEFINED BY SEC. 710-1021, HRS	0	13	+ 13	0	0	12	+ 12	0				
4. NUMBER OF INMATES RECEIVING SANCTIONS	400	582	+ 182	46	400	400	+ 0	0				
PART III: PROGRAM TARGET GROUP												
1. AVERAGE NUMBER OF INMATES	1375	1419	+ 44	3	1375	1273	- 102	7				
PART IV: PROGRAM ACTIVITY												
1. NUMBER OF NEW ADMISSIONS	6850	6991	+ 141	2	6850	6923	+ 73	1				
2. NUMBER OF INMATES RELEASED	6960	6908	- 52	1	6960	6954	- 6	0				
3. NUMBER OF RECLASSIFICATIONS TO HIGHER LEVEL	240	140	- 100	42	240	150	- 90	38				
4. NUMBER OF INMATES PARTICIPATING IN FURLOUGH PR	240	238	- 2	1	240	240	+ 0	0				
5. NUMBER OF RECLASSIFICATION COMPLETED	600	702	+ 102	17	600	500	- 100	17				

PROGRAM TITLE: OAHU COMMUNITY CORRECTIONAL CENTER

PART I - EXPENDITURES AND POSITIONS

FY 19: The position variance is due to employee turnover and recruitment difficulties. Lower staff count reduce the operational costs.

PART II - MEASURES OF EFFECTIVENESS

Item 2 & 3: Revised planned numbers should be established. The higher number of escapes is attributed to the non-determined planned value. The re-evaluation and adjustments of the furlough program, and the continuation of GPS monitoring program widen the means for inmates' escape, which should receive closer monitoring from the facility's personnel. (Note: If one of the values is zero when calculating percentage of variance, there will never be a variance greater than zero)

Item 4: The variance is due to the increase of inmates' violent behaviors. This is shown to be from the overcrowded living conditions, lack of regular recreation in the big recreation area, and the lack of programs started due to shortage of staff. On the furlough side, the variance is due to inmate's lack of self-control and discipline to adhere to the furlough program's rules and regulations.

PART III - PROGRAM TARGET GROUPS

No significant variance.

PART IV - PROGRAM ACTIVITIES

Item 3: The variance decline is due to the proactive measures in inmate housing designation and on the furlough side, the continuance of the GPS monitoring system.

Item 5: On the jail side, the variance is due to more status changes for jail inmates. On the furlough side, the variance is due to inmate's lack of self-control and discipline to adhere to the furlough program's rules and regulations.

PROGRAM TITLE:

KAUAI COMMUNITY CORRECTIONAL CENTER

12/6/19

PROGRAM-ID:

PSD-408

PROGRAM STRUCTURE NO:

09010108

	FISCAL YEAR 2018-19				THREE MONTHS ENDED 09-30-19				NINE MONTHS ENDING 06-30-20			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	74.00	69.00	- 5.00	7	74.00	74.00	+ 0.00	0	74.00	74.00	+ 0.00	0
EXPENDITURES (\$1000's)	4,641	5,616	+ 975	21	1,539	1,539	+ 0	0	4,128	4,128	+ 0	0
TOTAL COSTS												
POSITIONS	74.00	69.00	- 5.00	7	74.00	74.00	+ 0.00	0	74.00	74.00	+ 0.00	0
EXPENDITURES (\$1000's)	4,641	5,616	+ 975	21	1,539	1,539	+ 0	0	4,128	4,128	+ 0	0
	FISCAL YEAR 2018-19				FISCAL YEAR 2019-20							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. NUMBER OF INMATES PLACED ON PAROLE	40	27	- 13	33	40	30	- 10	25				
2. NUMBER OF ESCAPES AS DEFINED BY SEC. 710-1020, HRS	0	0	+ 0	0	0	0	+ 0	0				
3. NUMBER OF ESCAPES AS DEFINED BY SEC. 710-1021, HRS	0	0	+ 0	0	0	0	+ 0	0				
4. NUMBER OF INMATES RECEIVING SANCTIONS	100	73	- 27	27	100	75	- 25	25				
PART III: PROGRAM TARGET GROUP												
1. AVERAGE NUMBER OF INMATES	200	158	- 42	21	200	188	- 12	6				
PART IV: PROGRAM ACTIVITY												
1. NUMBER OF NEW ADMISSIONS	560	714	+ 154	28	560	543	- 17	3				
2. NUMBER OF INMATES RELEASED	550	329	- 221	40	500	554	+ 54	11				
3. NUMBER OF RECLASSIFICATIONS TO HIGHER LEVEL	20	20	+ 0	0	20	20	+ 0	0				
4. NUMBER OF INMATE-HOURS CONTRIBUTED IN COMMUNIT	3000	3370	+ 370	12	3000	3000	+ 0	0				
5. NUMBER OF INMATES PARTICIPATING IN FURLOUGH	60	100	+ 40	67	60	60	+ 0	0				
6. NUMBER OF INMATES PARTICIPATING IN RESIDENTIAL	70	27	- 43	61	70	30	- 40	57				
7. NUMBER OF RELCLASSIFICATION COMPLETED	400	213	- 187	47	400	250	- 150	38				

PROGRAM TITLE: KAUAI COMMUNITY CORRECTIONAL CENTER

PART I - EXPENDITURES AND POSITIONS

FY 19: The expenditure variance is due to increased payroll cost and the transfer of funds to offset shortfalls.

PART II - MEASURES OF EFFECTIVENESS

Item 1: Less inmates placed on parole due to higher scrutiny from the Parole Board.

Item 4: Less inmates receive sanctions due to lower than projected inmate population. The improved living conditions and minimization of overcrowding issues also reduce the number of inmates receiving sanctions.

PART III - PROGRAM TARGET GROUPS

Item 1: More Electronic Monitored Furlough cases, more Pre-Trial bails, or a drop in crime caused the decline in average inmate count. This measure shows a decline in the average number of inmates within the facility, but Part IV, Item 1 shows that the new admissions significantly increased.

PART IV - PROGRAM ACTIVITIES

Item 1 & 2: The increase in new admissions, shows a rising trend of inmates being admitted and a sharp decline in those released. This is due to rising pre-trial arrests and increase in pre-trial persons not being able to post bail.

Item 4: The increased inmate hours contributed to the community increased because of the vigorous efforts of the staff toward public outreach.

Item 5: Number of inmates participating in the furlough program increased due to eligibility of more compliant inmates. Additional, there are more work release opportunities from various companies that are available for eligible inmates.

Item 6: There is a decline in the inmate population participating in residential housing due to the closing of more traditional homes.

Item 7: The projected number of completed reclassification is an overestimation, and is not reflective of the inmate population and other conditions.

PROGRAM TITLE:

WOMEN'S COMMUNITY CORRECTIONAL CENTER

12/6/19

PROGRAM-ID:

PSD-409

PROGRAM STRUCTURE NO:

09010109

	FISCAL YEAR 2018-19				THREE MONTHS ENDED 09-30-19				NINE MONTHS ENDING 06-30-20			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	134.00	129.00	- 5.00	4	159.00	159.00	+ 0.00	0	159.00	159.00	+ 0.00	0
EXPENDITURES (\$1000's)	7,814	9,359	+ 1,545	20	2,627	2,627	+ 0	0	6,315	6,315	+ 0	0
TOTAL COSTS												
POSITIONS	134.00	129.00	- 5.00	4	159.00	159.00	+ 0.00	0	159.00	159.00	+ 0.00	0
EXPENDITURES (\$1000's)	7,814	9,359	+ 1,545	20	2,627	2,627	+ 0	0	6,315	6,315	+ 0	0
	FISCAL YEAR 2018-19				FISCAL YEAR 2019-20							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. NUMBER OF INMATES PLACED ON PAROLE.	100	104	+ 4	4	100	100	+ 0	0				
2. NUMBER OF ESCAPES AS DEFINED BY SEC. 710-1020, HRS	0	0	+ 0	0	0	0	+ 0	0				
3. NUMBER OF ESCAPES AS DEFINED BY SEC. 710-1021, HRS	5	6	+ 1	20	5	5	+ 0	0				
4. NUMBER OF INMATES RECEIVING SANCTIONS	100	88	- 12	12	100	100	+ 0	0				
PART III: PROGRAM TARGET GROUP												
1. AVERAGE NUMBER OF INMATES	275	275	+ 0	0	275	298	+ 23	8				
PART IV: PROGRAM ACTIVITY												
1. NUMBER OF NEW ADMISSIONS	120	149	+ 29	24	120	127	+ 7	6				
2. NUMBER OF INMATES RELEASED	150	157	+ 7	5	150	163	+ 13	9				
3. NUMBER OF INMATE-HOURS CONTRIBUTED IN COMMUNIT	2000	2166	+ 166	8	2000	2000	+ 0	0				
4. NUMBER OF INMATES PARTICIPATING IN FURLOUGH	36	17	- 19	53	36	36	+ 0	0				
5. NUMBER OF INMATES PARTICIPATING IN RESIDENTIAL	40	36	- 4	10	40	40	+ 0	0				
6. NUMBER OF RECLASSIFICATION COMPLETED	445	431	- 14	3	445	455	+ 10	2				

PROGRAM TITLE: WOMEN'S COMMUNITY CORRECTIONAL CENTER

PART I - EXPENDITURES AND POSITIONS

FY 19: The expenditure variance is due to increased payroll cost and the transfer of funds to offset shortfalls.

furlough.

Item 5: The decrease of just four (4) inmates in an average between 35-40 is negligible. Although it is not what is desired, it is within an acceptable range.

PART II - MEASURES OF EFFECTIVENESS

Item 3: There is a 20% variance in the category identified for Escape in the Second Degree. While this number may seem drastic and severe it is misleading and deceptive. The difference between the actual amount(6) from the planned (5) amount is inconsequential should not be cause for alarm.

Item 4: On the other hand [from above], a negative 12% difference in the category reserved for misconducts in the high and greatest grouping is noteworthy. It is surmised that the decrease in these types of misconducts were attributed to the attentive, accurate and expeditious classification of inmates, either on initial intake and/or upon receiving misconducts. Identified inmates were placed in the appropriate housing and setting. In addition, supervisory staff at all levels made a bigger on site presence which helped to guide and keep staff in check as well as deal with problematic inmates at the line level.

PART III - PROGRAM TARGET GROUPS

No significant variance.

PART IV - PROGRAM ACTIVITIES

Item 1: The number of new admissions is externally driven. Women offenders have been trending upward over the years. There were also some transfers from Halawa Correctional Facility.

Item 4: A negative 53% difference for furlough participation quite simply was attributed to less inmates being eligible for furlough participation. The reasoning ranged from not being cleared medically or mentally, choosing to max out their sentence or the Hawaii Paroling Authority simply just choosing to parole an individual prior to their eligibility for

PROGRAM TITLE:

INTAKE SERVICE CENTERS

12/6/19

PROGRAM-ID:

PSD-410

PROGRAM STRUCTURE NO:

09010110

	FISCAL YEAR 2018-19				THREE MONTHS ENDED 09-30-19				NINE MONTHS ENDING 06-30-20			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	61.00	46.00	- 15.00	25	61.00	61.00	+ 0.00	0	61.00	61.00	+ 0.00	0
EXPENDITURES (\$1000's)	3,778	3,365	- 413	11	833	833	+ 0	0	3,179	3,179	+ 0	0
TOTAL COSTS												
POSITIONS	61.00	46.00	- 15.00	25	61.00	61.00	+ 0.00	0	61.00	61.00	+ 0.00	0
EXPENDITURES (\$1000's)	3,778	3,365	- 413	11	833	833	+ 0	0	3,179	3,179	+ 0	0
	FISCAL YEAR 2018-19				FISCAL YEAR 2019-20							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. %PRETRIAL SUPERVSN CASES APPEAR IN COURT AS SCHED	90	84	- 6	7	90	90	+ 0	0				
2. %PRETRIAL SUPERVSN CASES NOT CHARGED W/NEW OFFENSE	95	97	+ 2	2	95	95	+ 0	0				
3. % COMMUNITY SERVICE RESTITUTN PROG CASES COMPLETED	NO DATA	NO DATA	+ 0	0	NO DATA	NO DATA	+ 0	0				
4. % OF OFFENDERS THAT COMPLETE ALTERNATIVE SENTENCES	85	92	+ 7	8	85	85	+ 0	0				
5. NO. BED SPACE DAYS SAVED THRU ISC PRGMS/INTERVENTN	300000	281000	- 19000	6	300000	300000	+ 0	0				
6. % RISK ASSESSMENTS COMPLETED WITHIN THREE DAYS	100	100	+ 0	0	100	100	+ 0	0				
PART III: PROGRAM TARGET GROUP												
1. NUMBER OF PRETRIAL OFFENDERS	1016	1080	+ 64	6	1016	1010	- 6	1				
2. NO. OF SENTENCED OFFENDERS WITH COMMUNITY STATUS	1638	908	- 730	45	1638	950	- 688	42				
PART IV: PROGRAM ACTIVITY												
1. NUMBER OF PRETRIAL INVESTIGATIONS INITIATED	11000	10500	- 500	5	11000	11000	+ 0	0				
2. NUMBER OF BAIL REPORTS COMPLETED	11000	10200	- 800	7	11000	11000	+ 0	0				
3. NUMBER OF INTAKE SCREENINGS CONDUCTED	11000	11300	+ 300	3	11000	11000	+ 0	0				
4. NO. OF PRETRIAL CASES PLACED ON ISC SUPERVISION	3000	2800	- 200	7	3000	3000	+ 0	0				
5. NO. SENTENCED OFFENDERS PLACED ON ISC SUPERVISION	NO DATA	NO DATA	+ 0	0	NO DATA	NO DATA	+ 0	0				

VARIANCE REPORT NARRATIVE FY 2019 AND FY 2020

09 01 01 10
PSD 410

PROGRAM TITLE: INTAKE SERVICE CENTERS

PART I - EXPENDITURES AND POSITIONS

FY 20: The position variance is due to employee turnover and recruitment difficulties. The expenditure variance is due to cost saving and the transfer out of funds to help other programs payroll shortages.

PART II - MEASURES OF EFFECTIVENESS

Item 3: The percentage of completed community service restitution program cases is no longer being evaluated by the program. This measure will be deleted in the future fiscal year reporting.

PART III - PROGRAM TARGET GROUPS

Item 2: Number of sentenced offenders with community status has decreased from planned. This was caused by an error in the planning number last year. The planned estimate will be adjusted accordingly.

PART IV - PROGRAM ACTIVITIES

Item 5: There are no existing data to evaluate the number of sentenced offenders placed on Intake Service Centers supervision. This measure will be deleted in the future fiscal year reporting.

PROGRAM TITLE:

CORRECTIONS PROGRAM SERVICES

12/6/19

PROGRAM-ID:

PSD-420

PROGRAM STRUCTURE NO:

09010111

	FISCAL YEAR 2018-19				THREE MONTHS ENDED 09-30-19				NINE MONTHS ENDING 06-30-20						
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%			
PART I: EXPENDITURES & POSITIONS															
RESEARCH & DEVELOPMENT COSTS															
POSITIONS															
EXPENDITURES (\$1,000's)															
OPERATING COSTS															
POSITIONS	164.00	133.00	-	31.00	19	167.00	167.00	+	0.00	0	167.00	167.00	+	0.00	0
EXPENDITURES (\$1000's)	23,742	21,806	-	1,936	8	5,219	5,219	+	0	0	19,233	19,233	+	0	0
TOTAL COSTS															
POSITIONS	164.00	133.00	-	31.00	19	167.00	167.00	+	0.00	0	167.00	167.00	+	0.00	0
EXPENDITURES (\$1000's)	23,742	21,806	-	1,936	8	5,219	5,219	+	0	0	19,233	19,233	+	0	0

	FISCAL YEAR 2018-19				FISCAL YEAR 2019-20					
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%		
PART II: MEASURES OF EFFECTIVENESS										
1. % OF SEX OFFENDERS COMPLETING SEX OFFENDER TREATME	10	7	-	3	30	10	8	-	2	20
2. % OF SEX OFFENDERS WHO TESTED POSITIVE ON URINALYS	5	0	-	5	100	5	3	-	2	40
3. PERCENT OF INMATES COMPLETING ACADEMIC PROGRAMS	30	20	-	10	33	30	30	+	0	0
4. % OF INMATES COMPLETING PERSONAL IMPROVEMENT PROGS	50	52	+	2	4	50	55	+	5	10
5. PERCENT OF INMATES COMPLETING VOCATIONAL PROGRAMS	60	56	-	4	7	60	60	+	0	0
6. % OF SENTENCED FELONS COMPLETING SUBSTANCE ABUSE T	80	88	+	8	10	80	85	+	5	6
7. % OF INMATES WHO PARTICIPATE IN RELIGIOUS (SPIRITU	75	75	+	0	0	75	75	+	0	0
8. % MEALS SRVD MEET REQRMTS OF AMER DIETETIC ASSN	100	100	+	0	0	100	100	+	0	0
9. % OF SEX OFFENDERS PARTICIPATING IN SEX OFFENDER T	14	17	+	3	21	14	15	+	1	7
10. % OF SEX OFFENDERS WHO COMPLETED PROGRAMS AND RE-S	5	5	+	0	0	5	5	+	0	0

PART III: PROGRAM TARGET GROUP											
1.	AVERAGE INMATE POPULATION	4206	5427	+	1221	29	4206	3777	-	429	10
2.	NUMBER OF NEW INMATE ADMISSIONS	14991	12585	-	2406	16	14991	13306	-	1685	11

PART IV: PROGRAM ACTIVITY											
1.	NO. OF SENTENCED FELONS ENTERING SEX OFFENDER TREA	45	26	-	19	42	45	40	-	5	11
2.	NO. OF SENTENCED FELONS ADMITTED TO SUBSTANCE ABUS	1200	965	-	235	20	1200	1000	-	200	17
3.	NUMBER OF URINALYSIS TESTS ADMINISTERED TO SENTENC	11500	9354	-	2146	19	11500	11500	+	0	0
4.	NO. OF INMATES PARTICPTG IN ACAD PROGS	2220	4573	+	2353	106	2220	4700	+	2480	112
5.	NO. INMATES PARTICIPATING IN VOCATIONAL/OJT PROGS	850	1124	+	274	32	850	1300	+	450	53
6.	NUMBER OF MEALS SERVED (PER DAY)	13500	12900	-	600	4	13500	13200	-	300	2
7.	NO. OF INMATES PARTICIPATING IN LIBRARY PROGRAMS	29300	28850	-	450	2	29300	29000	-	300	1
8.	# INMATES WHO ATTND RELIG SVCS/COUNS/STUDY CLASSES	13000	13059	+	59	0	13000	13350	+	350	3
9.	NUMBER OF VOLUNTEER HOURS PROVIDED TO INMATES	33000	34000	+	1000	3	33000	34000	+	1000	3
10.	NO. OF PRE-RELEASE RISK ASSESSMENTS CONDUCTED ON S	55	32	-	23	42	55	40	-	15	27

VARIANCE REPORT NARRATIVE FY 2019 AND FY 2020

09 01 01 11
PSD 420

PROGRAM TITLE: CORRECTIONS PROGRAM SERVICES

PART I - EXPENDITURES AND POSITIONS

FY 19: The position variance is due to employee turnover and recruitment difficulties. There is a decline in position count but higher expenditure, which was needed for additional payroll costs and other operating expenses. Existing staff incurred additional staff hours to fulfill the responsibilities.

PART II - MEASURES OF EFFECTIVENESS

Item 1: The planned percentage of sex offenders was estimated to be 7% last year because only 2% of sex offenders completed the program in FY 18. The majority of sex offenders chose to serve their sentence in its entirety, rather than to participate in the program. The number of completions have increased from 2% to 7%.

Item 2: There were no sex offenders that tested positive. When one of the variables is zero, a variance cannot be calculated, and no narrative required. This measure does not demonstrate the effectiveness of this program because it is not a substance abuse treatment program.

Item 3: The Corrections Programs Services Education Branch has several open positions for Education Specialists. Incumbents of these positions usually teach academic programs. Additionally, inmates get transferred to other facilities or programs, or get released before completing the programs. Both factors are deemed to have contributed to the variance.

Item 5: An even lower percentage difference between planned and actual number of risk assessments may be due to less being ready for assessment with sex offenders taking longer to complete the program.

Item 6: This positive trend cannot be isolated to a particular cause.

Item 9: The higher percent difference between planned and actual may

be due to a higher number of sex offenders being eligible to begin treatment and possibly inmates requiring more time to complete treatment, such as those with cognitive deficits.

PART III - PROGRAM TARGET GROUPS

Item 1 & 2: There are fluctuations on average inmate population and number of new inmate admissions. This variance may be attributed to external factors and discrepancies from initial projections.

PART IV - PROGRAM ACTIVITIES

Item 1: The lower percentage difference between planned and actual may be due to fewer inmates starting the program during this time period perhaps affected by the timing of these incarcerations, less inmates electing to enter the program, or by their entry being postponed by their participation in a mainland substance abuse program.

Item 2: The Substance Abuse Services (SAS) Branch unexpectedly experienced a 20% drop in planned program participation, from the planned 1,200 participant count to 965. The variance can be attributed to the discontinuation of two contracted, gap-type programs: the Relapse Intervention Program (RIP) and the Cognitive Behavior Intervention Program (CBIP). These two programs were primarily offered at Waiawa Correctional Facility (WCF), Women's Community Correctional Center (WCCC), and Oahu Community Correctional Center (OCCC). The programs were discontinued due to a change in the inmate classification system which inadvertently had a negative effect in the scope of service and geographical location of where services were to be administered. The CBIP and RIP programs accounted for an additional 200+ program participant spots in previous annual reports.

Item 3: There was a decrease from the planned collection of urine samples during FY 19. This experienced decrease in the collection of urine samples is primarily due to an unexpected change in the vendor responsible for processing the confirmation or second opinion of presumed positive urine screens collected at the facilities. Finding

PROGRAM TITLE: CORRECTIONS PROGRAM SERVICES

another vendor to fulfill the confirmation tests took approximately six months to rectify due to compliance issues. While securing the new vendor did take time to establish, it did not totally stop the collection of urine samples, it simply decreased the frequency of testing.

Items 4 & 5: The education program branch was very conservative in its projection for the program activities due to staff shortage. However, the data show a very significant number of inmates who participated in both the academic and career & technical/vocational programs, with 106% and 32% variance, respectively. The implementation of more computer-based programs contributed to the significant increase in the number of inmates who participated in the programs.

Item 10: An even lower percentage difference between planned and actual number of risk assessments may be due to less being ready for assessment with sex offenders taking longer to complete the program.

PROGRAM TITLE: HEALTH CARE

PROGRAM-ID: PSD-421

12/6/19

PROGRAM STRUCTURE NO: 09010112

	FISCAL YEAR 2018-19				THREE MONTHS ENDED 09-30-19				NINE MONTHS ENDING 06-30-20			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	197.60	165.60	- 32.00	16	208.60	208.60	+ 0.00	0	208.60	208.60	+ 0.00	0
EXPENDITURES (\$1000's)	27,574	29,854	+ 2,280	8	6,919	6,919	+ 0	0	22,024	22,024	+ 0	0
TOTAL COSTS												
POSITIONS	197.60	165.60	- 32.00	16	208.60	208.60	+ 0.00	0	208.60	208.60	+ 0.00	0
EXPENDITURES (\$1000's)	27,574	29,854	+ 2,280	8	6,919	6,919	+ 0	0	22,024	22,024	+ 0	0
	FISCAL YEAR 2018-19				FISCAL YEAR 2019-20							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. PERCENT OF OFFENDERS RECEIVING CLINICAL SERVICES	100	100	+ 0	0	100	100	+ 0	0	100	100	+ 0	0
2. % OF OFFENDERS RECEIVING MENTAL HEALTH SERVICES	100	100	+ 0	0	100	100	+ 0	0	100	100	+ 0	0
3. PERCENT OF OFFENDERS RECEIVING DENTAL SERVICES	100	100	+ 0	0	100	100	+ 0	0	100	100	+ 0	0
4. PERCENT OF OFFENDERS RECEIVING CHRONIC CARE SVCS	20	26	+ 6	30	20	25	+ 5	25	20	25	+ 5	25
5. % OF OFFENDERS RECEIVING OUT-OF-FACILITY SERVICES	15	9	- 6	40	15	10	- 5	33	15	10	- 5	33
PART III: PROGRAM TARGET GROUP												
1. AVERAGE FACILITY POPULATION	620	543	- 77	12	620	420	- 200	32	620	420	- 200	32
PART IV: PROGRAM ACTIVITY												
1. NUMBER OF MEDICAL PROVIDER ENCOUNTERS	12000	7593	- 4407	37	12000	10000	- 2000	17	12000	10000	- 2000	17
2. NUMBER OF PSYCHIATRIC ENCOUNTERS	65000	77442	+ 12442	19	65000	80000	+ 15000	23	65000	80000	+ 15000	23
3. NUMBER OF NURSING ENCOUNTERS	150000	176766	+ 26766	18	150000	175000	+ 25000	17	150000	175000	+ 25000	17
4. NUMBER OF DENTAL ENCOUNTERS	5000	5314	+ 314	6	5000	5000	+ 0	0	5000	5000	+ 0	0
5. NUMBER OF CHRONIC CARE ENCOUNTERS	2200	3368	+ 1168	53	2200	3500	+ 1300	59	2200	3500	+ 1300	59
6. NUMBER OF OFFENDERS ADMITTED TO INFIRMARIES	1500	1737	+ 237	16	1500	1600	+ 100	7	1500	1600	+ 100	7
7. NUMBER OF HOSPITAL ADMISSIONS	250	212	- 38	15	250	250	+ 0	0	250	250	+ 0	0
8. NUMBER OF OFFENDERS RECEIVING COMPLEX CARE	800	1362	+ 562	70	800	1500	+ 700	88	800	1500	+ 700	88
9. # OFFENDERS RECVRG TRSFR SCREENING/DISCHRG SUMMRS	13000	13000	+ 0	0	13000	13000	+ 0	0	13000	13000	+ 0	0

VARIANCE REPORT NARRATIVE FY 2019 AND FY 2020

09 01 01 12
PSD 421

PROGRAM TITLE: HEALTH CARE

PART I - EXPENDITURES AND POSITIONS

FY 19: The position variance is due to employee turnover and recruitment difficulties. Higher expenditures are attributed to additional staffing hours and increased operating costs.

PART II - MEASURES OF EFFECTIVENESS

Item 4: The aging population has contributed to a drastic increase in chronic care encounters and the number is expected to rise in the future.

Item 5: Several factors have contributed to a decrease in out-of-facility care services. Many patients are being kept in-house for prolonged treatment, rather than being sent to specialized facilities. Travel has also been an issue, as there have been security and transport shortages, so many patients are unable to schedule frequent off-site appointments.

PART III - PROGRAM TARGET GROUPS

Item 1: Average facility population declined in the first quarter of FY 20, and it is projected to continue the downward trend in the remaining quarters of FY 20. This variance may be attributed to various external factors and lower than the initial projections.

PART IV - PROGRAM ACTIVITIES

Item 1: Due to the loss of a full-time physician and an Advanced Practice Registered Nurse in the last year, medical provider encounters have fallen far short of projections. Health Care Division (HCD) has not yet been able to recruit a suitable candidate and are currently utilizing locum tenens physicians for temporary coverage.

Item 2: The Mental Health Branch revised the Post-Admission Mental Health Screen which is a measure used to identify inmates in need of psychiatric care. The result has been an increase in the number of referrals to psychiatry for evaluation and medication management.

Item 3: Nursing Encounters have risen due to the shift in aging in the

current population. Many patients are experiencing injury and illness brought on by their advanced age and are visiting the clinic at a higher frequency. Clinic nurses have also been assisting in administering treatments in the absence of medical providers.

Item 5: As with nursing encounters, chronic care encounters are directly related to the aging population and the frequency of their clinic visits.

Item 6: The introduction of the Mental Health Crisis Assessment form as a measure for emergency mental health referrals resulted in expeditious identification of inmates in need of infirmary-level care for the purpose of suicide prevention and intervention. As a result, the number of admissions to psychiatric infirmaries has seen a moderate increase and a significant reduction in deaths by suicide and serious suicide attempts.

Item 7: The Clinical Services Branch has expanded the scope of their services and have been keeping patients with specialized care plans in-house, rather than admitting them to hospitals for lengthy stays. The Mental Health Branch's intervention, using Interdisciplinary Behavior Modification Plans, has also assisted in reducing the number of self-injurious behaviors requiring hospitalization.

Item 8: With an aging population, there has been a substantial number of diagnosed diabetic, hypertensive, and disabled patients. Several patients have initiated Hepatitis-C treatments within the past year and the Hepatitis-C program is expected to identify and treat even more over the next few years.

Item 9: There is a significant decline in the number of offenders receiving transfer and discharge summaries in the first quarter of FY 20, but this is expected to ramp up in the coming quarters. This may be due to timing and operational processes.

VARIANCE REPORT

	FISCAL YEAR 2018-19				THREE MONTHS ENDED 09-30-19				NINE MONTHS ENDING 06-30-20						
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%			
PART I: EXPENDITURES & POSITIONS															
RESEARCH & DEVELOPMENT COSTS															
POSITIONS															
EXPENDITURES (\$1,000's)															
OPERATING COSTS															
POSITIONS	2.00	1.00	-	1.00	50	2.00	2.00	+	0.00	0	2.00	2.00	+	0.00	0
EXPENDITURES (\$1000's)	10,232	4,254	-	5,978	58	2,587	2,587	+	0	0	7,763	7,763	+	0	0
TOTAL COSTS															
POSITIONS	2.00	1.00	-	1.00	50	2.00	2.00	+	0.00	0	2.00	2.00	+	0.00	0
EXPENDITURES (\$1000's)	10,232	4,254	-	5,978	58	2,587	2,587	+	0	0	7,763	7,763	+	0	0

	FISCAL YEAR 2018-19				FISCAL YEAR 2019-20					
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%		
PART II: MEASURES OF EFFECTIVENESS										
1. AMOUNT OF NET INCOME (IN THOUSANDS)	200	184	-	16	8	200	200	+	0	0
2. AMOUNT OF REVENUES GENERATED (IN THOUSANDS)	6000	5480	-	520	9	6000	6000	+	0	0
PART III: PROGRAM TARGET GROUP										
1. AVERAGE NUMBER OF INMATES IN ALL STATE FACILITIES	3972	3705	-	267	7	3972	3520	-	452	11
2. AVERAGE NUMBER OF INMATES IN THE FED DETENTION CTR	146	173	+	27	18	146	88	-	58	40
3. AVE NO. INMATES IN OUT-OF-STATE CONTRACTED FACIL	1556	1440	-	116	7	1556	1500	-	56	4
PART IV: PROGRAM ACTIVITY										
1. NUMBER OF CI BUSINESSES AND PARTNERSHIPS	18	18	+	0	0	18	18	+	0	0
2. NUMBER OF PROGAMABLE INMATES WHO PARTICIPATE IN H	350	317	-	33	9	350	325	-	25	7
3. NUMBER OF HOURS THAT INMATES PARTICIPATE IN HCI PR	190000	174000	-	16000	8	190000	190000	+	0	0

PROGRAM TITLE: HAWAII CORRECTIONAL INDUSTRIES

PART I - EXPENDITURES AND POSITIONS

FY 2019: The position variance is due to employee turnover and recruitment difficulties.

Planned expenditure ceiling numbers were overestimated and require adjustment.

PART II - MEASURES OF EFFECTIVENESS

No significant variance.

PART III - PROGRAM TARGET GROUPS

Item 1: Average number of inmates in all state facilities is estimated to decrease by 11% in the last three quarters of FY 20. This may be due to overestimation in the planned number.

Item 2: Average number of inmates in the Federal Detention Center fluctuates and may vary within the fiscal year.

PART IV - PROGRAM ACTIVITIES

No significant variance.

PROGRAM TITLE:

NON-STATE FACILITIES

PROGRAM-ID:

PSD-808

12/6/19

PROGRAM STRUCTURE NO:

09010114

	FISCAL YEAR 2018-19				THREE MONTHS ENDED 09-30-19				NINE MONTHS ENDING 06-30-20			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	9.00	8.00	- 1.00	11	9.00	9.00	+ 0.00	0	9.00	9.00	+ 0.00	0
EXPENDITURES (\$1000's)	52,689	52,706	+ 17	0	13,324	13,324	+ 0	0	34,393	34,393	+ 0	0
TOTAL COSTS												
POSITIONS	9.00	8.00	- 1.00	11	9.00	9.00	+ 0.00	0	9.00	9.00	+ 0.00	0
EXPENDITURES (\$1000's)	52,689	52,706	+ 17	0	13,324	13,324	+ 0	0	34,393	34,393	+ 0	0
	FISCAL YEAR 2018-19				FISCAL YEAR 2019-20							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. % OF RECLASSIFICATIONS RESULTING IN REDUCED CUSTOD	15	14	- 1	7	15	10	- 5	33				
2. NUMBER OF ESCAPES AS DEFINED BY SEC. 710-1020, HRS	0	0	+ 0	0	0	0	+ 0	0				
3. NUMBER OF ESCAPES AS DEFINED BY SEC. 710-1021, HRS	0	0	+ 0	0	0	0	+ 0	0				
4. NUMBER OF INMATES RECEIVING SANCTIONS	350	362	+ 12	3	350	225	- 125	36				
5. AVG % OF MJR CNTRT PROV W/ OUT-STATE REQ CORR ACTN	1	0	- 1	100	1	1	+ 0	0				
PART III: PROGRAM TARGET GROUP												
1. AVERAGE NUMBER OF INMATES AT OUT-OF-STATE	1556	1440	- 116	7	1556	1400	- 156	10				
2. AVERAGE NUMBER OF INMATES AT THE FEDERAL DETEN	146	173	+ 27	18	146	88	- 58	40				
PART IV: PROGRAM ACTIVITY												
1. NUMBER OF INMATE GRIEVANCES FILED	250	224	- 26	10	250	220	- 30	12				
2. AVERAGE NUMBER OF MAJOR CONTRACT	268	268	+ 0	0	268	268	+ 0	0				
3. NO. OF RECLASSIFICATION COMPLETED	2500	2635	+ 135	5	2500	2400	- 100	4				

VARIANCE REPORT NARRATIVE FY 2019 AND FY 2020

09 01 01 14
PSD 808

PROGRAM TITLE: NON-STATE FACILITIES

PART I - EXPENDITURES AND POSITIONS

FY 19: The position variance is due to employee turnover and recruitment difficulties.

PART II - MEASURES OF EFFECTIVENESS

Item 5: There was no major contract provided without State required corrective action.

PART III - PROGRAM TARGET GROUPS

Item 2: Although 18% variance is beyond the 10% threshold, the increase in the number of inmates at the Federal Detention Center is not critical. There are various factors that contribute to these fluctuations. The majority of the population are parole violations with low security risk.

PART IV - PROGRAM ACTIVITIES

Item 1: Inmate grievances decreased due to staff working with inmates to solve problems prior to filing grievances.

VARIANCE REPORT

	FISCAL YEAR 2018-19				THREE MONTHS ENDED 09-30-19				NINE MONTHS ENDING 06-30-20			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	391.00	354.00	- 37.00	9	414.00	414.00	+ 0.00	0	414.00	414.00	+ 0.00	0
EXPENDITURES (\$1000's)	29,452	30,117	+ 665	2	9,067	9,067	+ 0	0	24,613	24,613	+ 0	0
TOTAL COSTS												
POSITIONS	391.00	354.00	- 37.00	9	414.00	414.00	+ 0.00	0	414.00	414.00	+ 0.00	0
EXPENDITURES (\$1000's)	29,452	30,117	+ 665	2	9,067	9,067	+ 0	0	24,613	24,613	+ 0	0
	FISCAL YEAR 2018-19				FISCAL YEAR 2019-20							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. NUMBER OF NEW ARRESTS MADE	4200	5252	+ 1052	25	4200	4200	+ 0	0				

PROGRAM TITLE: ENFORCEMENT

09 01 02

PART I - EXPENDITURES AND POSITIONS

Details of the position and expenditure variances are best examined at the lowest program level.

PART II - MEASURES OF EFFECTIVENESS

See Lowest Level Programs for additional information.

PROGRAM TITLE:

NARCOTICS ENFORCEMENT

12/6/19

PROGRAM-ID:

PSD-502

PROGRAM STRUCTURE NO:

09010202

	FISCAL YEAR 2018-19				THREE MONTHS ENDED 09-30-19				NINE MONTHS ENDING 06-30-20			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	20.00	16.00	- 4.00	20	22.00	22.00	+ 0.00	0	22.00	22.00	+ 0.00	0
EXPENDITURES (\$1000's)	2,327	2,075	- 252	11	649	649	+ 0	0	1,933	1,933	+ 0	0
TOTAL COSTS												
POSITIONS	20.00	16.00	- 4.00	20	22.00	22.00	+ 0.00	0	22.00	22.00	+ 0.00	0
EXPENDITURES (\$1000's)	2,327	2,075	- 252	11	649	649	+ 0	0	1,933	1,933	+ 0	0

	FISCAL YEAR 2018-19				FISCAL YEAR 2019-20			
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%
PART II: MEASURES OF EFFECTIVENESS								
1. % CERTIFICATES/PEMITS ISSUED WITHOUT COMPLAINT	97	97	+ 0	0	97	97	+ 0	0
2. % OF CASES THAT RESULTED IN SUCCESSFUL PROSECUTION	90	90	+ 0	0	90	90	+ 0	0
3. % OF CASES RELEASED PENDING FUTHER INVESTIGATION	25	100	+ 75	300	25	90	+ 65	260
4. % CASES CONFERRED/ACCEPTED BY PROSECUTING AGENCIES	97	55	- 42	43	97	97	+ 0	0
5. % CASES CONFERRED/DECLINED BY PROSECUTING AGENCIES	3	45	+ 42	1400	3	3	+ 0	0
6. PERCENT OF CASES REFERRED TO FEDERAL AGENCIES	3	0	- 3	100	3	0	- 3	100
7. % CRIMINL CASES RSLTD IN ASSET FORFT/RECV D BY DEPT	3	3	+ 0	0	3	3	+ 0	0
8. % CASES INVESTIGTD & RESOLVD W/OUT CRIMINAL ACTION	65	65	+ 0	0	65	65	+ 0	0
9. % OF DRUG EDUCATION OR TRAINING SESSIONS CONDUCTED	95	95	+ 0	0	95	95	+ 0	0
10. % INQUIRIES MADE ON ELECTRONIC RX MONITRNG PRGM	99	41	- 58	59	99	58	- 41	41

PART III: PROGRAM TARGET GROUP									
1. NUMBER OF CONTROLLED SUBSTANCE REGISTRANTS	7000	7935	+ 935	13	7000	7000	+ 0	0	0
2. NUMBER OF REGULATED CHEMICAL REGISTRANTS	40	10	- 30	75	40	40	+ 0	0	0
3. NUMBER OF MEDICAL USE OF MARIJUANA PATIENTS	1300	NO DATA	- 1300	100	1300	NO DATA	- 1300	100	100
4. NUMBER OF MEDICAL USE OF MARIJUANA CAREGIVERS	1500	NO DATA	- 1500	100	1500	NO DATA	- 1500	100	100
5. NO. PHYSICNS PARTICPTG IN MED USE OF MARIJUANA PRG	95	NO DATA	- 95	100	95	NO DATA	- 95	100	100

PART IV: PROGRAM ACTIVITY									
1. # CONTR SUBS/REG CHM/ORAL/MJ RGSTRNS/PRM TS PRCS SD	7000	7979	+ 979	14	7000	7000	+ 0	0	0
2. TOTAL NO. CASES THAT RESULTED IN SUCCESSFUL PROSEC	25	10	- 15	60	25	25	+ 0	0	0
3. TOTAL NO. CASES CONFERRD/ACCEP TD BY PROSECUTG AGEN	15	10	- 5	33	15	15	+ 0	0	0
4. TOTAL NO. CASES REFERRD/DECLND BY PROSECUTNG AGEN	3	8	+ 5	167	3	3	+ 0	0	0
5. TOTAL NUMBER OF CASES REFERRED TO FEDERAL AGENCIES	3	1	- 2	67	3	3	+ 0	0	0
6. NO. CASES INVESTGTD FROM HIA/CORR FACILITIES/OTHER	900	947	+ 47	5	900	900	+ 0	0	0
7. NUMBER OF REGULATORY ACTIONS TAKEN	1000	3500	+ 2500	250	1000	2000	+ 1000	100	100
8. NO. OF EDUCATIONAL AND TRAINING SESSIONS CONDUCTED	60	40	- 20	33	60	60	+ 0	0	0
9. NO. OF FORENSIC DRUG ANALYSIS CONDUCTED BY NED LAB	2000	1970	- 30	2	2000	2000	+ 0	0	0
10. # CNTRLLD SUBS RX PROCSSD BY ELECTNC RX MONITR PRG	1500000	1314802	- 185198	12	1500000	1300000	- 200000	13	13

VARIANCE REPORT NARRATIVE FY 2019 AND FY 2020

09 01 02 02
PSD 502

PROGRAM TITLE: NARCOTICS ENFORCEMENT

PART I - EXPENDITURES AND POSITIONS

FY 19: The position variance is due to employee turnover and recruitment difficulties. Expenditure is lower than the budgeted amount is related to lower number of budgeted personnel that require additional operational costs.

PART II - MEASURES OF EFFECTIVENESS

Item 3: The Honolulu Prosecuting Attorney's Office (HPOA) has changed its policy related to Narcotic's Enforcement Division (NED) drug cases requiring that all of NED's drug cases be released pending further investigation, except for two specific/rare charging criteria. Consequently, all of NED cases in FY 19 were required to be released pending further investigation under the HPOA policy. This resulted to higher number of releases pending investigation than the previous projections.

Item 4: In FY 19, NED was short staffed resulting in less cases taken to prosecution. Also, a large number of cases of drug paraphernalia were declined due to changes in state law that decriminalized those offenses and were declined due to HPOA policies.

Item 5: In FY 19, NED was short staffed resulting in less cases taken to prosecution. Also, a large number of cases of drug paraphernalia were declined due to changes in state law that decriminalized those offenses and were declined due to HPOA policies.

Item 6: There were three planned and zero actual. A variance cannot be calculated if one of the values is zero.

Item 10: This is reflects outside law enforcement and outside regulatory agency needs for information from the electronic prescription drug monitoring program has lessened either because the agencies' have other sources of information or require prescription information less frequently.

PART III - PROGRAM TARGET GROUPS

Item 1: In FY 19, NED continued its initiative to remind expired and inactive registrants to renew their registrations on a timely basis as well as ongoing submissions of new controlled substances registration applications.

Item 2: In FY 19, NED continued an initiative to remind expired and inactive registrants to renew their registrations on a timely basis as well as ongoing submissions of new controlled substances registration applications. The decreased number of regulated chemical registrants than the projection reflects the need for greater efforts to comply with State law.

Item 3, 4 & 5: No data available. These measures deal with medical marijuana. These measures should be removed because since 2015, the medical marijuana program was moved to the State Department of Health and NED does not have any management responsibility for the program.

PART IV - PROGRAM ACTIVITIES

Item 1: This number is greater due to intensive effort to locate and identify registrants and to ensure they maintain their registrations by timely application to obtain registrations and timely renewal. This is also a reflection of an increasing amount of healthcare professionals who need a registration to accomplish their work.

Item 2: Total number of cases that resulted in successful prosecution decreased from 25 to 10 (-60%). In FY 19, NED was short staffed resulting in less cases taken to prosecution. Also, a large number of cases of drug paraphernalia were declined due to changes in state law that decriminalized those offenses and were declined due to HPOA policies.

Item 3: In FY 19, NED worked very short of staffing. Three positions were under recruitment and two full-time employees were on extended leaves of absence due to long term medical issues. Furthermore, the

VARIANCE REPORT NARRATIVE FY 2019 AND FY 2020

09 01 02 02
PSD 502

PROGRAM TITLE: NARCOTICS ENFORCEMENT

HPAO routinely dismisses charges for drug paraphernalia which accounts for a large number of cases that were once routinely accepted for charges. A recent change in State law makes these charges unattractive for prosecution.

Item 4: In FY 19, NED worked very short of staffing. Three positions were under recruitment and two full-time employees were on extended leaves of absence due to long term medical issues. Furthermore, the HPAO routinely dismisses charges for drug paraphernalia which accounts for a large number of cases that were once routinely accepted for charges. A recent change in State law makes these charges unattractive for prosecution

Item 5: Number of cases referred to federal agencies fell 3 to 1 (-67%). In FY 19, NED referred less cases for investigation due to a division management goal of initiating more cases at the State level and to resolve more cases at the State level.

Item 6: In FY 19, NED saw increases in criminal cases reported, especially lost/theft or missing drugs.

Item 7: In FY 19, NED aggressively dealt with inactive and expired registrants by forcing them to either renew or dispose of their registrations. This resulted in hundreds of regulatory actions. Additionally, the State Legislature passed a mandatory use of the Prescription Monitoring Program law that resulted in a large number of regulatory actions to assist and provide program access to registrants. The increased number of regulatory actions has created an extremely high level of compliance but required intensive effort on the part of NED staff to support this need.

Item 8: In FY 19, NED worked very short of staffing. Three positions were under recruitment and two full-time employees were on extended leaves of absence due to long term medical issues. Consequently, due to reduced staffing, NED was unable to conduct as many drug education and training sessions as in the past.

Item 10: This is a positive result of increased legislation, planning, and prevention efforts at all levels. The State has implemented a concerted effort through the Administration's Opioid Action Plan to reduce controlled substances prescription, in order to prevent the opioid epidemic and other substance use issues in Hawaii. The reduced number is a positive effect of these efforts. It is anticipated to have reduced trend in the coming quarters.

VARIANCE REPORT

	FISCAL YEAR 2018-19				THREE MONTHS ENDED 09-30-19				NINE MONTHS ENDING 06-30-20			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	371.00	338.00	- 33.00	9	392.00	392.00	+ 0.00	0	392.00	392.00	+ 0.00	0
EXPENDITURES (\$1000's)	27,125	28,042	+ 917	3	8,418	8,418	+ 0	0	22,680	22,680	+ 0	0
TOTAL COSTS												
POSITIONS	371.00	338.00	- 33.00	9	392.00	392.00	+ 0.00	0	392.00	392.00	+ 0.00	0
EXPENDITURES (\$1000's)	27,125	28,042	+ 917	3	8,418	8,418	+ 0	0	22,680	22,680	+ 0	0
					FISCAL YEAR 2018-19				FISCAL YEAR 2019-20			
					PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%
PART II: MEASURES OF EFFECTIVENESS												
1. PERCENT OF GRAND JURY AND HPA WARRANTS SERVED					75	NO DATA	- 75	100	75	NO DATA	- 75	100
2. PERCENT OF TRAFFIC WARRANTS SERVED					0	0	+ 0	0	0	0	+ 0	0
3. PERCENT OF THREATS INVESTIGATED					100	100	+ 0	0	100	100	+ 0	0
PART III: PROGRAM TARGET GROUP												
1. NUMBER OF STATE DEPARTMENTS					20	20	+ 0	0	20	20	+ 0	0
2. NUMBER OF STATE COURTHOUSES					15	15	+ 0	0	15	15	+ 0	0
3. # PERSONS IN CUSTODY REQ DETENTN/TRANSPRT/PROCESS					35000	27490	- 7510	21	35000	36000	+ 1000	3
PART IV: PROGRAM ACTIVITY												
1. NUMBER OF SERVICE TYPE CASES					3000	4790	+ 1790	60	3000	3500	+ 500	17
2. NUMBER OF CRIMINAL CASES RECEIVED					5000	2801	- 2199	44	5000	5000	+ 0	0
3. NUMBER OF ARREST INCIDENTS					4200	5252	+ 1052	25	4200	4200	+ 0	0
4. NO. THREATS AGNST GOVT OFFICLS/STATE GOVT EMPLYEES					2	3	+ 1	50	2	2	+ 0	0
5. NUMBER OF GRAND JURY AND HPA WARRANTS RECEIVED					1000	468	- 532	53	1000	1000	+ 0	0
6. NO. PERSONS DETAINED IN DISTRICT & CIRCUIT COURTS					31000	31665	+ 665	2	31000	32000	+ 1000	3
7. NUMBER OF CUSTODY TRANSPORTS					4000	4744	+ 744	19	4000	4500	+ 500	13
8. NUMBER OF TRAFFIC WARRANTS RECEIVED					0	NO DATA	- 0	0	0	NO DATA	- 0	0
9. NUMBER OF TRAFFIC CITATIONS ISSUED					4200	8542	+ 4342	103	4200	5000	+ 800	19

VARIANCE REPORT NARRATIVE FY 2019 AND FY 2020

09 01 02 03
PSD 503

PROGRAM TITLE: SHERIFF

PART I - EXPENDITURES AND POSITIONS

FY 19: Expenditure variance is attributed to higher than projection additional staff hours for the performance of the function. The Division is continuously exerting its effort to improve its recruitment and staffing conditions to better facilitate the functions of the division.

PART II - MEASURES OF EFFECTIVENESS

Item 1 & 2: This measure is no longer applicable. Since the Judiciary (JUD) established the E-Warrant system grand jury warrants into the system, the Sheriff Division (SD) no longer receives grand jury warrants from the JUD and is not the custodian of these warrants. This measure is based on grand jury warrant received vs. served.

PART III - PROGRAM TARGET GROUPS

Item 3: The number of custodies requiring detention/transport/processing is not directly under the SD's control. Custodies numbers are based on Honolulu Police Department (HPD) arrests, Sheriff arrests, JUD court calendars and corrections custody status (held in custody or released on bail). Due to this, these numbers fluctuate and are not consistent.

PART IV - PROGRAM ACTIVITIES

Item 1: The number of service type cases received is not directly under the SD's control. These calls for service are based on the calls received by the general public for assistance.

Item 2: The number of criminal cases received is not directly under the SD's control. These criminal cases are based on criminal complaints by the general public.

Item 3: The number of arrests incidents are not directly under the SD's control. These criminal cases are based on criminal complaints by the general public.

Item 4: The number of threats against Government offices/State

employees is not directly under the SD's control. The SD responds cases as they are reported.

Item 5: This measure is no longer applicable. Since the JUD established the E-Warrant system, the SD no longer receives grand jury warrants from the JUD and is not the custodian of these warrants.

Item 6: The number of persons detained in District and Circuit Courts is not directly under the SD's control. Custodies numbers are based on HPD arrests, Sheriff arrests, JUD court calendars and corrections custody status (held in custody or released on bail). Due to this, these numbers fluctuate.

Item 7: The number of custody transports has dramatically increased due to a change in data collection. Previously, only custody air transports were being reported. Now both air and ground transports are being included to more accurately reflect the impact to personnel used for this program activity.

Item 8: This measure is no longer applicable. Since the JUD established the E-Warrant system, the SD no longer receives traffic warrants from the JUD and is not the custodian of these warrants.

Item 9: The number of traffic citations issued fluctuates based on the number of traffic violations/infractions observed by deputies. These numbers can also be impacted by increases in service calls as deputies will be spending more time responding to calls and writing reports than patrolling the streets and issuing traffic citations.

VARIANCE REPORT

	FISCAL YEAR 2018-19				THREE MONTHS ENDED 09-30-19				NINE MONTHS ENDING 06-30-20			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	68.00	59.00	- 9.00	13	68.00	68.00	+ 0.00	0	68.00	68.00	+ 0.00	0
EXPENDITURES (\$1000's)	4,733	4,799	+ 66	1	1,235	1,235	+ 0	0	4,044	4,044	+ 0	0
TOTAL COSTS												
POSITIONS	68.00	59.00	- 9.00	13	68.00	68.00	+ 0.00	0	68.00	68.00	+ 0.00	0
EXPENDITURES (\$1000's)	4,733	4,799	+ 66	1	1,235	1,235	+ 0	0	4,044	4,044	+ 0	0
	FISCAL YEAR 2018-19				FISCAL YEAR 2019-20							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. NUMBER OF PAROLE VIOLATORS RETURNED TO PRISON	370	363	- 7	2	370	365	- 5	1				
2. PERCENT OF INMATES GRANTED EARLY PAROLE RELEASE	5	5	+ 0	0	5	5	+ 0	0				
3. AV TIME ON PAROLE BEFORE FINAL DISCHARGE (YRS)	6	6	+ 0	0	6	6	+ 0	0				
4. UNEMPLOYMENT RATE AMONG PAROLEES	13	12	- 1	8	13	13	+ 0	0				

PART I - EXPENDITURES AND POSITIONS

Details of the position and expenditure variances are best examined at the lowest program level.

PART II - MEASURES OF EFFECTIVENESS

See Lowest Level Programs for additional information.

PROGRAM TITLE:

ADULT PAROLE DETERMINATIONS

12/6/19

PROGRAM-ID:

PSD-611

PROGRAM STRUCTURE NO:

09010301

	FISCAL YEAR 2018-19				THREE MONTHS ENDED 09-30-19				NINE MONTHS ENDING 06-30-20			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	7.00	5.00	- 2.00	29	7.00	7.00	+ 0.00	0	7.00	7.00	+ 0.00	0
EXPENDITURES (\$1000's)	433	494	+ 61	14	124	124	+ 0	0	409	409	+ 0	0
TOTAL COSTS												
POSITIONS	7.00	5.00	- 2.00	29	7.00	7.00	+ 0.00	0	7.00	7.00	+ 0.00	0
EXPENDITURES (\$1000's)	433	494	+ 61	14	124	124	+ 0	0	409	409	+ 0	0
	FISCAL YEAR 2018-19				FISCAL YEAR 2019-20							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. PERCENT OF INMATES GRANTED EARLY PAROLE RELEASE	5	5	+ 0	0	5	5	+ 0	0				
2. AV LENGTH OF TIME BEFORE NEXT PAROLE RVIEW (MNTHS)	6	6	+ 0	0	6	6	+ 0	0				
3. AV TIME ON PAROLE BEFORE FINAL DISCHARGE (YRS)	6	6	+ 0	0	6	6	+ 0	0				
4. % INMATES GRANTED PAROLE AT EXPIRATN OF MIN SENTCE	45	43	- 2	4	45	45	+ 0	0				
5. NUMBER OF PAROLE VIOLATORS RETURNED TO PRISON	370	363	- 7	2	370	365	- 5	1				
PART III: PROGRAM TARGET GROUP												
1. AV NO. OF SENTENCED FELONS IN STATE PRISON SYSTEM	2934	3705	+ 771	26	2934	3520	+ 586	20				
2. NUMBER OF PAROLEES UNDER HAWAII JURISDICTION	1600	1582	- 18	1	1600	1660	+ 60	4				
PART IV: PROGRAM ACTIVITY												
1. NUMBER OF MINIMUM SENTENCES FIXED	1650	2171	+ 521	32	1650	2000	+ 350	21				
2. # PERSONS CONSIDERED FOR PAROLE AT MIN EXPRTN DATE	2900	2923	+ 23	1	2900	2900	+ 0	0				
3. NUMBER OF PAROLES GRANTED	840	768	- 72	9	840	775	- 65	8				
4. NUMBER OF PAROLES DENIED	1700	1693	- 7	0	1700	1700	+ 0	0				
5. NUMBER OF PAROLES REVOKED	330	363	+ 33	10	330	355	+ 25	8				
6. NO. OF APPL FOR REDUCTN OF MIN SENTENCE CONSIDERED	220	165	- 55	25	220	180	- 40	18				
7. NUMBER OF PARDON APPLICATIONS CONSIDERED	30	24	- 6	20	30	28	- 2	7				
8. NUMBER OF PAROLEES REVIEWED FOR DISCHARGE	100	45	- 55	55	100	45	- 55	55				
9. NUMBER OF INFORMAL INTERVIEWS CONDUCTED	0	0	+ 0	0	0	0	+ 0	0				

PROGRAM TITLE: ADULT PAROLE DETERMINATIONS

PART I - EXPENDITURES AND POSITIONS

FY 19: The position variance is due to employee turnover and recruitment difficulties. Planned expenditure numbers were underestimated and require adjustment.

PART II - MEASURES OF EFFECTIVENESS

No significant variance.

PART III - PROGRAM TARGET GROUPS

Item 1: The increase in the number of sentenced felons in the state prison system is dictated by law enforcement and the courts. Planning numbers are pure speculation by the Department of Public Safety to determine housing and program resource requirements.

PART IV - PROGRAM ACTIVITIES

Item 1: The actual number of minimum terms fixed by the Hawaii Parole Authority (HPA) (2,171) is more than planned (1,650) because of an increase in the number of convictions that offenders have when appearing before the HPA for the setting of their minimum term(s). This is the primary reason for the 32% increase.

Item 5: The number of paroles revoked (363) is slightly higher than planned (330) as a result of an increase in the number of parolees testing positive for methamphetamine and use of designer drugs such as bath salts and spice. In addition, there has been an increase in the number of parolees refusing to participate in community-based treatment by absconding and/or failing to remain in residential treatment until clinically discharged. These are the primary reasons for the 10% increase.

Item 6: The number of applications considered (165) was lower than planned (220) as a direct result of fewer inmates applying for this potential reduction in their sentences. This is the primary reason for the 25% decrease.

Item 7: The number of pardon applications considered (24 applications) is lower than planned (30 applications) as a result of fewer persons applying for a pardon and because the HPA no longer has a backlog of applications. The HPA now completes its portion of the pardon investigative process within 60 - 90 days from the date of receipt of the completed application. These are the primary reasons for the 20% decrease in pardon applications processed.

Item 8: The number of parolees reviewed for discharge (45 parolees) is lower than planned (100 parolees) because the vast majority of the parole population consists of offenders with Class B (10-year) and Class A (20-year) felony convictions, which necessitates longer periods of parole supervision to ensure the parolees have successfully re-integrated back into the community and no longer pose a threat to public safety. These are the primary reasons for the 55% decrease in the number of parolees reviewed for discharge.

PROGRAM TITLE:

ADULT PAROLE SUPERVISION & COUNSELING

12/6/19

PROGRAM-ID:

PSD-612

PROGRAM STRUCTURE NO:

09010302

	FISCAL YEAR 2018-19				THREE MONTHS ENDED 09-30-19				NINE MONTHS ENDING 06-30-20			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	61.00	54.00	- 7.00	11	61.00	61.00	+ 0.00	0	61.00	61.00	+ 0.00	0
EXPENDITURES (\$1000's)	4,300	4,305	+ 5	0	1,111	1,111	+ 0	0	3,635	3,635	+ 0	0
TOTAL COSTS												
POSITIONS	61.00	54.00	- 7.00	11	61.00	61.00	+ 0.00	0	61.00	61.00	+ 0.00	0
EXPENDITURES (\$1000's)	4,300	4,305	+ 5	0	1,111	1,111	+ 0	0	3,635	3,635	+ 0	0
	FISCAL YEAR 2018-19				FISCAL YEAR 2019-20							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. % RECOM RELATG TO PAROLE RELEASE ACCEPTED BY BOARD	77	80	+ 3	4	77	80	+ 3	4				
2. NUMBER OF PAROLE VIOLATORS RETURNED TO PRISON	400	433	+ 33	8	400	420	+ 20	5				
3. AMOUNT OF RESTITUTION COLLECTED	100000	112907	+ 12907	13	100000	110000	+ 10000	10				
4. AV TIME ON PAROLE BEFORE FINAL DISCHARGE (YEARS)	6	6	+ 0	0	6	6	+ 0	0				
5. UNEMPLOYMENT RATE AMONG PAROLEES	13	12	- 1	8	13	13	+ 0	0				
PART III: PROGRAM TARGET GROUP												
1. NO. OF PAROLEES IN HAWAII FROM OTHER JURISDICTIONS	27	31	+ 4	15	27	30	+ 3	11				
2. NO. PAROLEES UNDER HAWAII JURISDICTN OUT OF STATE	120	128	+ 8	7	120	130	+ 10	8				
3. NO. PAROLEES UNDER HAWAII JURISDICTN WITHIN STATE	1570	1582	+ 12	1	1570	1570	+ 0	0				
4. AV NO. OF SENTENCED INMATES IN STATE PRISON SYSTEM	3972	3705	- 267	7	3972	3520	- 452	11				
PART IV: PROGRAM ACTIVITY												
1. NUMBER OF PREPAROLE INVESTIGATIONS CONDUCTED	2850	2923	+ 73	3	2850	2900	+ 50	2				
2. NUMBER OF ARREST WARRANTS ISSUED	410	433	+ 23	6	410	430	+ 20	5				
3. NUMBER OF PAROLE DISCHARGES RECOMMENDED	230	188	- 42	18	230	200	- 30	13				
4. NUMBER OF PARDON INVESTIGATIONS CONDUCTED	30	24	- 6	20	30	25	- 5	17				
5. NUMBER OF INTERSTATE COMPACT AGREEMENTS	145	159	+ 14	10	145	150	+ 5	3				
6. NUMBER OF PAROLEES UNDER SPECIALIZED SUPERVISION	175	212	+ 37	21	175	195	+ 20	11				
7. NUMBER OF PAROLEES UNDER INTENSIVE SUPERVISION	48	55	+ 7	15	48	55	+ 7	15				
8. NUMBER OF ADMINISTRATIVE HEARINGS CONDUCTED	760	616	- 144	19	760	625	- 135	18				

PROGRAM TITLE: ADULT PAROLE SUPERVISION & COUNSELING

PART I - EXPENDITURES AND POSITIONS

FY 19: The position variance is due to employee turnover and recruitment difficulties.

PART II - MEASURES OF EFFECTIVENESS

Item 3: The amount of restitution collected (\$112,907.00) is slightly higher than planned (\$100,000.00) as a result of a few large payments made by several parolees, which in some cases completely paid off all of their outstanding balances owed. The Hawaii Parole Authority (HPA) also continually stresses the importance of restitution payments to Parole Officers and parolees alike. These are the primary reasons for the 13% increase in restitution payments.

PART III - PROGRAM TARGET GROUPS

Item 1: The number of parolees from other jurisdictions (31 parolees) is higher than planned (27 parolees) as a result changes in the Western Interstate Compact Rules, which require receiving jurisdictions (Hawaii) to accept cases previously eligible for denial/rejection. The rule changes were primarily administrative in nature and does not negatively affect public safety. This is the primary reason for the 15% increase in offenders from other jurisdictions being accepted by Hawaii for supervision.

PART IV - PROGRAM ACTIVITIES

Item 3. The number of parolee's recommended for discharge (188 parolees) was lower than planned (230 parolees) in part because the vast majority of the parole population consists of those with Class B (10-year) and Class A (20-year) convictions, which in some cases necessitates the need for longer periods of parole supervision in order to ensure that the offender is completely stable and no longer poses a risk to public safety. This is the primary reason for the 18% decrease in the number of parolees recommended for discharge.

Item 4: The number of pardon investigations conducted (24

investigations) is lower than planned (30 investigations) as a result of the Hawaii Parole Authority (HPA) receiving fewer applications coupled with the fact that we have now completely caught up on the backlog of pending applications. The HPA now completes its portion of the pardons investigations process within 60-90 days of receipt of properly completed pardon application packets. These are the primary reasons for the 20% decrease in the planned pardon investigations to be completed.

Item 5: The number of interstate compact agreements (159 agreements) is slightly more than the planned 145 agreements as a result of changes in the Western Interstate Compact Rules, which require receiving jurisdictions (Hawaii) to accept cases previously eligible for denial/rejection. The rule changes were primarily administrative in nature and does not negatively affect public safety. This is the primary reason for the overall 10% increase in interstate compact agreements.

Item 6: The number of parolees under specialist supervision (212 parolees) is significantly higher than planned 175 parolees as a result an increase in the number of offenders committing more serious higher level felony offenses such as Class B (10-year) and Class A (20-year) offenses. As a direct result, their criminal histories are more involved and complex and require closer levels of supervision and an increased level of services.

Item 7: The number of parolee under intensive supervision (55 parolees) is higher than the planned number of 48 parolees as a result of an increase in the number of parolees with more involved and complex criminal histories that require higher levels of supervision and increased services.

Item 8: The actual number of administrative hearings held (616) is lower than the planned number of administrative hearings (760) primarily due to the decreases in applications for reduction of minimum (ROM), pardon applications received, and other actions that require administrative hearings.

PROGRAM TITLE:

CRIME VICTIM COMPENSATION COMMISSION

12/6/19

PROGRAM-ID:

PSD-613

PROGRAM STRUCTURE NO:

090104

	FISCAL YEAR 2018-19				THREE MONTHS ENDED 09-30-19				NINE MONTHS ENDING 06-30-20			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	13.00	11.00	- 2.00	15	13.00	13.00	+ 0.00	0	13.00	13.00	+ 0.00	0
EXPENDITURES (\$1000's)	3,441	1,797	- 1,644	48	660	660	+ 0	0	2,815	2,815	+ 0	0
TOTAL COSTS												
POSITIONS	13.00	11.00	- 2.00	15	13.00	13.00	+ 0.00	0	13.00	13.00	+ 0.00	0
EXPENDITURES (\$1000's)	3,441	1,797	- 1,644	48	660	660	+ 0	0	2,815	2,815	+ 0	0
					FISCAL YEAR 2018-19				FISCAL YEAR 2019-20			
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. AV TIME FM DATE APP RCV TO DATE DECISN MAILD (WKS)	10	15	+ 5	50	10	10	+ 0	0				
2. AV TIME FROM AWARD TO DATE PURCH ORDR PREPRD (WKS)	4	6	+ 2	50	4	4	+ 0	0				
3. PERCENT OF CLAIMANTS WHO RECEIVED COMPENSATION	75	84	+ 9	12	75	75	+ 0	0				
4. AVERAGE COMPENSATION AWARD MADE	800	580	- 220	28	800	500	- 300	38				
PART III: PROGRAM TARGET GROUP												
1. # PERSONS STATEWIDE WHO MAY BE ELIG FOR COMPENSATN	1470000	1470000	+ 0	0	1470000	147000	- 1323000	90				
PART IV: PROGRAM ACTIVITY												
1. NUMBER OF CLAIMS RECEIVED	750	590	- 160	21	750	600	- 150	20				
2. DOLLAR VALUE OF CLAIMS RECEIVED	500000	370000	- 130000	26	500000	400000	- 100000	20				
3. NUMBER OF HEARINGS HELD	6	2	- 4	67	6	4	- 2	33				
4. NUMBER OF COMPENSATION AWARDS MADE	800	662	- 138	17	800	700	- 100	13				
5. NUMBER OF ADMINISTRATIVE MEETINGS HELD	4	4	+ 0	0	4	4	+ 0	0				
6. NUMBER OF CLAIMS DENIED	150	62	- 88	59	150	75	- 75	50				

PROGRAM TITLE: CRIME VICTIM COMPENSATION COMMISSION

PART I - EXPENDITURES AND POSITIONS

FY 19: The position variance is due to employee turnover and recruitment difficulties.

The expenditure variance is due to less than anticipated expenditure for the victim of crime act federal grant.

PART II - MEASURES OF EFFECTIVENESS

Item 1: Average length of time from date of application received to date of decision mailed (weeks) the Commission has been short staffed during this fiscal year and cases were not processed in as timely a manner as anticipated. Even after Investigator staff was hired, staff had to be trained and monitored resulting in slower processing of cases.

Item 2: Average length of time from award to date that purchase order is prepared (weeks) because of the investigator staff shortage, staff that normally processes the purchase orders was required to assist in processing cases and, upon hiring, train and monitor the investigator staff resulting in slower processing of purchase orders.

Item 3: Percent of claimants who received compensation the Commission has been working to train the Victim Witness Advocates (VWA) in each of the counties Prosecuting Attorney's offices and community partners who provide the Commission applications to ensure that applications are being provided to the victims who meet the Commission's statutory requirements.

Item 4: Average compensation award made the Commission anticipated a higher average compensation award than the actual average. This was based on an anticipation of higher numbers of uninsured victims. While there are still a number of uninsured victims, the larger number of the Commission's victims do have medical insurance.

PART III - PROGRAM TARGET GROUPS

No significant variance.

PART IV - PROGRAM ACTIVITIES

Item 1: Number of claims received decreased by 21%. The Commission has been providing more training to the VWA in the county Prosecutor's Offices and to community partners who provide applications to their clients. The training helps to ensure that advocates are more aware of which victims would qualify for the Commission's assistance which may lower the number of overall applications that are being provided to victims.

Item 2: Dollar value of claims received was 26% lower than planned. The dollar value of claims paid is lower due to the decrease in the amounts awarded, due to the lowering of acknowledgment award limits and other limitations in compensation in some compensation categories. The Commission's Medical Reduction Project (MRP) also contributed to the lower amounts paid. Through the MRP, the Commission lowered medical cost payments by over \$36,000.00 on bills of almost \$120,000.00.

Item 3: Number of hearings held was 67% lower than planned. The number of appeals the Commission receives is dependent upon the number of victims who disagree with their decision by the Commission.

Item 4: Number of compensation awards made was 17% less than planned due to a lowering of the eligible claims submitted. The Commission discovered that there are more applicants who have medical insurance coverage, possibly due to the Affordable Care Act, to pay for their crime-related medical expenses.

Item 6: Number of claims denied decreased by 59% over the planned figure. The decrease may be attributed to the fact that there has been more training of new VWAs hired in all 4 counties and training of community partners who provide compensation applications to their clients to ensure that claims are not filed on behalf of non-qualifying victims.

VARIANCE REPORT

	FISCAL YEAR 2018-19				THREE MONTHS ENDED 09-30-19				NINE MONTHS ENDING 06-30-20			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	184.00	162.00	- 22.00	12	187.00	180.00	- 7.00	4	187.00	188.00	+ 1.00	1
EXPENDITURES (\$1000's)	25,444	24,896	- 548	2	6,062	5,807	- 255	4	20,158	20,158	+ 0	0
TOTAL COSTS												
POSITIONS	184.00	162.00	- 22.00	12	187.00	180.00	- 7.00	4	187.00	188.00	+ 1.00	1
EXPENDITURES (\$1000's)	25,444	24,896	- 548	2	6,062	5,807	- 255	4	20,158	20,158	+ 0	0
	FISCAL YEAR 2018-19				FISCAL YEAR 2019-20							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. PERCENTAGE OF VACANCIES FILLED	75	75	+ 0	0	75	75	+ 0	0				
2. AV TIME TO COMPLETE PAYMENT TRANSACTIONS (DAYS)	27	27	+ 0	0	27	27	+ 0	0				
3. % DEPT'L EMPLOYEES COMPLETING TSD TRAING SESSIONS	50	54	+ 4	8	50	60	+ 10	20				

PART I - EXPENDITURES AND POSITIONS

Details of the position and expenditure variances are best examined at the lowest program level.

PART II - MEASURES OF EFFECTIVENESS

See Lowest Level Programs for additional information.

	FISCAL YEAR 2018-19				THREE MONTHS ENDED 09-30-19				NINE MONTHS ENDING 06-30-20			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	135.00	113.00	- 22.00	16	139.00	139.00	+ 0.00	0	139.00	139.00	+ 0.00	0
EXPENDITURES (\$1000's)	18,285	18,116	- 169	1	4,291	4,291	+ 0	0	14,845	14,845	+ 0	0
TOTAL COSTS												
POSITIONS	135.00	113.00	- 22.00	16	139.00	139.00	+ 0.00	0	139.00	139.00	+ 0.00	0
EXPENDITURES (\$1000's)	18,285	18,116	- 169	1	4,291	4,291	+ 0	0	14,845	14,845	+ 0	0
	FISCAL YEAR 2018-19				FISCAL YEAR 2019-20							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. PERCENT OF VACANCIES FILLED		75	75	+ 0	0		75	75	+ 0	0		0
2. PERCENT OF DELEGATED POSITION ACTIONS PROCESSED		90	92	+ 2	2		90	90	+ 0	0		0
3. AV TIME TO COMPLETE PAYMENT TRANSACTIONS (DAYS)		27	27	+ 0	0		27	27	+ 0	0		0
4. % DEPT'L EMPLOYEES COMPLETING TSD TRAINING SESSIONS		50	54	+ 4	8		50	60	+ 10	20		20
5. % INTERNL INVSTGTNS CLOSED BY INSP & INVSTGTN OFFC		85	122	+ 37	44		85	125	+ 40	47		47
6. % INTERNL INVSTGTNS COMPLETED BY INTERNAL AFFAIRS		83	90	+ 7	8		83	85	+ 2	2		2
7. % ADA COMPLNTS INVSTGTD/CLOSED AFTER ACTION TAKEN		80	100	+ 20	25		80	100	+ 20	25		25
8. % HARASSMNT/DISCRMNTN COMPLNTS INVSTGTD/CLOSED		70	100	+ 30	43		70	100	+ 30	43		43
PART III: PROGRAM TARGET GROUP												
1. NUMBER OF DEPARTMENTAL EMPLOYEES		2500	2336	- 164	7		2500	2500	+ 0	0		0
2. NUMBER OF CORRECTIONAL FACILITIES		8	8	+ 0	0		8	8	+ 0	0		0
3. AVERAGE INMATE POPULATION		5576	5427	- 149	3		5576	3777	- 1799	32		32
PART IV: PROGRAM ACTIVITY												
1. NUMBER OF NEW REQUESTS TO FILL VACANCIES		300	52	- 248	83		300	52	- 248	83		83
2. NUMBER OF DELEGATED POSITION ACTIONS RECEIVED		550	524	- 26	5		550	525	- 25	5		5
3. NUMBER OF FISCAL TRANSACTIONS PROCESSED PER DAY		250	260	+ 10	4		250	250	+ 0	0		0
4. # TIME SHEETS PROC FOR OT & EMERG HIRES PER MONTH		3900	4644	+ 744	19		3900	3900	+ 0	0		0
5. NUMBER OF TRAINING SESSIONS CONDUCTED		200	308	+ 108	54		200	250	+ 50	25		25
6. # INTERNAL INVSTGTNS RCVD BY INSP & INVSTGTN OFFC		85	112	+ 27	32		85	115	+ 30	35		35
7. NO. INTERNAL INVSTGTNS INITIATD BY INTERNAL AFFAIRS		90	84	- 6	7		90	85	- 5	6		6
8. NUMBER OF ADA COMPLAINTS FILED		8	9	+ 1	13		8	80	+ 72	900		900
9. NO. OF HARASSMENT/DISCRIMINATION COMPLAINTS FILED		40	40	+ 0	0		40	40	+ 0	0		0

VARIANCE REPORT NARRATIVE FY 2019 AND FY 2020

09 01 05 01
PSD 900

PROGRAM TITLE: GENERAL ADMINISTRATION

PART I - EXPENDITURES AND POSITIONS

FY 19: The position variance is due to employee turnover and recruitment difficulties. The lower personnel count results in lower operational expenditure.

PART II - MEASURES OF EFFECTIVENESS

Item 5: There was an increase in the number of disciplinary investigations closed by the Inspections and Investigations Office (IIO). The number of investigations closed increased over the planned number estimate mostly due to having the Hearing Officer staffing positions filled for the year.

Item 7 & 8: The division employs 100% response on complaints. No complaints are ignored and will eventually be closed when resolved.

PART III - PROGRAM TARGET GROUPS

Item 1: The lower than budgeted departmental employees is reflective of the recruitment challenges that the department has continuously been working on.

PART IV - PROGRAM ACTIVITIES

Item 1: The planning number of (300) was erroneous. Requests to fill positions happens once per week. The new estimate will remain a constant (52). Variance is a result of mandated training and makeup classes.

Item 4: The Department of Public Safety Fiscal Office Payroll Section has experienced a significant increase in time sheet documents for overtime, differentials, and temporary assignments due to the Twenty Meter Telescope (TMT) Coverage by the Sheriff's and Narcotics Enforcement Divisions and the Adult Correction Officer (ACO) coverage necessary for the Maui Correctional Center's extreme staff shortages.

Item 5: The number of training sessions conducted is higher than

planned by 54%. Training sessions are conducted as needed to improve the performance of the department's functions.

Item 6: There was a decrease in the number of investigations received by IIO from the prior year but the number was significantly over the number planned for. It can be attributed to the new short form investigation form for minor offenses but also to the fact that the number of investigations received is based on the number of policy/Standards of Conduct violations that occur each year. The number of investigations received was down from 139 the prior year to 112 this year. The planned number will be adjusted for FY 20.

Item 8: Increase in the Americans with Disabilities Act (ADA) complaints is due to the Department's compliance, per the settlement with the Department of Justice (DOJ).

PROGRAM TITLE: STATE CRIMINAL JUSTICE INFO & IDENTIFICATION

PROGRAM-ID: ATG-231

PROGRAM STRUCTURE NO: 09010502

	FISCAL YEAR 2018-19				THREE MONTHS ENDED 09-30-19				NINE MONTHS ENDING 06-30-20			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	49.00	49.00	+ 0.00	0	48.00	41.00	- 7.00	15	48.00	49.00	+ 1.00	2
EXPENDITURES (\$1000's)	7,159	6,780	- 379	5	1,771	1,516	- 255	14	5,313	5,313	+ 0	0
TOTAL COSTS												
POSITIONS	49.00	49.00	+ 0.00	0	48.00	41.00	- 7.00	15	48.00	49.00	+ 1.00	2
EXPENDITURES (\$1000's)	7,159	6,780	- 379	5	1,771	1,516	- 255	14	5,313	5,313	+ 0	0

	FISCAL YEAR 2018-19				FISCAL YEAR 2019-20			
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%
PART II: MEASURES OF EFFECTIVENESS								
1. AV# DAYS REQUIRE TO COMPLETE EXPUNGEMENT PROCESS	120	117	- 3	3	120	120	+ 0	0
2. AV # DAYS TO ENTER DISPOSITION DATA PER SEGMENT	12	15	+ 3	25	12	15	+ 3	25
3. % COMPLETE DISPOSITIONS ON CJIS-HAWAII	95	95	+ 0	0	95	95	+ 0	0
4. % OF ELIGIBLE SEX OFFENDERS THAT REGISTERED	93	93	+ 0	0	93	93	+ 0	0
5. % REG SEX OFFENDERS WHO COMPLY W/VER PROCESS	85	86	+ 1	1	85	86	+ 1	1
6. AV# DAYS TO COMPLETE CRIM HIS RECORD CHK REQUESTS	5	5	+ 0	0	5	5	+ 0	0
7. % MONTHLY LATENT FINGERPRT/PALMPRT HITS	60	25	- 35	58	60	25	- 35	58
8. % HELP DESK TICKETS RESOLVED IN 48 HOURS	67	79	+ 12	18	67	80	+ 13	19

PART III: PROGRAM TARGET GROUP								
1. PERSONS WITH CRIMINAL RECORDS	608000	607359	- 641	0	608000	668000	+ 60000	10
2. PERSONS WITH EXPUNGEABLE RECORDS	385000	385463	+ 463	0	385000	386000	+ 1000	0
3. NO. CRIMINAL JUSTICE AGENCIES SVD (CNTY/STATE/FED)	100	106	+ 6	6	100	110	+ 10	10
4. CJIS-HAWAII USERS	4900	4709	- 191	4	4900	4710	- 190	4
5. PERSONS WITH ELIGIBLE SEX OFFENDER CHARGES	3350	3335	- 15	0	3350	3335	- 15	0
6. NUMBER OF NON-CRIMINAL JUSTICE AGENCIES SERVICED	190	201	+ 11	6	190	210	+ 20	11
7. NCIC USERS	3200	3255	+ 55	2	3200	3300	+ 100	3
8. NUMBER OF NON-COMPLIANT SEX OFFENDERS	650	707	+ 57	9	650	707	+ 57	9

PART IV: PROGRAM ACTIVITY								
1. # REG SEX OFFENDRS REQUIRING QTRLY VERIFICATION	2600	2551	- 49	2	2600	2600	+ 0	0
2. #PUB ACC/WEB TRANSACTNS CONDUCTED ON CJIS-HAWAII	360000	389103	+ 29103	8	360000	400000	+ 40000	11
3. # OF INQUIRY TRANSACTIONS CONDUCTED ON CJIS-HAWAII	2058000	1853545	- 204455	10	2058000	200000	- 1858000	90
4. # OF EXPUNGEMENT REQUESTS PROCESSED/DENIED	1500	1439	- 61	4	1500	1500	+ 0	0
5. NUMBER OF FIRST-TIMERS ADDED TO AFIS	57000	53036	- 3964	7	57000	56000	- 1000	2
6. #NAME-BASED APPLICANT RECORD CHECKS PROCESSED	2500	2279	- 221	9	2500	2300	- 200	8
7. #FINGERPRT-BASED APPLICANT RECORD CHKS PROCESSED	65000	60288	- 4712	7	65000	62000	- 3000	5
8. # CRIMINAL FINGERPRINTS PROCESSED THRU AFIS	40000	36798	- 3202	8	40000	38000	- 2000	5
9. #LATENT FINGER/PALM PRINT SEARCHES DONE THRU AFIS	4350	3376	- 974	22	4350	3600	- 750	17
10. #CJIS-HAWAII RECS INDEXED ON INTERSTATE ID INDEX	298394	299407	+ 1013	0	298394	299500	+ 1106	0

PROGRAM TITLE: STATE CRIMINAL JUSTICE INFO & IDENTIFICATION

PART I - EXPENDITURES AND POSITIONS

The position variance is due to vacant positions that the Hawaii Criminal Justice Data Center (HCJDC) is working to fill.

The difference between budgeted and actual expenditures occurred because not all vacancies were filled.

PART II - MEASURES OF EFFECTIVENESS

Item 2. The reason for the increase in number of days to enter disposition data info was due to shortage of personnel responsible for inputting data.

Item 7. FY 2018-19 planned percentage was calculated wrong. Correct percentage should have been 18% not 60%. FY 2019-20 Actual percentage was 25%, which resulted in an increase. The increase was the result of less latents being searched which resulted in more hits.

Item 8. This increase is due to the increase in staff throughout office, many of the vacancies have been filled which has increased our ability to respond in a more timely manner.

PART III - PROGRAM TARGET GROUPS

No significant variance.

PART IV - PROGRAM ACTIVITIES

Item 3. The number of non-criminal justice agencies that access CJIS-Hawaii has been reduced since HCJDC automated the criminal history background check process, which reduced the number of manual entries that are performed.

Item 9. Honolulu Police Department had the highest volume of latent cases and one of their latent examiners retired leaving just one latent examiner. Thus the decrease in latents searched through the Automated Biometric Identification System.

VARIANCE REPORT

	FISCAL YEAR 2018-19				THREE MONTHS ENDED 09-30-19				NINE MONTHS ENDING 06-30-20			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	247.00	194.00	- 53.00	21	247.00	187.00	- 60.00	24	247.00	247.00	+ 0.00	0
EXPENDITURES (\$1000's)	98,115	38,722	- 59,393	61	11,610	11,240	- 370	3	91,944	92,314	+ 370	0
TOTAL COSTS												
POSITIONS	247.00	194.00	- 53.00	21	247.00	187.00	- 60.00	24	247.00	247.00	+ 0.00	0
EXPENDITURES (\$1000's)	98,115	38,722	- 59,393	61	11,610	11,240	- 370	3	91,944	92,314	+ 370	0
	FISCAL YEAR 2018-19				FISCAL YEAR 2019-20							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. DEATHS/INJ/PROP DAM DUE TO FLOODS (INCIDENTS)					4	1	- 3	75	4	4	+ 0	0

PART I - EXPENDITURES AND POSITIONS

Details of the position and expenditures variances are best examined at the lowest program level.

PART II - MEASURES OF EFFECTIVENESS

See Lowest Level Programs for additional information.

VARIANCE REPORT

	FISCAL YEAR 2018-19				THREE MONTHS ENDED 09-30-19				NINE MONTHS ENDING 06-30-20						
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%			
PART I: EXPENDITURES & POSITIONS															
RESEARCH & DEVELOPMENT COSTS															
POSITIONS															
EXPENDITURES (\$1,000's)															
OPERATING COSTS															
POSITIONS	8.00	5.00	-	3.00	38	8.00	5.00	-	3.00	38	8.00	8.00	+	0.00	0
EXPENDITURES (\$1000's)	2,636	2,118	-	518	20	590	220	-	370	63	2,294	2,664	+	370	16
TOTAL COSTS															
POSITIONS	8.00	5.00	-	3.00	38	8.00	5.00	-	3.00	38	8.00	8.00	+	0.00	0
EXPENDITURES (\$1000's)	2,636	2,118	-	518	20	590	220	-	370	63	2,294	2,664	+	370	16
	FISCAL YEAR 2018-19				FISCAL YEAR 2019-20										
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%							
PART II: MEASURES OF EFFECTIVENESS															
1. NO. LOSSES DUE TO DEATHS/INJ/DISABILITIES/PROP DAM	4	1	-	3	75	4	4	+	0	0					
PART III: PROGRAM TARGET GROUP															
1. DEFACTO POPULATION (MILLIONS)	1.4	1.4	+	0	0	1.4	1.4	+	0	0					
PART IV: PROGRAM ACTIVITY															
1. NO. OF FLOOD CONTROL & PREVENTION PLANS REVIEWED	4	4	+	0	0	4	4	+	0	0					
2. NUMBER OF COOPERATIVE AGREEMENTS ENTERED INTO	1	1	+	0	0	1	1	+	0	0					
3. NO. OF FLOODWATER CONTROL & CONSRV LAWS REVIEWED	2	2	+	0	0	2	2	+	0	0					
4. NO. FLOOD CNTRL RSEARCH/STUDIES/INVESTGTNS PERFRMD	4	1	-	3	75	4	4	+	0	0					
5. FLOOD MITIGATION (MAN-HOURS)	100	50	-	50	50	100	100	+	0	0					
6. NO. OF TECHNICAL ASSISTANCE RENDERED (MAN-HOURS)	500	400	-	100	20	500	500	+	0	0					
7. NUMBER OF REPORTS AND MAPS PREPARED	2	0	-	2	100	2	2	+	0	0					
8. NO. OF DAM SAFETY PROJECTS DEVELOPED OR IMPLEMENTD	1	1	+	0	0	1	1	+	0	0					
9. NUMBER OF DAMS INSPECTED	65	42	-	23	35	65	65	+	0	0					
10. NO. OF DAM SAFETY EMERGENCY ACTION PLANS ON FILE	132	131	-	1	1	132	131	-	1	1					

PROGRAM TITLE: PREVENTION OF NATURAL DISASTERS

PART I - EXPENDITURES AND POSITIONS

FY 19 variance due to budgeted unfilled positions under recruitment. The program has experienced difficulties in recruitment due to the prolonged historically low unemployment rate and lack of interested and qualified applicants. In order to fill its vacancy, the program is attempting to recruit certain positions at all levels.

The expenditure variance is due to staff vacancy and having an expenditure ceiling greater than the amount of Dam and Reservoir Safety Special Funds available.

PART II - MEASURES OF EFFECTIVENESS

Item 1: Variance due to storm frequency and intensity less than anticipated.

PART III - PROGRAM TARGET GROUPS

No significance variance.

PART IV - PROGRAM ACTIVITIES

Items 4, 5, and 6: Variances are due to storm frequency and intensity less than anticipated.

Item 7: Variance due to storm frequency and intensity less than anticipated and staff focus on other tasks.

Item 9: Variance due to staff vacancy and focus on other priority tasks, such as public outreach and training.

Item 10: One dam was decommissioned and removed from regulated status. As of the end of FY 19, all 131 regulated dams in the State had Emergency Action Plans on file with the program.

PROGRAM TITLE:

AMELIORATION OF PHYSICAL DISASTERS

12/6/19

PROGRAM-ID:

DEF-110

PROGRAM STRUCTURE NO:

090202

	FISCAL YEAR 2018-19				THREE MONTHS ENDED 09-30-19				NINE MONTHS ENDING 06-30-20			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	239.00	189.00	- 50.00	21	239.00	182.00	- 57.00	24	239.00	239.00	+ 0.00	0
EXPENDITURES (\$1000's)	95,479	36,604	- 58,875	62	11,020	11,020	+ 0	0	89,650	89,650	+ 0	0
TOTAL COSTS												
POSITIONS	239.00	189.00	- 50.00	21	239.00	182.00	- 57.00	24	239.00	239.00	+ 0.00	0
EXPENDITURES (\$1000's)	95,479	36,604	- 58,875	62	11,020	11,020	+ 0	0	89,650	89,650	+ 0	0
	FISCAL YEAR 2018-19				FISCAL YEAR 2019-20							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. % OF HI-EMA DISASTER PLAN READINESS	75	75	+ 0	0	75	75	+ 0	0				
2. % OF HI-EMA ORGANIZATION & TRAINING READINESS	75	75	+ 0	0	75	75	+ 0	0				
3. % OF HI-EMA EMERGENCY SUPPORT SYSTEMS READINESS	82	80	- 2	2	82	82	+ 0	0				
4. PERCENT OF HIARNG PERSONNEL READINESS	86	86	+ 0	0	86	86	+ 0	0				
5. PERCENT OF HIARNG TRAINING READINESS	78	78	+ 0	0	78	78	+ 0	0				
6. PERCENT OF HIARNG LOGISTICS READINESS	91	91	+ 0	0	91	91	+ 0	0				
7. PERCENT OF HIANG PERSONNEL READINESS	95	95.3	+ 0.3	0	95	95	+ 0	0				
8. PERCENT OF HIANG TRAINING READINESS	90	90	+ 0	0	90	90	+ 0	0				
9. PERCENT OF HIANG LOGISTICS READINESS	90	90	+ 0	0	90	90	+ 0	0				
PART III: PROGRAM TARGET GROUP												
1. RESIDENT POPULATION OF THE STATE (THOUSANDS)	1428	1360	- 68	5	1428	1360	- 68	5				
2. AV DAILY VISITOR POPULATN IN THE STATE (THOUSANDS)	228	240	+ 12	5	228	240	+ 12	5				
PART IV: PROGRAM ACTIVITY												
1. NUMBER OF FUNCTIONAL MILITARY UNITS	50	50	+ 0	0	50	50	+ 0	0				
2. AMOUNT OF FEDERAL FUND SUPPORT FOR MIL DEF (000'S)	84000	83416	- 584	1	84000	84000	+ 0	0				
3. NUMBER OF ARMORIES & SUPPORT FACILITIES MAINTAINED	30	30	+ 0	0	30	30	+ 0	0				
4. INVENTORY COST OF NAT GUARD EQUIP MAINTAINED(000'S)	120000	120000	+ 0	0	120000	120000	+ 0	0				
5. COST OF MILITARY SPPT TO CIVIL AUTHORITIES (000'S)	210	NO DATA	- 210	100	210	NO DATA	- 210	100				
6. ASSIGNED MILITARY STRENGTH (NUMBER)	5450	5167	- 283	5	5450	5450	+ 0	0				
7. NUMBER OF HI-EMA PLANS UPDATED	1	1	+ 0	0	1	1	+ 0	0				
8. # OF PERSONS COMPLETING FORMAL HI-EMA TRAINING	33	34	+ 1	3	33	34	+ 1	3				
9. NO. OF EMERGENCY SHELTER SPACES MAINTAINED (000'S)	268	268	+ 0	0	268	268	+ 0	0				
10. NUMBER OF WARNING DEVICES INSTALLED	40	37	- 3	8	40	40	+ 0	0				

PROGRAM TITLE: AMELIORATION OF PHYSICAL DISASTERS

PART I - EXPENDITURES AND POSITIONS

FY 19 & FY 20: The difference in budgeted and actual positions filled was caused by the inability to get eligible applicant listings in a timely manner. Also, as the economy improves, the private sector offers compensation that is higher than the pay of most vacant positions. Vacancy of these budgeted positions are anticipated to be filled in the coming quarters.

The expenditure variance was due to large federal funds spending spread over several years. Also, due to the nature of both natural and man-made disasters, emergency spending greatly varies from year to year.

PART II - MEASURES OF EFFECTIVENESS

No significant variances.

PART III - PROGRAM TARGET GROUPS

Item 1: Figures are based on the 2018 Hawaii Census.

Item 2: Figures are based on the 2018 Hawaii Tourism Authority Average Daily Census.

PART IV - PROGRAM ACTIVITIES

Item 2: Amount of federal support declined in the first quarter of FY 20 by 1%. This amount varies depending on grants and federal aid received.

Item 4: The variance is due to the extreme cost of modern military equipment (not included). The Department of Defense (DOD) plans to change the planned amounts to incorporate the new military equipment.

The current measure is too low. The unit is in thousands, and therefore, the measurement can only go to \$9.9 million (\$1,000 x 9,999). However, the F22 Raptor is about \$150 million per aircraft (\$150 million x 18 = \$2.7 billion). This amount does not include the Army's and the rest of the Air

Guard's equipment.

Item 5: No data available as DOD no longer tracks these expenditures in this manner.

Item 6: Military personnel staff count varies depending on the need and disaster relief. It is anticipated to acquire the budgeted military personnel count in the succeeding quarters.