



PUBLIC SAFETY

VARIANCE REPORT

	FISCAL YEAR 2019-20				THREE MONTHS ENDED 09-30-20				NINE MONTHS ENDING 06-30-21			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	3,075.60	2,590.10	- 485.50	16	3,077.60	2,563.35	- 514.25	17	3,077.60	3,039.85	- 37.75	1
EXPENDITURES (\$1000's)	408,326	345,086	- 63,240	15	92,788	78,564	- 14,224	15	298,782	312,508	+ 13,726	5
TOTAL COSTS												
POSITIONS	3,075.60	2,590.10	- 485.50	16	3,077.60	2,563.35	- 514.25	17	3,077.60	3,039.85	- 37.75	1
EXPENDITURES (\$1000's)	408,326	345,086	- 63,240	15	92,788	78,564	- 14,224	15	298,782	312,508	+ 13,726	5
					FISCAL YEAR 2019-20				FISCAL YEAR 2020-21			
					PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%
PART II: MEASURES OF EFFECTIVENESS												
1. NO. ARRESTS MADE BY DEPT'L LAW ENFORCEMENT OFFICERS					4200	4604	+ 404	10	4200	4200	+ 0	0
2. NO. OF ESCAPES AS DEFINED BY SEC. 710-1020, HRS					0	0	+ 0	0	0	0	+ 0	0
3. NUMBER OF PAROLE VIOLATORS RETURNED TO PRISON					370	394	+ 24	6	370	390	+ 20	5

VARIANCE REPORT NARRATIVE FY 2020 AND FY 2021

PROGRAM TITLE: PUBLIC SAFETY

09

PART I - EXPENDITURES AND POSITIONS

Details of the position and expenditure variances are best examined at the lowest program level.

PART II - MEASURES OF EFFECTIVENESS

See Lowest Level Programs for additional information.

VARIANCE REPORT

	FISCAL YEAR 2019-20				THREE MONTHS ENDED 09-30-20				NINE MONTHS ENDING 06-30-21			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	2,828.60	2,387.10	- 441.50	16	2,830.60	2,352.10	- 478.50	17	2,830.60	2,825.60	- 5.00	0
EXPENDITURES (\$1000's)	304,772	292,152	- 12,620	4	67,701	67,203	- 498	1	222,744	222,745	+ 1	0
TOTAL COSTS												
POSITIONS	2,828.60	2,387.10	- 441.50	16	2,830.60	2,352.10	- 478.50	17	2,830.60	2,825.60	- 5.00	0
EXPENDITURES (\$1000's)	304,772	292,152	- 12,620	4	67,701	67,203	- 498	1	222,744	222,745	+ 1	0
					FISCAL YEAR 2019-20				FISCAL YEAR 2020-21			
					PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%
PART II: MEASURES OF EFFECTIVENESS												
1. NO. ARRESTS MADE BY DEPT'L LAW ENFORCEMNT OFFICERS					4200	4604	+ 404	10	4200	4200	+ 0	0
2. NO. OF ESCAPES AS DEFINED BY SEC. 710-1020, HRS					0	0	+ 0	0	0	0	+ 0	0
3. NUMBER OF PAROLE VIOLATORS RETURNED TO PRISON					370	394	+ 24	6	370	400	+ 30	8

VARIANCE REPORT NARRATIVE FY 2020 AND FY 2021

PROGRAM TITLE: SAFETY FROM CRIMINAL ACTIONS

09 01

PART I - EXPENDITURES AND POSITIONS

Details of the position and expenditure variances are best examined at the lowest program level.

PART II - MEASURES OF EFFECTIVENESS

See Lowest Level Programs for additional information.

VARIANCE REPORT

	FISCAL YEAR 2019-20				THREE MONTHS ENDED 09-30-20				NINE MONTHS ENDING 06-30-21			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	2,146.60	1,783.10	- 363.50	17	2,146.60	1,764.10	- 382.50	18	2,146.60	2,146.60	+ 0.00	0
EXPENDITURES (\$1000's)	236,118	230,536	- 5,582	2	51,528	51,528	+ 0	0	172,201	172,201	+ 0	0
TOTAL COSTS												
POSITIONS	2,146.60	1,783.10	- 363.50	17	2,146.60	1,764.10	- 382.50	18	2,146.60	2,146.60	+ 0.00	0
EXPENDITURES (\$1000's)	236,118	230,536	- 5,582	2	51,528	51,528	+ 0	0	172,201	172,201	+ 0	0
					FISCAL YEAR 2019-20				FISCAL YEAR 2020-21			
					PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%
PART II: MEASURES OF EFFECTIVENESS												
1. NO. OF ESCAPES AS DEFINED BY SEC. 710-1020, HRS					0	0	+ 0	0	0	0	+ 0	0
2. PERCENT OF INMATES COMPLETING ACADEMIC PROGRAMS					30	61	+ 31	103	30	65	+ 35	117
3. PERCENT OF INMATES COMPLETING VOCATIONAL PROGRAMS					60	90	+ 30	50	60	90	+ 30	50
4. % OF INMATES COMPLETING COUNSELING/TREATMENT PROGS					8	1	- 7	88	8	8	+ 0	0
5. % INMATES EMPLOYED BY CORRECTNL INDUSTRIES PROGS					5	9	+ 4	80	5	9	+ 4	80
6. % INMATES W/SANC FOR MISCNDT IN HIGH/GRTST CATEGS					45	61	+ 16	36	45	61	+ 16	36
7. % OF INMATES WHO TEST POSITIVE ON URINALYSIS TEST					5	0	- 5	100	5	5	+ 0	0

VARIANCE REPORT NARRATIVE FY 2020 AND FY 2021

PROGRAM TITLE: CONFINEMENT AND REINTEGRATION

09 01 01

PART I - EXPENDITURES AND POSITIONS

Details of the position and expenditure variances are best examined at the lowest program level.

PART II - MEASURES OF EFFECTIVENESS

See Lowest Level Programs for additional information.

VARIANCE REPORT

	FISCAL YEAR 2019-20				THREE MONTHS ENDED 09-30-20				NINE MONTHS ENDING 06-30-21			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	411.00	340.00	- 71.00	17	411.00	339.00	- 72.00	18	411.00	411.00	+ 0.00	0
EXPENDITURES (\$1000's)	30,172	29,381	- 791	3	6,430	6,430	+ 0	0	20,761	20,761	+ 0	0
TOTAL COSTS												
POSITIONS	411.00	340.00	- 71.00	17	411.00	339.00	- 72.00	18	411.00	411.00	+ 0.00	0
EXPENDITURES (\$1000's)	30,172	29,381	- 791	3	6,430	6,430	+ 0	0	20,761	20,761	+ 0	0
					FISCAL YEAR 2019-20				FISCAL YEAR 2020-21			
					PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%
PART II: MEASURES OF EFFECTIVENESS												
1. NUMBER OF ESCAPES AS DEFINED BY SEC. 710-1020, HRS					0	0	+ 0	0	0	0	+ 0	0
2. NUMBER OF ESCAPES AS DEFINED BY SEC. 710-1021, HRS					0	0	+ 0	0	0	0	+ 0	0
3. NUMBER OF INMATES RECEIVING SANCTIONS FOR MISC					450	389	- 61	14	450	389	- 61	14
4. % OF RECLASSIFICATION RESULTING IN REDUCED CUSTODY					20	20.83	+ 0.83	4	20	20	+ 0	0
PART III: PROGRAM TARGET GROUP												
1. AVERAGE NUMBER OF INMATES					725	917	+ 192	26	725	917	+ 192	26
PART IV: PROGRAM ACTIVITY												
1. NUMBER OF NEW ADMISSIONS					400	378	- 22	6	400	378	- 22	6
2. NUMBER OF INMATES RELEASED					500	501	+ 1	0	500	501	+ 1	0
3. NUMBER OF RECLASSIFICATION COMPLETED					1645	1330	- 315	19	1645	1645	+ 0	0

VARIANCE REPORT NARRATIVE FY 2020 AND FY 2021

09 01 01 02
PSD 402

PROGRAM TITLE: HALAWA CORRECTIONAL FACILITY

PART I - EXPENDITURES AND POSITIONS

FY 20 & FY 21: The position variance is due to high employee turnover and challenges on recruitment, examination and training. Additionally, while the correctional programs are afforded an exception from the statewide hiring freeze implementation, there are certain administrative positions that are responsible for the processing and management of paperwork. Due to the limited staffing of these administrative positions, the recruitment process experiences delays. It is anticipated that as the State experiences stability in the coming months, FY 21 position numbers would be at par with the planned numbers.

The expenditure variance is due to cost savings and the transfer out of funds to help other programs' payroll shortages.

PART II - MEASURES OF EFFECTIVENESS

Item 3: The limited activity in FY 20 and FY 21 results in reduced number of inmates receiving sanctions. Proactive, preventive measures are enacted to prevent the spread of COVID-19 as follows:

- a) Effective March 12, 2020, the Department of Public Safety (PSD) suspended inmate personal visits.
- b) Effective March 21, 2020, the Halawa Correctional Facility (HCF) modified inmate programming to lessen facility movements.
- c) Effective April 3, 2020, HCF developed temporary Medical Quarantine and Isolation Units targeted to contain and monitor possible contagion sources amid the inmate population. Consequentially, these measures resulted in positive outcomes with less overall misconducts and significantly less infections of COVID-19 among facility staff and inmates.

PART III - PROGRAM TARGET GROUPS

Item 1: All estimates for HCF are impacted because of the loss of a housing module and the transfer of inmates to the Arizona State Correctional Facility. Inmates are confined in-State.

PART IV - PROGRAM ACTIVITIES

Item 3: Fluctuations in number of reclassifications completed was not at par with the budgeted amount in FY 20 due to limited operations resulting from COVID-19. This is expected to ramp-up in FY 21. It is noted that HCF persistently attempts to recruit and retain Human Services Professional/Social Worker IV positions to perform a full range of professional casework of predominantly complex cases. Properly reviewing inmate case records and subsequently preparing required reports, such as reclassifications, are laborious and time consuming. Current under-staffing conditions of authorized personnel to manage paperwork results in the decline of completed reclassifications.

Past data suggested that a full complement of 14.00 positions could generate approximately 117 reclassifications per person per year. The completion of 1,330 reclassifications in the past year with 10.00 filled positions completed 133 reclassifications per person per year. Thus, productivity has increased with the current staffing level although the actual count indicates variance from the budgeted amount. It is anticipated that the FY 21 numbers will be at par with the planned amount as work efficiency improves.

VARIANCE REPORT

	FISCAL YEAR 2019-20					THREE MONTHS ENDED 09-30-20				NINE MONTHS ENDING 06-30-21					
	BUDGETED	ACTUAL	± CHANGE		%	BUDGETED	ACTUAL	± CHANGE		%	BUDGETED	ESTIMATED	± CHANGE		%
PART I: EXPENDITURES & POSITIONS															
RESEARCH & DEVELOPMENT COSTS															
POSITIONS															
EXPENDITURES (\$1,000's)															
OPERATING COSTS															
POSITIONS	83.00	78.00	-	5.00	6	83.00	79.00	-	4.00	5	83.00	83.00	+	0.00	0
EXPENDITURES (\$1000's)	6,392	6,108	-	284	4	1,302	1,302	+	0	0	4,813	4,813	+	0	0
TOTAL COSTS															
POSITIONS	83.00	78.00	-	5.00	6	83.00	79.00	-	4.00	5	83.00	83.00	+	0.00	0
EXPENDITURES (\$1000's)	6,392	6,108	-	284	4	1,302	1,302	+	0	0	4,813	4,813	+	0	0
						FISCAL YEAR 2019-20				FISCAL YEAR 2020-21					
						PLANNED	ACTUAL	± CHANGE		%	PLANNED	ESTIMATED	± CHANGE		%
PART II: MEASURES OF EFFECTIVENESS															
1. NUMBER OR ESCAPES (1ST DEGREE)						0	0	+	0	0	0	0	+	0	0
2. NUMBER OF ESCAPES (2ND DEGREE)						0	0	+	0	0	0	0	+	0	0
3. RECLASSIFICATION						20	35	+	15	75	20	25	+	5	25
PART III: PROGRAM TARGET GROUP															
1. AVERAGE NUMBER OF INMATES						150	170	+	20	13	150	170	+	20	13
PART IV: PROGRAM ACTIVITY															
1. ADMISSIONS						10	5	-	5	50	10	5	-	5	50
2. NUMBER OF RELEASES						25	10	-	15	60	25	10	-	15	60
3. NUMBER OF RECLASSIFICATION						200	97	-	103	52	200	90	-	110	55

VARIANCE REPORT NARRATIVE FY 2020 AND FY 2021

09 01 01 03
PSD 403

PROGRAM TITLE: KULANI CORRECTIONAL FACILITY

PART I - EXPENDITURES AND POSITIONS

No significant variances.

PART II - MEASURES OF EFFECTIVENESS

Item 3: Reclassification in FY 20 was higher than budgeted and it expected to ramp-up in the next quarters in FY 21. Variance is attributed to the impacts of COVID-19 on current processes and operations.

PART III - PROGRAM TARGET GROUPS

Item 1: Variance in FY 20 was due to higher inmate population than planned. This estimate is expected to be consistent in FY 21, despite some declines in some of the operations at Kulani Correctional Facility (KCF) due to COVID-19. Additionally, KCF operates in a 200-bed capacity and the average number of inmates, while exceeding the budgeted amount, is within the operating threshold.

PART IV - PROGRAM ACTIVITIES

Item 1: While the number of inmates is higher than the budgeted amount, new admissions are reduced to mitigate the spread of COVID-19.

Item 2: Variance in number of releases shows a decline from the planned amounts due to COVID-19. Some program operations are halted to ensure social distancing and other measures are maintained.

Item 3: Fluctuations in reclassifications are attributed to the impacts of COVID-19 on facility operations. Reclassification of an inmate is done every six months for minimum custody or when the status changes (program completion, misconduct hearing, and security/custody level status change). Community custody is done once a year unless there is a misconduct hearing/program change.

VARIANCE REPORT

	FISCAL YEAR 2019-20				THREE MONTHS ENDED 09-30-20				NINE MONTHS ENDING 06-30-21			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	113.00	92.00	- 21.00	19	113.00	91.00	- 22.00	19	113.00	113.00	+ 0.00	0
EXPENDITURES (\$1000's)	7,723	7,747	+ 24	0	1,883	1,883	+ 0	0	5,170	5,170	+ 0	0
TOTAL COSTS												
POSITIONS	113.00	92.00	- 21.00	19	113.00	91.00	- 22.00	19	113.00	113.00	+ 0.00	0
EXPENDITURES (\$1000's)	7,723	7,747	+ 24	0	1,883	1,883	+ 0	0	5,170	5,170	+ 0	0
					FISCAL YEAR 2019-20				FISCAL YEAR 2020-21			
					PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%
PART II: MEASURES OF EFFECTIVENESS												
1. NUMBER OF ESCAPES AS DEFINED BY SEC. 710-1020, HRS					0	0	+ 0	0	0	0	+ 0	0
2. NUMBER OF ESCAPES AS DEFINED BY SEC. 710-1021, HRS					0	0	+ 0	0	0	0	+ 0	0
3. NUMBER OF INMATES RECEIVING SANCTIONS					40	48	+ 8	20	40	40	+ 0	0
4. % OF RECLASSIFICATION RESULTING IN REDUCED CUSTODY					60	162	+ 102	170	60	60	+ 0	0
PART III: PROGRAM TARGET GROUP												
1. AVERAGE NUMBER OF INMATES					260	239	- 21	8	260	239	- 21	8
PART IV: PROGRAM ACTIVITY												
1. NUMBER OF NEW ADMISSIONS					60	41	- 19	32	60	41	- 19	32
2. NUMBER OF INMATES RELEASED					60	103	+ 43	72	60	103	+ 43	72
3. NUMBER OF RECLASSIFICATIONS COMPLETED					400	169	- 231	58	400	400	+ 0	0
4. NUMBER OF INMATE-HOURS CONTRIBUTED IN COMMUNIT					4000	4000	+ 0	0	4000	4000	+ 0	0
5. NUMBER OF INMATES PARTICIPATING IN TREATMENT PROGR					240	143	- 97	40	240	240	+ 0	0
6. NUMBER OF INMATES PARTICIPATING IN WORK/VOCATIONAL					220	229	+ 9	4	220	229	+ 9	4

VARIANCE REPORT NARRATIVE FY 2020 AND FY 2021

09 01 01 04
PSD 404

PROGRAM TITLE: WAIAWA CORRECTIONAL FACILITY

PART I - EXPENDITURES AND POSITIONS

FY 20 & FY 21: The position variance is due to high employee turnover and challenges on recruitment, examination and training. Additionally, while the correctional programs are afforded an exception from the statewide hiring freeze implementation, there are certain administrative positions that are responsible for the processing and management of paperwork. Due to the limited staffing of these administrative positions, the recruitment process experiences delays. It is anticipated that as the State experiences stability in the coming months, FY 21 position numbers would be at par with the planned numbers.

Despite the vacancies, some of the budgeted payroll funds are used for other current expenditures critical for the program.

Item 3: There are fewer inmates who needed reclassifications due to WCF's low inmate population count. This is anticipated to increase and be at par with the budgeted in FY 21.

Item 5: The suspension of programs due to the COVID-19 pandemic resulted in fewer participants in FY 20. This is anticipated to increase and be at par with the budgeted in FY 21 as operations ramp-up.

PART II - MEASURES OF EFFECTIVENESS

Item 3: Variance is due to more inmates being sanctioned for testing positive on urinalysis drug tests.

Item 4: Variances in reclassifications resulting in reduced custody of inmates are due to higher than anticipated number of inmates with desired behavior to qualify them for reclassification. More inmates who completed programs at other facilities are transferred to Waiawa Correctional Facility (WCF) as minimum custody. Their custody levels have later decreased to community custody after they are deemed appropriate for transition to a work furlough program.

PART III - PROGRAM TARGET GROUPS

No significant variance.

PART IV - PROGRAM ACTIVITIES

Item 1 & 2: Variances in number of new admissions and number of inmate release are due to the implementation of tighter COVID-19 policies that result in decline in operations.

VARIANCE REPORT

	FISCAL YEAR 2019-20				THREE MONTHS ENDED 09-30-20				NINE MONTHS ENDING 06-30-21			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	171.00	152.00	- 19.00	11	171.00	145.00	- 26.00	15	171.00	171.00	+ 0.00	0
EXPENDITURES (\$1000's)	11,761	13,616	+ 1,855	16	3,025	3,025	+ 0	0	8,071	8,071	+ 0	0
TOTAL COSTS												
POSITIONS	171.00	152.00	- 19.00	11	171.00	145.00	- 26.00	15	171.00	171.00	+ 0.00	0
EXPENDITURES (\$1000's)	11,761	13,616	+ 1,855	16	3,025	3,025	+ 0	0	8,071	8,071	+ 0	0
					FISCAL YEAR 2019-20				FISCAL YEAR 2020-21			
					PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%
PART II: MEASURES OF EFFECTIVENESS												
1. NUMBER OF INMATES PLACED ON PAROLE					50	59	+ 9	18	50	50	+ 0	0
2. NUMBER OF ESCAPES AS DEFINED BY SEC. 710-1020, HRS					0	0	+ 0	0	0	0	+ 0	0
3. NUMBER OF ESCAPES AS DEFINED BY SEC. 710-1021, HRS					0	1	+ 1	0	0	0	+ 0	0
4. NUMBER OF INMATES RECEIVING SANCTIONS					350	356	+ 6	2	350	300	- 50	14
PART III: PROGRAM TARGET GROUP												
1. AVERAGE NUMBER OF INMATES					530	336	- 194	37	530	336	- 194	37
PART IV: PROGRAM ACTIVITY												
1. NUMBER OF NEW ADMISSIONS					2650	2195	- 455	17	2650	2195	- 455	17
2. NUMBER OF INMATES RELEASED					2625	2356	- 269	10	2625	2356	- 269	10
3. NUMBER OF RECLASSIFICATIONS TO HIGHER LEVEL					180	173	- 7	4	180	150	- 30	17
4. NUMBER OF INMATE-HOURS CONTRIBUTED IN COMMUNIT					3000	3000	+ 0	0	3000	2500	- 500	17
5. NUMBER OF INMATES PARTICIPATING IN FURLOUGH					130	100	- 30	23	130	100	- 30	23
6. NUMBER OF INMATES PARTICIPATING IN RESIDENTIAL					200	190	- 10	5	200	150	- 50	25
7. NUMBER OF RECLASSIFICATION COMPLETED					250	260	+ 10	4	250	250	+ 0	0

VARIANCE REPORT NARRATIVE FY 2020 AND FY 2021

09 01 01 05
PSD 405

PROGRAM TITLE: HAWAII COMMUNITY CORRECTIONAL CENTER

PART I - EXPENDITURES AND POSITIONS

FY 20 & FY 21: The position variance is due to high employee turnover and challenges on recruitment, examination and training. Additionally, while the correctional programs are afforded an exception from the statewide hiring freeze implementation, there are certain administrative positions that are responsible for the processing and management of paperwork. Due to the limited staffing of these administrative positions, the recruitment process experiences delays. It is anticipated that as the State experiences stability in the coming months, FY 21 position numbers would be at par with the planned numbers.

PART II - MEASURES OF EFFECTIVENESS

Item 1: The variance in the number of inmates placed on parole in FY 20 was due to program's intent to release qualified inmates to deter the spread of COVID-19.

Item 3: The variance in the number of escapes in FY 20 was due to a random escape where one inmate fled the facility, but was quickly recaptured.

Item 4: The variance in the number of inmates receiving sanctions in FY 21 is anticipated to be impacted by various variables to include the behavior of inmates and tighter policies within the facility.

PART III - PROGRAM TARGET GROUPS

Item 1. The variance in the number of inmates is due to the limited operations in the facility and limited capacity to accommodate a higher inmate population to reduce exposure to COVID-19.

PART IV - PROGRAM ACTIVITIES

Item 1: Variance in the number of new admissions is due to increased measures to mitigate COVID-19. New admissions are monitored and controlled due to the capacity during this critical period.

Item 2: Variance in the number of inmate release is attributed to the slowdown of operations in the facility.

Item 3 & 4: The number of reclassification in higher levels and the number of hours contributed in the community are estimated to decline in FY 21 due to factors, such as COVID-19 mitigation and limited operations.

Item 5: Due to COVID-19, the Director of Public Safety suspended all furlough programs on March 24, 2020, which decreased the number of inmates enrolled in the furlough program. Increased health and safety measures result in the variance in the activity as planned.

Item 6: The number of inmates participating in residential is anticipated to decline in FY 21 as it relates to reduced operations due to COVID-19.

VARIANCE REPORT

	FISCAL YEAR 2019-20				THREE MONTHS ENDED 09-30-20				NINE MONTHS ENDING 06-30-21			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	187.00	136.00	- 51.00	27	187.00	135.00	- 52.00	28	187.00	187.00	+ 0.00	0
EXPENDITURES (\$1000's)	13,376	13,404	+ 28	0	2,937	2,937	+ 0	0	8,262	8,262	+ 0	0
TOTAL COSTS												
POSITIONS	187.00	136.00	- 51.00	27	187.00	135.00	- 52.00	28	187.00	187.00	+ 0.00	0
EXPENDITURES (\$1000's)	13,376	13,404	+ 28	0	2,937	2,937	+ 0	0	8,262	8,262	+ 0	0
					FISCAL YEAR 2019-20				FISCAL YEAR 2020-21			
					PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%
PART II: MEASURES OF EFFECTIVENESS												
1. NUMBER OF INMATES PLACED ON PAROLE					55	53	- 2	4	55	55	+ 0	0
2. NUMBER OF ESCAPES AS DEFINED BY SEC. 710-1020, HRS					0	0	+ 0	0	0	0	+ 0	0
3. NUMBER OF ESCAPES AS DEFINED BY SEC. 710-1021, HRS					0	1	+ 1	0	0	0	+ 0	0
4. NUMBER OF INMATES RECEIVING SANCTIONS					250	291	+ 41	16	250	250	+ 0	0
PART III: PROGRAM TARGET GROUP												
1. AVERAGE NUMBER OF INMATES					500	310	- 190	38	500	310	- 190	38
PART IV: PROGRAM ACTIVITY												
1. NUMBER OF NEW ADMISSIONS					1750	1442	- 308	18	1750	1442	- 308	18
2. NUMBER OF INMATES RELEASED					1775	1547	- 228	13	1775	1547	- 228	13
3. NUMBER OF RECLASSIFICATIONS TO HIGHER LEVEL					24	15	- 9	38	24	24	+ 0	0
4. NUMBER OF INMATE-HOURS CONTRIBUTED					22500	12404	- 10096	45	22500	22500	+ 0	0
5. NUMBER OF INMATES PARTICIPATING IN FURLOUGH PR					96	60	- 36	38	96	96	+ 0	0
6. NUMBER OF INMATES PARTICIPATING IN RESIDENTIAL					40	22	- 18	45	40	40	+ 0	0
7. NUMBER OF RECLASSIFICATION COMPLETED					600	707	+ 107	18	600	600	+ 0	0

VARIANCE REPORT NARRATIVE FY 2020 AND FY 2021

09 01 01 06
PSD 406

PROGRAM TITLE: MAUI COMMUNITY CORRECTIONAL CENTER

PART I - EXPENDITURES AND POSITIONS

FY 20 & FY 21: The position variance is due to high employee turnover and challenges on recruitment, examination and training. Additionally, while the correctional programs are afforded an exception from the statewide hiring freeze implementation, there are certain administrative positions that are responsible for the processing and management of paperwork. Due to the limited staffing of these administrative positions, the recruitment process experiences delays. It is anticipated that as the State experiences stability in the coming months, FY 21 position numbers would be at par with the planned numbers.

PART II - MEASURES OF EFFECTIVENESS

Item 3: There was one escape from dormitory in FY 20 that resulted in an arrest for Escape 2. There was another walk-away from extended furlough, but that inmate was not prosecuted so he is not counted in this metric.

Item 4: The 16% increase in the number of inmates receiving sanctions in FY 20 was due to the disturbance and inmate behavior in the facility.

PART III - PROGRAM TARGET GROUPS

Item 1: The variance is due to increased COVID-19 precautions to reduce the number of inmates to prevent the spread of the disease.

PART IV - PROGRAM ACTIVITIES

Item 1 & 2: The variances in the number of new admissions and releases are due to policies related to COVID-19 which are designed to mitigate the spread.

Item 3: The number of reclassifications at higher level has a variance of 38% than planned and this is anticipated to level with the budgeted amount in FY 21. The planned number was initially estimated for programming failures and/or maximum custody transfers - which does not occur very often - at an anticipated average of two per month. Due to

less transports in the past fiscal year, less inmates were transported from Maui Community Correctional Center. Although there were qualified inmates to transfer out due to failed programming, precedence has been given to the Reception Assessment Diagnostics inmates.

Rather than an average of one transport per month (or a total of 12 for the year), only eight flights were completed this past fiscal year. Three of these eight flights were limited to half capacity with a maximum of 10 inmates than the standard number of 24 inmates.

Item 4: The variance in the number of inmate hours contributed is consistent with the reduction of inmates due to COVID-19 precautions.

Item 5: The planned number of 96 inmates to participate in the furlough program was calculated anticipating an average of eight incoming inmates for sequential phasing per month from other facilities after the inmate had completed a major program. However, the suspension of furlough operations since March 2020 greatly impacted the number of inmates being accepted back for sequential phasing. The actual number of inmates that participated in furlough this past fiscal year dropped to 60 in FY 20. It is anticipated to be at par with the budgeted amount in FY 21.

Item 6: The extended furlough population is considered in this metric. About half of the number of planned furlough inmates (96 from Part IV, Item 5) were anticipated to have enough time left on their sentences to participate in extended furlough. Since the actual number of furlough inmates was 60, only a third of this group or 22 inmates, transitioned to extended furlough. Part of the decrease was attributed to the number of inmates that were released to parole rather than through furlough because of the suspension of furlough due to COVID-19. It is anticipated to be at par with the budgeted amount in FY 21.

Item 7: An average of 50 reclasses per month was estimated for completion at the start of the fiscal period. However, more reclasses are completed than expected especially for disciplinary actions. Reclass completion numbers were highest in the months of October 2019, January 2020, April 2020, and July 2020. A review of the statistical

VARIANCE REPORT NARRATIVE FY 2020 AND FY 2021

09 01 01 06
PSD 406

PROGRAM TITLE: MAUI COMMUNITY CORRECTIONAL CENTER

reasons indicated that many regular reclasses were completed for October 2019 and July 2020, and a higher number of disciplinary reclasses was completed in January 2020 and July 2020. It is anticipated to be at par with the budgeted amount in FY 21.

VARIANCE REPORT

	FISCAL YEAR 2019-20				THREE MONTHS ENDED 09-30-20				NINE MONTHS ENDING 06-30-21			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	501.00	420.00	- 81.00	16	501.00	417.00	- 84.00	17	501.00	501.00	+ 0.00	0
EXPENDITURES (\$1000's)	36,611	35,930	- 681	2	8,090	8,090	+ 0	0	25,109	25,109	+ 0	0
TOTAL COSTS												
POSITIONS	501.00	420.00	- 81.00	16	501.00	417.00	- 84.00	17	501.00	501.00	+ 0.00	0
EXPENDITURES (\$1000's)	36,611	35,930	- 681	2	8,090	8,090	+ 0	0	25,109	25,109	+ 0	0
					FISCAL YEAR 2019-20				FISCAL YEAR 2020-21			
					PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%
PART II: MEASURES OF EFFECTIVENESS												
1. NUMBER OF INMATES PLACED ON PAROLE					150	139	- 11	7	150	150	+ 0	0
2. NUMBER OF ESCAPES AS DEFINED BY SEC. 710-1020, HRS					0	0	+ 0	0	0	0	+ 0	0
3. NUMBER OF ESCAPES AS DEFINED BY SEC. 710-1021, HRS					0	14	+ 14	0	0	12	+ 12	0
4. NUMBER OF INMATES RECEIVING SANCTIONS					400	746	+ 346	87	400	400	+ 0	0
PART III: PROGRAM TARGET GROUP												
1. AVERAGE NUMBER OF INMATES					1375	890	- 485	35	1375	890	- 485	35
PART IV: PROGRAM ACTIVITY												
1. NUMBER OF NEW ADMISSIONS					6850	5654	- 1196	17	6850	5654	- 1196	17
2. NUMBER OF INMATES RELEASED					6960	6105	- 855	12	6960	6105	- 855	12
3. NUMBER OF RECLASSIFICATIONS TO HIGHER LEVEL					240	165	- 75	31	240	150	- 90	38
4. NUMBER OF INMATES PARTICIPATING IN FURLOUGH PR					240	188	- 52	22	240	240	+ 0	0
5. NUMBER OF RECLASSIFICATION COMPLETED					600	517	- 83	14	600	500	- 100	17

VARIANCE REPORT NARRATIVE FY 2020 AND FY 2021

09 01 01 07
PSD 407

PROGRAM TITLE: OAHU COMMUNITY CORRECTIONAL CENTER

PART I - EXPENDITURES AND POSITIONS

FY 20 & FY 21: The position variance is due to high employee turnover and challenges on recruitment, examination and training. Additionally, while the correctional programs are afforded an exception from the statewide hiring freeze implementation, there are certain administrative positions that are responsible for the processing and management of paperwork. Due to the limited staffing of these administrative positions, the recruitment process experiences delays. It is anticipated that as the State experiences stability in the coming months, FY 21 position numbers would be at par with the planned numbers.

PART II - MEASURES OF EFFECTIVENESS

Item 4: The variance in inmates receiving sanction in FY 20 was due to the increase of inmates' violent behaviors during the COVID-19 pandemic. Additionally, overcrowding, poor living conditions, inmates having too much idle time, and lack of programs/activities being open due to shortage of staff have aggravated this situation. On the furlough side, the variance was due to inmates' lack of self-control and discipline to adhere to the furlough program's rules and regulations.

PART III - PROGRAM TARGET GROUPS

Item 1: Variance is due to the decline in inmate populations as a measure to protect inmates and staff from exposure to COVID-19.

PART IV - PROGRAM ACTIVITIES

Item 1: There is a inmate admission reduction of 17% as a precautionary measure against COVID-19 exposure. The COVID-19 phenomenon was not anticipated and accounted for in the planned numbers.

Item 2: There is a 12% reduction of inmate release from the budgeted amounts. There are many variables which could have driven the variation, mainly the effects of COVID-19. The limited operations and short staffing also contribute to this variance.

Item 3: The variance in reclassification are due to a select number of the same inmates that continue to display violent behavior. Also, Oahu Community Correctional Center (OCCC) had COVID-19 positive inmates, so no transfers were made to other facilities. The number went down from 240 to 165.

Item 4: The variance in FY 20 was due to various reasons: the COVID-19 pandemic, participants from sending facilities not meeting the qualifications to come to OCCC, and having too many Bridge participants and not enough general furlough program participants. The number went down from 240 to 188. This is anticipated to be at par with the budgeted amount in FY 21.

Item 5: The planned amount of 600 should be corrected to 500 to better reflect the projections.

VARIANCE REPORT

		FISCAL YEAR 2019-20				THREE MONTHS ENDED 09-30-20				NINE MONTHS ENDING 06-30-21						
		BUDGETED	ACTUAL	±	CHANGE	%	BUDGETED	ACTUAL	±	CHANGE	%	BUDGETED	ESTIMATED	±	CHANGE	%
PART I: EXPENDITURES & POSITIONS																
RESEARCH & DEVELOPMENT COSTS																
POSITIONS																
EXPENDITURES (\$1,000's)																
OPERATING COSTS																
POSITIONS		74.00	65.00	-	9.00	12	74.00	64.00	-	10.00	14	74.00	74.00	+	0.00	0
EXPENDITURES (\$1000's)		5,667	5,913	+	246	4	1,375	1,375	+	0	0	4,043	4,043	+	0	0
TOTAL COSTS																
POSITIONS		74.00	65.00	-	9.00	12	74.00	64.00	-	10.00	14	74.00	74.00	+	0.00	0
EXPENDITURES (\$1000's)		5,667	5,913	+	246	4	1,375	1,375	+	0	0	4,043	4,043	+	0	0
							FISCAL YEAR 2019-20				FISCAL YEAR 2020-21					
							PLANNED	ACTUAL	±	CHANGE	%	PLANNED	ESTIMATED	±	CHANGE	%
PART II: MEASURES OF EFFECTIVENESS																
1. NUMBER OF INMATES PLACED ON PAROLE							40	15	-	25	63	40	20	-	20	50
2. NUMBER OF ESCAPES AS DEFINED BY SEC. 710-1020, HRS							0	0	+	0	0	0	0	+	0	0
3. NUMBER OF ESCAPES AS DEFINED BY SEC. 710-1021, HRS							0	2	+	2	0	0	0	+	0	0
4. NUMBER OF INMATES RECEIVING SANCTIONS							100	60	-	40	40	100	100	+	0	0
PART III: PROGRAM TARGET GROUP																
1. AVERAGE NUMBER OF INMATES							200	129	-	71	36	200	129	-	71	36
PART IV: PROGRAM ACTIVITY																
1. NUMBER OF NEW ADMISSIONS							560	605	+	45	8	560	605	+	45	8
2. NUMBER OF INMATES RELEASED							500	621	+	121	24	500	621	+	121	24
3. NUMBER OF RECLASSIFICATIONS TO HIGHER LEVEL							20	6	-	14	70	20	10	-	10	50
4. NUMBER OF INMATE-HOURS CONTRIBUTED IN COMMUNIT							3000	1000	-	2000	67	3000	1000	-	2000	67
5. NUMBER OF INMATES PARTICIPATING IN FURLOUGH							60	40	-	20	33	60	40	-	20	33
6. NUMBER OF INMATES PARTICIPATING IN RESIDENTIAL							70	40	-	30	43	70	40	-	30	43
7. NUMBER OF RELCASSIFICATION COMPLETED							400	195	-	205	51	400	200	-	200	50

VARIANCE REPORT NARRATIVE FY 2020 AND FY 2021

09 01 01 08
PSD 408

PROGRAM TITLE: KAUAI COMMUNITY CORRECTIONAL CENTER

PART I - EXPENDITURES AND POSITIONS

FY 20 & FY 21: The position variance is due to high employee turnover and challenges on recruitment, examination and training. Additionally, while the correctional programs are afforded an exception from the statewide hiring freeze implementation, there are certain administrative positions that are responsible for the processing and management of paperwork. Due to the limited staffing of these administrative positions, the recruitment process experiences delays. It is anticipated that as the State experiences stability in the coming months, FY 21 position numbers would be at par with the planned numbers.

PART II - MEASURES OF EFFECTIVENESS

Item 1: Variance in the number of inmates placed on parole is attributed to the limited mobility and operations relating to the COVID-19 pandemic precautionary measures.

Item 3: Although steps have been taken to minimize placement of escape risks into the less secured module C Unit (Lifetime Stand), there were two inmate escapes recorded in FY 20. This was due to some confinements and space limitations in the main building.

Item 4: Because the population decreased in FY 20 due to COVID-19 pandemic policies, the number of inmate sanctions directly declined. More facility staffs were able to provide security and attention to the smaller population.

PART III - PROGRAM TARGET GROUPS

Item 1: The 36% decrease of average number of inmates is caused by measures taken to reduce inmate exposure to COVID-19.

PART IV - PROGRAM ACTIVITIES

Item 2: The number of inmates released increases by 24% because COVID-19 pandemic policies were designed to reduce the inmate population as a public safety measure.

Item 3: Variance in the number of reclassifications in higher levels is due to decline in inmate population that reduces the strain on the facility staff.

Item 4: Community service is scaled down prior COVID-19 pandemic. Once the pandemic hit, the community services were halted. This trend is expected to continue as the environment stabilizes.

Item 5: Variance in the number of inmates participating in furlough declines due to higher COVID-19 risks of spread. As such, all work release are suspended and furlough passes limited.

Item 6: There are less available transitional homes for furloughed inmates on Kauai, resulting in a decline of inmates participating in residential. This is attributed to COVID-19 implications.

Item 7: Completed reclassification declines during the pandemic. The headcount was lower than expected before the pandemic, and dropped considerably following the shutdown, resulting in lesser reclassifications.

VARIANCE REPORT

	FISCAL YEAR 2019-20					THREE MONTHS ENDED 09-30-20					NINE MONTHS ENDING 06-30-21				
	BUDGETED	ACTUAL	± CHANGE		%	BUDGETED	ACTUAL	± CHANGE		%	BUDGETED	ESTIMATED	± CHANGE		%
PART I: EXPENDITURES & POSITIONS															
RESEARCH & DEVELOPMENT COSTS															
POSITIONS															
EXPENDITURES (\$1,000's)															
OPERATING COSTS															
POSITIONS	159.00	141.00	-	18.00	11	159.00	136.00	-	23.00	14	159.00	159.00	+	0.00	0
EXPENDITURES (\$1000's)	8,942	10,105	+	1,163	13	2,199	2,199	+	0	0	8,525	8,525	+	0	0
TOTAL COSTS															
POSITIONS	159.00	141.00	-	18.00	11	159.00	136.00	-	23.00	14	159.00	159.00	+	0.00	0
EXPENDITURES (\$1000's)	8,942	10,105	+	1,163	13	2,199	2,199	+	0	0	8,525	8,525	+	0	0
						FISCAL YEAR 2019-20					FISCAL YEAR 2020-21				
						PLANNED	ACTUAL	± CHANGE		%	PLANNED	ESTIMATED	± CHANGE		%
PART II: MEASURES OF EFFECTIVENESS															
1. NUMBER OF INMATES PLACED ON PAROLE.						100	100	+	0	0	100	100	+	0	0
2. NUMBER OF ESCAPES AS DEFINED BY SEC. 710-1020, HRS						0	0	+	0	0	0	0	+	0	0
3. NUMBER OF ESCAPES AS DEFINED BY SEC. 710-1021, HRS						5	3	-	2	40	5	5	+	0	0
4. NUMBER OF INMATES RECEIVING SANCTIONS						100	117	+	17	17	100	100	+	0	0
PART III: PROGRAM TARGET GROUP															
1. AVERAGE NUMBER OF INMATES						275	220	-	55	20	275	220	-	55	20
PART IV: PROGRAM ACTIVITY															
1. NUMBER OF NEW ADMISSIONS						120	82	-	38	32	120	82	-	38	32
2. NUMBER OF INMATES RELEASED						150	138	-	12	8	150	138	-	12	8
3. NUMBER OF INMATE-HOURS CONTRIBUTED IN COMMUNIT						2000	1192	-	808	40	2000	1200	-	800	40
4. NUMBER OF INMATES PARTICIPATING IN FURLOUGH						36	16	-	20	56	36	15	-	21	58
5. NUMBER OF INMATES PARTICIPATING IN RESIDENTIAL						40	20	-	20	50	40	20	-	20	50
6. NUMBER OF RECLASSIFICATION COMPLETED						445	429	-	16	4	445	445	+	0	0

VARIANCE REPORT NARRATIVE FY 2020 AND FY 2021

09 01 01 09
PSD 409

PROGRAM TITLE: WOMEN'S COMMUNITY CORRECTIONAL CENTER

PART I - EXPENDITURES AND POSITIONS

FY 20 & FY 21: The position variance is due to high employee turnover and challenges on recruitment, examination and training. Additionally, while the correctional programs are afforded an exception from the statewide hiring freeze implementation, there are certain administrative positions that are responsible for the processing and management of paperwork. Due to the limited staffing of these administrative positions, the recruitment process experiences delays. It is anticipated that as the State experiences stability in the coming months, FY 21 position numbers would be at par with the planned numbers.

PART II - MEASURES OF EFFECTIVENESS

Item 3: The number of escapes in FY 20 was less than the planned value by 40%. This was indicative of a better and tighter measure in the facility. This occurrence is expected to be at par with the planned value in FY 21.

Item 4: The 17% increase in misconducts in FY 20 was attributed to improved staff attention to detail and due diligence. In addition, inmates who have infractions during this period incurred high and greatest category misconducts.

PART III - PROGRAM TARGET GROUPS

Item 1: The number of inmates decreases by 20% because of COVID-19 policies designed to reduce inmate populations for the interest of public safety.

PART IV - PROGRAM ACTIVITIES

Item 1: The number of new admissions decreases by 32% because of COVID-19 policies designed to reduce inmate populations for the interest of public safety.

Item 3: A reduction of inmate community work line hours, down by 40%, occurs due to a more pressing need for our supervising officers and their

respective work line crews to dedicate more time and effort on the campus grounds.

Item 4: The 56% and 58% decrease registered in the furlough program (Bridge) in FY 20 and FY 21 is related to the pandemic. The pandemic dramatically affects our ability to furlough women safely into the community.

Item 5 & Item 6: COVID-19 impacts not only this facility but PSD statewide facilities. Previous operations are halted and the facility operates less than its full capacity to deter the spread of the virus. This results in a decline in inmate participation in residential programs in the communities and the number of reclassification completed.

VARIANCE REPORT

	FISCAL YEAR 2019-20				THREE MONTHS ENDED 09-30-20				NINE MONTHS ENDING 06-30-21			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	61.00	51.00	- 10.00	16	61.00	53.00	- 8.00	13	61.00	61.00	+ 0.00	0
EXPENDITURES (\$1000's)	4,012	3,435	- 577	14	865	865	+ 0	0	2,946	2,946	+ 0	0
TOTAL COSTS												
POSITIONS	61.00	51.00	- 10.00	16	61.00	53.00	- 8.00	13	61.00	61.00	+ 0.00	0
EXPENDITURES (\$1000's)	4,012	3,435	- 577	14	865	865	+ 0	0	2,946	2,946	+ 0	0
					FISCAL YEAR 2019-20				FISCAL YEAR 2020-21			
					PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%
PART II: MEASURES OF EFFECTIVENESS												
1. %PRETRIAL SUPERVSN CASES APPEAR IN COURT AS SCHED					90	83	- 7	8	90	90	+ 0	0
2. %PRETRIAL SUPERVSN CASES NOT CHARGED W/NEW OFFENSE					95	96	+ 1	1	95	95	+ 0	0
3. % COMMUNITY SERVICE RESTITUTN PROG CASES COMPLETED					NO DATA	NO DATA	+ 0	0	NO DATA	NO DATA	+ 0	0
4. % OF OFFENDERS THAT COMPLETE ALTERNATIVE SENTENCES					85	77	- 8	9	85	85	+ 0	0
5. NO. BED SPACE DAYS SAVED THRU ISC PRGMS/INTERVENTN					300000	264000	- 36000	12	300000	300000	+ 0	0
6. % RISK ASSESSMENTS COMPLETED WITHIN THREE DAYS					100	100	+ 0	0	100	100	+ 0	0
PART III: PROGRAM TARGET GROUP												
1. NUMBER OF PRETRIAL OFFENDERS					1016	1106	+ 90	9	1016	1106	+ 90	9
2. NO. OF SENTENCED OFFENDERS WITH COMMUNITY STATUS					1638	626	- 1012	62	1638	626	- 1012	62
PART IV: PROGRAM ACTIVITY												
1. NUMBER OF PRETRIAL INVESTIGATIONS INITIATED					11000	9416	- 1584	14	11000	10000	- 1000	9
2. NUMBER OF BAIL REPORTS COMPLETED					11000	9260	- 1740	16	11000	10000	- 1000	9
3. NUMBER OF INTAKE SCREENINGS CONDUCTED					11000	9954	- 1046	10	1100	10000	+ 8900	809
4. NO. OF PRETRIAL CASES PLACED ON ISC SUPERVISION					3000	2662	- 338	11	3000	3000	+ 0	0
5. NO. SENTENCED OFFENDERS PLACED ON ISC SUPERVISION					NO DATA	NO DATA	+ 0	0	NO DATA	NO DATA	+ 0	0

VARIANCE REPORT NARRATIVE FY 2020 AND FY 2021

09 01 01 10
PSD 410

PROGRAM TITLE: INTAKE SERVICE CENTERS

PART I - EXPENDITURES AND POSITIONS

FY 20 & FY 21: The position variance is due to high employee turnover and challenges on recruitment, examination and training. Additionally, while the correctional programs are afforded an exception from the statewide hiring freeze implementation, there are certain administrative positions that are responsible for the processing and management of paperwork. Due to the limited staffing of these administrative positions, the recruitment process experiences delays. It is anticipated that as the State experiences stability in the coming months, FY 21 position numbers would be at par with the planned numbers.

19 pandemic response. The Judiciary made a concerted effort to reduce the jail population, which affected the number of admissions, thus reducing the amount of pretrial investigations that needed to be initiated.

Staffing and operating cost do not factor into performance values. When COVID-19 conditions stabilizes and the Judiciary re-opens their courts, it is anticipated that ISC performance values through pretrial investigations investigated, completed bail reports, conducted intake screenings, and pretrial cases on ISC supervision, will return to previously planned numbers.

PART II - MEASURES OF EFFECTIVENESS

Item 5: The significant change in number of bed space days saved through the Intake Service Centers (ISC) program and intervention are impacted by the effects of the COVID-19 pandemic response. The Judiciary made a concerted effort to reduce the jail population and issued a Supreme Court Order that released custodies on their own recognizance to reduce the pool of defendants to be placed on ISC supervision. Consequently, with fewer pretrial cases under ISC supervision, the number of bed space days saved diminished.

Staffing and operating cost do not factor into performance effectiveness. When COVID-19 conditions stabilizes and the Judiciary re-opens the courts, it is anticipated that ISC performance values will return to previously planned numbers.

PART III - PROGRAM TARGET GROUPS

Item 2: The 62% decrease in the number of sentenced offenders with community status is a result of limited movement and operations within the facility to mitigate the spread of COVID-19.

PART IV - PROGRAM ACTIVITIES

Item 1, 2, 3 & 4: These metrics are impacted by the effects of the COVID-

VARIANCE REPORT

	FISCAL YEAR 2019-20				THREE MONTHS ENDED 09-30-20				NINE MONTHS ENDING 06-30-21			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	167.00	134.00	- 33.00	20	167.00	137.00	- 30.00	18	167.00	167.00	+ 0.00	0
EXPENDITURES (\$1000's)	24,452	22,476	- 1,976	8	4,245	4,245	+ 0	0	19,137	19,137	+ 0	0
TOTAL COSTS												
POSITIONS	167.00	134.00	- 33.00	20	167.00	137.00	- 30.00	18	167.00	167.00	+ 0.00	0
EXPENDITURES (\$1000's)	24,452	22,476	- 1,976	8	4,245	4,245	+ 0	0	19,137	19,137	+ 0	0

	FISCAL YEAR 2019-20				FISCAL YEAR 2020-21			
	PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%
PART II: MEASURES OF EFFECTIVENESS								
1. % OF SEX OFFENDERS COMPLETING SEX OFFENDER TREATME	10	8	- 2	20	10	7	- 3	30
2. % OF SEX OFFENDERS WHO TESTED POSITIVE ON URINALYS	5	0	- 5	100	5	5	+ 0	0
3. PERCENT OF INMATES COMPLETING ACADEMIC PROGRAMS	30	61	+ 31	103	30	65	+ 35	117
4. % OF INMATES COMPLETING PERSONAL IMPROVEMENT PROGS	50	63	+ 13	26	50	65	+ 15	30
5. PERCENT OF INMATES COMPLETING VOCATIONAL PROGRAMS	60	90	+ 30	50	60	90	+ 30	50
6. % OF SENTENCED FELONS COMPLETING SUBSTANCE ABUSE T	80	72	- 8	10	80	80	+ 0	0
7. % OF INMATES WHO PARTICIPATE IN RELIGIOUS (SPIRITU	75	75	+ 0	0	75	75	+ 0	0
8. % MEALS SRVD MEET REQRMTS OF AMER DIETETIC ASSN	100	100	+ 0	0	100	100	+ 0	0
9. % OF SEX OFFENDERS PARTICIPATING IN SEX OFFENDER T	14	21	+ 7	50	14	18	+ 4	29
10. % OF SEX OFFENDERS WHO COMPLETED PROGRAMS AND RE-S	5	0	- 5	100	5	5	+ 0	0

PART III: PROGRAM TARGET GROUP								
1. AVERAGE INMATE POPULATION	4206	3653	- 553	13	4206	3653	- 553	13
2. NUMBER OF NEW INMATE ADMISSIONS	14991	10596	- 4395	29	14991	10596	- 4395	29

PART IV: PROGRAM ACTIVITY								
1. NO. OF SENTENCED FELONS ENTERING SEX OFFENDER TREA	45	31	- 14	31	45	28	- 17	38
2. NO. OF SENTENCED FELONS ADMITTED TO SUBSTANCE ABUS	1200	909	- 291	24	1200	750	- 450	38
3. NUMBER OF URINALYSIS TESTS ADMINISTERED TO SENTENC	11500	8764	- 2736	24	11500	11500	+ 0	0
4. NO. OF INMATES PARTICPTG IN ACAD PROGS	2220	1055	- 1165	52	2220	1500	- 720	32
5. NO. INMATES PARTICIPATING IN VOCATIONAL/OJT PROGS	850	493	- 357	42	850	600	- 250	29
6. NUMBER OF MEALS SERVED (PER DAY)	13500	13270	- 230	2	13500	13500	+ 0	0
7. NO. OF INMATES PARTICIPATING IN LIBRARY PROGRAMS	29300	28850	- 450	2	29300	29200	- 100	0
8. # INMATES WHO ATTND RELIG SVCS/COUNS/STUDY CLASSES	13000	12500	- 500	4	13000	13000	+ 0	0
9. NUMBER OF VOLUNTEER HOURS PROVIDED TO INMATES	33000	32500	- 500	2	33000	33000	+ 0	0
10. NO. OF PRE-RELEASE RISK ASSESSMENTS CONDUCTED ON S	55	24	- 31	56	55	24	- 31	56

VARIANCE REPORT NARRATIVE FY 2020 AND FY 2021

09 01 01 11
PSD 420

PROGRAM TITLE: CORRECTIONS PROGRAM SERVICES

PART I - EXPENDITURES AND POSITIONS

FY 20 & FY 21: The position variance is due to high employee turnover and challenges on recruitment, examination and training. Additionally, while the correctional programs are afforded an exception from the statewide hiring freeze implementation, there are certain administrative positions that are responsible for the processing and management of paperwork. Due to the limited staffing of these administrative positions, the recruitment process experiences delays. It is anticipated that as the State experiences stability in the coming months, FY 21 position numbers would be at par with the planned numbers.

PART II - MEASURES OF EFFECTIVENESS

Item 1: The planned value should be modified based on prior fiscal year's metric. The first quarter FY 21 percentage of sex offenders who completed the sex offender treatment program is at 0%. In FY 19, it was accurately projected that 8% of the division's sex offender population would have completed the program in FY 20. As a result, there should be no difference. The actual percentage of sex offenders who completed treatment last fiscal year was 7%, so it was estimated that slightly more inmates would finish the program this year. Most sex offenders choose to serve their sentence in its entirety rather than participate in the program. The percentage of completions went from 2% in FY 18 to 7% in 2019 and now, in 2020, the percentage of the division's sex offender population completing the program is 8%. It is estimated that this number will fall slightly for FY 21 due to changes related to the pandemic (i.e., funding, class size, delays, etc.)

Item 2: The percentage of sex offenders who tested positive on urinalysis (UA) tests is at a 100% decrease. There are no sex offenders reported to have positive UA in FY 20 and FY 21. No estimate was made on last year's variance report because the percentage of sex offenders who test positive on UA tests had no bearing on a sex offender treatment program's effectiveness. The department intends to remove this measure of effectiveness from the program.

Items 3, 4 & 5: Variances are due to increased and more vigilant screening of candidates, holding orientation sessions outlining expectations and criteria for participation prior to program start dates, as well as increased student value for programs that will help with future career opportunities.

Item 6: The Substance Abuse Section (SAS) Branch has not achieved at least an 80% completion rate (72%; -10%). The variance is attributed to the effects of the COVID-19 pandemic and its negative impact on programming throughout the PSD system since March 2020.

The State's response to the pandemic, while very necessary, inadvertently freezes all substance abuse programming within PSD's system; civil service staff and contract vendors alike have been prohibited to enter facilities, resorting to teleworking from home. Most contract staff are furloughed or laid off. Thus, no admissions and no completions are realized.

While some programming resumed three months later, on June 1, 2020, this included only civil service-staffed programs which constitute the minority or 26% of substance abuse service admissions for this fiscal time period (74% of programming as of October 13, 2020, is still to be resumed).

Due to the suspension of services for one fiscal quarter, the completions for those admitted into programming is delayed into the next fiscal year. Many offenders were paroled early as a direct result of program delays onset by the COVID-19 response. Programming for these individuals was identified as "incomplete," and they may be stipulated to complete programming while on parole.

Item 9: The planned value should be modified based on prior fiscal year's metric. In FY 19, it was projected that 15% of the division's sex offender population would be participating in the program. 21% of the division's sex offender population participated in the program. More inmates appeared to be willing to do the program. The opportunity to track other measures of effectiveness may shed some light on the reasons for the

VARIANCE REPORT NARRATIVE FY 2020 AND FY 2021

09 01 01 11
PSD 420

PROGRAM TITLE: CORRECTIONS PROGRAM SERVICES

increase. Although it is expected that the percentage rate of participation will drop in FY 21 due to the effects of the pandemic, it appears that the planned percentage for this year is too low. It is estimated that 18% of the sex offender population will participate in the program in FY 21.

Item 10: There was one inmate with a new felony sex offense re-sentenced who previously completed the program. This measure of effectiveness is lacking in specificity. The item makes no mention of the reason a sex offender would be re-sentenced, non-sexual offense. PSD intends to edit and expand on this measure during the next program structure review. Nevertheless, this decrease from the year before indicates that, fewer inmates who completed this program were re-sentenced within four years of their release. This estimate has been left at 5% pending future data.

PART III - PROGRAM TARGET GROUPS

Item 1 & 2: The decrease in inmate population and admissions are due to COVID-19 policies to reduce incarcerations for public safety concerns. It is anticipated that the rest of FY 21 would have an increasing number but not at par with the planned values.

PART IV - PROGRAM ACTIVITIES

Item 1: In FY 19, it was projected that 40 inmates would be entering the program from July 2019 to June 2020. It is unknown why the planned value indicates 45. Although 31 inmates was lower than the estimation, it is an increase in the number of inmates entering the program, up from 26, the previous year. This metric is most sensitive to the effects of pandemic. The number of cases and individual class size are reduced to account for social distancing. A brief disruption to services when the State first shutdown as well as lockdowns related to COVID-19 testing appears to have impacted the number of inmates who were able to start classes. A lower number of inmates who will enter classes for FY 21 is estimated.

Item 2: The SAS Branch unexpectedly experienced a 24% drop in FY 20

in planned program participation, from the planned 1,200 participant count to 909. The variance for both fiscal years is attributed to the staff turnover and the COVID-19 pandemic. Programming for both PSD and contract vendors experience staff turnover, including staff on extended leaves of absence for various reasons. The gaps left by staff not physically present to render services negatively impacts overall admissions into treatment services during this reporting period. The decision to maintain program integrity by operating at a programming level consistent with staffing adds to the lower admission rates. COVID-19 freezes programming with no admissions and no completions. Many offenders admitted during this period will undoubtedly complete programming in the next fiscal period if not released early by the Parole Board.

Item 3: The SAS Branch saw a decrease of 24% in the planned collection of urine samples during FY 20. This decrease in the collection of urine samples in FY 20 was attributed to COVID-19. The lockdowns and limited functions due to COVID-19 protocols at the facilities during this reporting period significantly impacted UA testing. Priorities at the facilities changed. The focus shifted to preventing the spread of COVID-19 and involved reallocating human resources.

The average number of samples collected per month from all facilities during this period is approximately 1,100. If testing at that rate was to be continued during the months directly impacted by COVID-19 (March-June), testing would have been close to planned levels.

Items 4 & 5: For FY 20, the Corrections Program Services-Education (CPS-E) Branch was greatly affected by the COVID-19 pandemic. Due to the shutdown in March through June 1, 2020, classes were suspended. PSD CPS-E staff were not in facility at that time to provide services or allow contracts to run due to the ongoing health concerns. In June, the staff returned; however, due to staff shortages and the continued inability to let outsider instructors in, there was a continued substantial decrease in programs offered and a decrease in the number of students allowed within Learning Centers due to new health guidelines limiting the numbers

VARIANCE REPORT NARRATIVE FY 2020 AND FY 2021

09 01 01 11
PSD 420

PROGRAM TITLE: CORRECTIONS PROGRAM SERVICES

of those gathered in an area at one time for needed health safety precautions.

Item 10: Planned numbers should be corrected. In FY 19, it was projected that 40 assessments would be completed from July 2019 to June 2020. Planned amount in the first quarter of FY 21 indicates 55, instead of 40. 24 assessments were conducted. This was less than the 32 assessments completed the previous year. This metric is affected by the pandemic with delays and fewer and smaller classes, leading inmates to take longer to get through the program. The rest of FY 21 may signal a steady flow of pre-release risk assessments conducted.

PROGRAM TITLE:

HEALTH CARE

PROGRAM-ID:

PSD-421

PROGRAM STRUCTURE NO:

09010112

12/5/20

	FISCAL YEAR 2019-20				THREE MONTHS ENDED 09-30-20				NINE MONTHS ENDING 06-30-21			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	208.60	166.10	- 42.50	20	208.60	160.10	- 48.50	23	208.60	208.60	+ 0.00	0
EXPENDITURES (\$1000's)	28,943	28,947	+ 4	0	6,111	6,111	+ 0	0	20,405	20,405	+ 0	0
TOTAL COSTS												
POSITIONS	208.60	166.10	- 42.50	20	208.60	160.10	- 48.50	23	208.60	208.60	+ 0.00	0
EXPENDITURES (\$1000's)	28,943	28,947	+ 4	0	6,111	6,111	+ 0	0	20,405	20,405	+ 0	0
					FISCAL YEAR 2019-20				FISCAL YEAR 2020-21			
					PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%
PART II: MEASURES OF EFFECTIVENESS												
1. PERCENT OF OFFENDERS RECEIVING CLINICAL SERVICES					100	100	+ 0	0	100	100	+ 0	0
2. % OF OFFENDERS RECEIVING MENTAL HEALTH SERVICES					100	100	+ 0	0	100	100	+ 0	0
3. PERCENT OF OFFENDERS RECEIVING DENTAL SERVICES					100	100	+ 0	0	100	100	+ 0	0
4. PERCENT OF OFFENDERS RECEIVING CHRONIC CARE SVCS					20	33	+ 13	65	20	25	+ 5	25
5. % OF OFFENDERS RECEIVING OUT-OF-FACILITY SERVICES					15	10	- 5	33	15	10	- 5	33
PART III: PROGRAM TARGET GROUP												
1. AVERAGE FACILITY POPULATION					620	406	- 214	35	620	406	- 214	35
PART IV: PROGRAM ACTIVITY												
1. NUMBER OF MEDICAL PROVIDER ENCOUNTERS					12000	6149	- 5851	49	12000	10000	- 2000	17
2. NUMBER OF PSYCHIATRIC ENCOUNTERS					65000	65660	+ 660	1	65000	80000	+ 15000	23
3. NUMBER OF NURSING ENCOUNTERS					150000	225126	+ 75126	50	150000	175000	+ 25000	17
4. NUMBER OF DENTAL ENCOUNTERS					5000	5514	+ 514	10	5000	5000	+ 0	0
5. NUMBER OF CHRONIC CARE ENCOUNTERS					2200	3219	+ 1019	46	2200	3500	+ 1300	59
6. NUMBER OF OFFENDERS ADMITTED TO INFIRMARIES					1500	1789	+ 289	19	1500	1600	+ 100	7
7. NUMBER OF HOSPITAL ADMISSIONS					250	209	- 41	16	250	250	+ 0	0
8. NUMBER OF OFFENDERS RECEIVING COMPLEX CARE					800	1694	+ 894	112	800	1500	+ 700	88
9. # OFFENDERS RECVRG TRSFR SCREENING/DISCHRG SUMMRS					13000	7358	- 5642	43	13000	13000	+ 0	0

VARIANCE REPORT NARRATIVE FY 2020 AND FY 2021

09 01 01 12
PSD 421

PROGRAM TITLE: HEALTH CARE

PART I - EXPENDITURES AND POSITIONS

FY 20 & FY 21: The position variance is due to high employee turnover and challenges on recruitment, examination and training. Additionally, while the correctional programs are afforded an exception from the statewide hiring freeze implementation, there are certain administrative positions that are responsible for the processing and management of paperwork. Due to the limited staffing of these administrative positions, the recruitment process experiences delays. It is anticipated that as the State experiences stability in the coming months, FY 21 position numbers would be at par with the planned numbers.

PART II - MEASURES OF EFFECTIVENESS

Item 4: The aging population contributes to a drastic increase in chronic care encounters, and the number is expected to rise in the future.

Item 5: COVID-19 is the primary factor in the drastic decrease in out-of-facility services. Only appointments that have are deemed absolutely necessary and could not be performed at the facility are kept. It is undetermined at this time if out-of-facility services will resume at previous volumes due to the nature of COVID-19 restrictions.

PART III - PROGRAM TARGET GROUPS

Item 1: Variance in the average facility population is due to various policies related to the COVID-19 pandemic designed to reduce incarcerations as a public safety precaution.

PART IV - PROGRAM ACTIVITIES

Item 1: Provider encounters fall short of projections due to drastic changes in policy since the beginning of the COVID-19 pandemic. Provider travel to outer island appointments are suspended indefinitely, and most appointments must now be conducted through telehealth. As the telehealth program improves and is streamlined with the addition of several new providers, the number of future visits should increase as well.

Item 3: Nursing encounters rise due to the shift in aging in the current population. Many patients are experiencing injury and illness brought on by their advanced age and are visiting the clinic at a higher frequency. Clinic nurses are also assisting in administering treatments in the absence of medical providers.

Item 4: Dental encounters were higher than planned in FY 20 due to increased demand. It is anticipated that levels will be as planned in FY 21.

Item 5: As with nursing encounters, chronic care encounters are directly related to the aging population and the frequency of their clinic visits.

Item 6: The introduction of the Mental Health Crisis Assessment form as a measure for emergency mental health referrals resulted in expeditious identification of inmates in need of infirmary-level care for the purpose of suicide prevention and intervention. As a result, the number of admissions to psychiatric infirmaries showed a moderate increase and a significant reduction in deaths by suicide and serious suicide attempts in FY 20.

Item 7: The number of hospital admissions was drastically decreased in FY 20 due to the release of severely ill inmates in response to COVID-19. Many patients were kept at the facility for care, rather than being hospitalized. Numbers in FY 21 are anticipated to match the planned numbers as normal operations are reverted.

Item 8: Variance in inmates receiving complex care is higher than planned in both fiscal years. With an aging population, there is a substantial number of diagnosed diabetic, hypertensive, and disabled patients. Several patients initiated Hepatitis-C treatments within the past year, and the Hepatitis-C program is expected to identify and treat even more over the next few years.

Item 9: The number of offenders receiving transfer screenings/discharge summaries in FY 20 drastically decreased due to COVID-19.

VARIANCE REPORT NARRATIVE FY 2020 AND FY 2021

09 01 01 12
PSD 421

PROGRAM TITLE: HEALTH CARE

Inmate movement was reduced or halted to prevent the spread of COVID-19. In FY 21, it is expected that the actual will match the planned amount.

VARIANCE REPORT

	FISCAL YEAR 2019-20				THREE MONTHS ENDED 09-30-20				NINE MONTHS ENDING 06-30-21			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	2.00	0.00	- 2.00	100	2.00	0.00	- 2.00	100	2.00	2.00	+ 0.00	0
EXPENDITURES (\$1000's)	10,350	3,875	- 6,475	63	2,329	2,329	+ 0	0	8,021	8,021	+ 0	0
TOTAL COSTS												
POSITIONS	2.00	0.00	- 2.00	100	2.00	0.00	- 2.00	100	2.00	2.00	+ 0.00	0
EXPENDITURES (\$1000's)	10,350	3,875	- 6,475	63	2,329	2,329	+ 0	0	8,021	8,021	+ 0	0
					FISCAL YEAR 2019-20				FISCAL YEAR 2020-21			
					PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%
PART II: MEASURES OF EFFECTIVENESS												
1. AMOUNT OF NET INCOME (IN THOUSANDS)					200	941	+ 741	371	200	1575	+ 1375	688
2. AMOUNT OF REVENUES GENERATED (IN THOUSANDS)					6000	4841	- 1159	19	6000	7500	+ 1500	25
PART III: PROGRAM TARGET GROUP												
1. AVERAGE NUMBER OF INMATES IN ALL STATE FACILITIES					3972	2035	- 1937	49	3972	2035	- 1937	49
2. AVERAGE NUMBER OF INMATES IN THE FED DETENTION CTR					146	127	- 19	13	146	127	- 19	13
3. AVE NO. INMATES IN OUT-OF-STATE CONTRACTED FACIL					1556	1228	- 328	21	1556	1228	- 328	21
PART IV: PROGRAM ACTIVITY												
1. NUMBER OF CI BUSINESSES AND PARTNERSHIPS					18	11	- 7	39	18	8	- 10	56
2. NUMBER OF PROGAMABLE INMATES WHO PARTICIPATE IN H					350	325	- 25	7	350	300	- 50	14
3. NUMBER OF HOURS THAT INMATES PARTICIPATE IN HCI PR					190000	100000	- 90000	47	190000	100000	- 90000	47

VARIANCE REPORT NARRATIVE FY 2020 AND FY 2021

09 01 01 13
PSD 422

PROGRAM TITLE: HAWAII CORRECTIONAL INDUSTRIES

PART I - EXPENDITURES AND POSITIONS

FY 20 & FY 21: The position variance is due to high employee turnover and challenges on recruitment, examination and training. Additionally, while the correctional programs are afforded an exception from the statewide hiring freeze implementation, there are certain administrative positions that are responsible for the processing and management of paperwork. Due to the limited staffing of these administrative positions, the recruitment process experiences delays. It is anticipated that as the State experiences stability in the coming months, FY 21 position numbers would be at par with the planned numbers.

to decline in FY 21. This is due to the reduced operations in the facility to mitigate the spread of COVID-19.

Item 3: The decrease in hours of inmate participation in HCI programs is due to the COVID-19 pandemic and the temporary lockdown situations of inmates in the facilities. It is critical that inmates take all the necessary precautions to reduce the risks of spread of the disease.

PART II - MEASURES OF EFFECTIVENESS

Item 1: Hawaii Correctional Industries (HCI) restructured and closed business units that were unproductive and draining resources after further assessment. Variance is attributed to these restructures and changes.

Item 2: HCI diverted resources to other business units that remained and increased productivity. Variance in FY 20 was attributed to COVID-19 implications, resulting in lower revenues. It is anticipated that revenues will exceed the budgeted in FY 21 as the current climate stabilizes.

PART III - PROGRAM TARGET GROUPS

Items 1, 2 & 3: The decrease in the number of inmates from the budgeted is due to implemented policies designed to reduce incarceration during the COVID-19 pandemic.

PART IV - PROGRAM ACTIVITIES

Item 1: The decline in the number of businesses and partnerships is due to the closing of unproductive business units. The return-on-investment was reassessed and it was determined that keeping these business units open could not be justified. The same declining trend is anticipated in the next quarters in FY 21.

Item 2: The number of participating programmable inmates is anticipated

VARIANCE REPORT

	FISCAL YEAR 2019-20				THREE MONTHS ENDED 09-30-20				NINE MONTHS ENDING 06-30-21			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	9.00	8.00	- 1.00	11	9.00	8.00	- 1.00	11	9.00	9.00	+ 0.00	0
EXPENDITURES (\$1000's)	47,717	49,599	+ 1,882	4	10,737	10,737	+ 0	0	36,938	36,938	+ 0	0
TOTAL COSTS												
POSITIONS	9.00	8.00	- 1.00	11	9.00	8.00	- 1.00	11	9.00	9.00	+ 0.00	0
EXPENDITURES (\$1000's)	47,717	49,599	+ 1,882	4	10,737	10,737	+ 0	0	36,938	36,938	+ 0	0
					FISCAL YEAR 2019-20				FISCAL YEAR 2020-21			
					PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%
PART II: MEASURES OF EFFECTIVENESS												
1. % OF RECLASSIFICATIONS RESULTING IN REDUCED CUSTOD					15	13	- 2	13	15	14	- 1	7
2. NUMBER OF ESCAPES AS DEFINED BY SEC. 710-1020, HRS					0	0	+ 0	0	0	0	+ 0	0
3. NUMBER OF ESCAPES AS DEFINED BY SEC. 710-1021, HRS					0	0	+ 0	0	0	0	+ 0	0
4. NUMBER OF INMATES RECEIVING SANCTIONS					350	220	- 130	37	350	250	- 100	29
5. AVG % OF MJR CNTRT PROV W/ OUT-STATE REQ CORR ACTN					1	1	+ 0	0	1	1	+ 0	0
PART III: PROGRAM TARGET GROUP												
1. AVERAGE NUMBER OF INMATES AT OUT-OF-STATE					1556	1228	- 328	21	1556	1228	- 328	21
2. AVERAGE NUMBER OF INMATES AT THE FEDERAL DETEN					146	127	- 19	13	146	127	- 19	13
PART IV: PROGRAM ACTIVITY												
1. NUMBER OF INMATE GRIEVANCES FILED					250	262	+ 12	5	250	250	+ 0	0
2. AVERAGE NUMBER OF MAJOR CONTRACT					268	268	+ 0	0	268	268	+ 0	0
3. NO. OF RECLASSIFICATION COMPLETED					2500	2288	- 212	8	2500	2300	- 200	8

VARIANCE REPORT NARRATIVE FY 2020 AND FY 2021

09 01 01 14
PSD 808

PROGRAM TITLE: NON-STATE FACILITIES

PART I - EXPENDITURES AND POSITIONS

FY 20 & FY 21: The position variance is due to high employee turnover and challenges on recruitment, examination and training. Additionally, while the correctional programs are afforded an exception from the statewide hiring freeze implementation, there are certain administrative positions that are responsible for the processing and management of paperwork. Due to the limited staffing of these administrative positions, the recruitment process experiences delays. It is anticipated that as the State experiences stability in the coming months, FY 21 position numbers would be at par with the planned numbers.

PART II - MEASURES OF EFFECTIVENESS

Items 1 and 4: The variances are due to smaller inmate populations to comply with COVID-19 policies designed to provide public safety.

PART III - PROGRAM TARGET GROUPS

Items 1 and 2: The variances are due to smaller inmate populations to comply with COVID-19 policies designed to provide public safety.

PART IV - PROGRAM ACTIVITIES

No significant variances.

VARIANCE REPORT

	FISCAL YEAR 2019-20				THREE MONTHS ENDED 09-30-20				NINE MONTHS ENDING 06-30-21			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	414.00	369.00	- 45.00	11	416.00	367.00	- 49.00	12	416.00	414.00	- 2.00	0
EXPENDITURES (\$1000's)	33,680	31,253	- 2,427	7	7,935	7,935	+ 0	0	25,410	25,410	+ 0	0
TOTAL COSTS												
POSITIONS	414.00	369.00	- 45.00	11	416.00	367.00	- 49.00	12	416.00	414.00	- 2.00	0
EXPENDITURES (\$1000's)	33,680	31,253	- 2,427	7	7,935	7,935	+ 0	0	25,410	25,410	+ 0	0
					FISCAL YEAR 2019-20				FISCAL YEAR 2020-21			
					PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%
PART II: MEASURES OF EFFECTIVENESS												
1. NUMBER OF NEW ARRESTS MADE					4200	4604	+ 404	10	4200	4200	+ 0	0

VARIANCE REPORT NARRATIVE FY 2020 AND FY 2021

PROGRAM TITLE: ENFORCEMENT

09 01 02

PART I - EXPENDITURES AND POSITIONS

Details of the position and expenditure variances are best examined at the lowest program level.

PART II - MEASURES OF EFFECTIVENESS

See Lowest Level Programs for additional information.

VARIANCE REPORT

	FISCAL YEAR 2019-20				THREE MONTHS ENDED 09-30-20				NINE MONTHS ENDING 06-30-21			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	22.00	16.00	- 6.00	27	24.00	17.00	- 7.00	29	24.00	22.00	- 2.00	8
EXPENDITURES (\$1000's)	2,582	1,960	- 622	24	643	643	+ 0	0	1,721	1,721	+ 0	0
TOTAL COSTS												
POSITIONS	22.00	16.00	- 6.00	27	24.00	17.00	- 7.00	29	24.00	22.00	- 2.00	8
EXPENDITURES (\$1000's)	2,582	1,960	- 622	24	643	643	+ 0	0	1,721	1,721	+ 0	0

					FISCAL YEAR 2019-20				FISCAL YEAR 2020-21					
					PLANNED	ACTUAL	± CHANGE		%	PLANNED	ESTIMATED	± CHANGE		%
PART II: MEASURES OF EFFECTIVENESS														
1.	% CERTIFICATES/PEMITS ISSUED WITHOUT COMPLAINT				97	97	+	0	0	97	97	+	0	0
2.	% OF CASES THAT RESULTED IN SUCCESSFUL PROSECUTION				90	90	+	0	0	90	90	+	0	0
3.	% OF CASES RELEASED PENDING FUTHER INVESTIGATION				25	90	+	65	260	25	25	+	0	0
4.	% CASES CONFERRED/ACCEPTED BY PROSECUTING AGENCIES				97	47	-	50	52	97	97	+	0	0
5.	% CASES CONFERRED/DECLINED BY PROSECUTING AGENCIES				3	53	+	50	1667	3	3	+	0	0
6.	PERCENT OF CASES REFERRED TO FEDERAL AGENCIES				3	3	+	0	0	3	3	+	0	0
7.	% CRIMINL CASES RSLTD IN ASSET FORFT/RECVD BY DEPT				3	0	-	3	100	3	3	+	0	0
8.	% CASES INVESTIGTD & RESOLVD W/OUT CRIMINAL ACTION				65	90	+	25	38	65	65	+	0	0
9.	% OF DRUG EDUCATION OR TRAINING SESSIONS CONDUCTED				95	95	+	0	0	95	95	+	0	0
10.	% INQUIRIES MADE ON ELECTRONIC RX MONITRNG PRGM				99	99	+	0	0	99	99	+	0	0

PART III: PROGRAM TARGET GROUP										
1. NUMBER OF CONTROLLED SUBSTANCE REGISTRANTS	7000	8036	+	1036	15	7000	7000	+	0	0
2. NUMBER OF REGULATED CHEMICAL REGISTRANTS	40	38	-	2	5	40	38	-	2	5
3. NUMBER OF MEDICAL USE OF MARIJUANA PATIENTS	1300	NO DATA	-	1300	100	1300	NO DATA	-	1300	100
4. NUMBER OF MEDICAL USE OF MARIJUANA CAREGIVERS	1500	NO DATA	-	1500	100	1500	NO DATA	-	1500	100
5. NO. PHYSICNS PARTICPTG IN MED USE OF MARIJUANA PRG	95	NO DATA	-	95	100	95	NO DATA	-	95	100

PART IV: PROGRAM ACTIVITY										
1. # CONTR SUBS/REG CHM/ORAL/MJ RGSTRNS/PRMTS PRCSDD	7000	8036	+	1036	15	7000	7000	+	0	0
2. TOTAL NO. CASES THAT RESULTED IN SUCCESSFUL PROSEC	25	10	-	15	60	25	25	+	0	0
3. TOTAL NO. CASES CONFERRD/ACCEPTD BY PROSECUTG AGEN	15	7	-	8	53	15	15	+	0	0
4. TOTAL NO. CASES REFERRD/DECLND BY PROSECUTNG AGENC	3	8	+	5	167	3	3	+	0	0
5. TOTAL NUMBER OF CASES REFERRED TO FEDERAL AGENCIES	3	3	+	0	0	3	3	+	0	0
6. NO. CASES INVESTGTD FROM HIA/CORR FACILITIES/OTHER	900	810	-	90	10	900	900	+	0	0
7. NUMBER OF REGULATORY ACTIONS TAKEN	1000	10810	+	9810	981	1000	2000	+	1000	100
8. NO. OF EDUCATIONAL AND TRAINING SESSIONS CONDUCTED	60	8	-	52	87	60	10	-	50	83
9. NO. OF FORENSIC DRUG ANALYSIS CONDUCTED BY NED LAB	2000	2010	+	10	1	2000	2000	+	0	0
10. # CNTRLDD SUBS RX PROCSSD BY ELECTNC RX MONITR PRG	1500000	1317345	-	182655	12	1500000	1300000	-	200000	13

VARIANCE REPORT NARRATIVE

FY 2020 AND FY 2021

09 01 02 02
PSD 502

PROGRAM TITLE: NARCOTICS ENFORCEMENT

PART I - EXPENDITURES AND POSITIONS

FY 20 & FY 21: The position variance is due to high employee turnover and challenges on recruitment, examination and training. Additionally, this program is not included in the correctional programs that are afforded an exception from the statewide hiring freeze implementation, which became effective April 4, 2020. The department is also granted an exception to fill Deputy Sheriffs, Parole Officers, Investigators, Hearing Officers, and certain identified critical positions from various programs. It is anticipated that as the State experiences stability in the coming months and when the general hiring freeze is lifted, FY 21 position numbers would be at par with the planned numbers.

PART II - MEASURES OF EFFECTIVENESS

Item 3: The difference from the planned amount in FY 20 was due to a change in the charging criteria from the Honolulu Prosecutor's Office that requires nearly every Narcotics Enforcement Division (NED) criminal case to release suspects pending further investigation. This was an external policy of an outside agency that directly affected this effectiveness measure. Additionally, the COVID-19 pandemic also impacted this measure of effectiveness. Due to the extremely high risk of spread for suspects held in custody, non-violent drug suspects were released pending investigation to reduce risk of transmission in corrections facilities. Original estimates were established using prior charging criteria in pre-COVID-19 situations. The planned values did not account for outlying conditions such as the COVID-19 case.

Items 4, 5 & 8. The variances from planned values in FY 20 were due to recent Supreme Court decisions and stricter standards for review by prosecuting agencies. Recent court decisions required larger amounts of drugs than traditionally required by existing statutes to successfully prosecute drug cases in court. Consequently, prosecuting agencies changed their internal policies for reviewing cases and were routinely declining to prosecute cases that would have been accepted for prosecution. As a result, while still criminal and requiring NED to investigate, many drug cases were not accepted for prosecution by

prosecuting agencies. Furthermore, many other cases were resolved without criminal action for the following reasons: suspects could not be identified; there was no evidence to support prosecution; witness accounts were poor; and facts and circumstances of the investigation showed an inability to prove cases beyond a reasonable doubt as required by State law.

Items 7. The difference from planned value in FY 20 was due to reduced opportunity to engage in forfeiture activities at the federal level and an ongoing NED initiative to bring nearly every case before State or county level prosecuting agencies. Original estimates were for prior times when NED routinely participated in federal operations when both greater opportunity and staffing were available.

PART III - PROGRAM TARGET GROUPS

Item 1: Variance in FY 20 was due to increased efforts and regulatory actions by NED to ensure compliance with Sections 329-32 and 329-101, HRS, requiring the issuance and maintenance of controlled substances registrations. NED increased regulatory actions in this area in order to combat and prevent the nationwide opioid epidemic.

Items 3, 4 & 5: No data available.

PART IV - PROGRAM ACTIVITIES

Item 1: Variance in FY 20 was attributed to increased efforts and regulatory actions by NED to ensure compliance with Sections 329-32 and 329-101, HRS, requiring the issuance and maintenance of controlled substances registrations. NED increased regulatory actions in this area in order to combat and prevent the nationwide opioid epidemic.

Item 2, 3 & 4: The variance of cases that resulted in successful prosecution than planned values in FY 20 was attributed to recent Supreme Court decisions and stricter standards for review by prosecuting agencies. Recent court decisions required larger amounts of drugs than

VARIANCE REPORT NARRATIVE FY 2020 AND FY 2021

09 01 02 02
PSD 502

PROGRAM TITLE: NARCOTICS ENFORCEMENT

traditionally required by existing statutes to successfully prosecute drug cases in court. Consequently, prosecuting agencies changed their internal policies for reviewing cases and are routinely declining to prosecute cases that would have been accepted for prosecution. As a result, many drug cases were not accepted for prosecution by prosecuting agencies pending NED investigations. This number was also lower due to two investigator retirements, resulting in lower amounts of investigator staff available. Additionally, investigators were deployed to assist with law enforcement during the Mauna Kea protests for several months early in the fiscal year.

Item 6: Due to the COVID-19 pandemic and increased surveillance for contraband in corrections facilities, the operations were limited and planned numbers not being met in FY 20. During the COVID-19 period, there are less activity in areas, such as judiciary facilities, airports, State buildings and prison facilities, where NED drug cases arise. There are more people at home and not in the public, making it less frequent that drug violations will be found. Furthermore, prison facilities increase surveillance for illegal drugs. All of these things have reduced the number of investigations in this area.

Item 7: There is a very large increase in the amount of regulatory actions taken in FY 20 and FY 21. This increase is reflective of NED's strong efforts to confront the nationwide opioid epidemic and keep the national epidemic from taking hold in Hawaii. The efforts are not limited to controlled substances registration reviews to ensure compliance with Section 329-32, HRS, and reviews of registrants prescribing practices for compliance with Section 329-38.5, HRS, and Section 329-101.5, HRS. Additionally, NED takes strong regulatory actions to aid registrants by linking prescription drug monitoring programs nationwide with other states and the U.S. military. Furthermore, NED takes action to link thousands of controlled substances registrants' electronic health records systems with the State's Prescription Drug Monitoring Program. This increases health care efficiency, aids in treatment, assists with compliance, and reduces the risks of the opioid epidemic from becoming a larger issue in Hawaii.

Item 8: Variance is attributed to the COVID-19 pandemic. Normally, NED would conduct many drug education and training sessions in the community. As a result of the COVID-19 pandemic's strict regulations on gathering and recommendations to social distance and work from home, the number of opportunities to conduct education and training sessions is drastically reduced.

Item 10: The variance is due to NED's strong efforts under the Hawaii Opioid Action Plan to reduce the number of controlled substances prescriptions issued in Hawaii. Under the Hawaii Opioid Action Plan, a comprehensive change of mindset has been created by increasing provider education, increasing prescribing options, reducing opioid prescriptions, increasing compliance, and using other treatment options. As a result, the number of controlled substances issued in Hawaii is purposely being reduced by health care professionals and is expected to continue a downward trend moving forward. This represents positive action towards confronting and reducing the effects of the nationwide opioid epidemic in Hawaii.

PROGRAM TITLE:

SHERIFF

12/5/20

PROGRAM-ID:

PSD-503

PROGRAM STRUCTURE NO:

09010203

	FISCAL YEAR 2019-20				THREE MONTHS ENDED 09-30-20				NINE MONTHS ENDING 06-30-21			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	392.00	353.00	- 39.00	10	392.00	350.00	- 42.00	11	392.00	392.00	+ 0.00	0
EXPENDITURES (\$1000's)	31,098	29,293	- 1,805	6	7,292	7,292	+ 0	0	23,689	23,689	+ 0	0
TOTAL COSTS												
POSITIONS	392.00	353.00	- 39.00	10	392.00	350.00	- 42.00	11	392.00	392.00	+ 0.00	0
EXPENDITURES (\$1000's)	31,098	29,293	- 1,805	6	7,292	7,292	+ 0	0	23,689	23,689	+ 0	0
					FISCAL YEAR 2019-20				FISCAL YEAR 2020-21			
					PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%
PART II: MEASURES OF EFFECTIVENESS												
1. PERCENT OF GRAND JURY AND HPA WARRANTS SERVED					75	298	+ 223	297	75	200	+ 125	167
2. PERCENT OF TRAFFIC WARRANTS SERVED					0 NO DATA		+ 0	0	0 NO DATA		+ 0	0
3. PERCENT OF THREATS INVESTIGATED					100	100	+ 0	0	100	100	+ 0	0
PART III: PROGRAM TARGET GROUP												
1. NUMBER OF STATE DEPARTMENTS					20	20	+ 0	0	20	20	+ 0	0
2. NUMBER OF STATE COURTHOUSES					15	15	+ 0	0	15	15	+ 0	0
3. # PERSONS IN CUSTODY REQ DETENTN/TRANSPRT/PROCESS					35000	4540	- 30460	87	35000	5000	- 30000	86
PART IV: PROGRAM ACTIVITY												
1. NUMBER OF SERVICE TYPE CASES					3000	2740	- 260	9	3000	3000	+ 0	0
2. NUMBER OF CRIMINAL CASES RECEIVED					5000	2093	- 2907	58	5000	3000	- 2000	40
3. NUMBER OF ARREST INCIDENTS					4200	4604	+ 404	10	4200	4200	+ 0	0
4. NO. THREATS AGNST GOVT OFFICLS/STATE GOVT EMPLYEES					2	2	+ 0	0	2	2	+ 0	0
5. NUMBER OF GRAND JURY AND HPA WARRANTS RECEIVED					1000	390	- 610	61	1000	400	- 600	60
6. NO. PERSONS DETAINED IN DISTRICT & CIRCUIT COURTS					31000	28243	- 2757	9	31000	3100	- 27900	90
7. NUMBER OF CUSTODY TRANSPORTS					4000	4540	+ 540	14	4000	4000	+ 0	0
8. NUMBER OF TRAFFIC WARRANTS RECEIVED					0	9878	+ 9878	0	0	10000	+ 10000	0
9. NUMBER OF TRAFFIC CITATIONS ISSUED					4200	1457	- 2743	65	4200	1500	- 2700	64

VARIANCE REPORT NARRATIVE FY 2020 AND FY 2021

09 01 02 03
PSD 503

PROGRAM TITLE: SHERIFF

PART I - EXPENDITURES AND POSITIONS

FY 20 & FY 21: The position variance is due to high employee turnover and challenges on recruitment, examination and training. Additionally, this program is not included in the correctional programs that are afforded an exception from the statewide hiring freeze implementation, which became effective April 4, 2020. The department is also granted an exception to fill Deputy Sheriffs, Parole Officers, Investigators, Hearing Officers, and certain identified critical positions from various programs. It is anticipated that as the State experiences stability in the coming months and when the general hiring freeze is lifted, FY 21 position numbers would be at par with the planned numbers.

PART II - MEASURES OF EFFECTIVENESS

Item 1 & 2: This measure is no longer applicable. Since the Judiciary established the E-Warrants system, the Sheriff Division (SD) no longer receives grand jury warrants from the Judiciary and is not the custodian of these warrants. This measure is based on grand jury warrants received versus served.

PART III - PROGRAM TARGET GROUPS

Item 3: The number of custodies requiring detention/transport/processing is not directly under SD's control. Custodies numbers are based on Honolulu Police Department arrests, Sheriff arrests, judiciary court calendars and corrections custody status (held in custody or released on bail). Due to this, these numbers fluctuate and have not been consistent.

PART IV - PROGRAM ACTIVITIES

Item 2 & 3: The number of criminal type cases received is not directly under SD's control. These calls for service are based on the calls received by the general public for assistance. As such, there are fluctuations from the planned values.

Item 5 & 6: Measuring the number of Grand Jury and Hawaii Paroling

Authority (HPA) warrants is no longer applicable. Since the Judiciary established the E-Warrants system, SD no longer receives grand jury warrants from the Judiciary and is not the custodian of these warrants. This measure is based on grand jury warrants received versus served. Currently, only HPA warrants are received by SD.

Item 7: The number of custody transports dramatically increased in FY 20 due to a change in data collection. Previously, only custody transports were being reported. Now both air and ground transports have been included to more accurately reflect the impact to personnel used for this program activity.

Item 8: This measure is no longer applicable. Since the Judiciary established the E-Warrants system, SD no longer receives traffic warrants from the Judiciary and is not the custodian of these warrants. This measure is based on traffic warrants received versus served.

Item 9: The number of traffic citations issued fluctuates based on the number of traffic violations/infractions observed by deputies. These numbers can also be impacted by increases in service calls as deputies will be spending more time responding to calls and writing reports than patrolling the streets and issuing traffic citations. Additional tasks assigned to personnel due to COVID-19 also hampered the ability of personnel to do routine traffic patrols.

VARIANCE REPORT

	FISCAL YEAR 2019-20				THREE MONTHS ENDED 09-30-20				NINE MONTHS ENDING 06-30-21						
	BUDGETED	ACTUAL	± CHANGE		%	BUDGETED	ACTUAL	± CHANGE		%	BUDGETED	ESTIMATED	± CHANGE		%
PART I: EXPENDITURES & POSITIONS															
RESEARCH & DEVELOPMENT COSTS															
POSITIONS															
EXPENDITURES (\$1,000's)															
OPERATING COSTS															
POSITIONS	68.00	57.00	-	11.00	16	68.00	56.00	-	12.00	18	68.00	68.00	+	0.00	0
EXPENDITURES (\$1000's)	5,279	4,890	-	389	7	1,106	1,106	+	0	0	3,511	3,511	+	0	0
TOTAL COSTS															
POSITIONS	68.00	57.00	-	11.00	16	68.00	56.00	-	12.00	18	68.00	68.00	+	0.00	0
EXPENDITURES (\$1000's)	5,279	4,890	-	389	7	1,106	1,106	+	0	0	3,511	3,511	+	0	0
						FISCAL YEAR 2019-20				FISCAL YEAR 2020-21					
						PLANNED	ACTUAL	± CHANGE		%	PLANNED	ESTIMATED	± CHANGE		%
PART II: MEASURES OF EFFECTIVENESS															
1. NUMBER OF PAROLE VIOLATORS RETURNED TO PRISON						370	394	+	24	6	370	390	+	20	5
2. PERCENT OF INMATES GRANTED EARLY PAROLE RELEASE						5	5	+	0	0	5	6	+	1	20
3. AV TIME ON PAROLE BEFORE FINAL DISCHARGE (YRS)						6	6	+	0	0	6	6	+	0	0
4. UNEMPLOYMENT RATE AMONG PAROLEES						13	16	+	3	23	13	13	+	0	0

VARIANCE REPORT NARRATIVE FY 2020 AND FY 2021

PROGRAM TITLE: PAROLE SUPERVISION AND COUNSELING

09 01 03

PART I - EXPENDITURES AND POSITIONS

Details of the position and expenditure variances are best examined at the lowest program level.

PART II - MEASURES OF EFFECTIVENESS

See Lowest Level Programs for additional information.

VARIANCE REPORT

	FISCAL YEAR 2019-20				THREE MONTHS ENDED 09-30-20				NINE MONTHS ENDING 06-30-21						
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%			
PART I: EXPENDITURES & POSITIONS															
RESEARCH & DEVELOPMENT COSTS															
POSITIONS															
EXPENDITURES (\$1,000's)															
OPERATING COSTS															
POSITIONS	7.00	6.00	-	1.00	14	7.00	6.00	-	1.00	14	7.00	7.00	+	0.00	0
EXPENDITURES (\$1000's)	533	492	-	41	8	139	139	+	0	0	383	383	+	0	0
TOTAL COSTS															
POSITIONS	7.00	6.00	-	1.00	14	7.00	6.00	-	1.00	14	7.00	7.00	+	0.00	0
EXPENDITURES (\$1000's)	533	492	-	41	8	139	139	+	0	0	383	383	+	0	0
					FISCAL YEAR 2019-20				FISCAL YEAR 2020-21						
					PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%			
PART II: MEASURES OF EFFECTIVENESS															
1. PERCENT OF INMATES GRANTED EARLY PAROLE RELEASE					5	5	+	0	0	5	6	+	1	20	
2. AV LENGTH OF TIME BEFORE NEXT PAROLE RVIEW (MNTHS)					6	6	+	0	0	6	6	+	0	0	
3. AV TIME ON PAROLE BEFORE FINAL DISCHARGE (YRS)					6	6	+	0	0	6	6	+	0	0	
4. % INMATES GRANTED PAROLE AT EXPIRATN OF MIN SENTCE					45	47	+	2	4	45	45	+	0	0	
5. NUMBER OF PAROLE VIOLATORS RETURNED TO PRISON					370	394	+	24	6	370	390	+	20	5	
PART III: PROGRAM TARGET GROUP															
1. AV NO. OF SENTENCED FELONS IN STATE PRISON SYSTEM					2934	2271	-	663	23	2934	1043	-	1891	64	
2. NUMBER OF PAROLEES UNDER HAWAII JURISDICTION					1600	1504	-	96	6	1600	1550	-	50	3	
PART IV: PROGRAM ACTIVITY															
1. NUMBER OF MINIMUM SENTENCES FIXED					1650	1317	-	333	20	1650	1350	-	300	18	
2. # PERSONS CONSIDERED FOR PAROLE AT MIN EXPRTN DATE					2900	2582	-	318	11	2900	2600	-	300	10	
3. NUMBER OF PAROLES GRANTED					840	803	-	37	4	840	830	-	10	1	
4. NUMBER OF PAROLES DENIED					1700	1534	-	166	10	1700	1600	-	100	6	
5. NUMBER OF PAROLES REVOKED					330	321	-	9	3	330	325	-	5	2	
6. NO. OF APPL FOR REDUCTN OF MIN SENTENCE CONSIDERED					220	167	-	53	24	220	180	-	40	18	
7. NUMBER OF PARDON APPLICATIONS CONSIDERED					30	36	+	6	20	30	40	+	10	33	
8. NUMBER OF PAROLEES REVIEWED FOR DISCHARGE					100	92	-	8	8	100	95	-	5	5	
9. NUMBER OF INFORMAL INTERVIEWS CONDUCTED					0	0	+	0	0	0	0	+	0	0	

VARIANCE REPORT NARRATIVE FY 2020 AND FY 2021

09 01 03 01
PSD 611

PROGRAM TITLE: ADULT PAROLE DETERMINATIONS

PART I - EXPENDITURES AND POSITIONS

FY 20 & FY 21: The position variance is due to high employee turnover and challenges on recruitment, examination and training. Additionally, this program is not included in the correctional programs that are afforded an exception from the statewide hiring freeze implementation, which became effective April 4, 2020. The department is also granted an exception to fill Deputy Sheriffs, Parole Officers, Investigators, Hearing Officers, and certain identified critical positions from various programs. It is anticipated that as the State experiences stability in the coming months and when the general hiring freeze is lifted, FY 21 position numbers would be at par with the planned numbers.

PART II - MEASURES OF EFFECTIVENESS

Item 1: Percent of inmates granted parole release is anticipated to have 20% variation from the planned values in FY 21, to account for the ramp-up of specific program operations and increased mobility after COVID-19 period.

PART III - PROGRAM TARGET GROUPS

Item 1: The average number of sentenced felons in the State prison system including the state-funded prison facility in Arizona decreases than planned, because of policies related to COVID-19 and furloughed felons. Other factors include the trend in Hawaii Paroling Authority (HPA) and Judiciary actions to reduce this population of offender.

PART IV - PROGRAM ACTIVITIES

Item 1: HPA sets less minimum term hearings than planned, because of the COVID-19 virus. Because of the virus, the Judiciary suspended court operations, which included the delay of trial proceedings, and probation revocation proceedings. The Judiciary's decision directly affected the number of persons sentenced to prison and/or re-sentenced from probation to prison.

Item 2: The number of persons considered for parole at their minimum

expiration date is lower than planned in both fiscal years, because of the Judiciary's decision to suspend court operations, which included the delay of trial proceedings, and probation revocation proceedings. The Judiciary's decision directly affected the number of cases of persons sentenced to prison and/or re-sentenced from probation to prison. Less Class C felons are sentenced to prison and/or re-sentenced from probation to prison. This category of offenders normally has substantial pre-sentence credits as a result of a lack of bail funds. It is not uncommon for Class C felons to enter the prison system with a year or more of pre-sentence credits, which means that HPA often sets the minimum terms and conducts a parole consideration hearing for the same offender within the same fiscal year.

Item 4: The number of parole denials was less than planned in FY 20, because of less inmates appearing before the parole board for parole consideration hearings. Variance was due to the parole board granting almost the exact number of planned parole releases and Judiciary's decision to suspend court proceedings, including probation revocation hearings. Far less Class C felony offenders were sentenced to prison and/or re-sentenced from probation to prison. This directly affected the number of parole denials.

Item 6: The number of Reduction of Minimum (ROM) Term(s) of Imprisonment applications considered is less than planned in both fiscal years, because fewer inmates applied for ROM. PSD decided to suspend programs due to COVID-19 during the third quarter of FY 20. Because inmates were not able to complete programs, some inmates were discouraged from applying for ROM. Once programs resume, an increase in the number of application for ROM is expected.

Item 7: HPA is able to consider more pardon applications than planned in FY 20 and FY 21 to account for staff efficiency and shorter pardon applications. In that, three applications had a single felony conviction for which the applicant was requesting a gubernatorial pardon, and four other applicants had three or less offenses for which they were seeking a gubernatorial pardon. All seven of the applications were for non-violent offenses, and in some cases, the offenses occurred more than 20 years

VARIANCE REPORT NARRATIVE FY 2020 AND FY 2021

09 01 03 01
PSD 611

PROGRAM TITLE: ADULT PAROLE DETERMINATIONS

ago and the applicants had no further involvement in the criminal justice system.

VARIANCE REPORT

	FISCAL YEAR 2019-20				THREE MONTHS ENDED 09-30-20				NINE MONTHS ENDING 06-30-21						
	BUDGETED	ACTUAL	± CHANGE		%	BUDGETED	ACTUAL	± CHANGE		%	BUDGETED	ESTIMATED	± CHANGE		%
PART I: EXPENDITURES & POSITIONS															
RESEARCH & DEVELOPMENT COSTS															
POSITIONS															
EXPENDITURES (\$1,000's)															
OPERATING COSTS															
POSITIONS	61.00	51.00	-	10.00	16	61.00	50.00	-	11.00	18	61.00	61.00	+	0.00	0
EXPENDITURES (\$1000's)	4,746	4,398	-	348	7	967	967	+	0	0	3,128	3,128	+	0	0
TOTAL COSTS															
POSITIONS	61.00	51.00	-	10.00	16	61.00	50.00	-	11.00	18	61.00	61.00	+	0.00	0
EXPENDITURES (\$1000's)	4,746	4,398	-	348	7	967	967	+	0	0	3,128	3,128	+	0	0
						FISCAL YEAR 2019-20				FISCAL YEAR 2020-21					
						PLANNED	ACTUAL	± CHANGE		%	PLANNED	ESTIMATED	± CHANGE		%
PART II: MEASURES OF EFFECTIVENESS															
1. % RECOM RELATG TO PAROLE RELEASE ACCEPTED BY BOARD						77	70	-	7	9	77	75	-	2	3
2. NUMBER OF PAROLE VIOLATORS RETURNED TO PRISON						400	394	-	6	2	400	400	+	0	0
3. AMOUNT OF RESTITUTION COLLECTED						100000	125661	+	25661	26	100000	100000	+	0	0
4. AV TIME ON PAROLE BEFORE FINAL DISCHARGE (YEARS)						6	6	+	0	0	6	6	+	0	0
5. UNEMPLOYMENT RATE AMONG PAROLEES						13	16	+	3	23	13	13	+	0	0
PART III: PROGRAM TARGET GROUP															
1. NO. OF PAROLEES IN HAWAII FROM OTHER JURISDICTIONS						27	34	+	7	26	27	35	+	8	30
2. NO. PAROLEES UNDER HAWAII JURISDICTN OUT OF STATE						120	121	+	1	1	120	120	+	0	0
3. NO. PAROLEES UNDER HAWAII JURISDICTN WITHIN STATE						1570	1504	-	66	4	1570	1530	-	40	3
4. AV NO. OF SENTENCED INMATES IN STATE PRISON SYSTEM						3972	3263	-	709	18	3972	3263	-	709	18
PART IV: PROGRAM ACTIVITY															
1. NUMBER OF PREPAROLE INVESTIGATIONS CONDUCTED						2850	2582	-	268	9	2850	2620	-	230	8
2. NUMBER OF ARREST WARRANTS ISSUED						410	401	-	9	2	410	400	-	10	2
3. NUMBER OF PAROLE DISCHARGES RECOMMENDED						230	216	-	14	6	230	220	-	10	4
4. NUMBER OF PARDON INVESTIGATIONS CONDUCTED						30	36	+	6	20	30	40	+	10	33
5. NUMBER OF INTERSTATE COMPACT AGREEMENTS						145	147	+	2	1	145	145	+	0	0
6. NUMBER OF PAROLEES UNDER SPECIALIZED SUPERVISION						175	169	-	6	3	175	175	+	0	0
7. NUMBER OF PAROLEES UNDER INTENSIVE SUPERVISION						48	52	+	4	8	48	50	+	2	4
8. NUMBER OF ADMINISTRATIVE HEARINGS CONDUCTED						760	680	-	80	11	760	700	-	60	8

VARIANCE REPORT NARRATIVE FY 2020 AND FY 2021

09 01 03 02
PSD 612

PROGRAM TITLE: ADULT PAROLE SUPERVISION & COUNSELING

PART I - EXPENDITURES AND POSITIONS

FY 20 & FY 21: The position variance is due to high employee turnover and challenges on recruitment, examination and training. Additionally, this program is not included in the correctional programs that are afforded an exception from the statewide hiring freeze implementation, which became effective April 4, 2020. The department is also granted an exception to fill Deputy Sheriffs, Parole Officers, Investigators, Hearing Officers, and certain identified critical positions from various programs. It is anticipated that as the State experiences stability in the coming months and when the general hiring freeze is lifted, FY 21 position numbers would be at par with the planned numbers.

PART II - MEASURES OF EFFECTIVENESS

Item 3: HPA collected more restitution than planned in FY 20, because several parolees seeking early discharge paid the remaining balances owed early. While some had been granted an early discharge, the majority were not. In addition, several parolees preferred lump sum payments instead of recurring monthly payments towards their respective restitution balances.

Item 5: The variance in the planned unemployment rate for parolees and the actual unemployment rate in FY 20 was directly related to COVID-19. Most of those businesses either remained closed or reopened with restrictions. As a result, many parolees were either laid off or terminated from their employment.

PART III - PROGRAM TARGET GROUPS

Item 1: The number of parolees from Hawaii from other jurisdictions increases dramatically than the planned values in FY 20 and FY 21. Because of recent rules changes to the Western Interstate Compact Agreement, (restrictions on receiving the State's authority to decline some cases previously classified as "discretionary,") HPA was required to accept cases for supervision that would otherwise have been denied. In at least two cases, HPA was required to accept offenders from California released from prison to probation supervision because California's penal

system is different than most states. As a result, under the Western Interstate Compact Rules, HPA was mandated to accept them for parole supervision.

Item 4: The decrease in the average number of inmates than planned in both fiscal years are partly due to COVID-19 pandemic policies which were designed to reduce the population as a public safety precaution.

PART IV - PROGRAM ACTIVITIES

Item 4: HPA is able to conduct more pardon investigations than planned in both fiscal years to account for the efficiency and productivity considering that some investigations were relatively short. In such cases, three investigations had a single felony conviction for which the applicant was requesting a gubernatorial pardon; and four other investigations had three or less offenses for which they were seeking a gubernatorial pardon. All seven investigations were for non-violent offenses, and in some cases, the offenses occurred more than 20 years ago and the applicants had no further involvement in the criminal justice system.

Item 8: The number of administrative hearings was less than planned in FY 20, because HPA received far less applications for ROM (167 instead of the 220 planned). This was attributed to fewer inmates applying for ROM. PSD also suspended programs due to COVID-19 during the third quarter of FY 20. Because inmates were not able to complete programs, some inmates were discouraged from applying for ROM. Once programs resume, an increase is anticipated in the number of applications for ROM.

VARIANCE REPORT

	FISCAL YEAR 2019-20				THREE MONTHS ENDED 09-30-20				NINE MONTHS ENDING 06-30-21			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	13.00	10.00	- 3.00	23	13.00	9.00	- 4.00	31	13.00	13.00	+ 0.00	0
EXPENDITURES (\$1000's)	3,475	1,579	- 1,896	55	592	592	+ 0	0	2,885	2,885	+ 0	0
TOTAL COSTS												
POSITIONS	13.00	10.00	- 3.00	23	13.00	9.00	- 4.00	31	13.00	13.00	+ 0.00	0
EXPENDITURES (\$1000's)	3,475	1,579	- 1,896	55	592	592	+ 0	0	2,885	2,885	+ 0	0
					FISCAL YEAR 2019-20				FISCAL YEAR 2020-21			
					PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%
PART II: MEASURES OF EFFECTIVENESS												
1. AV TIME FM DATE APP RCV TO DATE DECISN MAILD (WKS)					10	15	+ 5	50	10	21	+ 11	110
2. AV TIME FROM AWARD TO DATE PURCH ORDR PREPRD (WKS)					4	6	+ 2	50	4	6	+ 2	50
3. PERCENT OF CLAIMANTS WHO RECEIVED COMPENSATION					75	63	- 12	16	75	75	+ 0	0
4. AVERAGE COMPENSATION AWARD MADE					800	477	- 323	40	800	800	+ 0	0
PART III: PROGRAM TARGET GROUP												
1. # PERSONS STATEWIDE WHO MAY BE ELIG FOR COMPENSATN					1470000	1470000	+ 0	0	1470000	1470000	+ 0	0
PART IV: PROGRAM ACTIVITY												
1. NUMBER OF CLAIMS RECEIVED					750	578	- 172	23	750	600	- 150	20
2. DOLLAR VALUE OF CLAIMS RECEIVED					500000	227432	- 272568	55	500000	500000	+ 0	0
3. NUMBER OF HEARINGS HELD					6	0	- 6	100	6	4	- 2	33
4. NUMBER OF COMPENSATION AWARDS MADE					800	362	- 438	55	800	400	- 400	50
5. NUMBER OF ADMINISTRATIVE MEETINGS HELD					4	2	- 2	50	4	4	+ 0	0
6. NUMBER OF CLAIMS DENIED					150	95	- 55	37	150	100	- 50	33

VARIANCE REPORT NARRATIVE FY 2020 AND FY 2021

09 01 04
PSD 613

PROGRAM TITLE: CRIME VICTIM COMPENSATION COMMISSION

PART I - EXPENDITURES AND POSITIONS

FY 20 & FY 21: The position variance is due to high employee turnover and challenges on recruitment, examination and training. Additionally, this program is not included in the correctional programs that are afforded an exception from the statewide hiring freeze implementation, which became effective April 4, 2020. The department is also granted an exception to fill Deputy Sheriffs, Parole Officers, Investigators, Hearing Officers, and certain identified critical positions from various programs. It is anticipated that as the State experiences stability in the coming months and when the general hiring freeze is lifted, FY 21 position numbers would be at par with the planned numbers.

The expenditure variance in FY 20 was due to less than anticipated expenditures for the Victims of Crime Act federal grant.

PART II - MEASURES OF EFFECTIVENESS

Item 1: Variance is due to limited manpower in the program's operations. The Crime Victim Compensation Commission (CVCC) is short-staffed during this fiscal year. Upon the departure of two investigators, it was determined that they were not processing their cases in as timely a manner as expected. CVCC is currently working on resolving the backlog in cases with current staffing.

Item 2: Variance is due to limited manpower in the program's operations. Because of the investigator staff shortage, staff that normally processes purchase orders were required to assist in processing cases. The transfer of resources from the standard functions of processing purchase orders result to delays.

Item 3: Variance in FY 20 was due to delays in operational processes. CVCC is working to train victim witness advocates in each of the counties' Prosecuting Attorney's offices and community partners who provide Commission applications to ensure that applications are being provided to victims who meet statutory requirements.

Item 4: Variance in FY 20 was due to delays in operational processes. CVCC anticipated a higher average compensation award than the actual average. This was based on an anticipation of higher numbers of uninsured victims. While there are still a number of uninsured victims, the larger number of the CVCC's victims have medical insurance, resulting in lower award amounts.

PART III - PROGRAM TARGET GROUPS

No significant variances.

PART IV - PROGRAM ACTIVITIES

Item 1: Variance is attributed to lack of training in some operational and procedural work. CVCC continuously provides more training to victim witness advocates in county Prosecutors' Offices and to community partners who provide applications to their clients. The training helps to ensure that advocates are more aware of which victims would qualify for the CVCC's assistance, which may lower the number of overall applications that are being provided to victims.

Item 2: The dollar value of claims paid was lower than the planned values in FY 20. This was attributed to the decline in the amounts awarded due to the lowering of acknowledgment award limits and other limitations in some compensation categories. Through the Medical Reduction Project, CVCC lowered medical cost payments by over \$36,000.00 on bills of almost \$120,000.00. The lower dollar value of claims received also impacted the slower processing of claims.

Item 3: The number of appeals the CVCC receives is dependent upon the number of victims who disagree with their decision by CVCC. In the past year, there were no appeals made to the CVCC.

Item 4: Variance in both fiscal years is due to existing coverage of some applicants to pay for their crime-related medical expenses. The lower number of awards made also impacts the slower processing of claims.

VARIANCE REPORT NARRATIVE FY 2020 AND FY 2021

09 01 04
PSD 613

PROGRAM TITLE: CRIME VICTIM COMPENSATION COMMISSION

Item 5: The Commissioners generally meet once a quarter to hold an administrative meeting. Due to scheduling difficulties and COVID-19 threats, CVCC only held two administrative meetings during this past fiscal year, contributing to the variance from planned.

Item 6: The variance is attributed to more training of new victim witness advocates hired in all four counties and training of community partners who provide compensation applications to their clients to ensure that claims are not filed on behalf of non-qualifying victims. There are also lower number of claims processed during this year.

VARIANCE REPORT

	FISCAL YEAR 2019-20				THREE MONTHS ENDED 09-30-20				NINE MONTHS ENDING 06-30-21			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	187.00	168.00	- 19.00	10	187.00	156.00	- 31.00	17	187.00	184.00	- 3.00	2
EXPENDITURES (\$1000's)	26,220	23,894	- 2,326	9	6,540	6,042	- 498	8	18,737	18,738	+ 1	0
TOTAL COSTS												
POSITIONS	187.00	168.00	- 19.00	10	187.00	156.00	- 31.00	17	187.00	184.00	- 3.00	2
EXPENDITURES (\$1000's)	26,220	23,894	- 2,326	9	6,540	6,042	- 498	8	18,737	18,738	+ 1	0
					FISCAL YEAR 2019-20				FISCAL YEAR 2020-21			
					PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%
PART II: MEASURES OF EFFECTIVENESS												
1. PERCENTAGE OF VACANCIES FILLED					75	80	+ 5	7	75	80	+ 5	7
2. AV TIME TO COMPLETE PAYMENT TRANSACTIONS (DAYS)					27	29	+ 2	7	27	27	+ 0	0
3. % DEPT'L EMPLOYEES COMPLETING TSD TRAING SESSIONS					50	39	- 11	22	50	50	+ 0	0

VARIANCE REPORT NARRATIVE FY 2020 AND FY 2021

PROGRAM TITLE: GENERAL SUPPORT - CRIMINAL ACTION

09 01 05

PART I - EXPENDITURES AND POSITIONS

Details of the position and expenditure variances are best examined at the lowest program level.

PART II - MEASURES OF EFFECTIVENESS

See Lowest Level Programs for additional information.

VARIANCE REPORT

	FISCAL YEAR 2019-20				THREE MONTHS ENDED 09-30-20				NINE MONTHS ENDING 06-30-21			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	139.00	120.00	- 19.00	14	139.00	115.00	- 24.00	17	139.00	139.00	+ 0.00	0
EXPENDITURES (\$1000's)	19,136	17,497	- 1,639	9	4,796	4,796	+ 0	0	13,506	13,506	+ 0	0
TOTAL COSTS												
POSITIONS	139.00	120.00	- 19.00	14	139.00	115.00	- 24.00	17	139.00	139.00	+ 0.00	0
EXPENDITURES (\$1000's)	19,136	17,497	- 1,639	9	4,796	4,796	+ 0	0	13,506	13,506	+ 0	0
					FISCAL YEAR 2019-20				FISCAL YEAR 2020-21			
					PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%
PART II: MEASURES OF EFFECTIVENESS												
1. PERCENT OF VACANCIES FILLED					75	80	+ 5	7	75	80	+ 5	7
2. PERCENT OF DELEGATED POSITION ACTIONS PROCESSED					90	96	+ 6	7	90	96	+ 6	7
3. AV TIME TO COMPLETE PAYMENT TRANSACTIONS (DAYS)					27	29	+ 2	7	27	27	+ 0	0
4. % DEPT'L EMPLOYEES COMPLETING TSD TRAING SESSIONS					50	39	- 11	22	50	50	+ 0	0
5. % INTERNL INVSTGTNS CLOSED BY INSP & INVSTGTN OFFC					85	137	+ 52	61	85	135	+ 50	59
6. % INTERNL INVSTGTNS COMPLETED BY INTERNAL AFFAIRS					83	91	+ 8	10	83	85	+ 2	2
7. % ADA COMPLNTS INVSTGTD/CLOSED AFTER ACTION TAKEN					80	78	- 2	3	80	80	+ 0	0
8. % HARASSMNT/DISCRMNTN COMPLNTS INVSTGTD/CLOSED					70	72	+ 2	3	70	70	+ 0	0
PART III: PROGRAM TARGET GROUP												
1. NUMBER OF DEPARTMENTAL EMPLOYEES					2500	2354	- 146	6	2500	2500	+ 0	0
2. NUMBER OF CORRECTIONAL FACILITIES					8	8	+ 0	0	8	8	+ 0	0
3. AVERAGE INMATE POPULATION					5576	3653	- 1923	34	5576	3653	- 1923	34
PART IV: PROGRAM ACTIVITY												
1. NUMBER OF NEW REQUESTS TO FILL VACANCIES					300	275	- 25	8	300	275	- 25	8
2. NUMBER OF DELEGATED POSITION ACTIONS RECEIVED					550	498	- 52	9	550	500	- 50	9
3. NUMBER OF FISCAL TRANSACTIONS PROCESSED PER DAY					250	162	- 88	35	250	250	+ 0	0
4. # TIME SHEETS PROC FOR OT & EMERG HIRES PER MONTH					3900	4463	+ 563	14	3900	3900	+ 0	0
5. NUMBER OF TRAINING SESSIONS CONDUCTED					200	123	- 77	39	200	200	+ 0	0
6. # INTERNAL INVSTGTNS RCVD BY INSP & INVSTGTN OFFC					85	152	+ 67	79	85	135	+ 50	59
7. NO. INTERNAL INVSTGTNS INITIATD BY INTERNAL AFFAIRS					90	165	+ 75	83	90	100	+ 10	11
8. NUMBER OF ADA COMPLAINTS FILED					8	38	+ 30	375	8	40	+ 32	400
9. NO. OF HARASSMENT/DISCRIMINATION COMPLAINTS FILED					40	21	- 19	48	40	21	- 19	48

VARIANCE REPORT NARRATIVE FY 2020 AND FY 2021

09 01 05 01
PSD 900

PROGRAM TITLE: GENERAL ADMINISTRATION

PART I - EXPENDITURES AND POSITIONS

FY 20 & FY 21: The position variance is due to high employee turnover and challenges on recruitment, examination and training. Additionally, this program is not included in the correctional programs that are afforded an exception from the statewide hiring freeze implementation, which became effective April 4, 2020. The department is also granted an exception to fill Deputy Sheriffs, Parole Officers, Investigators, Hearing Officers, and certain identified critical positions from various programs. It is anticipated that as the State experiences stability in the coming months and when the general hiring freeze is lifted, FY 21 position numbers would be at par with the planned numbers.

PART II - MEASURES OF EFFECTIVENESS

Item 4. The decline in percentage of departmental employees completing training sessions than planned in FY 20 was due to COVID-19 pandemic policies that restricted access to students and changed workforce priorities away from the time once allocated for annual training.

Item 5: The number of cases received by the Inspections and Investigations Office (IIO) in FY 20 and FY 21 depends on other extraneous circumstances. The number varies according to the number of incidents that occur throughout PSD.

Item 6: The variance in the percentage of internal investigations completed in FY 20 was due to the increase in the number of Truth Verification or Computer Voice Stress Analysis (CVSA) Examinations completed as part of the department pre-employment testing of applicants for potential employment with PSD by the Internal Affairs Office. In FY 19, CVSA case assignments were reduced as there were less applicants. An increase in the processing of applicants in FY 20 resulted in an increase in the percentage of investigations being completed due to requirements to prioritize as part of the pre-employment process. This increased the completion percentage more than the budgeted amounts.

PART III - PROGRAM TARGET GROUPS

Item 3: The decrease is associated with COVID-19 policies designed to reduce inmate population as a matter of public safety. Limited mobility slowed down the operations of the program significantly.

PART IV - PROGRAM ACTIVITIES

Item 3: Variance in FY 20 was due to the lack of manpower and the program's inability to recruit more personnel during the statewide hiring freeze implementation. The section lost one additional clerk due to promotion to another department and one volunteer due to the COVID-19 pandemic. The vouchering staff also had additional duties tasked with COVID-19 related expenses. This additional burden slowed down the work flow.

Item 4: Variance in FY 20 was due to the lack of manpower and the program's inability to recruit more personnel during the statewide hiring freeze implementation. Additionally, staff members contributed more to processing additional documents each period, and the COVID-19 pandemic doubled the number of D-55s for staff overtime (OT) when staff work normal OT and COVID-19 OT. The section also saw a large increase in emergency hire documents per month.

Item 5: The decrease from the planned values in FY 20 was impacted by COVID-19 pandemic policies that restricted access to students and changed workforce priorities away from time once allocated for annual training.

Item 6: Variance in FY 20 and FY 21 depends on extraneous circumstances. The number varies according to the number of incidents that occur in the department.

Item 7: The variance is dependent on the number of CVSA Examinations initiated for the pre-employment testing of applicants for potential employment with PSD by the Internal Affairs Office. In FY 19, less CVSA case assignments were initiated. An increase in processing applicants in

VARIANCE REPORT NARRATIVE FY 2020 AND FY 2021

09 01 05 01
PSD 900

PROGRAM TITLE: GENERAL ADMINISTRATION

FY 20 resulted in an increase in the number of investigations initiated within the Internal Affairs Office.

Item 8: Variance is due to the increased workload and limited staffing. As a result of a March 13, 2019 settlement agreement between PSD and the U.S. Department of Justice, the Civil Rights Compliance Office (CRCO) was tasked with undertaking the statewide compliance of PSD correctional facilities with the Americans with Disabilities Act (ADA). These consisted of requests for accommodations and complaints filed by incarcerated individuals under the ADA, including complaints by visitors, as to access to facilities for inmate visitations, etc. Additionally, ADA requests by PSD employees was re-assigned to CRCO. This addition of tasks results with increased caseloads to CRCO. The increase in the total numbers of ADA cases in the Variance Report does not differentiate between employees and inmates. "Closed" cases are defined as when a determination is made either in an ADA-related complaint and/or a granted ADA-related accommodation. However, an ADA case may need to be reopened if, in the unforeseeable future, the determination is not agreeable to the requester/complainant, in which they file an appeal for subsequent review.

Item 9: The reason for the variance is not determined. There is an evident reversal of anticipated number of ADA complaints versus the anticipated number of harassment/discrimination complaints.

VARIANCE REPORT

	FISCAL YEAR 2019-20					THREE MONTHS ENDED 09-30-20					NINE MONTHS ENDING 06-30-21				
	BUDGETED	ACTUAL	± CHANGE		%	BUDGETED	ACTUAL	± CHANGE		%	BUDGETED	ESTIMATED	± CHANGE		%
PART I: EXPENDITURES & POSITIONS															
RESEARCH & DEVELOPMENT COSTS															
POSITIONS															
EXPENDITURES (\$1,000's)															
OPERATING COSTS															
POSITIONS	48.00	48.00	+	0.00	0	48.00	41.00	-	7.00	15	48.00	45.00	-	3.00	6
EXPENDITURES (\$1000's)	7,084	6,397	-	687	10	1,744	1,246	-	498	29	5,231	5,232	+	1	0
TOTAL COSTS															
POSITIONS	48.00	48.00	+	0.00	0	48.00	41.00	-	7.00	15	48.00	45.00	-	3.00	6
EXPENDITURES (\$1000's)	7,084	6,397	-	687	10	1,744	1,246	-	498	29	5,231	5,232	+	1	0
						FISCAL YEAR 2019-20					FISCAL YEAR 2020-21				
						PLANNED	ACTUAL	± CHANGE		%	PLANNED	ESTIMATED	± CHANGE		%
PART II: MEASURES OF EFFECTIVENESS															
1. AV# DAYS REQUIRD TO COMPLETE EXPUNGEMENT PROCESS						120	63	-	57	48	120	63	-	57	48
2. AV # DAYS TO ENTER DISPOSITION DATA PER SEGMENT						12	5	-	7	58	12	5	-	7	58
3. % COMPLETE DISPOSITIONS ON CJIS-HAWAII						95	95	+	0	0	95	95	+	0	0
4. % OF ELIGIBLE SEX OFFENDERS THAT REGISTERED						93	95	+	2	2	93	95	+	2	2
5. % REG SEX OFFENDERS WHO COMPLY W/VER PROCESS						85	85	+	0	0	85	85	+	0	0
6. AV# DAYS TO COMPLETE CRIM HIS RECORD CHK REQUESTS						5	5	+	0	0	5	5	+	0	0
7. % MONTHLY LATENT FINGERPRT/PALMPRT HITS						60	26	-	34	57	60	27	-	33	55
8. % HELP DESK TICKETS RESOLVED IN 48 HOURS						67	77	+	10	15	67	77	+	10	15
PART III: PROGRAM TARGET GROUP															
1. PERSONS WITH CRIMINAL RECORDS						608000	615000	+	7000	1	608000	615000	+	7000	1
2. PERSONS WITH EXPUNGEABLE RECORDS						385000	391000	+	6000	2	385000	391000	+	6000	2
3. NO. CRIMINAL JUSTICE AGENCIES SVD (CNTY/STATE/FED)						100	112	+	12	12	100	112	+	12	12
4. CJIS-HAWAII USERS						4900	4504	-	396	8	4900	4504	-	396	8
5. PERSONS WITH ELIGIBLE SEX OFFENDER CHARGES						3350	3365	+	15	0	3350	3365	+	15	0
6. NUMBER OF NON-CRIMINAL JUSTICE AGENCIES SERVICED						190	243	+	53	28	190	243	+	53	28
7. NCIC USERS						3200	3584	+	384	12	3200	3584	+	384	12
8. NUMBER OF NON-COMPLIANT SEX OFFENDERS						650	820	+	170	26	650	820	+	170	26
PART IV: PROGRAM ACTIVITY															
1. # REG SEX OFFENDRS REQUIRING QTRLY VERIFICATION						2600	2600	+	0	0	2600	2600	+	0	0
2. #PUB ACC/WEB TRANSACTNS CONDUCTED ON CJIS-HAWAII						360000	117000	-	243000	68	360000	117000	-	243000	68
3. # OF INQUIRY TRANSACTIONS CONDUCTED ON CJIS-HAWAII						2058000	1800000	-	258000	13	2058000	1800000	-	258000	13
4. # OF EXPUNGEMENT REQUESTS PROCESSED/DENIED						1500	2025	+	525	35	1500	2050	+	550	37
5. NUMBER OF FIRST-TIMERS ADDED TO AFIS						57000	42055	-	14945	26	57000	46000	-	11000	19
6. #NAME-BASED APPLICANT RECORD CHECKS PROCESSED						2500	2040	-	460	18	2500	2040	-	460	18
7. #FINGERPRT-BASED APPLICANT RECORD CHKS PROCESSED						65000	39251	-	25749	40	65000	42000	-	23000	35
8. # CRIMINAL FINGERPRINTS PROCESSED THRU AFIS						40000	26459	-	13541	34	40000	27000	-	13000	33
9. #LATENT FINGER/PALM PRINT SEARCHES DONE THRU AFIS						4350	2499	-	1851	43	4350	2600	-	1750	40
10. #CJIS-HAWAII RECS INDEXED ON INTERSTATE ID INDEX						298394	306390	+	7996	3	298394	306390	+	7996	3

VARIANCE REPORT NARRATIVE FY 2020 AND FY 2021

09 01 05 02
ATG 231

PROGRAM TITLE: STATE CRIMINAL JUSTICE INFO & IDENTIFICATION

PART I - EXPENDITURES AND POSITIONS

The position variance in FY 21 was due to the hiring freeze as well as positions being defunded.

The difference between budgeted and actual expenditures in FY 20 and FY 21 occurred because not all vacancies were filled and because the Hawaii Criminal Justice Data Center (HCJDC) lease agreement was paid in full and monies taken from the budget.

PART II - MEASURES OF EFFECTIVENESS

Item 1. The average number of days to complete the expungement process was streamlined, which resulted in a faster turn around in completing the process.

Item 2. The average number of days to enter dispositions decreased due to the additional staff hired in the Data Integrity Section and updating dispositions in a timely manner.

Item 7. The previous fiscal year was calculated wrong. FY 20 numbers decreased by 26%. The decrease was due to less latents being searched through the system, resulting in less hits.

Item 8. Vacant positions were filled in the Customer Support Section, which allowed staff to address tickets in a more timely manner.

PART III - PROGRAM TARGET GROUPS

Item 3. The increase was due to onboarding of additional criminal justice agencies.

Item 4. Implementation of the annual user validation process required by the Federal Bureau of Investigations Criminal Justice Information System (CJIS) Security Policy resulted in numerous users being inactivated. User accounts are inactivated that are expired over 45 days.

Item 6. The increase was mainly due to onboarding additional Volunteer and Employee Criminal History Service agencies.

Item 7. HCJDC has been working on various records management system (RMS) upgrade projects and, through these projects, are onboarding a lot of additional users and stations. Police departments that have completed their upgrades are signing on their computer-aided dispatch/RMS users to access the National Crime Information Center, which is the main reason for the increase.

Item 8. The increase in non-compliant sex offenders was due to the COVID-19 pandemic and stay-at-home orders which created confusion around the registration process. Police agencies changed their policies to allow for registrations by appointment only. Many non-compliant sex offenders assumed HCJDC was closed due to the pandemic and thought that initial offender registrations or yearly registrations were not being processed.

PART IV - PROGRAM ACTIVITIES

Items 2,7. The decrease in the number of transactions can be attributed to COVID-19 and the stay-at-home, work-from-home order.

Item 3. The number of non-criminal justice agencies that access CJIS-Hawaii had been reduced since HCJDC automated the criminal history background check process, which reduced the number of manual entries that were performed.

Item 4. By streamlining the expungement process, the backlog of expungements decreased and the amount of time to process was cut in half.

Items 5,6,7,8,9. The decrease in numbers can be attributed to COVID-19 and the stay-at-home, work-from-home order, less individuals were arrested, which resulted in less fingerprints being submitted and processed through the Automated Fingerprint Identification System.

VARIANCE REPORT

	FISCAL YEAR 2019-20				THREE MONTHS ENDED 09-30-20				NINE MONTHS ENDING 06-30-21			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	247.00	203.00	- 44.00	18	247.00	211.25	- 35.75	14	247.00	214.25	- 32.75	13
EXPENDITURES (\$1000's)	103,554	52,934	- 50,620	49	25,087	11,361	- 13,726	55	76,038	89,763	+ 13,725	18
TOTAL COSTS												
POSITIONS	247.00	203.00	- 44.00	18	247.00	211.25	- 35.75	14	247.00	214.25	- 32.75	13
EXPENDITURES (\$1000's)	103,554	52,934	- 50,620	49	25,087	11,361	- 13,726	55	76,038	89,763	+ 13,725	18
					FISCAL YEAR 2019-20				FISCAL YEAR 2020-21			
					PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%
PART II: MEASURES OF EFFECTIVENESS												
1. DEATHS/INJ/PROP DAM DUE TO FLOODS (INCIDENTS)					4	1	- 3	75	4	4	+ 0	0

VARIANCE REPORT NARRATIVE FY 2020 AND FY 2021

PROGRAM TITLE: SAFETY FROM PHYSICAL DISASTERS

09 02

PART I - EXPENDITURES AND POSITIONS

Details of the position and expenditures variances are best examined at the lowest program level.

PART II - MEASURES OF EFFECTIVENESS

See Lowest Level Programs for additional information.

VARIANCE REPORT

	FISCAL YEAR 2019-20					THREE MONTHS ENDED 09-30-20					NINE MONTHS ENDING 06-30-21				
	BUDGETED	ACTUAL	± CHANGE		%	BUDGETED	ACTUAL	± CHANGE		%	BUDGETED	ESTIMATED	± CHANGE		%
PART I: EXPENDITURES & POSITIONS															
RESEARCH & DEVELOPMENT COSTS															
POSITIONS															
EXPENDITURES (\$1,000's)															
OPERATING COSTS															
POSITIONS	8.00	5.00	-	3.00	38	8.00	5.00	-	3.00	38	8.00	8.00	+	0.00	0
EXPENDITURES (\$1000's)	2,884	1,478	-	1,406	49	535	178	-	357	67	2,382	2,739	+	357	15
TOTAL COSTS															
POSITIONS	8.00	5.00	-	3.00	38	8.00	5.00	-	3.00	38	8.00	8.00	+	0.00	0
EXPENDITURES (\$1000's)	2,884	1,478	-	1,406	49	535	178	-	357	67	2,382	2,739	+	357	15
						FISCAL YEAR 2019-20					FISCAL YEAR 2020-21				
						PLANNED	ACTUAL	± CHANGE		%	PLANNED	ESTIMATED	± CHANGE		%
PART II: MEASURES OF EFFECTIVENESS															
1. NO. LOSSES DUE TO DEATHS/INJ/DISABILTIES/PROP DAM						4	1	-	3	75	4	4	+	0	0
PART III: PROGRAM TARGET GROUP															
1. DEFACTO POPULATION (MILLIONS)						1.4	1.4	+	0	0	1.4	1.4	+	0	0
PART IV: PROGRAM ACTIVITY															
1. NO. OF FLOOD CONTROL & PREVENTION PLANS REVIEWED						4	4	+	0	0	4	4	+	0	0
2. NUMBER OF COOPERATIVE AGREEMENTS ENTERED INTO						1	1	+	0	0	1	1	+	0	0
3. NO. OF FLOODWATER CONTROL & CONSRV LAWS REVIEWED						2	2	+	0	0	2	2	+	0	0
4. NO. FLOOD CNTRL RSEARCH/STUDIES/INVESTGTNS PERFRMD						4	1	-	3	75	4	4	+	0	0
5. FLOOD MITIGATION (MAN-HOURS)						100	25	-	75	75	100	100	+	0	0
6. NO. OF TECHNICAL ASSISTANCE RENDERED (MAN-HOURS)						500	125	-	375	75	500	500	+	0	0
7. NUMBER OF REPORTS AND MAPS PREPARED						2	1	-	1	50	2	2	+	0	0
8. NO. OF DAM SAFETY PROJECTS DEVELOPED OR IMPLEMENTD						1	1	+	0	0	1	1	+	0	0
9. NUMBER OF DAMS INSPECTED						65	26	-	39	60	65	32	-	33	51
10. NO. OF DAM SAFETY EMERGENCY ACTION PLANS ON FILE						132	130	-	2	2	132	131	-	1	1

VARIANCE REPORT NARRATIVE FY 2020 AND FY 2021

09 02 01
LNR 810

PROGRAM TITLE: PREVENTION OF NATURAL DISASTERS

PART I - EXPENDITURES AND POSITIONS

FY 20 variance is due to budgeted unfilled positions under recruitment. The program has experienced difficulties in recruitment due to the prolonged historically low unemployment rate and lack of interested and qualified applicants. In order to fill its vacancy, the program has attempted to recruit certain positions at all levels. The program anticipates filling all vacancies in the second half of FY 21, subject to applicable budget restrictions.

FY 20 and FY 21 expenditure variance due to staff vacancy and having an expenditure ceiling greater than the amount of Dam and Reservoir Safety Special Funds available.

status resulting in a revised total of 131 regulated dams in the State. In FY 20, one regulated dam was to update its Emergency Action Plan on file with the program.

PART II - MEASURES OF EFFECTIVENESS

Item 1: Storm frequency and intensity less than anticipated.

PART III - PROGRAM TARGET GROUPS

No significance variance.

PART IV - PROGRAM ACTIVITIES

Items 4, 5 and 6: Variance due to storm frequency and intensity less than anticipated.

Item 7: Variance due to storm frequency and intensity less than anticipated and staff focus on other tasks.

Item 9: Variance due to staff vacancy and focus on other priority tasks, such as public outreach and training. Additionally, the COVID-19 pandemic restricted staff travel to conduct visual inspections. The program has initiated a new remote inspection program with dam owners, and a number of inspection reports are in progress. The program has also contracted consultants to perform inspections.

Item 10: One dam was decommissioned and removed from regulated

VARIANCE REPORT

	FISCAL YEAR 2019-20				THREE MONTHS ENDED 09-30-20				NINE MONTHS ENDING 06-30-21			
	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ACTUAL	± CHANGE	%	BUDGETED	ESTIMATED	± CHANGE	%
PART I: EXPENDITURES & POSITIONS												
RESEARCH & DEVELOPMENT COSTS												
POSITIONS												
EXPENDITURES (\$1,000's)												
OPERATING COSTS												
POSITIONS	239.00	198.00	- 41.00	17	239.00	206.25	- 32.75	14	239.00	206.25	- 32.75	14
EXPENDITURES (\$1000's)	100,670	51,456	- 49,214	49	24,552	11,183	- 13,369	54	73,656	87,024	+ 13,368	18
TOTAL COSTS												
POSITIONS	239.00	198.00	- 41.00	17	239.00	206.25	- 32.75	14	239.00	206.25	- 32.75	14
EXPENDITURES (\$1000's)	100,670	51,456	- 49,214	49	24,552	11,183	- 13,369	54	73,656	87,024	+ 13,368	18
					FISCAL YEAR 2019-20				FISCAL YEAR 2020-21			
					PLANNED	ACTUAL	± CHANGE	%	PLANNED	ESTIMATED	± CHANGE	%
PART II: MEASURES OF EFFECTIVENESS												
1. % OF HI-EMA DISASTER PLAN READINESS					75	100	+ 25	33	75	100	+ 25	33
2. % OF HI-EMA ORGANIZATION & TRAINING READINESS					75	100	+ 25	33	75	100	+ 25	33
3. % OF HI-EMA EMERGENCY SUPPORT SYSTEMS READINESS					82	75	- 7	9	82	75	- 7	9
4. PERCENT OF HIARNG PERSONNEL READINESS					86	80	- 6	7	86	80	- 6	7
5. PERCENT OF HIARNG TRAINING READINESS					78	76	- 2	3	78	76	- 2	3
6. PERCENT OF HIARNG LOGISTICS READINESS					91	97	+ 6	7	91	97	+ 6	7
7. PERCENT OF HIANG PERSONNEL READINESS					95	90	- 5	5	95	95	+ 0	0
8. PERCENT OF HIANG TRAINING READINESS					90	85	- 5	6	90	90	+ 0	0
9. PERCENT OF HIANG LOGISTICS READINESS					90	85	- 5	6	90	90	+ 0	0
PART III: PROGRAM TARGET GROUP												
1. RESIDENT POPULATION OF THE STATE (THOUSANDS)					1428	1416	- 12	1	1428	1416	- 12	1
2. AV DAILY VISITOR POPULATN IN THE STATE (THOUSANDS)					228	1	- 227	100	228	1	- 227	100
PART IV: PROGRAM ACTIVITY												
1. NUMBER OF FUNCTIONAL MILITARY UNITS					50	65	+ 15	30	50	65	+ 15	30
2. AMOUNT OF FEDERAL FUND SUPPORT FOR MIL DEF (000'S)					84000	9400	- 74600	89	84000	10000	- 74000	88
3. NUMBER OF ARMORIES & SUPPORT FACILITIES MAINTAINED					30	25	- 5	17	30	25	- 5	17
4. INVENTORY COST OF NAT GUARD EQUIP MAINTAINED(000'S)					120000	119000	- 1000	1	120000	120000	+ 0	0
5. COST OF MILITARY SPPT TO CIVIL AUTHORITIES (000'S)					210	NO DATA	- 210	100	210	NO DATA	- 210	100
6. ASSIGNED MILITARY STRENGTH (NUMBER)					5450	2216	- 3234	59	5450	2413	- 3037	56
7. NUMBER OF HI-EMA PLANS UPDATED					1	5	+ 4	400	1	5	+ 4	400
8. # OF PERSONS COMPLETING FORMAL HI-EMA TRAINING					33	69	+ 36	109	33	69	+ 36	109
9. NO. OF EMERGENCY SHELTER SPACES MAINTAINED (000'S)					268	268	+ 0	0	268	268	+ 0	0
10. NUMBER OF WARNING DEVICES INSTALLED					40	14	- 26	65	40	0	- 40	100

VARIANCE REPORT NARRATIVE

FY 2020 AND FY 2021

09 02 02
DEF 110

PROGRAM TITLE: AMELIORATION OF PHYSICAL DISASTERS

PART I - EXPENDITURES AND POSITIONS

FY 20 & FY 21: The differences in budgeted and actual positions filled has been attributed to the Governor's statewide hiring freeze implementation effective April 4, 2020 in response to the coronavirus (COVID-19) pandemic. It has been anticipated that the department will focus on hiring certain critical positions in the second to the fourth quarter of FY 21, or once the hiring freeze is lifted.

FY 20 & FY 21: Fluctuations in the expenditure between the budgeted and actual amounts have been due to limited operations due to the COVID-19. Federal funding, which consists to 75% of the total departmental budget, has been contingent on the disasters and grants awarded. It has been anticipated that the expenditure would increase in the subsequent quarters as disaster response activities ramp up.

PART II - MEASURES OF EFFECTIVENESS

Items 1 & 2: The Hawaii Emergency Management Agency (HIEMA) has been actively implementing COVID-19 emergency disaster planning and readiness, resulting in greater actual numbers than planned numbers.

PART III - PROGRAM TARGET GROUPS

Item 2: Variance from planned numbers has been attributed to the travel restrictions implemented in the past months to mitigate the spread of COVID-19. Travel restrictions were lifted in October 2020, and it is anticipated that tourist population will increase in the subsequent quarters but not at par as budgeted. The increased requirements for travelers to present a negative COVID-19 test result from an accredited provider or to comply with the 14-day quarantine for tourists who are unable to present the negative test result is a constraint for travelers.

PART IV - PROGRAM ACTIVITIES

Item 1 & 2: Increase in spending has been attributed to the of higher demands and activation of National Guards (NG) to fulfill functions

relating to COVID-19. Some expenditures of the NG received Federal reimbursement, which increased as a result of COVID-19.

Item 3: Variance from planned amounts has been due to the separate accounting and classification of armories and other support facilities.

Item 4: The variance from planned amounts has been due to the extreme cost of modern military equipment. The department intends to change the planned amounts to incorporate the new military equipment, not accounted for in the planned values.

It has been noted that the current measure is too low and should be corrected. The unit is in thousands, and therefore, the measurement can only go to \$9.9 million (\$1,000 x 9,999). However, the F22 Raptor is about \$150 million per aircraft (\$150 million x 18 = \$2.7 billion). The amount does not include the Army's and the rest of the Air Guard's equipment.

Item 5: No data is available as the department no longer tracks these expenditures in this manner.

Item 6: Military personnel staff varies depending on the need and recruiting requirements. While there has been an active departmental response and involvement due to COVID-19, it is still operating in a limited capacity.

Item 7 & 8: The number of HIEMA plans updated has increased from the budgeted amounts due to the critical need relating to COVID-19. The pandemic has also resulted in active training and completion.

Item 10: Warning devices installation has been reduced due to the COVID-19 pandemic. There had been a lock-down for a number of months during the height of the spread, thus limiting operational capacity.