



SOCIAL SERVICES

	FISCAL YEAR 2019-20				THREE MONTHS ENDED 09-30-20				NINE MONTHS ENDING 06-30-21			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	2,641.75	2,158.75	- 483.00	18	2,643.75	2,135.75	- 508.00	19	2,643.75	2,191.00	- 452.75	17
EXPENDITURES (\$1000's)	3,695,676	2,817,058	- 878,618	24	386,121	401,833	+ 15,712	4	3,355,346	3,324,112	- 31,234	1
TOTAL COSTS												
POSITIONS	2,641.75	2,158.75	- 483.00	18	2,643.75	2,135.75	- 508.00	19	2,643.75	2,191.00	- 452.75	17
EXPENDITURES (\$1000's)	3,695,676	2,817,058	- 878,618	24	386,121	401,833	+ 15,712	4	3,355,346	3,324,112	- 31,234	1
	FISCAL YEAR 2019-20				FISCAL YEAR 2020-21							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. % ELIGIBLE YOUTH WHO COMPLETED HS OR GED AT HYCF	16	5	- 11	69	16	5	- 11	69				
2. % VULNERABLE ADULTS W/ APS NOT REABUSED	95	99	+ 4	4	95	95	+ 0	0				
3. % WORK PGM PARTICIPANTS WHO EXITED WITH EMPLOYMENT	22	2	- 20	91	22	3	- 19	86				
4. %TANF/TAONF RCPT PART WRK PGM MTG FED WRK PART STD	28	23	- 5	18	28	23	- 5	18				
5. % PLANNED HWN HOMESTEAD LOTS THAT WERE DEVELOPED	100	120	+ 20	20	100	100	+ 0	0				

VARIANCE REPORT NARRATIVE FY 2020 AND FY 2021

PROGRAM TITLE: SOCIAL SERVICES

06

PART I - EXPENDITURES AND POSITIONS

The variance in the position count is generally attributed to vacancies due to budget constraints, personnel turnovers, and pending recruitment and filling. The variance for expenditures is generally attributed to personnel expenditures being less than planned due to position vacancies. Details of the position and expenditure variances are best examined at the lowest program level.

PART II - MEASURES OF EFFECTIVENESS

See lowest level programs for explanation of variances.

PROGRAM TITLE:

SERVICES TO INDIVIDUALS, FAMILIES & VETERANS

12/5/20

PROGRAM-ID:

PROGRAM STRUCTURE NO: 0601

	FISCAL YEAR 2019-20				THREE MONTHS ENDED 09-30-20				NINE MONTHS ENDING 06-30-21			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	678.00	554.00	- 124.00	18	678.00	567.00	- 111.00	16	678.00	580.00	- 98.00	14
EXPENDITURES (\$1000's)	268,680	208,733	- 59,947	22	32,550	49,477	+ 16,927	52	231,941	214,041	- 17,900	8
TOTAL COSTS												
POSITIONS	678.00	554.00	- 124.00	18	678.00	567.00	- 111.00	16	678.00	580.00	- 98.00	14
EXPENDITURES (\$1000's)	268,680	208,733	- 59,947	22	32,550	49,477	+ 16,927	52	231,941	214,041	- 17,900	8
	FISCAL YEAR 2019-20				FISCAL YEAR 2020-21							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. % CHDRN OOH RET TO FAM W/IN 12 MO OF OOH PLCMT	75	67	- 8	11	75	70	- 5	7				
2. % ELIGIBLE YOUTH WHO COMPLETED HS OR GED AT HYCF	16	5	- 11	69	16	5	- 11	69				
3. % VETERANS' SERVICES PLAN ACHIEVED	95	90	- 5	5	95	90	- 5	5				
4. % VULNERABLE ADULTS W/ APS NOT REABUSED	95	99	+ 4	4	95	95	+ 0	0				

VARIANCE REPORT NARRATIVE FY 2020 AND FY 2021

PROGRAM TITLE: SERVICES TO INDIVIDUALS, FAMILIES & VETERANS

06 01

PART I - EXPENDITURES AND POSITIONS

Details of the position and expenditure variances are best examined at the lowest program level.

PART II - MEASURES OF EFFECTIVENESS

Additional details are provided at the lowest level program narratives.

	FISCAL YEAR 2019-20				THREE MONTHS ENDED 09-30-20				NINE MONTHS ENDING 06-30-21			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	398.50	317.50	- 81.00	20	398.50	334.50	- 64.00	16	398.50	334.50	- 64.00	16
EXPENDITURES (\$1000's)	82,081	74,722	- 7,359	9	9,630	23,083	+ 13,453	140	70,616	57,163	- 13,453	19
TOTAL COSTS												
POSITIONS	398.50	317.50	- 81.00	20	398.50	334.50	- 64.00	16	398.50	334.50	- 64.00	16
EXPENDITURES (\$1000's)	82,081	74,722	- 7,359	9	9,630	23,083	+ 13,453	140	70,616	57,163	- 13,453	19
	FISCAL YEAR 2019-20				FISCAL YEAR 2020-21							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. % CHDRN EXITING OOH CARE TO BIRTH PARENTS	60	57	- 3	5	60	58	- 2	3				
2. % CHDRN SEEN WITHIN THE SPECIFIED TIME FRAME	60	49	- 11	18	60	50	- 10	17				
3. % CHDRN EXITING OOH CARE TO ADOPTION/GUARDIANSHIP	30	36	+ 6	20	30	35	+ 5	17				
4. % CHDRN W/ NO CAN W/IN 6 MOS OF CURRENT CAN	95	98	+ 3	3	95	95	+ 0	0				
5. % CAN REPORTS FOR INVESTIGATION IN CPSS W/IN 4 HRS	85	93	+ 8	9	85	90	+ 5	6				
PART III: PROGRAM TARGET GROUP												
1. CHDRN IN OOH CARE TO RETURN TO PARENTS/GUARDIANS	600	631	+ 31	5	600	600	+ 0	0				
2. CHDRN 0-18 IN NEW REPORTS OF ABUSE/NEGLECT/THREAT	7100	9207	+ 2107	30	7100	9000	+ 1900	27				
3. CHDRN IN OOH CARE FOR ADOPTION/GUARDIANSHIP	330	402	+ 72	22	330	400	+ 70	21				
4. CHDRN RECEIVING CWS SERVICES	5800	5634	- 166	3	5800	5700	- 100	2				
5. CHDRN IN CAN REPORTS FOR INVESTIGATION/ASSESSMENT	3600	5031	+ 1431	40	3600	5000	+ 1400	39				
PART IV: PROGRAM ACTIVITY												
1. CHDRN RECEIVING FAMILY STRENGTHENING SVCS	2450	2419	- 31	1	2450	2450	+ 0	0				
2. CHDRN WITH TIMELY DIRECT CONTACT FROM CWS WRKR	2150	2453	+ 303	14	2150	2400	+ 250	12				
3. CHDRN WITH ADOPTION/GUARDIANSHIP COMPLETED	330	402	+ 72	22	330	400	+ 70	21				
4. CHDRN RECEIVING CWS SVCS W/ CONFIRMED HARM IN 6 MO	19	28	+ 9	47	19	25	+ 6	32				
5. INTAKE REPORTS ASSIGNED TIMELY FOR INVESTIGATION	1500	2462	+ 962	64	1500	2400	+ 900	60				

VARIANCE REPORT NARRATIVE FY 2020 AND FY 2021

06 01 01
HMS 301

PROGRAM TITLE: CHILD PROTECTIVE SERVICES

PART I - EXPENDITURES AND POSITIONS

The variances in filled permanent positions in FYs 20 and 21 are due to the hiring freeze effectuated by Executive Memorandum (E.M.) No. 20-01 and further clarified or extended by E.M. Nos. 20-02, 20-05, and 20-08. Additionally, general fund salaries for positions that were vacant as of March 31, 2020, were defunded in FY 21, so positions could not be filled unless substitute funding was identified.

The variances in expenditures are due to the way the State budgets and expends federal awards.

PART II - MEASURES OF EFFECTIVENESS

2. The variance is due to the difficulties Child Welfare Services (CWS) assessment workers experience in successfully meeting with all parents and children in a case, after the point of intake, within 48 hours. There are often cases in which CWS investigators are able to interview some, but not all, of the parents and children in the case within the time frame.

3. The variance is a positive outcome and is due to the concerted efforts of CWS staff to find adoptive and guardianship homes for all children in foster care who are not able to safely return to their families. As part of CWS' Program Improvement Plan (PIP), CWS increased training and supervision around establishing permanent homes for children as quickly and safely as possible.

PART III - PROGRAM TARGET GROUPS

2. The variance is due to the unpredictability of the types and the number of calls that come into the statewide child abuse and neglect reporting hotline. Also, due to CWS PIP policy and practice changes, new intakes are created on active CWS cases when allegations of new harm are reported to the statewide child abuse and neglect reporting hotline. In the past, many of these new intakes would have been logged as calls of concern on the active case instead.

3. The variance is due to CWS staff's efforts to secure permanent homes for children who are unable to safely return to their original family homes. As a result, more children are in safe, permanent families, and fewer children are remaining in foster care and emancipating from (i.e., "aging out of") foster care without a family or a home.

5. The variance is due to the unpredictability of the types and the number of calls that come into the statewide child abuse and neglect reporting hotline. Also, the policy and practice change regarding creating new intakes on active cases likely contributed to the increase.

PART IV - PROGRAM ACTIVITIES

2. The variance is a positive result of CWS supervisors focusing on managing their staff to perform initial contacts with the children in a timely manner and the staff's use of a real-time data tracker as an aid to gauge their work performance.

3. This variance is a positive result of the CWS staff's concerted and diligent efforts to identify and engage appropriate and safe permanent families for children who cannot safely return home and to complete the necessary paperwork to effectuate adoption and legal guardianship.

4. The variance is due to the difficulty in predicting which environments and which individuals may not be safe for a child. CWS has assessment tools, trainings, and procedures in place to minimize any additional harm to children within CWS' system and many CWS PIP activities are anticipated to have a positive impact on this program activity over time since they focus on increasing caseworkers' face-to-face contact with children in foster care, improving the quality and frequency of formal supervision for caseworkers, and reinforcing CWS' safety framework and safety decision making.

VARIANCE REPORT NARRATIVE FY 2020 AND FY 2021

06 01 01
HMS 301

PROGRAM TITLE: CHILD PROTECTIVE SERVICES

5. The variance is due to a calculation error in the planned data. This item is calculated by taking the product of: a) the total number of intakes that were assigned to CWS for investigation by the CWS Intake staff; and b) the percentage of intakes that the CWS Intake staff assigned for CWS investigation within four hours.

PROGRAM TITLE:

GENERAL SUPPORT FOR CHILD CARE

12/5/20

PROGRAM-ID:

HMS-302

PROGRAM STRUCTURE NO:

060102

	FISCAL YEAR 2019-20				THREE MONTHS ENDED 09-30-20				NINE MONTHS ENDING 06-30-21			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	51.00	43.00	- 8.00	16	51.00	42.00	- 9.00	18	51.00	43.00	- 8.00	16
EXPENDITURES (\$1000's)	13,770	9,272	- 4,498	33	416	356	- 60	14	13,166	13,226	+ 60	0
TOTAL COSTS												
POSITIONS	51.00	43.00	- 8.00	16	51.00	42.00	- 9.00	18	51.00	43.00	- 8.00	16
EXPENDITURES (\$1000's)	13,770	9,272	- 4,498	33	416	356	- 60	14	13,166	13,226	+ 60	0
	FISCAL YEAR 2019-20				FISCAL YEAR 2020-21							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. % REGULATED CC FACILTY NO CONFRMD RPTS INJ/ABU/NEG	99	99	+ 0	0	99	99	+ 0	0				
PART III: PROGRAM TARGET GROUP												
1. # DHS-LICENSED CHILD CARE PROVIDERS	1100	977	- 123	11	1100	977	- 123	11				
PART IV: PROGRAM ACTIVITY												
1. # LICNSD PRVDRS INVESTGD FOR HEALTH/SAFTY VIOLATNS	45	45	+ 0	0	45	45	+ 0	0				
2. # LICENSED PROVIDERS	1100	977	- 123	11	1100	977	- 123	11				
3. # CHILD CARE SLOTS AVAILABLE DUE TO LICENSING	35000	35418	+ 418	1	35000	35418	+ 418	1				

VARIANCE REPORT NARRATIVE FY 2020 AND FY 2021

06 01 02
HMS 302

PROGRAM TITLE: GENERAL SUPPORT FOR CHILD CARE

PART I - EXPENDITURES AND POSITIONS

The variances in filled permanent positions in FYs 20 and 21 are due to the hiring freeze effectuated by Executive Memorandum (E.M.) No. 20-01 and further clarified or extended by E.M. Nos. 20-02, 20-05, and 20-08. Additionally, general fund salaries for positions that were vacant as of March 31, 2020, were defunded in FY 21, so positions could not be filled unless substitute funding was identified.

The variances in expenditures are due to the way the State budgets and expends federal awards.

The variance in FY 21 1st quarter expenditures is also due to some unanticipated delays in executing contracts and receiving vendor invoices and reports.

PART II - MEASURES OF EFFECTIVENESS

No significant variance.

PART III - PROGRAM TARGET GROUPS

1. The decrease in the number of licensed and registered providers is due to the the COVID-19 pandemic. Some child care providers decided to permanently close operations due to the additional public health and safety measures that were necessary to reduce the risk of exposure to the COVID-19 virus and emergency and stay-at-home orders reduced the need for child care since parents were working from home or furloughed from their positions. The planned figure for FY 21 is no longer valid due to the pandemic.

PART IV - PROGRAM ACTIVITIES

2. The decrease in the number of licensed and registered providers is due to the the COVID-19 pandemic. Some child care providers decided to permanently close operations due to the additional public health and safety measures that were necessary to reduce the risk of exposure to the COVID-19 virus and emergency and stay-at-home orders reduced the need for child care since parents were working from home or furloughed from their positions. The planned figure for FY 21 is no longer valid due to the pandemic.

PROGRAM TITLE:

CHILD PROTECTIVE SERVICES PAYMENTS

12/5/20

PROGRAM-ID:

HMS-303

PROGRAM STRUCTURE NO:

060103

	FISCAL YEAR 2019-20				THREE MONTHS ENDED 09-30-20				NINE MONTHS ENDING 06-30-21			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	0.00	0.00	+ 0.00	0	0.00	0.00	+ 0.00	0	0.00	0.00	+ 0.00	0
EXPENDITURES (\$1000's)	73,876	65,115	- 8,761	12	10,747	16,008	+ 5,261	49	63,129	57,868	- 5,261	8
TOTAL COSTS												
POSITIONS	0.00	0.00	+ 0.00	0	0.00	0.00	+ 0.00	0	0.00	0.00	+ 0.00	0
EXPENDITURES (\$1000's)	73,876	65,115	- 8,761	12	10,747	16,008	+ 5,261	49	63,129	57,868	- 5,261	8
	FISCAL YEAR 2019-20				FISCAL YEAR 2020-21							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. % CHDRN OOH RET TO FAM W/IN 12 MO OF OOH PLCMT	75	67	- 8	11	75	70	- 5	7				
2. % CHDRN IN OOH PLACED IN RESOURCE FAMILIES	85	89	+ 4	5	85	85	+ 0	0				
3. % CHDRN IN OOH RECVNG BOARD PAYMNTS	85	86	+ 1	1	85	85	+ 0	0				
PART III: PROGRAM TARGET GROUP												
1. # CHDRN IN OOH CARE ELIGIBLE FOR BOARD PAYMNTS	2650	2721	+ 71	3	2650	2800	+ 150	6				
PART IV: PROGRAM ACTIVITY												
1. # RECVNG PYMNTS FOR RELATIVE/NON-REL FOSTER CARE	2600	2489	- 111	4	2600	2500	- 100	4				
2. # CHDRN RECVNG ON-CALL SHELTER CARE	350	295	- 55	16	350	300	- 50	14				
3. # YOUNG ADULTS PROVIDED PAYMNTS FOR HIGHER EDUCATN	300	264	- 36	12	300	275	- 25	8				
4. # CHDRN RECVNG PERMANENCY ASSISTANCE	900	1124	+ 224	25	900	1100	+ 200	22				
5. # CHDRN RECVNG PYMNTS FOR ADOPTION ASSISTANCE	3300	3091	- 209	6	3300	2950	- 350	11				

VARIANCE REPORT NARRATIVE FY 2020 AND FY 2021

06 01 03
HMS 303

PROGRAM TITLE: CHILD PROTECTIVE SERVICES PAYMENTS

PART I - EXPENDITURES AND POSITIONS

The variances in expenditures are due to the way the State budgets and expends federal awards.

PART II - MEASURES OF EFFECTIVENESS

1. The variance may be due to COVID-19 restrictions, which hindered regular, live contact among children in foster care and their parents from mid-March 2020 through the end of the FY 20 lengthening the time frame for reunification as a result.

PART III - PROGRAM TARGET GROUPS

No significant variance.

PART IV - PROGRAM ACTIVITIES

2. This variance may be due to successful efforts to identify more relatives and more general-licensed resource families for foster placement. Child Welfare Services (CWS) tries to place as many children as possible in resource family homes, ideally, with relatives, because on-call shelters are the least desirable placement for children in foster care.

3. The cause of the variance is uncertain. Although the number of young adults who are enrolled in Imua Kakou (voluntary foster care to age 21) increased, the overall number of former foster youth with financial assistance for higher education remained stable.

4. The variance may be due to successful efforts to find permanency for youth who had been in foster care for long periods rather than having the youth emancipate from foster care. Since a higher than anticipated number of children reunified with their parents during the same period, the variance is not concerning.

PROGRAM TITLE:

CASH SUPPORT FOR CHILD CARE

12/5/20

PROGRAM-ID:

HMS-305

PROGRAM STRUCTURE NO:

060104

	FISCAL YEAR 2019-20				THREE MONTHS ENDED 09-30-20				NINE MONTHS ENDING 06-30-21			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	0.00	0.00	+ 0.00	0	0.00	0.00	+ 0.00	0	0.00	0.00	+ 0.00	0
EXPENDITURES (\$1000's)	63,543	31,129	- 32,414	51	5,628	5,477	- 151	3	57,915	58,066	+ 151	0
TOTAL COSTS												
POSITIONS	0.00	0.00	+ 0.00	0	0.00	0.00	+ 0.00	0	0.00	0.00	+ 0.00	0
EXPENDITURES (\$1000's)	63,543	31,129	- 32,414	51	5,628	5,477	- 151	3	57,915	58,066	+ 151	0
	FISCAL YEAR 2019-20				FISCAL YEAR 2020-21							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. % WORK PGM PARTICIPANTS EXITED PGM W/ EMPLOYMENT	22	2	- 20	91	22	3	- 19	86				
2. % TANF/TAONF RCPT FAM MTG FED WORK PARTICIPTION STD	28	23	- 5	18	28	23	- 5	18				
3. % FTW PRTCNT W/ CHILD CARE SUBSIDIES MTG WORK REQ	30	23	- 7	23	30	23	- 7	23				
4. % APPL REC'G CHILD CARE SUBSIDIES TO MAINTAIN EMPL	78	80	+ 2	3	78	80	+ 2	3				
PART III: PROGRAM TARGET GROUP												
1. # DHS FTW PARTICPANTS REC'D CHILD CARE SUBSIDIES	225	203	- 22	10	225	203	- 22	10				
2. # APPL (NOT FTW) WHO APPLIED CHILD CARE SUBSIDIES	15600	12943	- 2657	17	15600	15000	- 600	4				
PART IV: PROGRAM ACTIVITY												
1. # PRTCNT REC'G DHS CHILD CARE SUBSDIES AS FTW PGM	225	203	- 22	10	225	200	- 25	11				
2. # APPLICANTS ELIGIBLE FOR CHILD CARE SUBSIDIES	12500	11295	- 1205	10	12500	11295	- 1205	10				

VARIANCE REPORT NARRATIVE FY 2020 AND FY 2021

06 01 04
HMS 305

PROGRAM TITLE: CASH SUPPORT FOR CHILD CARE

PART I - EXPENDITURES AND POSITIONS

The variances in expenditures are due to the way the State budgets and expends federal awards.

PART II - MEASURES OF EFFECTIVENESS

1. The variance is mostly due to over-projected planned figures for FY 20 and FY 21 that were not updated to reflect more recent data trends. It was further impacted by the pandemic. Between July 2019 and February 2020, an average of 3% per month exited the work program with employment; however, from March 2020 to June 2020, there were none.

2 & 3. The variances are due to the COVID-19 pandemic's impact on the local economy. From July 2019 to February 2020, the average percentage of participants meeting work requirements was about 26%; however, from March 2020 to June 2020, the average was 12%.

PART III - PROGRAM TARGET GROUPS

1. As the result of participants unable to meet their work participation requirements due to the pandemic, a smaller number of participants received child care subsidies. An average of 231 First-To-Work (FTW) participants received child care subsidies per month between July 2019 and February 2020; however, the average declined to 148 participants per month during the last four months of FY 20, a decrease of 35.8%.

2. The decrease in the number of applications received for child care subsidies may have been due to the increase in the minimum wage rates and low, pre-COVID-19 pandemic unemployment rates, causing families to no longer qualify for some level of child care subsidy assistance. The child care subsidy program has experienced a significant increase in the number of applications during the pandemic since the department temporarily suspended some program requirements, including income eligibility limits and family co-payment contributions, to support families who have been impacted by the COVID-19 pandemic. The department anticipates that the demand for child care subsidy assistance will continue in FY 21 as long as program requirements remain suspended.

PART IV - PROGRAM ACTIVITIES

1. As the result of participants unable to meet their work participation requirements due to the pandemic, a smaller number of participants received child care subsidies. An average of 231 First-To-Work (FTW) participants received child care subsidies per month between July 2019 and February 2020; however, the average declined to 148 participants per month during the last four months of FY 20, a decrease of 35.8%.

2. The decrease in the number of eligible applicants for child care subsidies may have been due to the increase in the minimum wage rates and low, pre-COVID-19 pandemic unemployment rates, causing families to no longer qualify for some level of child care subsidy assistance.

VARIANCE REPORT

	FISCAL YEAR 2019-20				THREE MONTHS ENDED 09-30-20				NINE MONTHS ENDING 06-30-21			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	121.00	101.00	- 20.00	17	121.00	98.00	- 23.00	19	121.00	105.00	- 16.00	13
EXPENDITURES (\$1000's)	21,487	18,400	- 3,087	14	4,193	2,584	- 1,609	38	15,947	17,106	+ 1,159	7
TOTAL COSTS												
POSITIONS	121.00	101.00	- 20.00	17	121.00	98.00	- 23.00	19	121.00	105.00	- 16.00	13
EXPENDITURES (\$1000's)	21,487	18,400	- 3,087	14	4,193	2,584	- 1,609	38	15,947	17,106	+ 1,159	7
	FISCAL YEAR 2019-20				FISCAL YEAR 2020-21							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. DECREASE IN ADMISSIONS TO HYCF	3	23	+ 20	667	2	3	+ 1	50				
2. % INC IN MENTORING/FAMILY STRGTH/ASSESSING CENTERS	5	5	+ 0	0	5	5	+ 0	0				
3. % ELIGIBLE YOUTH WHO COMPLETED HS OR GED AT HYCF	16	5	- 11	69	16	5	- 11	69				
4. % YOUTH COMPLETED TREATMENT/ANGER MGMT AT HYCF	40	49	+ 9	23	40	40	+ 0	0				

VARIANCE REPORT NARRATIVE FY 2020 AND FY 2021

PROGRAM TITLE: AT-RISK YOUTH SERVICES

06 01 05

PART I - EXPENDITURES AND POSITIONS

Details of the position and expenditure variances are best examined at the lowest program level.

PART II - MEASURES OF EFFECTIVENESS

Additional details are provided at the lowest level program narratives.

PROGRAM TITLE:

IN-COMMUNITY YOUTH PROGRAMS

12/5/20

PROGRAM-ID:

HMS-501

PROGRAM STRUCTURE NO:

06010501

	FISCAL YEAR 2019-20				THREE MONTHS ENDED 09-30-20				NINE MONTHS ENDING 06-30-21			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	16.00	14.00	- 2.00	13	16.00	14.00	- 2.00	13	16.00	14.00	- 2.00	13
EXPENDITURES (\$1000's)	11,523	9,224	- 2,299	20	1,925	696	- 1,229	64	9,025	9,804	+ 779	9
TOTAL COSTS												
POSITIONS	16.00	14.00	- 2.00	13	16.00	14.00	- 2.00	13	16.00	14.00	- 2.00	13
EXPENDITURES (\$1000's)	11,523	9,224	- 2,299	20	1,925	696	- 1,229	64	9,025	9,804	+ 779	9
	FISCAL YEAR 2019-20				FISCAL YEAR 2020-21							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. % COMPLIANCE WITH 4 CORE REQUIREMENTS OF JJDPA	100	100	+ 0	0	100	100	+ 0	0				
2. DECREASE IN ADMISSIONS TO HYCF	3	23	+ 20	667	2	3	+ 1	50				
3. % INC IN MENTORING/FAMILY STRGTH/ASSESSING CENTERS	5	5	+ 0	0	5	5	+ 0	0				
PART III: PROGRAM TARGET GROUP												
1. # YOUTH AGES 10 TO 19	7400	6139	- 1261	17	7400	6000	- 1400	19				
2. # OYS YOUTH / FAMILY SERVICE AGENCY CONTRACTS	80	80	+ 0	0	80	70	- 10	13				
PART IV: PROGRAM ACTIVITY												
1. # COLLABORATIONS INITIATED BY OFFICE OF YOUTH SVCS	3	10	+ 7	233	3	5	+ 2	67				
2. # SERVICE PROVIDER MEETINGS CONVENED	16	25	+ 9	56	16	25	+ 9	56				
3. # TRAINING & TECHNICAL ASSISTANCE EVENTS PROVIDED	10	25	+ 15	150	10	25	+ 15	150				

VARIANCE REPORT NARRATIVE FY 2020 AND FY 2021

06 01 05 01
HMS 501

PROGRAM TITLE: IN-COMMUNITY YOUTH PROGRAMS

PART I - EXPENDITURES AND POSITIONS

The variances in filled permanent positions in FYs 20 and 21 are due to the hiring freeze effectuated by Executive Memorandum (E.M.) No. 20-01 and further clarified or extended by E.M. Nos. 20-02, 20-05, and 20-08. Additionally, general fund salaries for positions that were vacant as of March 31, 2020, were defunded in FY 21, so positions could not be filled unless substitute funding was identified.

The variances in expenditures are due to the way the State budgets and expends federal awards.

PART II - MEASURES OF EFFECTIVENESS

2. Juvenile crime rates have been trending downwards over the past decade and continue to decline due to juvenile justice reform. Additionally, the COVID-19 pandemic has had an impact on juvenile arrest rates, resulting in significantly lower arrest rates from March 2020 through June 2020 when compared with the same timeframe in 2019, and the operations of the courts and other legal processes, resulting in fewer sentencing and commitment hearings.

PART III - PROGRAM TARGET GROUPS

1. The variance is primarily attributed to the impacts of the COVID-19 pandemic statewide shutdown of program services and reopening requirements for health and safety due, delaying the programs' ability to provide services to youth.

PART IV - PROGRAM ACTIVITIES

1. The variance is attributed to the need to increase collaborations with other agencies to develop new initiatives/protocols to continue operations during the COVID-19 pandemic.

2. The variance is due to the need to increase communication with providers to ensure continuity and safe services are provided to the families and youth during the COVID-19 pandemic.

3. The variance is attributed to training sessions moving to a virtual forum in response to the COVID-19 pandemic. Using a virtual forum has made the delivery of training easier and more training sessions have been provided to support staff and providers.

PROGRAM TITLE: HAWAII YOUTH CORRECTIONAL FACILITY (HYCF)

PROGRAM-ID: HMS-503

PROGRAM STRUCTURE NO: 06010503

	FISCAL YEAR 2019-20				THREE MONTHS ENDED 09-30-20				NINE MONTHS ENDING 06-30-21			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	105.00	87.00	- 18.00	17	105.00	84.00	- 21.00	20	105.00	91.00	- 14.00	13
EXPENDITURES (\$1000's)	9,964	9,176	- 788	8	2,268	1,888	- 380	17	6,922	7,302	+ 380	5
TOTAL COSTS												
POSITIONS	105.00	87.00	- 18.00	17	105.00	84.00	- 21.00	20	105.00	91.00	- 14.00	13
EXPENDITURES (\$1000's)	9,964	9,176	- 788	8	2,268	1,888	- 380	17	6,922	7,302	+ 380	5

	FISCAL YEAR 2019-20				FISCAL YEAR 2020-21			
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%
PART II: MEASURES OF EFFECTIVENESS								
1. % YOUTH PAROLED/DISCHRG.PRIOR COURT DISCHRG DATE	25	33	+ 8	32	25	25	+ 0	0
2. % OF YOUTH RECOMITD TO HYCF W/IN 1 YEAR OF RELEASE	10	4	- 6	60	10	5	- 5	50
3. % YOUTH DO NOT ENGAGE VIOLENT ACT W/ YOUTH / STAFF	90	38	- 52	58	90	75	- 15	17
4. % ELIGIBLE YOUTH WHO COMPLETED HS OR GED AT HYCF	16	5	- 11	69	16	5	- 11	69
5. % YOUTH WHO COMPLETED TREATMENT/ANGER MGMT AT HYCF	40	49	+ 9	23	40	40	+ 0	0
PART III: PROGRAM TARGET GROUP								
1. # YOUTHS AGES 13 TO 18	50	45	- 5	10	50	45	- 5	10
PART IV: PROGRAM ACTIVITY								
1. # YOUTH PAROLED/DISCHRGD PRIOR COURT DISCHRG DATE	12	15	+ 3	25	12	12	+ 0	0
2. # YOUTH RECOMIT FOR FELONY OFFENSE W/IN 1 YEAR RLS	5	2	- 3	60	5	3	- 2	40
3. # YOUTH DO NOT ENGAGE VIOLENT ACT W/ YOUTH / STAFF	45	17	- 28	62	45	25	- 20	44
4. # YOUTH COMPLETED HIGH SCHOOL / GED TRACKS AT HYCF	8	2	- 6	75	8	5	- 3	38
5. # YOUTH WHO COMPLETED TREATMENT/ANGER MGMT AT HYCF	20	22	+ 2	10	20	20	+ 0	0

VARIANCE REPORT NARRATIVE FY 2020 AND FY 2021

06 01 05 03
HMS 503

PROGRAM TITLE: HAWAII YOUTH CORRECTIONAL FACILITY (HYCF)

PART I - EXPENDITURES AND POSITIONS

The variances in filled permanent positions in FYs 20 and 21 are due to the hiring freeze effectuated by Executive Memorandum (E.M.) No. 20-01 and further clarified or extended by E.M. Nos. 20-02, 20-05, and 20-08. Additionally, general fund salaries for positions that were vacant as of March 31, 2020, were defunded in FY 21, so positions could not be filled unless substitute funding was identified.

The variances in FY 20 and FY 21 1st quarter expenditures are due to the lower-than-anticipated number of committed youth and vacancies.

PART II - MEASURES OF EFFECTIVENESS

1. The variance is due to the courts working with the Hawaii Youth Correctional Facility (HYCF) to reduce the number of youth for safety and manageability in response to the COVID-19 pandemic.
2. The variance is due to a decrease in the total number of youth incarcerated at HYCF and longer commitment terms with more stringent orders for youth who were incarcerated, both of which resulted in a smaller number of youth discharged and recommitted.
3. The variance is due to a decrease in the total number of youth incarcerated at HYCF with the courts committing youth who primarily pose a risk to themselves or society, resulting in a more volatile environment.
4. The variance is due to a decrease in the total number of youth incarcerated at HYCF. Committed youth have a history of truancy, lower grade levels, and are behind in credits with insufficient time remaining prior to aging out to make up those credits, which resulted in a smaller number of youth completing their education.
5. The variance is a positive outcome due to partners in the community committing themselves to provide services despite set-backs caused by the COVID-19 pandemic.

PART III - PROGRAM TARGET GROUPS

1. The variance is due to continued juvenile justice reform and the COVID-19 pandemic causing numerous State and HYCF operations to either shut down or downsize operations and shelter at home.

PART IV - PROGRAM ACTIVITIES

1. The variance is due to courts committing youth with provisions for early release and the courts working with the facility to reduce eligible youth that did not pose a high risk to the community in response to the COVID-19 pandemic.
2. The variance is due to a decrease in the total number of youth incarcerated at HYCF and longer commitment terms with more stringent orders for youth who were incarcerated, both of which resulted in a smaller number of youth discharged and recommitted.
3. The variance was due to a decrease in the total number of youth incarcerated at HYCF with the courts committing youth who primarily pose a risk to themselves or society, resulting in a more volatile environment.
4. The variance is due to a decrease in the total number of youth incarcerated at HYCF. Committed youth have a history of truancy, lower grade levels, and are behind in credits with insufficient time remaining prior to aging out to make up those credits, which resulted in a smaller number of youth completing their education.
5. The variance is a positive outcome due to partners in the community committing themselves to provide services despite set-backs caused by the COVID-19 pandemic.

	FISCAL YEAR 2019-20				THREE MONTHS ENDED 09-30-20				NINE MONTHS ENDING 06-30-21			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	28.00	23.00	- 5.00	18	28.00	23.00	- 5.00	18	28.00	28.00	+ 0.00	0
EXPENDITURES (\$1000's)	2,073	1,822	- 251	12	464	516	+ 52	11	1,390	1,338	- 52	4
TOTAL COSTS												
POSITIONS	28.00	23.00	- 5.00	18	28.00	23.00	- 5.00	18	28.00	28.00	+ 0.00	0
EXPENDITURES (\$1000's)	2,073	1,822	- 251	12	464	516	+ 52	11	1,390	1,338	- 52	4
	FISCAL YEAR 2019-20				FISCAL YEAR 2020-21							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. PERCENT OF VETERANS' SERVICES PLAN ACHIEVED	95	90	- 5	5	95	90	- 5	5				
2. % OF STATE VETERANS CEMETERY DEV PLAN ACHIEVED	90	85	- 5	6	90	85	- 5	6				
3. PERCENT OF ADVISORY BOARD PROJECTS COMPLETED	85	80	- 5	6	85	80	- 5	6				
4. % VETS ASSISTED TO APPLY REAPPLY FOR SVCS/BENEFITS	60	65	+ 5	8	60	65	+ 5	8				
5. PERCENT OF VETERANS' ORGANIZATIONS ASSISTED	50	55	+ 5	10	50	55	+ 5	10				
PART III: PROGRAM TARGET GROUP												
1. POTENTIAL # VETERANS NEEDING INFO & GEN SUPPT SVCS	120000	114521	- 5479	5	120000	114000	- 6000	5				
2. # VETERANS' ORGS NEEDING ASSISTANCE/SUPPORT	185	180	- 5	3	185	180	- 5	3				
PART IV: PROGRAM ACTIVITY												
1. NUMBER OF ADVISORY BOARD PROJECTS COMPLETED	4	4	+ 0	0	4	4	+ 0	0				
2. NUMBER OF VETERANS PROVIDED WITH SERVICES	71000	65000	- 6000	8	71000	69000	- 2000	3				
3. # VETERANS' COMMUNITY, GOVT ACTIVITIES SUPPORTED	65	60	- 5	8	65	60	- 5	8				
4. # INTERMENT/INURNMENT FOR VETERANS/DEPENDENT	600	580	- 20	3	600	540	- 60	10				
5. NUMBER OF HITS ON OVS WEBSITE ANNUALLY	4500	12347	+ 7847	174	4500	8000	+ 3500	78				

VARIANCE REPORT NARRATIVE FY 2020 AND FY 2021

06 01 06
DEF 112

PROGRAM TITLE: SERVICES TO VETERANS

PART I - EXPENDITURES AND POSITIONS

FY 20 & FY 21: The differences in budgeted and actual positions filled has been attributed to the Governor's statewide hiring freeze implementation effective April 4, 2020 in response to the coronavirus (COVID-19) pandemic. It has been anticipated that the department will focus on hiring certain critical positions in the second to the fourth quarter of FY 21, or once the hiring freeze is lifted.

FY 20 & FY 21: Fluctuations in the expenditure between the budgeted and actual amounts have been due to limited operations due to the COVID-19. Federal funding, which consists to 75% of the total departmental budget, has been fluctuating depending on the disasters and grants. It has been anticipated that the expenditure would increase in the subsequent quarters as disaster response activities ramp up.

PART II - MEASURES OF EFFECTIVENESS

Item 5: The number of requests for assistance varies from year to year. It is difficult to project the needs of Veterans' organizations.

PART III - PROGRAM TARGET GROUPS

No significant variances.

PART IV - PROGRAM ACTIVITIES

Item 5: The number of Office of Veterans' Services (OVS) hits has exceeded planned amounts. This activity has fluctuations due to many other variables and considering the current economic climate. The increase in hits has been attributed to more organizations and individuals seeking assistance due to heightened COVID-19 implications. It has also been noted that due to limited physical interface, more users use the OVS website to gather information for veterans services.

PROGRAM TITLE:

ADULT PROTECTIVE AND COMMUNITY CARE SERVICES

12/5/20

PROGRAM-ID:

HMS-601

PROGRAM STRUCTURE NO:

060107

	FISCAL YEAR 2019-20				THREE MONTHS ENDED 09-30-20				NINE MONTHS ENDING 06-30-21			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	79.50	69.50	- 10.00	13	79.50	69.50	- 10.00	13	79.50	69.50	- 10.00	13
EXPENDITURES (\$1000's)	11,850	8,273	- 3,577	30	1,472	1,453	- 19	1	9,778	9,274	- 504	5
TOTAL COSTS												
POSITIONS	79.50	69.50	- 10.00	13	79.50	69.50	- 10.00	13	79.50	69.50	- 10.00	13
EXPENDITURES (\$1000's)	11,850	8,273	- 3,577	30	1,472	1,453	- 19	1	9,778	9,274	- 504	5
	FISCAL YEAR 2019-20				FISCAL YEAR 2020-21							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. % VULNERABLE ADULTS W/ APS NOT REABUSED	95	99	+ 4	4	95	95	+ 0	0				
2. % SERVED BY FOSTER GP/COMPANIONS OBTAINING GOALS	85	73	- 12	14	85	85	+ 0	0				
PART III: PROGRAM TARGET GROUP												
1. # ADULTS REPORTED TO BE ABUSED	2450	2296	- 154	6	2500	1945	- 555	22				
2. # ADULTS ELIGIBLE TO BE COMPANIONS/FOSTER GP	200	60	- 140	70	200	60	- 140	70				
PART IV: PROGRAM ACTIVITY												
1. # ADULTS PROVIDED ADULT PROTECTIVE SERVICES	750	804	+ 54	7	800	768	- 32	4				
2. # VULNERABLE ADULTS PROVIDED CASE MANAGEMENT SVCS	375	285	- 90	24	400	172	- 228	57				
3. # ADULTS WHO ARE FOSTER GRANDPARENTS	100	84	- 16	16	100	85	- 15	15				
4. # CHILDREN PROVIDED FOSTER GRANDPARENTS	300	325	+ 25	8	300	355	+ 55	18				
5. # ADULTS WHO ARE SENIOR COMPANIONS	80	54	- 26	33	80	60	- 20	25				
6. # ADULTS PROVIDED SENIOR COMPANIONS	200	177	- 23	12	200	185	- 15	8				
7. # ADULTS WHO ARE RESPITE COMPANIONS	30	19	- 11	37	30	20	- 10	33				

VARIANCE REPORT NARRATIVE FY 2020 AND FY 2021

06 01 07
HMS 601

PROGRAM TITLE: ADULT PROTECTIVE AND COMMUNITY CARE SERVICES

PART I - EXPENDITURES AND POSITIONS

The variances in filled permanent positions in FYs 20 and 21 are due to the hiring freeze effectuated by Executive Memorandum (E.M.) No. 20-01 and further clarified or extended by E.M. Nos. 20-02, 20-05, and 20-08. Additionally, general fund salaries for positions that were vacant as of March 31, 2020, were defunded in FY 21, so positions could not be filled unless substitute funding was identified.

The variance in expenditures is due to the way the State budgets and expends federal awards.

PART II - MEASURES OF EFFECTIVENESS

2. The variance is due to incomplete Foster Grandparent Program (FGP) data. FGP normally collects outcome information on students served by FGP volunteers from teachers in April and May; however, on March 16, 2020, FGP volunteers were required to stop serving students in schools due to the onset of the COVID-19 pandemic and did not return for the remainder of the school year. In spite of FGP's efforts to contact teachers via phone, email, and mail, FGP was unable to obtain all outcome information.

PART III - PROGRAM TARGET GROUPS

2. The variance is due to the COVID-19 pandemic's impact on the Senior Companion Program's (SCPs') volunteer recruitment efforts and community outreach opportunities, such as senior and veteran fairs, which were cancelled without plans to resume anytime soon.

PART IV - PROGRAM ACTIVITIES

2. The variance with case management activities can be attributed to short-staffing due to vacancies and the hiring freeze.

3 & 5. The variances are due to FGP and SCP volunteer attrition as volunteers age and face health issues and potential new recruits select to stay active with employment, fitness, and care giving into older ages than previous cohorts.

6. The variance is due to the effects of the COVID-19 pandemic. SCP services were suspended on March 17, 2020, and volunteer stations, which generate clients for the SCP, temporarily closed their offices without a specific re-opening date. Many of these agencies suspended services due to health and safety concerns and were not allowing any meetings or face-to-face contact. Additionally, a few families receiving companionship/respite services from SCP requested that volunteers not provide in-home services due to the risk of contracting COVID-19.

7. The variance is due to the effects of the COVID-19 pandemic and the accompanying stay-at-home orders. New applicants withdrew from recruitment and some existing respite companions have dropped out or declined to work out of fear of catching COVID-19. Many Respite Companion Program host agencies have closed since mid-March 2020 and many other agencies are teleworking or not accepting new participants or seniors, making it difficult to find participants a work station or to recruit more participants.

VARIANCE REPORT

	FISCAL YEAR 2019-20				THREE MONTHS ENDED 09-30-20				NINE MONTHS ENDING 06-30-21			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	1,149.00	954.00	- 195.00	17	1,149.00	940.00	- 209.00	18	1,149.00	972.00	- 177.00	15
EXPENDITURES (\$1000's)	3,146,441	2,383,608	- 762,833	24	319,187	331,102	+ 11,915	4	2,883,770	2,867,613	- 16,157	1
TOTAL COSTS												
POSITIONS	1,149.00	954.00	- 195.00	17	1,149.00	940.00	- 209.00	18	1,149.00	972.00	- 177.00	15
EXPENDITURES (\$1000's)	3,146,441	2,383,608	- 762,833	24	319,187	331,102	+ 11,915	4	2,883,770	2,867,613	- 16,157	1
	FISCAL YEAR 2019-20				FISCAL YEAR 2020-21							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. % ABD CLIENTS EXITING PGM DUE TO SSI/RSDI BENEFITS	23	1	- 22	96	23	1	- 22	96				
2. % OF GA INDIVS EXIT DUE TO AMELIORATN OF DISABIL	12	19	+ 7	58	12	19	+ 7	58				
3. PUB HSG AVG MONTHLY RENT PAYMENT (\$)	400	485	+ 85	21	400	485	+ 85	21				
4. % LTC CLIENTS RECEIVING CARE UNDER HCBS PROGRAM	77	59.34	- 17.66	23	78	60.5	- 17.5	22				
5. % WORK PGM PARTICIPANTS WHO EXITED WITH EMPLOYMENT	22	2	- 20	91	22	3	- 19	86				
6. %TANF/TAONF RCPT PART WRK PGM MTG FED WRK PART STD	28	23	- 5	18	28	23	- 5	18				

VARIANCE REPORT NARRATIVE FY 2020 AND FY 2021

PROGRAM TITLE: ASSURED STANDARD OF LIVING

06 02

PART I - EXPENDITURES AND POSITIONS

Details of the position and expenditure variances are best examined at the lowest program level.

PART II - MEASURES OF EFFECTIVENESS

Additional details are provided at the lowest level program narratives.

VARIANCE REPORT

	FISCAL YEAR 2019-20				THREE MONTHS ENDED 09-30-20				NINE MONTHS ENDING 06-30-21			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	0.00	0.00	+ 0.00	0	0.00	0.00	+ 0.00	0	0.00	0.00	+ 0.00	0
EXPENDITURES (\$1000's)	103,316	68,454	- 34,862	34	22,318	20,269	- 2,049	9	80,998	82,748	+ 1,750	2
TOTAL COSTS												
POSITIONS	0.00	0.00	+ 0.00	0	0.00	0.00	+ 0.00	0	0.00	0.00	+ 0.00	0
EXPENDITURES (\$1000's)	103,316	68,454	- 34,862	34	22,318	20,269	- 2,049	9	80,998	82,748	+ 1,750	2
	FISCAL YEAR 2019-20				FISCAL YEAR 2020-21							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. % ABD CLIENTS EXITING PGM DUE TO SSI/RSDI BENEFITS	23	1	- 22	96	23	1	- 22	96				
2. % OF GA INDIVS EXIT DUE TO AMELIORATN OF DISABIL	12	19	+ 7	58	12	19	+ 7	58				
3. AVERAGE INCOME OF ELGIBLE ENERGY CREDIT HOUSEHOLDS	20300	21194	+ 894	4	20300	21194	+ 894	4				

VARIANCE REPORT NARRATIVE FY 2020 AND FY 2021

PROGRAM TITLE: MONETARY ASSISTANCE FOR GENERAL NEEDS

06 02 01

PART I - EXPENDITURES AND POSITIONS

Details of the expenditure variance are best examined at the lowest program level.

PART II - MEASURES OF EFFECTIVENESS

Additional details are provided at the lowest level program narratives.

PROGRAM TITLE:

AGED, BLIND AND DISABLED PAYMENTS

12/5/20

PROGRAM-ID:

HMS-202

PROGRAM STRUCTURE NO:

06020102

	FISCAL YEAR 2019-20				THREE MONTHS ENDED 09-30-20				NINE MONTHS ENDING 06-30-21			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	0.00	0.00	+ 0.00	0	0.00	0.00	+ 0.00	0	0.00	0.00	+ 0.00	0
EXPENDITURES (\$1000's)	4,029	3,519	- 510	13	1,382	1,241	- 141	10	2,647	2,789	+ 142	5
TOTAL COSTS												
POSITIONS	0.00	0.00	+ 0.00	0	0.00	0.00	+ 0.00	0	0.00	0.00	+ 0.00	0
EXPENDITURES (\$1000's)	4,029	3,519	- 510	13	1,382	1,241	- 141	10	2,647	2,789	+ 142	5
	FISCAL YEAR 2019-20				FISCAL YEAR 2020-21							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. % INDIVIDUALS EXITING PGM DUE TO SSI/RSDI BENEFITS	23	1	- 22	96	23	1	- 22	96				
PART III: PROGRAM TARGET GROUP												
1. # INDIVIDUALS ELIGIBLE FOR BENEFITS	800	814	+ 14	2	800	814	+ 14	2				
PART IV: PROGRAM ACTIVITY												
1. AVERAGE MONTHLY PAYMENT PER HOUSEHOLD	364	359	- 5	1	364	359	- 5	1				
2. # APPLICATIONS APPROVED EACH MONTH FOR AABD PGM	36	36	+ 0	0	36	36	+ 0	0				
3. #AABD CLIENTS EXITING PGM DUE TO SSI/RSDI BENEFITS	10	8	- 2	20	10	8	- 2	20				

VARIANCE REPORT NARRATIVE FY 2020 AND FY 2021

06 02 01 02
HMS 202

PROGRAM TITLE: AGED, BLIND AND DISABLED PAYMENTS

PART I - EXPENDITURES AND POSITIONS

The variance in FY 20 expenditures is due to the steady decline in the number of Aid to the Aged, Blind, and Disabled (AABD) applicants and the total AABD caseloads.

The variance in FY 21 1st quarter expenditures is due to the additional allotment made because of the projected increase in caseloads.

PART II - MEASURES OF EFFECTIVENESS

1. The variance is due to the over-projected planned percentage for individuals exiting the program due to Supplemental Security Income/Retirement, Survivors, and Disability Insurance (SSI/RSDI) benefits. Adjustments for the planned data will be made in the future to align with the actual percentage.

PART III - PROGRAM TARGET GROUPS

No significant variance.

PART IV - PROGRAM ACTIVITIES

3. The variance is due to the decrease in the number of AABD recipients who were referred to the Social Security Administration for SSI/RSDI and a possible slowdown of processing the applications.

PROGRAM TITLE:

GENERAL ASSISTANCE PAYMENTS

12/5/20

PROGRAM-ID:

HMS-204

PROGRAM STRUCTURE NO:

06020103

	FISCAL YEAR 2019-20				THREE MONTHS ENDED 09-30-20				NINE MONTHS ENDING 06-30-21			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	0.00	0.00	+ 0.00	0	0.00	0.00	+ 0.00	0	0.00	0.00	+ 0.00	0
EXPENDITURES (\$1000's)	26,889	25,989	- 900	3	10,830	9,576	- 1,254	12	16,059	17,313	+ 1,254	8
TOTAL COSTS												
POSITIONS	0.00	0.00	+ 0.00	0	0.00	0.00	+ 0.00	0	0.00	0.00	+ 0.00	0
EXPENDITURES (\$1000's)	26,889	25,989	- 900	3	10,830	9,576	- 1,254	12	16,059	17,313	+ 1,254	8
	FISCAL YEAR 2019-20				FISCAL YEAR 2020-21							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. % INDIVIDUALS EXIT DUE TO AMELIORATN OF TEMP DISABIL	12	19	+ 7	58	12	19	+ 7	58				
2. % INDIVIDUALS EXITING PGRM DUE TO SSI/RSDI BENEFITS	8	6	- 2	25	8	6	- 2	25				
PART III: PROGRAM TARGET GROUP												
1. # INDIVIDUALS ELIGIBLE FOR GEN ASSISTANCE BENEFITS	5318	5518	+ 200	4	5318	5518	+ 200	4				
PART IV: PROGRAM ACTIVITY												
1. AVERAGE MONTHLY PAYMENT PER HOUSEHOLD	372	371	- 1	0	372	371	- 1	0				
2. # APPLICATIONS APPROVED FOR GENERAL ASSISTANCE	643	710	+ 67	10	643	710	+ 67	10				
3. # CASES CLOSED DUE TO NO LONGER DISABLED DETERMNTN	957	1055	+ 98	10	957	1055	+ 98	10				
4. # CASES CLOSED DUE TO RECEIPT OF SSI/RSDI BENEFITS	632	320	- 312	49	632	292	- 340	54				

VARIANCE REPORT NARRATIVE FY 2020 AND FY 2021

06 02 01 03
HMS 204

PROGRAM TITLE: GENERAL ASSISTANCE PAYMENTS

PART I - EXPENDITURES AND POSITIONS

The variance in FY 20 expenditures is due to the \$3,000,000 ceiling budgeted for the Interim Assistance Reimbursement Special Fund authorized under Section 346-57.5, Hawaii Revised Statutes. This is only used if there are not enough general funds to cover any increase in the General Assistance (GA) caseload and if the current allocation is not sufficient to cover the total benefit issuance for this population.

The variance in FY 21 1st quarter expenditures is due to an overestimated increase in caseloads as a result of the COVID-19 pandemic. Prior to the pandemic, GA caseloads have been stable for four years. Starting March 2020, the emergency procedures fueled by Executive Order 20-02 allowed the Department to expedite the processing of GA applications by providing a three-month period where an applicant could receive benefits pending a disability evaluation, and easing other program requirements until the Governor declares an end to the emergency pandemic procedures. The caseloads surged in March 2020 from 5,304 to 6,887 in June 2020.

PART II - MEASURES OF EFFECTIVENESS

1. The variance is due to the under-projected planned percentage for individuals exiting the program due to amelioration of temporary disability. Adjustments for the planned data will be made in the future to align with the actual percentage.

2. The variance is possibly due to a slowdown in Social Security Administration's (SSA) approval of disability determinations.

PART III - PROGRAM TARGET GROUPS

No significant variance.

PART IV - PROGRAM ACTIVITIES

2. The variance is a result of the surge of applicants due to the COVID-19 pandemic. Prior to March 2020, the number of GA applications were

declining but increased by 38% from March 2020 to June 2020.

3. The variance is a result of larger caseloads due to the COVID-19 pandemic.

4. The variance is possibly due to a slowdown in SSA's approval of disability determinations.

PROGRAM TITLE:

FEDERAL ASSISTANCE PAYMENTS

12/5/20

PROGRAM-ID:

HMS-206

PROGRAM STRUCTURE NO:

06020104

	FISCAL YEAR 2019-20				THREE MONTHS ENDED 09-30-20				NINE MONTHS ENDING 06-30-21			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	0.00	0.00	+ 0.00	0	0.00	0.00	+ 0.00	0	0.00	0.00	+ 0.00	0
EXPENDITURES (\$1000's)	5,704	4,795	- 909	16	0	0	+ 0	0	5,704	5,704	+ 0	0
TOTAL COSTS												
POSITIONS	0.00	0.00	+ 0.00	0	0.00	0.00	+ 0.00	0	0.00	0.00	+ 0.00	0
EXPENDITURES (\$1000's)	5,704	4,795	- 909	16	0	0	+ 0	0	5,704	5,704	+ 0	0
	FISCAL YEAR 2019-20				FISCAL YEAR 2020-21							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. % LIHEAP HOUSEHOLD W/ VULNERABLE HOUSEHOLD MEMBER	80	77	- 3	4	80	77	- 3	4				
2. AVERAGE INCOME OF ELGIBLE ENERGY CREDIT HOUSEHOLDS	20300	21194	+ 894	4	20300	21194	+ 894	4				
3. AVE INCOME FOR ENERGY CRISIS INTRVNTION HOUSEHOLDS	20305	25221	+ 4916	24	20305	27243	+ 6938	34				
PART III: PROGRAM TARGET GROUP												
1. NUMBER OF HOUSEHOLDS ELIGIBLE FOR LIHEAP	9100	8562	- 538	6	9100	8800	- 300	3				
PART IV: PROGRAM ACTIVITY												
1. # APPS APPROVED FOR ENERGY CRISIS INTRVNTION (ECI)	1020	845	- 175	17	1020	1000	- 20	2				
2. # APPS APPROVED FOR ENERGY CREDIT (EC)	8200	7717	- 483	6	8200	7800	- 400	5				
3. AVERAGE ENERGY CRISIS INTERVENTION (ECI) PAYMENT	425	597	+ 172	40	425	435	+ 10	2				
4. AVERAGE ENERGY CREDIT (EC) PAYMENT	465	NO DATA	- 465	100	465	465	+ 0	0				

VARIANCE REPORT NARRATIVE FY 2020 AND FY 2021

06 02 01 04
HMS 206

PROGRAM TITLE: FEDERAL ASSISTANCE PAYMENTS

PART I - EXPENDITURES AND POSITIONS

The variance in expenditures for FY 20 is due to the way the State budgets and expends federal awards and the timing of Low Income Home Energy Assistance Program (LIHEAP) benefits issued during the fiscal year.

PART II - MEASURES OF EFFECTIVENESS

3. The average income for FY 20 is higher than the planned data due to the impacts of COVID-19 pandemic. Households with higher income that do not typically qualify for LIHEAP are now income eligible due to loss of income from regular wages. Although unemployment benefits are still counted, stimulus unemployment income is not.

PART III - PROGRAM TARGET GROUPS

No significant variance.

PART IV - PROGRAM ACTIVITIES

1. There was a decrease in demand for Energy Crisis Intervention (ECI) assistance due to disconnection moratoriums by the utility companies.

3. There were fewer applicants for ECI due to the disconnection moratoriums, resulting in a higher average benefit amount of \$597 for those who were approved. The current maximum ECI benefit is \$650.

4. LIHEAP Energy Credit (EC) applications are still being evaluated and benefits are still to be determined. The average payment is not available at this time; however, results close to the estimate is anticipated.

PROGRAM TITLE:

CASH SUPPORT FOR FAMILIES - SELF-SUFFICIENCY

12/5/20

PROGRAM-ID:

HMS-211

PROGRAM STRUCTURE NO:

06020106

	FISCAL YEAR 2019-20				THREE MONTHS ENDED 09-30-20				NINE MONTHS ENDING 06-30-21			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	0.00	0.00	+ 0.00	0	0.00	0.00	+ 0.00	0	0.00	0.00	+ 0.00	0
EXPENDITURES (\$1000's)	66,694	34,151	- 32,543	49	10,106	9,452	- 654	6	56,588	56,942	+ 354	1
TOTAL COSTS												
POSITIONS	0.00	0.00	+ 0.00	0	0.00	0.00	+ 0.00	0	0.00	0.00	+ 0.00	0
EXPENDITURES (\$1000's)	66,694	34,151	- 32,543	49	10,106	9,452	- 654	6	56,588	56,942	+ 354	1
	FISCAL YEAR 2019-20				FISCAL YEAR 2020-21							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. % FAMILIES W/ REDUCED ASSISTANCE DUE TO INCOME	28	33	+ 5	18	28	33	+ 5	18				
2. % FAMILIES EXITING PROGM DUE TO INCREASE IN INCOME	12	12	+ 0	0	12	12	+ 0	0				
3. % FAMILIES EXITING PROGM DUE TO CHILD AGE MAJORITY	4	3	- 1	25	4	3	- 1	25				
4. PERCENT OF CHILD ONLY CASES	32	16	- 16	50	32	16	- 16	50				
PART III: PROGRAM TARGET GROUP												
1. NUMBER OF ELIGIBLE FAMILIES	4800	4573	- 227	5	4800	4573	- 227	5				
PART IV: PROGRAM ACTIVITY												
1. AVERAGE MONTHLY PAYMENT PER HOUSEHOLD	584	592	+ 8	1	584	592	+ 8	1				
2. # APPS APPROVED MNTHLY FOR SUPPORT THRU TANF PROGM	375	441	+ 66	18	375	441	+ 66	18				
3. NUMBER OF CHILD ONLY CASES	1547	1339	- 208	13	1547	991	- 556	36				
4. NUMBER OF FAMILIES WITH INCOME	1958	1522	- 436	22	1958	1522	- 436	22				
5. NUMBER OF FAMILIES CLOSED DUE TO INCOME	760	573	- 187	25	760	573	- 187	25				

VARIANCE REPORT NARRATIVE FY 2020 AND FY 2021

06 02 01 06
HMS 211

PROGRAM TITLE: CASH SUPPORT FOR FAMILIES - SELF-SUFFICIENCY

PART I - EXPENDITURES AND POSITIONS

The variances in expenditures are due to the way the State budgets and expends federal awards.

PART II - MEASURES OF EFFECTIVENESS

1. The variance is due to the increased caseloads as a result of the COVID-19 pandemic and the associated economic slowdown. Prior to March 2020, Temporary Assistance for Needy Families caseloads were on a ten-year decline given the low unemployment rate and strong local economy.

3 and 4. The variances are due to the increased caseloads of new recipients attributed to loss of employment as a result of the local economy shutdown.

PART III - PROGRAM TARGET GROUPS

No significant variance.

PART IV - PROGRAM ACTIVITIES

2. The variance is due to the increased number of applicants as a result of the COVID-19 pandemic's impact on the local economy.

3 and 4. The variances are due to the increased number of cases in which the parent lost their employment as a result of the COVID-19 pandemic's impact on the local economy.

5. The variance is due to the lack of available jobs as a result of the COVID-19 pandemic's impact on the local economy.

PROGRAM TITLE:

HOUSING ASSISTANCE

12/5/20

PROGRAM-ID:

PROGRAM STRUCTURE NO: 060202

	FISCAL YEAR 2019-20				THREE MONTHS ENDED 09-30-20				NINE MONTHS ENDING 06-30-21			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	362.00	271.00	- 91.00	25	362.00	273.00	- 89.00	25	362.00	279.00	- 83.00	23
EXPENDITURES (\$1000's)	197,532	198,524	+ 992	1	7,251	44,347	+ 37,096	512	193,769	156,348	- 37,421	19
TOTAL COSTS												
POSITIONS	362.00	271.00	- 91.00	25	362.00	273.00	- 89.00	25	362.00	279.00	- 83.00	23
EXPENDITURES (\$1000's)	197,532	198,524	+ 992	1	7,251	44,347	+ 37,096	512	193,769	156,348	- 37,421	19
	FISCAL YEAR 2019-20				FISCAL YEAR 2020-21							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. PUB HSG AVG MONTHLY RENT PAYMENT (\$)	300	391	+ 91	30	300	300	+ 0	0				
2. PUB HSG AVG MONTHLY TURNOVER RATE OF HSG UNITS	45	42	- 3	7	45	45	+ 0	0				
3. AVG MONTHLY RENT SUPPLEMENT PAYMENT	400	485	+ 85	21	400	485	+ 85	21				
4. # CLIENTS W/ PERM HOUSING DUE TO SHELTER PROGRAMS	2000	1620	- 380	19	2000	1500	- 500	25				

VARIANCE REPORT NARRATIVE FY 2020 AND FY 2021

PROGRAM TITLE: HOUSING ASSISTANCE

06 02 02

PART I - EXPENDITURES AND POSITIONS

Details of the position and expenditure variances are best examined at the lowest program level.

PART II - MEASURES OF EFFECTIVENESS

Additional details are provided at the lowest level program narratives.

PROGRAM TITLE:

RENTAL HOUSING SERVICES

12/5/20

PROGRAM-ID:

HMS-220

PROGRAM STRUCTURE NO:

06020201

	FISCAL YEAR 2019-20				THREE MONTHS ENDED 09-30-20				NINE MONTHS ENDING 06-30-21			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	198.00	167.00	- 31.00	16	198.00	168.00	- 30.00	15	198.00	167.00	- 31.00	16
EXPENDITURES (\$1000's)	89,741	115,203	+ 25,462	28	2,029	28,607	+ 26,578	1,310	87,667	60,858	- 26,809	31
TOTAL COSTS												
POSITIONS	198.00	167.00	- 31.00	16	198.00	168.00	- 30.00	15	198.00	167.00	- 31.00	16
EXPENDITURES (\$1000's)	89,741	115,203	+ 25,462	28	2,029	28,607	+ 26,578	1,310	87,667	60,858	- 26,809	31
	FISCAL YEAR 2019-20				FISCAL YEAR 2020-21							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. PUB HSG AVG MONTHLY RENT PAYMENT (\$)	300	391	+ 91	30	300	300	+ 0	0				
2. PUB HSG AVG MO INCOME OF RESIDENTS-ELDERLY (\$)	1000	1202	+ 202	20	1000	1100	+ 100	10				
3. PUB HSG AVG MO INCOME OF RESIDENTS-NON-ELDERLY (\$)	3000	1555	- 1445	48	3000	1400	- 1600	53				
4. PUB HSG AVG MONTHLY TURNOVER RATE OF HSG UNITS	45	42	- 3	7	45	45	+ 0	0				
5. FED GRADING SYS FOR PUBLIC HSG AGENCIES (PHAS)	90	86	- 4	4	90	86	- 4	4				
PART III: PROGRAM TARGET GROUP												
1. AVG # PUB HSG APPLICANTS ON WAITNG LIST PER MONTH	12000	4300	- 7700	64	10500	5000	- 5500	52				
2. AVG # OF OCCUPIED PUBLIC HSG DWELLING UNITS PER MO	5200	5400	+ 200	4	5200	5600	+ 400	8				
PART IV: PROGRAM ACTIVITY												
1. TOTAL NEW PUB HSG APPLCTNS PROCESSED	2500	982	- 1518	61	2500	975	- 1525	61				
2. AVG # OF HOUSEHOLDS PLACED IN PUB HSG PER MONTH	50	42	- 8	16	50	45	- 5	10				
3. # OF RE-EXAM (PUB HSG)	4500	3380	- 1120	25	4500	4832	+ 332	7				
4. # OF EVICTIONS FROM HSG	75	10	- 65	87	75	75	+ 0	0				

VARIANCE REPORT NARRATIVE FY 2020 AND FY 2021

06 02 02 01
HMS 220

PROGRAM TITLE: RENTAL HOUSING SERVICES

PART I - EXPENDITURES AND POSITIONS

The variances in position count are mainly attributable to the shortage of applicants for available positions.

The variances in expenditures are the result of accounting for federal fund expenditures both inside and outside the State treasury.

PART II - MEASURES OF EFFECTIVENESS

1. The increase in rent is due to federal and State rental calculations that are based on residents' income.
2. The increase in income for elderly public housing residents is most likely because of an increase in Social Security and other assistance/benefits.
3. The average monthly income of residents has decreased due to the Hawaii Public Housing Authority (HPHA) absorbing greater numbers of previously homeless families into public housing as a result of Hawaii's severe housing crisis and the COVID-19 pandemic's impact on HPHA's tenants' ability to find and maintain work.

PART III - PROGRAM TARGET GROUPS

1. HPHA's public housing waitlist continues to go down as the new mandatory online portal is being implemented. HPHA plans to open the public housing waitlist in the near future.

PART IV - PROGRAM ACTIVITIES

- 1 and 2. The decreases are due to the limited number of vacant units that become available in HPHA's inventory.
3. The decrease is due to the COVID-19 pandemic. The United States Department of Housing and Urban Development provided a waiver to delay the re-examination process.

4. The decrease is due to the moratorium on evictions in the public housing program implemented in response to the COVID-19 pandemic.

PROGRAM TITLE: HPHA ADMINISTRATION

12/5/20

PROGRAM-ID: HMS-229

PROGRAM STRUCTURE NO: 06020206

	FISCAL YEAR 2019-20				THREE MONTHS ENDED 09-30-20				NINE MONTHS ENDING 06-30-21			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	127.00	78.00	- 49.00	39	127.00	77.00	- 50.00	39	127.00	78.00	- 49.00	39
EXPENDITURES (\$1000's)	46,719	15,135	- 31,584	68	1,428	3,327	+ 1,899	133	45,291	43,392	- 1,899	4
TOTAL COSTS												
POSITIONS	127.00	78.00	- 49.00	39	127.00	77.00	- 50.00	39	127.00	78.00	- 49.00	39
EXPENDITURES (\$1000's)	46,719	15,135	- 31,584	68	1,428	3,327	+ 1,899	133	45,291	43,392	- 1,899	4
	FISCAL YEAR 2019-20				FISCAL YEAR 2020-21							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. % OF FEDERAL CAPITAL FUNDS ENCUMBERED	90	74	- 16	18	90	75	- 15	17				
2. % OF STATE CAPITAL FUNDS ENCUMBERED	81	83	+ 2	2	81	85	+ 4	5				
3. % VARIATION IN HPHA OPER EXPEND COMPARE TO ALLOTMT	25	100	+ 75	300	25	100	+ 75	300				
4. # OF PERSONNEL TURNOVERS PER YEAR	30	30	+ 0	0	30	30	+ 0	0				
PART III: PROGRAM TARGET GROUP												
1. # OF EMPLOYEES IN HPHA	315	313	- 2	1	315	320	+ 5	2				
2. # OF LOWEST LEVEL PROGRAMS ADMINISTERED	3	3	+ 0	0	3	3	+ 0	0				
PART IV: PROGRAM ACTIVITY												
1. # OF FEDERALLY FUNDED CONTRACTS PROCESSED ANNUALLY	100	38	- 62	62	100	70	- 30	30				
2. # OF STATE FUNDED CONTRACTS PROCESSED ANNUALLY	40	42	+ 2	5	40	40	+ 0	0				
3. # OF GRANTS RECEIVED ANNUALLY	3	3	+ 0	0	3	3	+ 0	0				

VARIANCE REPORT NARRATIVE FY 2020 AND FY 2021

06 02 02 06
HMS 229

PROGRAM TITLE: HPHA ADMINISTRATION

PART I - EXPENDITURES AND POSITIONS

The variances in position count are mainly attributable to the shortage of applicants for available positions.

The variances in expenditures are the result of accounting for federal fund expenditures both inside and outside the State treasury.

PART II - MEASURES OF EFFECTIVENESS

1. The Hawaii Public Housing Authority (HPHA) is in the process of obligating its Federal Capital Fund Program funding to various federal public housing projects for FY 19 and FY 20.

3. The variance is due to the Central Office Cost Center not receiving allotment.

PART III - PROGRAM TARGET GROUPS

No significant variances.

PART IV - PROGRAM ACTIVITIES

1. The number of federal contracts processed annually decreased as a result of HPHA's internal contract definition.

PROGRAM TITLE:

RENTAL ASSISTANCE SERVICES

12/5/20

PROGRAM-ID:

HMS-222

PROGRAM STRUCTURE NO:

06020213

	FISCAL YEAR 2019-20				THREE MONTHS ENDED 09-30-20				NINE MONTHS ENDING 06-30-21			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	26.00	18.00	- 8.00	31	26.00	20.00	- 6.00	23	26.00	26.00	+ 0.00	0
EXPENDITURES (\$1000's)	28,483	40,974	+ 12,491	44	245	10,368	+ 10,123	4,132	27,928	17,711	- 10,217	37
TOTAL COSTS												
POSITIONS	26.00	18.00	- 8.00	31	26.00	20.00	- 6.00	23	26.00	26.00	+ 0.00	0
EXPENDITURES (\$1000's)	28,483	40,974	+ 12,491	44	245	10,368	+ 10,123	4,132	27,928	17,711	- 10,217	37
	FISCAL YEAR 2019-20				FISCAL YEAR 2020-21							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. AVG MO GROSS RENT FOR RENT SUPPLEMENT RECIPIENTS	1000	1005	+ 5	1	1000	600	- 400	40				
2. AVERAGE MONTHLY RENT SUPPLEMENT PAYMENT	400	485	+ 85	21	400	485	+ 85	21				
3. FEDERAL GRADING SYS FOR PH AGENCIES (SEC 8 MGMT)	145	140	- 5	3	145	140	- 5	3				
PART III: PROGRAM TARGET GROUP												
1. # OF APPLICANTS ON STATE SUBSIDY WAITING LISTS	4310	0	- 4310	100	4310	0	- 4310	100				
2. # OF APPLICANTS ON FEDERAL SUBSIDY WAITING LIST	3000	3100	+ 100	3	2000	2500	+ 500	25				
PART IV: PROGRAM ACTIVITY												
1. TOT # OF STATE RENT SUP'L & SEC 8 APPL PROCESSED	1500	1680	+ 180	12	1500	1800	+ 300	20				
2. # OF RE-EXAMINATNS OF STATE RENT SUPPL & SEC 8	2400	2539	+ 139	6	2400	2600	+ 200	8				
3. TOTAL # OF FED SEC 8 UNITS INSPECTED	2350	2356	+ 6	0	2350	2400	+ 50	2				

VARIANCE REPORT NARRATIVE FY 2020 AND FY 2021

06 02 02 13
HMS 222

PROGRAM TITLE: RENTAL ASSISTANCE SERVICES

PART I - EXPENDITURES AND POSITIONS

The variances in position count are mainly attributable to the shortage of applicants for available positions.

The variances in expenditures are the result of accounting for federal fund expenditures both inside and outside the State treasury.

PART II - MEASURES OF EFFECTIVENESS

2. The average monthly rent supplement payment increased as a result of administrative rule changes, which increased the maximum subsidy to \$500, and the COVID-19 pandemic's impact on the economy, which decreased the incomes of participants.

PART III - PROGRAM TARGET GROUPS

1. The waitlist for applicants on State subsidy has been exhausted.

PART IV - PROGRAM ACTIVITIES

1. The increase in applications processed is due to the Section 8 Office working with the City and County of Honolulu to temporarily fill its vacant positions to process Section 8 applications. The Section 8 Office is fully staffed for the next fiscal year and the Hawaii Public Housing Authority will be requesting additional positions to assist with the potential increase in participants due to the new temporary "Lease in Place" preference and additional funding coming from the U.S. Department of Housing and Urban Development.

PROGRAM TITLE: HOMELESS SERVICES

PROGRAM-ID: HMS-224

PROGRAM STRUCTURE NO: 06020215

	FISCAL YEAR 2019-20				THREE MONTHS ENDED 09-30-20				NINE MONTHS ENDING 06-30-21			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	11.00	8.00	- 3.00	27	11.00	8.00	- 3.00	27	11.00	8.00	- 3.00	27
EXPENDITURES (\$1000's)	32,589	27,212	- 5,377	16	3,549	2,045	- 1,504	42	32,883	34,387	+ 1,504	5
TOTAL COSTS												
POSITIONS	11.00	8.00	- 3.00	27	11.00	8.00	- 3.00	27	11.00	8.00	- 3.00	27
EXPENDITURES (\$1000's)	32,589	27,212	- 5,377	16	3,549	2,045	- 1,504	42	32,883	34,387	+ 1,504	5
	FISCAL YEAR 2019-20				FISCAL YEAR 2020-21							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. # CLIENTS W/ PERM HOUSING DUE TO HOMELESS OUTREACH	450	449	- 1	0	450	450	+ 0	0				
2. # CLIENTS W/ PERM HOUSING DUE TO SHELTER PROGRAMS	2000	1620	- 380	19	2000	1500	- 500	25				
3. % CLIENTS MAINTAIN HSG THRU ASSTNCE FROM SHEG PGM	80	96	+ 16	20	80	90	+ 10	13				
PART III: PROGRAM TARGET GROUP												
1. # PATICIPANTS SERVD BY OUTREACH HOMELESS PROVIDERS	5000	3662	- 1338	27	5000	3500	- 1500	30				
2. # PARTICIPANTS SERVED BY HOMELESS SHELTER PROGRAMS	6000	5293	- 707	12	6000	5000	- 1000	17				
3. # UNDUPLICATED PARTICIPANTS SERVED BY SHEG PROGRAM	850	362	- 488	57	850	300	- 550	65				
PART IV: PROGRAM ACTIVITY												
1. # PARTICIPANTS WHO WILL HAVE A VI-SPDAT COMPLETED	6000	5966	- 34	1	6000	6000	+ 0	0				
2. # PARTICIPANTS WHO HAVE DEVELOPED A HOUSING PLAN	4500	4078	- 422	9	4500	4000	- 500	11				
3. # PARTICIPANTS WHO WERE TAUGHT MONEY MGMT SKILLS	2000	1865	- 135	7	2000	2000	+ 0	0				
4. # PARTICIPANTS WHO WERE TAUGHT TENANCY SKILLS	1500	1642	+ 142	9	1500	1500	+ 0	0				

VARIANCE REPORT NARRATIVE FY 2020 AND FY 2021

06 02 02 15
HMS 224

PROGRAM TITLE: HOMELESS SERVICES

PART I - EXPENDITURES AND POSITIONS

The variances in filled permanent positions in FYs 20 and 21 are due to the hiring freeze effectuated by Executive Memorandum (E.M.) No. 20-01 and further clarified or extended by E.M. Nos. 20-02, 20-05, and 20-08. Additionally, general fund salaries for positions that were vacant as of March 31, 2020, were defunded in FY 21, so positions could not be filled unless substitute funding was identified.

The variance in FY 20 expenditures is due to the way the State budgets and expends federal awards. In addition, the Homeless Program Office (HPO) worked closely with the local Continuum of Care (CoC) and Bridging the Gap (BTG) programs to transfer the CoC Program and federal funding back to the U.S. Department of Housing and Urban Development (HUD) and have the non-profit agencies become direct grantees of HUD. This affected \$2,366,839 in federal funding. Also, there was a \$3,000,000 transfer to the Department of Transportation for stored property and debris removal services.

The variance in FY 21 1st quarter expenditures is due to a shift from encumbering purchase orders by contract or in full to paying for services as invoiced by homeless contracted providers in response to implemented budgetary control measures.

PART II - MEASURES OF EFFECTIVENESS

2. The variance is due to the onset of the COVID-19 pandemic and the reduction in homeless clients served with the implementation of Centers for Disease Control and Prevention (CDC) guidance, such as social distancing. Homeless shelters served fewer clients in order to meet health and safety requirements, leading to fewer clients entering into permanent housing.

3. The variance is due to the changes in contracts that focuses on discharge planning, which includes making meaningful connections to mainstream resources, to support individual's and families' long-term success.

PART III - PROGRAM TARGET GROUPS

1. The variance is due to improved data collection and reporting. Previously, clients served across all outreach programs were totaled without knowing if the same client was being served by more than one provider. Improved data collection and reporting helps avoid duplication of clients across all outreach programs. In addition, outreach programs focus on positive outcomes with their clients rather than on increasing numbers of clients served. Adjustments to proposed numbers will be made to better align with outreach services.

2. The variance is due to the COVID-19 pandemic and the reduction in homeless participants served with the implementation of CDC guidance, such as social distancing. Homeless shelters served fewer clients in order to meet health and safety requirements.

3. The variance is due to systemic change and the availability of other rental subsidies such as Housing Placement Program (HPP), Rapid Re-Housing (RRH) Program, Rent to Work, Section 8, and U.S. Department of Housing and Urban Development-VA Supportive Housing (HUD-VASH) resources. In addition, the COVID-19 pandemic lead to increased financial assistance from the federal government and philanthropic community which is competing against existing, on-going financial assistance programs.

PART IV - PROGRAM ACTIVITIES

No significant variances.

PROGRAM TITLE:

HEALTH CARE

12/5/20

PROGRAM-ID:

PROGRAM STRUCTURE NO: 060203

	FISCAL YEAR 2019-20				THREE MONTHS ENDED 09-30-20				NINE MONTHS ENDING 06-30-21			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	0.00	0.00	+ 0.00	0	0.00	0.00	+ 0.00	0	0.00	0.00	+ 0.00	0
EXPENDITURES (\$1000's)	2,770,693	2,055,833	- 714,860	26	281,160	258,442	- 22,718	8	2,544,413	2,567,131	+ 22,718	1
TOTAL COSTS												
POSITIONS	0.00	0.00	+ 0.00	0	0.00	0.00	+ 0.00	0	0.00	0.00	+ 0.00	0
EXPENDITURES (\$1000's)	2,770,693	2,055,833	- 714,860	26	281,160	258,442	- 22,718	8	2,544,413	2,567,131	+ 22,718	1
	FISCAL YEAR 2019-20				FISCAL YEAR 2020-21							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. % SSI RECPNTS-LICNSD/CERTFD DOM CARE/MED FAC SSP	95	95	+ 0	0	95	95	+ 0	0				
2. % MANAGD CARE PYMTS DEVOTD TO DIRECT HTH CARE SVCS	90	91	+ 1	1	90	91	+ 1	1				
3. % LTC CLIENTS RECEIVING CARE UNDER HCBS PROGRAM	77	59.34	- 17.66	23	78	60.5	- 17.5	22				

VARIANCE REPORT NARRATIVE FY 2020 AND FY 2021

PROGRAM TITLE: HEALTH CARE

06 02 03

PART I - EXPENDITURES AND POSITIONS

Details of the expenditure variance are best examined at the lowest program level.

PART II - MEASURES OF EFFECTIVENESS

Additional details are provided at the lowest level program narratives.

PROGRAM TITLE:

COMMUNITY-BASED RESIDENTIAL SUPPORT

12/5/20

PROGRAM-ID:

HMS-605

PROGRAM STRUCTURE NO:

06020304

	FISCAL YEAR 2019-20				THREE MONTHS ENDED 09-30-20				NINE MONTHS ENDING 06-30-21			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	0.00	0.00	+ 0.00	0	0.00	0.00	+ 0.00	0	0.00	0.00	+ 0.00	0
EXPENDITURES (\$1000's)	17,811	17,124	- 687	4	4,015	6,920	+ 2,905	72	13,796	10,891	- 2,905	21
TOTAL COSTS												
POSITIONS	0.00	0.00	+ 0.00	0	0.00	0.00	+ 0.00	0	0.00	0.00	+ 0.00	0
EXPENDITURES (\$1000's)	17,811	17,124	- 687	4	4,015	6,920	+ 2,905	72	13,796	10,891	- 2,905	21
	FISCAL YEAR 2019-20				FISCAL YEAR 2020-21							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. % SSI RECPNTS-LICNSD/CERTFD DOM CARE/MED FAC SSP	95	95	+ 0	0	95	95	+ 0	0				
PART III: PROGRAM TARGET GROUP												
1. # SSI RECPNTS IN LICNSD/CERTFD DOM CARE/ MED FAC	2592	2461	- 131	5	2632	2427	- 205	8				
PART IV: PROGRAM ACTIVITY												
1. AVE SSI RECPNTS IN TYPE I ARCHS/DD DOM CARE W/ SSP	979	963	- 16	2	947	930	- 17	2				
2. AVE SSI/SSP RECPNTS PLACED IN TYPE II ARCHS	34	20	- 14	41	33	20	- 13	39				
3. AVE SSI/SSP RECPNTS PLACED IN CCFFH	1377	1298	- 79	6	1443	1279	- 164	11				
4. AVE SSI/SSP RECPNTS PLACED IN MED FACILITY	203	181	- 22	11	210	199	- 11	5				

VARIANCE REPORT NARRATIVE FY 2020 AND FY 2021

06 02 03 04
HMS 605

PROGRAM TITLE: COMMUNITY-BASED RESIDENTIAL SUPPORT

PART I - EXPENDITURES AND POSITIONS

The variances in expenditures are due to the way the State budgets and expends federal awards.

PART II - MEASURES OF EFFECTIVENESS

No significant variance.

PART III - PROGRAM TARGET GROUPS

No significant variance.

PART IV - PROGRAM ACTIVITIES

2. The number of State Supplement Payments recipients in Type II Adult Residential Care Home (ARCHs) is routinely low; therefore, the percentage of change between planned and actual is sensitive to any variation. The enrollment decrease may indicate that fewer individuals are choosing to reside in community-based residential care settings because supervised care is not needed or that individuals are financially able to remain in their own homes with in-home supports.

4. The variance may indicate that fewer individuals chose to live in medical facilities because high levels of nursing care were not needed, or because more individuals were able to financially pay for alternative care settings.

PROGRAM TITLE:

HEALTH CARE PAYMENTS

12/5/20

PROGRAM-ID:

HMS-401

PROGRAM STRUCTURE NO:

06020305

	FISCAL YEAR 2019-20				THREE MONTHS ENDED 09-30-20				NINE MONTHS ENDING 06-30-21			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	0.00	0.00	+ 0.00	0	0.00	0.00	+ 0.00	0	0.00	0.00	+ 0.00	0
EXPENDITURES (\$1000's)	2,752,882	2,038,709	- 714,173	26	277,145	251,522	- 25,623	9	2,530,617	2,556,240	+ 25,623	1
TOTAL COSTS												
POSITIONS	0.00	0.00	+ 0.00	0	0.00	0.00	+ 0.00	0	0.00	0.00	+ 0.00	0
EXPENDITURES (\$1000's)	2,752,882	2,038,709	- 714,173	26	277,145	251,522	- 25,623	9	2,530,617	2,556,240	+ 25,623	1
	FISCAL YEAR 2019-20				FISCAL YEAR 2020-21							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. % MANAGD CARE PYMTS DEVOTD TO DIRECT HTH CARE SVCS	90	91	+ 1	1	90	91	+ 1	1				
2. % LTC CLIENTS RECEIVING CARE UNDER HCBS PROGRAM	77	59.34	- 17.66	23	78	60.5	- 17.5	22				
PART III: PROGRAM TARGET GROUP												
1. # PEOPLE COVERED BY QUEST	360100	358488	- 1612	0	360100	437392	+ 77292	21				
2. # ELIGIBLE PERSONS FOR QUEST MANAGED CARE PRGRM	360000	358366	- 1634	0	360000	437306	+ 77306	21				
3. # ELIGIBLE PERSONS FOR LTSS	4600	8007	+ 3407	74	4600	8500	+ 3900	85				
PART IV: PROGRAM ACTIVITY												
1. AMOUNT PAID FOR QUALITY BONUSES TO MCOS (THOUS)	11110	11438	+ 328	3	16831	13000	- 3831	23				
2. AMOUNT PAID TO MCOS FOR SERVICES (THOUS)	2222000	2260246	+ 38246	2	2244220	2600000	+ 355780	16				

VARIANCE REPORT NARRATIVE FY 2020 AND FY 2021

06 02 03 05
HMS 401

PROGRAM TITLE: HEALTH CARE PAYMENTS

PART I - EXPENDITURES AND POSITIONS

The variances in expenditures are due to the way the State budgets and expends federal awards. They may also be partially due to enrollment and utilization fluctuations.

PART II - MEASURES OF EFFECTIVENESS

2. The original estimate for eligible Long Term Care (LTC) clients, which forms the denominator for the ratio, was under-counted due to a data issue (see Part III, Item No. 3). Although the percentage of Home and Community Based Services (HCBS) clients has been slowly and steadily increasing, barriers to supporting people in their homes remain. The Med-QUEST Division (MQD) has been working with various stakeholders in several different workgroups to identify and address these barriers (e.g., Complex Patient Workgroup; re-defining sub-acute care; integration of behavioral health; and addressing Community Integration Supports for individuals who are chronically homeless).

PART III - PROGRAM TARGET GROUPS

3. There was a methodology/data calculation error that led to an under-count of Long-Term Supports and Services (LTSS) eligible people, also referred to as LTC clients. The calculation error has been addressed and corrected and the planned data will be updated during the biennial program structure and performance measures review.

PART IV - PROGRAM ACTIVITIES

No significant variances.

	FISCAL YEAR 2019-20				THREE MONTHS ENDED 09-30-20				NINE MONTHS ENDING 06-30-21			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	787.00	683.00	- 104.00	13	787.00	667.00	- 120.00	15	787.00	693.00	- 94.00	12
EXPENDITURES (\$1000's)	73,185	59,543	- 13,642	19	8,352	7,993	- 359	4	62,981	59,722	- 3,259	5
TOTAL COSTS												
POSITIONS	787.00	683.00	- 104.00	13	787.00	667.00	- 120.00	15	787.00	693.00	- 94.00	12
EXPENDITURES (\$1000's)	73,185	59,543	- 13,642	19	8,352	7,993	- 359	4	62,981	59,722	- 3,259	5
	FISCAL YEAR 2019-20				FISCAL YEAR 2020-21							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. % WORK PGM PARTICIPANTS WHO EXITED WITH EMPLOYMENT	22	2	- 20	91	22	3	- 19	86				
2. %TANF/TAONF RCPT PART WRK PGM MTG FED WRK PART STD	28	23	- 5	18	28	23	- 5	18				
3. % OF DISABILITY CLAIMS PROCESSED DURING YEAR	100	100	+ 0	0	100	100	+ 0	0				
4. % CHILD SUPPORT CASES W/ SUPPORT ORDERS ESTD	78	79	+ 1	1	78	80	+ 2	3				

VARIANCE REPORT NARRATIVE FY 2020 AND FY 2021

PROGRAM TITLE: GENERAL SUPPORT FOR ASSURED STD OF LIVING

06 02 04

PART I - EXPENDITURES AND POSITIONS

Details of the position and expenditure variances are best examined at the lowest program level.

PART II - MEASURES OF EFFECTIVENESS

Additional details are provided at the lowest level program narratives.

VARIANCE REPORT

	FISCAL YEAR 2019-20				THREE MONTHS ENDED 09-30-20				NINE MONTHS ENDING 06-30-21			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	530.00	452.00	- 78.00	15	530.00	437.00	- 93.00	18	530.00	452.00	- 78.00	15
EXPENDITURES (\$1000's)	42,407	36,200	- 6,207	15	3,630	3,462	- 168	5	36,910	36,324	- 586	2
TOTAL COSTS												
POSITIONS	530.00	452.00	- 78.00	15	530.00	437.00	- 93.00	18	530.00	452.00	- 78.00	15
EXPENDITURES (\$1000's)	42,407	36,200	- 6,207	15	3,630	3,462	- 168	5	36,910	36,324	- 586	2
	FISCAL YEAR 2019-20				FISCAL YEAR 2020-21							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. % WORK PGM PARTICIPANTS WHO EXITED WITH EMPLOYMENT	22	2	- 20	91	22	3	- 19	86				
2. %TANF/TAONF RCPT PART WRK PGM MTG FED WRK PART STD	28	23	- 5	18	28	23	- 5	18				
3. % SNAP APPLICATIONS RECEIVED AND PROCESSED TIMELY	97.6	96	- 1.6	2	97.6	96	- 1.6	2				
4. % SNAP CASES WITH AN ERROR	3.5	7.5	+ 4	114	3.5	7.5	+ 4	114				
PART III: PROGRAM TARGET GROUP												
1. TANF/TAONF RECIPIENTS WHO PARTICIPATED IN WORK PGM	2900	2994	+ 94	3	2900	2994	+ 94	3				
2. NUMBER OF APPLICANTS FOR CASH SUPPORT	11229	12312	+ 1083	10	11229	12312	+ 1083	10				
3. NUMBER OF POTENTIAL APPLICANTS FOR SNAP	71712	68881	- 2831	4	71712	78000	+ 6288	9				
PART IV: PROGRAM ACTIVITY												
1. NUMBER WHO RECEIVE GA AND AABD	6473	6332	- 141	2	6473	6332	- 141	2				
2. NUMBER WHO RECEIVE TANF AND TAONF BENEFITS	4700	4573	- 127	3	4700	4573	- 127	3				
3. NUMBER OF SNAP APPLICATIONS PROCESSED	63151	68956	+ 5805	9	63151	68956	+ 5805	9				
4. NUMBER OF HOUSEHOLDS RECEIVING SNAP BENEFITS	87891	83414	- 4477	5	87891	96000	+ 8109	9				
5. # OF PARTICIPANTS IN THE FIRST-TO-WORK PROGRAM	2900	2994	+ 94	3	2900	4200	+ 1300	45				

VARIANCE REPORT NARRATIVE FY 2020 AND FY 2021

06 02 04 01
HMS 236

PROGRAM TITLE: CASE MANAGEMENT FOR SELF-SUFFICIENCY

PART I - EXPENDITURES AND POSITIONS

The variances in filled permanent positions in FYs 20 and 21 are due to the hiring freeze effectuated by Executive Memorandum (E.M.) No. 20-01 and further clarified or extended by E.M. Nos. 20-02, 20-05, and 20-08. Additionally, general fund salaries for positions that were vacant as of March 31, 2020, were defunded in FY 21, so positions could not be filled unless substitute funding was identified.

The variances in expenditures are due to the way the State budgets and expends federal awards.

PART II - MEASURES OF EFFECTIVENESS

1 and 2. The variances can be attributed to the effects of the COVID-19 pandemic on Hawaii's economy. The Temporary Assistance to Needy Families (TANF) and Temporary Assistance to Other Needy Families (TAONF) programs saw an increase in the number of participants during the pandemic as businesses were closed and employees were laid off or terminated and many participants could not find work since large sectors of the economy remained closed or operated at a reduced capacity.

TANF/TAONF Exit with Employment:

Pre-pandemic (7/2019 to 2/2020) = 3.1%
Pandemic (3/2020 to 6/2020) = 0.8%

Work Participation Rates (WPR):

Pre-pandemic (7/2019 to 2/2020) = 26.35%
Pandemic (3/2020 to 6/2020) = 11.90%

4. The increased percentage of Supplemental Nutrition Assistance Program cases with an error may be due to a greatly increased workload as fewer employees handled a significantly higher number of applications; moving to statewide non-lobby processing of cases; and confusion about the changes to the SNAP program resulting from federal waivers.

PART III - PROGRAM TARGET GROUPS

2. The variance for number of applicants for cash support (TANF/TAONF) is due to the effects of the COVID-19 pandemic on Hawaii's economy.

Total and Monthly Average Applications Received:

Pre-pandemic (7/2019 to 2/2020) = 6,741; 843/mo.
Pandemic (3/2020 to 6/2020) = 5,571; 1,393/mo.

PART IV - PROGRAM ACTIVITIES

No significant variances.

PROGRAM TITLE: DISABILITY DETERMINATION

12/5/20

PROGRAM-ID: HMS-238

PROGRAM STRUCTURE NO: 06020402

	FISCAL YEAR 2019-20				THREE MONTHS ENDED 09-30-20				NINE MONTHS ENDING 06-30-21			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	49.00	37.00	- 12.00	24	49.00	36.00	- 13.00	27	49.00	47.00	- 2.00	4
EXPENDITURES (\$1000's)	8,183	7,426	- 757	9	0	0	+ 0	0	8,198	8,198	+ 0	0
TOTAL COSTS												
POSITIONS	49.00	37.00	- 12.00	24	49.00	36.00	- 13.00	27	49.00	47.00	- 2.00	4
EXPENDITURES (\$1000's)	8,183	7,426	- 757	9	0	0	+ 0	0	8,198	8,198	+ 0	0
	FISCAL YEAR 2019-20				FISCAL YEAR 2020-21							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. % OF DISABILITY CLAIMS PROCESSED DURING THE YEAR	100	100	+ 0	0	100	100	+ 0	0				
2. % CASES RETURNED FOR CORRECTIVE ACTION	4	5.7	+ 1.7	43	4	4	+ 0	0				
PART III: PROGRAM TARGET GROUP												
1. # OF APPLICANTS FOR SOC SEC DISABILITY BENEFITS	13299	10700	- 2599	20	13299	12608	- 691	5				
PART IV: PROGRAM ACTIVITY												
1. # CLAIMANTS PROVIDED CONSULTATIVE EXAM (ANNUAL)	2184	1850	- 334	15	2184	2206	+ 22	1				
2. # SOC SEC DISABILITY BENEFIT DECISIONS RENDERED	13636	10060	- 3576	26	13636	12608	- 1028	8				

VARIANCE REPORT NARRATIVE FY 2020 AND FY 2021

06 02 04 02
HMS 238

PROGRAM TITLE: DISABILITY DETERMINATION

PART I - EXPENDITURES AND POSITIONS

The variances in positions filled are due to employees vacating their positions and the need for approval from the Social Security Administration (SSA) to fill vacancies.

The variance in expenditures is due to the way the State budgets and expends federal awards.

PART II - MEASURES OF EFFECTIVENESS

2. The variance in the percentage of cases returned for corrective action is partially due to incomplete data. SSA ceased all quality reviews for June 2020 to September 2020; thus, the overall average was based only on the average of three (3) quarters versus four (4) quarters.

Also, while there may have been an uptick of returned cases due to staff adjusting to all the challenges with teleworking, policy changes, and workload restrictions despite having decreased clearances, the volume of cases selected for review remained the same. This resulted in inflated accuracy rates in some months. For example, if 6 claims were returned and 200 cases sampled, the accuracy rate would be 97%; however, due to certain workload restrictions, if a smaller percentage of claims were sampled (100 cases) and 6 claims were returned, the accuracy rate would be 94%.

PART III - PROGRAM TARGET GROUPS

1. The variance in the number of applicants for Social Security Disability Benefits is due to the nationwide COVID-19 pandemic. The State was shut down and the SSA Field Offices were closed to the public, which may have deterred applicants from applying.

PART IV - PROGRAM ACTIVITIES

1. The variance in the number of claimants provided a consultative exam (CE) decreased due to the nationwide COVID-19 pandemic. CEs were canceled and claimants were not provided an opportunity to attend a CE until around June 2020.

2. The variance in the number of Social Security Disability Benefit decisions rendered is due to the nationwide COVID-19 pandemic. Decisions were delayed due to the inability to schedule CEs and delays in obtaining copies of claimant medical records. SSA also restricted certain workloads (e.g., Continuing Disability Reviews) so that claimant benefits were not cut off during the pandemic.

PROGRAM TITLE:

CHILD SUPPORT ENFORCEMENT SERVICES

12/5/20

PROGRAM-ID:

ATG-500

PROGRAM STRUCTURE NO:

06020403

	FISCAL YEAR 2019-20				THREE MONTHS ENDED 09-30-20				NINE MONTHS ENDING 06-30-21			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS												
EXPENDITURES (\$1000's)												
TOTAL COSTS												
POSITIONS												
EXPENDITURES (\$1000's)												
	208.00	194.00	- 14.00	7	208.00	194.00	- 14.00	7	208.00	194.00	- 14.00	7
	22,595	15,917	- 6,678	30	4,722	4,531	- 191	4	17,873	15,200	- 2,673	15
	208.00	194.00	- 14.00	7	208.00	194.00	- 14.00	7	208.00	194.00	- 14.00	7
	22,595	15,917	- 6,678	30	4,722	4,531	- 191	4	17,873	15,200	- 2,673	15
					FISCAL YEAR 2019-20				FISCAL YEAR 2020-21			
					PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%
PART II: MEASURES OF EFFECTIVENESS												
1. % OF CHILDREN WITH PATERNITY ESTABLISHED					90	80	- 10	11	90	90	+ 0	0
2. % OF CASES WITH SUPPORT ORDERS ESTABLISHED					78	79	+ 1	1	78	80	+ 2	3
3. % OF CURRENT SUPPORT COLLECTED					62	65	+ 3	5	62	65	+ 3	5
4. % OF DELINQUENT SUPPORT COLLECTED					45	58	+ 13	29	45	45	+ 0	0
5. DOLLARS COLLECTED PER \$1 EXPENDED					5	5	+ 0	0	5	5	+ 0	0
PART III: PROGRAM TARGET GROUP												
1. CHILDREN BORN OUT OF WEDLOCK					6600	5900	- 700	11	6600	6000	- 600	9
2. CASES WITH OBLIGORS WHOSE WHEREABOUTS UNKNOWN					10500	5600	- 4900	47	10500	5600	- 4900	47
3. CASES WITHOUT CHILD SUPPORT ORDERS					16000	12500	- 3500	22	16000	12500	- 3500	22
4. CASES WITH ARREARS DUE					46000	41000	- 5000	11	46000	46000	+ 0	0
PART IV: PROGRAM ACTIVITY												
1. NO. OF CHILDREN WITH PATERNITY ESTABLISHED					6200	5200	- 1000	16	6200	6000	- 200	3
2. NO. OF CHILD SPPT ORDER CASES ESTABLISHED					58500	46600	- 11900	20	58500	47000	- 11500	20
3. DOLLAR AMOUNT OF CURRENT SUPPORT COLLECTED (\$M)					120	135	+ 15	13	120	120	+ 0	0
4. \$ AMOUNT OF CURRENT SUPPT PAYMENTS DISBURSED (\$M)					110	119	+ 9	8	110	110	+ 0	0

VARIANCE REPORT NARRATIVE FY 2020 AND FY 2021

06 02 04 03
ATG 500

PROGRAM TITLE: CHILD SUPPORT ENFORCEMENT SERVICES

PART I - EXPENDITURES AND POSITIONS

There were 14.00 vacant positions at the end of State FY 20. Many vacant positions were mainly filled with internal candidates while employment turnover of lower positions consistently occurred throughout the year. In addition, recruitment came to a halt due to the hiring freeze order. As for the variance for the other expenditures, it resulted from unused federal and trust funded appropriations.

For FY 21, the agency anticipates that the hiring freeze order will remain in effect. Actual expenditures are projected to remain under budget as a result of unused excess federal and trust fund appropriations.

PART II - MEASURES OF EFFECTIVENESS

Item 1: The results were based on a preliminary report on data from the federal performance report for the fiscal year that ended September 30, 2020. The data is usually updated by the end of the calendar year.

Item 4: The increase in collection of current child support was attributed to collecting from self-employed obligors. This occurred due to the federal Pandemic Unemployment Assistance (PUA) garnishment from the State Unemployment Insurance Division as well as the additional contribution of federal stimulus payments.

PART III - PROGRAM TARGET GROUPS

Item 1: The result was based on statewide statistics, which the agency does not manage.

Item 2: The decrease came as a result of more consistent efforts to locate put forth by caseworkers.

Item 3: The performance had improved due to increased productivity from the Administrative Process Branch.

Item 4: The improvement stemmed from the unprecedented increases in unemployment benefit and federal tax offset collections.

PART IV - PROGRAM ACTIVITIES

Item 1: The result was based on statewide statistics, which the agency does not manage. However, the agency is going to host a paternity establishment virtual conference with personnel from the Department of Health, birthing hospitals, as well as midwives to increase awareness of the significance of establishing paternity.

Item 2: The Social Security Act Title IV-D caseload was less. The projected outcome was based on a higher number of cases.

Item 3: The collection was higher possibly due to the unprecedented increase in unemployment benefit and PUA payments garnishment.

Item 4: The improvement stemmed from the unprecedented increases in unemployment benefit and federal tax offset collections.

PROGRAM TITLE:

EMPLOYMENT AND TRAINING

12/5/20

PROGRAM-ID:

HMS-237

PROGRAM STRUCTURE NO:

060205

	FISCAL YEAR 2019-20				THREE MONTHS ENDED 09-30-20				NINE MONTHS ENDING 06-30-21			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	0.00	0.00	+ 0.00	0	0.00	0.00	+ 0.00	0	0.00	0.00	+ 0.00	0
EXPENDITURES (\$1000's)	1,715	1,254	- 461	27	106	51	- 55	52	1,609	1,664	+ 55	3
TOTAL COSTS												
POSITIONS	0.00	0.00	+ 0.00	0	0.00	0.00	+ 0.00	0	0.00	0.00	+ 0.00	0
EXPENDITURES (\$1000's)	1,715	1,254	- 461	27	106	51	- 55	52	1,609	1,664	+ 55	3
	FISCAL YEAR 2019-20				FISCAL YEAR 2020-21							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. % E&T PARTICIP W/ BENEFIT REDUCTN DUE TO EMPLOYMNT	13	12	- 1	8	13	12	- 1	8				
2. % E&T PARTICIPANTS WHO EXIT DUE TO EMPLOYMENT	10	10	+ 0	0	10	10	+ 0	0				
PART III: PROGRAM TARGET GROUP												
1. # OF SNAP RCPTS ABLE-BODIED SUBJ TO MANDATORY WORK	2700	2949	+ 249	9	2700	2949	+ 249	9				
PART IV: PROGRAM ACTIVITY												
1. # OF PARTICIPANTS IN THE E&T PROGRAM	864	927	+ 63	7	864	930	+ 66	8				

VARIANCE REPORT NARRATIVE FY 2020 AND FY 2021

06 02 05
HMS 237

PROGRAM TITLE: EMPLOYMENT AND TRAINING

PART I - EXPENDITURES AND POSITIONS

The variance in FY 20 expenditures is due to the way the State budgets and expends federal awards.

The variance in FY 21 1st quarter expenditures is due a shift from encumbering purchase orders by contract or in full to paying for services as invoiced by contracted providers in response to implemented budgetary control measures. Contractual obligations make up the majority of the appropriation for this Program ID.

PART II - MEASURES OF EFFECTIVENESS

No significant variances.

PART III - PROGRAM TARGET GROUPS

No significant variance.

PART IV - PROGRAM ACTIVITIES

No significant variance.

VARIANCE REPORT

	FISCAL YEAR 2019-20				THREE MONTHS ENDED 09-30-20				NINE MONTHS ENDING 06-30-21			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	204.00	141.00	- 63.00	31	204.00	126.00	- 78.00	38	204.00	128.00	- 76.00	37
EXPENDITURES (\$1000's)	50,522	33,275	- 17,247	34	11,854	4,823	- 7,031	59	35,562	35,562	+ 0	0
TOTAL COSTS												
POSITIONS	204.00	141.00	- 63.00	31	204.00	126.00	- 78.00	38	204.00	128.00	- 76.00	37
EXPENDITURES (\$1000's)	50,522	33,275	- 17,247	34	11,854	4,823	- 7,031	59	35,562	35,562	+ 0	0
	FISCAL YEAR 2019-20				FISCAL YEAR 2020-21							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. % PLANNED HWN HOMESTEAD LOTS THAT WERE DEVELOPED	100	120	+ 20	20	100	100	+ 0	0				
2. % SURRENDERED OR CANCELED LOTS RE-AWARDED	100	31	- 69	69	100	31	- 69	69				
3. % OF R&M TASKS COMPLETED OF TOTAL TASKS INITIATED	80	128	+ 48	60	80	96	+ 16	20				

VARIANCE REPORT NARRATIVE FY 2020 AND FY 2021

PROGRAM TITLE: HAWAIIAN HOMESTEADS

06 03

PART I - EXPENDITURES AND POSITIONS

Details of the position and expenditure variances are best examined at the lowest program level.

PART II - MEASURES OF EFFECTIVENESS

Additional details are provided at the lowest level program narratives.

VARIANCE REPORT

	FISCAL YEAR 2019-20				THREE MONTHS ENDED 09-30-20				NINE MONTHS ENDING 06-30-21			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	4.00	4.00	+ 0.00	0	4.00	4.00	+ 0.00	0	4.00	4.00	+ 0.00	0
EXPENDITURES (\$1000's)	31,884	16,697	- 15,187	48	7,971	1,004	- 6,967	87	23,913	23,913	+ 0	0
TOTAL COSTS												
POSITIONS	4.00	4.00	+ 0.00	0	4.00	4.00	+ 0.00	0	4.00	4.00	+ 0.00	0
EXPENDITURES (\$1000's)	31,884	16,697	- 15,187	48	7,971	1,004	- 6,967	87	23,913	23,913	+ 0	0
	FISCAL YEAR 2019-20				FISCAL YEAR 2020-21							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. % PLANNED LOTS THAT WERE DEVELOPED	100	120	+ 20	20	100	100	+ 0	0				
2. % SURRENDERED OR CANCELED LOTS RE-AWARDED	100	31	- 69	69	100	31	- 69	69				
3. % OF HOMEBUYER ED ATTENDEES OF THOSE OUTREACHED	36	0	- 36	100	36	50	+ 14	39				
PART III: PROGRAM TARGET GROUP												
1. # APPLICANTS ON HOMESTEAD (RES, AG, PAS) WAITLIST	28600	28788	+ 188	1	30000	29000	- 1000	3				
2. NUMBER OF HOMESTEAD LEASES	9900	9933	+ 33	0	9900	10000	+ 100	1				
3. NUMBER OF HOMEBUYER EDUCATION PROGRAM ATTENDEES	400	0	- 400	100	400	100	- 300	75				
PART IV: PROGRAM ACTIVITY												
1. # HOMESTEAD LOTS DEVELOPED	50	60	+ 10	20	200	200	+ 0	0				
2. NUMBER OF LOTS RE-AWARDED	NO DATA	5	+ 5	0	NO DATA	10	+ 10	0				
3. HOMESTEAD LEASE TRANSACTIONS	1887	1622	- 265	14	1890	1890	+ 0	0				
4. NUMBER OF LOTS SURRENDERED/CANCELED	15	16	+ 1	7	15	15	+ 0	0				
5. NUMBER OF INSURED LOANS APPROVED	300	295	- 5	2	260	260	+ 0	0				
6. NUMBER OF GUARANTEED LOANS GRANTED	12	18	+ 6	50	24	9	- 15	63				
7. NUMBER OF OTHER LOANS APPROVED	12	8	- 4	33	24	8	- 16	67				
8. NUMBER OF OUTREACH FOR HOMEBUYER EDUCATION PROG	14100	0	- 14100	100	14100	29000	+ 14900	106				

VARIANCE REPORT NARRATIVE FY 2020 AND FY 2021

06 03 01
HHL 602

PROGRAM TITLE: PLANNING & DEV FOR HAWAIIAN HOMESTEADS

PART I - EXPENDITURES AND POSITIONS

Act 119, SLH 2015, as amended by Act 124, SLH 2016, authorized the creation of HHL 625, Administration and Operating Support, and transferred 200 positions from HHL 602, Planning and Development for Hawaiian Homesteads, to the HHL 625, Administrative and Operating Support program. General funds were also appropriated to pay base salary costs for the 200 positions since 2017.

Four full-time equivalent (FTE) (P) and two FTE (T) federally-funded positions remain under the HHL 602, Planning and Development for Hawaiian Homesteads program.

Act 5, SLH 2019, as amended by Act 7, SLH 2020, and Act 9, SLH 2020, appropriated \$31,383,770 in federal, special, and trust funds for the Department of Hawaiian Home Lands' (DHHL) HHL 602, Planning and Development of Hawaiian Homesteads program, in FY 2020 and FY 2021.

Four FTE (P) and two FTE (T) federally-funded positions were also authorized in FY 2020 and FY 2021.

Approximately \$16,697,451 was expended and encumbered in FY 2020.

Part I, Section 39 of the Grant Funding Act of 2019 (Act 39, SLH 2019), appropriated \$150,000 in general funds for the Waiohuli Hawaiian Homesteaders Association, Inc., pursuant to Chapter 42F, Hawaii Revised Statutes. The total appropriation was expended and encumbered in FY 2020. No grants-in-aid were awarded in FY 2021.

PART II - MEASURES OF EFFECTIVENESS

1. Numerous development projects are in the planning, design, and construction phases. Several projects have progressed to the construction phase and are expected to be completed in upcoming fiscal years. Lots developed represent lots at final subdivision approval and are ready to award.

2. 31% of surrendered or cancelled leases have been awarded. Lease award conversions are affected by the beneficiary's financial qualification for a mortgage.

3. The existing financial education contract expired in the first half of the fiscal year. However, a new contract has been executed and homebuyer education training is expected to resume with an expanded scope to include post-homeownership and whole household financial well-being principles.

PART III - PROGRAM TARGET GROUPS

1. 28,788 applicants were recorded on the wait list in FY 2020. The number of applicants on the waitlist is expected to rise to 29,000 by the end of FY 2021.

2. Nine thousand nine hundred thirty-three (9,933) homestead leases were reported in FY 2020. This number is expected to increase to at least 10,000 as additional leases are awarded in FY 2021.

3. The existing financial education contract expired in the first half of FY 2020. However, a new contract has been executed and homebuyer education training is expected to resume with an expanded scope to include post-homeownership and whole household financial well-being principles.

PART IV - PROGRAM ACTIVITIES

1. Sixty (60) lots in the Lai'o'pua Village 4, Rent with Option to Purchase, Phase 1, rental units, were completed in FY 2020. Numerous development projects are in the acquisition, planning, design, construction and post-construction phases. Project development schedules are fluid and subject to changes and fluctuations. The Department finds that the definition for developed lots shall consider awardability at the point subdivisions and lots achieve county final subdivision approval and environmental compliance. Often, additional on-

VARIANCE REPORT NARRATIVE FY 2020 AND FY 2021

06 03 01
HHL 602

PROGRAM TITLE: PLANNING & DEV FOR HAWAIIAN HOMESTEADS

site and off-site improvements and after-the-fact regulatory approvals are needed before lots are considered developed and awardable.

2. Five (5) surrendered and cancelled leases were re-awarded in FY 2020. Lease award conversions are affected by the beneficiary's financial qualification for a mortgage.

3. This number reflects automated data entries, which include vital lease information, lease recordations, and updates. The reduction of transactions can be attributed to the COVID-19 pandemic between the third and fourth quarters.

4. Sixteen (16) leases/lots were surrendered/cancelled in FY 2020.

5. Beneficiaries continue to refinance existing mortgages due to low interest rates, resulting in lower insured loans being underwritten and approved. This downward trend is expected to continue through the end of FY 2021.

6. While 18 guaranteed loans were approved in FY 2020, more refinancing is expected through the end of FY 2021 due to low interest rates.

7. Eight (8) loans were financed by DHHL in FY 2020 and the trend is expected to remain the same as the Department continues to re-award leases of existing homes in its inventory. In light of private lenders focus away from construction loans, the Department will be financing more re-awards.

8. No data is reported for the number of beneficiaries outreached for homebuyer education during the reporting period due to a new contract being negotiated. With a new contract in place this fiscal year, it is anticipated that 29,000 beneficiaries will be contacted to participate in the homebuyer education program by the end of this fiscal year.

PROGRAM TITLE:

ADMINISTRATION AND OPERATING SUPPORT

12/5/20

PROGRAM-ID:

HHL-625

PROGRAM STRUCTURE NO:

060302

	FISCAL YEAR 2019-20				THREE MONTHS ENDED 09-30-20				NINE MONTHS ENDING 06-30-21			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	200.00	137.00	- 63.00	32	200.00	122.00	- 78.00	39	200.00	124.00	- 76.00	38
EXPENDITURES (\$1000's)	18,638	16,578	- 2,060	11	3,883	3,819	- 64	2	11,649	11,649	+ 0	0
TOTAL COSTS												
POSITIONS	200.00	137.00	- 63.00	32	200.00	122.00	- 78.00	39	200.00	124.00	- 76.00	38
EXPENDITURES (\$1000's)	18,638	16,578	- 2,060	11	3,883	3,819	- 64	2	11,649	11,649	+ 0	0
					FISCAL YEAR 2019-20				FISCAL YEAR 2020-21			
					PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%
PART II: MEASURES OF EFFECTIVENESS												
1. % OF R&M TASKS COMPLETED OF TOTAL TASKS INITIATED					80	128	+ 48	60	80	96	+ 16	20
2. % OF PLANNING STUDIES COMPLETED OF TOTAL INITIATED					30	21	- 9	30	30	25	- 5	17
3. % DATA PROCESSING REQUESTS COMPLETED WITHIN 30 DAYS					100	100	+ 0	0	100	100	+ 0	0
PART III: PROGRAM TARGET GROUP												
1. NUMBER OF GENERAL LEASES					128	125	- 3	2	128	123	- 5	4
2. NUMBER OF LICENSES					400	432	+ 32	8	400	429	+ 29	7
3. NUMBER OF REVOCABLE PERMITS					178	156	- 22	12	178	151	- 27	15
4. NUMBER OF BENEFICIARY BASED ORGANIZATIONS					68	68	+ 0	0	68	68	+ 0	0
5. NUMBER OF DEPARTMENT EMPLOYEES					200	137	- 63	32	200	124	- 76	38
PART IV: PROGRAM ACTIVITY												
1. NUMBER OF PLANNING STUDIES INITIATED					41	30	- 11	27	41	20	- 21	51
2. NUMBER OF ENVIRONMENTAL ASSESSMENTS REVIEWED					25	55	+ 30	120	25	NO DATA	- 25	100
3. NUMBER OF BENEFICIARY MEETINGS CONDUCTED					25	35	+ 10	40	25	30	+ 5	20
4. AMOUNT OF GENERAL LEASE REVENUE (IN MILLIONS)					15	15	+ 0	0	15	15	+ 0	0
5. NUMBER OF HOMESTEAD LEASE TRANSACTIONS					400	537	+ 137	34	400	350	- 50	13
6. NUMBER OF DATA PROCESSING REQUESTS					46	64	+ 18	39	47	50	+ 3	6
7. NUMBER OF PERSONAL ACTIONS INITIATED					4000	3859	- 141	4	4000	3500	- 500	13
8. NUMBER OF PURCHASE ORDERS PROCESSED					2300	1722	- 578	25	2300	2250	- 50	2
9. NUMBER OF REPAIR AND MAINT TASKS INITIATED					75	96	+ 21	28	75	96	+ 21	28

VARIANCE REPORT NARRATIVE FY 2020 AND FY 2021

06 03 02
HHL 625

PROGRAM TITLE: ADMINISTRATION AND OPERATING SUPPORT

PART I - EXPENDITURES AND POSITIONS

Act 119, SLH 2015, as amended by Act 124, SLH 2016, authorized the creation of HHL 625, Administration and Operating Support, and transferred 200 positions from HHL 602, Planning and Development for Hawaiian Homesteads, to the HHL 625, Administrative and Operating Support program. General funds were also appropriated to pay base salaries and fringe benefit costs for the 200 positions since 2017. The Legislature continued to appropriate general funds for base salaries and fringe benefit costs for 200 positions through FY 2019.

Act 5, SLH 2019, as amended by Act 7, SLH 2020 and Act 9, SLH 2020, reduced the Department of Hawaiian Home Lands' (DHHL) position count from 200 to 149 positions in FY 2020 but restored the position count to 200 positions in FY 2021.

Base salaries continued to be financed with general funds in FY 2020, however, fringe benefit costs are now paid with general funds through the Department of Budget and Finance, a practice that is common with other State agencies.

New hires increased during the reporting period to support growth of development projects, contract administration, and award activities. The DHHL also experienced unexpected workforce attrition in FY 2020.

PART II - MEASURES OF EFFECTIVENESS

1. More than 96 repair and maintenance (R&M) tasks were completed out of 75 initiated during the reporting period, which represents a 128% completion rate. Some R&M tasks, including drainage and remediation, sewer lines and water line repairs, landscape maintenance and street light repair, are still in progress.

2. Budget reductions and the inability to fill key vacancies due to the State hiring freeze, contributed to the reduction of studies completed during the reporting period.

3. All requests received were completed within 30 days of the reporting period.

PART III - PROGRAM TARGET GROUPS

1. A few general leases expired or were cancelled due to delinquencies during the reporting period.

2. Utility easements contributed to the slight increase in licenses issued.

3. Cancellations due to delinquencies and expiration of short-term dispositions fueled the decline in revocable permits.

4. The number of beneficiary-based organizations assisted remain constant and the same is expected for the remainder of FY 2021. Department assistance includes training and capacity building, providing opportunities to participate in formulating the DHHL's policies and programs, and providing technical assistance with association programs.

5. Although recruitment efforts resulted in a few new hires, the Department experienced unexpected workforce attrition in FY 2020. The same is expected for the remainder of this fiscal year due to retirements and the hiring freeze.

PART IV - PROGRAM ACTIVITIES

1. Budget reductions and the inability to fill key vacancies due to the State hiring freeze contributed to the reduction of studies completed in a timely manner during the reporting period.

2. No data is reported because it is not known how many environmental assessments will be requested to be reviewed until the end of the fiscal year.

3. The Department's initiative to create more communication opportunities for beneficiary input in the Department's plans and policies will continue.

VARIANCE REPORT NARRATIVE FY 2020 AND FY 2021

06 03 02
HHL 625

PROGRAM TITLE: ADMINISTRATION AND OPERATING SUPPORT

4. The amount of general lease revenue is expected to remain constant in FYs 2020 and 2021.

5. 537 homestead lease transactions, which include residential, agricultural and pastoral leases, were completed in FY 2020. The increase is attributed to more amendments to Undivided Leases. A reduction in the number of completed transactions is expected due to staff shortages and fewer transaction requests due to the COVID-19 pandemic and office closures.

6. Data processing activities rose to accommodate increased personnel requests and efforts to improve IT infrastructure. Data processing activities are expected to rise due to remote working initiatives and continued technical assistance requests.

7. Although the number of personnel transactions received grew due to increased efforts to fill vacancies, convert exempt to civil service status, and personnel-related requests associated with administrative and operating support activities, the department fell short in the number of transactions processed during the fiscal year.

8. The decline in the number of purchase orders processed in FY 2020 corresponds to the drastic reduction in interisland travel and travel-related expenses resulting from imposed travel restrictions from March through June 2020 due to the corona virus pandemic.

9. Significant increases in R&M tasks were initiated and completed statewide in response to daily community requests. It is estimated that at least two (2) R&M tasks are initiated each week, annually.

PROGRAM TITLE:

OVERALL PRGM SUPPT FOR AGING, DIS & LTC SERV

12/5/20

PROGRAM-ID:

PROGRAM STRUCTURE NO: 0604

	FISCAL YEAR 2019-20				THREE MONTHS ENDED 09-30-20				NINE MONTHS ENDING 06-30-21			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	610.75	509.75	- 101.00	17	612.75	502.75	- 110.00	18	612.75	511.00	- 101.75	17
EXPENDITURES (\$1000's)	230,033	191,442	- 38,591	17	22,530	16,431	- 6,099	27	204,073	206,896	+ 2,823	1
TOTAL COSTS												
POSITIONS	610.75	509.75	- 101.00	17	612.75	502.75	- 110.00	18	612.75	511.00	- 101.75	17
EXPENDITURES (\$1000's)	230,033	191,442	- 38,591	17	22,530	16,431	- 6,099	27	204,073	206,896	+ 2,823	1
	FISCAL YEAR 2019-20				FISCAL YEAR 2020-21							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. UNDUPLICATED # OF CLIENTS SERVED BY OFC OF AGING	7129	7768	+ 639	9	7129	7700	+ 571	8				
2. % OF OBJECTIVES IN DCAB PLAN OF ACTION COMPLETED	90	90	+ 0	0	90	90	+ 0	0				
3. % MEDICAID APPS PROCESSED W/IN SPEC TIME CRITERIA	82	99	+ 17	21	84	99	+ 15	18				
4. % SELF-SUFFICENCY SVC PROVIDERS MEETING PERF STDS	90	95	+ 5	6	90	95	+ 5	6				
5. % APPEALS RESOLVED W/IN SPECIF TIME CRITERIA	98	98	+ 0	0	98	98	+ 0	0				
6. % FED COMPLIANCE REVIEWS W/ NO SIGNIF NEG FINDINGS	90	90	+ 0	0	90	90	+ 0	0				

VARIANCE REPORT NARRATIVE FY 2020 AND FY 2021

PROGRAM TITLE: OVERALL PRGM SUPPT FOR AGING, DIS & LTC SERV

06 04

PART I - EXPENDITURES AND POSITIONS

Details of the position and expenditure variances are best examined at the lowest program level.

PART II - MEASURES OF EFFECTIVENESS

Additional details are provided at the lowest level program narratives.

PROGRAM TITLE:

EXECUTIVE OFFICE ON AGING

12/5/20

PROGRAM-ID:

HTH-904

PROGRAM STRUCTURE NO:

060402

	FISCAL YEAR 2019-20				THREE MONTHS ENDED 09-30-20				NINE MONTHS ENDING 06-30-21			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	15.00	15.00	+ 0.00	0	15.00	15.00	+ 0.00	0	15.00	15.00	+ 0.00	0
EXPENDITURES (\$1000's)	23,976	23,504	- 472	2	5,614	5,150	- 464	8	16,840	17,305	+ 465	3
TOTAL COSTS												
POSITIONS	15.00	15.00	+ 0.00	0	15.00	15.00	+ 0.00	0	15.00	15.00	+ 0.00	0
EXPENDITURES (\$1000's)	23,976	23,504	- 472	2	5,614	5,150	- 464	8	16,840	17,305	+ 465	3
	FISCAL YEAR 2019-20				FISCAL YEAR 2020-21							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. TOTAL UNDUPLICATED NUMBER OF CLIENTS SERVED	7129	7768	+ 639	9	7129	7700	+ 571	8				
2. % OF REGISTERED CLIENTS WHO LIVED ALONE	37	39	+ 2	5	37	37	+ 0	0				
3. % OF REGISTERED CLIENTS LIVING IN POVERTY	22	21	- 1	5	22	20	- 2	9				
4. % OF REGISTERED CLIENTS WITH 2+ ADLS	46	48	+ 2	4	46	42	- 4	9				
5. % OF REGISTERED CLIENTS WITH 2+ IDLS	60	64	+ 4	7	60	59	- 1	2				
PART III: PROGRAM TARGET GROUP												
1. NUMBER OF PERSONS AGE 60 YEARS AND OLDER	316300	316300	+ 0	0	316300	342000	+ 25700	8				
2. # OF PERSONS WHO CONTACTED THE ADRC	47970	43926	- 4044	8	47970	51612	+ 3642	8				
PART IV: PROGRAM ACTIVITY												
1. NUMBER OF INFO AND ASSISTANCE CALLS RECEIVED BY AD	60281	61584	+ 1303	2	60281	55254	- 5027	8				
2. NUMBER OF CONGREGATE MEALS SERVED	197295	179853	- 17442	9	197295	185823	- 11472	6				
3. NUMBER OF HOME DELIVERED MEALS SERVED	412587	451062	+ 38475	9	412587	451043	+ 38456	9				
4. NUMBER OF RESPITE CARE UNITS PROVIDED	32062	29938	- 2124	7	32062	34150	+ 2088	7				
5. NUMBER OF ADULT DAY CARE HOURS PROVIDED	81499	88934	+ 7435	9	81499	88839	+ 7340	9				

VARIANCE REPORT NARRATIVE FY 2020 AND FY 2021

06 04 02
HTH 904

PROGRAM TITLE: EXECUTIVE OFFICE ON AGING

PART I - EXPENDITURES AND POSITIONS

The variance in FY 20 expenditures was due to contract encumbrance in quarter four of FY 20.

PART II - MEASURES OF EFFECTIVENESS

No significant variances.

PART III - PROGRAM TARGET GROUPS

No significant variances.

PART IV - PROGRAM ACTIVITIES

No significant variances.

PROGRAM TITLE:

DISABILITY & COMMUNICATIONS ACCESS BOARD

12/5/20

PROGRAM-ID:

HTH-520

PROGRAM STRUCTURE NO:

060403

	FISCAL YEAR 2019-20				THREE MONTHS ENDED 09-30-20				NINE MONTHS ENDING 06-30-21			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	21.00	21.00	+ 0.00	0	21.00	20.00	- 1.00	5	21.00	20.00	- 1.00	5
EXPENDITURES (\$1000's)	2,384	2,104	- 280	12	503	429	- 74	15	1,821	1,895	+ 74	4
TOTAL COSTS												
POSITIONS	21.00	21.00	+ 0.00	0	21.00	20.00	- 1.00	5	21.00	20.00	- 1.00	5
EXPENDITURES (\$1000's)	2,384	2,104	- 280	12	503	429	- 74	15	1,821	1,895	+ 74	4
	FISCAL YEAR 2019-20				FISCAL YEAR 2020-21							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. % OF PARKING PERMITS ISSUED WITHIN 2 WEEKS	100	100	+ 0	0	100	100	+ 0	0	100	100	+ 0	0
2. % OF INCOMING TECH ASSISTANCE REQUESTS FULFILLED	100	100	+ 0	0	100	100	+ 0	0	100	100	+ 0	0
3. % OF OBJECTIVES IN DCAB PLAN OF ACTION COMPLETED	90	90	+ 0	0	90	90	+ 0	0	90	90	+ 0	0
4. % SIGN LANG INTERPRETERS TESTD WHO ARE CREDENTIALD	90	90	+ 0	0	90	90	+ 0	0	90	90	+ 0	0
5. % OF DOCUMENT REVIEWS WITHOUT DISCREPANCIES	60	60	+ 0	0	60	60	+ 0	0	60	60	+ 0	0
PART III: PROGRAM TARGET GROUP												
1. PERSONS WITH DISABILITIES	285000	283174	- 1826	1	285000	284000	- 1000					
PART IV: PROGRAM ACTIVITY												
1. # NEWSLETTERS DISTRIBUTED	25	26	+ 1	4	25	25	+ 0					
2. # SIGN LANGUAGE INTERPRETERS TESTED	25	34	+ 9	36	25	25	+ 0					
3. # INFO/REFERRAL & TECH ASST REQUESTS RECEIVED	7000	14528	+ 7528	108	7000	10000	+ 3000	43				
4. # DISABLED PERSONS PARKING PERMITS ISSUED	25000	27400	+ 2400	10	25000	25000	+ 0					
5. # OUTREACH, EDUCATION AND TRAINING CONDUCTED	50	55	+ 5	10	50	50	+ 0					
6. # BLUEPRINT DOCUMENTS REVIEWED	1200	1443	+ 243	20	1200	1200	+ 0					
7. # INTERPRTV OPINIONS/SITE SPECFC ALT DESIGNS ISSUD	5	5	+ 0	0	5	5	+ 0					
8. # FED/STATE/COUNTY PUBLIC POLICY RECOMMENDATIONS	40	25	- 15	38	40	40	+ 0					
9. # ADVISORY COMMITTEES WHO ARE ACTIVE PARTICPANTS	25	17	- 8	32	25	25	+ 0					

VARIANCE REPORT NARRATIVE FY 2020 AND FY 2021

06 04 03
HTH 520

PROGRAM TITLE: DISABILITY & COMMUNICATIONS ACCESS BOARD

PART I - EXPENDITURES AND POSITIONS

Actual expenditures in FY 20 were lower than budgeted due to one vacancy.

Actual expenditures in the three months that ended on September 30, 2020, were less than budgeted due to 1.00 full-time equivalent unfunded position effective FY 21 per Act 5, SLH 2019, as amended by Act 9, SLH 2020, in general funds.

PART II - MEASURES OF EFFECTIVENESS

No significant variances.

PART III - PROGRAM TARGET GROUPS

No significant variances.

PART IV - PROGRAM ACTIVITIES

Item 2. The increased number of sign language interpreters tested was due to an increase of interpreter credential renewals.

Item 3. The increased number of information/referral and technical assistance was due to an increase of understanding the parking program; how to use the parking placard; differences between comfort, emotional support, therapy dog and service animals; requirements for closed captioning of live streaming in government hearings and press conferences; and requirements to make web content accessible for persons who are deaf, hard of hearing, deaf-blind, blind and low vision.

Item 4. The disabled persons parking permits issued increased due to the number of qualified applicants.

Item 5. The increase was due to more outreach, education and training to individuals with limited knowledge of the Americans with Disabilities Act (ADA) and ADA Accessibility Guidelines requirements.

Item 6. The number of documents reviewed was greater than projected due to an increased number of construction projects.

Item 8. Due to the COVID-19 pandemic, the federal/State/county legislative sessions were delayed, and there was a decrease of federal/State/county public policy recommendations relating to people with disabilities.

Item 9. Due to the COVID-19 pandemic, the number of advisory committees decreased in participation.

PROGRAM TITLE:

GENERAL SUPPORT FOR HEALTH CARE PAYMENTS

12/5/20

PROGRAM-ID:

HMS-902

PROGRAM STRUCTURE NO:

060404

	FISCAL YEAR 2019-20				THREE MONTHS ENDED 09-30-20				NINE MONTHS ENDING 06-30-21			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	281.75	236.75	- 45.00	16	281.75	231.75	- 50.00	18	281.75	240.00	- 41.75	15
EXPENDITURES (\$1000's)	72,832	56,202	- 16,630	23	3,364	3,056	- 308	9	66,953	66,535	- 418	1
TOTAL COSTS												
POSITIONS	281.75	236.75	- 45.00	16	281.75	231.75	- 50.00	18	281.75	240.00	- 41.75	15
EXPENDITURES (\$1000's)	72,832	56,202	- 16,630	23	3,364	3,056	- 308	9	66,953	66,535	- 418	1
	FISCAL YEAR 2019-20				FISCAL YEAR 2020-21							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. % APPS PROCESSED W/IN SPECIF TIMELINESS CRITERIA	82	99	+ 17	21	84	99	+ 15	18				
2. % TIMELY SUBS OF QRTLY AND ANNL RPTS TO DHHS	80	100	+ 20	25	85	90	+ 5	6				
PART III: PROGRAM TARGET GROUP												
1. # HEALTH PLANS PARTICIPATING IN PROGRAM	5	5	+ 0	0	5	5	+ 0	0				
2. # SERVED BY QUEST	360100	358488	- 1612	0	360100	437392	+ 77292	21				
3. # MQD PERSONNEL	235	239.25	+ 4.25	2	235	240	+ 5	2				
PART IV: PROGRAM ACTIVITY												
1. # CONTRACT EXECUTIONS	24	38	+ 14	58	24	38	+ 14	58				
2. # STATE PLAN AMENDMENTS AND WAIVER AMENDMENTS	3	10	+ 7	233	2	5	+ 3	150				

VARIANCE REPORT NARRATIVE FY 2020 AND FY 2021

06 04 04
HMS 902

PROGRAM TITLE: GENERAL SUPPORT FOR HEALTH CARE PAYMENTS

PART I - EXPENDITURES AND POSITIONS

The variances in filled permanent positions in FYs 20 and 21 are due to the hiring freeze effectuated by Executive Memorandum (E.M.) No. 20-01 and further clarified or extended by E.M. Nos. 20-02, 20-05, and 20-08. Additionally, general fund salaries for positions that were vacant as of March 31, 2020, were defunded in FY 21, so positions could not be filled unless substitute funding was identified.

The variances in expenditures are due to the way the State budgets and expends federal awards.

PART II - MEASURES OF EFFECTIVENESS

1. In the past year, the Med-QUEST Division (MQD) staff identified errors in the methodology that had been used to calculate timeliness. Once the methodology was corrected, timeliness for processing applications proved to be nearly 100%. Planned figures will be updated during the biennial program structure and performance measures review.

2. The variance is due to implementing new procedures for compiling and submitting the reports, which include improved clarity on lead roles and responsibilities.

PART III - PROGRAM TARGET GROUPS

No significant variances.

PART IV - PROGRAM ACTIVITIES

1. The number of contract executions increased due to the use of Indefinite Delivery/Indefinite Quantity contracting, which resulted in more executed contracts with multiple vendors. There were also additional contracts executed for professional services to assist with the managed care procurement.

2. There were more State Planned Amendments (SPAs) submitted than usual due to the COVID-19 pandemic. Also, some SPAs that had been submitted in previous years were approved in the reported year.

PROGRAM TITLE:

GEN SUPPORT FOR SELF-SUFFICIENCY SERVICES

12/5/20

PROGRAM-ID:

HMS-903

PROGRAM STRUCTURE NO:

060405

	FISCAL YEAR 2019-20				THREE MONTHS ENDED 09-30-20				NINE MONTHS ENDING 06-30-21			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	93.00	71.00	- 22.00	24	93.00	71.00	- 22.00	24	93.00	71.00	- 22.00	24
EXPENDITURES (\$1000's)	110,773	92,165	- 18,608	17	9,981	4,398	- 5,583	56	102,771	105,919	+ 3,148	3
TOTAL COSTS												
POSITIONS	93.00	71.00	- 22.00	24	93.00	71.00	- 22.00	24	93.00	71.00	- 22.00	24
EXPENDITURES (\$1000's)	110,773	92,165	- 18,608	17	9,981	4,398	- 5,583	56	102,771	105,919	+ 3,148	3
	FISCAL YEAR 2019-20				FISCAL YEAR 2020-21							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. % WORK PGM PARTCPANTS WHO EXITED PGM W/ EMPLOYMENT	22	2	- 20	91	22	3	- 19	86				
2. % E&T PARTCPNTS W/ BENEFITS REDCTN DUE TO EMPLYMNT	13	10	- 3	23	13	10	- 3	23				
3. % E&T PARTICIPANTS WHO EXITED DUE TO EMPLOYMENT	10	10	+ 0	0	10	10	+ 0	0				
4. % CONTRACTED SVC PROVDRS MEETING PERFORMANCE STDS	90	95	+ 5	6	90	95	+ 5	6				
PART III: PROGRAM TARGET GROUP												
1. # TANF/TAONF RCPTS REQ'D TO PARTCPATE IN WORK PGM	2900	2994	+ 94	3	2900	4200	+ 1300	45				
2. SNAP RCPTS WHO ARE ABLE-BODIED SUBJECT TO WORK REG	2700	2949	+ 249	9	2700	2949	+ 249	9				
PART IV: PROGRAM ACTIVITY												
1. NUMBER OF CONTRACTS	165	167	+ 2	1	165	167	+ 2	1				
2. % SNAP APPLICATIONS PROCESSED W/IN ADMIN RULES REQ	95	96	+ 1	1	95	96	+ 1	1				
3. % CASH SUPPORT APPS PROCESSED W/IN ADMIN RULES REQ	91	90	- 1	1	91	90	- 1	1				
4. PARTICIPATION IN THE FIRST-TO-WORK (FTW) PROGRAM	2900	2994	+ 94	3	2900	4200	+ 1300	45				
5. PARTICIPATION IN THE EMPLOYMENT AND TRAINING PGM	864	927	+ 63	7	864	930	+ 66	8				

VARIANCE REPORT NARRATIVE FY 2020 AND FY 2021

06 04 05
HMS 903

PROGRAM TITLE: GEN SUPPORT FOR SELF-SUFFICIENCY SERVICES

PART I - EXPENDITURES AND POSITIONS

The variances in filled permanent positions in FYs 20 and 21 are due to the hiring freeze effectuated by Executive Memorandum (E.M.) No. 20-01 and further clarified or extended by E.M. Nos. 20-02, 20-05, and 20-08. Additionally, general fund salaries for positions that were vacant as of March 31, 2020, were defunded in FY 21, so positions could not be filled unless substitute funding was identified.

The variance in FY 20 expenditures is due to the way the State budgets and expends federal awards.

The variance in FY 21 1st quarter expenditures is due a shift from encumbering purchase orders by contract or in full to paying for services as invoiced by contracted providers in response to implemented budgetary control measures.

PART II - MEASURES OF EFFECTIVENESS

1. The variance is mostly due to over-projected planned figures for FY 20 and FY 21 that were not updated to reflect more recent data trends. It was further impacted by the pandemic. Between July 2019 and February 2020, an average of 3% per month exited the work program with employment; however, from March 2020 to June 2020, there were none.

2. The variance may be due to participants' loss of employment as a result of businesses closing down or reducing their operations.

PART III - PROGRAM TARGET GROUPS

No significant variances.

PART IV - PROGRAM ACTIVITIES

No significant variances.

PROGRAM TITLE:

GENERAL ADMINISTRATION - DHS

12/5/20

PROGRAM-ID:

HMS-904

PROGRAM STRUCTURE NO:

060406

	FISCAL YEAR 2019-20				THREE MONTHS ENDED 09-30-20				NINE MONTHS ENDING 06-30-21			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	171.00	147.00	- 24.00	14	173.00	146.00	- 27.00	16	173.00	146.00	- 27.00	16
EXPENDITURES (\$1000's)	15,466	12,736	- 2,730	18	2,499	2,456	- 43	2	11,999	12,042	+ 43	0
TOTAL COSTS												
POSITIONS	171.00	147.00	- 24.00	14	173.00	146.00	- 27.00	16	173.00	146.00	- 27.00	16
EXPENDITURES (\$1000's)	15,466	12,736	- 2,730	18	2,499	2,456	- 43	2	11,999	12,042	+ 43	0
	FISCAL YEAR 2019-20				FISCAL YEAR 2020-21							
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%				
PART II: MEASURES OF EFFECTIVENESS												
1. % POSITION ACTION DECISIONS UPHELD BY DHRD	99	99	+ 0	0	99	99	+ 0	0				
2. % APPEALS RESOLVED W/IN SPECIF TIME CRITERIA	98	98	+ 0	0	98	98	+ 0	0				
3. % DHS EMPLOYEES WORKING W/O FORMAL GRIEVANCES	99	99	+ 0	0	99	99	+ 0	0				
4. % FED FUNDS DRAWN DOWN W/IN SPECIF TIME CRITERIA	97	97	+ 0	0	97	97	+ 0	0				
5. % CONTRACT PAYMENTS PAID W/IN SPECIF TIME CRITERIA	85	85	+ 0	0	85	85	+ 0	0				
6. % IT PROJECTS COMPLETED W/IN SPECIF TIME CRITERIA	90	85	- 5	6	90	90	+ 0	0				
PART III: PROGRAM TARGET GROUP												
1. # PERSONNEL IN DHS	2060	1937	- 123	6	2060	1937	- 123	6				
2. # DIVISIONS & ATTACHED AGENCIES IN DHS	8	8	+ 0	0	8	8	+ 0	0				
PART IV: PROGRAM ACTIVITY												
1. # APPEALS PROCESSED (ANNUALLY)	1580	1269	- 311	20	1580	1200	- 380	24				
2. # POSITION ACTIONS PROCESSED (ANNUALLY)	610	379	- 231	38	610	500	- 110	18				
3. # WARRANT VOUCHERS PROCESSED (ANNUALLY)	10500	9526	- 974	9	10500	9700	- 800	8				
4. # MANDATED FISCAL FED REPORTS (ANNUALLY)	257	257	+ 0	0	257	257	+ 0	0				
5. # FORMAL GRIEVANCES FILED (ANNUALLY)	30	9	- 21	70	30	9	- 21	70				
6. # AUTOMATION INITIATIVES IMPLEMENTED (ANNUALLY)	35	33	- 2	6	35	35	+ 0	0				

VARIANCE REPORT NARRATIVE FY 2020 AND FY 2021

06 04 06
HMS 904

PROGRAM TITLE: GENERAL ADMINISTRATION - DHS

PART I - EXPENDITURES AND POSITIONS

The variances in filled permanent positions in FYs 20 and 21 are due to the hiring freeze effectuated by Executive Memorandum (E.M.) No. 20-01 and further clarified or extended by E.M. Nos. 20-02, 20-05, and 20-08. Additionally, general fund salaries for positions that were vacant as of March 31, 2020, were defunded in FY 21, so positions could not be filled unless substitute funding was identified.

The variance in expenditures is due to the way the State budgets and expends federal awards.

PART II - MEASURES OF EFFECTIVENESS

No significant variances.

PART III - PROGRAM TARGET GROUPS

No significant variances.

PART IV - PROGRAM ACTIVITIES

1. The variance is due to the business units having more informational meetings with claimants to help them understand the department's adverse notices and decisions.
2. The variance is due to delays in initiating and implementing reorganizations and adding driver's license selective certifications to positions in the Adult Protective and Community Services Branch.
5. The variance is due to the efforts of the divisions and Human Resources resolve issues informally.

PROGRAM TITLE:

GENERAL SUPPORT FOR SOCIAL SERVICES

12/5/20

PROGRAM-ID:

HMS-901

PROGRAM STRUCTURE NO:

060407

	FISCAL YEAR 2019-20				THREE MONTHS ENDED 09-30-20				NINE MONTHS ENDING 06-30-21						
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%			
PART I: EXPENDITURES & POSITIONS															
RESEARCH & DEVELOPMENT COSTS															
POSITIONS															
EXPENDITURES (\$1,000's)															
OPERATING COSTS															
POSITIONS	29.00	19.00	-	10.00	34	29.00	19.00	-	10.00	34	29.00	19.00	-	10.00	34
EXPENDITURES (\$1000's)	4,602	4,731	+	129	3	569	942	+	373	66	3,689	3,200	-	489	13
TOTAL COSTS															
POSITIONS	29.00	19.00	-	10.00	34	29.00	19.00	-	10.00	34	29.00	19.00	-	10.00	34
EXPENDITURES (\$1000's)	4,602	4,731	+	129	3	569	942	+	373	66	3,689	3,200	-	489	13
	FISCAL YEAR 2019-20				FISCAL YEAR 2020-21										
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%							
PART II: MEASURES OF EFFECTIVENESS															
1. % NEW EMPL COMPLTG INTRO COMP/PROG SKILLS COURSES	100	32	-	68	68	100	100	+	0	0					
2. % FED COMPLIANCE REVIEWS W/ NO SIGNIF NEG FINDINGS	90	90	+	0	0	90	90	+	0	0					
3. % SOCIAL SVCS CONTRACTS MANAGED BY DIVISION	100	100	+	0	0	100	95	-	5	5					
4. % GRANTS AND FEDERAL FUNDS TRACKED AND MONITORED	100	95	-	5	5	100	95	-	5	5					
PART III: PROGRAM TARGET GROUP															
1. PERSONNEL IN DIVISION	450	406	-	44	10	450	406	-	44	10					
2. % CONTRACTED SOCIAL SERVICES PROVIDERS	100	95	-	5	5	100	95	-	5	5					
PART IV: PROGRAM ACTIVITY															
1. # NEW DIVISION EMPLOYEES TRAINED DURING YEAR	60	45	-	15	25	60	60	+	0	0					
2. # FEDERAL COMPLIANCE REVIEWS DURING YEAR	1	1	+	0	0	1	1	+	0	0					
3. # SOCIAL SVCS CONTRACTS MANAGED DURING YEAR	115	115	+	0	0	115	115	+	0	0					
4. # GRANTS/FEDERAL FUNDS TRACKED/MONITRD DURING YEAR	22	22	+	0	0	22	22	+	0	0					

VARIANCE REPORT NARRATIVE FY 2020 AND FY 2021

06 04 07
HMS 901

PROGRAM TITLE: GENERAL SUPPORT FOR SOCIAL SERVICES

PART I - EXPENDITURES AND POSITIONS

The variances in filled permanent positions in FYs 20 and 21 are due to the hiring freeze effectuated by Executive Memorandum (E.M.) No. 20-01 and further clarified or extended by E.M. Nos. 20-02, 20-05, and 20-08. Additionally, general fund salaries for positions that were vacant as of March 31, 2020, were defunded in FY 21, so positions could not be filled unless substitute funding was identified.

The variances in expenditures are due to the way the State budgets and expends federal awards.

PART II - MEASURES OF EFFECTIVENESS

1. The variance is due to the COVID-19 pandemic and the hiring freeze. The New Hiring Training (NHT) by the Staff Development Office is generally conducted quarterly, but, due to the hiring freeze, the NHT deferred in the last two quarters of FY 20 until the first quarter of FY 21.

PART III - PROGRAM TARGET GROUPS

1. The variance is due to the hiring freeze.

PART IV - PROGRAM ACTIVITIES

1. The variance is due to a decrease in applicants who met position requirements and the hiring freeze.