

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Airport Revenue Fund (O & M)  
 Legal Authority: HRS 248-8/261-5/261-7

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) B  
 Appropriation Acct. No. S-XX-060-D TO S-XX-087-D

Intended Purpose:  
 Operating Appropriations  
 Source of Revenues:  
 Airport Operating Revenues and Interest Income  
 Current Program Activities/Allowable Expenses:  
 Operating and maintenance expenses including state surcharge and debt service  
 Purpose of Proposed Ceiling Increase (if applicable):

Variances:

| Financial Data  |             |             |             |             |             |             |             |
|---|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
|   | FY 2016     | FY 2017     | FY 2018     | FY 2019     | FY 2020     | FY 2021     | FY 2022     |
|   | (actual)    | (actual)    | (actual)    | (actual)    | (estimated) | (estimated) | (estimated) |
| Appropriation Ceiling   | 435,788,768 | 506,282,987 | 489,657,098 | 548,307,702 | 715,244,405 |             |             |
| Beginning Cash Balance  | 227,550,661 | 237,572,369 | 232,765,328 | 226,787,346 | 272,686,418 | 272,686,418 | 272,686,418 |
| Revenues  | 4,264,906   | 156,632     | 142,122     | 4,861,135   |             |             |             |
| Expenditures  | 348,122,414 | 383,913,461 | 399,084,306 | 435,993,372 | 447,520,018 | 494,127,204 | 525,361,086 |
| <b>Transfers</b>  |             |             |             |             |             |             |             |
| List each net transfer in/out/ or projection in/out; list each account number |             |             |             |             |             |             |             |
|   | 353,879,216 | 378,949,789 | 392,964,201 | 477,031,310 | 0           | 0           | 0           |
| Net Total Transfers   | 353,879,216 | 378,949,789 | 392,964,201 | 477,031,310 | 447,520,018 | 494,127,204 | 525,361,086 |
| Ending Cash Balance   | 237,572,369 | 232,765,328 | 226,787,346 | 272,686,418 | 272,686,418 | 272,686,418 | 272,686,418 |
| Encumbrances  | 155,728,384 | 141,565,202 | 146,829,220 | 165,332,282 |             |             |             |
| Unencumbered Cash Balance   | 81,843,985  | 91,200,126  | 79,958,125  | 107,354,137 | 272,686,418 | 272,686,418 | 272,686,418 |

Additional Information:

|  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|
| Amount Req. by Bond Covenants                              |  |  |  |  |  |  |  |
| Amount from Bond Proceeds                                  |  |  |  |  |  |  |  |
| Amount Held in CODs, Escrow Accounts, or Other Investments |  |  |  |  |  |  |  |

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: DOT  
 Prog ID(s): TRN 195  
 Name of Fund: Airport Revenue Fund  
 Legal Authority: Act 106, SLH 2012, Section 9.1 and 9.2

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) B  
 Appropriation Acct. No. S-XX-308-D  
Outside State Treasury

**Intended Purpose:**

Payment of Interest and principal on rental motor vehicle customer facility charge revenue bonds

**Source of Revenues:**

Collection of rental motor vehicle customer facility charge

**Current Program Activities/Allowable Expenses:**

Payment of Interest and principal on rental motor vehicle customer facility charge revenue bonds. Transfer from Administration

**Purpose of Proposed Ceiling Increase (if applicable):**

**Variances:**

| <b>Financial Data</b>   |            |           |            |            |             |             |             |
|---|------------|-----------|------------|------------|-------------|-------------|-------------|
|   | FY 2016    | FY 2017   | FY 2018    | FY 2019    | FY 2020     | FY 2021     | FY 2022     |
|   | (actual)   | (actual)  | (actual)   | (actual)   | (estimated) | (estimated) | (estimated) |
| Appropriation Ceiling   | 10,507,207 | 1,140,000 | 36,317,933 | 36,318,000 | 41,926,000  |             |             |
| Beginning Cash Balance  | 0          | 79        | 0          | 28,049,058 | 28,450,832  | 40,562,291  | 40,562,291  |
| Revenues  |            | 0         | 19,974,106 | 401,774    | 12,111,459  |             |             |
| Expenditures  | 523,645    | 813,952   | 6,574,281  | 15,358,336 | 24,768,177  | 25,033,874  | 24,473,048  |
| <b>Transfers</b>  |            |           |            |            |             |             |             |
| List each net transfer in/out/ or projection in/out; list each account number |            |           |            |            |             |             |             |
|   | 523,724    | 813,873   | 14,649,232 | 15,358,336 | 24,768,177  | 25,033,874  | 24,473,048  |
| Net Total Transfers   | 523,724    | 813,873   | 14,649,232 | 15,358,336 | 24,768,177  | 25,033,874  | 24,473,048  |
| Ending Cash Balance   | 79         | 0         | 28,049,058 | 28,450,832 | 40,562,291  | 40,562,291  | 40,562,291  |
| Encumbrances  |            |           |            |            |             |             |             |
| Unencumbered Cash Balance   | 79         | 0         | 28,049,058 | 28,450,832 | 40,562,291  | 40,562,291  | 40,562,291  |

**Additional Information:**

|  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|
| Amount Req. by Bond Covenants                              |  |  |  |  |  |  |  |
| Amount from Bond Proceeds                                  |  |  |  |  |  |  |  |
| Amount Held in CODs, Escrow Accounts, or Other Investments |  |  |  |  |  |  |  |

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Airport Revenue Fund  
 Legal Authority: HRS 248-8/261-5

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) B  
 Appropriation Acct. No. S-XX-361-D

Intended Purpose:  
 Airport Revenue Fund  
 Source of Revenues:  
 Airport operating revenue  
 Current Program Activities/Allowable Expenses:  
 Transferred operating revenues to fund operating activities. No allowable expenses  
 Purpose of Proposed Ceiling Increase (if applicable):

Variances  
 Projected incremental increases based on projected increases in passenger traffic and inflation

| Financial Data  |               |               |               |               |               |               |               |
|---|---------------|---------------|---------------|---------------|---------------|---------------|---------------|
|   | FY 2016       | FY 2017       | FY 2018       | FY 2019       | FY 2020       | FY 2021       | FY 2022       |
|   | (actual)      | (actual)      | (actual)      | (actual)      | (estimated)   | (estimated)   | (estimated)   |
| Appropriation Ceiling   |               |               |               |               |               |               |               |
| Beginning Cash Balance  | 105,786,091   | 102,272,805   | 115,687,885   | 159,949,026   | 135,041,132   | 154,536,114   | 139,806,910   |
| Revenues  | 359,063,294   | 402,407,463   | 449,631,365   | 461,484,587   | 467,015,000   | 515,800,000   | 539,275,000   |
| Expenditures  |               |               |               |               |               |               |               |
| Transfers   |               |               |               |               |               |               |               |
| List each net transfer in/out/ or projection in/out; list each account number |               |               |               |               |               |               |               |
|   | (12,786,441)  | (11,477,992)  | (14,717,043)  | (14,731,128)  | (15,440,605)  | (15,749,418)  | (16,064,406)  |
|   | (349,790,139) | (377,514,391) | (390,653,181) | (471,661,353) | (432,079,413) | (514,779,786) | (519,807,680) |
| Net Total Transfers   | (362,576,580) | (388,992,383) | (405,370,224) | (486,392,481) | (447,520,018) | (530,529,204) | (535,872,086) |
| Ending Cash Balance   | 102,272,805   | 115,687,885   | 159,949,026   | 135,041,132   | 154,536,114   | 139,806,910   | 143,209,824   |
| Encumbrances  |               |               |               |               |               |               |               |
| Unencumbered Cash Balance   | 102,272,805   | 115,687,885   | 159,949,026   | 135,041,132   | 154,536,114   | 139,806,910   | 143,209,824   |

Additional Information:

|  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|
| Amount Req. by Bond Covenants                              |  |  |  |  |  |  |  |
| Amount from Bond Proceeds                                  |  |  |  |  |  |  |  |
| Amount Held in CODs, Escrow Accounts, or Other Investments |  |  |  |  |  |  |  |

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Passenger Facility Charge Special Fund  
 Legal Authority: HRS 261-5.5

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF): B  
 Appropriation Acct. No. S-XX-363-D

**Intended Purpose:**

Used for Passenger Facility Charge Program (Inception date 10/01/01)

**Source of Revenues:**

Passenger Facility Charge (PFC) Collections and related interest income

**Current Program Activities/Allowable Expenses:**

Collection for above intended purposes. To fund eligible PFC funded CIP projects approved by the Federal Aviation Administration (FAA).

**Purpose of Proposed Ceiling Increase (if applicable):**

**Variances:**

| <b>Financial Data</b>   |              |              |             |              |              |              |              |
|---|--------------|--------------|-------------|--------------|--------------|--------------|--------------|
|   | FY 2016      | FY 2017      | FY 2018     | FY 2019      | FY 2020      | FY 2021      | FY 2022      |
|   | (actual)     | (actual)     | (actual)    | (actual)     | (estimated)  | (estimated)  | (estimated)  |
| Appropriation Ceiling   |              |              |             |              |              |              |              |
| Beginning Cash Balance  | 108,276,897  | 134,522,929  | 134,300,103 | 178,329,490  | 211,743,694  | 188,206,682  | 159,914,210  |
| Revenues  | 38,866,936   | 38,953,765   | 44,056,252  | 45,548,069   | 48,608,816   | 48,942,869   | 49,397,566   |
| Expenditures  |              |              |             |              |              |              |              |
| Transfers   |              |              |             |              |              |              |              |
| List each net transfer in/out/ or projection in/out; list each account number |              |              |             |              |              |              |              |
|   | (12,620,904) | (39,176,590) | (26,866)    | (12,133,865) | (72,145,828) | (77,235,342) | (62,091,178) |
| Net Total Transfers   | (12,620,904) | (39,176,590) | (26,866)    | (12,133,865) | (72,145,828) | (77,235,342) | (62,091,178) |
| Ending Cash Balance   | 134,522,929  | 134,300,103  | 178,329,490 | 211,743,694  | 188,206,682  | 159,914,210  | 147,220,598  |
| Encumbrances  |              |              |             |              |              |              |              |
| Unencumbered Cash Balance   | 134,522,929  | 134,300,103  | 178,329,490 | 211,743,694  | 188,206,682  | 159,914,210  | 147,220,598  |

**Additional Information:**

|  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|
| Amount Req. by Bond Covenants                              |  |  |  |  |  |  |  |
| Amount from Bond Proceeds                                  |  |  |  |  |  |  |  |
| Amount Held in CODs, Escrow Accounts, or Other Investments |  |  |  |  |  |  |  |

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Rental Motor Vehicle Customer Fac Chg Special Fund  
 Legal Authority: HRS 261-5.6

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF): B  
 Appropriation Acct. No.: S-XX-364-D

Intended Purpose: Monies collected from the motor vehicle customer facility charge shall be used for the enhancement, renovation, operation, and maintenance of existing rental motor vehicle customer facilities and related services at state airports

Source of Revenues:

Collection of rental motor vehicle customer facility charge

Current Program Activities/Allowable Expenses:

Collection of above intended purposes. To fund improvements to the rental motor vehicle customer facilities at state airports

Purpose of Proposed Ceiling Increase (if applicable):

Variances

| Financial Data  |              |               |            |            |             |             |             |
|---|--------------|---------------|------------|------------|-------------|-------------|-------------|
|   | FY 2016      | FY 2017       | FY 2018    | FY 2019    | FY 2020     | FY 2021     | FY 2022     |
|   | (actual)     | (actual)      | (actual)   | (actual)   | (estimated) | (estimated) | (estimated) |
| Appropriation Ceiling   |              |               |            |            |             |             |             |
| Beginning Cash Balance  | 110,083,199  | 167,808,469   | 64,030,122 | 87,504,810 | 905,688     | 0           | 0           |
| Revenues  | 69,216,192   | 70,035,526    | 8,323,700  | 905,687    |             | 0           | 0           |
| Expenditures  |              |               | 43,000,000 | 87,609,097 | 0           |             |             |
| Transfers   |              |               |            |            |             |             |             |
| List each net transfer in/out/ or projection in/out; list each account number |              |               |            |            |             |             |             |
|   | (11,490,922) | (173,813,873) | 58,150,988 | 104,287    |             |             |             |
| Net Total Transfers   | (11,490,922) | (173,813,873) | 58,150,988 | 104,287    | (905,688)   | 0           | 0           |
| Ending Cash Balance   | 167,808,469  | 64,030,122    | 87,504,810 | 905,688    | 0           | 0           | 0           |
| Encumbrances  |              |               |            |            |             |             |             |
| Unencumbered Cash Balance   | 167,808,469  | 64,030,122    | 87,504,810 | 905,688    | 0           | 0           | 0           |

Additional Information:

|  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|
| Amount Req. by Bond Covenants                              |  |  |  |  |  |  |  |
| Amount from Bond Proceeds                                  |  |  |  |  |  |  |  |
| Amount Held in CODs, Escrow Accounts, or Other Investments |  |  |  |  |  |  |  |

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Rental Motor Vehicle Customer Fac Chg Special Fund  
 Legal Authority: HRS 261-5.6

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) B  
 Appropriation Acct. No. S-XX-370-D  
Outside State Treasury

Intended Purpose: Monies collected from the motor vehicle customer facility charge shall be used for the enhancement, renovation, operation, and maintenance of existing rental motor vehicle customer facilities and related services at state airports

Source of Revenues:

Collection of rental motor vehicle customer facility charge

Current Program Activities/Allowable Expenses:

Collection of above intended purposes. To fund improvements to the rental motor vehicle customer facilities at state airports

Purpose of Proposed Ceiling Increase (if applicable):

**Variances**

| <b>Financial Data</b>     |   |          |              |              |             |             |             |
|---------------------------|---|----------|--------------|--------------|-------------|-------------|-------------|
|                           | FY 2016   | FY 2017  | FY 2018      | FY 2019      | FY 2020     | FY 2021     | FY 2022     |
|                           | (actual)  | (actual) | (actual)     | (actual)     | (estimated) | (estimated) | (estimated) |
| Appropriation Ceiling     |   |          |              |              |             |             |             |
| Beginning Cash Balance    | 0   | 0        | 0            | 163,594      | 112,261,420 | 124,960,578 | 137,889,183 |
| Revenues                  | 0   | 0        | 66,882,169   | 204,703,428  | 77,029,735  | 77,524,879  | 78,546,090  |
| Expenditures              |   |          | 0            | 0            | 64,330,577  | 64,596,274  | 44,254,248  |
| Transfers                 | List each net transfer in/out/ or projection in/out; list each account number |          |              |              |             |             |             |
|                           |   |          | (66,718,575) | (92,605,602) |             |             |             |
| Net Total Transfers       | 0   | 0        | (66,718,575) | (92,605,602) | 0           | 0           | 0           |
| Ending Cash Balance       | 0   | 0        | 163,594      | 112,261,420  | 124,960,578 | 137,889,183 | 172,181,025 |
| Encumbrances              |   |          |              |              |             |             |             |
| Unencumbered Cash Balance | 0   | 0        | 163,594      | 112,261,420  | 124,960,578 | 137,889,183 | 172,181,025 |

**Additional Information:**

|  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|
| Amount Req. by Bond Covenants                              |  |  |  |  |  |  |  |
| Amount from Bond Proceeds                                  |  |  |  |  |  |  |  |
| Amount Held in CODs, Escrow Accounts, or Other Investments |  |  |  |  |  |  |  |

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Reserve for Future CIP  
 Legal Authority: Administratively Created

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) D  
 Appropriation Acct. No. S-XX-366-D

Intended Purpose:  
 Provide a reserve for future Airport System Capital Improvement Projects  
 Source of Revenues:  
 Airport operating revenues and interest income  
 Current Program Activities/Allowable Expenses:  
 Transferred to fund the Airport System Capital Improvement Projects  
 Purpose of Proposed Ceiling Increase (if applicable):

Variances

| Financial Data  |              |             |             |              |             |             |             |
|---|--------------|-------------|-------------|--------------|-------------|-------------|-------------|
|   | FY 2016      | FY 2017     | FY 2018     | FY 2019      | FY 2020     | FY 2021     | FY 2022     |
|   | (actual)     | (actual)    | (actual)    | (actual)     | (estimated) | (estimated) | (estimated) |
| Appropriation Ceiling   |              |             |             |              |             |             |             |
| Beginning Cash Balance  | 58,104,972   | 34,173,696  | 27,349,252  | 18,765,124   | 6,846,583   | 6,846,583   | 6,846,583   |
| Revenues  |              |             |             |              |             |             |             |
| Expenditures  |              |             |             |              |             |             |             |
| Transfers   |              |             |             | 0            |             |             |             |
| List each net transfer in/out/ or projection in/out; list each account number |              |             |             |              |             |             |             |
|   | (23,931,276) | (6,824,443) | (8,584,129) | (11,918,541) |             |             |             |
| Net Total Transfers   | (23,931,276) | (6,824,443) | (8,584,129) | (11,918,541) |             |             |             |
| Ending Cash Balance   | 34,173,696   | 27,349,252  | 18,765,124  | 6,846,583    | 6,846,583   | 6,846,583   | 6,846,583   |
| Encumbrances  |              |             |             |              |             |             |             |
| Unencumbered Cash Balance   | 34,173,696   | 27,349,252  | 18,765,124  | 6,846,583    | 6,846,583   | 6,846,583   | 6,846,583   |

Additional Information:

|  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|
| Amount Req. by Bond Covenants                              |  |  |  |  |  |  |  |
| Amount from Bond Proceeds                                  |  |  |  |  |  |  |  |
| Amount Held in CODs, Escrow Accounts, or Other Investments |  |  |  |  |  |  |  |

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: DOT  
 Prog ID(s): TRN - 195  
 Name of Fund: Airport System Legis Claims Against The State-Airports  
 Legal Authority: A 19/SL 17

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) B  
 Appropriation Acct. No. S-XX-395-D

**Intended Purpose:**

To satisfy claims for legislative release. (Helix Electric, Inc. v. DCK Pacific Construction, LLC.)

**Source of Revenues:**

Airport Revenue Fund

**Current Program Activities/Allowable Expenses:**

Payments to subcontractors and suppliers for claims: judgements, settlements, or other liabilities.

**Purpose of Proposed Ceiling Increase (if applicable):**

**Variances**

**Estimated expenditures dependent on claims**

| <b>Financial Data</b>   |          |            |            |             |             |             |             |
|---|----------|------------|------------|-------------|-------------|-------------|-------------|
|   | FY 2016  | FY 2017    | FY 2018    | FY 2019     | FY 2020     | FY 2021     | FY 2022     |
|   | (actual) | (actual)   | (actual)   | (actual)    | (estimated) | (estimated) | (estimated) |
| Appropriation Ceiling   |          | 10,080,000 |            |             |             |             |             |
| Beginning Cash Balance  | 0        | 0          | 10,080,000 | 6,331,681   | 224,236     | 224,236     | 224,236     |
| Revenues  |          |            |            |             |             |             |             |
| Expenditures  | 0        | 0          | 3,748,319  | 107,445     | 0           | 0           | 0           |
| <b>Transfers</b>  |          |            |            |             |             |             |             |
| List each net transfer in/out/ or projection in/out; list each account number |          |            |            |             |             |             |             |
|   |          | 10,080,000 |            | (6,000,000) | 0           |             |             |
| Net Total Transfers   | 0        | 10,080,000 | 0          | (6,000,000) | 0           | 0           | 0           |
| Ending Cash Balance   | 0        | 10,080,000 | 6,331,681  | 224,236     | 224,236     | 224,236     | 224,236     |
| Encumbrances  |          | 2,473,600  | 206,543    | 0           |             |             |             |
| Unencumbered Cash Balance   | 0        | 10,080,000 | 6,125,138  | 224,236     | 224,236     | 224,236     | 224,236     |

**Additional Information:**

|  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|
| Amount Req. by Bond Covenants                              |  |  |  |  |  |  |  |
| Amount from Bond Proceeds                                  |  |  |  |  |  |  |  |
| Amount Held in CODs, Escrow Accounts, or Other Investments |  |  |  |  |  |  |  |



**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Bond Revenue CIP Accounts  
 Legal Authority: HRS 39-53/39-61

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) E  
 Appropriation Acct. No. B-XX-XXX

Intended Purpose:  
 Bond funded capital improvement projects  
 Source of Revenues:  
 Sale of Bond  
 Current Program Activities/Allowable Expenses:  
 Funded capital improvement projects  
 Purpose of Proposed Ceiling Increase (if applicable):  
 New capital improvement projects will be implemented  
 Variances

Revenue and expenditures are dependent on the status of various construction projects ongoing for the airport modernization program

| <b>Financial Data</b>   |               |               |               |               |             |             |             |
|---|---------------|---------------|---------------|---------------|-------------|-------------|-------------|
|   | FY 2016       | FY 2017       | FY 2018       | FY 2019       | FY 2020     | FY 2021     | FY 2022     |
|   | (actual)      | (actual)      | (actual)      | (actual)      | (estimated) | (estimated) | (estimated) |
| Appropriation Ceiling   | 251,743,000   | 348,919,000   | 500,437,000   | 471,614,347   | 461,971,000 |             |             |
| Beginning Cash Balance  | 43,114,377    | 205,066,630   | 172,555,085   | 143,040,672   | 309,791,059 | 103,697,765 | 107,451,191 |
| Revenues  | 250,006,365   | 0             | 232,075,074   | 427,391,514   | 105,000,000 | 326,107,000 | 318,978,000 |
| Expenditures  | 88,054,112    | 75,511,545    | 218,589,487   | 260,641,127   | 311,093,294 | 322,353,574 | 319,821,893 |
| <b>Transfers</b>  |               |               |               |               |             |             |             |
| List each net transfer in/out/ or projection in/out; list each account number |               |               |               |               |             |             |             |
|   |               | 43,000,000    | (43,000,000)  |               |             |             |             |
| Net Total Transfers   | 0             | 43,000,000    | (43,000,000)  | 0             | 0           | 0           | 0           |
| Ending Cash Balance   | 205,066,630   | 172,555,085   | 143,040,672   | 309,791,059   | 103,697,765 | 107,451,191 | 106,607,298 |
| Encumbrances  | 813,157,977   | 743,375,230   | 722,670,489   | 548,322,701   |             |             |             |
| Unencumbered Cash Balance   | (608,091,347) | (570,820,145) | (579,629,817) | (238,531,642) | 103,697,765 | 107,451,191 | 106,607,298 |

**Additional Information:**

|  |             |   |             |             |             |             |             |
|--|-------------|---|-------------|-------------|-------------|-------------|-------------|
| Amount Req. by Bond Covenants                              |             |   |             |             |             |             |             |
| Amount from Bond Proceeds                                  | 250,006,365 | 0 | 232,075,074 | 427,391,514 | 105,000,000 | 326,107,000 | 318,978,000 |
| Amount Held in CODs, Escrow Accounts, or Other Investments |             |   |             |             |             |             |             |

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: DOT  
 Prog ID(s): TRN 114, DEF110  
 Name of Fund: General Obligation Bond Fund  
 Legal Authority: HRS 39

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF): C  
 Appropriation Acct. No. B-XX-700/B-XX-701

Intended Purpose:  
 Various Improvements to Ellison Onizuka Space Museum/KONA Airport Fed Inspection Station  
 Source of Revenues:  
 General Obligation Bond  
 Current Program Activities/Allowable Expenses:  
 Capital improvement project  
 Purpose of Proposed Ceiling Increase (if applicable):

Variances

| Financial Data            |   |           |           |           |             |             |             |
|---------------------------|---|-----------|-----------|-----------|-------------|-------------|-------------|
|                           | FY 2016   | FY 2017   | FY 2018   | FY 2019   | FY 2020     | FY 2021     | FY 2022     |
|                           | (actual)  | (actual)  | (actual)  | (actual)  | (estimated) | (estimated) | (estimated) |
| Appropriation Ceiling     | 3,000,000   | 2,500,000 | 2,500,000 | 1,000,000 | 0           | 0           |             |
| Beginning Cash Balance    | 0   | 0         | 2,500,000 | 1,536,493 | 134,155     | 0           | 0           |
| Revenues                  |   |           |           |           |             |             |             |
| Expenditures              |   |           | 963,507   | 1,402,338 | 134,155     |             |             |
| Transfers                 | List each net transfer in/out/ or projection in/out; list each account number |           |           |           |             |             |             |
|                           |   |           |           |           |             |             |             |
| Net Total Transfers       | 0   | 0         | 0         | 0         | 0           | 0           | 0           |
| Ending Cash Balance       | 0   | 0         | 1,536,493 | 134,155   | 0           | 0           | 0           |
| Encumbrances              |   |           |           |           |             |             |             |
| Unencumbered Cash Balance | 0   | 0         | 1,536,493 | 134,155   | 0           | 0           | 0           |

Additional Information:

|  |  |  |  |  |   |   |  |
|--|--|--|--|--|---|---|--|
| Amount Req. by Bond Covenants                              |  |  |  |  |   |   |  |
| Amount from Bond Proceeds                                  |  |  |  |  | 0 | 0 |  |
| Amount Held in CODs, Escrow Accounts, or Other Investments |  |  |  |  |   |   |  |

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Special State CIP  
 Legal Authority: HRS 248-8/261-5

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) B  
 Appropriation Acct. No. S-XX-7XX-D, S-XX-8XX-D

Intended Purpose:  
 Special funded capital improvement projects  
 Source of Revenues:  
 Airport operating revenue  
 Current Program Activities/Allowable Expenses:  
 Funded capital improvement projects  
 Purpose of Proposed Ceiling Increase (if applicable):

**Variances**

Expenditures are dependent on the status of various construction projects ongoing for the airport modernization program

| <b>Financial Data</b>   |              |              |              |              |             |             |             |
|---|--------------|--------------|--------------|--------------|-------------|-------------|-------------|
|   | FY 2016      | FY 2017      | FY 2018      | FY 2019      | FY 2020     | FY 2021     | FY 2022     |
|   | (actual)     | (actual)     | (actual)     | (actual)     | (estimated) | (estimated) | (estimated) |
| Appropriation Ceiling   | 15,300,000   | 61,730,000   | 26,203,000   | 94,247,653   | 0           | 0           |             |
| Beginning Cash Balance  | 64,690,663   | 60,885,348   | 71,212,914   | 38,833,478   | 20,681,807  | 20,681,807  | 20,681,807  |
| Revenues  |              | 0            | 0            | 0            |             |             |             |
| Expenditures  | 6,810,741    | (3,503,123)  | 40,963,565   | 30,070,212   |             | 36,402,000  | 10,511,000  |
| Transfers   |              |              |              | 0            |             |             |             |
| List each net transfer in/out/ or projection in/out; list each account number |              |              |              |              |             |             |             |
|   | 3,005,426    | 6,824,443    | 8,584,129    | 11,918,541   | 0           | 36,402,000  | 10,511,000  |
| Net Total Transfers   | 3,005,426    | 6,824,443    | 8,584,129    | 11,918,541   | 0           | 36,402,000  | 10,511,000  |
| Ending Cash Balance   | 60,885,348   | 71,212,914   | 38,833,478   | 20,681,807   | 20,681,807  | 20,681,807  | 20,681,807  |
| Encumbrances  | 92,060,265   | 94,859,694   | 71,397,128   | 119,702,210  |             |             |             |
| Unencumbered Cash Balance   | (31,174,917) | (23,646,780) | (32,563,650) | (99,020,402) | 20,681,807  | 20,681,807  | 20,681,807  |

**Additional Information:**

|  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|
| Amount Req. by Bond Covenants                              |  |  |  |  |  |  |  |
| Amount from Bond Proceeds                                  |  |  |  |  |  |  |  |
| Amount Held in CODs, Escrow Accounts, or Other Investments |  |  |  |  |  |  |  |

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Special State CIP - Funded by Others (PFC)  
 Legal Authority: HRS 261-5.5

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) X  
 Appropriation Acct. No. S-XX-9XX-D

Intended Purpose:  
 Used to fund projects funded by the Passenger Facility Charge Program & other contributions  
 Source of Revenues:  
 Passenger Facility Charges Funds  
 Current Program Activities/Allowable Expenses:  
 Funded approved capital improvement projects  
 Purpose of Proposed Ceiling Increase (if applicable):  
 New capital improvement project will be implemented  
 Variances:

| Financial Data  |              |            |             |           |             |             |             |
|---|--------------|------------|-------------|-----------|-------------|-------------|-------------|
|   | FY 2016      | FY 2017    | FY 2018     | FY 2019   | FY 2020     | FY 2021     | FY 2022     |
|   | (actual)     | (actual)   | (actual)    | (actual)  | (estimated) | (estimated) | (estimated) |
| Appropriation Ceiling   | 125,000      | 0          | 0           | 0         | 0           | 0           | 0           |
| Beginning Cash Balance  | 9,559,053    | 14,519,350 | 15,703,315  | 6,837,629 | 7,181,709   | 7,181,709   | 7,181,709   |
| Revenues  |              | 0          | 0           | 0         |             |             |             |
| Expenditures  | 28,697,307   | 37,341,808 | 8,006,599   | 3,072,504 | 61,480,000  | 61,480,000  | 30,740,000  |
| Transfers   |              |            |             | 0         |             |             |             |
| List each net transfer in/out/ or projection in/out; list each account number |              |            |             |           |             |             |             |
|   | 33,657,604   | 38,525,773 | (859,087)   | 3,416,585 | 61,480,000  | 61,480,000  | 30,740,000  |
| Net Total Transfers   | 33,657,604   | 38,525,773 | (859,087)   | 3,416,585 | 61,480,000  | 61,480,000  | 30,740,000  |
| Ending Cash Balance   | 14,519,350   | 15,703,315 | 6,837,629   | 7,181,709 | 7,181,709   | 7,181,709   | 7,181,709   |
| Encumbrances  | 27,726,316   | 11,214,427 | 8,766,146   | 4,728,963 |             |             |             |
| Unencumbered Cash Balance   | (13,206,966) | 4,488,887  | (1,928,518) | 2,452,746 | 7,181,709   | 7,181,709   | 7,181,709   |

**Additional Information:**

|  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|
| Amount Req. by Bond Covenants                              |  |  |  |  |  |  |  |
| Amount from Bond Proceeds                                  |  |  |  |  |  |  |  |
| Amount Held in CODs, Escrow Accounts, or Other Investments |  |  |  |  |  |  |  |

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Special State CIP - Funded by Others (CFC)  
 Legal Authority: HRS 261-5.6

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) X  
 Appropriation Acct. No. S-XX-9XX-D; S-XX-7XX-D

**Intended Purpose:**

Used to fund projects funded by the Consolidated Rent A Car Facility Charge Program

**Source of Revenues:**

Rent A Car Facility Charges (CFC) Funds

**Current Program Activities/Allowable Expenses:**

Funded approved capital improvement projects

**Purpose of Proposed Ceiling Increase (if applicable):**

New capital improvement project will be implemented

**Variances:**

Expenditures are dependent on the status of various construction projects ongoing for the airport modernization program

| <b>Financial Data</b>   |               |               |               |              |             |             |             |
|---|---------------|---------------|---------------|--------------|-------------|-------------|-------------|
|   | FY 2016       | FY 2017       | FY 2018       | FY 2019      | FY 2020     | FY 2021     | FY 2022     |
|   | (actual)      | (actual)      | (actual)      | (actual)     | (estimated) | (estimated) | (estimated) |
| Appropriation Ceiling   | 86,811,000    | 0             | 0             | 0            | 0           | 0           | 0           |
| Beginning Cash Balance  | 71,946,658    | 48,431,290    | 79,322,128    | 20,567,957   | 2,134,755   | 0           | 0           |
| Revenues  |               | 41,089,858    | 0             | 0            |             |             | 0           |
| Expenditures  | 34,623,020    | 140,199,020   | 95,731,991    | 93,846,458   |             |             |             |
| <b>Transfers</b>  |               |               |               |              |             |             |             |
| List each net transfer in/out/ or projection in/out; list each account number |               |               |               |              |             |             |             |
|   | 11,107,652    | 130,000,000   | 36,977,819    | 75,413,257   |             |             |             |
| Net Total Transfers   | 11,107,652    | 130,000,000   | 36,977,819    | 75,413,257   |             |             |             |
| Ending Cash Balance   | 48,431,290    | 79,322,128    | 20,567,957    | 2,134,755    |             |             |             |
| Encumbrances  | 256,601,553   | 284,968,311   | 189,215,588   | 86,962,029   |             |             |             |
| Unencumbered Cash Balance   | (208,170,263) | (205,646,183) | (168,647,632) | (84,827,274) |             |             |             |

**Additional Information:**

|  |            |  |  |  |  |  |  |
|--|------------|--|--|--|--|--|--|
| Amount Req. by Bond Covenants                              |            |  |  |  |  |  |  |
| Amount from Bond Proceeds                                  | 34,910,142 |  |  |  |  |  |  |
| Amount Held in CODs, Escrow Accounts, or Other Investments |            |  |  |  |  |  |  |

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Federal CIP  
 Legal Authority: Title 49 United State Code (Grants)

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) N  
 Appropriation Acct. No. S-XX-16X-D to S-XX-19X-D;  
S-XX-26X-D to S-XX-29X-D;

**Intended Purpose:**

Capital improvement projects

**Source of Revenues:**

Federal Grants

**Current Program Activities/Allowable Expenses:**

Capital improvement projects

**Purpose of Proposed Ceiling Increase (if applicable):**

New capital improvement project will be implemented; additional funds required for for existing appropriations

**Variances**

Revenue and expenditures are dependent on the status of various construction projects ongoing for the airport modernization program

| <b>Financial Data</b>   |              |              |              |              |             |             |             |
|---|--------------|--------------|--------------|--------------|-------------|-------------|-------------|
|   | FY 2016      | FY 2017      | FY 2018      | FY 2019      | FY 2020     | FY 2021     | FY 2022     |
|   | (actual)     | (actual)     | (actual)     | (actual)     | (estimated) | (estimated) | (estimated) |
| Appropriation Ceiling   | 47,875,000   | 44,205,000   | 11,002,000   | 10,155,000   |             |             |             |
| Beginning Cash Balance  | 13,910,085   | 15,463,906   | 13,269,320   | 20,806,354   | 9,260,672   | 9,260,672   | 9,260,672   |
| Revenues  | 28,892,579   | 11,757,789   | 21,298,577   | 39,684,692   | 0           | 0           | 0           |
| Expenditures  | 25,813,439   | 13,663,472   | 13,633,014   | 52,506,069   | 0           | 0           | 0           |
| <b>Transfers</b>  |              |              |              |              |             |             |             |
| List each net transfer in/out/ or projection in/out; list each account number |              |              |              |              |             |             |             |
|   | (1,525,319)  | (288,903)    | (128,528)    | 1,275,694    |             |             |             |
| Net Total Transfers   | (1,525,319)  | (288,903)    | (128,528)    | 1,275,694    | 0           | 0           | 0           |
| Ending Cash Balance   | 15,463,906   | 13,269,320   | 20,806,354   | 9,260,672    | 9,260,672   | 9,260,672   | 9,260,672   |
| Encumbrances  | 45,701,388   | 51,143,021   | 51,143,021   | 42,559,701   |             |             |             |
| Unencumbered Cash Balance   | (30,237,482) | (37,873,702) | (30,336,667) | (33,299,029) | 9,260,672   | 9,260,672   | 9,260,672   |

**Additional Information:**

|  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|
| Amount Req. by Bond Covenants                              |  |  |  |  |  |  |  |
| Amount from Bond Proceeds                                  |  |  |  |  |  |  |  |
| Amount Held in CODs, Escrow Accounts, or Other Investments |  |  |  |  |  |  |  |

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Federal O & M  
 Legal Authority: Title 49 United State Code (Grants)

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) N  
 Appropriation Acct. No. S-XX-20X-D to S-XX-25X-D  
S-XX-50X-D

Intended Purpose:  
 Federal portion of projects matched by operating funds  
 Source of Revenues:  
 Federal grants  
 Current Program Activities/Allowable Expenses:  
 Operating and maintenance expenses  
 Purpose of Proposed Ceiling Increase (if applicable):

**Variances**

Expenditures are dependent on the status of various construction projects ongoing for the airport modernization program

| <b>Financial Data</b>   |             |             |             |             |             |             |             |
|---|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
|   | FY 2016     | FY 2017     | FY 2018     | FY 2019     | FY 2020     | FY 2021     | FY 2022     |
|   | (actual)    | (actual)    | (actual)    | (actual)    | (estimated) | (estimated) | (estimated) |
| Appropriation Ceiling   | 9,695,545   | 10,881,000  | 7,403,250   | 3,606,000   | 0           |             |             |
| Beginning Cash Balance  | 1,131,366   | 1,032,386   | 1,251,256   | 1,446,058   | 0           | 0           | 0           |
| Revenues  | 270,728     | 1,907,150   | 2,822,383   | 7,463,835   | 2,000,000   | 2,000,000   | 2,000,000   |
| Expenditures  | 1,895,027   | 1,977,184   | 2,756,109   | 7,634,198   | 2,000,000   | 2,000,000   | 2,000,000   |
| <b>Transfers</b>  |             |             |             |             |             |             |             |
| List each net transfer in/out/ or projection in/out; list each account number |             |             |             |             |             |             |             |
|   | 1,525,319   | 288,903     | 128,528     | (1,275,694) |             |             |             |
| Net Total Transfers   | 1,525,319   | 288,903     | 128,528     | (1,275,694) | 0           | 0           | 0           |
| Ending Cash Balance   | 1,032,386   | 1,251,256   | 1,446,058   | 0           | 0           | 0           | 0           |
| Encumbrances  | 4,989,448   | 6,065,413   | 8,464,164   | 5,358,678   |             |             |             |
| Unencumbered Cash Balance   | (3,957,062) | (4,814,157) | (7,018,106) | (5,358,678) | 0           | 0           | 0           |

**Additional Information:**

|  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|
| Amount Req. by Bond Covenants                              |  |  |  |  |  |  |  |
| Amount from Bond Proceeds                                  |  |  |  |  |  |  |  |
| Amount Held in CODs, Escrow Accounts, or Other Investments |  |  |  |  |  |  |  |

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Special State CIP - Funded by Others  
 Legal Authority: Legislative Acts & Prior Year Rollovers

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) R  
 Appropriation Acct. No. S-XX-9XX-D

Intended Purpose:  
 Used to fund project funded by private contribution  
 Source of Revenues:

Current Program Activities/Allowable Expenses:  
 Funded approved capital improvement project  
 Purpose of Proposed Ceiling Increase (if applicable):

Variances

| Financial Data            |   |           |           |           |             |             |             |
|---------------------------|---|-----------|-----------|-----------|-------------|-------------|-------------|
|                           | FY 2016   | FY 2017   | FY 2018   | FY 2019   | FY 2020     | FY 2021     | FY 2022     |
|                           | (actual)  | (actual)  | (actual)  | (actual)  | (estimated) | (estimated) | (estimated) |
| Appropriation Ceiling     |   | 3,995,000 | 3,995,000 | 5,000,000 |             |             |             |
| Beginning Cash Balance    | 0   | 0         | 0         | 0         | 0           | 0           | 0           |
| Revenues                  |   |           |           |           |             |             |             |
| Expenditures              |   |           |           |           |             |             |             |
| Transfers                 | List each net transfer in/out/ or projection in/out; list each account number |           |           |           |             |             |             |
|                           |   |           |           |           |             |             |             |
|                           |   |           |           |           |             |             |             |
| Net Total Transfers       | 0   | 0         | 0         | 0         | 0           | 0           | 0           |
| Ending Cash Balance       | 0   | 0         | 0         | 0         | 0           | 0           | 0           |
| Encumbrances              |   |           |           |           |             |             |             |
| Unencumbered Cash Balance | 0   | 0         | 0         | 0         | 0           | 0           | 0           |

Additional Information:

|   |  |  |  |  |  |  |  |
|---|--|--|--|--|--|--|--|
| Amount Req. by Bond Covenants                                 |  |  |  |  |  |  |  |
| Amount from Bond Proceeds                                     |  |  |  |  |  |  |  |
| Amount Held in CODs, Escrow<br>Accounts, or Other Investments |  |  |  |  |  |  |  |



**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: DOT  
 Prog ID(s): TRN 195  
 Name of Fund: Temporary Deposits  
 Legal Authority: HRS 261-5/261-7

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) T  
 Appropriation Acct. No. T-XX-961

Intended Purpose:  
 Security and Other Deposits  
 Source of Revenues:  
 Customer security and other deposits  
 Current Program Activities/Allowable Expenses:  
 Received and refunded security and other deposits  
 Purpose of Proposed Ceiling Increase (if applicable):

Variances:

| Financial Data  |              |           |           |            |             |             |             |
|---|--------------|-----------|-----------|------------|-------------|-------------|-------------|
|   | FY 2016      | FY 2017   | FY 2018   | FY 2019    | FY 2020     | FY 2021     | FY 2022     |
|   | (actual)     | (actual)  | (actual)  | (actual)   | (estimated) | (estimated) | (estimated) |
| Appropriation Ceiling   |              |           |           |            |             |             |             |
| Beginning Cash Balance  | 55,541,397   | 7,387,041 | 8,452,929 | 9,125,803  | 10,597,104  | 10,597,104  | 10,597,104  |
| Revenues  | 1,647,910    | 1,450,957 | 1,130,475 | 1,784,142  | 0           | 0           | 0           |
| Expenditures  | 431,613      | 385,070   | 457,601   | 312,841    | 0           | 0           | 0           |
| <b>Transfers</b>  |              |           |           |            |             |             |             |
| List each net transfer in/out/ or projection in/out; list each account number |              |           |           |            |             |             |             |
|   | (49,370,653) |           |           |            |             |             |             |
| Net Total Transfers   | (49,370,653) | 0         | 0         | 0          |             |             |             |
| Ending Cash Balance   | 7,387,041    | 8,452,929 | 9,125,803 | 10,597,104 | 10,597,104  | 10,597,104  | 10,597,104  |
| Encumbrances  |              |           |           |            |             |             |             |
| Unencumbered Cash Balance   | 7,387,041    | 8,452,929 | 9,125,803 | 10,597,104 | 10,597,104  | 10,597,104  | 10,597,104  |

Additional Information:

|   |  |  |  |  |  |  |  |
|---|--|--|--|--|--|--|--|
| Amount Req. by Bond Covenants                                 |  |  |  |  |  |  |  |
| Amount from Bond Proceeds                                     |  |  |  |  |  |  |  |
| Amount Held in CODs, Escrow<br>Accounts, or Other Investments |  |  |  |  |  |  |  |

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: DOT  
 Prog ID(s): TRN 195  
 Name of Fund: Temporary Deposits  
 Legal Authority: HRS 261-5/261-7

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) T  
 Appropriation Acct. No. T-XX-962

Intended Purpose:  
 Used as a holding account for settlement monies paid to satisfy a contractor's default  
 Source of Revenues:  
 Customer security and other deposits  
 Current Program Activities/Allowable Expenses:  
 Funds should be used for the completion of the contract default by the contractor  
 Purpose of Proposed Ceiling Increase (if applicable):

Variances:

| Financial Data  |          |          |           |           |             |             |             |
|---|----------|----------|-----------|-----------|-------------|-------------|-------------|
|   | FY 2016  | FY 2017  | FY 2018   | FY 2019   | FY 2020     | FY 2021     | FY 2022     |
|   | (actual) | (actual) | (actual)  | (actual)  | (estimated) | (estimated) | (estimated) |
| Appropriation Ceiling   |          |          |           |           |             |             |             |
| Beginning Cash Balance  | 0        | 0        | 0         | 1,462,965 | 1,462,965   | 1,462,965   | 1,462,965   |
| Revenues  | 0        | 0        | 1,462,965 | 0         | 0           | 0           | 0           |
| Expenditures  | 0        | 0        | 0         | 0         | 0           | 0           | 0           |
| Transfers   |          | 0        | 0         | 0         |             |             |             |
| List each net transfer in/out/ or projection in/out; list each account number |          |          |           |           |             |             |             |
|   |          |          |           |           |             |             |             |
|   |          |          |           |           |             |             |             |
| Net Total Transfers   | 0        | 0        | 0         | 0         |             |             |             |
| Ending Cash Balance   | 0        | 0        | 1,462,965 | 1,462,965 | 1,462,965   | 1,462,965   | 1,462,965   |
| Encumbrances  |          |          |           |           |             |             |             |
| Unencumbered Cash Balance   | 0        | 0        | 0         | 1,462,965 | 1,462,965   | 1,462,965   | 1,462,965   |

Additional Information:

|   |  |  |  |  |  |  |  |
|---|--|--|--|--|--|--|--|
| Amount Req. by Bond Covenants                                 |  |  |  |  |  |  |  |
| Amount from Bond Proceeds                                     |  |  |  |  |  |  |  |
| Amount Held in CODs, Escrow<br>Accounts, or Other Investments |  |  |  |  |  |  |  |

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Prepaid Airport Use Charge Fund  
 Legal Authority: HRS 248-8/261-5/261-7/39-53/39-61

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) T  
 Appropriation Acct. No. T-XX-963-D

**Intended Purpose:**

To provide for overpayments of Airport Use Charges

**Source of Revenues:**

Airport Use Charge (AUC) overpayment based on airport/airline lease agreement

**Current Program Activities/Allowable Expenses:**

To refund AUC overpayment based on agreed signatory airlines payment plan as approved by the Director of Transportation

**Purpose of Proposed Ceiling Increase (if applicable):**

**Variances**

Payment out is to clear overpayment balance due as agreed to in the payment plan.

| <b>Financial Data</b>   |           |            |            |            |             |             |             |
|---|-----------|------------|------------|------------|-------------|-------------|-------------|
|   | FY 2016   | FY 2017    | FY 2018    | FY 2019    | FY 2020     | FY 2021     | FY 2022     |
|   | (actual)  | (actual)   | (actual)   | (actual)   | (estimated) | (estimated) | (estimated) |
| Appropriation Ceiling   |           |            |            |            |             |             |             |
| Beginning Cash Balance  | 8,591,873 | 9,245,460  | 10,350,275 | 12,403,342 | 194,209     | 194,209     | 194,209     |
| Revenues  | 4,653,587 | 1,104,816  | 6,553,067  | 6,790,867  |             |             |             |
| Expenditures  | 4,000,000 | 0          | 4,500,000  | 19,000,000 |             |             |             |
| <b>Transfers</b>  |           |            |            |            |             |             |             |
| List each net transfer in/out/ or projection in/out; list each account number |           |            |            |            |             |             |             |
|   |           |            |            |            |             |             |             |
|   |           |            |            |            |             |             |             |
| Net Total Transfers   | 0         | 0          | 0          | 0          |             |             |             |
| Ending Cash Balance   | 9,245,460 | 10,350,275 | 12,403,342 | 194,209    | 194,209     | 194,209     | 194,209     |
| Encumbrances  |           |            |            |            |             |             |             |
| Unencumbered Cash Balance   | 9,245,460 | 10,350,275 | 12,403,342 | 194,209    | 194,209     | 194,209     | 194,209     |

**Additional Information:**

|  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|
| Amount Req. by Bond Covenants                              |  |  |  |  |  |  |  |
| Amount from Bond Proceeds                                  |  |  |  |  |  |  |  |
| Amount Held in CODs, Escrow Accounts, or Other Investments |  |  |  |  |  |  |  |

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Energy Savings Performance Contract  
 Legal Authority: HRS 36-41

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) T  
 Appropriation Acct. No. T-XX-964-D

Intended Purpose:  
 ESCO/ESPC Energy Savings  
 Source of Revenues:  
 Savings from Utilities and Interest Income  
 Current Program Activities/Allowable Expenses:  
 Payment of P&I, COPS Issuance costs and trustee fees  
 Purpose of Proposed Ceiling Increase (if applicable):

Variances:

| Financial Data            |   |            |            |            |             |             |             |
|---------------------------|---|------------|------------|------------|-------------|-------------|-------------|
|                           | FY 2016   | FY 2017    | FY 2018    | FY 2019    | FY 2020     | FY 2021     | FY 2022     |
|                           | (actual)  | (actual)   | (actual)   | (actual)   | (estimated) | (estimated) | (estimated) |
| Appropriation Ceiling     |   |            |            |            |             |             |             |
| Beginning Cash Balance    | 40,778,779  | 24,306,466 | 69,085,173 | 49,111,917 | 21,241,293  | 20,025,131  | 20,025,131  |
| Revenues                  | 8,090,011   | 51,576,368 | 608,379    | 543,557    |             |             |             |
| Expenditures              | 24,562,324  | 6,797,661  | 20,581,636 | 28,414,180 | 1,216,162   |             |             |
| Transfers                 | List each net transfer in/out/ or projection in/out; list each account number |            |            |            |             |             |             |
|                           |   |            |            |            |             |             |             |
|                           |   |            |            |            |             |             |             |
| Net Total Transfers       | 0   | 0          | 0          | 0          |             |             |             |
| Ending Cash Balance       | 24,306,466  | 69,085,173 | 49,111,917 | 21,241,293 | 20,025,131  | 20,025,131  | 20,025,131  |
| Encumbrances              |   |            |            |            |             |             |             |
| Unencumbered Cash Balance | 24,306,466  | 69,085,173 | 49,111,917 | 21,241,293 | 20,025,131  | 20,025,131  | 20,025,131  |

Additional Information:

|  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|
| Amount Req. by Bond Covenants                              |  |  |  |  |  |  |  |
| Amount from Bond Proceeds                                  |  |  |  |  |  |  |  |
| Amount Held in CODs, Escrow Accounts, or Other Investments |  |  |  |  |  |  |  |

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Reserve for Airline Rate Mitigation  
 Legal Authority: Administratively Created

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) T  
 Appropriation Acct. No. S-XX-367-D/T-XX-967-D

**Intended Purpose:**

To provide a reserve for payment of interest on revenue bonds and to be in compliance with revenue bond covenants

**Source of Revenues:**

Aiport operating revenues and interest income

**Current Program Activities/Allowable Expenses:**

Used to meet the calculation of net revenue and taxes. To provide funding for debt service - Interest portion based on airport/airline lease agreement

**Purpose of Proposed Ceiling Increase (if applicable):**

**Variances**

| <b>Financial Data</b>   |          |          |          |          |             |             |             |
|---|----------|----------|----------|----------|-------------|-------------|-------------|
|   | FY 2016  | FY 2017  | FY 2018  | FY 2019  | FY 2020     | FY 2021     | FY 2022     |
|   | (actual) | (actual) | (actual) | (actual) | (estimated) | (estimated) | (estimated) |
| Appropriation Ceiling   |          |          |          |          |             |             |             |
| Beginning Cash Balance  | 0        | 0        | 0        | 0        | 0           | 0           | 0           |
| Revenues  |          |          |          |          |             |             |             |
| Expenditures  |          |          |          |          |             |             |             |
| <b>Transfers</b>  |          |          |          |          |             |             |             |
| List each net transfer in/out/ or projection in/out; list each account number |          |          |          |          |             |             |             |
|   |          |          |          |          |             |             |             |
|   |          |          |          |          |             |             |             |
| Net Total Transfers   | 0        | 0        | 0        | 0        | 0           | 0           | 0           |
| Ending Cash Balance   | 0        | 0        | 0        | 0        | 0           | 0           | 0           |
| Encumbrances  |          |          |          |          |             |             |             |
| Unencumbered Cash Balance   | 0        | 0        | 0        | 0        | 0           | 0           | 0           |

**Additional Information:**

|   |  |  |  |  |  |  |  |
|---|--|--|--|--|--|--|--|
| Amount Req. by Bond Covenants                                 |  |  |  |  |  |  |  |
| Amount from Bond Proceeds                                     |  |  |  |  |  |  |  |
| Amount Held in CODs, Escrow<br>Accounts, or Other Investments |  |  |  |  |  |  |  |

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Reserve for O & M Expenses  
 Legal Authority: Adminstratively Created

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) T  
 Appropriation Acct. No. S-XX-368-D/T-XX-968-D

Intended Purpose: Providing a reserve for payment of operating and maintenance expenses. Also for deficiencies in any other account for lawful airports system purpose. Amount on deposit should be equal to 25% of the budgeted operating and maintenance expenses.

Source of Revenues:

Aiport operating revenues and interest income.

Current Program Activities/Allowable Expenses:

Reserve only. No current activity

Purpose of Proposed Ceiling Increase (if applicable):

Variances

| Financial Data  |            |            |            |            |             |             |             |
|---|------------|------------|------------|------------|-------------|-------------|-------------|
|   | FY 2016    | FY 2017    | FY 2018    | FY 2019    | FY 2020     | FY 2021     | FY 2022     |
|   | (actual)   | (actual)   | (actual)   | (actual)   | (estimated) | (estimated) | (estimated) |
| Appropriation Ceiling   |            |            |            |            |             |             |             |
| Beginning Cash Balance  | 77,857,740 | 84,064,428 | 84,064,428 | 91,016,869 | 98,479,139  | 104,711,164 | 114,725,244 |
| Revenues  |            |            |            | 98,479,139 |             |             |             |
| Expenditures  |            |            |            | 98,479,139 |             |             |             |
| <b>Transfers</b>  |            |            |            |            |             |             |             |
| List each net transfer in/out/ or projection in/out; list each account number |            |            |            |            |             |             |             |
|   | 6,206,688  | 0          | 6,952,441  | 7,462,270  |             |             |             |
| Net Total Transfers   | 6,206,688  | 0          | 6,952,441  | 7,462,270  | 6,232,025   | 10,014,080  | 6,805,593   |
| Ending Cash Balance   | 84,064,428 | 84,064,428 | 91,016,869 | 98,479,139 | 104,711,164 | 114,725,244 | 121,530,837 |
| Encumbrances  |            |            |            |            |             |             |             |
| Unencumbered Cash Balance   | 84,064,428 | 84,064,428 | 91,016,869 | 98,479,139 | 104,711,164 | 114,725,244 | 121,530,837 |

Additional Information:

|  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|
| Amount Req. by Bond Covenants                              |  |  |  |  |  |  |  |
| Amount from Bond Proceeds                                  |  |  |  |  |  |  |  |
| Amount Held in CODs, Escrow Accounts, or Other Investments |  |  |  |  |  |  |  |

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Debt Service Funded Coverage  
 Legal Authority: Administratively Created

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) T  
 Appropriation Acct. No. S-XX-369-D/T-XX-969-D

**Intended Purpose:**

To cover shortfalls in debt service coverage requirements. Minimum deposit must be 25% of current year debt service

**Source of Revenues:**

Airport operating revenues and interest income

**Current Program Activities/Allowable Expenses:**

Reserve only. No current activity

**Purpose of Proposed Ceiling Increase (if applicable):**

**Variances**

| <b>Financial Data</b>   |            |            |            |            |             |             |             |
|---|------------|------------|------------|------------|-------------|-------------|-------------|
|   | FY 2016    | FY 2017    | FY 2018    | FY 2019    | FY 2020     | FY 2021     | FY 2022     |
|   | (actual)   | (actual)   | (actual)   | (actual)   | (estimated) | (estimated) | (estimated) |
| Appropriation Ceiling   |            |            |            |            |             |             |             |
| Beginning Cash Balance  | 19,311,268 | 22,338,019 | 22,338,019 | 22,338,019 | 27,079,783  | 27,079,783  | 28,499,172  |
| Revenues  |            |            |            | 27,079,783 |             |             |             |
| Expenditures  |            |            |            | 27,079,783 |             |             |             |
| Transfers   | 0          | 0          | 0          | 0          |             |             |             |
| List each net transfer in/out/ or projection in/out; list each account number |            |            |            |            |             |             |             |
|   | 3,026,751  |            |            | 4,741,764  |             |             |             |
|   |            |            |            |            |             |             |             |
| Net Total Transfers   | 3,026,751  | 0          | 0          | 4,741,764  | 0           | 1,419,389   | 5,850,301   |
| Ending Cash Balance   | 22,338,019 | 22,338,019 | 22,338,019 | 27,079,783 | 27,079,783  | 28,499,172  | 34,349,473  |
| Encumbrances  |            |            |            |            |             |             |             |
| Unencumbered Cash Balance   | 22,338,019 | 22,338,019 | 22,338,019 | 27,079,783 | 27,079,783  | 28,499,172  | 34,349,473  |

**Additional Information:**

|  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|
| Amount Req. by Bond Covenants                              |  |  |  |  |  |  |  |
| Amount from Bond Proceeds                                  |  |  |  |  |  |  |  |
| Amount Held in CODs, Escrow Accounts, or Other Investments |  |  |  |  |  |  |  |

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Airport System Interest Account  
 Legal Authority: Administratively Created

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) T  
 Appropriation Acct. No. S-XX-375-D/T-XX-975-D

Intended Purpose:  
 Used for payment of interest on outstanding bonds  
 Source of Revenues:  
 Airport operating revenues and interest income  
 Current Program Activities/Allowable Expenses:  
 Payment of interest on outstanding revenue bonds  
 Purpose of Proposed Ceiling Increase (if applicable):

Variations  
 Increase is dependent on bond sale for airport improvement program

| Financial Data  |             |             |             |              |             |             |             |
|---|-------------|-------------|-------------|--------------|-------------|-------------|-------------|
|   | FY 2016     | FY 2017     | FY 2018     | FY 2019      | FY 2020     | FY 2021     | FY 2022     |
|   | (actual)    | (actual)    | (actual)    | (actual)     | (estimated) | (estimated) | (estimated) |
| Appropriation Ceiling   |             |             |             |              |             |             |             |
| Beginning Cash Balance  | 20,757,862  | 35,445,920  | 27,942,602  | 24,890,061   | 50,282,422  | 50,282,422  | 50,282,422  |
| Revenues  | 60,346,535  | 50,416,476  | 48,596,526  | 141,287,249  | 51,751,000  | 67,192,000  | 88,223,000  |
| Expenditures  | 40,735,372  | 52,685,366  | 49,506,501  | 103,425,386  | 51,751,000  | 67,192,000  | 88,223,000  |
| <b>Transfers</b>  |             |             |             |              |             |             |             |
| List each net transfer in/out/ or projection in/out; list each account number |             |             |             |              |             |             |             |
|   | (4,923,105) | (5,234,429) | (2,142,566) | (12,469,501) |             |             |             |
| Net Total Transfers   | (4,923,105) | (5,234,429) | (2,142,566) | (12,469,501) |             |             |             |
| Ending Cash Balance   | 35,445,920  | 27,942,602  | 24,890,061  | 50,282,422   | 50,282,422  | 50,282,422  | 50,282,422  |
| Encumbrances  |             |             |             |              |             |             |             |
| Unencumbered Cash Balance   | 35,445,920  | 27,942,602  | 24,890,061  | 50,282,422   | 50,282,422  | 50,282,422  | 50,282,422  |

**Additional Information:**

|   |  |  |  |  |  |  |  |
|---|--|--|--|--|--|--|--|
| Amount Req. by Bond Covenants                                 |  |  |  |  |  |  |  |
| Amount from Bond Proceeds                                     |  |  |  |  |  |  |  |
| Amount Held in CODs, Escrow<br>Accounts, or Other Investments |  |  |  |  |  |  |  |



**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Airport System Serial & Principal Account  
 Legal Authority: Administratively Created

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF): T  
 Appropriation Acct. No.: S-XX-376-D/T-XX-976-D

**Intended Purpose:**

Used for principal payments on outstanding serial bonds

**Source of Revenues:**

Airport operating revenues and interest income

**Current Program Activities/Allowable Expenses:**

Payment of principal on outstanding serial revenue bonds

**Purpose of Proposed Ceiling Increase (if applicable):**

**Variances**

Increase is dependent on bond sale for airport improvement program

| <b>Financial Data</b>   |            |            |            |            |             |             |             |
|---|------------|------------|------------|------------|-------------|-------------|-------------|
|   | FY 2016    | FY 2017    | FY 2018    | FY 2019    | FY 2020     | FY 2021     | FY 2022     |
|   | (actual)   | (actual)   | (actual)   | (actual)   | (estimated) | (estimated) | (estimated) |
| Appropriation Ceiling   |            |            |            |            |             |             |             |
| Beginning Cash Balance  | 35,725,000 | 37,290,000 | 38,935,000 | 40,755,000 | 42,585,000  | 42,585,000  | 42,585,000  |
| Revenues  | 37,290,000 | 38,935,000 | 40,755,000 | 78,072,500 | 44,690,000  | 46,805,000  | 49,175,000  |
| Expenditures  | 35,725,000 | 37,290,000 | 38,935,000 | 76,242,500 | 44,690,000  | 46,805,000  | 49,175,000  |
| <b>Transfers</b>  |            |            |            |            |             |             |             |
| List each net transfer in/out/ or projection in/out; list each account number |            |            |            |            |             |             |             |
|   |            |            |            |            |             |             |             |
|   |            |            |            |            |             |             |             |
| Net Total Transfers   | 0          | 0          | 0          | 0          | 0           | 0           | 0           |
| Ending Cash Balance   | 37,290,000 | 38,935,000 | 40,755,000 | 42,585,000 | 42,585,000  | 42,585,000  | 42,585,000  |
| <b>Encumbrances</b>   |            |            |            |            |             |             |             |
|   |            |            |            |            |             |             |             |
| Unencumbered Cash Balance   | 37,290,000 | 38,935,000 | 40,755,000 | 42,585,000 | 42,585,000  | 42,585,000  | 42,585,000  |

**Additional Information:**

|   |  |  |  |  |  |  |  |
|---|--|--|--|--|--|--|--|
| Amount Req. by Bond Covenants                                 |  |  |  |  |  |  |  |
| Amount from Bond Proceeds                                     |  |  |  |  |  |  |  |
| Amount Held in CODs, Escrow<br>Accounts, or Other Investments |  |  |  |  |  |  |  |

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Reserve for Airline Rate Mitigation  
 Legal Authority: Adminstratively Created

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF): T  
 Appropriation Acct. No. S-XX-377-D/T-XX-977-D

Intended Purpose:  
 To provide for the retirement of the principal of Bonds of any series issued in term form.  
 Source of Revenues:  
 Aiport operating revenues and interest income  
 Current Program Activities/Allowable Expenses:  
 No current activity until July 2021  
 Purpose of Proposed Ceiling Increase (if applicable):

Variances

| Financial Data            |   |          |          |          |             |             |             |
|---------------------------|---|----------|----------|----------|-------------|-------------|-------------|
|                           | FY 2016   | FY 2017  | FY 2018  | FY 2019  | FY 2020     | FY 2021     | FY 2022     |
|                           | (actual)  | (actual) | (actual) | (actual) | (estimated) | (estimated) | (estimated) |
| Appropriation Ceiling     |   |          |          |          |             |             |             |
| Beginning Cash Balance    | 0   | 0        | 0        | 0        | 0           | 0           | 0           |
| Revenues                  |   |          |          |          |             |             |             |
| Expenditures              |   |          |          |          |             |             |             |
| Transfers                 | List each net transfer in/out/ or projection in/out; list each account number |          |          |          |             |             |             |
|                           |   |          |          |          |             |             |             |
|                           |   |          |          |          |             |             |             |
| Net Total Transfers       | 0   | 0        | 0        | 0        | 0           | 0           | 0           |
| Ending Cash Balance       | 0   | 0        | 0        | 0        | 0           | 0           | 0           |
| Encumbrances              |   |          |          |          |             |             |             |
| Unencumbered Cash Balance | 0   | 0        | 0        | 0        | 0           | 0           | 0           |

Additional Information:

|  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|
| Amount Req. by Bond Covenants                              |  |  |  |  |  |  |  |
| Amount from Bond Proceeds                                  |  |  |  |  |  |  |  |
| Amount Held in CODs, Escrow Accounts, or Other Investments |  |  |  |  |  |  |  |

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Airport System Debt Service Reserve  
 Legal Authority: Administratively Created

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF): T  
 Appropriation Acct. No. S-XX-378-D/T-XX-978-D

Intended Purpose: Used as a reserve for the payment of principal, interest, and premium for bonds outstanding. The amount on deposit should be equal to the maximum aggregate of debt outstanding for all bonds in any future year.

Source of Revenues:

Proceeds for the sale of Airport System Revenue Bonds

Current Program Activities/Allowable Expenses:

Principal interest and premiums relating to bonds outstanding. No activity

Purpose of Proposed Ceiling Increase (if applicable):

Variations

Increase in revenue dependent on bond sale for airport improvement program

| Financial Data  |            |            |            |             |             |             |             |
|---|------------|------------|------------|-------------|-------------|-------------|-------------|
|   | FY 2016    | FY 2017    | FY 2018    | FY 2019     | FY 2020     | FY 2021     | FY 2022     |
|   | (actual)   | (actual)   | (actual)   | (actual)    | (estimated) | (estimated) | (estimated) |
| Appropriation Ceiling   |            |            |            |             |             |             |             |
| Beginning Cash Balance  | 96,893,008 | 96,893,008 | 96,893,008 | 96,893,008  | 102,470,832 | 127,212,090 | 144,865,418 |
| Revenues  |            |            |            | 32,895,600  | 24,741,258  | 17,653,328  | 28,125,205  |
| Expenditures  |            |            |            | 27,317,776  |             |             |             |
| <b>Transfers</b>  |            |            |            |             |             |             |             |
| List each net transfer in/out/ or projection in/out; list each account number |            |            |            |             |             |             |             |
|   |            |            |            |             |             |             |             |
|   |            |            |            |             |             |             |             |
| Net Total Transfers   | 0          | 0          | 0          | 0           | 0           | 0           | 0           |
| Ending Cash Balance   | 96,893,008 | 96,893,008 | 96,893,008 | 102,470,832 | 127,212,090 | 144,865,418 | 172,990,623 |
| Encumbrances  |            |            |            |             |             |             |             |
| Unencumbered Cash Balance   | 96,893,008 | 96,893,008 | 96,893,008 | 102,470,832 | 127,212,090 | 144,865,418 | 172,990,623 |

Additional Information:

|  |            |            |            |            |             |             |             |
|--|------------|------------|------------|------------|-------------|-------------|-------------|
| Amount Req. by Bond Covenants                              |            |            |            |            |             |             |             |
| Amount from Bond Proceeds                                  |            |            |            |            |             |             |             |
| Amount Held in CODs, Escrow Accounts, or Other Investments | 96,893,008 | 96,893,008 | 96,893,008 | 96,893,008 | 127,212,090 | 144,865,418 | 172,990,623 |

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Airport System Major Maintenance, Renewal/Replacement  
 Legal Authority: Administratively Created

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) T  
 Appropriation Acct. No. S-XX-379-D/T-XX-979-D

Intended Purpose: To support deficiencies in the interest account, serial bond principal account, and the sinking fund. Also to fund major maintenance and repair projects not recurring annually in nature.

Source of Revenues:

Airport operating revenues and interest account

Current Program Activities/Allowable Expenses:

To fund eligible projects meeting the funding criteria for major maintenance, renewal and replacement account in accordance with the bond resolution

Purpose of Proposed Ceiling Increase (if applicable):

Variances

Estimated expenditures dependent on ongoing and future projects for airport programs

| Financial Data  |            |            |            |            |             |             |             |
|---|------------|------------|------------|------------|-------------|-------------|-------------|
|   | FY 2016    | FY 2017    | FY 2018    | FY 2019    | FY 2020     | FY 2021     | FY 2022     |
|   | (actual)   | (actual)   | (actual)   | (actual)   | (estimated) | (estimated) | (estimated) |
| Appropriation Ceiling   |            |            |            |            |             |             |             |
| Beginning Cash Balance  | 60,000,000 | 60,000,000 | 60,000,000 | 60,000,000 | 60,000,000  | 60,000,000  | 60,000,000  |
| Revenues  |            |            |            | 60,000,000 | 500,000     | 500,000     | 500,000     |
| Expenditures  | 0          | 0          | 0          | 60,000,000 | 500,000     | 500,000     | 500,000     |
| Transfers   | 0          | 0          | 0          | 0          |             |             |             |
| List each net transfer in/out/ or projection in/out; list each account number |            |            |            |            |             |             |             |
|   |            |            |            |            |             |             |             |
|   |            |            |            |            |             |             |             |
| Net Total Transfers   | 0          | 0          | 0          | 0          | 0           | 0           | 0           |
| Ending Cash Balance   | 60,000,000 | 60,000,000 | 60,000,000 | 60,000,000 | 60,000,000  | 60,000,000  | 60,000,000  |
| Encumbrances  |            |            |            |            |             |             |             |
| Unencumbered Cash Balance   | 60,000,000 | 60,000,000 | 60,000,000 | 60,000,000 | 60,000,000  | 60,000,000  | 60,000,000  |

Additional Information:

|  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|
| Amount Req. by Bond Covenants                              |  |  |  |  |  |  |  |
| Amount from Bond Proceeds                                  |  |  |  |  |  |  |  |
| Amount Held in CODs, Escrow Accounts, or Other Investments |  |  |  |  |  |  |  |

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: TRANSPORTATION (TRN)  
 Prog ID(s): TRN 301-395  
 Name of Fund: Revenue Bonds  
 Legal Authority: A345/SL 86 - A53/SL 18

Contact Name: Kendrick Au  
 Phone: 587-3666  
 Fund type (MOF) Bond Funds (E Funds)  
 Appropriation Acct. No. B-06-651-D to B-19-685-D

Intended Purpose: To Fund CIP Projects in Accordance with Bond Covenants

Source of Revenues: Revenue Bond Proceeds

Current Program Activities/Allowable Expenses: CIP Projects

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: FY2020 Bond Issuance is for a Reimbursement Bond; during FY2020 encumbrances will be transferred to Special Fund CIP Appropriation Accounts, MOF B Appn Type B. These encumbrances pertain to the KCT Land-Side Improvements project located at the KMR area of Honolulu Harbor and Design activity for Kalaeloa Barbers Point Harbor Fuel Pier and Subdivision Infrastructure Improvements.

| Financial Data  |             |             |              |             |               |             |             |
|---|-------------|-------------|--------------|-------------|---------------|-------------|-------------|
|   | FY 2016     | FY 2017     | FY 2018      | FY 2019     | FY 2020       | FY 2021     | FY 2022     |
|   | (actual)    | (actual)    | (actual)     | (actual)    | (estimated)   | (estimated) | (estimated) |
| Appropriation Ceiling   | 306,735,000 | 539,675,000 | 38,200,000   | 139,910,000 | 198,970,000   |             |             |
| Beginning Cash Balance  | 111,761,268 | 81,310,073  | 127,610,233  | 31,971,878  | 15,969,300    |             |             |
| Revenues  |             |             |              |             | 120,000,000   |             |             |
| Expenditures  | 30,451,195  | 23,699,840  | 61,498,355   | 46,729,369  | 36,289,300    |             |             |
| <b>Transfers</b>  |             |             |              |             |               |             |             |
| List each by JV# and date   |             |             |              |             |               |             |             |
| Loan from Harbor Special Fund - Cash Financing JV                 |             | 70,000,000  | 860,000      |             |               |             |             |
| Loans from Harbor State CIP Special Fund-Cash Financing JV JM6304 |             |             | 35,000,000   |             |               |             |             |
| Loan from Harbor State CIP Special Fund-Cash Financing JV JM2859  |             |             |              | 400,000     | -             |             |             |
| Loan from Harbor State CIP Special Fund-Cash Financing JV JM2860  |             |             |              | 220,000     |               |             |             |
| Loan from Harbor State CIP Special Fund-Cash Financing JV JM2859  |             |             |              | 25,000,000  |               |             |             |
| Loan from Harbor State CIP Special Fund-Cash Financing JV JM2981  |             |             |              | 4,381,791   |               |             |             |
| Loan from Harbor State CIP Special Fund-Cash Financing JV JM2859  |             |             |              | 525,000     |               |             |             |
| Loan from Harbor State CIP Special Fund-Cash Financing JV JM2860  |             |             |              | 200,000     |               |             |             |
| Loan from Harbor State CIP Special Fund-Cash Financing JV JM0087  |             |             |              |             | 150,000       |             |             |
| Loan from Harbor State CIP Special Fund-Cash Financing JV JM0332  |             |             |              |             | 20,000,000    |             |             |
| Loan from Harbor State CIP Special Fund-Cash Financing JV JM0968  |             |             |              |             | 170,000       |             |             |
| Repayment of Advances Made by Harbor Special Fund State CIP       |             |             | (70,000,000) |             | (120,000,000) |             |             |
| Net Total Transfers   | 0           | 70,000,000  | (34,140,000) | 30,726,791  | (99,680,000)  | 0           | 0           |
| Ending Cash Balance   | 81,310,073  | 127,610,233 | 31,971,878   | 15,969,300  | (0)           | 0           | 0           |

**Report on Non-General Fund Information  
for Submittal to the 2020 Legislature**

|                           |            |            |               |               |     |   |   |
|---------------------------|------------|------------|---------------|---------------|-----|---|---|
| Encumbrances              | 62,783,710 | 34,495,171 | 203,606,949   | 160,236,288   | 0   | 0 | 0 |
| Unencumbered Cash Balance | 18,526,363 | 93,115,062 | (171,635,071) | (144,266,988) | (0) | 0 | 0 |

Additional Information:

|   |  |  |  |  |  |  |  |
|---|--|--|--|--|--|--|--|
| Amount Req. by Bond Covenants                                 |  |  |  |  |  |  |  |
| Amount from Bond Proceeds                                     |  |  |  |  |  |  |  |
| Amount Held in CODs, Escrow<br>Accounts, or Other Investments |  |  |  |  |  |  |  |

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: TRANSPORTATION (TRN)  
 Prog ID(s): TRN 301-395  
 Name of Fund: Federal Operating Allot ( APPN Type A)  
 Legal Authority: Fed Fund

Contact Name: Kendrick Au  
 Phone: 587 -366  
 Fund type (MOF) Special Funds (N & V Funds)  
 Appropriation Acct. No. S-09-248-D to S-16-511-D

Intended Purpose: State Funds Match Required by Federal Grants

Source of Revenues: Loans from Harbors Special Funds

Current Program Activities/Allowable Expenses: Port Security Improvements

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances

| Financial Data                   |   |             |          |          |             |             |             |
|----------------------------------|---|-------------|----------|----------|-------------|-------------|-------------|
|                                  | FY 2016   | FY 2017     | FY 2018  | FY 2019  | FY 2020     | FY 2021     | FY 2022     |
|                                  | (actual)  | (actual)    | (actual) | (actual) | (estimated) | (estimated) | (estimated) |
| Appropriation Ceiling            |   |             |          |          |             |             |             |
| Beginning Cash Balance           | 2,075,039   | 1,023,818   | 105,456  | 1,951    | 0           | 0           | 0           |
| Revenues                         |   |             |          |          |             |             |             |
| Expenditures                     | 160,273   |             | 103,505  | 1,951    |             |             |             |
| Transfers                        | List each net transfer in/out/ or projection in/out; list each account number |             |          |          |             |             |             |
|                                  | List each by JV# and date   |             |          |          |             |             |             |
| Loan from Harbors Special Fund   |   | 103,505     |          |          |             |             |             |
| Repayment of Loans Made by Harbd | (890,948)   | (1,021,867) |          |          |             |             |             |
| Net Total Transfers              | (890,948)   | (918,362)   | 0        | 0        | 0           | 0           | 0           |
| Ending Cash Balance              | 1,023,818   | 105,456     | 1,951    | 0        | 0           | 0           | 0           |
| Encumbrances                     |   |             |          |          |             |             |             |
| Unencumbered Cash Balance        | 1,023,818   | 105,456     | 1,951    | 0        | 0           | 0           | 0           |

**Additional Information:**

|   |  |  |  |  |  |  |  |
|---|--|--|--|--|--|--|--|
| Amount Req. by Bond Covenants                                 |  |  |  |  |  |  |  |
| Amount from Bond Proceeds                                     |  |  |  |  |  |  |  |
| Amount Held in CODs, Escrow<br>Accounts, or Other Investments |  |  |  |  |  |  |  |

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: TRANSPORTATION (TRN)  
 Prog ID(s): TRN 301-395  
 Name of Fund: Federal CIP Allot (Appn Type C)  
 Legal Authority: Fed Fund - A91/SL99 -A53/SL 18

Contact Name: Kendrick Au  
 Phone: 587-3666  
 Fund type (MOF) Special Funds (N & V Funds)  
 Appropriation Acct. No. S-94-269-D to S-19-580-D

Intended Purpose: Cash Allotments to Provide for State Funds to Match Federal Grant Funds

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

| Financial Data  |             |            |          |          |             |             |             |
|---|-------------|------------|----------|----------|-------------|-------------|-------------|
|   | FY 2016     | FY 2017    | FY 2018  | FY 2019  | FY 2020     | FY 2021     | FY 2022     |
|   | (actual)    | (actual)   | (actual) | (actual) | (estimated) | (estimated) | (estimated) |
| Appropriation Ceiling   | 0           | 50,000,000 | 3,000    | 3,000    |             |             |             |
| Beginning Cash Balance  | 1,575,295   | 328,525    | 328,525  | 328,525  | 0           | 0           | 0           |
| Revenues  | 0           | 0          | 0        | 0        | 0           | 0           | 0           |
| Expenditures  | 217,450     | 0          | 0        | 328,525  | 0           | 0           | 0           |
| <b>Transfers</b>  |             |            |          |          |             |             |             |
| List each net transfer in/out/ or projection in/out; list each account number |             |            |          |          |             |             |             |
| Other Transfer  |             |            |          |          |             |             |             |
| Loans In from State Operating Allot   |             |            |          |          |             |             |             |
| Loans In from State Operating Allot TIGER ARRA                                |             |            |          |          |             |             |             |
| Repay Loans Made by Harbors Special F   | (1,029,320) |            |          |          |             |             |             |
| Net Total Transfers   | (1,029,320) | 0          | 0        | 0        | 0           | 0           | 0           |
| Ending Cash Balance   | 328,525     | 328,525    | 328,525  | (0)      | 0           | 0           | 0           |
| Encumbrances  | 75,585      | 75,585     | 75,585   | 1,062    | 1,062       | 1,062       | 1,062       |
| Unencumbered Cash Balance   | 252,940     | 252,940    | 252,940  | (1,062)  | (1,062)     | (1,062)     | (1,062)     |

**Additional Information:**

|  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|
| Amount Req. by Bond Covenants                              |  |  |  |  |  |  |  |
| Amount from Bond Proceeds                                  |  |  |  |  |  |  |  |
| Amount Held in CODs, Escrow Accounts, or Other Investments |  |  |  |  |  |  |  |



**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: TRANSPORTATION (TRN)  
 Prog ID(s): TRN 301-395  
 Name of Fund: State CIP  
 Legal Authority: A296/SL 91 - A53/SL 18

Contact Name: Kendrick Au  
 Phone: 587-3666  
 Fund type (MOF): Special Funds (B & R Funds)  
 Appropriation Acct. No. S-91-627-D to S-19-979-D

Intended Purpose: To Fund CIP Projects with Specific Appropriations

Source of Revenues: Harbors Special Fund

Current Program Activities/Allowable Expenses: CIP Projects

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: FY2018 CIP expenditures for projects funded by Harbors Cash Balances, other than Harbors Modernization Projects, are planned to proceed.

| Financial Data   |            |            |              |              |             |             |             |
|--|------------|------------|--------------|--------------|-------------|-------------|-------------|
|  | FY 2016    | FY 2017    | FY 2018      | FY 2019      | FY 2020     | FY 2021     | FY 2022     |
|  | (actual)   | (actual)   | (actual)     | (actual)     | (estimated) | (estimated) | (estimated) |
| Appropriation Ceiling  | 4,575,000  | 24,470,000 | 8,197,000    | 148,425,000  | 30,000,000  | 30,000,000  | 30,000,000  |
| Beginning Cash Balance   | 35,641,152 | 40,939,836 | 39,217,990   | 138,063,698  | 127,079,672 | 140,428,822 | 30,319,466  |
| Revenues   | 900,000    |            |              |              |             |             |             |
| Expenditures   | 4,790,326  | 6,651,846  | 23,684,803   | 23,259,767   | 140,330,850 | 161,109,356 | 113,748,250 |
| Transfers  |            |            |              |              |             |             |             |
| List each net transfer in/out/ or projection in/out; list each account number    |            |            |              |              |             |             |             |
| Transfers In from Harbors Special Fund via Allotment Advices                     | 9,189,010  | 4,930,000  |              |              |             |             |             |
| Advances fm Harbors Special Fund JS1049  |            |            | 34,000,000   |              |             |             |             |
| Advances fm Harbors Special Fund JS1723  |            |            | 29,000,000   |              |             |             |             |
| Trf fm Harbors Special Fund for KMR Land FAMIS JV JM3034                         |            |            | 32,820,000   |              |             |             |             |
| Loan to Special Funds CIP - Land Related Items JV JM3034                         |            |            | 3,180,000    |              |             |             |             |
| Trf to Harbors State CIP - Cash Financing FAMIS JV JS3908                        |            |            | 15,000,000   |              |             |             |             |
| Trf to Harbors State CIP - Cash Financing FAMIS JV JS3909                        |            |            | 15,590,511   |              |             |             |             |
| Trf to Harbors State CIP - Cash Financing FAMIS JV JS4754                        |            |            | 100,000      |              |             |             |             |
| Trf to Harbors State CIP - Cash Financing FAMIS JV JS6082                        |            |            | 24,000,000   |              |             |             |             |
| Allotment Advices #18-0052, #18-0292 & #18-0576                                  |            |            | 4,400,000    |              |             |             |             |
| Advances to Revenue Bond Fund-Cash Financing JV JM6303                           |            |            | (560,000)    |              |             |             |             |
| Advances to Revenue Bond Fund-Cash Financing JV JM6304                           |            |            | (35,000,000) |              |             |             |             |
| Net Transfer / Reversion of CIP Funds to Harbors Special Fund S-19-341-D         |            |            |              | (8,814,513)  |             |             |             |
| Trf to Harbors State CIP for Construction Mgmt FAMIS JV JS4712                   |            |            |              | 300,000      |             |             |             |
| Trf to Harbors State CIP to Extinguish Servco Easement FAMIS JV JS5139           |            |            |              | 1,254,279    |             |             |             |
| Trf to Harbors State CIP - Cash Financing FAMIS JV JS1979                        |            |            |              | 29,262,766   |             |             |             |
| Trf to Harbors State CIP - Cash Financing FAMIS JV JS4862                        |            |            |              | 21,000,000   |             |             |             |
| Trf fm Harbors Special Fund to CIP per FY20 Multi-Year                           |            |            |              |              | 54,000,000  | 51,000,000  | 97,500,000  |
| Loan to Rev Bond Fund frm Harbor State CIP Special Fund-Cash Financing JV JM2859 |            |            |              | (400,000)    |             |             |             |
| Loan to Rev Bond Fund frm Harbor State CIP Special Fund-Cash Financing JV JM2860 |            |            |              | (220,000)    |             |             |             |
| Loan to Rev Bond Fund frm Harbor State CIP Special Fund-Cash Financing JV JM2859 |            |            |              | (25,000,000) |             |             |             |
| Loan to Rev Bond Fund frm Harbor State CIP Special Fund-Cash Financing JV JM2981 |            |            |              | (4,381,791)  |             |             |             |
| Loan to Rev Bond Fund frm Harbor State CIP Special Fund-Cash Financing JV JM2859 |            |            |              | (525,000)    |             |             |             |

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

|  |            |            |             |             |               |               |              |  |
|--|------------|------------|-------------|-------------|---------------|---------------|--------------|--|
| Loan to Rev Bond Fund frm Harbor State CIP Special Fund-Cash Financing JV JM0087 |            |            |             | (200,000)   |               |               |              |  |
| Loan to Rev Bond Fund frm Harbor State CIP Special Fund-Cash Financing JV JM0087 |            |            |             |             | (150,000)     |               |              |  |
| Loan to Rev Bond Fund frm Harbor State CIP Special Fund-Cash Financing JV JM0332 |            |            |             |             | (20,000,000)  |               |              |  |
| Loan to Rev Bond Fund frm Harbor State CIP Special Fund-Cash Financing JV JM0968 |            |            |             |             | (170,000)     |               |              |  |
|  |            |            |             |             |               |               |              |  |
| Proceeds from Reimbursement Bond Fund fm Revenue Bond Fund                       |            |            |             |             | 120,000,000   | -             | -            |  |
| Net Total Transfers  | 9,189,010  | 4,930,000  | 122,530,511 | 12,275,741  | 153,680,000   | 51,000,000    | 97,500,000   |  |
|  |            |            |             |             |               |               |              |  |
| Ending Cash Balance  | 40,939,836 | 39,217,990 | 138,063,698 | 127,079,672 | 140,428,822   | 30,319,466    | 14,071,216   |  |
|  |            |            |             |             |               |               |              |  |
| Encumbrances   | 18,841,578 | 17,091,444 | 50,650,003  | 42,392,883  | 358,425,033   | 197,315,677   | 83,567,427   |  |
|  |            |            |             |             |               |               |              |  |
| Unencumbered Cash Balance  | 22,098,258 | 22,126,546 | 87,413,695  | 84,686,789  | (217,996,211) | (166,996,211) | (69,496,211) |  |

Additional Information:

|   |  |  |  |  |  |  |  |  |
|---|--|--|--|--|--|--|--|--|
| Amount Req. by Bond Covenants                                 |  |  |  |  |  |  |  |  |
|   |  |  |  |  |  |  |  |  |
| Amount from Bond Proceeds                                     |  |  |  |  |  |  |  |  |
|   |  |  |  |  |  |  |  |  |
| Amount Held in CODs, Escrow<br>Accounts, or Other Investments |  |  |  |  |  |  |  |  |

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: TRANSPORTATION (TRN)  
 Prog ID(s): TRN 301-395  
 Name of Fund: Revolving Funds  
 Legal Authority: Bond Resoln, §39-61 HRS & §266-19 HRS

Contact Name: Kendrick Au  
 Phone: 587-3666  
 Fund type (MOF): Special Funds (W & B Funds)  
 Appropriation Acct. No.: S-97-386-D to S-20-349-D

Intended Purpose: Debt Service Cash Reserves to Comply With Bond Certificate of the DOT Director for Revenue Bonds Issued

Source of Revenues: Transfers of Funds from TRN 395 (Harbors Administration Operating Unit)

Current Program Activities/Allowable Expenses: Repay Revenue Bond Debt

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Balances transferred during FY2020 due to the Office of the Auditor Report 19-05 Review of Special Funds, Revolving Funds, Trust Funds and Trust Accounts of DOT, which recommended that Harbors transfer the cash balances in its Revolving Funds to Trust Accounts, as Trust Accounts were more appropriate than Revolving Funds.

| Financial Data   |            |            |            |            |              |             |             |
|--|------------|------------|------------|------------|--------------|-------------|-------------|
|  | FY 2016    | FY 2017    | FY 2018    | FY 2019    | FY 2020      | FY 2021     | FY 2022     |
|  | (actual)   | (actual)   | (actual)   | (actual)   | (estimated)  | (estimated) | (estimated) |
| Appropriation Ceiling  |            |            |            |            |              |             |             |
| Beginning Cash Balance   | 42,836,819 | 43,030,157 | 42,450,070 | 41,728,306 | 43,200,410   | 0           | 0           |
| Revenues   | 31,401,847 | 30,596,323 | 28,392,098 | 28,936,454 | 7,302,399    |             |             |
| Revenues per for Debt Svc Reserve FY20 MultiYear   |            |            |            | 0          | 0            | 0           | 0           |
| Expenditures   | 31,186,685 | 31,176,410 | 29,113,862 | 28,936,451 | 18,422,719   | 0           | 0           |
| Transfers  |            |            |            |            |              |             |             |
| List each net transfer in/out/ or projection in/out; list each account number  |            |            |            |            |              |             |             |
| Transfer to Increase Stores Acct   | (21,824)   |            |            |            |              |             |             |
| Trfs for Debt Svc Reserve:   |            |            |            | 1,472,101  |              |             |             |
| Amounts Held in Reserve per 10th Suppl Certificate, 2019 Bond Issue  | 0          | 0          | 0          | 0          | 0            |             |             |
| Transfer to Operating Trust Accounts; Revolving Account Closed in Accordance with recommendation stated in the Office of the Auditor Report 19-05, Review of Special Funds, Revolving Funds, Trust Funds and Trust Accounts of DOT |            |            |            |            | (32,080,090) |             |             |
| Net Total Transfers  | 0          | 0          | 0          | 1,472,101  | (32,080,090) | 0           | 0           |
| Ending Cash Balance  | 43,051,981 | 42,450,070 | 41,728,306 | 43,200,410 | 0            | 0           | 0           |
| Encumbrances   |            |            |            |            |              |             |             |
| Unencumbered Cash Balance  | 43,051,981 | 42,450,070 | 41,728,306 | 43,200,410 | 0            | 0           | 0           |

Additional Information:

|  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|
| Amount Req. by Bond Covenants                              |  |  |  |  |  |  |  |
| Amount from Bond Proceeds                                  |  |  |  |  |  |  |  |
| Amount Held in CODs, Escrow Accounts, or Other Investments |  |  |  |  |  |  |  |

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: TRANSPORTATION (TRN)  
 Prog ID(s): TRN 301-395  
 Name of Fund: Harbor Special Fund  
 Legal Authority: §266-19 HRS

Contact Name: Kendrick Au  
 Phone: 587-3666  
 Fund type (MOF): Special Funds (B Funds)  
 Appropriation Acct. No.: S-13-341-D to S-19-341-D

Intended Purpose: Parent Fund for the Commercial Harbors System into

Source of Revenues: User Fees Assessed to Harbor Users, Primarily Wharfage Fees, Port Entry Fees, Dockage Fees and Rents

Current Program Activities/Allowable Expenses: Transfers of Funds to Operating Units and for Cash Funded CIP Projects

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

| Financial Data  |             |             |              |             |             |             |             |
|---|-------------|-------------|--------------|-------------|-------------|-------------|-------------|
|   | FY 2016     | FY 2017     | FY 2018      | FY 2019     | FY 2020     | FY 2021     | FY 2022     |
|   | (actual)    | (actual)    | (actual)     | (actual)    | (estimated) | (estimated) | (estimated) |
| Appropriation Ceiling   | 109,577,898 | 109,259,801 | 114,937,676  | 122,143,449 | 124,099,187 | 124,216,827 | 124,216,827 |
| Beginning Cash Balance  | 144,140,819 | 145,442,722 | 91,604,678   | 126,584,712 | 135,814,451 | 131,518,917 | 137,776,096 |
| Revenues  | 120,043,711 | 131,996,682 | 152,514,825  | 178,779,063 |             |             |             |
| Revenues Estimates Reflect Revenues per FY20 MYF <sup>2</sup>                 |             |             |              | 0           | 176,036,000 | 183,751,000 | 191,646,000 |
| Expenditures  | 0           | 0           | 0            | 0           | 0           | 0           | 0           |
| <b>Transfers</b>  |             |             |              |             |             |             |             |
| List each net transfer in/out/ or projection in/out; list each account number |             |             |              |             |             |             |             |
| Loans of Harbor Special Funds to Harbor CIP Funds JV1049                      |             |             | (34,000,000) |             |             |             |             |
| Loans of Harbor Special Funds to Harbor CIP Funds JS1723                      |             |             | (29,000,000) |             |             |             |             |
| Trf to Harbors State CIP for KMR Land FAMIS JV JM3034                         |             |             | (32,820,000) |             |             |             |             |
| Trf to Harbors State CIP for Construction Mgmt FAMIS JV JS4712                |             |             |              | (300,000)   |             |             |             |
| Trf to Harbors State CIP to Extinguish Servco Easement FAMIS JV JS5139        |             |             |              | (1,254,279) |             |             |             |
| Loan to Special Funds CIP for Land Related Items JV JM3034                    |             |             | (3,180,000)  |             |             |             |             |
| Trf to Harbors State CIP - Cash Financing FAMIS JV JS3908                     |             |             | (15,000,000) |             |             |             |             |
| Trf to Harbors State CIP - Cash Financing FAMIS JV JS3909                     |             |             | (15,590,511) |             |             |             |             |
| Trf to Harbors State CIP - Cash Financing FAMIS JV JS4754                     |             |             | (100,000)    |             |             |             |             |
| Trf to Harbors State CIP - Cash Financing FAMIS JV JS6082                     |             |             | (24,000,000) |             |             |             |             |

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|  |               |               |               |               |               |               |               |
|--|---------------|---------------|---------------|---------------|---------------|---------------|---------------|
| Trf to Harbors State CIP - Cash Financing FAMIS JV JS1979                  |               |               |               | (29,262,766)  |               |               |               |
| Trf to Harbors State CIP - Cash Financing FAMIS JV JS4862                  |               |               |               | (21,000,000)  |               |               |               |
| Trf to Rectify Harbors Bond Principal Debt Reserve Balance FAMIS JV JS5870 |               |               |               | (1,754,998)   |               |               |               |
| Trf to Rectify Harbors Bond Interest Debt Reserve Balance FAMIS JV JS5871  |               |               |               | 282,897       |               |               |               |
| Transfers to State CIP Allotment Advices #18-0052, #18-0292 & #18-0576     |               |               | (4,400,000)   |               |               |               |               |
| Net Transfer / Reversion of Lapsed O&M Funds JV JS0207                     |               |               | 9,795         |               |               |               |               |
| Net Transfer / Reversion of Lapsed O&M Funds JV JS1723                     |               |               | 21,228,669    |               |               |               |               |
| Net Transfer / Reversion of Lapsed O&M Funds JV JS1743                     |               |               | 13,844,201    |               |               |               |               |
| Net Transfer / Reversion of Lapsed O&M Funds JV JS1744                     |               |               | 17,721,615    |               |               |               |               |
| Net Transfer / Reversion of Lapsed O&M Funds JV JS1786                     |               |               | 1,559,476     |               |               |               |               |
| Net Transfer / Reversion of Lapsed O&M Funds JV JS3598                     |               |               | 23,700,963    |               |               |               |               |
| Net Transfer / Reversion of Lapsed O&M Funds JV JS3594                     |               |               | 171,681       |               |               |               |               |
| Net Transfer / Reversion of Lapsed O&M Funds JV JS3595                     |               |               | 412,255       |               |               |               |               |
| Net Transfer / Reversion of Lapsed O&M Funds JV JS3596                     |               |               | 9,087,031     |               |               |               |               |
| Net Transfer / Reversion of Lapsed Restricted Funds FAMIS JV JS0589        |               |               |               | 133,160       |               |               |               |
| Net Transfer / Reversion of Lapsed Restricted Funds FAMIS JV JS0590        |               |               |               | 145,700       |               |               |               |
| Net Transfer / Reversion of Lapsed Restricted Funds FAMISJV JS0591         |               |               |               | 1,451,051     |               |               |               |
| Net Transfer / Reversion of Lapsed Restricted Funds FAMIS JV JS0675        |               |               |               | 201,083       |               |               |               |
| Net Transfer / Reversion of Lapsed Restricted Funds FAMIS JV JS2181        |               |               |               | 644,625       |               |               |               |
| Net Transfer / Reversion of Lapsed Restricted Funds FAMIS JV JS5464        |               |               |               | 582,754       |               |               |               |
| Net Transfer / Reversion of Lapsed Restricted Funds FAMIS JV JS5587        |               |               |               | 4,156,140     |               |               |               |
| Net Transfer / Reversion of Lapsed Restricted Funds FAMIS JV JS5979        |               |               |               | 1,500,000     |               |               |               |
| FAMIS JV JS2180 to facilitate transfer of interest to Aloha Tower Fund     |               |               |               | 6             |               |               |               |
| Repay Loans to Fed Operating Funds Appn Type A FA                          | 890,948       |               |               |               |               |               |               |
| Repay Loans to Fed CIP Appn Type C fm Special Fd F                         | 303,741       |               |               |               |               |               |               |
| Repay Loans to Fed CIP Appn Type C fm Special Fd F                         | 725,579       |               |               |               |               |               |               |
| Repay Loans to Fed Operating Funds Appn Type A FAMIS JV JS0824             |               |               |               | 103,505       |               |               |               |
| Trf to State Operating Funds - Actual                                      |               |               | (29,795)      |               |               |               |               |
| Loans of Harbor Special Funds to Revenue Bond Funds JV JM6604              |               |               | (300,000)     |               |               |               |               |
| Net Transfer / Reversion of CIP Funds                                      |               | 300,000       |               |               |               |               |               |
| Transfers to State CIP   |               | (4,930,000)   |               |               | (54,000,000)  | (51,000,000)  | (97,500,000)  |
| Loans to State CIP per FY19 Multi-Year                                     |               |               | 0             | 0             |               |               |               |
| Trf to Program Appropriations for Harbors Operations                       | (109,749,442) | (109,936,123) | (114,937,676) | (122,997,650) | (124,099,187) | (124,216,827) | (124,216,827) |
| Transfer from Revolving Apprn - Stores Acct                                | 21,824        |               |               |               |               |               |               |
| Transfer of Departmental Pro-Rata Share to TRN 995 H                       | (2,473,647)   | (2,557,828)   | (1,912,495)   | (2,180,552)   | (2,232,347)   | (2,276,994)   | (2,322,534)   |
| Trf to State CIP Restricted Cash via Allotment Advices                     | (9,189,010)   | 0             |               |               |               |               |               |
| Other Transfer Departmental Pro-Rata Share to TRN 9                        | 728,199       | 486,863       | 0             |               |               |               |               |
| Other Transfers - Claims Against the State JV#JS5574                       |               | (116,000)     |               |               |               |               |               |
| Loans Out to Fed Operating Funds Appropriation Type A                      |               | (103,505)     |               |               |               |               |               |
| Repayment of Loans Made to Federal Appropriation Type A                    |               | 1,021,867     |               |               |               |               |               |
| Loans of Special Funds to Fund Revenue Bond Fund Appropriations            |               | (70,000,000)  |               | 0             |               |               |               |
| Repayment of Advances Made to Bond Fund Appropriations                     |               |               | 70,000,000    |               |               |               |               |
|  |               |               |               |               |               |               |               |
| Net Total Transfers  | (118,741,808) | (185,834,726) | (117,534,791) | (169,549,324) | (180,331,534) | (177,493,821) | (224,039,361) |
|  |               |               |               |               |               |               |               |
| Ending Cash Balance  | 145,442,722   | 91,604,678    | 126,584,712   | 135,814,451   | 131,518,917   | 137,776,096   | 105,382,735   |

**Report on Non-General Fund Information**  
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|                           |             |            |             |             |             |             |             |  |
|---------------------------|-------------|------------|-------------|-------------|-------------|-------------|-------------|--|
| Encumbrances              |             |            |             |             |             |             |             |  |
| Unencumbered Cash Balance | 145,442,722 | 91,604,678 | 126,584,712 | 135,814,451 | 131,518,917 | 137,776,096 | 105,382,735 |  |

**Additional Information:**

|   |  |  |  |  |  |  |  |
|---|--|--|--|--|--|--|--|
| Amount Req. by Bond Covenants                                 |  |  |  |  |  |  |  |
| Amount from Bond Proceeds                                     |  |  |  |  |  |  |  |
| Amount Held in CODs, Escrow<br>Accounts, or Other Investments |  |  |  |  |  |  |  |

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: TRANSPORTATION (TRN)  
 Prog ID(s): TRN 301-395  
 Name of Fund: State Operating Allotment  
 Legal Authority: A345/SL86 - A53/SL 18

Contact Name: Kendrick Au  
 Phone: 587-3666  
 Fund type (MOF): Special Funds (B Funds)  
 Appropriation Acct. No. S-87-055-D to S-19-055-D

Intended Purpose: Funds Appropriated by Legislature for the Operating Costs of the State of Hawaii Commercial Harbors System

Source of Revenues: Transfers of Funds from the Harbors Special Fund

Current Program Activities/Allowable Expenses: Operating Costs of the Commercial Harbors System

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Increase in projected expenditures for FY2019 over FY2018 actuals due to FY19 expenditures of \$15 million for Special Maintenance Projects versus FY18 Expenditures of \$12 Million. FY19 payment to DOT AIR for Back Rents for KMR Parcels of approximately \$4.2 Million. FY19 Personal Services Cost increase of approximately \$4.5 Million versus FY18 costs.

| Financial Data  |              |              |              |              |              |              |              |
|---|--------------|--------------|--------------|--------------|--------------|--------------|--------------|
|   | FY 2016      | FY 2017      | FY 2018      | FY 2019      | FY 2020      | FY 2021      | FY 2022      |
|   | (actual)     | (actual)     | (actual)     | (actual)     | (estimated)  | (estimated)  | (estimated)  |
| Appropriation Ceiling   | 109,577,898  | 109,143,801  | 114,937,676  | 122,143,449  | 124,274,059  | 124,216,827  | 124,216,827  |
| Beginning Cash Balance  | 62,264,535   | 89,331,325   | 117,326,954  | 59,876,992   | 90,048,857   | 128,225,640  | 127,516,659  |
| Revenues  | 57,691       | 67,714       | 36,222       | 50,205       | 0            | 0            | 0            |
| Expenditures  | 47,957,843   | 48,147,065   | 52,945,253   | 60,558,722   | 46,101,621   | 81,178,044   | 81,178,044   |
| <b>Transfers</b>  |              |              |              |              |              |              |              |
| List each net transfer in/out/ or projection in/out; list each account number             |              |              |              |              |              |              |              |
| Trf from Harbors Special Fund S-FY-341-D to Program Appropriations for Harbors Operations | 109,749,442  | 110,052,123  | 114,937,676  | 122,997,650  | 124,099,187  | 124,216,827  | 124,216,827  |
| Debt Service - Reimbursable General Obligation Bonds                                      | (3,380,653)  | (3,380,820)  | (3,380,618)  | (3,380,808)  | (3,380,783)  | (3,380,764)  | (3,380,638)  |
| Net Transfer / Reversion of CIP Funds   |              |              |              |              |              |              |              |
| Trfs to Debt Svc Reserve  | (31,401,847) | (30,596,323) | (28,392,098) | (28,936,454) |              |              |              |
| Trfs for Debt Svc Reserve FY20 MultiYear  |              |              | 0            |              | (36,440,000) | (40,367,600) | (41,386,000) |
| Net Transfer / Reversion of Lapsed O&M Funds JV JS0207                                    |              |              | (9,795)      |              |              |              |              |
| Net Transfer / Reversion of Lapsed O&M Funds JV JS1723                                    |              |              | (21,228,669) |              |              |              |              |
| Net Transfer / Reversion of Lapsed O&M Funds JV JS1743                                    |              |              | (13,844,201) |              |              |              |              |
| Net Transfer / Reversion of Lapsed O&M Funds JV JS1744                                    |              |              | (17,721,615) |              |              |              |              |
| Net Transfer / Reversion of Lapsed O&M Funds JV JS1786                                    |              |              | (1,559,476)  |              |              |              |              |
| Net Transfer / Reversion of Lapsed O&M Funds JV JS3598                                    |              |              | (23,700,963) |              |              |              |              |
| Net Transfer / Reversion of Lapsed O&M Funds JV JS3594                                    |              |              | (171,681)    |              |              |              |              |
| Net Transfer / Reversion of Lapsed O&M Funds JV JS3595                                    |              |              | (412,255)    |              |              |              |              |
| Net Transfer / Reversion of Lapsed O&M Funds JV JS3596                                    |              |              | (9,087,031)  |              |              |              |              |
| Trf from State Harbors Special Funds - Actual   |              |              | 29,795       |              |              |              |              |
| FAMIS JV JS2180 to facilitate transfer of interest to Aloha Tower Fund                    |              |              |              | (6)          |              |              |              |
| Net Total Transfers   | 74,966,942   | 76,074,980   | (4,540,931)  | 90,680,382   | 84,278,404   | 80,469,063   | 79,450,189   |
| Ending Cash Balance   | 89,331,325   | 117,326,954  | 59,876,992   | 90,048,857   | 128,225,640  | 127,516,659  | 125,788,804  |
| Encumbrances  | 27,695,890   | 30,032,396   | 35,821,370   | 38,924,649   | 35,821,370   | 35,821,370   | 35,821,370   |
| Unencumbered Cash Balance   | 61,635,435   | 87,294,558   | 24,055,622   | 51,124,208   | 92,404,270   | 91,695,289   | 89,967,434   |

**Additional Information:**

|  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|
| Amount Req. by Bond Covenants                              |  |  |  |  |  |  |  |
| Amount from Bond Proceeds                                  |  |  |  |  |  |  |  |
| Amount Held in CODs, Escrow Accounts, or Other Investments |  |  |  |  |  |  |  |

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: TRANSPORTATION (TRN)  
 Prog ID(s): TRN 301-395  
 Name of Fund: Operating Trust  
 Legal Authority: \_\_\_\_\_

Contact Name: Kendrick Au  
 Phone: 587-3666  
 Fund type (MOF) Trust & Agency (T Funds)  
 Appropriation Acct. No. T-93-941-D to T-20-941-D

Intended Purpose: Security Deposits from Leases and Contracts & Insurance Proceeds for Kawaihae Harbor Earthquake Damage

Source of Revenues: Insurance Proceeds for Kawaihae Harbor Earthquake Damage Received in FY08 and Security Deposits

Current Program Activities/Allowable Expenses: N/A

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

| Financial Data  |            |            |            |            |             |             |             |
|---|------------|------------|------------|------------|-------------|-------------|-------------|
|   | FY 2016    | FY 2017    | FY 2018    | FY 2019    | FY 2020     | FY 2021     | FY 2022     |
|   | (actual)   | (actual)   | (actual)   | (actual)   | (estimated) | (estimated) | (estimated) |
| Appropriation Ceiling   |            |            |            |            |             |             |             |
| Beginning Cash Balance  | 2,636,830  | 4,601,712  | 5,865,361  | 5,366,200  | 3,884,184   | 3,884,184   | 3,884,184   |
| Revenues  | 14,035,705 | 14,818,397 | 10,754,544 | 16,890,564 | 15,100,000  | 15,100,000  | 15,100,000  |
| Expenditures-Pmnts to OHA & Others  | 12,000,921 | 13,539,173 | 11,205,635 | 18,372,580 | 15,100,000  | 15,100,000  | 15,100,000  |
| Expenditures - Payments to Refund   | 69,902     | 15,575     | 48,070     | 0          | 0           | 0           | 0           |
| Transfers   |            |            |            |            |             |             |             |
| List each net transfer in/out/ or projection in/out; list each account number |            |            |            |            |             |             |             |
|   |            |            |            |            |             |             |             |
| Net Total Transfers   | 0          | 0          | 0          | 0          | 0           | 0           | 0           |
| Ending Cash Balance   | 4,601,712  | 5,865,361  | 5,366,200  | 3,884,184  | 3,884,184   | 3,884,184   | 3,884,184   |
| Encumbrances  | 2,000      | 2,000      | 2,000      | 0          | 0           | 0           | 0           |
| Unencumbered Cash Balance   | 4,599,712  | 5,863,361  | 5,364,200  | 3,884,184  | 3,884,184   | 3,884,184   | 3,884,184   |

**Additional Information:**

|  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|
| Amount Req. by Bond Covenants                              |  |  |  |  |  |  |  |
| Amount from Bond Proceeds                                  |  |  |  |  |  |  |  |
| Amount Held in CODs, Escrow Accounts, or Other Investments |  |  |  |  |  |  |  |



**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: TRANSPORTATION (TRN)  
 Prog ID(s): TRN 301-395  
 Name of Fund: Operating Trust  
 Legal Authority: \_\_\_\_\_

Contact Name: Kendrick Au  
 Phone: 5 8-3666  
 Fund type (MOF) Trust & Agency (T Funds)  
 Appropriation Acct. No. T-20-946-D to T-20-949-D

Intended Purpose: Debt Service Cash Reserves to Comply With Bond Certificate of the DOT Director for Revenue Bonds Issued

Source of Revenues: Transfers of Funds from TRN 395 (Harbors Administration Operating Unit)

Current Program Activities/Allowable Expenses: Repay Revenue Bond Debt

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Balances transferred during FY2020 from Harbors Revolving Funds due to the Office of the Auditor Report 19-05 Review of Special Funds, Revolving Funds, Trust Funds and Trust Accounts of DOT, which recommended that Harbors transfer the cash balances in its Revolving Funds to Trust Accounts, as Trust Accounts were more appropriate than Revolving Funds.

| Financial Data  |          |          |          |          |             |             |             |
|---|----------|----------|----------|----------|-------------|-------------|-------------|
|   | FY 2016  | FY 2017  | FY 2018  | FY 2019  | FY 2020     | FY 2021     | FY 2022     |
|   | (actual) | (actual) | (actual) | (actual) | (estimated) | (estimated) | (estimated) |
| Appropriation Ceiling   |          |          |          |          |             |             |             |
| Beginning Cash Balance  | 0        | 0        | 0        | 0        | 0           | 32,080,090  | 32,080,090  |
| Revenues  | 0        | 0        | 0        | 0        | 36,440,000  | 40,367,000  | 41,386,000  |
| Expenditures-Pmts to OHA & Others   | 0        | 0        | 0        | 0        | 0           |             |             |
| Expenditures - Payments to Refund Tenant Security   | 0        | 0        | 0        | 0        | 36,440,000  | 40,367,000  | 41,386,000  |
| Transfers   |          |          |          |          |             |             |             |
| List each net transfer in/out/ or projection in/out; list each account number   |          |          |          |          |             |             |             |
| Transfer from Revolving Accounts Closed to Comply with recommendation stated in the Office of the Auditor Report 19-05, Review of Special Funds, Revolving Funds, Trust Funds and Trust Accounts of DOT |          |          |          |          | 32,080,090  |             |             |
| Net Total Transfers   | 0        | 0        | 0        | 0        | 32,080,090  | 0           | 0           |
| Ending Cash Balance   | 0        | 0        | 0        | 0        | 32,080,090  | 32,080,090  | 32,080,090  |
| Encumbrances  | 0        | 0        | 0        | 0        | 0           | 0           | 0           |
| Unencumbered Cash Balance   | 0        | 0        | 0        | 0        | 32,080,090  | 32,080,090  | 32,080,090  |

Additional Information:

|  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|
| Amount Req. by Bond Covenants                              |  |  |  |  |  |  |  |
| Amount from Bond Proceeds                                  |  |  |  |  |  |  |  |
| Amount Held in CODs, Escrow Accounts, or Other Investments |  |  |  |  |  |  |  |

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: Transportation - Highways  
 Prog ID(s): TRN 501-595  
 Name of Fund: Revenue Bonds  
 Legal Authority: Various (Latest: ACT 122 SLH 2014)

Contact Name: Alma Calucag  
 Phone: 587-2256  
 Fund type (MOF) Revenue Bond Funds (E)  
 Appropriation Acct. No. B-00-457; B-00-459; B-00-462; B-00-470, others

Intended Purpose:

Source of Revenues:

Issuance of Highway Revenue Bonds  
 Current Program Activities/Allowable Expenses:  
 Roadway - Design, ROW, Construction and Planning Programs  
 Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

| Financial Data  |              |             |              |              |             |              |             |
|---|--------------|-------------|--------------|--------------|-------------|--------------|-------------|
|   | FY 2016      | FY 2017     | FY 2018      | FY 2019      | FY 2020     | FY 2021      | FY 2022     |
|   | (actual)     | (actual)    | (actual)     | (actual)     | (estimated) | (estimated)  | (estimated) |
| Appropriation Ceiling   | 89,538,000   | 75,344,000  | 255,900,000  | 180,575,000  | 298,100,000 | 630,865,000  | 107,743,000 |
| Beginning Cash Balance  | 85,377,254   | 47,276,159  | 135,007,272  | 83,129,748   | 44,227,430  | 107,140,601  | 69,868,338  |
| Revenues  | 0            | 120,503,062 | 0            | 0            | 100,000,000 | 0            | 120,000,000 |
| Expenditures  | 38,101,095   | 32,721,949  | 48,367,524   | 36,902,318   | 37,086,829  | 37,272,263   | 37,458,625  |
| Transfers   |              |             |              |              |             |              |             |
| List each net transfer in/out/ or projection in/out; list each account number |              |             |              |              |             |              |             |
|   |              | (50,000)    | (3,510,000)  | (2,000,000)  |             |              |             |
| Net Total Transfers   | 0            | (50,000)    | (3,510,000)  | (2,000,000)  | 0           | 0            | 0           |
| Ending Cash Balance   | 47,276,159   | 135,007,272 | 83,129,748   | 44,227,430   | 107,140,601 | 69,868,338   | 152,409,713 |
| Encumbrances  | 139,113,962  | 87,586,559  | 130,461,078  | 96,208,368   | 96,689,410  | 97,172,857   | 97,658,721  |
| Unencumbered Cash Balance   | (91,837,803) | 47,420,713  | (47,331,330) | (51,980,938) | 10,451,191  | (27,304,519) | 54,750,992  |

Additional Information:

|  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|
| Amount Req. by Bond Covenants                              |  |  |  |  |  |  |  |
| Amount from Bond Proceeds                                  |  |  |  |  |  |  |  |
| Amount Held in CODs, Escrow Accounts, or Other Investments |  |  |  |  |  |  |  |

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: Transportation - Highways  
 Prog ID(s): TRN 501-597  
 Name of Fund: Federal Operating Allotment  
 Legal Authority: FHS Act PL 89-564, 1966/HRS 286-2

Contact Name: A Ina Calucag  
 Phone: 587-2256  
 Fund type (MOF): Other Federal Funds  
 Appropriation Acct. No. S-xx-203; S-xx-204; S-xx-205; S-xx-206; S-xx-215; S-xx-221; S-xx-225; S-xx-226; S-xx-501

Intended Purpose:

Source of Revenues:

- Federal Reimbursements
- Current Program Activities/Allowable Expenses:
  - Federal Reimbursement - Federal Clearing Accounts for O&M and county pass thru funds
- Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

| Financial Data  |              |              |              |               |             |             |             |
|---|--------------|--------------|--------------|---------------|-------------|-------------|-------------|
|   | FY 2016      | FY 2017      | FY 2018      | FY 2019       | FY 2020     | FY 2021     | FY 2022     |
|   | (actual)     | (actual)     | (actual)     | (actual)      | (estimated) | (estimated) | (estimated) |
| Appropriation Ceiling   | 19,709,737   | 21,751,705   | 21,665,564   | 23,134,276    | 31,416,293  | 22,037,440  | 20,377,840  |
| Beginning Cash Balance  | 17,715,551   | 18,776,368   | 19,873,343   | 20,232,671    | 26,998,769  | 231,686,048 | 437,396,764 |
| Revenues  | 38,026,235   | 33,311,777   | 19,218,751   | 210,762,315   | 211,816,127 | 212,875,207 | 213,939,583 |
| Expenditures  | 4,847,273    | 4,972,479    | 4,013,084    | 7,093,381     | 7,128,848   | 7,164,492   | 7,200,314   |
| <b>Transfers</b>  |              |              |              |               |             |             |             |
| List each net transfer in/out/ or projection in/out; list each account number |              |              |              |               |             |             |             |
|   | (32,118,145) | (27,242,323) | (14,846,339) | (196,902,836) |             |             |             |
| Net Total Transfers   | (32,118,145) | (27,242,323) | (14,846,339) | (196,902,836) | 0           | 0           | 0           |
| Ending Cash Balance   | 18,776,368   | 19,873,343   | 20,232,671   | 26,998,769    | 231,686,048 | 437,396,764 | 644,136,033 |
| Encumbrances  | 4,862,187    | 4,810,241    | 7,219,637    | 6,037,281     | 6,067,467   | 6,097,805   | 6,128,294   |
| Unencumbered Cash Balance   | 13,914,181   | 15,063,102   | 13,013,034   | 20,961,488    | 225,618,581 | 431,298,959 | 638,007,739 |

Additional Information:

|  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|
| Amount Req. by Bond Covenants                              |  |  |  |  |  |  |  |
| Amount from Bond Proceeds                                  |  |  |  |  |  |  |  |
| Amount Held in CODs, Escrow Accounts, or Other Investments |  |  |  |  |  |  |  |

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: Transportation - Highways  
 Prog ID(s): TRN 501-595  
 Name of Fund: State CIP  
 Legal Authority: Various (Latest: ACT 122, SLH 2014)

Contact Name: Alma Calucag  
 Phone: 587-2256  
 Fund type (MOF): Special Funds (including county and private) (B,R,S)  
 Appropriation Acct. No. Various Appropriations

Intended Purpose:

Source of Revenues:

Special Funds

Current Program Activities/Allowable Expenses:

Roadway - Design, ROW, Construction and Planning Programs

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

| Financial Data  |              |             |              |             |              |              |              |
|---|--------------|-------------|--------------|-------------|--------------|--------------|--------------|
|   | FY 2016      | FY 2017     | FY 2018      | FY 2019     | FY 2020      | FY 2021      | FY 2022      |
|   | (actual)     | (actual)    | (actual)     | (actual)    | (estimated)  | (estimated)  | (estimated)  |
| Appropriation Ceiling   | 17,266,000   | 53,000,000  | 16,000,000   | 16,000,000  | 2,000,000    | 62,800,000   | 7,840,000    |
| Beginning Cash Balance  | 3,816,898    | 1,918,020   | 2,565,809    | 6,383,819   | 6,989,678    | (4,310,322)  | (15,666,822) |
| Revenues  | 0            | 0           | 0            | 0           | 0            | 0            | 0            |
| Expenditures  | 9,626,644    | 13,982,725  | 28,681,734   | 27,148,815  | 11,300,000   | 11,356,500   | 11,413,283   |
| <b>Transfers</b>  |              |             |              |             |              |              |              |
| List each net transfer in/out/ or projection in/out; list each account number |              |             |              |             |              |              |              |
|   | 7,727,766    | 14,630,514  | 32,499,744   | 27,754,674  |              |              |              |
| Net Total Transfers   | 7,727,766    | 14,630,514  | 32,499,744   | 27,754,674  | 0            | 0            | 0            |
| Ending Cash Balance   | 1,918,020    | 2,565,809   | 6,383,819    | 6,989,678   | (4,310,322)  | (15,666,822) | (27,080,104) |
| Encumbrances  | 15,209,497   | 12,408,763  | 22,630,287   | 9,312,044   | 9,358,604    | 9,405,397    | 9,452,424    |
| Unencumbered Cash Balance   | (13,291,477) | (9,842,954) | (16,246,468) | (2,322,365) | (13,668,925) | (25,072,218) | (36,532,528) |

Additional Information: -

|  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|
| Amount Req. by Bond Covenants                              |  |  |  |  |  |  |  |
| Amount from Bond Proceeds                                  |  |  |  |  |  |  |  |
| Amount Held in CODs, Escrow Accounts, or Other Investments |  |  |  |  |  |  |  |

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: Transportation - Highways  
 Prog ID(s): TRN 501-595  
 Name of Fund: Federal CIP  
 Legal Authority: Title 23 U.S.C. As Amended (Latest: ACT 122, SLH 2014)

Contact Name: Alma Calucag  
 Phone: 587-2256  
 Fund type (MOF): Other Federal Funds (N.V.)  
 Appropriation Acct. No.: S-00-150; S-94-245; S-85-221, S-93-237, S-94-240 others

Intended Purpose:

Source of Revenues:

Federal Reimbursements

Current Program Activities/Allowable Expenses:

Design, ROW, Construction and Planning Programs

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

| Financial Data  |               |               |               |               |               |               |               |
|---|---------------|---------------|---------------|---------------|---------------|---------------|---------------|
|   | FY 2016       | FY 2017       | FY 2018       | FY 2019       | FY 2020       | FY 2021       | FY 2022       |
|   | (actual)      | (actual)      | (actual)      | (actual)      | (estimated)   | (estimated)   | (estimated)   |
| Appropriation Ceiling   | 97,652,000    | 166,855,000   | 309,400,000   | 547,902,000   | 96,801,000    | 113,248,000   | 167,401,000   |
| Beginning Cash Balance  | 28,225,459    | 33,161,184    | 41,821,336    | 41,279,243    | 16,064,704    | (196,583,745) | (410,295,435) |
| Revenues  | 113,006,078   | 80,788,654    | 117,243,389   | 1,326         | 1,332         | 1,339         | 1,346         |
| Expenditures  | 148,343,135   | 107,613,508   | 149,300,465   | 211,591,822   | 212,649,781   | 213,713,030   | 214,781,595   |
| <b>Transfers</b>  |               |               |               |               |               |               |               |
| List each net transfer in/out/ or projection in/out; list each account number |               |               |               |               |               |               |               |
|   | 40,272,782    | 35,485,006    | 31,514,983    | 186,375,957   |               |               |               |
| Net Total Transfers   | 40,272,782    | 35,485,006    | 31,514,983    | 186,375,957   | 0             | 0             | 0             |
| Ending Cash Balance   | 33,161,184    | 41,821,336    | 41,279,243    | 16,064,704    | (196,583,745) | (410,295,435) | (625,075,684) |
| Encumbrances  | 150,219,591   | 324,154,009   | 485,725,566   | 299,146,798   | 300,642,532   | 302,145,744   | 303,656,473   |
| Unencumbered Cash Balance   | (117,058,407) | (282,332,673) | (444,446,323) | (283,082,094) | (497,226,276) | (712,441,180) | (928,732,158) |

**Additional Information:**

|  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|
| Amount Req. by Bond Covenants                              |  |  |  |  |  |  |  |
| Amount from Bond Proceeds                                  |  |  |  |  |  |  |  |
| Amount Held in CODs, Escrow Accounts, or Other Investments |  |  |  |  |  |  |  |

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: Transportation - Highways  
 Prog ID(s): TRN 501-595  
 Name of Fund: Trust Account  
 Legal Authority Non Lapsing

Contact Name: Alma Calucag  
 Phone: 587-2256  
 Fund type (MOF) Revolving Funds  
 Appropriation Acct. No. S-xx-322, S-xx-332, S-94-323, S-94-324, S-94-325

Intended Purpose:

Source of Revenues:

Transfer in from other funds

Current Program Activities/Allowable Expenses:

Payroll and administration activities. Clearing accounts for payroll, fringes and construction and admin programs

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

| Financial Data  |            |            |            |            |              |              |               |
|---|------------|------------|------------|------------|--------------|--------------|---------------|
|   | FY 2016    | FY 2017    | FY 2018    | FY 2019    | FY 2020      | FY 2021      | FY 2022       |
|   | (actual)   | (actual)   | (actual)   | (actual)   | (estimated)  | (estimated)  | (estimated)   |
| Appropriation Ceiling   | 0          | 0          | 0          | 0          | 0            | 0            | 0             |
| Beginning Cash Balance  | 44,228,957 | 21,568,680 | 43,895,882 | 40,868,931 | 16,998,529   | (21,871,773) | (60,936,426)  |
| Revenues  | 33,602,071 | 71,823,237 | 69,234,837 | 47,372,493 | 47,609,355   | 47,847,402   | 48,086,639    |
| Expenditures  | 70,967,348 | 79,166,035 | 81,413,003 | 86,049,410 | 86,479,657   | 86,912,055   | 87,346,616    |
| <b>Transfers</b>  |            |            |            |            |              |              |               |
| List each net transfer in/out/ or projection in/out; list each account number |            |            |            |            |              |              |               |
|   | 14,705,000 | 29,670,000 | 9,151,215  | 14,806,515 |              |              |               |
|   |            |            |            |            |              |              |               |
| Net Total Transfers   | 14,705,000 | 29,670,000 | 9,151,215  | 14,806,515 | 0            | 0            | 0             |
| Ending Cash Balance   | 21,568,680 | 43,895,882 | 40,868,931 | 16,998,529 | (21,871,773) | (60,936,426) | (100,196,403) |
| Encumbrances  | 65,430     | 94,448     | 84,076     | 84,076     | 84,497       | 84,919       | 85,344        |
| Unencumbered Cash Balance   | 21,503,250 | 43,801,434 | 40,784,855 | 16,914,453 | (21,956,270) | (61,021,345) | (100,281,747) |

Additional Information:

|  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|
| Amount Req. by Bond Covenants                              |  |  |  |  |  |  |  |
| Amount from Bond Proceeds                                  |  |  |  |  |  |  |  |
| Amount Held in CODs, Escrow Accounts, or Other Investments |  |  |  |  |  |  |  |

*Note: Per Office of the Auditor's Recommendation: Reclassify as Trust Account*

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: Transportation - Highways  
 Prog ID(s): TRN 501-597  
 Name of Fund: State Operating Allotment  
 Legal Authority: HRS 243-4 HRS 249-31 HRS 249-33 ACT 223 SLH B1999

Contact Name: Alma Calucag  
 Phone: 587-2256  
 Fund type (MOF) Special Funds  
 Appropriation Acct. No. S-xx-321, 010, 020, 026, 029, 032, 035, 036, 039

Intended Purpose:

Source of Revenues:

Transfer in from other funds

Current Program Activities/Allowable Expenses:

Payroll and administration activities. Clearing accounts for payroll, fringes and construction and admin programs

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

| Financial Data  |              |              |              |              |             |             |             |
|---|--------------|--------------|--------------|--------------|-------------|-------------|-------------|
|   | FY 2016      | FY 2017      | FY 2018      | FY 2019      | FY 2020     | FY 2021     | FY 2022     |
|   | (actual)     | (actual)     | (actual)     | (actual)     | (estimated) | (estimated) | (estimated) |
| Appropriation Ceiling   | 263,068,584  | 307,427,392  | 311,142,882  | 297,526,513  | 311,163,637 | 322,278,177 | 318,278,177 |
| Beginning Cash Balance  | 147,881,763  | 171,366,281  | 155,457,094  | 139,045,705  | 163,772,954 | 227,896,737 | 292,341,139 |
| Revenues  | 280,196,636  | 317,327,918  | 308,143,025  | 291,928,413  | 293,388,055 | 294,854,995 | 296,329,270 |
| Expenditures  | 220,333,222  | 274,350,463  | 259,965,764  | 228,123,654  | 229,264,272 | 230,410,594 | 231,562,647 |
| Transfers   |              |              |              |              |             |             |             |
| List each net transfer in/out/ or projection in/out; list each account number |              |              |              |              |             |             |             |
|   | (36,378,896) | (58,886,642) | (64,588,650) | (39,077,509) |             |             |             |
| Net Total Transfers   | (36,378,896) | (58,886,642) | (64,588,650) | (39,077,509) | 0           | 0           | 0           |
| Ending Cash Balance   | 171,366,281  | 155,457,094  | 139,045,705  | 163,772,954  | 227,896,737 | 292,341,139 | 357,107,762 |
| Encumbrances  | 147,091,268  | 129,882,401  | 98,973,106   | 95,737,649   | 96,216,337  | 96,697,419  | 97,180,906  |
| Unencumbered Cash Balance   | 24,275,013   | 25,574,693   | 40,072,599   | 68,035,306   | 131,680,400 | 195,643,720 | 259,926,857 |

Additional Information:

|  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|
| Amount Req. by Bond Covenants                              |  |  |  |  |  |  |  |
| Amount from Bond Proceeds                                  |  |  |  |  |  |  |  |
| Amount Held in CODs, Escrow Accounts, or Other Investments |  |  |  |  |  |  |  |

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: Transportation - Highways  
 Prog ID(s): TRN 595  
 Name of Fund: Operating Trust  
 Legal Authority: Ch 264 HRS 1983

Contact Name: Alma Calucag  
 Phone: 587-2256  
 Fund type (MOF): Trust Funds  
 Appropriation Acct. No.: T-xx-921

Intended Purpose:

Source of Revenues:

Cash Receipts

Current Program Activities/Allowable Expenses:

Trust and Agency Funds

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

| <b>Financial Data</b>   |            |            |            |           |             |             |             |
|---|------------|------------|------------|-----------|-------------|-------------|-------------|
|   | FY 2016    | FY 2017    | FY 2018    | FY 2019   | FY 2020     | FY 2021     | FY 2022     |
|   | (actual)   | (actual)   | (actual)   | (actual)  | (estimated) | (estimated) | (estimated) |
| Appropriation Ceiling   | 0          | 0          | 0          | 0         | 0           | 0           | 0           |
| Beginning Cash Balance  | 13,942,821 | 12,568,075 | 10,128,456 | 7,870,592 | 8,469,418   | 9,071,238   | 9,676,068   |
| Revenues  | 1,178,832  | 129,209    | 283,887    | 1,964,626 | 1,974,449   | 1,984,321   | 1,994,243   |
| Expenditures  | 2,553,579  | 2,568,828  | 2,541,750  | 1,365,800 | 1,372,629   | 1,379,492   | 1,386,389   |
| <b>Transfers</b>  |            |            |            |           |             |             |             |
| List each net transfer in/out/ or projection in/out; list each account number |            |            |            |           |             |             |             |
|   | 0          | 0          | 0          |           |             |             |             |
| Net Total Transfers   | 0          | 0          | 0          | 0         | 0           | 0           | 0           |
| Ending Cash Balance   | 12,568,075 | 10,128,456 | 7,870,592  | 8,469,418 | 9,071,238   | 9,676,068   | 10,283,921  |
| Encumbrances  | 9,294,217  | 7,052,284  | 4,738,562  | 3,422,033 | 3,439,143   | 3,456,339   | 3,473,621   |
| Unencumbered Cash Balance   | 3,273,858  | 3,076,172  | 3,132,030  | 5,047,385 | 5,632,095   | 6,219,728   | 6,810,300   |

**Additional Information:**

|   |  |  |  |  |  |  |  |
|---|--|--|--|--|--|--|--|
| Amount Req. by Bond Covenants                                 |  |  |  |  |  |  |  |
| Amount from Bond Proceeds                                     |  |  |  |  |  |  |  |
| Amount Held in CODs, Escrow<br>Accounts, or Other Investments |  |  |  |  |  |  |  |



**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: Transportation - Highways  
 Prog ID(s): TRN 595  
 Name of Fund: Operating Trust  
 Legal Authority: Ch 264 HRS 2007

Contact Name: Alma Calucag  
 Phone: 587-2256  
 Fund type (MOF) Trust Funds  
 Appropriation Acct. No. T-xx-923

Intended Purpose:

Source of Revenues:

Cash Receipts

Current Program Activities/Allowable Expenses:

Trust and Agency Funds

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

| Financial Data  |           |          |          |          |             |             |             |
|---|-----------|----------|----------|----------|-------------|-------------|-------------|
|   | FY 2016   | FY 2017  | FY 2018  | FY 2019  | FY 2020     | FY 2021     | FY 2022     |
|   | (actual)  | (actual) | (actual) | (actual) | (estimated) | (estimated) | (estimated) |
| Appropriation Ceiling   | 0         | 0        | 0        | 0        | 0           | 0           | 0           |
| Beginning Cash Balance  | 1,182,542 | 499,095  | 502,913  | 506,150  | 510,346     | 514,563     | 518,801     |
| Revenues  | 6,376     | 3,818    | 3,237    | 4,196    | 4,217       | 4,238       | 4,259       |
| Expenditures  | 689,822   | 0        | 0        | 0        | 0           | 0           | 0           |
| <b>Transfers</b>  |           |          |          |          |             |             |             |
| List each net transfer in/out/ or projection in/out; list each account number |           |          |          |          |             |             |             |
|   | 0         | 0        | 0        | 0        |             |             |             |
| Net Total Transfers   | 0         | 0        | 0        | 0        | 0           | 0           | 0           |
| Ending Cash Balance   | 499,095   | 502,913  | 506,150  | 510,346  | 514,563     | 518,801     | 523,061     |
| Encumbrances  | 0         | 0        | 0        | 0        | 0           | 0           | 0           |
| Unencumbered Cash Balance   | 499,095   | 502,913  | 506,150  | 510,346  | 514,563     | 518,801     | 523,061     |

Additional Information:

|  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|
| Amount Req. by Bond Covenants                              |  |  |  |  |  |  |  |
| Amount from Bond Proceeds                                  |  |  |  |  |  |  |  |
| Amount Held in CODs, Escrow Accounts, or Other Investments |  |  |  |  |  |  |  |

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: Transportation - Highways  
 Prog ID(s): TRN 595  
 Name of Fund: Operating Trust  
 Legal Authority: Ch 264 HRS 1983

Contact Name: Alma Calucag  
 Phone: 587-2256  
 Fund type (MOF): Trust Funds  
 Appropriation Acct. No.: T-xx-931

Intended Purpose:

Source of Revenues:

Cash Receipts

Current Program Activities/Allowable Expenses:

Trust and Agency Funds

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

| Financial Data  |          |          |          |          |             |             |             |
|---|----------|----------|----------|----------|-------------|-------------|-------------|
|   | FY 2016  | FY 2017  | FY 2018  | FY 2019  | FY 2020     | FY 2021     | FY 2022     |
|   | (actual) | (actual) | (actual) | (actual) | (estimated) | (estimated) | (estimated) |
| Appropriation Ceiling   | 0        | 0        | 0        | 0        | 0           | 0           | 0           |
| Beginning Cash Balance  | 0        | 0        | 0        | 0        | 0           | 0           | 0           |
| Revenues  | 0        | 0        | 0        | 0        | 0           | 0           | 0           |
| Expenditures  | 0        | 0        | 0        | 0        | 0           | 0           | 0           |
| <b>Transfers</b>  |          |          |          |          |             |             |             |
| List each net transfer in/out/ or projection in/out; list each account number |          |          |          |          |             |             |             |
|   | 0        | 0        | 0        | 0        |             |             |             |
|   |          |          |          |          |             |             |             |
| Net Total Transfers   | 0        | 0        | 0        | 0        | 0           | 0           | 0           |
| Ending Cash Balance   | 0        | 0        | 0        | 0        | 0           | 0           | 0           |
| Encumbrances  | 0        | 0        | 0        | 0        | 0           | 0           | 0           |
| Unencumbered Cash Balance   | 0        | 0        | 0        | 0        | 0           | 0           | 0           |

Additional Information:

|   |  |  |  |  |  |  |  |
|---|--|--|--|--|--|--|--|
| Amount Req. by Bond Covenants                                 |  |  |  |  |  |  |  |
| Amount from Bond Proceeds                                     |  |  |  |  |  |  |  |
| Amount Held in CODs, Escrow<br>Accounts, or Other Investments |  |  |  |  |  |  |  |

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: Transportation - Highways  
 Prog ID(s): TRN 501-597  
 Name of Fund: Safe Routes to School Program  
 Legal Authority: Section 291C-4, HRS

Contact Name: Alma Calucag  
 Phone: 587-2256  
 Fund type (MOF): Special Funds  
 Appropriation Acct. No. S-xx-318

Intended Purpose:

Source of Revenues:

Speeding and Traffic Violation Fines

Current Program Activities/Allowable Expenses:

Counties Safe Routes to School Projects

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

| Financial Data  |           |          |          |          |             |             |             |
|---|-----------|----------|----------|----------|-------------|-------------|-------------|
|   | FY 2016   | FY 2017  | FY 2018  | FY 2019  | FY 2020     | FY 2021     | FY 2022     |
|   | (actual)  | (actual) | (actual) | (actual) | (estimated) | (estimated) | (estimated) |
| Appropriation Ceiling   | 1,465,500 | 631,500  | 636,679  | 641,679  | 1,299,200   | 799,200     | 799,200     |
| Beginning Cash Balance  | 837,084   | 986,655  | 765,310  | 675,329  | 700,800     | 726,399     | 752,125     |
| Revenues  | 733,578   | 646,723  | 783,919  | 613,237  | 616,303     | 619,384     | 622,481     |
| Expenditures  | 589,007   | 868,068  | 873,900  | 587,765  | 590,704     | 593,658     | 596,626     |
| <b>Transfers</b>  |           |          |          |          |             |             |             |
| List each net transfer in/out/ or projection in/out; list each account number |           |          |          |          |             |             |             |
|   | 5,000     | 0        | 0        | 0        |             |             |             |
| Net Total Transfers   | 5,000     | 0        | 0        | 0        | 0           | 0           | 0           |
| Ending Cash Balance   | 986,655   | 765,310  | 675,329  | 700,800  | 726,399     | 752,125     | 777,980     |
| Encumbrances  | 582,635   | 291,318  | 0        | 0        | 0           | 0           | 0           |
| Unencumbered Cash Balance   | 404,020   | 473,992  | 675,329  | 700,800  | 726,399     | 752,125     | 777,980     |

Additional Information:

|  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|
| Amount Req. by Bond Covenants                              |  |  |  |  |  |  |  |
| Amount from Bond Proceeds                                  |  |  |  |  |  |  |  |
| Amount Held in CODs, Escrow Accounts, or Other Investments |  |  |  |  |  |  |  |

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: TRN  
 Prog ID(s): TRN 995  
 Name of Fund: General Administration  
 Legal Authority: HB 1900 HD1 SD2 CD1

Contact Name: Clarita Hironaka  
 Phone: 587-2211  
 Fund type (MOF) B  
 Appropriation Acct. No. S-001-D

Intended Purpose:

Operating Funds for Administration

Source of Revenues:

Assessments from the other three Divisions (Airports, Harbors and Highways)

Current Program Activities/Allowable Expenses:

Departmental Administration and staff operations, payroll, non-labor expenses, protocol fund, etc.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

| Financial Data  |            |            |            |             |             |             |             |
|---|------------|------------|------------|-------------|-------------|-------------|-------------|
|   | FY 2016    | FY 2017    | FY 2018    | FY 2019     | FY 2020     | FY 2021     | FY 2022     |
|   | (actual)   | (actual)   | (actual)   | (actual)    | (estimated) | (estimated) | (estimated) |
| Appropriation Ceiling   | 17,668,916 | 18,270,201 | 24,155,623 | 22,567,622  |             |             |             |
| Beginning Cash Balance  | 11,515,829 | 6,668,718  | 9,549,755  | 11,300,689  | 14,363,438  | 14,363,438  | 14,363,438  |
| Revenues  | 227,866    | 196,318    | 227,227    | 407,842     |             |             |             |
| Expenditures  | 14,007,162 | 14,276,135 | 14,980,131 | 16,303,180  |             |             |             |
| <b>Transfers</b>  |            |            |            |             |             |             |             |
| List each net transfer in/out/ or projection in/out; list each account number |            |            |            |             |             |             |             |
| JV-006 dtd 07/12/18   |            |            |            | (2,500)     |             |             |             |
| JV-017 dtd 07/26/18   |            |            |            | 3,440,037   |             |             |             |
| JV-022 dtd 08/06/18   |            |            |            | 2,199,368   |             |             |             |
| JV-026 dtd 08/10/18   |            |            |            | (4,122,960) |             |             |             |
| JV-038 dtd 09/12/18   |            |            |            | 100,000     |             |             |             |
| JV-041 dtd 09/18/18   |            |            |            | 6,008,129   |             |             |             |
| JV-086 dtd 12/24/18   |            |            |            | 5,636,966   |             |             |             |
| JV-141 dtd 04/01/19   |            |            |            | 5,699,047   |             |             |             |
| Net Total Transfers   | 8,932,185  | 16,960,856 | 16,503,838 | 18,958,087  | 0           | 0           | 0           |
| Ending Cash Balance   | 6,668,718  | 9,549,755  | 11,300,689 | 14,363,438  | 14,363,438  | 14,363,438  | 14,363,438  |
| Encumbrances  | 1,858,319  | 1,357,702  | 1,856,411  | 2,352,146   |             |             |             |
| Unencumbered Cash Balance   | 4,810,399  | 8,192,053  | 9,444,278  | 12,011,292  | 14,363,438  | 14,363,438  | 14,363,438  |

Additional Information:

|  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|
| Amount Req. by Bond Covenants                              |  |  |  |  |  |  |  |
| Amount from Bond Proceeds                                  |  |  |  |  |  |  |  |
| Amount Held in CODs, Escrow Accounts, or Other Investments |  |  |  |  |  |  |  |

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: TRN  
 Prog ID(s): TRN 695  
 Name of Fund: Aloha Tower Development Corporation  
 Legal Authority: HB 1900 HD1 SD2 CD1

Contact Name: Clarita Hironaka  
 Phone: 587-2211  
 Fund type (MOF) B  
 Appropriation Acct. No. S-088-D

**Intended Purpose:**

ATDC was placed within the Department of Transportation for administrative purposes, pursuant to section 26-35

**Source of Revenues:**

Monthly lease payments

**Current Program Activities/Allowable Expenses:**

The monies on deposit in the fund shall be used for lease payments to the Department of Transportation and for the development, re-development or improvement of the Honolulu Waterfront located seaward of Nimitz Highway between Pier 4 and Pier 11

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

| <b>Financial Data</b>   |           |           |           |           |             |             |             |
|---|-----------|-----------|-----------|-----------|-------------|-------------|-------------|
|   | FY 2016   | FY 2017   | FY 2018   | FY 2019   | FY 2020     | FY 2021     | FY 2022     |
|   | (actual)  | (actual)  | (actual)  | (actual)  | (estimated) | (estimated) | (estimated) |
| Appropriation Ceiling   | 1,839,565 | 1,842,173 | 1,842,173 | 1,842,173 |             |             |             |
| Beginning Cash Balance  | 684,673   | 1,465,143 | 1,860,084 | 2,253,423 | 2,651,264   | 2,651,264   | 2,651,264   |
| Revenues  | 1,005,674 | 1,010,441 | 1,010,503 | 1,014,655 |             |             |             |
| Expenditures  | 225,204   | 612,500   | 617,165   | 616,814   |             |             |             |
| <b>Transfers</b>  |           |           |           |           |             |             |             |
| List each net transfer in/out/ or projection in/out; list each account number |           |           |           |           |             |             |             |
| JV-003 dtd 07/03/18   |           |           |           | 0         |             |             |             |
| Net Total Transfers   | 0         | 0         | 0         | 0         | 0           | 0           | 0           |
| Ending Cash Balance   | 1,465,143 | 1,863,084 | 2,253,423 | 2,651,264 | 2,651,264   | 2,651,264   | 2,651,264   |
| Encumbrances  |           |           |           |           |             |             |             |
| Unencumbered Cash Balance   | 1,465,143 | 1,863,084 | 2,253,423 | 2,651,264 | 2,651,264   | 2,651,264   | 2,651,264   |

**Additional Information:**

|  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|
| Amount Req. by Bond Covenants                              |  |  |  |  |  |  |  |
| Amount from Bond Proceeds                                  |  |  |  |  |  |  |  |
| Amount Held in CODs, Escrow Accounts, or Other Investments |  |  |  |  |  |  |  |

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: TRN  
 Prog ID(s): TRN 995  
 Name of Fund: 49 U.S.C. SEC 5309 - NEW STARTS  
 Legal Authority: Administratively Created

Contact Name: Clarita Hironaka  
 Phone: 587-2211  
 Fund type (MOF) N  
 Appropriation Acct. No. S-213-D (S-14-213-D)

**Intended Purpose:**

Federal Transit Administration (FTA) Capital Improvement grant under Section 5309. These funds assist in financing the acquisition, construction reconstruction, and improvement of facilities and equipment for use in mass transportation in urban areas.

**Source of Revenues:**

FTA Grant HI-03-0038

**Current Program Activities/Allowable Expenses:**

Pass thru payments to DLNR

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

| Financial Data  |             |             |           |           |             |             |             |
|---|-------------|-------------|-----------|-----------|-------------|-------------|-------------|
|   | FY 2016     | FY 2017     | FY 2018   | FY 2019   | FY 2020     | FY 2021     | FY 2022     |
|   | (actual)    | (actual)    | (actual)  | (actual)  | (estimated) | (estimated) | (estimated) |
| Appropriation Ceiling   | 11,970,430  | 8,461,747   | 7,203,059 | 7,203,059 |             |             |             |
| Beginning Cash Balance  | 0           | 1,400,000   | 0         | 0         | 0           | 0           | 0           |
| Revenues  | 998,687     | 3,768,684   | 0         | 0         |             |             |             |
| Expenditures  | 998,687     | 3,768,684   | 0         | 0         |             |             |             |
| <b>Transfers</b>  |             |             |           |           |             |             |             |
| List each net transfer in/out/ or projection in/out; list each account number |             |             |           |           |             |             |             |
|   |             |             |           |           |             |             |             |
|   |             |             |           |           |             |             |             |
| Net Total Transfers   | 1,400,000   | (1,400,000) | 0         | 0         | 0           | 0           | 0           |
| Ending Cash Balance   | 1,400,000   | 0           | 0         | 0         | 0           | 0           | 0           |
| Encumbrances  | 2,509,996   | 0           | 0         | 0         |             |             |             |
| Unencumbered Cash Balance   | (1,109,996) | 0           | 0         | 0         | 0           | 0           | 0           |

**Additional Information:**

|  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|
| Amount Req. by Bond Covenants                              |  |  |  |  |  |  |  |
| Amount from Bond Proceeds                                  |  |  |  |  |  |  |  |
| Amount Held in CODs, Escrow Accounts, or Other Investments |  |  |  |  |  |  |  |

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: TRN  
 Prog ID(s): TRN 995  
 Name of Fund: \_\_\_\_\_  
 Legal Authority Aministratively Created

Contact Name: Clarita Hironaka  
 Phone: 587-2211  
 Fund type (MOF) N  
 Appropriation Acct. No. S-230-D

**Intended Purpose:**

Federal Transit Act Capital Assistance grant under Section 5310. These funds will aid in financing capital items for private nonprofit groups which will help meet the transportation needs of the elderly and persons with disabilities in the State of Hawaii.

**Source of Revenues:**

FTA funds provide 80% matching share under various FTA capital assistance grants under section 5310. Appropriation S-xx-335-D provides the 20% private contributions.

**Current Program Activities/Allowable Expenses:**

FTA Grant No. HI-16-0038, HI-2016-006, HI-2017-006, HI-2018-013. Financing capital items under Section 5310 for various non-profit organizations in the State of Hawaii. During FY18, 4 contracts were encumbered for vehicles.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

Increase in revenue and expenditures due to contracts for vehicles being paid off and federal funds drawdowns for FTA Grant# HI-16-0038.

| Financial Data  |          |          |          |          |             |             |             |
|---|----------|----------|----------|----------|-------------|-------------|-------------|
|   | FY 2016  | FY 2017  | FY 2018  | FY 2019  | FY 2020     | FY 2021     | FY 2022     |
|   | (actual) | (actual) | (actual) | (actual) | (estimated) | (estimated) | (estimated) |
| Appropriation Ceiling   | 0        | 0        | 0        | 813,567  |             |             |             |
| Beginning Cash Balance  | 0        | 0        | 0        | 89,278   | 15,468      | 15,468      | 15,468      |
| Revenues  | 0        | 0        | 89,705   | 553,719  |             |             |             |
| Expenditures  | 0        | 0        | 427      | 627,529  |             |             |             |
| <b>Transfers</b>  |          |          |          |          |             |             |             |
| List each net transfer in/out/ or projection in/out; list each account number |          |          |          |          |             |             |             |
|   |          |          |          |          |             |             |             |
|   |          |          |          |          |             |             |             |
| Net Total Transfers   | 0        | 0        | 0        | 0        | 0           | 0           | 0           |
| Ending Cash Balance   | 0        | 0        | 89,278   | 15,468   | 15,468      | 15,468      | 15,468      |
| Encumbrances  | 0        | 0        |          | 35,372   |             |             |             |
| Unencumbered Cash Balance   | 0        | 0        | 89,278   | (19,904) | 15,468      | 15,468      | 15,468      |

**Additional Information:**

|  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|
| Amount Req. by Bond Covenants                              |  |  |  |  |  |  |  |
| Amount from Bond Proceeds                                  |  |  |  |  |  |  |  |
| Amount Held in CODs, Escrow Accounts, or Other Investments |  |  |  |  |  |  |  |

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: TRN  
 Prog ID(s): TRN 995  
 Name of Fund: 49 U.S.C. SEC 5311 - NONURBANIZED AREA FORMULA  
 Legal Authority: Administratively Created

Contact Name: Clarita Hironaka  
 Phone: 587-2211  
 Fund type (MOF) N  
 Appropriation Acct. No. S-231-D

**Intended Purpose:**

Federal Transit Administration (FTA) Capital Improvement grant under Section 5311. These funds provide financial, operating, and technical assistance in providing public transportation in non-urbanized areas.

**Source of Revenues:**

FTA Grant HI-2016-004, HI-2017-004, HI-2018-011.

**Current Program Activities/Allowable Expenses:**

Pass thru payments to Counties of Maui, Kauai and Hawaii

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

| <b>Financial Data</b>   |          |          |           |           |             |             |             |
|---|----------|----------|-----------|-----------|-------------|-------------|-------------|
|   | FY 2016  | FY 2017  | FY 2018   | FY 2019   | FY 2020     | FY 2021     | FY 2022     |
|   | (actual) | (actual) | (actual)  | (actual)  | (estimated) | (estimated) | (estimated) |
| Appropriation Ceiling   | 500,000  | 257,337  | 3,829,564 | 1,924,328 |             |             |             |
| Beginning Cash Balance  | 0        | 0        | 0         | 1,749     | 6,572       | 6,572       | 6,572       |
| Revenues  | 242,663  | 459,237  | 1,467,413 | 1,001,969 |             |             |             |
| Expenditures  | 242,663  | 459,237  | 1,465,665 | 997,146   |             |             |             |
| <b>Transfers</b>  |          |          |           |           |             |             |             |
| List each net transfer in/out/ or projection in/out; list each account number |          |          |           |           |             |             |             |
|   |          |          |           |           |             |             |             |
|   |          |          |           |           |             |             |             |
| Net Total Transfers   | 0        | 0        | 0         | 0         | 0           | 0           | 0           |
| Ending Cash Balance   | 0        | 0        | 1,749     | 6,572     | 6,572       | 6,572       | 6,572       |
| Encumbrances  | 0        | 0        | 0         |           |             |             |             |
| Unencumbered Cash Balance   | 0        | 0        | 1,749     | 6,572     | 6,572       | 6,572       | 6,572       |

**Additional Information:**

|  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|
| Amount Req. by Bond Covenants                              |  |  |  |  |  |  |  |
| Amount from Bond Proceeds                                  |  |  |  |  |  |  |  |
| Amount Held in CODs, Escrow Accounts, or Other Investments |  |  |  |  |  |  |  |



**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: TRN  
 Prog ID(s): TRN 995  
 Name of Fund: SPR - State Planning and Research  
 Legal Authority: Administratively Created

Contact Name: Clarita Hironaka  
 Phone: 587-2211  
 Fund type (MOF): N  
 Appropriation Acct. No.: S-236-D

**Intended Purpose:**

Federal monies received from FHWA will be deposited into this account as reimbursement for the Statewide Planning & Research program

**Source of Revenues:**

FHWA Grant SPR-0010 (33) / (34) / (35)

**Current Program Activities/Allowable Expenses:**

Staff time, Travel

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

| <b>Financial Data</b>   |          |          |          |          |             |             |             |
|---|----------|----------|----------|----------|-------------|-------------|-------------|
|   | FY 2016  | FY 2017  | FY 2018  | FY 2019  | FY 2020     | FY 2021     | FY 2022     |
|   | (actual) | (actual) | (actual) | (actual) | (estimated) | (estimated) | (estimated) |
| Appropriation Ceiling   | 509,000  | 0        | 0        | 0        |             |             |             |
| Beginning Cash Balance  | 34,924   | 34,924   | 0        | 0        | 0           | 0           | 0           |
| Revenues  | 0        | 0        | 0        | 0        |             |             |             |
| Expenditures  | 0        | 0        | 0        | 0        |             |             |             |
| <b>Transfers</b>  |          |          |          |          |             |             |             |
| List each net transfer in/out/ or projection in/out; list each account number |          |          |          |          |             |             |             |
|   |          |          |          |          |             |             |             |
|   |          |          |          |          |             |             |             |
| Net Total Transfers   | 0        | (34,924) | 0        | 0        | 0           | 0           | 0           |
| Ending Cash Balance   | 34,924   | 0        | 0        | 0        | 0           | 0           | 0           |
| <b>Encumbrances</b>   |          |          |          |          |             |             |             |
|   |          |          |          |          |             |             |             |
| Unencumbered Cash Balance   | 34,924   | 0        | 0        | 0        | 0           | 0           | 0           |

**Additional Information:**

|  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|
| Amount Req. by Bond Covenants                              |  |  |  |  |  |  |  |
| Amount from Bond Proceeds                                  |  |  |  |  |  |  |  |
| Amount Held in CODs, Escrow Accounts, or Other Investments |  |  |  |  |  |  |  |

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: TRN  
 Prog ID(s): TRN 995  
 Name of Fund: Non-Appropriated  
 Legal Authority: Administratively Created

Contact Name: Clarita Hironaka  
 Phone: 587-2211  
 Fund type (MOF) N  
 Appropriation Acct. No. S-240-D

**Intended Purpose:**

Federal monies received from FHWA will be deposited into this account as reimbursement for Oahu Metropolitan Planning Organization

**Source of Revenues:**

PL-0052(038)

**Current Program Activities/Allowable Expenses:**

OahuMPO consultant contract costs - federal portion

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

| <b>Financial Data</b>   |           |           |          |           |             |             |             |
|---|-----------|-----------|----------|-----------|-------------|-------------|-------------|
|   | FY 2016   | FY 2017   | FY 2018  | FY 2019   | FY 2020     | FY 2021     | FY 2022     |
|   | (actual)  | (actual)  | (actual) | (actual)  | (estimated) | (estimated) | (estimated) |
| Appropriation Ceiling   | 4,695,244 | 4,649,294 | 0        | 4,302,611 |             |             |             |
| Beginning Cash Balance  | 0         | 43,127    | 112,347  | 166,716   | 27,667      | 27,667      | 27,667      |
| Revenues  | 30,909    | 161,485   | 272,162  | 60,457    |             |             |             |
| Expenditures  | 45,950    | 92,265    | 209,793  | 43,413    |             |             |             |
| <b>Transfers</b>  |           |           |          |           |             |             |             |
| List each net transfer in/out/ or projection in/out; list each account number |           |           |          |           |             |             |             |
|   |           |           |          |           |             |             |             |
|   |           |           |          |           |             |             |             |
| Net Total Transfers   | 58,168    | 0         | (8,000)  | (156,092) | 0           | 0           | 0           |
| Ending Cash Balance   | 43,127    | 112,347   | 166,716  | 27,667    | 27,667      | 27,667      | 27,667      |
| Encumbrances  | 310,198   | 217,933   | 44,625   | 28,422    |             |             |             |
| Unencumbered Cash Balance   | (267,071) | (105,586) | 122,091  | (754)     | 27,667      | 27,667      | 27,667      |

**Additional Information:**

|   |  |  |  |  |  |  |  |
|---|--|--|--|--|--|--|--|
| Amount Req. by Bond Covenants                                 |  |  |  |  |  |  |  |
| Amount from Bond Proceeds                                     |  |  |  |  |  |  |  |
| Amount Held in CODs, Escrow<br>Accounts, or Other Investments |  |  |  |  |  |  |  |

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: TRN  
 Prog ID(s): TRN 995  
 Name of Fund: \_\_\_\_\_  
 Legal Authority: Administratively Created

Contact Name: Clarita Hironaka  
 Phone: 587-2211  
 Fund type (MOF) N  
 Appropriation Acct. No. S-241-D

**Intended Purpose:**

Federal Transit Administration (FTA) Capital Improvement grant under Section 5305(d). These funds provides funding to support cooperative, continuous, Source of Revenues:

FTA Grant HI-80-0025, HI-2016-005, HI-2018-003, HI-2018-012.

**Current Program Activities/Allowable Expenses:**

Consultant contract payments, Staff time, Other administrative support costs.

Purpose of Proposed Ceiling Adjustment (if applicable):

**Variances:**

| <b>Financial Data</b>   |          |          |           |           |             |             |             |
|---|----------|----------|-----------|-----------|-------------|-------------|-------------|
|   | FY 2016  | FY 2017  | FY 2018   | FY 2019   | FY 2020     | FY 2021     | FY 2022     |
|   | (actual) | (actual) | (actual)  | (actual)  | (estimated) | (estimated) | (estimated) |
| Appropriation Ceiling   | 0        | 0        |           |           |             |             |             |
| Beginning Cash Balance  | 0        | 0        | 0         | 0         | 0           | 0           | 0           |
| Revenues  | 0        | 0        | 227,470   | 364,580   |             |             |             |
| Expenditures  | 0        | 0        | 227,470   | 364,580   |             |             |             |
| <b>Transfers</b>  |          |          |           |           |             |             |             |
| List each net transfer in/out/ or projection in/out; list each account number |          |          |           |           |             |             |             |
|   |          |          |           |           |             |             |             |
| Net Total Transfers   | 0        | 0        | 0         | 0         | 0           | 0           | 0           |
| Ending Cash Balance   | 0        | 0        | 0         | 0         | 0           | 0           | 0           |
| Encumbrances  | 0        | 0        | 385,446   | 107,950   |             |             |             |
| Unencumbered Cash Balance   | 0        | 0        | (385,446) | (107,950) | 0           | 0           | 0           |

**Additional Information:**

|  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|
| Amount Req. by Bond Covenants                              |  |  |  |  |  |  |  |
| Amount from Bond Proceeds                                  |  |  |  |  |  |  |  |
| Amount Held in CODs, Escrow Accounts, or Other Investments |  |  |  |  |  |  |  |

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: TRN  
 Prog ID(s): TRN 995  
 Name of Fund: Non-Appropriated  
 Legal Authority: Administratively Created

Contact Name: Clarita Hironaka  
 Phone: 587-2211  
 Fund type (MOF): N  
 Appropriation Acct. No.: S-245-D

**Intended Purpose:**

Federal monies received from FHWA will be deposited into this account as reimbursement for Oahu Metropolitan Planning Organization

**Source of Revenues:**

PL-0052(040)

**Current Program Activities/Allowable Expenses:**

OahuMPO consultant contract costs - federal portion

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

| <b>Financial Data</b>   |          |          |          |           |             |             |             |
|---|----------|----------|----------|-----------|-------------|-------------|-------------|
|   | FY 2016  | FY 2017  | FY 2018  | FY 2019   | FY 2020     | FY 2021     | FY 2022     |
|   | (actual) | (actual) | (actual) | (actual)  | (estimated) | (estimated) | (estimated) |
| Appropriation Ceiling   | 0        | 0        | 0        | 0         |             |             |             |
| Beginning Cash Balance  | 0        | 0        | 0        | 122,299   | 78,432      | 78,432      | 78,432      |
| Revenues  | 0        | 0        | 178,299  | 402,357   |             |             |             |
| Expenditures  | 0        | 0        | 56,000   | 593,223   |             |             |             |
| <b>Transfers</b>  |          |          |          |           |             |             |             |
| List each net transfer in/out/ or projection in/out; list each account number |          |          |          |           |             |             |             |
|   |          |          |          | 147,000   |             |             |             |
| Net Total Transfers   | 0        | 0        | 0        | 147,000   | 0           | 0           | 0           |
| Ending Cash Balance   | 0        | 0        | 122,299  | 78,432    | 78,432      | 78,432      | 78,432      |
| Encumbrances  | 0        | 0        | 80,000   | 421,699   |             |             |             |
| Unencumbered Cash Balance   | 0        | 0        | 42,299   | (343,266) | 78,432      | 78,432      | 78,432      |

**Additional Information:**

|  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|
| Amount Req. by Bond Covenants                              |  |  |  |  |  |  |  |
| Amount from Bond Proceeds                                  |  |  |  |  |  |  |  |
| Amount Held in CODs, Escrow Accounts, or Other Investments |  |  |  |  |  |  |  |

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: TRN  
 Prog ID(s): TRN 995  
 Name of Fund: \_\_\_\_\_  
 Legal Authority: Administratively Created

Contact Name: Clarita Hironaka  
 Phone: 587-2211  
 Fund type (MOF) N  
 Appropriation Acct. No. S-253-D

**Intended Purpose:**

Federal Transit Administration (FTA) Capital grant under Section 5339. These funds provide capital funding to replace, rehabilitate and purchase buses and related equipment and to construct bus-related facilities.

**Source of Revenues:**

FTA Grant HI-2016-002, HI-2017-003, HI-2018-002, HI-2018-010.

**Current Program Activities/Allowable Expenses:**

Pass thru payments to Counties of Maui, Kauai and Hawaii

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

| Financial Data  |          |          |          |           |             |             |             |
|---|----------|----------|----------|-----------|-------------|-------------|-------------|
|   | FY 2016  | FY 2017  | FY 2018  | FY 2019   | FY 2020     | FY 2021     | FY 2022     |
|   | (actual) | (actual) | (actual) | (actual)  | (estimated) | (estimated) | (estimated) |
| Appropriation Ceiling   | 0        | 0        | 0        | 1,542,390 |             |             |             |
| Beginning Cash Balance  | 0        | 0        | 0        | 0         | 0           | 0           | 0           |
| Revenues  | 0        | 0        | 40,510   | 240,149   |             |             |             |
| Expenditures  | 0        | 0        | 40,510   | 240,149   |             |             |             |
| <b>Transfers</b>  |          |          |          |           |             |             |             |
| List each net transfer in/out/ or projection in/out; list each account number |          |          |          |           |             |             |             |
|   |          |          |          |           |             |             |             |
|   |          |          |          |           |             |             |             |
| Net Total Transfers   | 0        | 0        | 0        | 0         | 0           | 0           | 0           |
| Ending Cash Balance   | 0        | 0        | 0        | 0         | 0           | 0           | 0           |
| Encumbrances  | 0        | 0        | 0        |           |             |             |             |
| Unencumbered Cash Balance   | 0        | 0        | 0        | 0         | 0           | 0           | 0           |

**Additional Information:**

|   |  |  |  |  |  |  |  |
|---|--|--|--|--|--|--|--|
| Amount Req. by Bond Covenants                                 |  |  |  |  |  |  |  |
| Amount from Bond Proceeds                                     |  |  |  |  |  |  |  |
| Amount Held in CODs, Escrow<br>Accounts, or Other Investments |  |  |  |  |  |  |  |

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: TRN  
 Prog ID(s): TRN 995  
 Name of Fund: \_\_\_\_\_  
 Legal Authority: Administratively Created

Contact Name: Clarita Hironaka  
 Phone: 587-2211  
 Fund type (MOF) N  
 Appropriation Acct. No. S-254-D

**Intended Purpose:**

Federal Transit Administration (FTA) grant under Section 5329. These funds provide funding to develop or carry out State Safety Oversight (SSO) programs in accordance with the Moving Ahead for Progress in the 21st Century Act (MAP-21).

**Source of Revenues:**

FTA Grant HI-2017-005.

**Current Program Activities/Allowable Expenses:**

Administrative Expenses, Training, Consultant Services, and Other Expenses.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

Consultant services costs ramped up as the contractor started their work processes.

| <b>Financial Data</b>   |          |          |          |           |             |             |             |
|---|----------|----------|----------|-----------|-------------|-------------|-------------|
|   | FY 2016  | FY 2017  | FY 2018  | FY 2019   | FY 2020     | FY 2021     | FY 2022     |
|   | (actual) | (actual) | (actual) | (actual)  | (estimated) | (estimated) | (estimated) |
| Appropriation Ceiling   | 0        | 0        | 0        | 382,318   |             |             |             |
| Beginning Cash Balance  | 0        | 0        | 0        | 64,979    | 72,575      | 72,575      | 72,575      |
| Revenues  | 0        | 0        | 176,928  | 191,692   |             |             |             |
| Expenditures  | 0        | 0        | 111,949  | 184,096   |             |             |             |
| <b>Transfers</b>  |          |          |          |           |             |             |             |
| List each net transfer in/out/ or projection in/out; list each account number |          |          |          |           |             |             |             |
|   |          |          |          |           |             |             |             |
|   |          |          |          |           |             |             |             |
| Net Total Transfers   | 0        | 0        | 0        | 0         | 0           | 0           | 0           |
| Ending Cash Balance   | 0        | 0        | 64,979   | 72,575    | 72,575      | 72,575      | 72,575      |
| Encumbrances  | 0        | 0        | 158,704  | 258,793   |             |             |             |
| Unencumbered Cash Balance   | 0        | 0        | (93,725) | (186,218) | 72,575      | 72,575      | 72,575      |

**Additional Information:**

|  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|
| Amount Req. by Bond Covenants                              |  |  |  |  |  |  |  |
| Amount from Bond Proceeds                                  |  |  |  |  |  |  |  |
| Amount Held in CODs, Escrow Accounts, or Other Investments |  |  |  |  |  |  |  |

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: TRN  
 Prog ID(s): TRN 995  
 Name of Fund: SPR - State Planning and Research  
 Legal Authority: Administratively Created

Contact Name: Clarita Hironaka  
 Phone: 587-2211  
 Fund type (MOF) N  
 Appropriation Acct. No. S-255-D

**Intended Purpose:**

Federal monies received from FHWA will be deposited into this account as reimbursement for the Statewide Planning & Research program

**Source of Revenues:**

FHWA Grant SPR-0010 (39) / (41) / (44)

**Current Program Activities/Allowable Expenses:**

Staff time, Travel

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

Expenditures increased significantly due to licenses and software purchases under SPR-0010 (41) and (44).

| <b>Financial Data</b>   |          |          |          |             |             |             |             |
|---|----------|----------|----------|-------------|-------------|-------------|-------------|
|   | FY 2016  | FY 2017  | FY 2018  | FY 2019     | FY 2020     | FY 2021     | FY 2022     |
|   | (actual) | (actual) | (actual) | (actual)    | (estimated) | (estimated) | (estimated) |
| Appropriation Ceiling   | 68,643   | 68,643   | 0        | 0           |             |             |             |
| Beginning Cash Balance  | 18,234   | 22,684   | 58,878   | 123,134     | 25,670      | 25,670      | 25,670      |
| Revenues  | 4,450    | 37,471   | 1,790    | 103,501     |             |             |             |
| Expenditures  | 0        | 1,277    | 4,143    | 100,965     |             |             |             |
| <b>Transfers</b>  |          |          |          |             |             |             |             |
| List each net transfer in/out/ or projection in/out; list each account number |          |          |          |             |             |             |             |
| JV-196 dtd 06/28/19   |          |          |          | (100,000)   |             |             |             |
| Net Total Transfers   | 0        | 0        | 66,609   | (100,000)   | 0           | 0           | 0           |
| Ending Cash Balance   | 22,684   | 58,878   | 123,134  | 25,670      | 25,670      | 25,670      | 25,670      |
| Encumbrances  | 0        | 0        | 72,086   | 1,704,800   |             |             |             |
| Unencumbered Cash Balance   | 22,684   | 58,878   | 51,048   | (1,679,130) | 25,670      | 25,670      | 25,670      |

**Additional Information:**

|   |  |  |  |  |  |  |  |
|---|--|--|--|--|--|--|--|
| Amount Req. by Bond Covenants                                 |  |  |  |  |  |  |  |
| Amount from Bond Proceeds                                     |  |  |  |  |  |  |  |
| Amount Held in CODs, Escrow<br>Accounts, or Other Investments |  |  |  |  |  |  |  |

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: TRN  
 Prog ID(s): TRN 995  
 Name of Fund: Intermodal Surface Transportation Efficiency Programs  
 Legal Authority: Act 134, SLH 2013/Act 122, SLH 2014/Act 119, SLH 2015  
Act 124, SLH 2016/Act 49, SLH 2017

Contact Name: Clarita Hironaka  
 Phone: 587-2211  
 Fund type (MOF) N  
 Appropriation Acct. No. S-256-D

Intended Purpose:  
 Mother Account for Federal Appropriations  
 Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

| Financial Data  |            |            |           |            |             |             |             |
|---|------------|------------|-----------|------------|-------------|-------------|-------------|
|   | FY 2016    | FY 2017    | FY 2018   | FY 2019    | FY 2020     | FY 2021     | FY 2022     |
|   | (actual)   | (actual)   | (actual)  | (actual)   | (estimated) | (estimated) | (estimated) |
| Appropriation Ceiling   | 11,793,093 | 11,793,093 | 9,913,329 | 17,625,403 |             |             |             |
| Beginning Cash Balance  | 0          | 0          | 0         | 0          | 0           | 0           | 0           |
| Revenues  | 0          | 0          | 0         |            |             |             |             |
| Expenditures  | 0          | 0          | 0         |            |             |             |             |
| <b>Transfers</b>  |            |            |           |            |             |             |             |
| List each net transfer in/out/ or projection in/out; list each account number |            |            |           |            |             |             |             |
|   |            |            |           |            |             |             |             |
| Net Total Transfers   | 0          | 0          | 0         | 0          | 0           | 0           | 0           |
| Ending Cash Balance   | 0          | 0          | 0         | 0          | 0           | 0           | 0           |
| Encumbrances  | 0          | 0          | 0         |            |             |             |             |
| Unencumbered Cash Balance   | 0          | 0          | 0         | 0          | 0           | 0           | 0           |

**Additional Information:**

|   |  |  |  |  |  |  |  |
|---|--|--|--|--|--|--|--|
| Amount Req. by Bond Covenants                                 |  |  |  |  |  |  |  |
| Amount from Bond Proceeds                                     |  |  |  |  |  |  |  |
| Amount Held in CODs, Escrow<br>Accounts, or Other Investments |  |  |  |  |  |  |  |



**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: TRN  
 Prog ID(s): TRN 995  
 Name of Fund: 49 U.S.C. SEC 5310 - Capital Assistance  
 Legal Authority: Administratively Created

Contact Name: Clarita Hironaka  
 Phone: 587-2211  
 Fund type (MOF): N  
 Appropriation Acct. No.: S-257-D (S-14-257-D)

**Intended Purpose:**

Federal Transit Act Capital Assistance grant under Section 5310. These funds will aid in financing capital items for private nonprofit groups which will help meet the transportation needs of the elderly and persons with disabilities in the State of Hawaii.

**Source of Revenues:**

FTA funds provide 80% matching share under various FTA capital assistance grants under section 5310. Appropriation S-xx-335-D provides the 20% private contributions.

**Current Program Activities/Allowable Expenses:**

FTA Grant No. HI-16-0034, -0035, -0036, & -0037. All grants have been closed in FY20.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

Increase in revenue and expenditures due to contracts for vehicles being paid off and federal funds drawdowns for all these old 5310 grants.

| Financial Data  |           |           |           |           |             |             |             |
|---|-----------|-----------|-----------|-----------|-------------|-------------|-------------|
|   | FY 2016   | FY 2017   | FY 2018   | FY 2019   | FY 2020     | FY 2021     | FY 2022     |
|   | (actual)  | (actual)  | (actual)  | (actual)  | (estimated) | (estimated) | (estimated) |
| Appropriation Ceiling   | 2,124,860 | 2,107,276 | 1,917,584 | 1,156,359 |             |             |             |
| Beginning Cash Balance  | 97,630    | 97,630    | 132,554   | 132,554   | 132,554     | 132,554     | 132,554     |
| Revenues  | 17,584    | 15,637    | 231,525   | 703,755   |             |             |             |
| Expenditures  | 17,584    | 15,637    | 231,525   | 703,755   |             |             |             |
| <b>Transfers</b>  |           |           |           |           |             |             |             |
| List each net transfer in/out/ or projection in/out; list each account number |           |           |           |           |             |             |             |
|   |           |           |           |           |             |             |             |
|   |           |           |           |           |             |             |             |
| Net Total Transfers   | 0         | 34,924    | 0         | 0         | 0           | 0           | 0           |
| Ending Cash Balance   | 97,630    | 132,554   | 132,554   | 132,554   | 132,554     | 132,554     | 132,554     |
| Encumbrances  | 0         | 174,055   | 703,755   | 209,683   |             |             |             |
| Unencumbered Cash Balance   | 97,630    | (41,501)  | (571,201) | (77,129)  | 132,554     | 132,554     | 132,554     |

**Additional Information:**

|  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|
| Amount Req. by Bond Covenants                              |  |  |  |  |  |  |  |
| Amount from Bond Proceeds                                  |  |  |  |  |  |  |  |
| Amount Held in CODs, Escrow Accounts, or Other Investments |  |  |  |  |  |  |  |

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: TRN  
 Prog ID(s): TRN 995  
 Name of Fund: FTA (Federal Transit Authority)  
 Legal Authority: Administratively Created

Contact Name: Clarita Hironaka  
 Phone: 587-2211  
 Fund type (MOF): N  
 Appropriation Acct. No.: S-258-D (S-14-258-D)

**Intended Purpose:**

Federal Transit Administration (FTA) Funds - to account for pre-FY14 FTA grants which are still active.

**Source of Revenues:**

Federal Transit Administration (FTA) Funds.

**Current Program Activities/Allowable Expenses:**

FTA Grant Nos. HI-04-0004 & HI-37-X008 & HI-34-0001.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

Expenditure increases due to reimbursements to County of Kauai for bus purchases.

| Financial Data  |           |             |           |           |             |             |             |
|---|-----------|-------------|-----------|-----------|-------------|-------------|-------------|
|   | FY 2016   | FY 2017     | FY 2018   | FY 2019   | FY 2020     | FY 2021     | FY 2022     |
|   | (actual)  | (actual)    | (actual)  | (actual)  | (estimated) | (estimated) | (estimated) |
| Appropriation Ceiling   | 9,912,334 | 6,802,205   | 1,675,744 | 1,034,278 |             |             |             |
| Beginning Cash Balance  | 680,565   | 1,984,317   | 680,157   | 715,661   | 690,146     | 690,146     | 690,146     |
| Revenues  | 3,113,881 | 4,965,984   | 734,879   | 1,083,428 |             |             |             |
| Expenditures  | 3,110,129 | 4,970,144   | 699,375   | 1,108,943 |             |             |             |
| <b>Transfers</b>  |           |             |           |           |             |             |             |
| List each net transfer in/out/ or projection in/out; list each account number |           |             |           |           |             |             |             |
|   |           |             |           |           |             |             |             |
|   |           |             |           |           |             |             |             |
| Net Total Transfers   | 1,300,000 | (1,300,000) | 0         | 0         | 0           | 0           | 0           |
| Ending Cash Balance   | 1,984,317 | 680,157     | 715,661   | 690,146   | 690,146     | 690,146     | 690,146     |
| Encumbrances  | 2,710,079 | 46,316      | 98,407    | 0         |             |             |             |
| Unencumbered Cash Balance   | (725,762) | 633,841     | 617,254   | 690,146   | 690,146     | 690,146     | 690,146     |

**Additional Information:**

|  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|
| Amount Req. by Bond Covenants                              |  |  |  |  |  |  |  |
| Amount from Bond Proceeds                                  |  |  |  |  |  |  |  |
| Amount Held in CODs, Escrow Accounts, or Other Investments |  |  |  |  |  |  |  |

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: TRN  
 Prog ID(s): TRN 995  
 Name of Fund: Oahu Metropolitan Plan Org Tech Study Grant  
 Legal Authority: Administratively Created

Contact Name: Clarita Hironaka  
 Phone: 587-2211  
 Fund type (MOF) N  
 Appropriation Acct. No. S-259-D (S-84-259-D)

**Intended Purpose:**

Federal monies received from FHWA will be deposited into this account as reimbursement for Oahu Metropolitan Planning Organization. This appropriation is no longer being used because currently each year's grant is assigned its own appropriation.

**Source of Revenues:**

Federal Highway Administration (FHWA) Funds.

**Current Program Activities/Allowable Expenses:**

OahuMPO consultant contract costs - federal portion

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

| <b>Financial Data</b>   |          |          |          |          |             |             |             |
|---|----------|----------|----------|----------|-------------|-------------|-------------|
|   | FY 2016  | FY 2017  | FY 2018  | FY 2019  | FY 2020     | FY 2021     | FY 2022     |
|   | (actual) | (actual) | (actual) | (actual) | (estimated) | (estimated) | (estimated) |
| Appropriation Ceiling   | 48,943   | 0        | 0        | 0        |             |             |             |
| Beginning Cash Balance  | 55,133   | 256      | 0        | 0        | 0           | 0           | 0           |
| Revenues  | 52,234   | 0        | 0        | 0        |             |             |             |
| Expenditures  | 48,943   | 0        | 0        | 0        |             |             |             |
| <b>Transfers</b>  |          |          |          |          |             |             |             |
| List each net transfer in/out/ or projection in/out; list each account number |          |          |          |          |             |             |             |
|   |          |          |          |          |             |             |             |
|   |          |          |          |          |             |             |             |
| Net Total Transfers   | (58,168) | (256)    | 0        | 0        | 0           | 0           | 0           |
| Ending Cash Balance   | 256      | 0        | 0        | 0        | 0           | 0           | 0           |
| Encumbrances  | 0        | 0        | 0        | 0        |             |             |             |
| Unencumbered Cash Balance   | 256      | 0        | 0        | 0        | 0           | 0           | 0           |

**Additional Information:**

|  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|
| Amount Req. by Bond Covenants                              |  |  |  |  |  |  |  |
| Amount from Bond Proceeds                                  |  |  |  |  |  |  |  |
| Amount Held in CODs, Escrow Accounts, or Other Investments |  |  |  |  |  |  |  |

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: TRN  
 Prog ID(s): TRN 995  
 Name of Fund: Oahu Metropolitan Plan Org - Exp Clearing Acct  
 Legal Authority: Administratively Created

Contact Name: Clarita Hironaka  
 Phone: 587-2211  
 Fund type (MOF) W  
 Appropriation Acct. No. S-334-D (S-84-334-D)

**Intended Purpose:**

Revolving fund for Oahu Metropolitan Planning Organization. In FY 19, the remaining contract balances were paid off.

**Source of Revenues:**

Local share funds

**Current Program Activities/Allowable Expenses:**

OahuMPO consultant contract costs (local share)

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

| <b>Financial Data</b>   |           |          |          |          |             |             |             |
|---|-----------|----------|----------|----------|-------------|-------------|-------------|
|   | FY 2016   | FY 2017  | FY 2018  | FY 2019  | FY 2020     | FY 2021     | FY 2022     |
|   | (actual)  | (actual) | (actual) | (actual) | (estimated) | (estimated) | (estimated) |
| Appropriation Ceiling   |           |          |          |          |             |             |             |
| Beginning Cash Balance  | 401,720   | 100,711  | 10,210   | 1,500    | (0)         | (0)         | (0)         |
| Revenues  | 66,881    | 0        | 0        | 0        |             |             |             |
| Expenditures  | 67,890    | 10,004   | 8,710    | 1,500    |             |             |             |
| Transfers   |           |          |          |          |             |             |             |
| List each net transfer in/out/ or projection in/out; list each account number |           |          |          |          |             |             |             |
|   |           |          |          |          |             |             |             |
| Net Total Transfers   | (300,000) | (80,497) | 0        | 0        | 0           | 0           | 0           |
| Ending Cash Balance   | 100,711   | 10,210   | 1,500    | (0)      | (0)         | (0)         | (0)         |
| Encumbrances  | 20,214    | 10,210   | 1,500    | 0        |             |             |             |
| Unencumbered Cash Balance   | 80,497    | 0        | 0        | (0)      | (0)         | (0)         | (0)         |

**Additional Information:**

|  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|
| Amount Req. by Bond Covenants                              |  |  |  |  |  |  |  |
| Amount from Bond Proceeds                                  |  |  |  |  |  |  |  |
| Amount Held in CODs, Escrow Accounts, or Other Investments |  |  |  |  |  |  |  |

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: TRN  
 Prog ID(s): TRN 995  
 Name of Fund: URBAN MASS TRANSPORTATION ACT OF 1964  
 Legal Authority: Administratively Created

Contact Name: Clarita Hironaka  
 Phone: 587-2211  
 Fund type (MOF): R  
 Appropriation Acct. No.: S-335-D

**Intended Purpose:**

To receive private non-profit group's 20% contribution toward the purchase of capital items for private non-profit groups who help meet the transportation needs of the elderly and persons with disabilities in the State of Hawaii.

**Source of Revenues:**

The 20% private contributions toward the purchase of capital items for private non-profit groups. FTA funds provide 80% matching share under various FTA capital assistance grants under section 5310 (S-257-D).

**Current Program Activities/Allowable Expenses:**

Purchases of capital items (vehicles such as buses, vans, etc.)

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

| Financial Data  |          |          |          |          |             |             |             |
|---|----------|----------|----------|----------|-------------|-------------|-------------|
|   | FY 2016  | FY 2017  | FY 2018  | FY 2019  | FY 2020     | FY 2021     | FY 2022     |
|   | (actual) | (actual) | (actual) | (actual) | (estimated) | (estimated) | (estimated) |
| Appropriation Ceiling   | 0        | 0        | 728,352  |          |             |             |             |
| Beginning Cash Balance  | 0        | 0        | 55,092   | 200,549  | 8,848       | 8,848       | 8,848       |
| Revenues  | 0        | 55,092   | 200,549  | 121,707  |             |             |             |
| Expenditures  | 0        | 0        | 55,092   | 313,408  |             |             |             |
| <b>Transfers</b>  |          |          |          |          |             |             |             |
| List each net transfer in/out/ or projection in/out; list each account number |          |          |          |          |             |             |             |
|   |          |          |          |          |             |             |             |
|   |          |          |          |          |             |             |             |
| Net Total Transfers   | 0        | 0        | 0        | 0        | 0           | 0           | 0           |
| Ending Cash Balance   | 0        | 55,092   | 200,549  | 8,848    | 8,848       | 8,848       | 8,848       |
| Encumbrances  | 0        | 0        | 200,549  | 8,842    |             |             |             |
| Unencumbered Cash Balance   | 0        | 55,092   | 0        | 6        | 8,848       | 8,848       | 8,848       |

**Additional Information:**

|  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|
| Amount Req. by Bond Covenants                              |  |  |  |  |  |  |  |
| Amount from Bond Proceeds                                  |  |  |  |  |  |  |  |
| Amount Held in CODs, Escrow Accounts, or Other Investments |  |  |  |  |  |  |  |

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: TRN  
 Prog ID(s): TRN 995  
 Name of Fund: Protocol fund for Executive Heads  
 Legal Authority: Act 53 /2018

Contact Name: Clarita Hironaka  
 Phone: 587-2211  
 Fund type (MOF) B  
 Appropriation Acct. No. S-398-D

**Intended Purpose:**

Provide discretionary funds for the head of the department.

**Source of Revenues:**

\$2,500.00 is transferred from the "General Administration Fund, S-XX-001-D" to fund this appropriation

**Current Program Activities/Allowable Expenses:**

To provide discretionary funds for the head of the department in accordance with Section 143 of Act 200, SLH 2003 per Comptroller's Memorandum

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

| <b>Financial Data</b>   |          |          |          |          |             |             |             |
|---|----------|----------|----------|----------|-------------|-------------|-------------|
|   | FY 2016  | FY 2017  | FY 2018  | FY 2019  | FY 2020     | FY 2021     | FY 2022     |
|   | (actual) | (actual) | (actual) | (actual) | (estimated) | (estimated) | (estimated) |
| Appropriation Ceiling   | 2,500    | 2,500    | 2,500    | 2,500    | 2,500       |             |             |
| Beginning Cash Balance  | 2,048    | 1,136    | 2,291    | 2,162    | 1,934       | 1,934       | 1,934       |
| Revenues  | 0        | 0        | 6        | 20       |             |             |             |
| Expenditures  | 1,393    | 239      | 478      | 585      |             |             |             |
| <b>Transfers</b>  |          |          |          |          |             |             |             |
| List each net transfer in/out/ or projection in/out; list each account number |          |          |          |          |             |             |             |
|   |          |          |          |          |             |             |             |
| Net Total Transfers   | 481      | 1,394    | 343      | 337      | 0           | 0           | 0           |
| Ending Cash Balance   | 1,136    | 2,291    | 2,162    | 1,934    | 1,934       | 1,934       | 1,934       |
| Encumbrances  | 30       | 134      |          |          |             |             |             |
| Unencumbered Cash Balance   | 1,106    | 2,157    | 2,162    | 1,934    | 1,934       | 1,934       | 1,934       |

**Additional Information:**

|   |  |  |  |  |  |  |  |
|---|--|--|--|--|--|--|--|
| Amount Req. by Bond Covenants                                 |  |  |  |  |  |  |  |
| Amount from Bond Proceeds                                     |  |  |  |  |  |  |  |
| Amount Held in CODs, Escrow<br>Accounts, or Other Investments |  |  |  |  |  |  |  |

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: TRN  
 Prog ID(s): TRN 995  
 Name of Fund: Non-Appropriated  
 Legal Authority: Administratively Created

Contact Name: Clarita Hironaka  
 Phone: 587-2211  
 Fund type (MOF) N  
 Appropriation Acct. No. S-505-D (S-15-505-D)

**Intended Purpose:**

Federal monies received from FHWA will be deposited into this account as reimbursement for Oahu Metropolitan Planning Organization.

**Source of Revenues:**

PL-0052(036)

**Current Program Activities/Allowable Expenses:**

OahuMPO consultant contract costs - federal portion

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

| <b>Financial Data</b>   |           |           |          |          |             |             |             |
|---|-----------|-----------|----------|----------|-------------|-------------|-------------|
|   | FY 2016   | FY 2017   | FY 2018  | FY 2019  | FY 2020     | FY 2021     | FY 2022     |
|   | (actual)  | (actual)  | (actual) | (actual) | (estimated) | (estimated) | (estimated) |
| Appropriation Ceiling   | 2,872,185 | 2,829,608 |          |          |             |             |             |
| Beginning Cash Balance  | 12,984    | 15,412    | 20,256   | 32,015   | 20,140      | 20,140      | 20,140      |
| Revenues  | 45,005    | 169,394   | 137,449  | 99,681   |             |             |             |
| Expenditures  | 42,577    | 164,806   | 183,690  | 86,556   |             |             |             |
| <b>Transfers</b>  |           |           |          |          |             |             |             |
| List each net transfer in/out/ or projection in/out; list each account number |           |           |          |          |             |             |             |
| JV-186 dtd 06/20/19   |           |           |          | (25,000) |             |             |             |
| Net Total Transfers   | 0         | 256       | 58,000   | (25,000) | 0           | 0           | 0           |
| Ending Cash Balance   | 15,412    | 20,256    | 32,015   | 20,140   | 20,140      | 20,140      | 20,140      |
| Encumbrances  | 469,803   | 304,997   | 121,307  | 34,751   |             |             |             |
| Unencumbered Cash Balance   | (454,391) | (284,741) | (89,292) | (14,611) | 20,140      | 20,140      | 20,140      |

**Additional Information:**

|  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|
| Amount Req. by Bond Covenants                              |  |  |  |  |  |  |  |
| Amount from Bond Proceeds                                  |  |  |  |  |  |  |  |
| Amount Held in CODs, Escrow Accounts, or Other Investments |  |  |  |  |  |  |  |

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: TRN  
 Prog ID(s): TRN 995  
 Name of Fund: Non-Appropriated  
 Legal Authority: Administratively Created

Contact Name: Clarita Hironaka  
 Phone: 587-2211  
 Fund type (MOF) N  
 Appropriation Acct. No. S-513-D (S-17-513-D)

**Intended Purpose:**

Federal monies received from FHWA will be deposited into this account as reimbursement for Oahu Metropolitan Planning Organization.

**Source of Revenues:**

PL-0052(039)

**Current Program Activities/Allowable Expenses:**

OahuMPO consultant contract costs - federal portion

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

| Financial Data  |          |           |           |           |             |             |             |
|---|----------|-----------|-----------|-----------|-------------|-------------|-------------|
|   | FY 2016  | FY 2017   | FY 2018   | FY 2019   | FY 2020     | FY 2021     | FY 2022     |
|   | (actual) | (actual)  | (actual)  | (actual)  | (estimated) | (estimated) | (estimated) |
| Appropriation Ceiling   | 0        | 0         | 0         |           |             |             |             |
| Beginning Cash Balance  | 0        | 0         | 39,011    | 187,401   | 20,126      | 20,126      | 20,126      |
| Revenues  | 0        | 39,011    | 660,240   | 361,860   |             |             |             |
| Expenditures  | 0        |           | 495,241   | 563,227   |             |             |             |
| <b>Transfers</b>  |          |           |           |           |             |             |             |
| List each net transfer in/out/ or projection in/out; list each account number |          |           |           |           |             |             |             |
| JV-037 dtd 09/12/18   |          |           |           | 163,692   |             |             |             |
| JV-092 dtd 01/11/19   |          |           |           | (100,000) |             |             |             |
| JV-110 dtd 02/13/19   |          |           |           | (29,600)  |             |             |             |
| Net Total Transfers   | 0        | 0         | (16,609)  | 34,092    | 0           | 0           | 0           |
| Ending Cash Balance   | 0        | 39,011    | 187,401   | 20,126    | 20,126      | 20,126      | 20,126      |
| Encumbrances  | 0        | 792,000   | 1,128,832 | 735,110   |             |             |             |
| Unencumbered Cash Balance   | 0        | (752,989) | (941,431) | (714,984) | 20,126      | 20,126      | 20,126      |

**Additional Information:**

|   |  |  |  |  |  |  |  |
|---|--|--|--|--|--|--|--|
| Amount Req. by Bond Covenants                                 |  |  |  |  |  |  |  |
| Amount from Bond Proceeds                                     |  |  |  |  |  |  |  |
| Amount Held in CODs, Escrow<br>Accounts, or Other Investments |  |  |  |  |  |  |  |



**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: TRN  
 Prog ID(s): TRN 995  
 Name of Fund: Bid Bond Deposits - Administration  
 Legal Authority: \_\_\_\_\_

Contact Name: Clarita Hironaka  
 Phone: 587-2211  
 Fund type (MOF) T  
 Appropriation Acct. No. T-905-D

**Intended Purpose:**

Receipt and disbursement of Bid Bond Deposits for contracts that are put out to bid.

**Source of Revenues:**

Receipt of bid bond deposits for contracts that are put out to bid. Interest revenue from the Budget & Finance investment Pool program.

**Current Program Activities/Allowable Expenses:**

Procurement & contracting.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

| <b>Financial Data</b>   |          |          |          |          |             |             |             |
|---|----------|----------|----------|----------|-------------|-------------|-------------|
|   | FY 2016  | FY 2017  | FY 2018  | FY 2019  | FY 2020     | FY 2021     | FY 2022     |
|   | (actual) | (actual) | (actual) | (actual) | (estimated) | (estimated) | (estimated) |
| Appropriation Ceiling   |          |          |          |          |             |             |             |
| Beginning Cash Balance  | 49,425   | 7,400    | 67,400   | 16,800   | 76,900      | 76,900      | 76,900      |
| Revenues  | 10,343   | 60,000   | 9,400    | 69,500   |             |             |             |
| Expenditures  | 52,368   |          | 60,000   | 9,400    |             |             |             |
| <b>Transfers</b>  |          |          |          |          |             |             |             |
| List each net transfer in/out/ or projection in/out; list each account number |          |          |          |          |             |             |             |
|   |          |          |          |          |             |             |             |
| Net Total Transfers   | 0        | 0        | 0        | 0        | 0           | 0           | 0           |
| Ending Cash Balance   | 7,400    | 67,400   | 16,800   | 76,900   | 76,900      | 76,900      | 76,900      |
| Encumbrances  |          |          |          |          |             |             |             |
| Unencumbered Cash Balance   | 7,400    | 67,400   | 16,800   | 76,900   | 76,900      | 76,900      | 76,900      |

**Additional Information:**

|  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|
| Amount Req. by Bond Covenants                              |  |  |  |  |  |  |  |
| Amount from Bond Proceeds                                  |  |  |  |  |  |  |  |
| Amount Held in CODs, Escrow Accounts, or Other Investments |  |  |  |  |  |  |  |

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: TRN  
 Prog ID(s): TRN 995  
 Name of Fund: WASHTO Quality Award Program  
 Legal Authority: \_\_\_\_\_

Contact Name: Clarita Hironaka  
 Phone: 587-2211  
 Fund type (MOF) T  
 Appropriation Acct. No. T-908-D

**Intended Purpose:**

Receipt and disbursement of cash awards for the Employees Incentive Awards Program.

**Source of Revenues:**

WASHTO

**Current Program Activities/Allowable Expenses:**

Cash awards for the Employees Incentive Awards Program.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

| <b>Financial Data</b>   |          |          |          |          |             |             |             |
|---|----------|----------|----------|----------|-------------|-------------|-------------|
|   | FY 2016  | FY 2017  | FY 2018  | FY 2019  | FY 2020     | FY 2021     | FY 2022     |
|   | (actual) | (actual) | (actual) | (actual) | (estimated) | (estimated) | (estimated) |
| Appropriation Ceiling   |          |          |          |          |             |             |             |
| Beginning Cash Balance  | 3,000    | 3,000    | 3,000    | 3,000    | 3,000       | 3,000       | 3,000       |
| Revenues  | 3,000    | 3,000    | 3,000    | 3,000    |             |             |             |
| Expenditures  | 3,000    | 3,000    | 3,000    | 3,000    |             |             |             |
| <b>Transfers</b>  |          |          |          |          |             |             |             |
| List each net transfer in/out/ or projection in/out; list each account number |          |          |          |          |             |             |             |
|   |          |          |          |          |             |             |             |
| Net Total Transfers   | 0        | 0        | 0        | 0        | 0           | 0           | 0           |
| Ending Cash Balance   | 3,000    | 3,000    | 3,000    | 3,000    | 3,000       | 3,000       | 3,000       |
| Encumbrances  |          |          |          |          |             |             |             |
| Unencumbered Cash Balance   | 3,000    | 3,000    | 3,000    | 3,000    | 3,000       | 3,000       | 3,000       |

**Additional Information:**

|   |  |  |  |  |  |  |  |
|---|--|--|--|--|--|--|--|
| Amount Req. by Bond Covenants                                 |  |  |  |  |  |  |  |
| Amount from Bond Proceeds                                     |  |  |  |  |  |  |  |
| Amount Held in CODs, Escrow<br>Accounts, or Other Investments |  |  |  |  |  |  |  |