

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: DOH
 Prog ID(s): HTH131DC
 Name of Fund: Hawaii Immunization and Vaccines For Children Program
 Legal Authority: PHS 317, 42 USC Sec 247(B)

Contact Name: Jade DeCosta
 Phone: (808) 587-6592
 Fund type (MOF): N
 Appropriation Acct. No.: S-200-H

Intended Purpose: Prevent and control the transmission of vaccine preventable diseases in persons of all ages; increase and maintain high immunization coverage.

Source of Revenues: Federal funds.

Current Program Activities/Allowable Expenses: Activities include vaccine coverage assessments, promotions of vaccinations, vaccine storage, and improving the distribution and inventory system.

Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable.

Variances: Variances do not exceed 10%

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,100,000	3,300,000	3,300,000	3,875,000	3,875,000	3,875,000	3,875,000
Beginning Cash Balance	34,849	57	689	919	29,554	59,554	59,554
Revenues	3,054,658	2,713,800	3,089,261	3,073,746	3,100,000	3,100,000	3,100,000
Expenditures	3,089,450	2,713,168	3,089,030	3,045,111	3,070,000	3,100,000	3,100,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	57	689	919	29,554	59,554	59,554	59,554
Encumbrances	212,743	95,405	182,796	323,277			
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 840 FG
 Name of Fund: Nonpoint Source Management Program
 Legal Authority PL 92-500, federal Water Pollution Control Act;
Clean Water Act Section 319

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) N
 Appropriation Acct. No. S 201 H

Intended Purpose: Support State implementation of its nonpoint source management program developed under the Clean Water Act Section 319.

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Development and implementation of watershed plans and total maximum daily loads, including projects and work to achieve restoration of nonpoint source impaired waters as well as implementation of Coastal Nonpoint Source program under Coastal Zone Act Reauthorization.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Expenditures vary according to grant workplan. Encumbrances vary according to when contracts are encumbered.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,729,650	1,258,950	2,145,675	1,287,300	1,361,665	1,328,250	1,394,663
Beginning Cash Balance	35,464	47,265	19,215	50,951	55,824	55,824	55,824
Revenues	1,384,695	1,657,683	1,212,591	1,554,901	1,361,665	1,361,665	1,361,665
Expenditures	1,372,894	1,228,153	1,180,855	1,550,028	1,361,665	1,361,665	1,361,665
Transfers							
List each net transfer in/out; list each account number							
JM4518 dated 03/12/2018	0	(457,580)					
Net Total Transfers	0	(457,580)	0	0	0	0	0
Ending Cash Balance	47,265	19,215	50,951	55,824	55,824	55,824	55,824
Encumbrances	1,992,089	686,428	1,111,964	1,858,031	1,500,000	1,500,000	1,500,000
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Health
 Prog ID(s): HTH 420
 Name of Fund: Community Mental Health Services Block Grant
 Legal Authority: Section 334-7, HRS

Contact Name: Amy Yamaguchi
 Phone: 586-4682
 Fund type (MOF) N
 Appropriation Acct. No. S 202 H

Intended Purpose: The purpose of this grant is to provide financial assistance for the State to carry out the State's plan for providing comprehensive community mental health services for adults with a serious mental illness.

Source of Revenues: Community Mental Health Services Block Grant

Current Program Activities/Allowable Expenses: Activities include the purchase of residential, treatment, and other support services for adults with serious mental illness.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: The variances are attributed to delays in the execution of purchase of service contracts.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,332,230	1,332,230	1,067,581	2,033,370	2,033,370	2,033,370	2,033,370
Beginning Cash Balance	18,417	21	16,513	1	25	25	25
Revenues	976,437	1,148,293	411,016	1,066,474	1,960,188	1,869,662	1,869,662
Expenditures	994,833	1,131,801	427,529	1,066,450	1,960,188	1,869,662	1,869,662
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	21	16,513	1	25	25	25	25
Encumbrances	340,766	481,390	9,182	157,759			
Unencumbered Cash Balance	(340,745)	(464,877)	(9,181)	(157,734)	25	25	25

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH	Contact Name: Amihan Aiona
Prog ID(s): HTH 440	Phone: 692-7508
Name of Fund: Substance Abuse Prevention and Treatment (SAPT) Block Grant	Fund type (MOF) N
Legal Authority: Subparts II & III, Part B, Title XIX, Public Health Service Act:	Appropriation Acct. No. S 203 H
Department of Health & Human Services, Substance Abuse & Mental Health Services Administration; SAPT Block Grant, Interim Final Rule (Title 45, Code of Federal Regulations, Part 96)	

Intended Purpose:

Enables the State to plan, implement, and evaluate activities to treat and prevent substance abuse and other related authorized activities. The intent is to develop, maintain and improve the State's community-based substance abuse service system for the treatment and prevention of substance abuse. Also, the State must maintain compliance with a broad range of Federal statutory and regulatory provisions that govern expenditure and service requirements. Major SAPT Block Grant treatment requirements include the provision of substance abuse services for intravenous drug users, pregnant women and women with dependent children, and the provision of HIV early intervention services (subject to certain conditions) at substance abuse treatment sites. Not less than 20% of the SAPT Block Grant must be used to develop and implement a comprehensive prevention program of activities and services provided in a variety of settings for the general population as well as targeting sub-groups who are at high risk for substance abuse. Prevention activities include conducting annual random, unannounced inspections of retail outlets selling tobacco to minors, in compliance with the Synar Amendment and Tobacco Regulation for the SAPT Block Grant. The SAPT Block Grant is also used to ensure the provision of treatment and prevention services for Native Hawaiians pursuant to Block Grant requirements for Hawaii.

Source of Revenues: Substance Abuse and Mental Health Services Administration (SAMHSA)

Current Program Activities/Allowable Expenses:

The funding is used primarily for substance abuse prevention and treatment services through purchase of service contracts from the Request for Proposal, monitoring and contract utilization management, and complying with reporting requirement as stipulated in the SAPT Block Grant.

Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable.

Variances: Prior to FY14, S-203 was the parent account for all appropriated federal funds in HTH 440. As of FY14, per a new accounting methodology for federal awards, a unique appropriation has been assigned to each federal award. The new appropriation for the SAPT Block Grant is S-581-H and S-203-H will remain the parent appropriation. FY 17/18/19 variances are due to the S-581-H appropriation inadvertently not included on the Federal Funds report therefore not assigned to S-581-H.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	8,583,536	0	0	0
Beginning Cash Balance	561,028	489,005	28,163	0	0	0	0
Revenues	1,581,050	0	0	0	0	0	0
Expenditures	1,653,073	3,771	28,163	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		(457,071)					
Net Total Transfers	0	(457,071)	0	0	0	0	0
Ending Cash Balance	489,005	28,163	0	0	0		
Encumbrances							
Unencumbered Cash Balance	489,005	28,163	0	0	0		

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
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Department: HTH
 Prog ID(s): HTH 460
 Name of Fund: Synectics Behavioral Health Services Information System State Agreement
 Legal Authority: Sec 505 of Public Health Service Act (42 U.S.C. 290aa=4)

Contact Name: Wakaba Stephens
 Phone: 733-9866
 Fund type (MOF) N
 Appropriation Acct. No. S 204 H

Intended Purpose: To continue the development of Hawaii's capacity to collect and report mental health treatment services data.

Source of Revenues: Contract between Synectics for Management Decisions, Inc and SAMHSA
 Current Program Activities/Allowable Expenses: Personnel cost of the Research & Evaluation Specialist position, travel for one person to attend a mainland training and WICHE membership fee.

Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable.

Variances: The fund was provided through AMHD from 2017 and was not allocated directly in CAMHD accounts.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	13,000	13,000	0	0	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	0	13,000	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS 1244 dated 9/9/15							
JS 6982 dated 6/28/16							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	13,000	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	13,000	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
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Department: HEALTH
 Prog ID(s): HTH560
 Name of Fund: SPECIAL SUPPLEMENTAL NUTRITION PROGRAM FOR WOMEN,
 Legal Authority Child Nutrition Act of 1966, as amended, Section 17, 42 U.S.C. 1786.
Healthy, Hunger-Free Kids Act of 2010, Public Law 111-296, 7 U.S.C 1746.

Contact Name: PAUL UCHIMA
 Phone: 586-8190
 Fund type (MOF) N
 Appropriation Acct. No. S 206 H

Intended Purpose: This fund contains HTH 560 GI federal grant funds. The purpose is to provide WIC families with supplemental foods, nutrition education, breastfeeding support and referrals to other Agencies.

Source of Revenues: USDA/Food and Nutrition Service/Western Region Office.

Current Program Activities/Allowable Expenses: Supplemental nutrition; nutrition education, breastfeeding support & referrals to WIC families.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: The variance in Appropriation Ceiling between FY 21 through FY2023 is due to carryover of food and administrative funds from FY 20. Planning to submit an E-1 to increase the ceiling.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	35,703,564	35,703,564	28,465,220	28,465,220	29,982,839	29,982,839	29,982,839
Beginning Cash Balance	387,868	487,538	387,530	264,778	391,235	517,693	644,150
Revenues	26,185,612	27,023,274	27,647,549	24,558,879	24,558,879	24,558,879	24,558,879
Expenditures	26,085,942	27,123,282	27,770,300	24,432,422	24,432,422	24,432,422	24,432,422
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	487,538	387,530	264,778	391,235	517,693	644,150	770,607
Encumbrances			1,641,804	1,469,749			
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
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Department: HEALTH
 Prog ID(s): HTH 100
 Name of Fund: RYAN WHITE CARE ACT TITLE II
 Legal Authority: Public Health Service Act, 42, as amended by P.L. 111-87.

Contact Name: Phillip Nguyen
 Phone: 586-4581
 Fund type (MOF) N
 Appropriation Acct. No. S 207 H

Intended Purpose: To prevent and reduce the incidence of HIV/AIDS in the State of Hawaii.

Source of Revenues: U.S Department of Heath & Human Service/Health Resources and Services Administration

Current Program Activities/Allowable Expenses: Assistance in health care and support activities to those affected by HIV infection.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: Starting FY17, the grant has had significant increases in rebate funds compare to prior years and the rebate revenue continued to increase greatly in FY18 through FY20.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,830,015	4,572,267	8,648,246	8,723,375	8,723,375	8,723,375	8,723,375
Beginning Cash Balance	1,970,546	1,041,384	1,718,524	1,809,372	2,522,753	2,522,753	2,522,753
Revenues	4,323,934	6,810,171	6,374,345	7,970,937	8,300,000	8,400,000	8,400,000
Expenditures	5,253,096	6,133,031	6,283,498	7,257,556	8,300,000	8,400,000	8,400,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers					0	0	0
Ending Cash Balance	1,041,384	1,718,524	1,809,372	2,522,753	2,522,753	2,522,753	2,522,753
Encumbrances			1,056,572	1,366,185			
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 907
 Name of Fund: Public Health Emergency Preparedness Cooperative Agreement
 Legal Authority: Sec 319C-1 of the Public Health Act, as amended

Contact Name: Judy K. Kern
 Phone: 587-6372
 Fund type (MOF) N
 Appropriation Acct. No. S-14-208 H and S-18-208H

Intended Purpose: To improve the readiness of state and local public health systems to reduce the threats to the community's health and safety and to save lives during emergencies that exceed the day-to-day capacity of the public health response systems. This is a coordinated effort including the healthcare industry, state and county emergency management, and various federal agencies.

Source of Revenues: Federal funds.

Current Program Activities/Allowable Expenses: Funds will be used to support activities including emergency planning for all hazards, training and tabletop exercises, public information and education, epidemiological investigation, laboratory testing, etc.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: Grant coordinated under HTH907AK effective FY20. For S-18-208, the initial NTE was FY19, however a no cost extension was granted thru FY20.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	7,824,118	31,824,118	7,824,118				
Beginning Cash Balance	329,323	183,060	185,159	184,502	0	0	0
Revenues	6,115,594	6,211,214	5,981,977	2,119,719			
Expenditures	6,261,857	6,209,114	5,982,634	2,304,221			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	183,060	185,159	184,502	0	0	0	0
Encumbrances		437,875	635,073	0			
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 840 FH
 Name of Fund: Water Audit Sub-award to DLNR/CWRM
 Legal Authority Safe Drinking Water Act: Sec. 1452; Act 169, SLH 2016; Request to Expend Non-Appropriated Federal Funds--Governor approved 5/16/17

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) N
 Appropriation Acct. No. S 209 H (see also S 620 H)

Intended Purpose: Support the implementation of Act 169, SLH 2016, which authorizes and requires the Commission on Water Resource Management to establish a water audit program to provide technical assistance to public water systems to conduct standardized water audits. Reduce the volume of water loss.

Source of Revenues: Federal grant funds from Environmental Protection Agency; sub-award from Safe Drinking Water State Revolving Fund capitalization grant, which is MOF W.

Current Program Activities/Allowable Expenses: Activities to establish a program to implement standardized water audits of public water systems in accordance with the method adopted by the American Water Works Association's Water Audits and Loss Control Programs, Manual of Water Supply Practices - M36, as amended.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Non-appropriated. Fund was established as MOF N in FY 17, but was changed to MOF P for FY 18 and FY 19.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	250,000	0	0	0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out; list each account number							
JS556/635/1476/2240/3044/37173859/4402	0	200,000		0	0	0	0
	0						
JS556/635/1476/2240/3044/37173859/4402		(200,000)					
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 905AH
 Name of Fund: Developmental Disabilities Council
 Legal Authority: P.L. 106-402 and Chapter 333E, HRS

Contact Name: Daintry Bartoldus
 Phone: 586-8100
 Fund type (MOF) N
 Appropriation Acct. No. S 210 H

Intended Purpose: Federally funded program that supports the State Council on Developmental Disabilities (DD) to engage in advocacy, capacity building and systemic change activities that contribute to a coordinated, consumer-and family-centered, consumer-and family-directed, comprehensive system of community services, individualized supports, and other forms of assistance that enable individuals with DD to live self-determined lives, be independent, productive, and integrated and included in all facets of community life.

Source of Revenues: Federal U.S. Department of Health and Human Services, Administration on Community Living DD Assistance Bill of Rights Act of 2000 (P.L. 106-402)

Current Program Activities/Allowable Expenses: Funds are used to carry out the DD Council's Five-Year State Plan activities in the areas of community supports; transition and employment; public awareness, education and training; health and children and youth; and self-advocacy and self-determination. The Council has two years to obligate its funds and three years to liquidate (expend) those funds. The Council received an extension for a FY 20 due to COVID to obligate funds.

Purpose of Proposed Ceiling Adjustment (if applicable): The Council received an increase in their Federal allotment in FY 2021, and they are anticipating with the new Federal Grant Awards for FY 2022 and FY 2023 to be a little lower.

Variances:

The variance for revenues in FY 2018 is due to that the Council received an increase in their allotment for FY 2018.

Financial Data							
	FY 2017 (actual)	FY 2018 (actual)	FY 2019 (actual)	FY 2020 (actual)	FY 2021 (estimated)	FY 2022 (estimated)	FY 2023 (estimated)
Appropriation Ceiling	553,768	528,666	498,981	514,000	533,068	520,325	520,325
Beginning Cash Balance	16,788	50	8,633	1,317	2	8,070	8,070
Revenues	426,875	481,391	489,036	451,493	533,068	520,325	520,325
Expenditures	443,613	472,809	496,353	452,807	525,000	520,325	520,325
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	50	8,632	1,317	2	8,070	8,070	8,070
Encumbrances	0	7,348	6,958	244	8,070	8,070	8,070
Unencumbered Cash Balance	50	1,284	(5,641)	NA	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Non-General Fund Information
for Submittal to the 2021 Legislature

Department: <u>HTH</u>	Contact Name: <u>Amihan Aiona</u>
Prog ID(s): <u>HTH 440</u>	Phone: <u>692-7508</u>
Name of Fund: <u>Substance Abuse Prevention and Treatment (SAPT) Block Grant</u>	Fund type (MOF) <u>N</u>
Legal Authority: <u>Subparts II & III, Part B, Title XIS, Public Health Service Act:</u>	Appropriation Acct. No. <u>S-19-211</u>
<u>Department of Health & Human Services, Substance Abuse & Mental Health Services Administration; SAPT Block Grant, Interim Final Rule (Title 45, Code of Federal Regulations, Part 96)</u>	

Intended Purpose: Enables the State to plan, implement, and evaluate activities to treat and prevent substance abuse and other related authorized activities. The intent is to develop, maintain and improve the State's community-based substance abuse service system for the treatment and prevention of substance abuse. Also, the State must maintain compliance with a broad range of Federal statutory and regulatory provisions that govern expenditure and service requirements. Major SAPT Block Grant treatment requirements include the provision of substance abuse services for intravenous drug users, pregnant women and women with dependent children, and the provision of HIV early intervention services (subject to certain conditions) at substance abuse treatment sites. Not less than 20% of the SAPT Block Grant must be used to develop and implement a comprehensive prevention program of activities and services provided in a variety of settings for the general population as well as targeting sub-groups who are at high risk for substance abuse. Prevention activities include conducting annual random, unannounced inspections of retail outlets selling tobacco to minors, in compliance with the Synar Amendment and Tobacco Regulation for the SAPT Block Grant. The SAPT Block Grant is also used to ensure the provision of treatment and prevention services for Native Hawaiians pursuant to Block Grant requirements for Hawaii.

Source of Revenues: Substance Abuse and Mental Health Services Administration (SAMHSA)

Current Program Activities/Allowable Expenses: The funding is used primarily for substance abuse prevention and treatment services through purchase of service contracts from the Request for Proposal, monitoring and contract utilization management, and complying with reporting requirement as stipulated in the SAPT Block Grant.

Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable.

Variances: FY 18/19/20 variances are due to appropriation inadvertently not included on the Form FF therefore not assigned to S-18-581-H for FY18 award. E-2 was approved on 8/14/18 and as a result, S-19-211 was assigned.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	8,848,122	0	0	0	0
Beginning Cash Balance	0	0	0	106,344	(0)	(0)	(0)
Revenues	0	0	6,223,271	2,061,945		0	0
Expenditures	0	0	6,116,927	2,168,289		0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	106,344	(0)	(0)	(0)	(0)
Encumbrances			1,376,584	8,377			
Unencumbered Cash Balance	0	0	(1,270,240)	NA	NA	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Health
 Prog ID(s): HTH 420
 Name of Fund: PATH Formula Grant
 Legal Authority: Section 334-7, HRS

Contact Name: Amy Yamaguchi
 Phone: 586-4682
 Fund type (MOF) N
 Appropriation Acct. No. S 212 H

Intended Purpose: The purpose of the grant is to provide additional needed resources for the provision of comprehensive mental health services for individuals with severe and persistent mental illness.

Source of Revenues: PATH Formula Grant

Current Program Activities/Allowable Expenses: Activities include the purchase of homeless outreach services for individuals with severe and persistent mental illness.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: The variances are attributed to delays in the execution of purchase of service contracts.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)
Appropriation Ceiling	300,000	300,000	300,000	300,000	300,000	300,000	300,000
Beginning Cash Balance	0	1	43	0	0	0	0
Revenues	79,189	227,743	265,115	165,013	434,988	300,000	300,000
Expenditures	79,188	227,702	265,157	165,013	434,988	300,000	300,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1	43	0	0	0	0	0
Encumbrances	41,271	51,654	148,053	283,040			
Unencumbered Cash Balance	(41,270)	(51,612)	(148,053)	NA	NA	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: State Office of Rural Health (SORH)
 Legal Authority: PHS Act, Title III, Section 338J

Contact Name: William L. Aakhus
 Phone: 586-9305
 Fund type (MOF) N
 Appropriation Acct. No. S 218 H

Intended Purpose: The grant program coordinates and implements activities to support priority health needs of Hawaii's rural communities.

Source of Revenues: US Department of Health and Human Services, Health Resources and Services Administration, Office of Rural Health Policy.

Current Program Activities/Allowable Expenses: The program allocates its resources towards the implementation of the following goals: (1) collect and disseminate information; (2) coordinate rural health care activities in state in order to avoid duplication; and (3) provide technical assistance to public and non-profit private entities.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: This grant's prior UAC was 523 H until FY 2017 when it became S 218 H.
 Variances do not exceed 10%".

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	200,000	200,000	200,000	200,000	200,000	250,000	250,000
Beginning Cash Balance	4,171	35	46	43	2	2	2
Revenues	185,653	168,995	171,986	171,830	180,000	180,000	180,000
Expenditures	189,789	168,984	171,988	171,871	180,000	180,000	180,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	35	46	43	2	2	2	2
Encumbrances	1,002	246	0	5,000	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: <u>HTH</u>	Contact Name: <u>Amihan Aiona</u>
Prog ID(s): <u>HTH 440</u>	Phone: <u>692-7508</u>
Name of Fund: <u>Substance Abuse Prevention and Treatment (SAPT) Block Grant</u>	Fund type (MOF) <u>N</u>
Legal Authority: <u>Subparts II & III, Part B, Title XIS, Public Health Service Act:</u>	Appropriation Acct. No. <u>S-19-213 H</u>
<u>Department of Health & Human Services, Substance Abuse & Mental Health Services Administration; SAPT Block Grant, Interim Final Rule (Title 45, Code of Federal Regulations, Part 96)</u>	

Intended Purpose:

Enables the State to plan, implement, and evaluate activities to treat and prevent substance abuse and other related authorized activities. The intent is to develop, maintain and improve the State's community-based substance abuse service system for the treatment and prevention of substance abuse. Also, the State must maintain compliance with a broad range of Federal statutory and regulatory provisions that govern expenditure and service requirements. Major SAPT Block Grant treatment requirements include the provision of substance abuse services for intravenous drug users, pregnant women and women with dependent children, and the provision of HIV early intervention services (subject to certain conditions) at substance abuse treatment sites. Not less than 20% of the SAPT Block Grant must be used to develop and implement a comprehensive prevention program of activities and services provided in a variety of settings for the general population as well as targeting sub-groups who are at high risk for substance abuse. Prevention activities include conducting annual random, unannounced inspections of retail outlets selling tobacco to minors, in compliance with the Synar Amendment and Tobacco Regulation for the SAPT Block Grant. The SAPT Block Grant is also used to ensure the provision of treatment and prevention services for Native Hawaiians pursuant to Block Grant requirements for Hawaii.

Source of Revenues: Substance Abuse and Mental Health Services Administration (SAMHSA)

Current Program Activities/Allowable Expenses:

The funding is used primarily for substance abuse prevention and treatment services through purchase of service contracts from the Request for Proposal, monitoring and contract utilization management, and complying with reporting requirement as stipulated in the SAPT Block Grant.

Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable.

Variances: Variance is due to appropriation S-16-581 not extended to allow this award's indirect cost deposit. As a result a new appropriation S-19-213H was established for the indirect cost.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	26,470	0	0	0	0
Expenditures	0	0	26,470	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0				
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 460
 Name of Fund: Block Grants for Community Mental Health Services
 Legal Authority: Subparts I&III, B, Title XIX, PHS Act 45 CFR Part 96

Contact Name: Wakaba Stephens
 Phone: 733-9866
 Fund type (MOF) N
 Appropriation Acct. No. S 214 H

Intended Purpose: To provide needed resources for the provision of comprehensive mental health services for individuals with severe and persistent mental illness.

Source of Revenues: Federally funded grant from SAMHSA.

Current Program Activities/Allowable Expenses: Providing mental health services and promoting programs for public awareness on mental health

Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable.

Variances:

FY 2017-The revenues and expenditures increased due to the grant being assigned back to S214 from S259 in FY 2017.

FY 2018-The revenues and expenditures increased due to the increase in the award amount in FY 2018.

FY 2019-The revenues and expenditures increased due to the increase in the award amount in FY 2019.

FY 2020-The revenues and expenditures increased due to the increase in the award amount in FY 2020.

FY 2021- The expenditures are expected to increase due to the increase in the activities.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	969,261	1,580,536	1,809,630	2,329,630	2,339,630	2,339,630	2,339,630
Beginning Cash Balance	0	9,176	90	(0)	49	49	49
Revenues	803,490	1,399,332	1,547,738	1,659,698	2,339,630	2,339,630	2,339,630
Expenditures	794,314	1,408,418	1,547,828	1,659,649	2,339,630	2,339,630	2,339,630
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	9,176	90	(0)	49	49	49	49
Encumbrances	112,580	342,506	398,606	213,659			
Unencumbered Cash Balance	(103,404)	(342,416)	(398,606)	NA		49	49

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 907
 Name of Fund: Various Federal Grants from the US DHHS/HRSA/PHS
 Legal Authority: Act 164, SLH 2011, as amended by ACT, 106, SLH 2012
Public Health Service Act, various sections, as amended

Contact Name: Betty Wood
 Phone: 586-4530
 Fund type (MOF) P
 Appropriation Acct. No. S 215

Intended Purpose:

One time only for PHHBG Proj #035203 - Admin Indirect Cost

Source of Revenues:

US Department of Health and Human Services and Public Health Service. Federal Award ID: NB01OT009110-01-01. Appropriation S 19 215 035203 for one time only to cover Project #035203 Indirect Cost

Current Program Activities/Allowable Expenses:

10/19/2018 approved by Fed to use FY 16 PHHBG award for Project #035203 Indirect Cost.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			21,882		0	0	0
Beginning Cash Balance			0		0	0	0
Revenues			21,882		0	0	0
Expenditures			21,882		0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0		0	0	0
Ending Cash Balance	0	0	0		0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0		0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH907AK
 Name of Fund: Public Health Emergency Preparedness Cooperative Agree
 Legal Authority: Sec 319C-1 of the Public Health Act, as amended

Contact Name: Judy K. Kern
 Phone: (808) 587-6372
 Fund type (MOF): N
 Appropriation Acct. No.: S-20-220 H

Intended Purpose: To improve the readiness of state and local public health systems to reduce the threats to the community's health and safety and to save lives during emergencies that exceed the day-to-day capacity of the public health response systems. This is a coordinated effort including the healthcare industry, state and county emergency management, and various federal agencies.

Source of Revenues: Federal funds.

Current Program Activities/Allowable Expenses: Funds will be used to support activities including emergency planning for all hazards, training and tabletop exercises, public information and education, epidemiological investigation, laboratory testing, etc.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: Grant funds were allocated to SLD and DOCD in FY2020 and administered separately.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				3,586,168	4,000,000	4,000,000	4,000,000
Beginning Cash Balance					2,639	2,639	2,639
Revenues				2,748,462	4,000,000	4,000,000	4,000,000
Expenditures				2,745,822	4,000,000	4,000,000	4,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	2,639	2,639	2,639	2,639
Encumbrances				237,492			
Unencumbered Cash Balance	0	0	0	(234,852)	NA	2,639	2,639

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Health
 Prog ID(s): HTH 904-AJ
 Name of Fund: Title III Older American's Act
 Legal Authority: Older American's Act PL 114-144

Contact Name: S.Chun
 Phone: 6-7323
 Fund type (MOF) N
 Appropriation Acct. No. S 221 H

Intended Purpose: Provide advocacy, program development, and a coordinated system of opportunity and services for adults 60+

Source of Revenues: Administration on Community Living

Current Program Activities/Allowable Expenses: Advocate resources for older adults and caregivers; plan and implement Older American's Act; develop and coordinate comprehensive in-community-based services.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Increase in grant funding in FY 2018. Increase in grant funding in FY 2020 dus to Cares Act.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	13,533,312	14,351,901	13,683,337	13,683,337	13,683,337	13,683,337	13,683,337
Beginning Cash Balance	82,780	72,962	404,619	173,238	57,357	0	0
Revenues	5,583,040	6,598,391	5,243,523	5,340,394	6,000,000	6,000,000	6,000,000
Expenditures	5,592,858	6,266,734	5,474,904	5,456,275	6,057,357	6,000,000	6,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	72,962	404,619	173,238	57,357	0	0	0
Encumbrances		6,390,924	7,505,111	12,862,735			
Unencumbered Cash Balance	72,962	(5,986,305)	(7,331,873)	NA	NA	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Health
 Prog ID(s): HTH 760
 Name of Fund: The Hawaii NVDRS Project
 Legal Authority: Centers for Disease Control's National Violence Death Reporting Project

Contact Name: Brian Pang
 Phone: (808) 586-4600
 Fund type (MOF) P
 Appropriation Acct. No. S-222-H

Intended Purpose: Improve violent death data collection and reporting in the State of Hawaii

Source of Revenues: Competative federal grant award

Current Program Activities/Allowable Expenses: Activities include working with local law enforcement, the Medical Examiner's office on Oahu and Medical coroners on the neighbors islands to discuss the collection and reporting of violent death (ie: murders and suicides) information. This includes meetings on all islands and data sharing agreements

Purpose of Proposed Ceiling Adjustment (if applicable): None.

Variances: None.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues				39,434	89,434	89,434	89,434
Expenditures				39,434	89,434	89,434	89,434
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances				0	40,000	0	0
Unencumbered Cash Balance	0	0	0	NA	NA	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH710/MK
 Name of Fund: Public Health Emergency Preparedness (PHEP) Cooperative Agreement
 Legal Authority: Sec 319C-1 of the Public Health Act, as amended

Contact Name: Marion Wong
 Phone: 453-6667
 Fund type (MOF) N
 Appropriation Acct. No. S 20 223

Intended Purpose: To improve the readiness of state and local public health systems to reduce the threats to the community's health and safety and to save lives during emergencies that exceed the day-to-day capacity of the public health response systems. This is a coordinated effort including the healthcare industry, state and county emergency management, and various federal agencies. Grant funds have been allocated to SLD from HTH907/AK for the laboratory personnel payroll costs only.

Source of Revenues: Department of Health and Human Services, Centers for Disease Control (CDC) and Prevention, CDC Office of Financial Resources and tabletop exercises, public information and education, epidemiological investigation, laboratory testing, etc. coordinated effort including the healthcare industry, state and county emergency management, and various federal agencies.

Current Program Activities/Allowable Expenses: The fund is used to pay for salaries of laboratory personnel that work in the Laboratory Preparedness and Response Branch of the State Laboratories Division. This Branch provides laboratory testing services to protect public health.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: N/A

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling					1,029,222	1,029,222	1,029,222
Beginning Cash Balance		0	0	0	(0)	(0)	(0)
Revenues				746,052	730,000	750,000	750,000
Expenditures				746,052	730,000	750,000	750,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	(0)	(0)	(0)	(0)
Encumbrances							
Unencumbered Cash Balance	0	0	0	(0)	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Health
 Prog ID(s): HTH 495
 Name of Fund: Hawaii Overdose Data to Action Grant
 Legal Authority: Section 334-7, HRS

Contact Name: Amy Yamaguchi
 Phone: 586-4682
 Fund type (MOF) P
 Appropriation Acct. No. S 224

Intended Purpose: The purpose of this grant is to expand public health surveillance and data collection of higher quality, greater timeliness, and greater comprehensiveness on opioid related mis-use and associated overdose morbidity and mortality, and to use the data to inform prevention strategies.

Source of Revenues: HI ODTA

Current Program Activities/Allowable Expenses: Public health surveillance and data collection activities to inform prevention strategies.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: The grant is scheduled to end on 8/31/2022.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				10,084,321	10,084,321	10,084,321	10,084,321
Beginning Cash Balance		0	0	0	0	0	0
Revenues				110,560	6,276,475	3,798,509	9,338
Expenditures				110,560	6,276,475	3,798,509	9,338
Transfers	List each net transfer in/out/ or projection in/out; list each account number						
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances				1,461,008			
Unencumbered Cash Balance	0	0	0	NA	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 590
 Name of Fund: PPHS Block Grant
 Legal Authority: Preventive Health and Health Services Block Grant

Contact Name: Lola Irvin
 Phone: 586-4481
 Fund type (MOF) P
 Appropriation Acct. No. S 225 H

Intended Purpose: Alleviate or eliminate barriers to accessing healthcare for immigrants. Integrate data between chronic disease programs.

Source of Revenues: Preventive Health and Health Services Block Grant.

Current Program Activities/Allowable Expenses: Assist newly arrived immigrants to access health services in their native languages.
 Integrate data between chronic disease programs.

Purpose of Proposed Ceiling Adjustment (if applicable): None

Variances: Received the same amount of funds for FY19 and FY20. Added more Easy Access Program contracts on FY20.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	165,000	165,000	195,000	195,000	195,000	195,000	195,000
Beginning Cash Balance	63,578	(0)	894	(0)	0	0	0
Revenues	0	91,210	102,878	118,863	190,000	190,000	190,000
Expenditures	63,578	90,316	103,772	118,856	190,000	190,000	190,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	(0)	894	(0)	7	0	0	0
Encumbrances	0	0	25,084	108,122	0		
Unencumbered Cash Balance	(0)	894	(25,084)	NA	NA	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Health
 Prog ID(s): HTH 760
 Name of Fund: Vital Statistics Cooperative Program
 Legal Authority: Act 134-2013

Contact Name: Alvin T. Onaka, Ph.D.
 Phone: (808) 586-4600
 Fund type (MOF) P
 Appropriation Acct. No. S-226-H

Intended Purpose: Support the collection and delivery of vital statistics information

Source of Revenues: Contracts with the US Government for vital statistics information

Current Program Activities/Allowable Expenses: Contracts with the Centers for Disease Control and Prevention (CDC) and Social Security Administration of the Vital Statistics Cooperative Program's.

Purpose of Proposed Ceiling Adjustment (if applicable): Due to Form A request to move Research Statistician V position from General Fund (MOF A) to Special Fund (MOF P)

Variances: Revenues can fluctuate for additional competitive award added to the base contract amount. FY17 to FY18 variance due to personnel costs. FY18 to FY19 & FY20 variance due to personnel costs. FY21 to FY22 variance in expenditures due to personnel cost (transferred position)

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	432,300	432,300	342,300	342,300	342,300	495,300	495,300
Beginning Cash Balance	748,965	1,070,275	1,162,022	1,310,736	1,321,680	1,332,625	1,223,656
Revenues	560,465	429,715	390,986	265,776	265,776	260,000	260,000
Expenditures	239,155	337,968	242,272	254,831	254,831	368,969	368,969
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,070,275	1,162,022	1,310,736	1,321,680	1,332,625	1,223,656	1,114,687
Encumbrances	103,950	473	16,199	0	50,000	0	0
Unencumbered Cash Balance	966,325	1,161,548	1,294,537	1,321,680	1,282,625	1,223,656	1,114,687

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Maternal and Child Health Services Block Grant
 Legal Authority: Title V of the Social Security Act, 45 CFR 96

Contact Name: William L. Aakhus
 Phone: 586-9305
 Fund type (MOF) N
 Appropriation Acct. No. S 229 H

Intended Purpose: To assure women, especially mothers and children including children with special health needs (CSHN) and in particular those with low income or with limited availability of health services, access to quality maternal and child health services. This includes improving birth outcomes for women, improved health outcomes and health status for children of all ages including CSHN and supporting administrative activities that maintain and improve the health care system through needs assessment, surveillance, planning, policy development, systems building, and program support.

Source of Revenues: DHHS, HRSA

Current Program Activities/Allowable Expenses: a) Administration - Supports administrative positions, which provide the infrastructure for the Division, Maternal and Child Health (MCH) and CSHN Branches to carry out its mandate and functions, and allow for integration and planning between sections, Branches, the Department, and the community at-large; b) Epidemiology and Data Support - provide funds to CDC-assigned epidemiologist and research statistician positions at the MCH and CSHN Branches for data collection and analysis used for program planning, evaluation, and policy development; c) Child Health and Family Support Services - provides funds to this section, which is responsible for planning for issues related to child/adolescent health and family strengthening services to assist in the assurance of these services statewide, including program planning and technical support to purchase of service contractors. Needs assessments, planning and development activities are accomplished through surveys, studies, and community networking. Collaborates with providers and community stakeholders to assure a system of services and established standards of care; and d) Perinatal Services-fund use include: 1) Program planning and technical support statewide to POS contractors and programs, which reduce maternal and infant mortality and morbidity; and on Hawaii Island, provide staffing to support high-risk women and children; 2) Provides advocacy and educational efforts to improve and enhance birth outcomes, disseminates perinatal information, and promotes networking among providers, agencies, and individuals associated with perinatal issues; and 3) Maintains a statewide information and referral phone line and website to assist pregnant women to access the perinatal services system (including Medicaid, primary care centers, OB/GYN, prenatal classes) and to encourage early and continuous prenatal care.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: The Appropriation Ceiling variance between FY 2018 and FY 2019 is to reflect actual award levels.
 Variances do not exceed 10%".

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,829,180	2,829,180	2,500,000	2,500,000	2,500,000	2,500,000	2,500,000
Beginning Cash Balance	93,037	1,327	2	5	0	0	0
Revenues	1,793,075	1,733,693	1,915,440	1,931,189	2,143,000	2,000,000	2,000,000
Expenditures	1,884,785	1,735,017	1,915,437	1,931,194	2,143,000	2,000,000	2,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,327	2	5	0	0	0	0
Encumbrances			22,751	0	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 849
 Name of Fund: Various EPA Grants
 Legal Authority: Various

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) N
 Appropriation Acct. No. S 236 H

Intended Purpose: Fund split-funded positions in HTH 849 FA, FB, FC, and FD.

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Personal services and other current expense costs in HTH 849 FA, FB, FC, and FD.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: All federal funds in HTH 849 were part of S 236 H prior to FY 2014. For FY15 and beyond, positions are directly funded from source grants, because new federal funds methodology impedes spending. Ceiling is included since positions are funded.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	575,500	575,500	296,103	183,732	183,732	183,732	183,732
Beginning Cash Balance	25,192	25,192	(0)	(0)	(0)	(0)	(0)
Revenues	0	0	0	0	0	0	0
Expenditures	0	25,192	0	0	0	0	0
Transfers							
List each net transfer in/out; list each account number							
Various JVs	0	0	0	0	0	0	0
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	25,192	(0)	(0)	(0)	(0)	(0)	(0)
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Footnote:

Prior to FY14, S-236 was the parent account for all appropriated federal funds in HTH 849. As of FY14, per a new accounting methodology for federal awards, a unique appropriation has been assigned to each federal award and MOF P has been added as a means of financing. Reference S-513, S-546, S-547, S-548, S-549.

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH907AK
 Name of Fund: Hospital Preparedness Program Cooperative Agree
 Legal Authority: Sec 319C-2 of the Public Health Act, as amended

Contact Name: Judy K. Kern
 Phone: (808) 587-6372
 Fund type (MOF): N
 Appropriation Acct. No.: S-20-246 H

Intended Purpose: Provide technical assistance and resources to support state and local healthcare organizations in attaining measurable and sustainable progress toward achieving public health and healthcare preparedness capabilities that promote prepared and resilient communities.

Source of Revenues: Federal funds.

Current Program Activities/Allowable Expenses: Funds will be used to support activities including emergency planning for all hazards, training and tabletop exercises, and educational programs.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: None.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				1,270,000	1,275,000	1,275,000	1,275,000
Beginning Cash Balance				0	26	26	26
Revenues				1,073,264	1,275,000	1,275,000	1,275,000
Expenditures				1,073,238	1,275,000	1,275,000	1,275,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	26	26	26	26
Encumbrances				192,211			
Unencumbered Cash Balance	0	0	0	NA	26	26	26

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH131
 Name of Fund: Public Health Emergency Preparedness (PHEP) Cooperative Agreement
 Legal Authority: Sec 319C-1 of the Public Health Act, as amended

Contact Name: Jade DeCosta
 Phone: (808) 587-6592
 Fund type (MOF) N
 Appropriation Acct. No. S 250

Intended Purpose: To improve the readiness of state and local public health systems to reduce the threats to the community's health and safety and to save lives during emergencies that exceed the day-to-day capacity of the public health response systems. This is a coordinated effort including the healthcare industry, state and county emergency management, and various federal agencies. Grant funds have been allocated to HTH 131 from HTH907 for disease outbreak control personnel payroll costs only.

Source of Revenues: Department of Health and Human Services, Centers for Disease Control (CDC) and Prevention, CDC Office of Financial Resources and tabletop exercises, public information and education, epidemiological investigation, laboratory testing, etc. coordinated effort including the healthcare industry, state and county emergency management, and various federal agencies.

Current Program Activities/Allowable Expenses: The fund is used to pay for salaries of personnel that work in the Disease Outbreak Control Division.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: N/A

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				1,984,225	396,845	396,845	396,845
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues				379,464	396,845	396,845	396,845
Expenditures				379,464	396,845	396,845	396,845
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances				0			
Unencumbered Cash Balance	0	0	0	NA	NA	NA	NA

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 610 FR
 Name of Fund: Air Pollution Control Program Support
 Legal Authority: PL 95-95; Clean Air Act Sections 103 & 105

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) N
 Appropriation Acct. No. S 255 H

Intended Purpose: Establish indoor air quality program; provide information and educational material to managers, owners, and occupants of publicly-owned buildings and assist managers and owner-occupants of publicly-owned buildings to identify, assess and correct indoor air pollution problems.

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Plan and develop comprehensive indoor air quality program; public outreach and networking.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Sub-award. New federal funds methodology impedes spending, so expenditures are coded directly to the prime grant award in HTH 840/FF, S-559-H.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	158,000	163,149	176,575	158,000	158,000	158,000	158,000
Beginning Cash Balance	39,607	31,479	0	0	0	0	0
Revenues	238,219	46,034	0	0	0	0	0
Expenditures	246,346	77,513	0	0	0	0	0
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	31,480	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Footnote: Prior to FY14, S-255 was the parent account for all appropriated federal funds in HTH 610. As of FY14, per a new accounting methodology for federal awards, a unique appropriation has been assigned to each federal award and MOF P has been added as a means of financing. Reference S-507 and S-536.

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Health
Prog ID(s): HTH 720
Name of Fund: Upgrading Hawaii's Criminal Background Check System
Legal Authority: Act 071, SLH 2015

Contact Name: Utey Uch
Phone: 692-7229
Fund type (MOF): P
Appropriation Acct. No.: S 284 H

Intended Purpose:

To upgrade Hawaii's Criminal Background Check system and subsequently enhance the state's ability to meet its obligations under the Social Security Act, Section 1864, and U.S. Public Law 100-578 between the State of Hawaii, Department of Health (DOH) and the Centers for Medicare and Medicaid Services (CMS) by completing background checks for all parties wishing to provide services.

Source of Revenues:

The source of revenues are from Federal grant award 1A1CMS331099-01-05. The grant is for the period from December 17, 2012 to December 16, 2018.

Current Program Activities/Allowable Expenses:

Develop a "rap back" program within the Hawaii Criminal Justice Data Center (HCJDC). Rap back is the term used for a system designed to determine whether an individual who had previously obtained a clearance on a criminal history background check but subsequently was arrested or convicted would continue to qualify for employment in certain health care work positions.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Grant ends on 12/16/2018; DOH/OHCA is a pass-through. DOH/OHCA provides reimbursements to HCJDC.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)
Appropriation Ceiling	505,085	688,511	551,959		0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	148,623	136,552	197,201	0	0	0	0
Expenditures	148,623	136,552	197,201	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Program for Infants and Toddlers with Handicaps
 Legal Authority: P.L. 108-446, Individuals with Disabilities Act, Part C

Contact Name: Michelle Matsuoka
 Phone: 733-9062
 Fund type (MOF) N
 Appropriation Acct. No. S 292 H

Intended Purpose: To provide a statewide, comprehensive, multidisciplinary system of early intervention services for infants and toddlers with special needs (age 0 to 3) with the State Department of Health as the lead agency in Hawaii.

Source of Revenues: U.S. Department of Education, Office of Special Education Programs

Current Program Activities: These funds are currently supporting multiple activities within that system of services, including administrative personnel, psychological, nutritional, and other professional support; and other required federal activities.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: This 15-Mo. grant runs from July 1st - Sep. 30th. The variance in revenues and expenditures between FY 2019-20 and FY20-21 are primarily due to FY20 reconciliations still in progress. The expenditures are in process of posting through September 30, 2020, which will be included as FY21 revenues and expenditures due to timing of postings.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,771,557	2,771,557	2,600,000	2,600,000	2,600,000	2,600,000	2,600,000
Beginning Cash Balance	5	22	2,087	0	3	19,345	0
Revenues	2,453,078	1,996,095	2,229,888	2,207,071	3,737,086	2,333,044	2,333,044
Expenditures	2,453,061	1,994,030	2,231,975	2,207,067	3,717,744	2,333,044	2,333,044
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	22	2,087	0	3	19,345	19,345	0
Encumbrances	5,133	7,880	12,870	63,812	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: BREASTFEEDING PEER COUNSELING (WIC BFPC)
 Legal Authority: Child Nutrition Act of 1966, as amended, Section 17, 42 U.S.C. 1786.
Healthy, Hunger-Free Kids Act of 2010, Public Law 111-296, 7 U.S.C 1746.

Contact Name: PAUL UCHIMA
 Phone: 586-8190
 Fund type (MOF) N
 Appropriation Acct. No. S 293 H and S 205 H for FY 21

Intended Purpose: The fund contains HTH 560 GI federal grant funds. The purpose is to provide breastfeeding peer counseling to WIC women.

Source of Revenues: USDA/Food and Nutrition Services/Western Region Office

Current Program Activities/Allowable Expenses: Breastfeeding peer counseling services to WIC women.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: The variance in revenues and expenditures from 2018-2019 is due to actual revenue (cash) draws during the year and actual expenditures incurred for payroll and contracted providers. FY 2020 using S 205 H. Will return to S 293 H from FY 2021.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	382,144	382,144	230,000	230,000	230,000	230,000	230,000
Beginning Cash Balance	1,129	2,653	5,604	3,459	28,175	28,175	28,175
Revenues	288,779	248,804	311,082	325,227	319,043	319,043	319,043
Expenditures	287,255	245,852	313,227	300,511	319,043	319,043	319,043
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	2,653	5,604	3,459	28,175	28,175	28,175	28,175
Encumbrances	38,343	85,459	137,572	149,480	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: <u>HEALTH, Family Health Service Division</u> Prog ID(s): <u>HTH 560</u> Name of Fund: <u>Community Based Child Abuse Prevention (CBCAP) Grant (project: 000270)</u> Legal Authority: <u>Title II of the Child Abuse Prevention and Treatment Act</u> <u>(72 U.S.C. 5116 et seq.), amended by P.L. 108-36</u>	Contact Name: <u>William L. Aakhus</u> Phone: <u>586-9305</u> Fund type (MOF) <u>N</u> Appropriation Acct. No. <u>S 294 H</u>
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Intended Purpose: To support community-based efforts to develop, operate, expand, enhance, and coordinate initiatives, programs, and activities to prevent child abuse and neglect and to support the coordination of resources and activities to better strengthen and support families to reduce the likelihood of child

Source of Revenues: DHHS, Administration on Children, Youth and Families

Current Program Activities:

- A. Support planning, coordination, collaboration and implementation of child abuse and neglect (CAN) prevention and family strengthening events on all the islands.
- B. Determine the readiness of two communities to collaborate on the design and establishing of the Community Cafe model in their community.
- C. Support the planning, coordination, and implementation of statewide trainings (Adverse Childhood Experiences, Resilience, Toxic Stress), conferences and related program activities.
- D. Support the collection and analysis of data specific to and related to child abuse and neglect to serve as a foundation for the development of a statewide CAN prevention plan including children with special needs.
- E. Collaborate and partner with existing networks to build the capacity of parent's to advocate for their children and their community.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: Variances do not exceed 10%

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	801,520	801,520	500,000	500,000	500,000	500,000	500,000
Beginning Cash Balance	5,372	82	61	0	17	17	17
Revenues	250,564	190,701	446,655	515,313	495,000	495,000	495,000
Expenditures	255,854	190,722	446,717	515,296	495,000	495,000	495,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	82	61	0	17	17	17	17
Encumbrances	85,602	72,903	157,261	46,552	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH, Family Health Service Division
 Prog ID(s): HTH 560
 Name of Fund: Family Planning Services Grant (project: 000239)
 Legal Authority: Title X of the Public Health Service (PHS) Act, as amended; 42 CFR 59

Contact Name: William L. Aakhus
 Phone: 586-9305
 Fund type (MOF) N
 Appropriation Acct. No. S 295 H

Intended Purpose: To assure statewide family planning and related preventive health services, education, counseling and referrals in accordance to Title X Program requirements for all people of reproductive age (primarily low-income and hard-to-reach individuals that are most under-served).

Source of Revenues: none

Current Program Activities: NA

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: As of 3/31/2020 grant ended.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,459,927	2,459,927	2,459,927	0	0	0	0
Beginning Cash Balance	17,999	97	43	0	0	0	0
Revenues	2,312,874	1,685,465	1,327,497	30,380	0	0	0
Expenditures	2,330,776	1,685,518	1,327,540	30,380	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	97	43	0	0	0	0	0
Encumbrances	243,813	103,838	0	0	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Preventive Hlth and Hlth Svcs Block Grant–Sexual Assault Prev Prog
 Legal Authority: Part A, Title XIX, PHS Act, as amended

Contact Name: William L. Aakhus
 Phone: 586-9305
 Fund type (MOF) N
 Appropriation Acct. No. S 297 H

Intended Purpose: To provide support and coordination of statewide sexual violence prevention education services and to provide technical assistance to increase public awareness of sexual violence on college campuses.

Source of Revenues: DHHS, CDC

Current Program Activities:

- A. Manage purchase of service contracts for sexual assault primary prevention services for the general public and/or for selected populations such as youth and young adults to increase awareness of sexual violence and to reduce attitudes that tolerate sexual violence.
- B. To collaborate and plan with the University of Hawaii systems and their sexual violence prevention task forces to implement effective primary prevention strategies.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: This grant is now under appropriation S 589.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	52,336				0	0	0
Beginning Cash Balance	787	0	0	0	0	0	0
Revenues	16,633				0	0	0
Expenditures	17,420				0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 840 FG
 Name of Fund: Water Pollution Control - Surface Water
 Legal Authority: PL 92-500, federal Water Pollution Control Act

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) N
 Appropriation Acct. No. S 298 H

Intended Purpose: Comply with federal mandates of Environmental Protection Agency Clean Water Act that regulates protection of the environment and public health.

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Administration, program development, technical assistance, permitting, enforcement, disease surveillance and investigation, laboratory capabilities and certification, public participation, data management, training for delegated programs, and regulation of permitted water pollution sources.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Grant award has a 5-year budget/project period. Ceiling included each year for budgetary purposes, because positions are funded by this grant. Starting in FY 21, award covers both surface water and ground water. WPC-Groundwater grant was previously S-557-H.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	4,204,000	5,549,888	2,014,950	7,764,143	1,650,000	1,000,000	1,000,000
Beginning Cash Balance	47,388	84,524	20,047	184	43,398	43,398	43,398
Revenues	1,474,271	1,363,260	1,688,400	1,548,905	2,080,418	2,080,418	2,080,418
Expenditures	1,437,135	1,427,737	1,708,263	1,505,690	2,080,418	2,080,418	2,080,418
Transfers							
List each net transfer in/out; list each account number							
	0	0	0	0	0	0	0
Net Total Transfers							
Ending Cash Balance	84,524	20,047	184	43,398	43,398	43,398	43,398
Encumbrances	76,914	115,105	280,098	108,277	108,277	108,277	108,277
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 840 FG
 Name of Fund: Water Pollution Control - Monitoring Initiative
 Legal Authority: PL 92-500, federal Water Pollution Control Act

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) N
 Appropriation Acct. No. S 299 H

Intended Purpose: Prevent, reduce, and eliminate water pollution.

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Surface water monitoring, providing public access to monitoring data, and participating in training pertinent to surface water monitoring.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Award was anticipated to again be for a 5-year period. However, this changed to a 1-year award, with a new award received in FY 21. Expenditures vary according to grant workplan. Position will be funded by this grant starting in FY 20, so ceiling will be needed in each year of award period.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	539,000	0	0	794,606	254,589	267,319	280,685
Beginning Cash Balance	417	35,758	21	1,886	12	12	12
Revenues	151,121	89,925	54,145	385,320	250,000	250,000	250,000
Expenditures	115,780	125,662	52,281	387,193	250,000	250,000	250,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	35,758	21	1,886	12	12	12	12
Encumbrances	216,864	86,000	314,211	122,343	122,343	122,343	122,343
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Health
 Prog ID(s): HTH 730
 Name of Fund: Emergency Medical Services Special Fund
 Legal Authority: Section 321-234, 249-31 (b), 245-15, 245-3, HRS.

Contact Name: Terry Joyce
 Phone: 733-9217
 Fund type (MOF) B
 Appropriation Acct. No. S 301 H

Intended Purpose: Support for operating a state comprehensive emergency medical services system.

Source of Revenues: Fees from annual motor vehicle registration and cigarette tax imposed pursuant to Section 245-15 and 245-3, HRS.

Current Program Activities/Allowable Expenses: Emergency medical services; education and training of emergency medical personnel statewide.

Purpose of Proposed Ceiling Increase (if applicable): Not Applicable.

Variances: See Note 3 and Note 4 below.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	14,796,503	14,796,503	14,796,503	14,796,503	14,796,503	14,796,503	14,796,503
Beginning Cash Balance	26,085,245	29,231,949	29,183,041	26,754,312	30,427,526	16,834,658	16,450,952
Revenues	14,721,055	14,648,506	14,176,385	14,412,797	14,412,797	14,412,797	14,412,797
Expenditures	11,574,350	14,697,415	16,605,113	10,739,583	28,005,665	14,796,503	14,796,503
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	29,231,949	29,183,041	26,754,312	30,427,526	16,834,658	16,450,952	16,067,246
Encumbrances	12,735,149	12,324,178	10,050,363	13,209,162	0	0	0
Unencumbered Cash Balance	16,496,801	16,858,862	16,703,950	17,218,364	16,834,658	16,450,952	16,067,246

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Note 1: Data Source Reference for Actual FY 2020 Beginning Balance, Receipts, and Expenditures: MBP477-A OPTION:2, CY20, CM06, as of 06/30/20, State of Hawaii Financial Accounting & Management Information System.

Note 2: Data Source Reference for Actual FY 2020 Encumbrances (Unliquidated Balance): MBP490-A, CY20, CM06, as of 06/30/20, State of Hawaii Financial Accounting & Management Information System.

Note 3: The estimated revenues for FYs 2021-23 include projected revenue from vehicle registration fees, cigarette sales, and investment pool account and are based on revenues recorded in FAMIS as of: 07/20/2020. Pursuant to ACT 238, SLH 2015, effective July 1, 2015 the rate for tax collection for cigarette sales continues at \$0.0125 and is scheduled to remain in effect for the continuing years, but not more than \$8,800,000 in a fiscal year shall be deposited to the credit of the emergency medical services special fund.

Note 4: The expenditures for FYs 2017-20 include liquidations of prior fiscal years and the current fiscal year encumbrances within the fiscal year reported. The estimated expenditures for FYs 2021-23 are equal to fully expending estimated revenue available within the appropriation ceiling and expenditures for encumbrances remaining from the prior year.

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Newborn Metabolic Screening Special Fund
 Legal Authority: Section 321-291, HRS

Contact Name: Michelle C Matsuoka
 Phone: 733-9062
 Fund type (MOF) B
 Appropriation Acct. No. S 302 H

Intended Purpose: This fund is used for payment of its lawful operating expenditures, including, but not limited to laboratory testing, follow-up testing, educational materials, continuing education, quality assurance, equipment, and indirect cost.

Source of Revenues: Per the Hawaii Administrative Rules, the amount collected for each metabolic screening (testing) kit sold in Hawaii (current charge per kit is \$99 eff. 5/27/2017) is deposited to this special fund. Prior to 5/27/2017 the price per kit was \$55.

Current Program Activities/Allowable Expenses: The Newborn Metabolic Screening Program (NBMSPP) has statewide responsibilities for assuring that infants born in the State of Hawaii are satisfactorily tested for over 25 primary disorders which can cause intellectual disability and developmental and growth delay and even death, if not detected and treated early in the newborn period. NBMSPP tracks and follows-up to assure that infants with detected diseases are provided with appropriate and timely treatment. □

Purpose of Proposed Ceiling Increase (if applicable): In the FY 2017 Supplemental Budget a ceiling increase of \$250,000 was approved.

Variances: Expenditures increased by about 44% from FY 2018-19 primarily due to filling all vacant positions and increased screening costs through the Oregon health Authority contract. Revenues decreased between FY 2018-19 because the program collected smaller fees in FY 2019 than FY 2018. FY 2020 the contract with the Oregon Health Authority ended and a new contract with the State of Washington began. Expenditures increased due to the 2.0 FTE added to this fund; revenues decreased due to the COVID-19 pandemic. FY22, request of new Temporary OA III. FY23, request for increased fee of \$25/screening to add Pompe and MPS1 screening which is an estimated \$17 fee to run the test.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,234,569	1,484,569	1,484,569	1,484,569	1,484,569	1,484,569	1,484,569
Beginning Cash Balance	601,079	928,014	1,790,109	2,115,924	1,647,842	1,467,445	1,687,569
Revenues	1,030,871	1,809,324	1,691,741	1,567,105	1,567,105	2,108,000	2,108,000
Expenditures	703,936	947,229	1,365,926	2,035,188	1,747,502	1,887,876	2,213,266
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	928,014	1,790,109	2,115,924	1,647,842	1,467,445	1,687,569	1,582,303
Encumbrances	3,498	311,309	494,616	98,118	0	0	0
Unencumbered Cash Balance	924,517	1,478,800	1,621,308	1,549,723	1,467,445	1,687,569	1,582,303

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Hawaii Birth Defects Special Fund
 Legal Authority: Section 321-426, HRS

Contact Name: Michelle C Matsuoka
 Phone: 733-9062
 Fund type (MOF) B
 Appropriation Acct. No. S 304 H

Intended Purpose: This fund is used for payment of its lawful operating expenditures, including indirect costs.

Source of Revenues: \$10 of each marriage license fee collected by the Hawaii State Department of Health is deposited to this special fund.

Current Program Activities/Allowable Expenses: This fund enables the State to have a continuous, reliable and timely statewide information and monitoring source for ascertaining the number of births with specific disabilities and abnormalities due to birth defects. The program monitors trends and changes over time to identify possible risk factors for births defects and help develop activities to prevent the birth defects.

Purpose of Proposed Ceiling Increase (if applicable): Not Applicable.

Variances: The variance in expenditures from FY 2018-19 and beyond is due to reducing payroll from 5.0 FTE to 3.75 FTE. Program made necessary changes to reduce expenditures and will continue to reduce payroll through FY23 to 2.0 FTE.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	413,853	413,853	413,853	413,853	413,853	413,853	413,853
Beginning Cash Balance	668,801	485,900	234,950	92,878	7,311	(7,718)	(21,637)
Revenues	215,019	226,103	241,721	178,996	131,711	183,000	219,600
Expenditures	397,920	477,052	383,794	264,563	146,740	196,919	137,605
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	485,900	234,950	92,878	7,311	(7,718)	(21,637)	60,358
Encumbrances			0	301			
Unencumbered Cash Balance	485,900	234,950	92,878	7,010	(7,718)	(21,637)	60,358

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Community Health Centers Special Fund
 Legal Authority: Section 321-1.65, HRS

Contact Name: Leighton Tamura
 Phone: 733-8365
 Fund type (MOF) B
 Appropriation Acct. No. S 305 H

Intended Purpose: This fund is used for payment of its lawful operating expenditures, including indirect costs.

Source of Revenues: Cigarette tax assessed and collected pursuant to Section 245-3(a), HRS.

Current Program Activities/Allowable Expenses: (1) Purchase of service (POS) contracts to 14 FQHCs to provide medical (perinatal, pediatric, adult primary care) & support services (svcs) to un- and under-insured individuals that are at or below 250% of the federal poverty level. Optional svcs include behavioral health care, dental treatment, & pharmaceutical svcs. (2) POS contract to Hana Health (an FQHC) for the provision of urgent care (24/7), & for comprehensive primary care svcs. (3) POS contract to Waianae Coast Comprehensive Health Ctr (an FQHC) for the provision of 24-hr emergency room svcs.

Purpose of Proposed Ceiling Increase (if applicable): Not Applicable.

Variances: The projected increase in expenditures between FY 2020-21 and beyond is due to an expansion of services to the uninsured besides basic medical care and an increase in the rates paid to providers effective 7/1/19 (FY 2019-20 was lower due to few clients seeking primary care services due to COVID 19).

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	12,341,526	12,341,526	12,341,526	12,341,526	12,405,763	12,405,763	12,405,763
Beginning Cash Balance	15,098,841	19,431,853	22,223,396	25,116,093	28,715,600	26,924,074	25,132,548
Revenues	8,800,000	8,700,092	8,121,938	8,419,885	8,800,000	8,800,000	8,800,000
Expenditures	4,466,988	5,880,067	5,229,242	4,820,377	10,591,526	10,591,526	10,591,526
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	19,431,853	22,223,396	25,116,093	28,715,600	26,924,074	25,132,548	23,341,022
Encumbrances	3,707,219	2,917,358	3,106,276	3,716,674	0	0	0
Unencumbered Cash Balance	15,724,633	19,306,038	22,009,817	24,998,926	26,924,074	25,132,548	23,341,022

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 460
 Name of Fund: TITLE XIX Med QUEST Carveout/General Outpatient
 Legal Authority: Section 334-115, HRS

Contact Name: Wakaba Stephens
 Phone: 733-9866
 Fund type (MOF) B
 Appropriation Acct. No. S 306 H

Intended Purpose: To deposit revenues collected from treatment services rendered by mental health and substance abuse programs operated by the state.

Source of Revenues: Investment pool interest, reimbursement through DHS for administrative cost claims, reimbursement for Medicaid eligible current services, and refund/reimbursement of prior period expenditures.

Current Program Activities/Allowable Expenses: Program activities include assuring a comprehensive array of mental health services for children and adolescents as well as the funding of salaries for Quality Assurance Specialists at the CAMHD Family Guidance Centers and various other positions.

Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable.

Variances:

FY 2017-The revenues decreased due to the fact that the reimbursement of mainland placement youth being caught up.

FY 2018-The revenues decreased due to the delay in reimbursement from MedQuest.

FY 2019-The revenues increased due to the late reimbursement from MedQuest for FY 2018. The expenditures decreased due to the higher usage of general fund instead of this fund.

FY2020-The revenues decreased due to the delay in reimbursement from MedQuest.

FY2021-The revenues are expected to increase due to the late reimbursement from MedQuest for FY2020. The expenditures are expected to increase due to the restriction on the general fund resulting this fund to cover the service cost.

FY2022-The revenues are expected to decrease due to the reimbursemetn from MedQuest being caught up in FY2021.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	15,070,731	15,093,233	15,093,233	15,133,262	15,133,262	15,133,262	15,133,262
Beginning Cash Balance	5,138,052	6,638,361	4,250,684	9,036,510	6,400,442	8,650,442	8,900,442
Revenues	8,946,909	5,609,651	10,541,529	6,094,245	15,250,000	12,250,000	12,000,000
Expenditures	7,446,600	7,997,328	5,755,703	8,730,313	13,000,000	12,000,000	12,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	6,638,361	4,250,684	9,036,510	6,400,442	8,650,442	8,900,442	8,900,442
Encumbrances	3,261,194	2,396,025	7,805,793	4,171,291			
Unencumbered Cash Balance	3,377,167	1,854,659	1,230,717	2,229,151	8,650,442	8,900,442	8,900,442

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 440
 Name of Fund: Drug Demand Reduction Assessments Special Fund (DDRA)
 Legal Authority: Section 706-650(3), HRS

Contact Name: Amihan Aiona
 Phone: 692-7508
 Fund type (MOF) B
 Appropriation Acct. No. S 307 H

Intended Purpose:

Deposits to the Drug Demand Reduction Assessments (DDRA) Special Fund are intended "to supplement drug treatment and other drug demand reduction programs." The DDRA Special Fund allows additional resources to be committed to support needed alcohol and substance abuse treatment services to individuals at risk of further involvement in the criminal justice and correctional system. Also, as the State's "Driving Under the Influence (DUI)" rate continues to increase, it is vital that DDRA funds be used to develop and implement a range of strategies that directly addresses this important community issue.

Source of Revenues: Impositions of monetary assessments in cases involving persons convicted of an offenses related to drugs and intoxicating compounds under Part IV of Chapter 712, HRS.

Current Program Activities/Allowable Expenses:

Current services funded by the DDRA Special Fund provide adult substance abuse treatment services to offenders referred by the Intake Service Center on the island of Oahu (i.e., Oahu Community Correctional Center); evaluation services and support for the Strategic Prevention Framework; and diversion of high-risk youth engaged in minor delinquent behavior identified by the Judiciary' Family Court.

Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable.

Variances: Increase in Appropriation Ceiling from \$500,000 to \$750,000 per Act 119, SLH 2015. The variances between FY 2016, 2017, and 2018 in expenditures are due to late invoice submission in purchase of service contract during FY 2016. Due to the decrease in revenue for FY2017 through FY2020 resulted in decresed expenditures.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	750,000	750,000	750,000	750,000	500,000	500,000	500,000
Beginning Cash Balance	1,513,166	1,209,387	1,021,411	819,724	606,769	606,769	606,769
Revenues	526,705	494,794	463,259	369,086	500,000	500,000	500,000
Expenditures	830,484	682,770	664,946	582,041	500,000	500,000	500,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,209,387	1,021,411	819,724	606,769	606,769	606,769	606,769
Encumbrances	160,344	222,655	103,577	258,998	500,000	500,000	500,000
Unencumbered Cash Balance	1,049,043	798,756	716,146	347,770	106,769	106,769	106,769

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 906
 Name of Fund: State Health Planning and Development Fund
 Legal Authority: Section 323D - 12.6 HRS

Contact Name: Gayle Ogawa
 Phone: 587-0787
 Fund type (MOF) B
 Appropriation Acct. No. S 309 H

Intended Purpose: Support expenses associated with Agency duties as mandated by Chapter 323D HRS.

Source of Revenues: Certificate of Need application fees.

Current Program Activities/Allowable Expenses: Expenses associated with the administration of the State Certificate of Need (CON) program as mandated in chapter 323D Hrs. Expenses associated with maintaining and revising the State's Health Plan as mandated in chapter 323D HRS. Expenses associated with ACT 219 SLH 2007 that requires all public reviews related to CON application for Maui be heard on Maui instead of Honolulu.

Purpose of Proposed Ceiling Adjustment (if applicable): To support funding for the SHPDA Administrator's position who administers the State CON program and implements the State's Health Plan.

Variances: The variances in Revenues and Expenditures from FY 2016 to FY 2020 are due to key punch error by DAGS, funds belonging to MedQuest and OSHM was deposited in the SHPDA special funds by mistake. The error has been corrected in FY 2018 and FY 2019, and fully resolved in FY 2020.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	114,000	114,000	114,000	114,000	114,000	130,000	130,000
Beginning Cash Balance	582,104	694,914	585,715	530,828	645,422	670,422	590,422
Revenues	145,017	2,569	36,249	136,381	50,000	50,000	50,000
Expenditures	32,207	111,768	91,135	21,787	25,000	130,000	130,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	694,914	585,715	530,828	645,422	670,422	590,422	510,422
Encumbrances				1,692			
Unencumbered Cash Balance	694,914	585,715	530,828	643,730	670,422	590,422	510,422

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Health
Prog ID(s): HTH 720
Name of Fund: Office of Health Care Assurance Special Fund
Legal Authority Section 321-1.4, HRS

Contact Name: Utey Uch
Phone: (808) 692-7229
Fund type (MOF) B
Appropriation Acct. No. S-**-310-H

Intended Purpose:

The Hospital and Medical Facilities special fund was enacted in the 1999 Legislature and amended in the 2002 Legislature to allow for deposit of all monies collected by the department in licensing fees and penalties to be expended to assist in offsetting educational program expenses to enhance the capacity of the program to improve public outreach efforts and consultations to industries, educate the public, department staff and providers by the Office of Health Care Assurance (OHCA).

Source of Revenues:

Licensing fees and penalties. The amount of penalties are generally small. Licensing fees will be created through the administrative rules process, which may take several months to implement and barring legislative intervention resulting from concerns raised by healthcare facility operators. Anticipate licensing fees implementation by FYE 2012.

Current Program Activities/Allowable Expenses:

OHCA has the responsibility of managing the State licensure and Federal certification of medical and health care facilities, agencies and services provided throughout the State in order to ensure acceptable standards of care are provided.

Purpose of Proposed Ceiling Adjustment (if applicable):

To have ceiling at \$327,000 that is compliance with Section 321-1.4

Variances:

Revenue was increased in FY 2019 because the implementation of Health Care Facility Management Information System (HCFMIS) project in 3rd Quarter of FY 2019 that allow OHCA to issues invoices for application and license fees. The revenue was significantly increased in FY 2020 due to full year invoicings. OHCA is revising HAR §11-103 to increase licensing fees that will be effective in FY2022. This fee increase should provide additional revenue and increase the revenue to \$628,500 in FY 2022 and \$803,500 in FY 2023.

Expenditures were increased in fiscal year 2019 because of a contract costs at \$40,000. The expenditures were increased in fiscal year 2020 because of a contract costs at \$112,000. The expenditures are expected to increase higher in fiscal year 2021 because additional contracts at \$42,000 for administrative support services and the increase in other current expenditures to offset an anticipated general shortage. Expenditures are expected to increase higher in FY 2022 to cover the contract costs and the possibility of general fund reductions.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	406,000	406,000	500,000	361,000	361,000	800,000	800,000
Beginning Cash Balance	20,980	44,544	76,617	125,735	546,091	748,091	577,443
Revenues	24,758	34,751	95,693	515,713	523,500	628,500	803,500
Expenditures	1,184	2,678	46,575	95,357	321,500	799,148	799,148
List each net transfer in/out/ or projection in/out; list each account number							
Transfer-in			0	0	0	0	0
Transfer-out	(10)		0	0	0	0	0
Net Total Transfers	(10)	0	0	0	0	0	0
Ending Cash Balance	44,544	76,617	125,735	546,091	748,091	577,443	581,795
Encumbrances	0	8,766	50,867	114,359			
Unencumbered Cash Balance	44,544	67,851	74,868	431,731	748,091	577,443	581,795

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Health
 Prog ID(s): HTH 730
 Name of Fund: Trauma System Special Fund
 Legal Authority: Section 321-22.5, 245-15, 245-3, 291-, HRS

Contact Name: Terry Joyce
 Phone: 733-9217
 Fund type (MOF) B
 Appropriation Acct. No. S 311 H

Intended Purpose: Support for the continuing development and operation of a comprehensive state trauma system.

Source of Revenues: Tax pursuant to Section 245-15 and 245-3, HRS. Fines/surcharges pursuant to Section 291-, HRS (ACT 231, SLH 2007).

Current Program Activities/Allowable Expenses: Personnel cost, under/uncompensated trauma care costs incurred by hospitals.

Purpose of Proposed Ceiling Increase (if applicable): Not Applicable.

Variances: See Note 3, Note 4, and Note 5 below.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	7,433,731	7,433,731	7,433,731	7,479,422	7,479,422	7,479,422	7,479,422
Beginning Cash Balance	15,223,501	11,309,674	13,126,272	14,242,376	15,890,115	7,531,058	7,479,422
Revenues	7,603,277	8,784,442	7,172,110	7,611,371	7,610,480	7,610,480	7,610,480
Expenditures	6,070,517	6,269,973	3,452,645	5,223,391	14,334,725	7,479,422	7,479,422
Transfers							
JM2469 dated 11/06/19	(5,446,587)	(697,871)	(2,603,361)	(740,241)			
					(1,634,812)	(182,694)	(131,058)
FYs 2017-23, per Act 238, SLH 2015. See Notes 3 and 5 below.							
Net Total Transfers	(5,446,587)	(697,871)	(2,603,361)	(740,241)	(1,634,812)	(182,694)	(131,058)
Ending Cash Balance	11,309,674	13,126,272	14,242,376	15,890,115	7,531,058	7,479,422	7,479,422
Encumbrances	3,211,804	3,122,911	6,102,135	6,855,303	0	0	0
Unencumbered Cash Balance	8,097,871	10,003,361	8,140,241	9,034,812	7,531,058	7,479,422	7,479,422

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Note 1: Data Source Reference for Actual FY 2020 Beginning Balance, Receipts, and Expenditures: MBP477-A OPTION:2, CY20, CM06, as of 06/30/20, State of Hawaii Financial Accounting & Management Information System.

Note 2: Data Source Reference for Actual FY 2020 Encumbrances (Unliquidated Balance): MBP490-A, CY20, CM06, as of 06/30/20, State of Hawaii Financial Accounting & Management Information System.

Note 3: The estimated revenues for FYs 2021-23 include projected revenue from cigarette sales, traffic fines, and investment pool account and are based on revenues recorded in FAMIS as of: 07/20/2020. The revenue for FY 2018 includes \$1,232,009.07 for refund/reimbursement of prior period expenditures. Pursuant to ACT 238, SLH 2015, the rate for tax collection for cigarette sales decreased from \$0.0150 to \$0.01125 effective July 1, 2015 and is scheduled to remain in effect for the continuing years, but not more than \$7,400,000 in a fiscal year shall be deposited to the credit of the trauma system special fund. The unexpended and unencumbered moneys in the fund in excess of \$7,400,000 on June 30 of each fiscal year shall be transferred by the director of finance into and become a realization of the general fund on that date.

Note 4: The expenditures for FYs 2017-20 include liquidations of prior fiscal years and the current fiscal year encumbrances within the fiscal year reported. The estimated expenditures for FYs 2021-23 are equal to fully expending estimated revenue available within the appropriation ceiling and expenditures for encumbrances remaining from the prior year.

Note 5: The actual and estimated transfer of funds are pursuant to ACT 238, SLH 2015, that states "The unexpended and unencumbered moneys in the fund in excess of \$7,400,000 on June 30 of each fiscal year shall be transferred by the director of finance into and become a realization of the general fund on that date." For FY16, \$5,446,586.59 was transferred in FY 17 from S-16-311-H-467 to G-00-000-H-467 (ref: JV #JM3061). For FY 17, \$697,870.67 was transferred in FY 18 from S-17-311-H-467 to G-00-000-H-467 (ref: JV #JM2289). For FY 18, \$2,603,361.05 was transferred in FY 19 from S-18-311-H-467 to G-00-000-H-467 (ref: JV #JM0509). For FY 19, \$740,240.74 was transferred in FY 20 from S-19-311-H-467 to G-00-000-H-467 (ref: JV #JM2469). For FY 20, \$1,634,811.76 will be transferred in FY 21 from S-20-311-H-467 to G-00-000-H-467 (ref: JV submitted).

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 840 FJ
 Name of Fund: Deposit Beverage Container Special Fund
 Legal Authority: Section 342G-104 HRS

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) B
 Appropriation Acct. No. S 313 H

Intended Purpose: Increase participation and recycling rates for specified deposit beverage containers; provide a connection between manufacturing decisions and recycling program management; reduce litter; reimburse consumers 5 cents on redeemed glass, plastic and aluminum containers.

Source of Revenues: Beverage container deposit fees and handling fees

Current Program Activities/Allowable Expenses: Administration, rules development and amendment, program accounting and reporting, and personnel.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Revenue varies based on amount of container deposits collected from customers and sent to DOH from businesses selling items in containers covered by the HI-5 program. Decrease in expenditures is due to lower container redemption rate by consumers (because of the relatively strong State economy and low unemployment), which results in lower expenditures to redemption centers for deposit reimbursements and handling fees. Lower expenditures also due to numerous position vacancies. The Program anticipates an increase in expenditures as residents participate in the redemption program to supplement income due to the impacts of the COVID-19 pandemic.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	71,147,889	71,174,271	71,174,271	71,207,053	71,207,053	71,207,053	71,207,053
Beginning Cash Balance	25,235,234	29,742,414	36,788,260	43,415,257	49,482,964	44,482,964	39,482,964
Revenues	57,390,806	56,956,777	57,447,386	58,455,057	55,000,000	55,000,000	60,000,000
Expenditures	52,883,626	49,910,931	50,820,388	52,387,350	60,000,000	60,000,000	60,000,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	29,742,414	36,788,260	43,415,257	49,482,964	44,482,964	39,482,964	39,482,964
Encumbrances	15,906,690	16,092,588	19,416,291	23,949,833	20,000,000	20,000,000	20,000,000
Unencumbered Cash Balance	13,835,724	20,695,672	23,998,966	25,533,131	24,482,964	19,482,964	19,482,964

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 501
 Name of Fund: Neurotrauma Special Fund
 Legal Authority: Section 321H-4, HRS

Contact Name: Sayuri Sugimoto
 Phone: 587-6043
 Fund type (MOF) B
 Appropriation Acct. No. S 314 H

Intended Purpose: Funding and contracting for services relating to neurotrauma; for education; assistance to individuals and families to identify and obtain access to services; maintenance of a registry of neurotrauma injuries within Hawaii; and necessary administrative expenses not to exceed two percent (2%) of the total amount collected.

Source of Revenues: Surcharges levied under Sections 291-11.5, 291-11.6, 291C-12, 291C-12.5, 291C-12.6, 291C-102 and 291E-61, HRS.

Current Program Activities/Allowable Expenses: Contracts in the area of education awareness with 1) the Queens Medical Center (Statewide Stroke Network); 2) University of Hawaii (Neurotrauma Registry); and 3) University of Hawaii (Head, Neck, and Spine Educational Project); 4) Rehabilitation Hospital of the Pacific (REHAB) - Transfer Training.

Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable.

Variances: The special fund revenue reflects the actual deposits to this fund from traffic surcharges (citations/violations) collected.

FY 2017 and FY 2019: The revenues decreased due to decrease in surcharges collected.

FY 2018: The revenues increased due to increase in surcharges collected.

FY 2017: The expenditures increased because a new contract was awarded to the Queen's Medical Center for the Statewide Stroke Network.

FY 2019: The expenditures increased because services were incurred for a new contract with the University of Hawaii, Department of Kinesiology and Rehabilitation Science for Project Head, Neck, and Spine.

Variances: FY20 expenditure variance was due to the following reasons:

- The DOT approved moped helmet budget was increased by \$5,000.
- The conference budget was increased by \$45,000 due to greater number of requests for the educational conferences, trainings, and events for FY20.
- The invoice submission for ASO log#17-151 was delayed and the payment was made in FY20.
- ASO log#18-170 was extended till June 30, 2020. The final invoice was submitted in FY20.

FY21 expenditure variance is expected due to fewer contracts being executed during FY21.

Variances: FY20 revenue variance was due to the decrease in surcharges levied under Sections 291-11.5, 291-11.6, 291C-12, 291C-12.5, 291C-12.6, 291C-102 and 291E-61, HRS.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,038,992	1,053,448	1,053,448	1,063,165	1,063,165	1,063,165	1,063,165
Beginning Cash Balance	2,153,643	2,002,017	1,949,168	1,655,320	1,115,080	865,080	665,080
Revenues	705,444	806,543	668,342	568,735	600,000	650,000	700,000
Expenditures	857,070	859,391	962,190	1,108,975	850,000	850,000	850,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	2,002,017	1,949,168	1,655,320	1,115,080	865,080	665,080	515,080
Encumbrances	616,450	686,926	521,751	312,269	300,000	300,000	300,000
Unencumbered Cash Balance	1,385,567	1,262,242	1,133,569	802,811	565,080	365,080	215,080

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 590
 Name of Fund: Interdepartmental Transfer
 Legal Authority: Item E-8, Act 162, 2009 SLH, as amended by Act 180, 2010 SLH

Contact Name: Lola Irvin
 Phone: 586-4481
 Fund type (MOF) U
 Appropriation Acct. No. S 316 H

Intended Purpose: Fund used for participation in the State Nutrition Assistance Program nutrition education program (SNAP-Ed; formerly called Food Stamp Nutrition Education or FSNE) enables the State to get reimbursements based on non federal dollars spent for approved nutrition education activities with populations that qualify for the federal nutrition assistance program.

Source of Revenues: Interdepartmental transfer of US Department of Agriculture (USDA) reimbursements through Department of Human Services.

Current Program Activities/Allowable Expenses: SNAP-Ed funding is used for establishing policies, systems, and environmental changes to improve nutrition and physical activity opportunities, and that are based on the USDA approved Hawaii SNAP-Ed plan. USDA publishes annual guidance for the development of state plans.

Purpose of Proposed Ceiling Adjustment (if applicable): None

Variances: The revenue is determined by DHS. The program successfully executed subcontracts as planned in FY19 and FY20, and submitted invoices to DHS, and the reimbursement fell behind in FY20 due to DHS staffing loss, but this is now resolved.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	610,000	610,000	1,000,000	1,000,000	1,000,000	1,000,000	1,000,000
Beginning Cash Balance	137,323	415,506	191,069	344,697	59,291	309,291	309,291
Revenues	663,439	271,149	889,446	234,206	850,000	850,000	850,000
Expenditures	385,256	495,587	735,818	519,611	600,000	850,000	850,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	415,506	191,069	344,697	59,291	309,291	309,291	309,291
Encumbrances	117,000	366,166	386,640	299,512	299,512		
Unencumbered Cash Balance	298,506	(175,097)	(41,944)	(240,221)	9,779	309,291	309,291

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Form 37-47 (rev. 9/17/19)

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 840 FJ
 Name of Fund: Electronic Device Recycling Fund
 Legal Authority: Act 13/2008

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) B
 Appropriation Acct. No. S 319 H

Intended Purpose: Encourage recycling of electronic devices sold in the State to divert waste and leaching of possible hazardous materials components from landfills.

Source of Revenues: Initial registration and annual renewal fees from all manufacturers' brands of covered electronic devices.

Current Program Activities/Allowable Expenses: Administration, rules development and amendment, program accounting and reporting, personnel, and supporting county electronics collections (Act 130, HSL 2017).

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Expenditures vary depending on the scope of services for contracts with Hawaii, Kauai, and Maui counties to provide electronic device recycling programs.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	509,062	510,948	510,948	515,246	1,077,202	515,246	515,246
Beginning Cash Balance	1,602,752	1,624,291	1,840,658	2,102,539	2,213,282	2,108,282	2,003,282
Revenues	389,968	368,262	360,900	435,191	395,000	395,000	395,000
Expenditures	368,429	151,895	99,019	324,448	500,000	500,000	500,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	1,624,291	1,840,658	2,102,539	2,213,282	2,108,282	2,003,282	1,898,282
Encumbrances	227,761	135,000	480,000	480,000	500,000	500,000	500,000
Unencumbered Cash Balance	1,396,530	1,705,658	1,622,539	1,733,282	1,608,282	1,503,282	1,398,282

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH, Family Health Service Division
 Prog ID(s): HTH 560
 Name of Fund: Domestic Violence and Sexual Assault Special Fund
 Legal Authority: Section 321-1.3, HRS

Contact Name: William L. Aakhus
 Phone: 586-9305
 Fund type (MOF) B
 Appropriation Acct. No. S 321 H

Intended Purpose: For use by the Department of Health to fund programs or purchases of service supporting/providing domestic violence and sexual violence intervention and/or prevention.

Source of Revenues: Fees from birth, marriage, divorce and death certificates; and income tax designations per §235-102.5, HRS.

Current Program Activities/Allowable Expenses:

- A. Salary: Domestic Violence Prevention Program Specialist
- B. Domestic Violence Fatality Review: meetings, including travel.
- C. University of Hawaii Women's Center PAU Violence Program: violence prevention task forces activities on 10 campuses statewide
- D. Sex Abuse Treatment Center: sexual violence prevention education, awareness and training.
- E. Hawaii Law Clinic dba Ala Kuola: Coaching Boys into Men curriculum for high schools.

Purpose of Proposed Ceiling Increase (if applicable): Not Applicable.

Variances: The variance in expenditures from FY 2020(actual) -2021 (estimated) and projected expenditures from FY 2021 moving forward is due to a planned decrease in expenditures in FY 2021 initiated to bring expenditures in line with revenues. Spending will more accurately reflect revenues from FY 2021 onward.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	634,615	634,615	634,615	634,615	634,615	634,615	634,615
Beginning Cash Balance	554,732	539,056	351,308	259,223	140,712	180,712	220,712
Revenues	297,608	321,215	338,507	314,597	290,000	290,000	290,000
Expenditures	313,284	508,963	430,593	433,108	250,000	250,000	250,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	539,056	351,308	259,223	140,712	180,712	220,712	260,712
Encumbrances	192,470	89,669	213,434	92,303	0	0	0
Unencumbered Cash Balance	346,586	261,639	45,789	48,409	180,712	220,712	260,712

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 610 FR
 Name of Fund: Noise, Radiation and Indoor Air Quality Special Fund
 Legal Authority: Section 342P-7, HRS

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) B
 Appropriation Acct. No. S 322 H

Intended Purpose: Implement mandated functions and activities of the Indoor and Radiological Health Branch.

Source of Revenues: Fees for radiological technologists/radiation facilities, radiation services, noise permits, etc.

Current Program Activities/Allowable Expenses: Issue permits, notifications and variances; license and certify radiological technologists and asbestos and lead abatement contractors; provide emergency response and capabilities; respond to complaints; inspect facilities; conduct public outreach and education programs; participate in professional trainings.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Revenue varies depending on the number of noise permits/variances and radiation licenses that are issued each year. The amount of noise permits/variances changes depending on the amount of economic activity and construction projects. Odd-numbered years typically generate less revenue, since fewer radiation licenses are renewed in those years. In addition, FY 20 expenditures were significantly lower due to decreased inspections, travel, and training opportunities.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	279,288	279,288	279,288	279,288	279,288	279,288	279,288
Beginning Cash Balance	434,101	387,950	391,033	398,119	450,623	410,623	376,623
Revenues	154,406	157,667	151,055	138,284	145,000	151,000	151,000
Expenditures	200,557	154,584	143,969	85,781	185,000	185,000	185,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	0						
Ending Cash Balance	387,950	391,033	398,119	450,623	410,623	376,623	342,623
Encumbrances	6,631	16,717	12,924	14,306	15,000	15,000	15,000
Unencumbered Cash Balance	381,319	374,316	385,195	436,317	395,623	361,623	327,623

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 610 FR
 Name of Fund: Asbestos and Lead Abatement Special Fund
 Legal Authority: Act 242/1998 and 342-P, HRS

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) B
 Appropriation Acct. No. S 323 H

Intended Purpose: Support mandated functions and activities of the federal National Emissions Standards for Hazardous Air Pollutants, Asbestos-in-Schools and Lead-Based Paint Abatement programs.

Source of Revenues: Fees for demolition/renovation and accreditation of asbestos training.

Current Program Activities/Allowable Expenses: Inspections; public outreach and training for compliance and certification of lead-based paint abatement contractors.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Revenue varies depending on the number of asbestos demolition/abatement projects and the number of workers on those projects each year. FY 18 and beyond include one additional position that was changed from federal funding to this special fund.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	275,575	327,049	327,049	341,839	341,839	341,839	341,839
Beginning Cash Balance	365,683	362,763	302,887	209,721	145,701	90,201	34,701
Revenues	246,539	238,756	274,572	277,872	274,500	274,500	274,500
Expenditures	249,459	298,632	367,738	341,892	330,000	330,000	330,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	362,763	302,887	209,721	145,701	90,201	34,701	(20,799)
Encumbrances	707	458	53	0	100	100	100
Unencumbered Cash Balance	362,056	302,429	209,668	145,701	90,101	34,601	(20,899)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 520
 Name of Fund: Special Parent Information Network (SPIN)
 Legal Authority: HRS §348F-7

Contact Name: Kristine Pagano
 Phone: 447-1397
 Fund type (MOF) U
 Appropriation Acct. No. S 324 H

Intended Purpose: A Memorandum of Agreement between the Department of Education and the Department of Health, Disability and Communication Access Board to provide support in the operation and support of the Special Parent Information Network (SPIN) and the Special Education Advisory Council (SEAC).

Source of Revenues: Department of Education

Current Program Activities/Allowable Expenses: Two (2.0) positions and operating costs for SPIN and SEAC.

Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable.

Variances:

The variance (increase) in expenditures in FY 2019 is due to an increase in costs of personnel services, operating costs of the Special Education Advisory Council and annual SPIN conference for the Department of Education and families who have children with disabilities.

The variance (decrease) in revenues in FY 2020 is due to Qtr 4 funds not received until FY 2021 Qtr 1.

The variance (decrease) in expenditures in FY 2020 is due to the COVID-19 pandemic and cancellation of the annual SPIN conference.

The variance (increase) in revenues in FY 2021 is due to an increase in costs of personnel services and operating costs of the Special Education Advisory Council.

The variance in revenues do not exceed 10% in FY 2021, FY 2022, and FY 2023.

The variance in expenditures do not exceed 10% in FY 2021, FY 2022, and FY 2023.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	280,848	286,003	286,003	292,599	292,600	292,600	292,600
Beginning Cash Balance	149,687	117,383	96,587	57,669	35,284	45,684	56,084
Revenues	188,390	204,175	208,101	172,094	210,400	210,400	210,400
Expenditures	220,694	224,971	247,019	194,478	200,000	200,000	200,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	117,383	96,587	57,669	35,284	45,684	56,084	66,484
Encumbrances	1,752	564	2,257	15,215	0	0	0
Unencumbered Cash Balance	115,631	96,023	55,411	20,069	45,684	56,084	66,484

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH, Family Health Service Division
 Prog ID(s): HTH 560
 Name of Fund: Violence Prevention Program, Child Death Review (CDR).
 Legal Authority: Act 162, SLH 2009, as amended by Act 180, SLH 2010

Contact Name: William L. Aakhus
 Phone: 586-9305
 Fund type (MOF) U
 Appropriation Acct. No. S 325 H

Intended Purpose: To provide for a CDR Nurse Coordinator position to implement the statewide CDR System.

Source of Revenues: Fees from birth, marriage, divorce and death certificates; and income tax designations per §235-102.5, HRS.

Current Program Activities/Allowable Expenses:

- Provides staffing to the State CDR Council who is responsible to analyze and evaluate data, to develop public health policy and to expand prevention programs to impact and strengthen the system to prevent further child deaths.
- Provides staffing and assistance to the six local CDR Teams including the Department of Defense. The purpose of the local teams is to review the circumstances and causes of child deaths and to identify gaps in the delivery system and communication between organizations and to identify environmental, educational and organizational issues related to the prevention of child deaths.
- Prepares reports in collaboration with the Council members to synthesize the data obtained from the team reviews.
- Assists in CDR training and education activities.
- Supports and collaborates with the research statistician to maintain a CDR surveillance system.
- Maintains and updates CDR Policies and Procedures Manual.

Purpose of Proposed Ceiling Adjustment (if applicable): Function was eliminated by the 2014 Legislature.

Variances: After spending the FY21 cash balance, no further activity is anticipated.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	203,441	203,441	203,441	203,441	203,441	203,441	203,441
Beginning Cash Balance	216,438	175,303	168,718	60,630	5,518	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	41,135	6,585	108,088	55,112	5,518	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	175,303	168,718	60,630	5,518	0	0	0
Encumbrances	19,585	0	54,571	0	0	0	0
Unencumbered Cash Balance	155,718	168,718	6,060	5,518	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 610 FN
 Name of Fund: Vector Control, U-account
 Legal Authority: Act 259/2001 as amended by Act 177/2002; Act 213/2007

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) U
 Appropriation Acct. No. S 327 H

Intended Purpose: Prevent introduction of air- and sea-borne vectors and diseases to the State.

Source of Revenues: State Department of Transportation transfer of funds for vector control work at major ports of entry.

Current Program Activities/Allowable Expenses: Identify potential breeding grounds and harborage sites and maintain traps and poison at stations at major airports and seaports under Department of Transportation jurisdiction.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Variance in expenditures due to position vacancies and/or delay in transfer of funds.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	191,279	231,850	231,850	246,549	249,740	249,740	249,740
Beginning Cash Balance	2,869	1	46,877	78,711	154,548	154,548	154,548
Revenues	0	0	0	0	249,740	249,740	249,740
Expenditures	2,868	137,124	147,948	161,163	249,740	249,740	249,740
Transfers							
List each net transfer in/out; list each account number							
JS3444/3724/4612/60206019/6133		184,000	179,782	237,000			
Various dates							
Net Total Transfers		184,000	179,782	237,000	0	0	0
Ending Cash Balance	1	46,877	78,711	154,548	154,548	154,548	154,548
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	1	46,877	78,711	154,548	154,548	154,548	154,548

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 460
 Name of Fund: TITLE IV-E Reimbursement (Interdepartmental transfer from DHS)
 Legal Authority: Act 259/01, as amended by Act 177/02; Act 200/03

Contact Name: Wakaba Stephens
 Phone: 733-9866
 Fund type (MOF) U
 Appropriation Acct. No. S 328 H

Intended Purpose: To provide training and skill development to foster parents/prospective foster parents licensed by child care (placement) organizations (CPO) that are licensed by Department of Human Services (DHS). Many of these youth are cared for through foster services and thus the Title IV-E funds are reimbursed to DOH through DHS. Also reimbursable are training activities provided to staff of CPOs with respect to their activities involving the licensing and training of foster homes.

Source of Revenues: Reimbursement through DHS for eligible Title IV-E Training.

Current Program Activities/Allowable Expenses: Training and skill development of foster parents/prospective foster parents on an on-going basis.

Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable.

Variances:

FY 2017-The revenues increased due to the filled billing position and billings to get caught up. The expenditures increased due to the increase in activities.

FY 2018-The revenues decreased after the billings caught up and stabilized. The expenditures decreased due to the decrease in the activities.

FY 2019-The variance for revenues did not exceed 10%. The expenditure decreased due to the lack of activities.

FY 2020-The revenues increased due to the billing getting caught up. The expenditures increased due to the position being filled.

FY 2021-The revenues and expenditures are expected not to exceed 10%.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,281,992	2,281,992	2,281,992	2,281,992	2,281,992	2,281,992	2,281,992
Beginning Cash Balance	350,674	483,606	581,594	670,477	726,603	791,603	861,603
Revenues	134,182	98,003	88,883	128,375	140,000	150,000	160,000
Expenditures	1,250	15	0	72,249	75,000	80,000	75,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	483,606	581,594	670,477	726,603	791,603	861,603	946,603
Encumbrances	0	0	0				
Unencumbered Cash Balance	483,606	581,594	670,477	726,603	791,603	861,603	946,603

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 100
 Name of Fund: PHN, Farrington High School (DOE) U-Account
 Legal Authority: Act 162/2009, as amended by Act 180/2010

Contact Name: Phillip Nguyen
 Phone: 586-4581
 Fund type (MOF) U
 Appropriation Acct. No. S 329 H

Intended Purpose: MOF-U funding is currently for Registered Nurse IV (#118858) in the Public Health Nursing Branch (PHNB) for Farrington High School Transition Center; funding from Department of Education (DOE).

Source of Revenues: U-funding (inter-agency) from the DOE.

Current Program Activities/Allowable Expenses: PHNB provides a 1.00 FTE Registered Nurse (RN) IV (#118858), funded by the DOE, to Farrington High School Transition Center. From FY2020, PHNB provides 3.00 FTE RN IV funded by Department of Human Services.

Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable.

Variances: The MOA with Farrington High School expired on 12/31/17 so there was less revenue and expenditure in FY18 and no revenue and expenditure in FY19. There was no MOA and revenue in FY2019. New MOA with DHS became effective in FY20 but hiring of positions and expenditure only start from FY2021 and will become fully staffed from FY2022 forward.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	142,627	178,291	178,291	759,649	759,649	759,649	759,649
Beginning Cash Balance	1,571	77,478	96,772	96,772	96,772	96,772	96,772
Revenues	228,535	160,275	0	0	450,000	759,649	759,649
Expenditures	152,628	140,981	0	0	450,000	759,649	759,649
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	77,478	96,772	96,772	96,772	96,772	96,772	96,772
Encumbrances	3,166	0	0				
Unencumbered Cash Balance	74,312	96,772	96,772	96,772	96,772	96,772	96,772

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 840 FJ
 Name of Fund: Leaking Underground Storage Tank Fund
 Legal Authority: Section 342L-51 HRS

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) W
 Appropriation Acct. No. S 330 H

Intended Purpose: Respond to petroleum releases from underground storage tanks or tank systems in a manner consistent with Chapter 342L, HRS.

Source of Revenues: Fees from field citations or enforcement actions pursuant to Chapter 342L, HRS.

Current Program Activities/Allowable Expenses: Deposit fees and utilize funds to cover costs incurred in responding to petroleum releases per Sections 342L-8(g) and 342L-53(d), HRS.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Revenue varies depending upon compliance, enforcement, and cost recovery. From FY 19, higher expenditures due to increased costs for Red Hill oversight.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	200,000	200,000	200,000	400,000	400,000	400,000	400,000
Beginning Cash Balance	1,127,680	1,146,987	1,285,621	1,238,848	1,477,015	1,427,015	1,377,015
Revenues	19,307	138,634	59,379	238,167	300,000	300,000	300,000
Expenditures	0	0	106,151	0	350,000	350,000	350,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	1,146,987	1,285,621	1,238,848	1,477,015	1,427,015	1,377,015	1,327,015
Encumbrances	0	0	2,849	100,000	50,000	50,000	50,000
Unencumbered Cash Balance	1,146,987	1,285,621	1,235,999	1,377,015	1,377,015	1,327,015	1,277,015

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Early Intervention Special Fund
 Legal Authority: § 321-355, HRS

Contact Name: Michelle C Matsuoka
 Phone: 733-9062
 Fund type (MOF) B
 Appropriation Acct. No. S 331 H

Intended Purpose: To generate revenues to reinvest into the early intervention services for the at-risk, zero-to-three population; and to expand and enhance early intervention services for infants and toddlers with special needs

Source of Revenues: Federal reimbursements from Medicaid and Title IV E and any other program income or grants earned by this fund.

Current Program Activities/Allowable Expenses: Program activities include community based, family centered early intervention services to infants and toddlers with developmental delays; family support programs to reduce risk of child abuse and neglect; training and education for professionals, paraprofessional, and families; and clerical support to input data to support the carve-out request and other data management expenses related to early intervention services.

Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable.

Variances: There is an anticipated increase in expenditures from FY 2020 and beyond due to collective bargaining and fringe benefit cost for personnel paid from this special fund account.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,095,044	3,095,044	3,095,044	3,095,044	3,095,044	3,095,044	3,095,044
Beginning Cash Balance	1,472,106	1,502,409	1,575,592	1,636,333	1,739,739	1,789,739	1,839,739
Revenues	368,989	410,348	440,157	495,395	480,000	480,000	480,000
Expenditures	338,685	337,165	379,416	391,989	430,000	430,000	430,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,502,409	1,575,592	1,636,333	1,739,739	1,789,739	1,839,739	1,889,739
Encumbrances	0	154	0	86,285	0	0	0
Unencumbered Cash Balance	1,502,409	1,575,438	1,636,333	1,653,455	1,789,739	1,839,739	1,889,739

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Health
 Prog ID(s): HTH 595
 Name of Fund: Medical Cannabis Dispensary Special Funds
 Legal Authority: Section 321-30.1, HRS

Contact Name: Utey Uch
 Phone: (808) 692-7229
 Fund type (MOF) B
 Appropriation Acct. No. S**-397-H (FY16-FY19)
S**-332-H (FY20-FY23)

Intended Purpose:

Act 241, SLH 215; Medical cannabis dispensary license fees and fines are collected and expensed for the establishment, hiring, and filling positions to carry out the activities of Medical Cannabis Dispensary program. Medical Cannabis Dispensary program was transferred from Office of Health Care Assurance (HTH720/MP, S**-397-H) to Office of Medical Cannabis Control and Regulation (HTH595/KM, S**-332-H) effectively on July 1, 2019 pursuant to Act 159, SLH 2018.

Source of Revenues:

Pursuant to HRS §321-30.1, OMCCR has two sub-accounts including the Medical Cannabis Dispensary special fund and Medical Cannabis Registry special fund. The fees collected pursuant to §329-4 shall be deposited to this Medical Cannabis Dispensary special fund and the fees collected pursuant to §321-30.1.(c) shall be deposited to the Medical Cannabis Registry special fund. Medical cannabis dispensary license fees and fines are assessed and collected by Office of Medical Cannabis Control and Regulation (OMCCR).

Current Program Activities/Allowable Expenses:

Authorized by Act 159, SLH 2018, OMCCR is responsible for licensing the medical cannabis dispensaries in the state and ensuring compliance in accordance with Act 241, SLH 2015. Medical Cannabis Dispensary Special Fund is established for the implementation and on-going operations of a regulated statewide dispensary system for medical cannabis. Allowable expenditures include the establishment, hiring, and filling positions and any other expenditures necessary, as authorized by the legislature.

Purpose of Proposed Ceiling Adjustment (if applicable):

The ceiling was adjusted in FY2020 to reflect actual expenditure and budget.

Variances:

\$729,575 was recorded as revenue in FY2017. However, the actual revenue was only \$17,075 including dispensary laboratory license renewal fees and interests. \$712,500 was transferred from State general funds (G-16-350-H) to establish Medical Registry & Regulation Special Funds per Act 241, SLH 2015.

\$825,098 was recorded as revenue in FY2018. However, \$403,350 was revenue earned in FY2017 but was deposited in FY2018. The actual FY 2018 revenue was \$421,748. Therefore, the variance was caused by the transfer that was incorrectly recorded as revenue in FY2017 and the revenue earned in FY2017 but it was deposited in FY2018.

The expenditures were increased in FY2019 because of filling vacant positions including a Program Specialist VI and an Investigator V.

The Office of Medical Cannabis Control and Regulation (OMCCR) plans to transfer-in \$329,315 from its Medical Cannabis Registry special funds (S**-345-H) to Medical Cannabis Dispensary special fund (S**-332-H) to ensure the sustainability of the Medical Cannabis Dispensary program. □

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	750,000	890,000	890,000	735,315	735,315	735,315	735,315
Beginning Cash Balance	0	0	1,767,668	1,487,084	1,250,716	1,250,716	1,250,716
Revenues	729,575	825,098	426,047	469,655	406,000	406,000	406,000
Expenditures	635,489	509,548	706,630	706,024	735,315	735,315	735,315
Transfers							
Transfer-in	1,296,588	1,000,000	0	0	329,315	329,315	329,315
Transfer-out	1,000,000	1,000,000	0	0			
	15,580	315,551					
	375,094						
Net Total Transfers	(94,086)	(315,551)	0	0	329,315	329,315	329,315
Ending Cash Balance	0	0	1,487,084	1,250,716	1,250,716	1,250,716	1,250,716
Encumbrances			31,477	36,687			
Unencumbered Cash Balance	0	0	1,455,607	1,214,028	1,250,716	1,250,716	1,250,716

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 520
 Name of Fund: DCAB Special Fund
 Legal Authority: HRS §348F-7

Contact Name: Kristine Pagano
 Phone: 447-1397
 Fund type (MOF) B
 Appropriation Acct. No. S 334 H

Intended Purpose: Payment of costs associated with the sign language interpreter credentialing program and for operating and payment costs associated with blueprint reviews under HRS§103-50.

Source of Revenues: Sign language interpreter testing and blueprint review fees.

Current Program Activities/Allowable Expenses: Ability to expend monies for sign language interpreter credentialing and for blueprint review costs.
 Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable.

Variances:

The variance (decrease) in revenues for FY 2019 is due to the number of documents reviewed was less than projected due to the way in which resubmitted documents were counted.

The variance (increased) in revenues for FY 2022 is due to anticipated increase of construction project reviews.

The variance (increase) in expenditures in FY 2017 is due to moving expenses.

The variances (increase) in expenditures from FY 2018 to FY 2020 are due to increased costs for personnel services and costs for collective bargaining, and operating and payment of costs associated with sign language interpreter credentialing and blueprint reviews under HRS§103-50.

The variance (decrease) in revenues in FY 2021 is due to the COVID-19 pandemic unpredictability of construction costs and number of document reviews.

The variance in revenues do not exceed 10% in FY 2022 and FY 2023.

The variance in expenditures in FY 2021 to 2023 is due to collective bargaining, fringe rates, and operating costs.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	952,734	915,094	966,656	1,043,264	1,043,264	1,043,264	1,043,264
Beginning Cash Balance	3,047,031	3,436,476	3,882,129	3,939,584	4,398,762	4,442,762	4,484,762
Revenues	1,174,496	1,136,987	913,224	1,312,316	1,100,000	1,100,000	1,100,000
Expenditures	596,391	691,334	855,769	853,138	1,056,000	1,058,000	1,058,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	(207,000)						
	18,340						
Net Total Transfers	(188,660)	0	0	0	0	0	0
Ending Cash Balance	3,436,476	3,882,129	3,939,584	4,398,762	4,442,762	4,484,762	4,526,762
Encumbrances	15,649	15,172	15,616	13,598			
Unencumbered Cash Balance	3,420,827	3,866,957	3,923,968	4,385,164	4,442,762	4,484,762	4,526,762

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 590
 Name of Fund: Tobacco Settlement Special Fund
 Legal Authority: Section 328L-2, HRS

Contact Name: Lola Irvin
 Phone: 586-4481
 Fund type (MOF) B
 Appropriation Acct. No. S 335 H

Intended Purpose: Per Act 118, SLH 2015, the fund's purpose is only to distribute the receipts from the Master Settlement Agreement.

Source of Revenues: Tobacco Master Settlement Agreement

Current Program Activities/Allowable Expenses: Program is responsible for disbursing the funds per Sec. 328L-2, HRS, and per Act 12, SLH 2018 the program is authorized to use the unencumbered cash balance for the UH Debt Service and Trust Fund shortfalls through FY2022.

Purpose of Proposed Ceiling Adjustment (if applicable): None

Variances: Arbitration credit of disputed amount of one-time credit was deducted from FY18 revenue.
 Allotted amount for the budget and finance was not transferred in FY19. Transfer was completed in FY20.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	48,616,356	48,616,356	48,586,356	48,586,356	48,586,356	48,586,356	48,586,356
Beginning Cash Balance	19,927,633	18,333,696	14,761,257	33,047,057	20,079,942	18,639,942	17,309,942
Revenues	48,528,609	25,849,451	34,602,621	35,678,261	35,678,261	35,600,000	35,900,000
Expenditures	50,122,547	31,106,737	16,316,821	11,651,096	37,118,261	36,930,000	35,900,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JM0266 8/13/2019		1,684,847		(19,885,001)			
JM6508 5/8/2020				(17,109,280)			
Net Total Transfers	0	1,684,847	0	(36,994,281)	0	0	0
Ending Cash Balance	18,333,696	14,761,257	33,047,057	20,079,942	18,639,942	17,309,942	17,309,942
Encumbrances	1,107,372	425,626	40,518	0	0	0	0
Unencumbered Cash Balance	17,226,324	14,335,631	33,006,539	20,079,942	18,639,942	17,309,942	17,309,942

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 840 FK
 Name of Fund: Wastewater Treatment Certification Board Special Fund
 Legal Authority: Act 238/SLH 1999

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) B
 Appropriation Acct. No. S 336 H

Intended Purpose: Establish and collect fees for applications, conduct examinations, issue or renew certificates pursuant to 340B, HRS.

Source of Revenues: Fees for certification of wastewater treatment personnel

Current Program Activities/Allowable Expenses: Implement duties and powers of the Board pursuant to 340B-11, HRS

Purpose of Proposed Ceiling Increase (if applicable):

Variances: All wastewater treatment operators are required to renew their licenses every other year. The revenue projections reflect this pattern of revenue.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	28,021	28,021	28,021	28,021	28,021	28,021	28,021
Beginning Cash Balance	59,841	62,060	55,707	54,499	48,058	41,762	26,036
Revenues	21,704	8,616	21,329	8,321	21,704	12,274	21,704
Expenditures	19,485	14,969	22,538	14,762	28,000	28,000	28,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	0						
Ending Cash Balance	62,060	55,707	54,499	48,058	41,762	26,036	19,740
Encumbrances	1,176	1,647	1,272	969	1,176	1,176	1,176
Unencumbered Cash Balance	60,884	54,060	53,227	47,089	40,586	24,860	18,564

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 590
 Name of Fund: Organ and Tissue Education Special Fund
 Legal Authority: Sec. 327-5.6, HRS

Contact Name: Lola Irvin
 Phone: 586-4481
 Fund type (MOF) B
 Appropriation Acct. No. S 337 H

Intended Purpose: The fund consists of a \$1.00 donation collected at the time of motor vehicle registration and those funds shall be used exclusively for public education programs and activities on organ, tissue and eye donations.

Source of Revenues: \$1.00 donation at the time of motor vehicle registration.

Current Program Activities/Allowable Expenses: Per Sec.327-24, HRS funds are distributed to the Organ Donor Center of Hawaii (now known as Legacy for Life) for public education activities on the lifesaving benefits of the Organ Donor Program.

Purpose of Proposed Ceiling Adjustment (if applicable): The ceiling increase request is based on increase in revenue in FY18, FY19, and FY20. The current ceiling of \$30,000 is too low to transfer funds that have accumulated over the years to the Legacy of Life, due to an insufficient ceiling. Currently, the account has an extra \$72,000 and the program is expecting about \$45,000~50,000 donation for FY2021 and for every year following. Therefore, the appropriation request is made to increase the ceiling to \$50,000 in the FB21-23 fiscal biennium budget.

Variances: The public donations received exceeded the fiscal year appropriation ceilings in FY17, FY18, FY19, and FY20, and the program is not able to distribute the cash balance in the fund to the Legacy of Life pursuant to Sec. 327-24, HRS.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	15,000	30,000	30,000	30,000	30,000	50,000	50,000
Beginning Cash Balance	44,224	46,376	67,497	73,166	99,698	114,698	109,698
Revenues	32,151	47,558	35,599	56,486	45,000	45,000	45,000
Expenditures	29,999	26,437	29,929	29,954	30,000	50,000	50,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	46,376	67,497	73,166	99,698	114,698	109,698	104,698
Encumbrances	0	0	0				
Unencumbered Cash Balance	46,376	67,497	73,166	99,698	114,698	109,698	104,698

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Health
 Prog ID(s): HTH 760
 Name of Fund: Vital Statistics Improvement Special Fund
 Legal Authority: HRS 338-14.6

Contact Name: Alvin T. Onaka, Ph.D.
 Phone: (808) 586-4600
 Fund type (MOF) B
 Appropriation Acct. No. S-338-H

Intended Purpose: For the modernization and automation of the vital statistics system in this State. May also be used to assist in offsetting costs of the daily operations of the system of vital statistics

Source of Revenues: Fees for certified copies of birth, marriage, and death records.

Current Program Activities/Allowable Expenses: Enhancement of Electronic Death & Electronic Birth Registration systems.

Purpose of Proposed Ceiling Adjustment (if applicable): None.

Variances: FY 17-18 variance in expenditures due to personnel changes. FY 19-20 variance in expenditures due to personnel changes (retirement).
 FY20-21 variance in estimated expenditures due to personnel changes (Form A submitted to transfer position from General Fund (MOF A) to Special Fund (MOF B)).

	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	660,466	662,587	484,641	504,643	504,643	504,643	504,643
Beginning Cash Balance	194,883	61	37,723	79,778	198,075	218,075	238,075
Revenues	260,758	282,620	290,009	274,428	270,000	270,000	270,000
Expenditures	455,580	244,958	247,953	156,131	250,000	250,000	250,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	61	37,723	79,778	198,075	218,075	238,075	258,075
Encumbrances			2,852	133,194	130,000	130,000	130,000
Unencumbered Cash Balance	61	37,723	76,927	64,881	88,075	108,075	128,075

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 610 FL/FQ and HTH 849 FA
 Name of Fund: Sanitation and Environmental Health Special Fund
 Legal Authority: Section 321-27 HRS
HTH 610 apprn \$3,094,378; HTH 849 apprn \$79,580

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) B
 Appropriation Acct. No. S 340 H and S 315 H

Intended Purpose: Enhance capacity of environmental health programs to improve public outreach, educate public and professionals, plan for future and emerging needs, and provide trainings to maintain competencies among environmental health professionals

Source of Revenues: Fees from food establishment inspections, temporary food permits, licenses for embalmers and tattoo artists, plan review and swimming pool permits, etc.

Current Program Activities/Allowable Expenses: Enhance updated code for licenses and permits granted to food establishments; establish mechanisms for other fee-generating programs through promulgation of rules; enhance educational and public outreach programs; improve electronic systems infrastructure and capabilities; develop partnerships to enhance program operations; develop emergency response capabilities

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Expenditures will increase in future as new hires are allocated up to authorized position level as they gain experience, as well as from pay increases, step movements, and fringe benefit rate increases. FY 17 higher expenditures due to costs related to relocation to Halawa. Higher ceiling and expenditures from FY 20 due to increase for 7.00 new Sanitarian positions and food safety messaging campaign authorized by Act 5, SLH 2019.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,846,538	2,224,701	2,224,701	3,173,958	3,173,958	3,173,958	3,173,958
Beginning Cash Balance	2,404,367	4,249,177	5,686,551	6,862,569	7,582,609	7,474,796	7,366,983
Revenues	3,718,303	3,216,400	3,092,187	2,852,194	3,092,187	3,092,187	3,092,187
Expenditures	1,873,493	1,779,026	1,916,168	2,132,155	3,200,000	3,200,000	3,200,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	4,249,177	5,686,551	6,862,569	7,582,609	7,474,796	7,366,983	7,259,170
Encumbrances	70,067	70,307	20,619	317,872	25,000	25,000	25,000
Unencumbered Cash Balance	4,179,110	5,616,243	6,841,950	7,264,737	7,449,796	7,341,983	7,234,170

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 840 FK and HTH 849 FB
 Name of Fund: Water Pollution Control Revolving Fund
 Legal Authority: Clean Water Act and Section 342D-83 HRS
HTH 840 apprn \$101,329,080; HTH 849 apprn \$688,973

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) W
 Appropriation Acct. No. S 341 H and S 387 H

Intended Purpose: Provide low interest loans to counties and private entities for wastewater project construction including non-point source pollution projects.

Source of Revenues: Loan repayments, federal capitalization grants, loan fees and interest, investment interest, capital projects funds (state match)

Current Program Activities/Allowable Expenses: Process and service loans; revise standards and procedures to streamline loan review process; monitor special conditions and payments of existing loans; process payments to counties; and analyze future revenues and cash flow.

Purpose of Proposed Ceiling Increase (if applicable): Increase appropriation ceiling by \$50 million to enable full utilization of loan funds for water pollution control infrastructure.

Variances: Revenues, expenditures, and encumbrances will fluctuate depending on level and scheduling of construction loans and the pace of construction, which is affected by economic conditions. Revenues will actually increase later on as more loans are issued and more repayments are received.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	101,753,515	102,062,511	102,062,511	102,018,053	102,018,053	152,018,053	152,018,053
Beginning Cash Balance	154,394,788	152,457,918	170,393,502	177,832,555	97,757,942	114,757,942	114,557,942
Revenues	46,562,064	36,972,106	48,374,970	50,416,386	69,700,000	57,600,000	58,400,000
Expenditures	48,498,934	21,106,522	42,989,917	132,977,999	52,700,000	57,800,000	62,900,000
Transfers							
List each net transfer in/out; list each account number							
JM4104 dated 01/23/2020		2,070,000	2,054,000	2,487,000			
Net Total Transfers	0	2,070,000	2,054,000	2,487,000	0	0	0
Ending Cash Balance	152,457,918	170,393,502	177,832,555	97,757,942	114,757,942	114,557,942	110,057,942
Encumbrances	47,031,744	52,067,757	106,464,956	73,529,235	70,000,000	55,000,000	60,000,000
Unencumbered Cash Balance	105,426,174	118,325,745	71,367,599	24,228,707	44,757,942	59,557,942	50,057,942

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 849 FD, HTH 840 FG, FH, FJ
 Name of Fund: Environmental Response Revolving Fund
 Legal Authority: Section 128D-2, HRS; also see Section 342, HRS
HTH 849 apprn \$1,363,895; HTH 840 apprn \$412,212

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) W
 Appropriation Acct. No. S-342-H, S-339-H

Intended Purpose: Fund emergency response actions as well as oil spill planning, prevention, preparedness, education, research, training, removal, and remediation. Includes accounts for (1) Local Emergency Planning Committees (LEPCs) by means of the Emergency Planning and Community Right to Know Act (EPCRA) filing fees used for administration and oversight of Chapter 128D, HRS; and (2) Voluntary Response Program which allows for voluntary actions taken by a party in response to hazardous substance releases and threats of diseases with a provision for relief from liability for an eligible party used for the purpose of administration and oversight pursuant to Section 128D-2, HRS. Per Section 128D-2, moneys from the fund shall be expended by the department for the following: (1) Removal, remediation, and detection of oil and pollutant or contaminant releases; (2) Removal and remediation of hazardous waste and any other solid, liquid, or gaseous substance that may harm the environment; and (3) The payment of costs listed under section 128D-4(c).

Source of Revenues: Tax of 5 cents per barrel of petroleum, fines/penalties, and Chemical Tier II reporting fees. 5 cents of the \$1.05 Environmental Response, Energy, and Food Security Tax is deposited to ERRF. The only consistent and reliable source of revenue is the oil tax. Airline fuel assessments are not included in the oil tax.

Current Program Activities/Allowable Expenses: Oil spill removal and remediation activities; respond to and investigate hazardous materials incidents; coordinate emergency response and related trainings. Conduct related activities in HTH 840 Clean Water, Safe Drinking Water, and Solid and Hazardous Waste branches.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Over the past several years, Hawaii has imported fewer barrels of oil as it relies more heavily on renewable energy, which in turn has decreased the amount of income for the ERRF at the rate of about 5% per year. Revenue varies depending on the amount of fines. Higher expenditures in FY 18 due to emergency response activities for Kilauea volcano eruption and oversight activities for Red Hill Bulk Fuel Storage Tank Facility remediation.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,790,391	1,776,107	1,776,107	1,776,107	1,776,107	1,776,107	1,776,107
Beginning Cash Balance	1,319,059	4,289,624	5,182,929	5,839,668	6,921,381	7,221,381	7,421,381
Revenues	3,821,107	2,077,897	1,904,908	2,089,231	1,600,000	1,500,000	1,500,000
Expenditures	850,542	1,184,592	1,248,169	1,007,518	1,300,000	1,300,000	1,300,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	4,289,624	5,182,929	5,839,668	6,921,381	7,221,381	7,421,381	7,621,381
Encumbrances	419,317	326,365	715,227	779,143	650,000	650,000	650,000
Unencumbered Cash Balance	3,870,307	4,856,564	5,124,441	6,142,238	6,571,381	6,771,381	6,971,381

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 840 FH & HTH 849 FB
 Name of Fund: Drinking Water Treatment Revolving Loan Fund
 Legal Authority: Section 340E-35 HRS
HTH 840 apprn \$106,893,859; HTH 849 apprn \$569,150

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) W
 Appropriation Acct. No. S 344 H and S 386 H

Intended Purpose: Provide low interest loans to improve public and private drinking water systems in Hawaii.

Source of Revenues: Loan repayments, federal capitalization grants, loan fees and interest, investment interest, capital projects funds (state match)

Current Program Activities/Allowable Expenses: Review technical, financial and managerial viabilities of loan applicants; process and service loans, and analyze future revenues and cash flow.

Purpose of Proposed Ceiling Increase (if applicable): Change MOF from General Funds to Revolving Funds for Environmental Health Specialist IV in the Safe Drinking Water Branch. Adds \$83,352 to S-344 ceiling.

Variances: Revenues, expenditures, and encumbrances will fluctuate depending on level and scheduling of construction loans and the pace of construction, which is affected by economic conditions.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	107,365,216	107,556,094	107,556,094	107,463,009	107,463,009	107,546,361	107,546,361
Beginning Cash Balance	52,390,982	42,671,782	25,841,975	23,023,600	23,849,300	32,449,300	30,549,300
Revenues	41,809,445	26,050,066	24,815,668	29,707,293	34,600,000	29,600,000	28,800,000
Expenditures	51,528,645	44,319,273	29,112,888	30,946,759	26,000,000	31,500,000	31,200,000
Transfers							
List each net transfer in/out; list each account number							
JM4104 dated 01/23/2020		1,663,000	1,648,000	2,221,000			
JS0612/1036/1469/2688/3657/4408/4899/5634		(223,600)	(169,155)	(155,835)			
Net Total Transfers	0	1,439,400	1,478,845	2,065,165	0	0	0
Ending Cash Balance	42,671,782	25,841,975	23,023,600	23,849,300	32,449,300	30,549,300	28,149,300
Encumbrances	56,505,801	25,741,853	24,845,529	12,537,596	25,000,000	25,000,000	25,000,000
Unencumbered Cash Balance	(13,834,019)	100,122	(1,821,929)	11,311,705	7,449,300	5,549,300	3,149,300

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 595
 Name of Fund: Medical Marijuana Registry Special Fund
 Legal Authority: HRS Sect 321-59 (Act 177/SLH 2013)

Contact Name: Phillip Nguyen
 Phone: 586-4581
 Fund type (MOF) B
 Appropriation Acct. No. S 364 H (FY14-19)
S 345 H (FY20 forward)

Intended Purpose: (1) To offset the cost of the processing and issuance of patient registry identification certificates and primary caregiver registration certificates; (2) To fund positions authorized by the legislature; (3) To establish and manage a secure and confidential database; and (4) For any other expenditure necessary, as authorized by the legislature, to implement a medical marijuana registry program.

Source of Revenues: Medical marijuana registration fee of no more than \$35.

Current Program Activities/Allowable Expenses: The Medical Marijuana (MMJ) Registry program is currently being set up and transitioning from the Department of Public Safety to Department of Health. Staff are being hired and training and system are being installed to take over the registry program effective 1/1/15.

Purpose of Proposed Ceiling Adjustment (if applicable): To increase capacity and expend funds in accordance with statute.

Variances: Beginning with FY16, the revenue and expenditure increased with the increasing number of patient registry due to the opening of marijuana dispensary. The increases continued in FY17, FY18, FY19, and FY20, as the number of patient registry continued to grow. The growth is projected to level off starting from FY21. The program is requesting an increase in the appropriation ceiling from FY2022 to increase its staffing level and expenditure.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	518,226	662,761	726,850	1,000,000	726,850	1,000,000	1,000,000
Beginning Cash Balance	669,038	897,384	1,227,985	1,538,337	2,289,949	2,963,099	3,363,099
Revenues	682,893	839,891	988,226	1,485,254	1,400,000	1,400,000	1,400,000
Expenditures	454,547	509,290	677,874	733,643	726,850	1,000,000	1,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	897,384	1,227,985	1,538,337	2,289,949	2,963,099	3,363,099	3,763,099
Encumbrances			13,275	2,905			
Unencumbered Cash Balance	897,384	1,227,985	1,525,062	2,287,043	2,963,099	3,363,099	3,763,099

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Health
 Prog ID(s): HTH 420
 Name of Fund: Mental Health and Substance Abuse Special Fund
 Legal Authority: Section 334-16, HRS

Contact Name: Amy Yamaguchi
 Phone: 586-4682
 Fund type (MOF) B
 Appropriation Acct. No. S 346 H

Intended Purpose: The purpose of the fund is to deposit all revenue collected from treatment services rendered by mental health and substance abuse programs operated by the State.

Source of Revenues: The source of revenue include payments from Medicaid, Medicare, and patients.

Current Program Activities/Allowable Expenses: Activities include the provision of community-based outpatient services, case management services, psychosocial rehabilitation services, crisis services, residential services, and treatment services. Allowable expenses are expenses incurred to provide or support the provision of program activities.

Variances: The revenue variances are attributed to difficulties in billing for services. The expenditure variances are attributed to delays in the execution of purchase of service contracts.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	11,610,000	11,610,000	11,610,000	11,610,000	23,000,000	7,000,000	7,000,000
Beginning Cash Balance	44,682,080	45,287,732	47,497,192	51,286,931	56,119,876	15,319,876	15,519,876
Revenues	4,544,014	7,955,172	9,360,924	7,946,258	7,200,000	7,200,000	7,200,000
Expenditures	3,938,362	5,745,712	5,571,185	3,113,313	23,000,000	7,000,000	7,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
					(25,000,000)		
Net Total Transfers	0	0	0	0	(25,000,000)	0	0
Ending Cash Balance	45,287,732	47,497,192	51,286,931	56,119,876	15,319,876	15,519,876	15,719,876
Encumbrances	5,621,821	3,674,364	3,470,767	6,506,582			
Unencumbered Cash Balance	39,665,911	43,822,828	47,816,164	49,613,294	15,319,876	15,519,876	15,719,876

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 501
 Name of Fund: Intellectual and Developmental Disabilities Medicaid Waiver Administrative Claiming Special Funds
 Legal Authority: Act 165, SLH 2019 and Chapter 333F, HRS

Contact Name: Sayuri Sugimoto
 Phone: 587-6043
 Fund type (MOF) B
 Appropriation Acct. No. S 347 H

Intended Purpose: Act 165, SLH 2019 established the Intellectual and Developmental Disabilities (I/DD) Medicaid Waiver Special Fund to allow for the deposit of federal funds received through Medicaid administrative claiming for operating the Medicaid Home and Community -Based Services Waiver (HCBS) for persons with I/DD.

Source of Revenues: Medicaid federal reimbursement for I/DD waiver administrative claiming pursuant to 45 CFR Part 95, Subpart E, DDD's Cost Allocation Plan approved by the United States Department of Health and Human Services (DHHS) on April 25, 2019.

Current Program Activities/Allowable Expenses: Pursuant to Act 165, SLH 2019 Section 4 and Chapter 333F, HRS, the funds should be used for the following: 1) payment for fiscal management services; 2) training of staff; waiver providers; waiver participants, family members of waiver participants, legal representatives of waiver participants; and community stakeholders; 3) quality management activities; 4) ongoing operations and maintenance of the information technology systems; 5) conducting rate methodology studies to define rates; and 6) assessment services for determining each participant's level of support needs.

Purpose of Proposed Ceiling Adjustment (if applicable): The expenditure ceiling in Act 165, SLH was set at \$900,000 for FY 2020. DDD submitted a FY 2021 supplemental budget request to extend the base budget beyond FY 2020 and increase the ceiling to \$2,500,000. This will allow the DOH-DDD to maximize the use of federal Medicaid administrative claiming to operate the H&CBS wavier for I/DD and ensure compliance with all federal Medicaid requirements.

Variances: The estimated FY 2020 revenues consist of the administrative claiming received for FY 2019 due to the DHHS' approval of DDD's cost of allocation plan effective July 1, 2018. DDD anticipates the budget ceiling request to be approved at \$2,500,000 for FY 2021 and beyond, so DDD can maximize the use of federal reimbursement for ongoing operations.

Variances: The estimated revenue decreases in FY23 and out years due to anticipated diminished administrative claims due to Behavioral Health Administrative Information Technology (BHA IT) Solution being substantially implemented resulting in less claiming. The estimated expenditures for FY21 reflect the new ceiling amount of \$3,200,000 approved in Act 009, SLH2020. DDD submitted a FB21-23 budget request to make the Medicaid Administrative Claiming Special Fund to be recurring from FY22. The expenditures are expected to increase as DDD would be able to plan ahead and have multi-year contracts to meet compliance requirements for the State's Section 1915(c) Medicaid waiver for Individuals with Intellectual and Developmental Disabilities.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	900,000	3,200,000	3,200,000	3,200,000
Beginning Cash Balance	0	0	0	0	3,211,105	3,511,105	3,811,105
Revenues	0	0	0	3,437,517	3,500,000	3,500,000	3,000,000
Expenditures	0	0	0	226,413	3,200,000	3,200,000	3,200,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	3,211,105	3,511,105	3,811,105	3,611,105
Encumbrances				643,297	0	0	0
Unencumbered Cash Balance	0	0	0	2,567,808	3,511,105	3,811,105	3,611,105

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 840 FJ
 Name of Fund: Environmental Management Special Fund
 Legal Authority: Sec 342G-63 HRS

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF): B
 Appropriation Acct. No. S 348 H

Intended Purpose: Fund statewide education, demonstration, market development programs; provide training for municipal solid waste operators; and fund glass recovery programs. Amended by Act 173/00 to collect motor vehicle tire surcharge (Sec 342I-B HRS) and fines or penalties for violations pursuant to Sec 342I-J HRS. Amended by Act 176/02 to fund statewide beverage container redemption and recycling program in a separate special fund S 313 H 372, the Deposit Beverage Container Special Fund.

Source of Revenues: By statute, three separate revenue sources to be used solely for respective functions: glass advance disposal fee (ADF); solid waste disposal surcharge (tipping fee); and tire surcharge fee.

Current Program Activities/Allowable Expenses: Provide technical assistance to counties; municipal solid waste landfill permitting, closure review, groundwater monitoring, inspection and enforcement. Administer county glass recovery grant, conduct public outreach and administer demonstration projects. Pursuant to Act 173/00, for the period 1/1/00 to 12/31/05, moneys may be used to support permitting, monitoring and enforcement activities; promote market development and reuse for recovered motor vehicle tires, reuse through education, research etc.; support program for illegal dumping, clean-ups and the like. Conduct recycling education and demonstration projects; promote recyclable market development activities; hire personnel to oversee implementation of the program; and fund associated office expenses.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Revenue from solid waste disposal surcharge and glass ADF fluctuates with economic conditions, e.g. construction activities. Revenues are estimated at same level throughout; decreasing due to diverting waste from landfills, but increasing due to more construction activities. Expenditures have fluctuated due to the change of MOF for several positions and the timing on the payment on ADF contracts.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,279,626	2,294,202	2,463,668	2,491,846	2,491,846	2,491,846	2,491,846
Beginning Cash Balance	2,371,788	2,502,472	3,179,442	3,518,582	3,695,044	4,120,044	4,545,044
Revenues	1,479,334	1,509,409	1,313,609	1,486,666	1,425,000	1,425,000	1,425,000
Expenditures	1,348,650	832,439	974,469	1,310,204	1,000,000	1,000,000	1,000,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	2,502,472	3,179,442	3,518,582	3,695,044	4,120,044	4,545,044	4,970,044
Encumbrances	263,653	439,990	810,512	387,852	800,000	800,000	800,000
Unencumbered Cash Balance	2,238,819	2,739,452	2,708,069	3,307,191	3,320,044	3,745,044	4,170,044

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 840 FF
 Name of Fund: Clean Air Special Fund
 Legal Authority: Sec 342B-32 HRS

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF): B
 Appropriation Acct. No.: S 349 H

Intended Purpose: Establish and collect fees from covered, noncovered and agricultural burning permit sources.

Source of Revenues: Permit fees from covered (major) and noncovered (minor) sources of air pollution.

Current Program Activities/Allowable Expenses: Develop and administer the air program and the permitting, monitoring and enforcement requirements pursuant to Title V of the Clean Air Act.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: 1) The variance in FY18 is due to the timing required to receive, process and deposit the larger covered source annual fees. Covered source annual fees are due 120 days after the end of the calendar year. In calendar years 2015-17, the bulk of the bank deposits were made after July 1 and show up as revenue in the following FY. Prior to establishing an accountant position, deposits were processed by staff as time permitted. After establishing an accountant position in December 2016 and hiring CAB's first accountant in October 2017, the majority of the 2018 annual fees were deposited in the same FY they were received (before July 1, 2018). The disproportionately large revenue amount in FY18 is comprised of a large portion of both the 2017 and 2018 fees (two years of annual fees deposited in the same FY). 2) Annual fees are charged and collected by calendar year. Revenues in FY20 are low, and the FY21 totals are projected to be high, because \$3,759,561 in Calendar Year 2020 fees were deposited after July 1, 2020 due to delays from COVID-19. Revenues are anticipated to return to normal in FY22. 3) Expenditures have increased and are expected to steadily continue to increase with the continued establishment and filling of eight positions, which commenced after approval of reorganization, as well as salary increases, step movements, and inflation. However, due to COVID-19, salary increases and step movements may be temporarily stopped and potential furloughs and/or paycuts could result in a slower increase or reduce expenditures. 4) The annual fees for covered source permits are based on the amount of air pollutants emitted during the year. It is anticipated that emissions, and thus, overall revenue, will be decreasing in future years due to the increasing use of renewable energy, such as solar and wind.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	5,294,739	5,384,424	5,384,424	5,559,885	5,559,885	5,559,885	5,559,885
Beginning Cash Balance	8,301,210	9,791,145	14,403,021	13,943,724	10,936,552	14,596,113	14,296,113
Revenues	5,031,725	8,559,821	4,079,624	1,355,154	8,259,561	4,500,000	4,342,500
Expenditures	3,541,790	3,947,945	4,538,921	4,362,325	4,600,000	4,800,000	4,800,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	9,791,145	14,403,021	13,943,724	10,936,552	14,596,113	14,296,113	13,838,613
Encumbrances	405,516	187,168	408,162	363,516	450,000	450,000	450,000
Unencumbered Cash Balance	9,385,629	14,215,853	13,535,561	10,573,036	14,146,113	13,846,113	13,388,613

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 840 FG
 Name of Fund: Clean Water Branch
 Legal Authority: Act 134/SLH 2013

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) U
 Appropriation Acct. No. S 362 H

Intended Purpose: Comply with federal mandates of Environmental Protection Agency and Clean Water Act that regulate protection of the environment and public health.

Source of Revenues: State Department of Transportation

Current Program Activities/Allowable Expenses: Program development, technical assistance, permitting, enforcement, data management, and regulation of permitted water pollution sources.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: For expenditures, due to position vacancies. For revenues, due to timing of reimbursement from DOT.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	174,454	235,454	235,454	241,782	241,782	241,782	241,782
Beginning Cash Balance	49,136	67,289	8,233	17,937	15,714	15,714	15,714
Revenues	188,951	0	0	0	241,782	241,782	241,782
Expenditures	170,798	87,138	93,511	103,708	241,782	241,782	241,782
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS0713/2564/4585/5436		28,081	103,215	101,485			
Various dates							
Net Total Transfers	0	28,081	103,215	101,485	0	0	0
Ending Cash Balance	67,289	8,233	17,937	15,714	15,714	15,714	15,714
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	67,289	8,233	17,937	15,714	15,714	15,714	15,714

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Health
 Prog ID(s): HTH 720
 Name of Fund: OHCA SF - Civil Monetary Penalty Special Fund
 Legal Authority: Act 71, SLH 2015

Contact Name: Utey Uch
 Phone: (808) 692-7229
 Fund type (MOF) B
 Appropriation Acct. No. S**-374-H

Intended Purpose:

Act 71, SLH 2015 establishes Civil Monetary Penalty Special Fund to federally impose penalty when health care facilities or agencies do not meet medicare certification requirements as determined by the Department of Health when it conducts medicare certification surveys and complaint investigations on the health care facilities or agencies in Hawaii in accordance with Section 1864 of Social Security Act.

Source of Revenues:

The fund shall consists of moneys collected by the United States Department of Health and Human Services, Centers for Medicare and Medicaid Services

Current Program Activities/Allowable Expenses:

Not more than \$30,000 of the moneys in the fund may be used during any fiscal year for the activities carried out the department of Health as approved by the Centers for Medicare and Medicaid Services.

Purpose of Proposed Ceiling Adjustment (if applicable):

To spend CMP Special fund in accordance with CMP reinvestment Plan approved by Center for Medicare & Medicaid Services (CMS)

Variances:

The revenue was decreased in FY 2020 due to less civil monetary penalties (CMP). It is difficult to estimate the amount of penalties will be collected.

Expenditures was increased in FY2020 dues the expendiutres on CMP communication projects that were approved by Centers for Medicare & Medicaid Services (CMS). OHCA is spending \$30,000 in FY2021 on the CMP communication projects.

OHCA estimates the project cost between \$350,000 to \$500,000 per year in and after FY2022.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	15,000	10,000	14,000	30,000	30,000	500,000	500,000
Beginning Cash Balance	141,287	258,911	679,833	1,055,570	1,243,161	1,398,161	1,083,161
Revenues	117,624	426,146	375,737	211,310	185,000	185,000	185,000
Expenditures	0	5,224	0	23,719	30,000	500,000	500,000
List each net transfer in/out/ or projection in/out; list each account number							
Transfer-in			0	0			
Transfer-out							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	258,911	679,833	1,055,570	1,243,161	1,398,161	1,083,161	768,161
Encumbrances				4,320			
Unencumbered Cash Balance	258,911	679,833	1,055,570	1,238,841	1,398,161	1,083,161	768,161

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Health
 Prog ID(s): HTH 720
 Name of Fund: Dietitian Licensure Special Fund
 Legal Authority: Act 280, SLH 2000

Contact Name: Utey Uch
 Phone: (808) 692-7229
 Fund type (MOF) B
 Appropriation Acct. No. S-**-388-H

Intended Purpose: The fund is for the deposit of fees and fines collected from the licensing of dietitians in the state of Hawaii.

Source of Revenues: Licensing fees and fines collected.

Current Program Activities/Allowable Expenses: These funds may be used for the operation of the licensing program and funding of statewide continuing education and training programs for licensed dietitians.

Purpose of Proposed Ceiling Adjustment (if applicable): To have a ceiling of \$30,000 as authorized under Section 448B-10, HRS and to allow OHCA to spend in accordance with the statute.

Variances:

Dietitian License is renewable every 3 years. The revenue was increased in FY2019 because 43% of the dietitian licenses are due in FY 2019. The revenue is fluctuated accordingly.

OHCA is planning to spend on education that employee in Dietitian Licensure program will attend out-of-state trainings in FY 2021. OHCA estimates the expenditures to increase in FY 2022 to offset the general funds reduction.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	15,000	10,000	5,000	30,000	30,000	35,000	35,000
Beginning Cash Balance	36,547	44,767	55,264	71,872	80,025	89,525	71,525
Revenues	8,220	11,050	17,350	8,560	12,000	17,000	12,000
Expenditures	0	553	743	407	2,500	35,000	35,000
List each net transfer in/out/ or projection in/out; list each account number							
Transfer-in			0	0	0	0	0
Transfer-out			0	0	0	0	0
Net Total Transfers			0	0	0	0	0
Ending Cash Balance	44,767	55,264	71,872	80,025	89,525	71,525	48,525
Encumbrances							
Unencumbered Cash Balance	44,767	55,264	71,872	80,025	89,525	71,525	48,525

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 100
 Name of Fund: Comprehensive STD Prevention Projects
 Legal Authority: Public Law 112-74, Patient Protection and Affordable
Care Act, PL I11-148

Contact Name: Phillip Nguyen
 Phone: 586-4581
 Fund type (MOF) P
 Appropriation Acct. No. S 500 H

Intended Purpose: To prevent and reduce the incidence of STD in the State of Hawaii.

Source of Revenues: U.S Department of Heath & Human Service/Centers for Disease Control and Prevention.

Current Program Activities/Allowable Expenses: STD disease intervention and detection.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: The variance between FY17 and FY18 was due to an increase in the grant award. FY19 has a decrease in the grant award but the award increased again from FY2020 and is estimated to stabilize from FY2021 on.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	681,760	499,822	412,925	412,121	440,141	440,141	440,141
Beginning Cash Balance	12,559	55	108	0	0	0	0
Revenues	372,000	427,392	317,606	431,358	440,141	440,141	440,141
Expenditures	384,504	427,339	317,713	431,358	440,141	440,141	440,141
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	55	108	0	0	0	0	0
Encumbrances		70					
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: DOH
 Prog ID(s): HTH131DJ
 Name of Fund: Hawaii's Epidemiology & Laboratory Capacity for Infectious Diseases
 Legal Authority: 42 USC 241, 31 USC 6305, 42 CFR 52

Contact Name: Jade DeCosta
 Phone: (808) 587-6592
 Fund type (MOF) P
 Appropriation Acct. No. S-501-H

Intended Purpose: The ELC grant funds are used to improve and protect the health of Hawaii's citizens and visitors by providing DOH the tools and skills to improve surveillance for and respond to infectious diseases and other public health threats.

Source of Revenues: Federal Funds

Current Program Activities/Allowable Expenses: Funds will be used to maintain personnel to accomplish key objectives for the ELC grant and support and improve the following capacities to detect, mitigate, and prevent infectious diseases for the population of Hawaii: epidemiology, laboratory, and health information systems. Additional funding in FY18 supports arboviral response, including Zika virus, as well as, addressing antimicrobial resistance and healthcare associated infections.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: Variances in revenues and expenditures is generally due to variances in award receipt date, components, amounts, and timing of payments.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	4,000,000	4,214,216	3,894,115	3,250,000	3,275,000	3,275,000	3,275,000
Beginning Cash Balance	37,291	2,275	4,042	0	469	469	469
Revenues	2,250,593	3,140,893	3,708,178	2,752,325	3,275,000	3,275,000	3,275,000
Expenditures	2,285,609	3,139,126	3,712,219	2,751,857	3,275,000	3,275,000	3,275,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	2,275	4,042	0	469	469	469	469
Encumbrances			301,538	611,278			
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 460
 Name of Fund: Project Kealahou - A New Pathway for Girls
 Legal Authority: Section 561 thru 565 of the Public Health Services Act As Amended

Contact Name: Wakaba Stephens
 Phone: 733-9866
 Fund type (MOF) P
 Appropriation Acct. No. S 503 H

Intended Purpose: This grant provided resources for the provision of comprehensive mental health services for girls with severe and persistent mental illness combined with trauma.

Source of Revenues: Federally funded grant from SAMHSA

Current Program Activities/Allowable Expenses: NA

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable

Variances: FY2017-The revenues and expenditures decreased due to not having a new award for FY2017. The grant was ran on the no-cost-extension. This grant ended FY2017.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	21,527	0	0	0	0	0	0
Revenues	345,468	0	0	0	0	0	0
Expenditures	366,995	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Health
 Prog ID(s): HTH 495
 Name of Fund: Hawaii Mental Health Data Infrastructure Grant of Quality Improvement
 Legal Authority: Section 334-7, HRS

Contact Name: Amy Yamaguchi
 Phone: 586-4682
 Fund type (MOF) P
 Appropriation Acct. No. S 504 H

Intended Purpose: The purpose of the grant is to provide additional needed resources for the provision of comprehensive mental health services for individuals with severe and persistent mental illness.

Source of Revenues: Hawaii's State Mental Health Data Infrastructure Grant for Quality Improvement

Current Program Activities/Allowable Expenses: Data infrastructure enhancements for the continued collection of mental health client-level data elements.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: The FY 2017 revenue variance is attributed to the expedited receipt of grant funds due to a change in the grant administrator. The expenditure variance is due to delays in filling the grant funded position.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	137,363	137,363	137,363	137,363	137,363	137,363	137,363
Beginning Cash Balance	188,910	275,003	312,479	335,984	365,996	335,996	305,996
Revenues	171,703	137,363	137,363	137,363	137,363	137,363	137,363
Expenditures	85,610	99,887	113,858	107,351	167,363	167,363	167,363
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS 1244 dated 9/9/15							
JS 6982 dated 6/28/16							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	275,003	312,479	335,984	365,996	335,996	305,996	275,996
Encumbrances	38						
Unencumbered Cash Balance	274,965	312,479	335,984	365,996	335,996	305,996	275,996

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: State Systems Development Initiative (SSDI)
 Legal Authority: SSA, Title V, Section 501(a)(2), as amended; 42 USC 701

Contact Name: William L. Aakhus
 Phone: 586-9305
 Fund type (MOF) P
 Appropriation Acct. No. S 505 H

Intended Purpose: The purpose of this grant is to improve data analysis capacity for programs. The grant focuses on the development of key MCH datasets through the establishment of the data linkages between birth records and agency data (including Medicaid files and hospital discharge data), as well as improving access to data from surveys and registries. The analysis of the data will open new paths to investigate health problems and to evaluate programs and policies for more effective Title V MCH program planning in Hawaii.

Source of Revenues: DHHS, HRSA

Current Program Activities/Allowable Expenses: Data collection, analysis, and publication related to the MCH population are carried out on an ongoing basis. These data are used for continuing needs assessment, annual performance/outcome measurement, program planning and evaluation, and policy development. Currently, data linkages have been made between infant birth and death certificates, birth records and newborn screening files, and birth records and WIC records. Direct access to key MCH survey and registry data has also been achieved. Annual data reports are developed and disseminated to policy makers and MCH stakeholders.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: The variance in Appropriation Ceiling from FY2018-19 and beyond is to accommodate for grant carry over and supplemental awards.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	105,992	105,992	150,000	150,000	150,000	150,000	150,000
Beginning Cash Balance	1,317	1	299	302	(1)	0	0
Revenues	90,425	109,325	113,941	101,502	100,000	100,000	100,000
Expenditures	91,741	109,027	113,938	101,805	99,999	100,000	100,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1	299	302	(1)	0	0	0
Encumbrances	350	75	46,339	79,115	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 590
 Name of Fund: Behavioral Risk Factors Surveillance System
 Legal Authority: Sections 301(a) and 1703(a) of the Federal Public Health Services Act

Contact Name: Lola Irvin
 Phone: 586-4481
 Fund type (MOF) P
 Appropriation Acct. No. S 506 H

Intended Purpose: Provide the principle data source for monitoring diverse health issues, informing public health action, and responding to emerging health trends in Hawaii such as COVID-19. Over 3-year period increase completed interviews, improve access to high-quality BRFSS data relevant to diverse communities and stakeholders to make data actionable. Enhance questionnaire development, data collection, review, analysis, and reporting; ensure sufficient sample size for sub-state and demographic comparisons, and increase dissemination, access, and quality of BRFSS data.

Source of Revenues: Centers for Disease Control and Prevention, Health and Human Services

Current Program Activities/Allowable Expenses: Collect and analyze surveillance data using a sample of telephone numbers, to monitor the prevalence of health risk behaviors that are associated with chronic health problems, preventable injuries and help respond to current and emerging health threats; personnel and operational costs allowed.

Purpose of Proposed Ceiling Adjustment (if applicable): None

Variances: New grant starting in fiscal year 2021. Appropriation number was previously assigned to the National Cancer Program grant.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	420,000	420,000	420,000
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	0	0	420,000	420,000	420,000
Expenditures	0	0	0	0	420,000	420,000	420,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0				
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 590
 Name of Fund: National Cancer Prevention & Control Program
 Legal Authority: Various sections of the Public Health Service Act, as amended

Contact Name: Lola Irvin
 Phone: 586-4481
 Fund type (MOF) P
 Appropriation Acct. No. S 506 H

Intended Purpose: Provide free breast and cervical cancer outreach, screening, diagnostic, and case management services to high-risk women aged 50 and older who are low income and are uninsured or underinsured; Develop and implement statewide, integrated comprehensive cancer plan to reduce the incidence, morbidity and mortality of cancer through prevention, early detection, treatment, rehabilitation and palliation; coordination and integration between HBCCCP HCCCP, and Coordinated Chronic Disease effort.

Source of Revenues: Centers for Disease Control, Division of Cancer Prevention and Control

Current Program Activities/Allowable Expenses: Cancer screening, diagnostics, monitoring, followup, treatment, education, and outreach; maintain coalitions, surveillance and implementation of the State Plan; support advocacy and awareness initiatives; gain efficiency and enhance effectiveness of cancer prevention and control activities.

Purpose of Proposed Ceiling Adjustment (if applicable): None

Variances: Grant ended 6/29/17

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,288,234	0	0	0	0	0	0
Beginning Cash Balance	11,015	47	(0)	(0)	(0)	(0)	(0)
Revenues	799,588	761,524	0	0	0	0	0
Expenditures	810,556	761,572	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	47	(0)	(0)	(0)	(0)	(0)	(0)
Encumbrances	388,696	577,469	16,565				
Unencumbered Cash Balance	(388,649)	(577,470)	(16,565)	(0)	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 610 FR
 Name of Fund: State Lead Program Grants
 Legal Authority: Toxic Substances Control Act, Section 28; 40 CFR Part 31

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) P
 Appropriation Acct. No. S 507 H

Intended Purpose: Implement lead-based training and certification program pursuant to Toxic Substances Control Act, Title IV, Sections 402 and 404

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Administration, program development, data management, inspection, enforcement, training, and certification

Purpose of Proposed Ceiling Increase (if applicable):

Variances: For FY17 and FY18, lower expenditures due to one vacant position being redescribed to implement reorganization that was acknowledged 3/14/17. Starting in FY 21, this award has been combined with the Lead Enforcement grant into a Performance Partnership Grant (PPG).

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	220,000	268,600	286,656	553,650	268,292	275,947	289,744
Beginning Cash Balance	12,247	29,009	104	100	9,786	19,472	29,158
Revenues	202,547	159,145	295,710	288,504	288,504	288,504	288,504
Expenditures	185,785	188,050	295,714	278,818	278,818	278,818	278,818
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	29,009	104	100	9,786	19,472	29,158	38,844
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: <u>HTH</u>	Contact Name: <u>Marion Wong</u>
Prog ID(s): <u>710/MK</u>	Phone: <u>453-6667</u>
Name of Fund: <u>Food Emergency Response Network (Multiplex Screening Panel for the Detect)</u>	Fund type (MOF) <u>P</u>
Legal Authority: <u>Act 53, SLH 2018</u>	Appropriation Acct. No. <u>S-14-508 H, S-16-508 H</u>

Intended Purpose: This Cooperative Agreement grant will enhance and expand the Department's laboratory capacity and capabilities to detect microbiological threat agents in foods, develop screening technologies, and provide timely lab response to local or nationwide foodborne pathogen outbreak.

Source of Revenues: Dept. of Health & Human Services (DHHS), Food & Drug Administration (FDA), Food Emergency Response Network (FERN)

Current Program Activities/Allowable Expenses: The fund will be used for laboratory support personnel, to procure equipment and other scientific supplies, to pay for extended service agreements for the purchased equipment that will be used to perform the tasks required in order to meet the Federal Cooperative Agreement.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: The decrease in revenues for FY17 is due to an increase in salaries and wages as a result of one vacant position being filled. The fluctuations in expenditures are due to extended service agreements for the purchased equipment and increased salary costs. The decrease in revenues for FY19 is due to a filled position becoming vacant and the decrease in expenditures are a result of the decreased salary costs. The FY20 decrease is due to position vacancies and inability to spend funds due to COVID-19. Funding agency extended grant one additional year. Grant ends 8/31/2021.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	300,000	300,000	300,000	300,000	300,000	300,000	300,000
Beginning Cash Balance	6,355	9,588	9,730	9,586	9,586	9,586	9,586
Revenues	259,562	238,136	219,257	159,750	98,218	0	0
Expenditures	265,915	237,994	219,401	159,750	98,218	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
02/28/17 JS3930	8,991						
02/28/17 JS3930	595						
Net Total Transfers	9,586	0	0	0	0	0	0
Ending Cash Balance	9,588	9,730	9,586	9,586	9,586	9,586	9,586
Encumbrances	0		2,923	1,473	1,473		
Unencumbered Cash Balance	9,588	9,730	6,663	8,113	8,113	9,586	9,586

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Health
Prog ID(s): HTH 720
Name of Fund: Medicare Administration Title XVIII
Legal Authority Social Security Act, Section 1864, and U.S. Public Law 100-578

Contact Name: Utey Uch
Phone: (808) 692-7229
Fund type (MOF) P
Appropriation Acct. No. S**-509-H

Intended Purpose:

To meet contractual obligations under the Social Security Act, Section 1864, and U.S. Public Law 100-578 between the State of Hawaii, Department of Health (DOH) and the Centers for Medicare and Medicaid Services (CMS) by completing federal survey & certification activities to enable health care providers, suppliers, and clinical laboratories in qualifying for Medicare/Medicaid reimbursement in Hawaii.

Source of Revenues:

The source of revenues are from Federal contracts. The contract funding is relatively stable from year to year but can increase or decrease based on the funding levels appropriated by Congress despite the level of funding requested by OHCA. Congress' dilemma on whether or how to address the federal budget deficit makes the level of funding for this program unpredictable for the next few years. Beginning in FY14, DAGS split previous S-223-H account into three (3) separate accounts - 509, 538, and 539 therefore no previous data exists prior to FY14.

Current Program Activities/Allowable Expenses:

On behalf of CMS, perform Medicare certification surveys by conducting initial, re-certification, follow-up, complaint, and/or validation on-site surveys of health care providers and suppliers (ambulatory surgical centers, comprehensive rehabilitation facilities, end-stage renal disease facilities, home health agencies, hospices, hospitals, intermediate care facilities for the mentally retarded, nursing facilities, outpatient physical therapy/speech pathology clinics, portable x-ray facilities, and rural health clinics), and clinical laboratories (CLIA); and investigate allegations of abuse, neglect, and/or misappropriation of resident property against certified nurse aides (CNA) in certified nursing homes according to federal regulations, policies, and procedures in Hawaii. Despite any changes to the federal funding, CMS expects the state to meet its contractual obligations of performing Medicare certification surveys on healthcare facilities and organizations within the program's jurisdiction.

Purpose of Proposed Ceiling Adjustment (if applicable):

Ceiling is increased to cover the increase in expenditures and to match the federal grants.

Variances:

The increase of expenditures in FY 2018, FY 2019 and FY 2020 is the result of filling vacant positions and increasing salary per bargaining unit wage agreement.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)
Appropriation Ceiling	1,271,413.00	1,441,210.00	1,706,720	2,239,876	2,239,876	2,239,876	2,239,876
Beginning Cash Balance	134,626.00	145,331.00	250,736	352,276	361,501	361,501	361,501
Revenues	1,246,349.00	1,552,334.06	1,576,142	1,706,178	1,800,000	1,800,000	1,800,000
Expenditures	1,235,644.00	1,446,929.42	1,474,602	1,696,953	1,800,000	1,800,000	1,800,000
List each net transfer in/out/ or projection in/out; list each account number							
Transfer-in			0	0	0	0	0
Transfer-out			0	0	0	0	0
Net Total Transfers			0	0	0	0	0
Ending Cash Balance	145,331	250,736	352,276	361,501	361,501	361,501	361,501
Encumbrances	0	87,115	73,284	57,058			
Unencumbered Cash Balance	145,331	163,621	278,992	304,443	361,501	361,501	361,501

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Health
 Prog ID(s): HTH 730
 Name of Fund: Core Violence & Injury Prevention Program
 Legal Authority: SEC 301, 317, & 319A 42USC241, 247B & 280B-B3

Contact Name: Terry Joyce
 Phone: 733-9217
 Fund type (MOF) P
 Appropriation Acct. No. S-17-510 H

Intended Purpose: Provide support to increase effectiveness in reducing and preventing the leading cause of injuries.

Source of Revenues: Dept of Health & Human Services Centers for Disease Control & Prevention National Center for Injury Prevention & Control.

Current Program Activities/Allowable Expenses: Coordination & integration of injury prevention & surveillance efforts/Personnel and other costs.

Purpose of Proposed Ceiling Increase (if applicable): Not Applicable.

Variances: See Note 3 and Note 4 below.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	750,000	250,000	250,000	250,000	250,000	250,000	250,000
Beginning Cash Balance	3,233	21	3	0	2,384	0	0
Revenues	147,867	220,232	220,420	247,657	320,729	0	0
Expenditures	151,080	220,250	220,424	245,272	323,113	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	21	3	0	2,384	0	0	0
Encumbrances	59,957	81,246	124,566	73,113	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Note 1: Data Source Reference for Actual FY 2020 Beginning Balance: MBP477-A OPTION:2, CY20, CM06, as of 06/30/20, State of Hawaii Financial Accounting & Management Information System.

Note 2: Data Source Reference for Actual FY 2020 Encumbrances (Unliquidated Balance): MBP490-A, CY20, CM06, as of 06/30/20, State of Hawaii Financial Accounting & Management Information System.

Note 3: The estimated revenue for FY 2021 is equal to the amount in the notice of grant award for FY 2021 plus the remaining encumbrance for FY 2020 minus the beginning cash balance for FY 2021. The estimated expenditure for FY 2021 is equal to fully expending the amount in the notice of grant award for FY 2021 and the remaining encumbrance for FY 2020. The estimated revenue and expenditure for FYs 2022-23 is equal to zero, since the current grant project period ends 07/31/21, and the grant office cannot confirm that there will be a future grant project period.

Note 4: The budget for FB15-17 included an increase in the ceiling in FY 2017 to include the anticipated award for the anticipated project period 08/01/2016 - 07/31/2021 (\$150,000 x 5 years = \$750,000). The actual award amount for 08/01/2016-07/31/2017 was \$250,000. The amount recommended for each year was \$250,000. Governor Approval to add \$500,000 to ceiling of \$750,000 is dated 10/11/16. In the budget for FB 2017-19, the ceiling was adjusted to \$250,000 for FY 2018 and for FY 2019 (Act 049, SLH 2017; Act 053, SLH 2018). The award amount continues to be \$250,000 for each budget period.

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 100
 Name of Fund: Comprehensive HIV Prevention Projects
 Legal Authority: Public Law 112-74, Patient Protection and Affordable Care Act, PL 111-148

Contact Name: Phillip Nguyen
 Phone: 586-4581
 Fund type (MOF) P
 Appropriation Acct. No. S 511 H

Intended Purpose: To prevent and reduce the incidence of STD in the State of Hawaii

Source of Revenues: U.S Department of Health & Human Service/Centers for Disease Control and Prevention

Current Program Activities/Allowable Expenses: HIV counseling and testing, education and risk reduction

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: In FY19, the HIV Prevention grant was merged with the HIV/AIDS grant and the combine total award significantly increased. The award was significantly increased in FY2020 and estimated to become stable moving forward.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	798,881	1,974,630	1,676,489	1,700,000	1,700,000	1,700,000	1,700,000
Beginning Cash Balance	23,096	47	1,491	0	0	0	0
Revenues	869,524	869,282	1,131,086	1,649,744	1,700,000	1,700,000	1,700,000
Expenditures	892,573	867,838	1,132,577	1,649,744	1,700,000	1,700,000	1,700,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers					0	0	0
Ending Cash Balance	47	1,491	0	0	0	0	0
Encumbrances			541,692	153,372			
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 840 FG
 Name of Fund: Beach Monitoring and Notification Program
 Legal Authority: PL 92-500, Water Pollution Control Act

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) P
 Appropriation Acct. No. S 512 H

Intended Purpose: Implement State's beach monitoring and notification program

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Administration, program development, technical assistance, monitoring, risk assessment, enforcement, disease surveillance and investigation, laboratory capabilities and certification, public response and notification, data management and reporting

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Expenditures vary according to workplan.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	328,000	324,450	328,650	328,650	345,083	328,868	345,311
Beginning Cash Balance	6,934	46,252	646	1,643	2,959	2,959	2,959
Revenues	362,323	419,757	355,861	249,187	300,000	300,000	300,000
Expenditures	323,005	465,364	354,863	247,871	300,000	300,000	300,000
Transfers							
List each net transfer in/out; list each account number							
	0						
Net Total Transfers	0						
Ending Cash Balance	46,252	645	1,643	2,959	2,959	2,959	2,959
Encumbrances	288,489	77,873	128,186	123,957	123,957	123,957	123,957
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 849 FA
 Name of Fund: Exchange Network
 Legal Authority: P.L. 111-88; 40 CFR Part 3

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) P
 Appropriation Acct. No. S 513 H

Intended Purpose: Develop and implement various data systems for Environmental Health Administration programs

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Administration, program development, reporting, and technical assistance

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Grant has multi-year award periods and expenditures vary according to workplan.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	315,000	315,000	330,750	300,000	300,000	315,000	315,000
Beginning Cash Balance	3,955	8,113	81,689	830	0	0	0
Revenues	128,187	145,843	140,175	231,206	230,000	230,000	230,000
Expenditures	124,029	72,267	221,033	232,036	230,000	230,000	230,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	8,113	81,689	830	0	0	0	0
Encumbrances	225,000	185,000	150,000	185,000	185,000	185,000	185,000
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Health
 Prog ID(s): HTH 904-AJ
 Name of Fund: Senior Medicare Patrol
 Legal Authority: 42 USC 3032

Contact Name: S.Chun
 Phone: 6-7323
 Fund type (MOF) P
 Appropriation Acct. No. S 514 H

Intended Purpose: To empower seniors through increased awareness and understanding of healthcare programs.

Source of Revenues: Administration on Community Living

Current Program Activities/Allowable Expenses: Medicare beneficiaries and their families.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Grant amount changes from year to year like every federal grant.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	4,524,801	5,369,954	6,074,344	4,544,580	723,791	723,791	723,791
Beginning Cash Balance	6,925	8,261	20,287	31,535	26,517	0	0
Revenues	224,502	286,045	250,434	250,383	245,764	245,764	245,764
Expenditures	223,166	274,019	239,186	255,401	272,281	245,764	245,764
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	8,261	20,287	31,535	26,517	0	0	0
Encumbrances	38,355	13,735	12,904	5,409			
Unencumbered Cash Balance	(30,094)	6,552	18,631	21,108	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 100
 Name of Fund: HIV/AIDS
 Legal Authority: Public Law 112-74, Patient Protection and Affordable Care Act, PL I11-148

Contact Name: Phillip Nguyen
 Phone: 586-4581
 Fund type (MOF) P
 Appropriation Acct. No. S 515 H

Intended Purpose: To prevent and reduce the incidence of HIV/AIDS in the State of Hawaii

Source of Revenues: U.S Department of Heath & Human Service/Centers for Disease Control and Prevention

Current Program Activities/Allowable Expenses: HIV/AIDS surveillance

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: In FY2018, the grant had a major decrease in the award amount compare to prior years. From FY2019, the grant was merged with the HIV Prevention grant (S 511 H) effective 1/1/18 and therefore has no revenue and expenditure from FY2019 and forward.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	363,046	363,099	0	0	0	0	0
Beginning Cash Balance	6,622	39	0	0	0	0	0
Revenues	230,415	157,691	0	0	0	0	0
Expenditures	236,998	157,730	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers					0	0	0
Ending Cash Balance	39	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 100
 Name of Fund: Prospective Comparison of TST vs. IGRAs
 Legal Authority: Public Law 112-74, Patient Protection and Affordable Care Act, PL 111-148

Contact Name: Phillip Nguyen
 Phone: 586-4581
 Fund type (MOF) P
 Appropriation Acct. No. S 516 H (FY14-20)

Intended Purpose: Compare the performance of Interferon Gamma Release Assays (IGRAs) and Tuberculin Skin Test (TST) in a large and heterogeneous cohort of patients in order to identify the method that best predicts progression to TB disease, overall and in important subgroups of patients.

Source of Revenues: U.S Department of Health & Human Service/Centers for Disease Control and Prevention

Current Program Activities/Allowable Expenses: Conducting a study to compare TST and IGRAs in diagnosing Latent Tuberculosis Infection (LTBI) and in predicting progression from LTBI to TB disease.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: The variance in revenues and expenditures between FY18 and FY19 is due to further increase in program activities in FY19. FY2020 has decreased in revenue and expenditure due to some position vacancies. From FY21 to FY23, the projected level of revenue and expenditure is expected to increase again with increased program activities.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,725,695	2,725,695	302,000	427,403	427,000	427,000	427,000
Beginning Cash Balance	144,001	197,823	256,086	377,066	366,269	366,269	366,269
Revenues	379,236	349,104	422,716	268,990	347,403	347,403	347,403
Expenditures	325,414	290,841	301,735	279,788	347,403	347,403	347,403
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers					0	0	0
Ending Cash Balance	197,823	256,086	377,066	366,269	366,269	366,269	366,269
Encumbrances							
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH560
 Name of Fund: Newborn Screening State Evaluation Program
 Legal Authority: Public Health Service Act, § 1110 (42 U.S.C. 300b-9)

Contact Name: Michelle C Matsuoka
 Phone: 733-9062
 Fund type (MOF) P
 Appropriation Acct. No. S 517 H

Intended Purpose: To collect data in order to improve evaluation of state newborn screening programs and to build state-level capacity to assess and report on the effectiveness of screening, including timeliness, follow up, counseling, and health care services, in reducing the morbidity and mortality caused by heritable disorders in newborns and children

Source of Revenues: U.S. Department of Health and Human Services (DHHS), Health Resources and Services Administration (HRSA)

Current Program Activities/Allowable Expenses: The project will collect and analyze newborn screening data based on national performance measures. The data will be submitted to the federally funded Newborn Screening Technical assistance and Evaluation Program for collation and reporting to HRSA.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: New, non-appropriated grant beginning 9/1/2019 (FY 2020) with 2-year project period ending FY 2021. Budget/project period for grant is 9/1/20 - 8/31/21. The variance in revenue/expenditures from FY 2020-21 occurred because the federal project officer was close to retirement and did not initiate meetings for the grantees in providing instruction. A new project officer was assigned in February 2020 and thus Program is able to commence with the hiring process for an Office Assistant III. Expenditures for the position commenced July 1, 2020. This funding will cease 8/31/2021.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				150,000	150,000	150,000	
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues				0	275,000	25,000	0
Expenditures				0	275,000	25,000	0
Transfers	List each net transfer in/out/ or projection in/out; list each account number						
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances					0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 100
 Name of Fund: Hansen's Disease National Ambulatory Care Program
 Legal Authority: P.L. 99-117

Contact Name: Phillip Nguyen
 Phone: 586-4581
 Fund type (MOF) P
 Appropriation Acct. No. S 518 H

Intended Purpose: To prevent and reduce the incidence of Hansen's Disease (HD)

Source of Revenues: U.S. Dept. of Health & Human Services/*Community Program Reimbursement

Current Program Activities/Allowable Expenses: HD services include medical, nursing, laboratory, educational, social, and rehabilitative services.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: Variances do not exceed 10%

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,065,157	1,096,993	989,127	2,000,000	2,000,000	2,000,000	2,000,000
Beginning Cash Balance	96,865	21,763	9,657	23,963	25,462	25,462	25,462
Revenues	898,523	919,220	980,327	916,824	925,000	925,000	925,000
Expenditures	973,625	931,325	966,022	915,325	925,000	925,000	925,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	21,763	9,658	23,963	25,462	25,462	25,462	25,462
Encumbrances							
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Health
 Prog ID(s): HTH 495
 Name of Fund: Hawaii COVID-19 Mental Health Response
 Legal Authority: Section 334-7, HRS

Contact Name: Amy Yamaguchi
 Phone: 586-4682
 Fund type (MOF) P
 Appropriation Acct. No. S 519 H

Intended Purpose: The purpose of this grant is to bring critical mental health services directly to individuals statewide, both in person and through telehealth, amidst a pandemic.

Source of Revenues: Emergency Grants to Address Mental and Substance Use Disorders During COVID 19

Current Program Activities/Allowable Expenses: Activities include provision of mental health services for adults with serious mental illness (SMI) or co-occurring SMI and substance use disorders, homeless individuals with less serious mental illness, and healthcare practioners requiring mental health care as a result of COVID-19.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: The grant is scheduled to end on 8/19/2021.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling					2,000,000		
Beginning Cash Balance		0	0	0	0	0	0
Revenues					2,000,000		
Expenditures					2,000,000		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances				5,092			
Unencumbered Cash Balance	0	0	0	(5,092)		0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 440
 Name of Fund: Hawaii Tobacco State Enforcement Contract
 Legal Authority: HHSF223201110148C

Contact Name: Amihan Aiona
 Phone: 692-7508
 Fund type (MOF) P
 Appropriation Acct. No. S 520 H

Intended Purpose: To ensure states maintain compliance with and enforce certain provisions of the Federal Tobacco Control Act regulations (21 CFR, Part 1140).

Source of Revenues: Department of Health and Human Services -Food and Drug Administration (FDA).

Current Program Activities/Allowable Expenses: Conduct unannounced tobacco inspections of retail outlets for compliance with respect to federal regulations, collect, document and preserve evidence; support FDA in any enforcement or judicial actions; coordinate with FDA for responses to press; respond to inquiries by FDA concerning inspections and activities conducted.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: Prior three year contract from 9/30/14 to 9/29/17. New three year contract from 9/30/17 to 9/29/20. FY 2016 to FY 2019 variances are due to the following reasons: 1) delayed execution of purchase of service contract modification in FY 2016 and FY 2018; 2) the contract amount has increased from FY 2016 \$386,608 to FY 2020 \$548,733, thereby increasing revenues and expenses annually. New four year contract 9/30/20 to 9/29/24 assigned new account S-21-652-H.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	386,603	501,754	523,741	548,733	0	0	0
Beginning Cash Balance	23,259	10,239	69,534	122,084	113,609	113,609	113,609
Revenues	350,310	288,031	353,636	307,109	0	0	0
Expenditures	363,330	228,736	301,087	315,583	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	10,239	69,534	122,084	113,609	113,609	113,609	113,609
Encumbrances	150,253	321,672	484,984	567,805	0	0	0
Unencumbered Cash Balance	(140,014)	(252,138)	(362,900)	NA	113,609	113,609	113,609

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Community-Based Integrated Service Systems (Local/State) (ECCS)
 Legal Authority: SSA, Title V, Section 501(a)(2-3), as amended; 42 U.S.C. 701

Contact Name: William L. Aakhus
 Phone: 586-9305
 Fund type (MOF) P
 Appropriation Acct. No. S 522 H

Intended Purpose: The ECSS Grant is funded in order to accomplish the Maternal and Child Health Bureau's Strategic Plan for Early Childhood Health across all states. This implementation grant requires setting incremental goals and objectives for Hawaii to develop an early childhood comprehensive system. Achievement of outcomes in the following key areas are to be addressed: a) access to health insurance and medical homes; b) mental health and social-emotional development; c) early childcare and education; d) parent education; and family support.

Source of Revenues: DHHS, HRSA

Current Program Activities/Allowable Expenses: Strategic management team consists of members from Hawaii's Departments of Health, Education, and Human Services, as well as early childhood stakeholders whom meet quarterly to provide oversight of implementation.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: The variance in revenues and expenditures from FY2018-19 is the results of program spending carry over funds from FY 2018 and filling vacant positions and increased program activities including contract encumbrances/expenditures. The variance between FY 2019-20 revenues and expenditures is due to the absense of carry over funds in FY 2020.
 Variances do not exceed 10%".

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	426,600	426,600	426,600	426,000	550,000	550,000	550,000
Beginning Cash Balance	3,434	42	3,977	574	2	2	2
Revenues	133,128	239,855	512,176	400,715	420,000	420,000	420,000
Expenditures	136,520	235,920	515,579	401,287	420,000	420,000	420,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	42	3,977	574	2	2	2	2
Encumbrances	0	223,012	100,141	121,582	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Rural Hospital Flexibility Program (FLEX)
 Legal Authority: Balanced Budget Act of 1997, Section 4201, PL 105-33

Contact Name: William L. Aakhus
 Phone: 586-9305
 Fund type (MOF) P
 Appropriation Acct. No. S 524 H

Intended Purpose: This grant program is a Federal initiative that provides funding to State Governments to strengthen rural health. This program: (1) Allows small hospitals the flexibility to reconfigure operations and be licensed as Critical Access Hospital (CAHs), (2) Offers cost-based reimbursement for Medicare acute and skilled inpatient and outpatient services, (3) Encourages the development of rural-centric health networks, (4) Offers grants to States to help implement a CAH program in the context of broader initiatives to strengthen the rural health care infrastructure.

Source of Revenues: US Department of Health and Human Services, Health Resource Services Administration, Office of Rural Health Policy

Current Program Activities/Allowable Expenses: The FLEX program works with Hawaii's nine CAHs on improving quality and performance, developing health information technology plans, and conversion to ICD-10; with rural communities on developing integrated systems of care; with the Emergency Medical Systems and Injury Prevention Branch on improving trauma care in rural areas; and providing community health needs assessments in rural areas.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: Variances do not exceed 10%.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	437,240	437,240	500,000	500,000	500,000	500,000	500,000
Beginning Cash Balance	5,745	61	1,175	372	5	5	5
Revenues	375,654	425,339	434,000	338,228	370,000	370,000	370,000
Expenditures	381,338	424,225	434,803	338,595	370,000	370,000	370,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	61	1,175	372	5	5	5	5
Encumbrances	129,421	64,782	92,463	154,603	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Small Rural Hospital Improvement Grant (SHIP)
 Legal Authority: SSA, Section 1820(g)(3), 42 USC 1395i-4

Contact Name: William L. Aakhus
 Phone: 586-9305
 Fund type (MOF) P
 Appropriation Acct. No. S 525 H

Intended Purpose: This grant program is designed to help small rural hospitals comply with provision of the Patient Protection and Affordable Care Act. Grant monies may be used to prepare along three broad categories: (1) Value-Base Purchasing; (2) Accountable Care Organization/Shared Savings; and (3) Payment Bundling/PPS improvements.

Source of Revenues: US Department of Health and Human Services, Health Resource Services Administration, Office of Rural Health Policy

Current Program Activities/Allowable Expenses: Funds are used to provide HCAHPS satisfaction surveys and quality improvement and benchmark training for the CAHs and ICD-10 implementation for the eligible non-CAH hospital.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: The variance in revenues and expenditures between FY 2018-19 is due to an increase in expenditures resulting from a large carry over from FY 2017 to FY 2018 and an increase in drawdowns (revenues) as a result.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	94,500	94,500	94,500	110,000	110,000	110,000	110,000
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	67,172	163,382	118,486	111,455	110,000	110,000	110,000
Expenditures	67,172	163,382	118,486	111,455	110,000	110,000	110,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	69,887	70,956	54,170	61,375	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Regional Genetics Networks
 Legal Authority: Social Security Act (SSA) Title V, Section 502(a)(1), as amended

Contact Name: Michelle C Matsuoka
 Phone: 733-9062
 Fund type (MOF) P
 Appropriation Acct. No. S 526 H

Intended Purpose: To plan, develop, and evaluate activities that improve access to clinical genetic and newborn screening services, resources, and education for medically underserved families in Alaska, California, Hawaii, Idaho, Oregon, Washington, and Guam.

Source of Revenues: U.S. Department of Health and Human Services (DHHS), Health Resources and Services Administration (HRSA)

Current Program Activities: These funds are used to: 1) develop, pilot, and evaluate activities to increase access to clinical genetic specialty services to underserved populations; 2) develop and support telehealth as a method to received genetic services and education; 3) improve public and health care provider knowledge of genetic and newborn screening services and resources; 4) promote third party reimbursement of genetic services; and 5) facilitate coordination between genetic services providers, families, primary care providers, state genetic programs workers to identify and implement strategies to increase the availability of pediatric subspecialty care for families with or at risk for heritable conditions.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: The variance in revenues and expenditures between FY 2018-19 is due to delayed contract execution resulting in a large carry over balance expended in FY 2019. FY20 also had a delay in the contract execution, so carryover dollars of \$242k in FY21. Stabilize expenditures for FY22 and FY23.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	750,000	750,000	600,000	600,000	600,000	600,000	600,000
Beginning Cash Balance	1,170	24	3	78	4	4	4
Revenues	483,628	507,203	755,540	539,582	842,000	600,000	600,000
Expenditures	484,774	507,224	755,465	539,656	842,000	600,000	600,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	24	3	78	4	4	4	4
Encumbrances	222,232	457,939	592,596	516,749	311,844	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Universal Newborn Hearing Screening
 Legal Authority: Section 399M of the Public Health Service (PHS) Act, as amended

Contact Name: Michelle C Matsuoka
 Phone: 733-9062
 Fund type (MOF) N
 Appropriation Acct. No. S 527 H

Intended Purpose: To further develop and refine the system of screening, assessment, and early intervention services for young children with hearing loss, so that all young children with hearing loss will reach developmentally appropriate milestones for language and communication.

Source of Revenues: U.S. Department of Health and Human Services (DHHS), Health Resources and Services Administration (HRSA)

Current Program Activities: These funds are used to: 1) complete implementation of universal newborn hearing screening program components by establishing a state advisory committee and an evaluation component; 2) improve existing components of screening, linkage with medical home, audiological assessment, data/tracking system, and professional and public educations; and 3) refine family support and early intervention services to meet the needs of young children with hearing impairment and their families.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: The variance between revenues and expenditures between FY 2018-19 is due to increased contract expenditures and cash draws, with the same occurring for FY19 to FY20. No variance from FY20 to FY21. Grant funding decrease anticipated which will account for the variance from FY21 to FY22, as lower expenditures expected.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	450,000	450,000	300,000	300,000	300,000	300,000	300,000
Beginning Cash Balance	3,319	45	16	0	1	0	0
Revenues	167,629	241,707	272,784	345,521	355,675	235,000	235,000
Expenditures	170,903	241,736	272,801	345,519	355,676	235,000	235,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	45	16	0	1	0	0	0
Encumbrances		5,455					
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Abstinence Only Education Grant (project: 000273)
 Legal Authority: SSA, Title V, Section 510

Contact Name: William L. Aakhus
 Phone: 586-9305
 Fund type (MOF) P
 Appropriation Acct. No. S 528 H

Intended Purpose: To provide States with funding for additional tools to address the rates of teen pregnancy among those groups who are most likely to bear children out of wedlock.

Source of Revenues: DHHS, Administration for Children & Families, State Special Funds

Current Program Activities/Allowable Expenses: This 2 year abstinence education grant is no longer active. The intent of the grant was to build the sexual health teaching capacity on each of the six major islands using a Hawaii developed, abstinence-based teen pregnancy prevention and positive youth development curriculum. Capacity building activities included: on-line and in-person training by trainers; access to scripts, lesson plans and interactive tools; provision of an on-island health educator and technical assistance from the Department of Health; and ongoing community and administration coordination by the University of Hawaii at Manoa. Hawaii's Asian and Pacific Islander adolescents 11 to 13 years of age across the state and particularly on the neighbor islands will be the focal population.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: No revenue or expenditures will occur beyond FY 2018; grant was returned in FY 2018 and closed.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	200,000	200,000	0	0	0	0	0
Beginning Cash Balance	0	11	0	0	0	0	0
Revenues	125,693	13,642	0	0	0	0	0
Expenditures	125,682	13,654	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	11	0	0	0	0	0	0
Encumbrances	44,631	0	0	0	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH, Family Health Service Division
 Prog ID(s): HTH 560
 Name of Fund: Maternal, Infant and Early Childhood Home Visiting Grant Program (MIECHV)
 Legal Authority: SSA, as amended by BBA of 2018, Title VI, A.

Contact Name: William L. Aakhus
 Phone: 586-9305
 Fund type (MOF) P
 Appropriation Acct. No. S 529 H

Intended Purpose: To strengthen and improve home visiting programs, improve service coordination for at risk communities, and identify and provide comprehensive evidence-based home visiting services to families who reside in at risk communities.

Source of Revenues: U.S. Department of Health and Human Services, Health Resources and Services Administration, Administration for Children and Families

Current Program Activities/Allowable Expenses:

These funds are currently supporting the development of a Hawaii Home Visiting Network (HHVN). This is a public/private partnership with existing home visiting programs to build capacity for home visiting services within the community and to address program sustainability for the future. This project will also build back a hospital based Early Identification program for families at risk for child maltreatment. Identified families will be offered home visiting services through the HHVN.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: The variance is due to the FY 2020 column representing an ongoing grant. Both the revenue & expenditure amounts will increase as the funds are spent between now and the end of the timeframe for payments, 12/30/2020. The final figures to be within the 10% threshold.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,645,730	1,645,730	3,700,000	3,700,000	3,678,058	3,697,706	3,588,988
Beginning Cash Balance	45,775	0	148	1,564	8	0	0
Revenues	398,554	2,313,350	3,689,220	2,433,343	3,678,050	3,697,706	3,588,988
Expenditures	444,329	2,313,202	3,687,804	2,434,900	3,678,058	3,697,706	3,588,988
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	148	1,564	8	0	0	0
Encumbrances	44,996	1,052,197	481,411	1,288,848	919,515	924,426	897,247
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH, Family Health Service Division
 Prog ID(s): HTH 560
 Name of Fund: Sexual Violence Primary Prevention and Education
 Legal Authority: 42 USC 280b-1b(a)

Contact Name: William L. Aakhus
 Phone: 586-9305
 Fund type (MOF) N
 Appropriation Acct. No. S 531 H

Intended Purpose: To provide sexual violence primary prevention services through activities, such as education and training to increase awareness and prevent sexual violence; to collaborate with and provide technical support to public and private agencies, community stakeholders, and community-based organizations to prevent all forms of sexual violence; and to increase the capacity of community-based organizations to engage in sexual violence primary prevention initiatives, activities, and partnerships.

Source of Revenues: DHHS, CDC

Current Program Activities/Allowable Expenses:

The funding provides for a program coordinator position that is responsible for statewide sexual violence prevention efforts including collaboration, planning, and support, as well as monitoring and evaluation services, for the following:

- A. Convene and maintain a Sexual Violence Prevention Work Group that consists of public and private agencies;
- B. Implement the Sexual Violence State Action Plan;
- C. Provide technical assistance and support to increase awareness and building capacity of sub-recipients, government agencies, and community-based organizations to prevent all forms of sexual violence;
- D. Coordinate and collaborate with the Hawaii Coalition Against Sexual Assault to provide public awareness and prevention education activities in the community; and
- E. Support private and public agencies to provide sexual violence primary prevention activities that (1) increase awareness of sexual violence and (2) increase understanding of healthy relationships through education to youth and young adults through trainings and technical assistance to youth-serving agencies.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: Not applicable.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	255,086	255,086	300,000	300,000	300,000	300,000	300,000
Beginning Cash Balance	2,948	7	83	0	0	0	0
Revenues	281,759	282,512	286,176	262,862	262,862	262,862	262,862
Expenditures	284,699	282,437	286,259	262,862	262,862	262,862	262,862
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	7	83	0	0	0	0	0
Encumbrances	129,095	117,912	0	127,225	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Hawaii Pregnancy Risk Assessment Monitoring System (PRAMS)
 Legal Authority: Section 317(k) of PHS Act; 42 USC 247b-12, as amended

Contact Name: William L. Aakhus
 Phone: 586-9305
 Fund type (MOF) P
 Appropriation Acct. No. S 533 H

Intended Purpose: The Hawai'i Pregnancy Risk Assessment Monitoring System (PRAMS) Program is a population-based surveillance system designed to identify and monitor maternal experiences, attitudes, and behaviors from preconception, through pregnancy and into the interconception period. The goal of the Hawaii PRAMS program is to improve the health of mothers and infants by reducing adverse outcomes such as low birth weight, infant mortality and morbidity, and maternal morbidity. PRAMS provides Hawaii-specific data for planning and assessing health programs and for describing maternal experiences that may contribute to maternal and infant health.

Source of Revenues: Centers for Disease Control and Prevention (CDC), National Center for Chronic Disease Prevention & Health Promotion, Division of Reproductive Health

Current Program Activities/Allowable Expenses:

- A. Maintain a surveillance system to collect information from a defined sample of new mothers with live born infants.
- B. Conduct analysis and trend analysis of data to understand the relationship between behaviors, attitudes, and experiences relating to pregnancy and early infancy.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: The variance between FY 2018-19 is due to reduced payroll obligations and related expenditures. The variance between FY 2019-20 is due to estimated contract expenditures. From FY 2020 onward, the estimated Appropriation Ceiling was changed to align with annual award.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	195,445	195,445	800,000	160,000	160,000	160,000	160,000
Beginning Cash Balance	4,653	319	573	0	16	16	16
Revenues	154,916	158,911	136,747	155,472	157,000	157,000	157,000
Expenditures	159,250	158,656	137,319	155,456	157,000	157,000	157,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	319	573	0	16	16	16	16
Encumbrances				4,999	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH, Family Health Service Division
 Prog ID(s): HTH 560
 Name of Fund: Personal Responsibility Education Grant (PREP) (project: 000613)
 Legal Authority: SSA, Title V, Section 513

Contact Name: William L. Aakhus
 Phone: 586-9305
 Fund type (MOF) N
 Appropriation Acct. No. S 534 H

Intended Purpose: The personal responsibility and education grant provides support to the States to replicate effective, evidence-based teen pregnancy prevention and positive youth development program models that have been scientifically proven to change behavior such as delaying sexual activity, increasing condom or contraceptive use, and reducing pregnancy among adolescents.

Source of Revenues: DHHS, Administration for Children and Families

Current Program Activities/Allowable Expenses: This grant supports the training and implementation of an evidence-based, teen pregnancy prevention and positive youth development curriculum. It is designed to provide adolescents a comprehensive set of life skills for educational and career success, to develop healthy habits and to know what healthy relationships are in order to prevent pregnancies, HIV/AIDS and sexually transmitted infections. The grant targets high school dropouts statewide, 16 to 18 years of age that attend the Department of Defense Hawaii National Guard Youth Challenge Academy in Hilo and Kalaheo, Hawaii.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: N/A

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	351,689	351,689	250,000	250,000	250,000	250,000	250,000
Beginning Cash Balance	2,971	29	9	32	1	1	1
Revenues	147,602	189,866	200,268	209,563	205,000	205,000	205,000
Expenditures	150,544	189,887	200,244	209,594	205,000	205,000	205,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	29	9	32	1	1	1	1
Encumbrances	122,558	56,287	30,443	100	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 590
 Name of Fund: Collaborative State Public Health Actions
 Legal Authority: Act 134, SLH 2013

Contact Name: Lola Irvin
 Phone: 586-4481
 Fund type (MOF) P
 Appropriation Acct. No. S 14 535 H

Intended Purpose: Address prevention of diabetes and its complications; develop tracking system and population-based interventions for prevention of heart disease and stroke; improve healthful eating and physical activity to prevent obesity and other chronic diseases; promote the adoption of policies and systems changes in the school setting to increase healthy eating and physical activity.

Source of Revenues: Centers for Disease Control and Prevention (CDC), National Center for Chronic Disease Prevention and Health Promotion

Current Program Activities/Allowable Expenses: Promotion/adoption/implementation of food service guidelines/nutrition standards, physical education/activity in schools and early child care education and worksites, awareness of high blood pressure and pre-diabetes, participation and use of self-management programs, and use of lifestyle intervention programs in the communities.

Purpose of Proposed Ceiling Adjustment (if applicable): None

Variances: Grant ended on 09/29/2018

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,074,216	1,105,210	1,105,210	0	0	0	0
Beginning Cash Balance	11,286	1,094	6,166	0	0	0	0
Revenues	868,364	1,371,674	580,779	0	0	0	0
Expenditures	878,556	1,366,602	586,945	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,094	6,166	0	0	0	0	0
Encumbrances	547,259	601,031	336,148				
Unencumbered Cash Balance	(546,165)	(594,865)	(336,147)	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 610 FR
 Name of Fund: Toxic Substance Compliance Monitoring
 Legal Authority: Toxic Substances Control Act, Section 28; 40 CFR Part 31

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) P
 Appropriation Acct. No. S 536 H

Intended Purpose: Implement asbestos monitoring, inspection and enforcement pursuant to Toxic Substances Control Act

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Administration, program development, data management, inspection, risk assessment, and enforcement

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Starting in FY 20, changed to 2-year award, rather than 1-year award.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	96,000	91,000	95,550	182,000	47,676	94,500	47,250
Beginning Cash Balance	3,807	5,001	45,406	1,047	6	6	6
Revenues	44,008	77,586	(37,406)	42,548	40,000	40,000	40,000
Expenditures	42,814	37,180	6,953	43,589	40,000	40,000	40,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	5,001	45,407	1,047	6	6	6	6
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH710/MK
 Name of Fund: Food Safety Inspection Service
 Legal Authority: Act 49, SLH 2017

Contact Name: Marion Wong
 Phone: 453-6667
 Fund type (MOF): P
 Appropriation Acct. No. S-14-537 H, S-15-537 H,
S-16-537 H

Intended Purpose: This Cooperative Agreement grant will enhance and expand Department's laboratory capacity and capabilities to detect microbiological threat agents in foods, develop screening technologies, and to provide timely lab response to local or nationwide foodborn pathogen outbreak.

Source of Revenues: United States Department of Agriculture (USDA), Food Safety and Inspection Service (FSIS).

Current Program Activities/Allowable Expenses: The fund will be used for laboratory support personnel, to procure equipment and other scientific supplies, to pay for extended service agreements for the purchased equipment that will be used to perform the tasks required in order to meet the Federal Cooperative Agreement.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: The variances (decreases) in FY15-FY17 revenues and expenditures are due to decrease in grant amount for the budget period.
 The FY 18 Grant has ended.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	2,633	0	0	0	0	0	0
Revenues	15,334	0	0	0	0	0	0
Expenditures	17,967	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
02/28/17 JS3930	0						
02/28/17 JS3930	0						
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0						
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Health
Prog ID(s): HTH 720
Name of Fund: Medicare Administration Title XIX
Legal Authority Social Security Act, Section 1864, and U.S. Public Law 100-578

Contact Name: Utey Uch
Phone: (808) 692-7229
Fund type (MOF) P
Appropriation Acct. No. S-**-538-H

Intended Purpose:

To meet contractual obligations under the Social Security Act, Section 1864, and U.S. Public Law 100-578 between the State of Hawaii, Department of Health (DOH) and the Centers for Medicare and Medicaid Services (CMS) by completing federal survey & certification activities to enable health care providers, suppliers, and clinical laboratories in qualifying for Medicare/Medicaid reimbursement in Hawaii.

Source of Revenues:

The source of revenues are from Federal contracts. The contract funding is relatively stable from year to year but can increase or decrease based on the funding levels appropriated by Congress despite the level of funding requested by OHCA. Congress' dilemma on whether or how to address the federal budget deficit makes the level of funding for this program unpredictable for the next few years. Beginning in FY14, DAGS split previous S-223-H account into three (3) separate accounts - 509, 538, and 539 therefore no previous data exists prior to FY14.

Current Program Activities/Allowable Expenses:

On behalf of CMS, perform Medicare certification surveys by conducting initial, re-certification, follow-up, complaint, and/or validation on-site surveys of health care providers and suppliers (ambulatory surgical centers, comprehensive rehabilitation facilities, end-stage renal disease facilities, home health agencies, hospices, hospitals, intermediate care facilities for the mentally retarded, nursing facilities, outpatient physical therapy/speech pathology clinics, portable x-ray facilities, and rural health clinics), and clinical laboratories (CLIA); and investigate allegations of abuse, neglect, and/or misappropriation of resident property against certified nurse aides (CNA) in certified nursing homes according to federal regulations, policies, and procedures in Hawaii. Despite any changes to the federal funding, CMS expects the state to meet its contractual obligations of performing Medicare certification surveys on healthcare facilities and organizations within the program's jurisdiction.

Purpose of Proposed Ceiling Adjustment (if applicable):

Ceiling is increased to cover the increase in expenditures and to match the federal grant and state match reimbursements from Hawaii Department of Human Services.

Variances:

The increase of expenditures in FY2018 is the result of filling vacant positions and increasing salary per bargaining unit wage agreement. Expenditures are expected to increase in FY2020 to FY2022 because of filling three new positions including 2.0 FTE RN V and 1.0 SW V.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	837,513	941,753	1,581,884	1,436,643	1,436,643	1,436,643	1,436,643
Beginning Cash Balance	354,751	379,064	690,098	1,156,719	195,590	195,590	195,590
Revenues	693,132	1,099,689	1,550,653	780,433	1,400,000	1,400,000	1,400,000
Expenditures	668,819	788,654	1,084,032	1,741,563	1,400,000	1,400,000	1,400,000
List each net transfer in/out/ or projection in/out; list each account number							
Transfers-in			0	0	0	0	0
Transfer-out			0	0	0	0	0
Net Total Transfers			0	0	0	0	0
Ending Cash Balance	379,064	690,098	1,156,719	195,590	195,590	195,590	195,590
Encumbrances	0			0			
Unencumbered Cash Balance	379,064	690,098	1,156,719	195,590	195,590	195,590	195,590
Additional Information:							
Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Health
Prog ID(s): HTH 720
Name of Fund: Medicare Clinical Laboratory Improvement Amendments (CLIA)
Legal Authority: Social Security Act, Section 1864, and U.S. Public Law 100-578

Contact Name: Utey Uch
Phone: (808) 692-7229
Fund type (MOF): P
Appropriation Acct. No.: S-**-539-H

Intended Purpose: To meet contractual obligations under the Social Security Act, Section 1864, and U.S. Public Law 100-578 between the State of Hawaii, Department of Health (DOH) and the Centers for Medicare and Medicaid Services (CMS) by completing federal survey & certification activities to enable health care providers, suppliers, and clinical laboratories in qualifying for Medicare/Medicaid reimbursement in Hawaii.

Source of Revenues: The source of revenues are from Federal contracts. The contract funding is relatively stable from year to year but can increase or decrease based on the funding levels appropriated by Congress despite the level of funding requested by OHCA. Congress' dilemma on whether or how to address the federal budget deficit makes the level of funding for this program unpredictable for the next few years. Beginning in FY14, DAGS split previous S-223-H account into three (3) separate accounts - 509, 538, and 539 therefore no previous data exists prior to FY14.

Current Program Activities/Allowable Expenses: On behalf of CMS, perform Medicare certification surveys by conducting initial, re-certification, follow-up, complaint, and/or validation on-site surveys of health care providers and suppliers (ambulatory surgical centers, comprehensive rehabilitation facilities, end-stage renal disease facilities, home health agencies, hospices, hospitals, intermediate care facilities for the mentally retarded, nursing facilities, outpatient physical therapy/speech pathology clinics, portable x-ray facilities, and rural health clinics), and clinical laboratories (CLIA); and investigate allegations of abuse, neglect, and/or misappropriation of resident property against certified nurse aides (CNA) in certified nursing homes according to federal regulations, policies, and procedures in Hawaii. Despite any changes to the federal funding, CMS expects the state to meet its contractual obligations of performing Medicare certification surveys on healthcare facilities and organizations within the program's jurisdiction.

Purpose of Proposed Ceiling Adjustment (if applicable): Ceiling is increased to cover the increase in expenditures and to match the federal grants.

Variances:

Expenditures were increased in FY 2019 and FY 2020 because of filling vacant position about 1/3 of fiscal year 2019. It is expected to increase in fiscal year 2021 to 2023 since it has full year payroll cost for the position.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	128,480	142,867	130,417	173,568	173,568	173,568	173,568
Beginning Cash Balance	373	279	2,511	0	0	68	68
Revenues	15,661	25,010	78,214	133,988	173,568	173,500	173,500
Expenditures	15,755	22,777	78,071	133,983	173,500	173,500	173,500
List each net transfer in/out/ or projection in/out; list each account number							
Transfer-in	276						
Transfer-out							
Net Total Transfers							
Ending Cash Balance	279	2,512	2,654	4	68	68	68
Encumbrances	0	6,358	23,379	1,284			
Unencumbered Cash Balance	279	(3,846)	(20,725)	NA	NA	68	68

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 610 FR
 Name of Fund: Toxic Substance Compliance Monitoring
 Legal Authority: Toxic Substances Control Act, Section 28; 40 CFR Part 31

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) P
 Appropriation Acct. No. S 540 H

Intended Purpose: Develop and maintain compliance monitoring programs to prevent or eliminate unreasonable risks to health or the environment associated with chemical substances or mixtures in the community.

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Conduct lead-based paint inspections, encourage regulatory activities for lead-based paint, and enforcement activities for the lead-based paint program.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: New award starting in FY 20, due to lead enforcement becoming a separate grant from the State Lead grant. Was anticipated to be a two-year award, but changed to one-year award period. Starting in FY 21, this award has ceased and has been combined with State Lead grant into a Performance Partnership Grant (PPG).

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	115,500	0	0	0
Beginning Cash Balance	0	0	0	0	4	(0)	(0)
Revenues	0	0	0	26,375	6,000		
Expenditures	0	0	0	26,371	6,004		
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	4	(0)	(0)	(0)
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 849 FD
 Name of Fund: Hazardous Materials Emergency Preparedness
 Legal Authority: 49 U.S.C. 5101

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) P
 Appropriation Acct. No. S 541 H
(changed from S 549 H in FY 20)

Intended Purpose: Provide hazardous materials training

Source of Revenues: Federal grant funds from U. S. Department of Transportation

Current Program Activities/Allowable Expenses: Provide training, support planning studies, travel to conferences

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Due to timing of activities and reimbursements. Award period varies, and unable to predict when new award (rather than award modification) will start.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	305,521	410,020	0	406,726	101,840	107,000	320,800
Beginning Cash Balance	0	22,257	9,314	14,590	14,590	14,590	14,590
Revenues	120,451	22,978	96,874	135,945	135,945	135,945	135,945
Expenditures	98,194	35,921	91,598	135,945	135,945	135,945	135,945
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	22,257	9,314	14,590	14,590	14,590	14,590	14,590
Encumbrances	0	7,257	34,897	70,000	70,000	70,000	70,000
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 840 FG
 Name of Fund: Water Quality Management Planning
 Legal Authority: PL 92-500, Water Pollution Control Act

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) P
 Appropriation Acct. No. S 542 H

Intended Purpose: Implement State Water Quality Planning and Management Program

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Water quality standards amendments, bio-assessments, visual assessments, and other monitoring for Clean Water Act section 303(d) listing, development of Total Maximum Daily Loads, data management, and holding public information meetings and hearings.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Expenditures vary according to workplan.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	115,000	224,700	224,700	224,700	235,935	130,200	136,710
Beginning Cash Balance	27,692	624	393	347	2,125	2,125	2,125
Revenues	99,750	92,471	58,055	36,976	100,000	100,000	100,000
Expenditures	126,818	92,701	58,101	35,198	100,000	100,000	100,000
Transfers							
List each net transfer in/out; list each account number							
	0						
Net Total Transfers	0						
Ending Cash Balance	624	393	347	2,125	2,125	2,125	2,125
Encumbrances	53,253	60,563	2,462	162,366	50,000	50,000	50,000
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 840 FJ
 Name of Fund: Leaking Underground Storage Tank - Corrective Action
 Legal Authority: PL 94-580, Resource Conservation and Recovery Act

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF): P
 Appropriation Acct. No.: S 543 H

Intended Purpose: Decrease the number of open leaking underground storage tanks and restore these sites in order to protect public health and the environment

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Oversight and management of Leaking Underground Storage Tank sites and implementation of procedures to compel reporting, assessment and remediation of releases from underground storage tanks by the responsible owner or operator

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Grant has a 5-year budget/project period, but ceiling included each year for budgetary purposes, because positions are funded by this grant.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	768,000	493,500	330,039	2,829,750	200,000	336,716	336,716
Beginning Cash Balance	20,940	43,005	131	135	8,061	15,986	23,911
Revenues	524,801	357,564	369,854	269,835	269,835	269,835	269,835
Expenditures	502,736	400,438	369,849	261,910	261,910	261,910	261,910
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	43,005	131	135	8,061	15,986	23,911	31,837
Encumbrances	4,621	4,621	2,462	13,000	13,000	13,000	13,000
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 840 FJ
 Name of Fund: Leaking Underground Storage Tank - Prevention
 Legal Authority: PL 94-580, Resource Conservation and Recovery Act

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF): P
 Appropriation Acct. No.: S 544 H

Intended Purpose: Develop and operate an inspection, compliance and enforcement program to prevent and detect releases from underground storage tanks pursuant to the Solid Waste Disposal Act

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Facility inspections at all operating facilities at least once every three years, referrals to compliance team for enforcement of violations, notices of compliance to owner/operators, implementing public records, secondary containment, delivery prohibition to non-compliant facilities and operator training requirements of the Solid Waste Disposal Act as amended by the Energy Policy Act of 2005

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Grant has a 5-year budget/project period, but ceiling included each year for budgetary purposes, because positions are funded by this grant. Expenditures vary according to workplan.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	353,000	348,600	207,000	1,645,875	122,000	233,630	233,630
Beginning Cash Balance	5,082	8,478	3,209	38,739	10,680	10,680	10,680
Revenues	144,093	359,330	357,470	266,124	270,000	270,000	270,000
Expenditures	140,697	364,599	321,940	294,183	270,000	270,000	270,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	8,478	3,209	38,739	10,680	10,680	10,680	10,680
Encumbrances	9,941	9,941	10,017	1,763	5,000	5,000	5,000
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 840 FF
 Name of Fund: State Clean Diesel Grant-Diesel Retrofit
 Legal Authority: PL 109-58, Energy Policy Act of 2005

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) P
 Appropriation Acct. No. S 545 H

Intended Purpose: Reduce emissions from in-use diesel engines

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Develop and administer a program to reduce diesel emissions

Purpose of Proposed Ceiling Increase (if applicable): Adjust appropriation ceiling to match anticipated award amounts on Form FF.

Variances: Length of grant award period varies. Expenditures vary according to the contract schedule with the agency converting its diesel engines. Ceiling is needed for 1st year of award only, since positions are not funded. However, unable to predict when each new award (rather than award modification) will start.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	205,000	409,412	409,412	788,383	644,330	1,017,800	1,068,690
Beginning Cash Balance	0	0	0	0	4	4	4
Revenues	0	0	389,916	93,240	100,000	100,000	100,000
Expenditures	0	0	389,916	93,236	100,000	100,000	100,000
Transfers							
List each net transfer in/out; list each account number							
	0	0					
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	4	4	4	4
Encumbrances	0	0	0	49,168	0	0	0
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 849 FD
 Name of Fund: Department of Defense and State MOA
 Legal Authority P.L. 96-510, Comprehensive Environmental
Response, Compensation, and Liability Act

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) P
 Appropriation Acct. No. S 546 H

Intended Purpose: Enable State to participate in assessment and remediation of contamination at military facilities

Source of Revenues: Reimbursement from U.S. Department of Defense

Current Program Activities/Allowable Expenses: Assessment and remediation

Purpose of Proposed Ceiling Increase (if applicable): Adjust appropriation ceiling to match anticipated award amounts on Form FF.

Variances: Grant has a 2-year budget/project period, but ceiling included each year for budgetary purposes, because positions are funded by this grant. Expenditures vary according to workplan.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	842,000	787,500	1,614,375	461,475	1,770,378	638,000	1,339,800
Beginning Cash Balance	20,959	107,809	177,441	291,068	482,381	673,694	865,007
Revenues	283,492	427,824	538,781	609,460	609,460	609,460	609,460
Expenditures	196,642	358,192	425,154	418,147	418,147	418,147	418,147
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	107,809	177,441	291,068	482,381	673,694	865,007	1,056,320
Encumbrances	14,668	38,080	45,017	10,382	20,000	20,000	20,000
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 849 FD
 Name of Fund: State Response Program
 Legal Authority: Comprehensive Environmental Response,
 Compensation, and Liability Act

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) P
 Appropriation Acct. No. S 547 H

Intended Purpose: Develop, implement, and maintain State Response Program

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Improve capacity to provide a public record, survey and inventory Brownfield sites, enhance oversight and enforcement authorities, provide meaningful opportunities for public participation, improve mechanisms for cleanup approval, verification of site cleanup, and management of institutional controls

Purpose of Proposed Ceiling Increase (if applicable): 1) Change means of financing (MOF) for Environmental Health Specialist IV from 100% MOF N (Air Pollution Control grant) to a combination of 75% MOF P (State Response Program grant) and 25% MOF N (Air Pollution Control grant). This will properly align the funding sources with the position's duties. 2) Adjust appropriation ceiling to match anticipated award amounts on Form FF.

Variances: Grant has a multi-year budget/project period, but ceiling included each year for budgetary purposes, because positions are funded by this grant. Expenditures vary according to workplan.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	4,634,909	1,006,504	1,508,807	1,298,745	500,000	4,812,465	382,000
Beginning Cash Balance	15,665	5,279	609	567	11,851	23,134	23,134
Revenues	991,885	886,532	1,119,793	931,220	931,220	1,000,000	1,000,000
Expenditures	1,002,271	891,202	1,119,835	919,937	919,937	1,000,000	1,000,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	5,279	609	567	11,851	23,134	23,134	23,134
Encumbrances	163,401	44,297	48,259	254,199	100,000	100,000	100,000
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 849 FD
 Name of Fund: Preliminary Assessment/Site Inspection
 Legal Authority: Comprehensive Environmental Response,
Compensation, and Liability Act

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) P
 Appropriation Acct. No. S 548 H

Intended Purpose: Site discovery and site screening activities to enable remediation and use of land with environmental contamination

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Site discovery, preliminary assessments, assistance with site inspections to determine which sites might be listed on the Superfund National Priority List

Purpose of Proposed Ceiling Increase (if applicable): Adjust appropriation ceiling to match anticipated award amounts on Form FF.

Variances: Grant has a multi-year budget/project period, but ceiling included each year for budgetary purposes, because positions are funded by this grant.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	289,000	303,188	909,564	288,750	200,000	866,250	224,000
Beginning Cash Balance	8,942	23,284	114	102	26,595	53,088	79,581
Revenues	304,267	293,137	320,794	349,952	349,952	349,952	349,952
Expenditures	289,925	316,307	320,806	323,459	323,459	323,459	323,459
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	23,284	114	102	26,595	53,088	79,581	106,074
Encumbrances	44,529	20,475	10,270	10,000	10,000	10,000	10,000
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Health
 Prog ID(s): HTH 904-AJ
 Name of Fund: State Health Insurance Assistance Program
 Legal Authority: 42 USC 1995b-4

Contact Name: S.Chun
 Phone: 6-7323
 Fund type (MOF) P
 Appropriation Acct. No. S 552 H

Intended Purpose: Provides free in depth one on one insurance counseling and assistance to Medicare beneficiaries and their families.

Source of Revenues: Administration on Community Living

Current Program Activities/Allowable Expenses: Operating expenses dealing with assistance and one on one counseling.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Grant amount changes from year to year.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	368,627	576,968	630,378	630,378	500,000	500,000	500,000
Beginning Cash Balance	3,971	4,673	5,743	30,956	21,360	(0)	(0)
Revenues	181,416	191,785	351,152	326,954	257,652	257,652	257,652
Expenditures	180,714	190,715	325,939	336,550	279,012	257,652	257,652
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	4,673	5,743	30,956	21,360	(0)	(0)	(0)
Encumbrances	37,824	7,779	60,821	24,337			
Unencumbered Cash Balance	(33,151)	(2,036)	(29,865)	NA	NA	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Health
 Prog ID(s): HTH 904-AJ
 Name of Fund: Title VII Ombudsman/Elder Abuse Prevention
 Legal Authority: PL 114-144

Contact Name: S.Chun
 Phone: 6-7323
 Fund type (MOF) N
 Appropriation Acct. No. S 554 H

Intended Purpose: Addresses the need for strong advocacy to protect and enhance the basic rights and benefits of vulnerable people.

Source of Revenues: Administration on Community Living

Current Program Activities/Allowable Expenses: Operating expenses to assist the rights and privileges of older adults.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Grant amount changes from year to year.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	166,555	194,306	181,449	180,000	130,000	130,000	130,000
Beginning Cash Balance	3,738	9,376	5,113	1,869	1,781	0	0
Revenues	107,887	118,395	121,647	109,861	113,038	113,038	113,038
Expenditures	102,249	122,658	124,891	109,949	114,819	113,038	113,038
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	9,376	5,113	1,869	1,781	0	0	0
Encumbrances	1,140	0	0				
Unencumbered Cash Balance	8,236	5,113	1,869	1,781	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Health
 Prog ID(s): HTH 904-AJ
 Name of Fund: Nutrition Services Incentive Program
 Legal Authority: PL 114-144

Contact Name: S.Chun
 Phone: 6-7323
 Fund type (MOF) N
 Appropriation Acct. No. S 555 H

Intended Purpose: Rewards state agencies that effectively deliver nutritious meals to older adults.

Source of Revenues: Administration on Community Living

Current Program Activities/Allowable Expenses: Support nutrition services for older adults which includes the congregate and home delivered meals.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Grant amount changes from year to year.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	651,681	811,297	711,894	700,000	575,000	575,000	575,000
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	402,130	573,472	449,275	182,709	497,199	497,199	497,199
Expenditures	402,130	573,472	449,275	182,709	497,199	497,199	497,199
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	338,580			
Unencumbered Cash Balance	0	0	0	NA	NA	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 840 FH
 Name of Fund: Public Water System Supervision
 Legal Authority PL 93-523, Safe Drinking Water Act

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) N
 Appropriation Acct. No. S 556 H

Intended Purpose: Protect public health by ensuring safe drinking water

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Ensure that public water systems provide safe drinking water through compliance with national primary drinking water regulations

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Grant has a 2-year budget/project period, but ceiling included each year for budgetary purposes, because positions are funded by this grant. Lower award received in 2018-2019 to enable EPA to change award period from calendar year to federal fiscal year.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	498,000	496,650	494,550	539,949	282,000	1,000,650	330,907
Beginning Cash Balance	13,875	34,076	25,818	175	10,203	10,203	10,203
Revenues	498,075	306,542	281,175	418,727	496,650	496,650	496,650
Expenditures	477,874	314,800	306,818	408,699	496,650	496,650	496,650
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	34,076	25,818	175	10,203	10,203	10,203	10,203
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	34,076	25,818	175	10,203	10,203	10,203	10,203

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 840 FH
 Name of Fund: Water Pollution Control - Groundwater
 Legal Authority: PL 92-500, Clean Water Act, Section 106

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) N
 Appropriation Acct. No. S 557 H

Intended Purpose: Prevent, reduce, and eliminate groundwater pollution

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Implement State Groundwater Protection Program and wellhead protection activities

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Prior award period was extended to 5 years Award received in FY 20 was for one year, and now this grant has been combined into the Water Pollution Control - Surface Water grant, S-298-H. Expenditures vary according to workplan.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	662,000	839,261	155,981	976,401	0	0	0
Beginning Cash Balance	4,661	9,900	543	16,423	5,906	0	0
Revenues	188,100	220,775	251,485	209,121	50,000	0	0
Expenditures	182,861	230,133	235,605	219,637	55,906	0	0
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	9,900	542	16,423	5,906	0	0	0
Encumbrances	0	1,195	76	0	0	0	0
Unencumbered Cash Balance	9,900	(653)	16,347	5,906	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 840 FJ
 Name of Fund: Hazardous Waste Management Program
 Legal Authority PL 94-580, Resource Conservation and Recovery Act

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) N
 Appropriation Acct. No. S 558 H

Intended Purpose: Implement regulations of the Resource Conservation and Recovery Act (RCRA)

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Ensure RCRA compliance at hazardous waste generation and management facilities, issue permits to hazardous waste management facilities, manage clean-up of contaminated sites, and develop and maintain programs aimed at reducing waste and increasing recycling

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Grant changed from 1-year to 2-year award period, starting in FY 18. Ceiling included each year for budgetary purposes, since positions are funded by this grant.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	536,000	566,328	573,331	1,113,000	530,000	1,113,000	461,921
Beginning Cash Balance	19,844	1,148	1,291	59	17,428	17,428	17,428
Revenues	536,695	521,326	488,417	508,170	530,000	530,000	530,000
Expenditures	555,391	521,183	489,649	490,800	530,000	530,000	530,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	1,148	1,291	59	17,428	17,428	17,428	17,428
Encumbrances	1,411	0	0	0	0	0	0
Unencumbered Cash Balance	(263)	1,291	59	17,428	17,428	17,428	17,428

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 840 FF & HTH 610 FR
 Name of Fund: Air Pollution Control Program
 Legal Authority Clean Air Act, Section 105; 40 CFR Parts 31 & 35 Subpart A

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) N
 Appropriation Acct. No. S 559 H

Intended Purpose: Protect and improve air quality and reduce the risks to public health and the environment

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Strategic planning and evaluation, compliance assistance, developing state implementation plans, monitoring air emissions, rule-making, issuing permits and other other program-related activities

Purpose of Proposed Ceiling Increase (if applicable): Adjust appropriation ceiling to match anticipated award amounts on Form FF.

Variances: From FY18, all expenditures to this grant are coded to S-559-H, since new federal funds methodology impedes spending. Award period changed from 1-year to 2-year, starting in FY 20.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	919,000	838,823	945,000	943,823	892,532	1,826,549	647,674
Beginning Cash Balance	17,658	9,304	4,145	45,620	14,727	14,727	14,727
Revenues	534,184	669,179	805,186	864,389	892,532	892,532	892,532
Expenditures	542,537	674,338	763,711	895,283	892,532	892,532	892,532
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	9,304	4,145	45,620	14,727	14,727	14,727	14,727
Encumbrances	3,497	10,224	9,573	5,448	5,448	5,448	5,448
Unencumbered Cash Balance	5,807	(6,079)	36,047	9,279	9,279	9,279	9,279

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 840 FF
 Name of Fund: PM 2.5 Monitoring Network
 Legal Authority: Clean Air Act, Section 103; 40 CFR Part 31

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) P
 Appropriation Acct. No. S 560 H

Intended Purpose: Improve air quality and reduce risks to public health and the environment

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Monitor fine particulate matter to determine compliance with the PM 2.5 National Ambient Air Quality Standards and determine reductions in air emissions

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Grant probably has a multi-year budget/project period, but ceiling included each year because that is unknown. Variances are primarily due to timing of equipment replacement, repair, and maintenance, amount of inter-island travel needed, and travel costs for off-island conference/training.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	255,000	768,573	843,104	765,108	170,088	170,089	178,593
Beginning Cash Balance	3,964	8,243	187	92	4	4	4
Revenues	174,780	160,107	123,235	165,860	170,000	170,000	170,000
Expenditures	170,501	168,163	123,331	165,947	170,000	170,000	170,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	8,243	187	92	4	4	4	4
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	8,243	187	92	4	4	4	4

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 610 FP
 Name of Fund: Manufactured Food Training Grant Program
 Legal Authority 45 CFR Parts 74 and 92

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) P
 Appropriation Acct. No. S 562 H

Intended Purpose: Provides funds to support the training needs of manufactured food programs at the State, local, tribal, and territorial levels.

Source of Revenues: Grant award from the Association of Food and Drug Officials. Award is a subaward from AFDO's federal grant award from the U.S. Food and Drug Administration.

Current Program Activities/Allowable Expenses: Travel costs for five Manufactured Food Training Program courses.

Purpose of Proposed Ceiling Increase (if applicable): Adjust appropriation ceiling to match anticipated award amounts on Form FF.

Variances: New award first received in FY 20. Unsure about future awards and in-person attendance due to pandemic concerns.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	16,588	40,000	40,000	40,000
Beginning Cash Balance	0	0	0	0	0	4,325	4,325
Revenues				0	4,325	0	0
Expenditures				0	0	0	0
Transfers	List each net transfer in/out/ or projection in/out; list each account number						
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	4,325	4,325	4,325
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	4,325	4,325	4,325

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: State Oral Disease Prevention Program Grant
 Legal Authority: 301A, 311BC, 317K2 (42USC241A, 243BC247BK2)

Contact Name: William L. Aakhus
 Phone: 586-9305
 Fund type (MOF) P
 Appropriation Acct. No. S 563 H

Intended Purpose: An oral health program is a critical part of all state public health departments, however the DOH Dental Health Division was eliminated as part of the 2009 budget restricts. This grant will be used to help rebuild the DOH's public dental health infrastructure.

Source of Revenues: DHHS, Centers for Disease Control and Prevention

Current Program Activities/Allowable Expenses: The funding will be used to improve basic state oral health services, including support for program leadership and limited supported staff, monitoring oral disease levels and risk factors for oral disease, developing strong partnerships, educating state residents on ways to prevent oral diseases, and developing and evaluating prevention programs.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: The Hawaii State Oral Disease Prevention Program grant ended 8/31/2018. No revenues or expenditures will occur from FY 2020 onward.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	250,000	250,000	0	0			
Beginning Cash Balance	453	35	64	1	1	1	1
Revenues	237,009	349,737	234,764	0	0	0	0
Expenditures	237,427	349,708	234,828	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	35	64	1	1	1	1	1
Encumbrances	56,571	0	0	0	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 610 FP
 Name of Fund: Manufactured Food Trng Grant Prog-FD340
 Legal Authority 45 CFR Parts 74 and 92

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) P
 Appropriation Acct. No. S 564 H

Intended Purpose: Provides funds to support the training needs of manufactured food programs at the State, local, tribal, and territorial levels.

Source of Revenues: Grant award from the Association of Food and Drug Officials. Award is a subaward from AFDO's federal grant award from the U.S. Food and Drug Administration.

Current Program Activities/Allowable Expenses: Travel costs for one Manufactured Food Training Program course

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: New award first received in FY 20. Unsure about future awards and in-person attendance due to pandemic concerns.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	3,002	20,000	20,000	20,000
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues				2,035	0	0	0
Expenditures				0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	2,035	0	0	0
Encumbrances		0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	2,035	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Non-General Fund Information
for Submittal to the 2021 Legislature

Department:	HTH	Contact Name:	Amihan Aiona
Prog ID(s):	HTH 440	Phone:	692-7508
Name of Fund:	Strategic Prevention Framework-Partnerships for Success (HI Partnerships for Success) Fund type (MOF) P		
Legal Authority	Section 516 PHS Act as amended	Appropriation Acct. No.	S 565 H

Intended Purpose: Implementation of the Strategic Prevention Framework process at the state and community levels to promote alignment and coordination of resources to better address substance abuse prevention priorities.

Source of Revenues: Substance Abuse and Mental Health Services Administration (SAMHSA)

Current Program Activities/Allowable Expenses: In collaboration with state and community level stakeholders, use data-driven decision making processes to develop and implement effective prevention strategies and sustainable prevention infrastructures to address underage drinking among persons ages 12 to 20.

Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable.

Variances: Five year award from 9/30/13 to 9/29/18 plus on year no-cost extension period 9/30/18 to 9/29/19. Variance in FY16 to FY19 is due to the delays in establishing the project and positions that led to delayed contract and contract modification execution. Additionally due to the time limitations, this special project had to complete a reorganization and convert existing exempt positions to temporary civil service. The existing exempt staff did not convert causing the project to lose momentum in program implementation. No cost extension from 9/30/19 to 9/29/20. Grant ended 9/29/20.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,433,481	1,776,772	1,776,772	0	0	0	0
Beginning Cash Balance	3,343	47	934	(0)	(0)	(0)	(0)
Revenues	834,975	1,305,113	1,167,696	436,690	0	0	0
Expenditures	838,271	1,304,226	1,168,630	436,690	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	47	934	(0)	(0)	(0)	(0)	(0)
Encumbrances	977,906	858,657	658,516	0	0	0	0
Unencumbered Cash Balance	(977,859)	(857,723)	(658,516)	(0)	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Non-General Fund Information
for Submittal to the 2021 Legislature

Department: <u>HTH</u>	Contact Name: <u>Amihan Aiona</u>
Prog ID(s): <u>HTH 440</u>	Phone: <u>692-7508</u>
Name of Fund: <u>Collaborative Agreement to Benefit Homeless Individuals (Hawaii Pathways Project)</u>	Fund type (MOF) <u>P</u>
Legal Authority: <u>Section 509 and 520A Public Health Service Act, as amended</u>	Appropriation Acct. No. <u>S 566 H</u>

Intended Purpose: The intent of the CABHI-Hawaii Pathways Project (HPP) is to strengthen the infrastructure, partnerships, and service system to provide permanent housing to individuals, families, and veterans living on Oahu. HPP will assist chronically homelessness individuals with substance use or co-occurring substance use and mental health disorder through assertive outreach, case management, and treatment services. Specifically, HPP will provide the Pathways Housing First model, the only evidence-based program recognized by the National Registry of Evidence- Based Programs and Practices that provides a comprehensive housing and treatment model without preconditions of the individual's alcohol or drug use. The HPP will also assist in building sustainable partnerships, infrastructure, and practices through a partnership with the Hawaii Interagency Council on Homelessness (HICH) and the development of a Statewide Plan.

Source of Revenues: Substance Abuse and Mental Health Services Administration (SAMHSA) - Center for Substance Abuse Treatment (CSAT)

Current Program Activities/Allowable Expenses: Implementation of the Pathways Housing First model; including clinical services, intensive case management, housing, vocational, peer support and recovery support services.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: Three year project period from 9/30/13 to 9/29/16 plus one year no-cost extension from 9/30/16 to 9/30/17. Variances in FY 2016/2017/2018 revenues are due to delay in starting grant project. Variances in FY 2017 and FY 2018 expenditures are due to increased homeless wrap around services during the no-cost extension period of this award. Grant ended 9/30/17.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,209,203	0	0	0	0	0	0
Beginning Cash Balance	3,000	7	0	0	0	0	0
Revenues	918,649	557,621	0	0	0	0	0
Expenditures	921,642	557,628	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	7	0	0	0	0	0	0
Encumbrances	656,656				0	0	0
Unencumbered Cash Balance	(656,649)	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: 2015 WIC INFRASTRUCTURE

Contact Name: Paul Uchima
 Phone: 586-8190
 Fund type (MOF) N

Legal Authority Healthy, Hunger Free Kids Act of 2010, Section 17(h)(10)(A) of the Child Nutrition Act of 1966, as amended. Section 17(h)(10)(B) of the Child Nutrition Act of 1966, as amended, Title 7, Part 246, Public Law 111-296, 42 U.S.C. 1786.

Appropriation Acct. No. S 567 H

Intended Purpose: The fund contains HTH 560 GI federal grant funds. The purpose is to provide funding for facility renovation to Waianae Coast Comprehensive Health Center WIC and to conduct outreach for a statewide child retention campaign.

Source of Revenues: USDA/Food and Nutrition Services/Western Region Office

Current Program Activities/Allowable Expenses: Facility renovation expenditures and outreach activities for child retention to the WIC program.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: Grant ended FY2017.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	351,500	0	0	0			
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	25,668	0	0	0	0	0	0
Expenditures	25,668	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Health
 Prog ID(s): HTH 904-AJ
 Name of Fund: No Wrong Door
 Legal Authority PL 109-442

Contact Name: S.Chun
 Phone: 6-7323
 Fund type (MOF) P
 Appropriation Acct. No. S 568 H

Intended Purpose: Implementation of the No Wrong Door System for all populations and all players.

Source of Revenues: Administration on Community Living

Current Program Activities/Allowable Expenses: Network built to support individuals needing long term care. Expenses are operating and administrative.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Grant ends at the end of 2019.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,496,642	1,738,746	898,311	100,000	0	0	0
Beginning Cash Balance	9,106	119,318	21,951	5,674	(0)	(0)	(0)
Revenues	868,108	743,068	644,990	27,162	0	0	0
Expenditures	757,896	840,435	661,267	32,836	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	119,318	21,951	5,674	(0)	(0)	(0)	(0)
Encumbrances	376,408	497,386	0				
Unencumbered Cash Balance	(257,090)	(475,435)	5,674	(0)	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants						
Amount Held in CODs, Escrow Accounts, or Other Investments						

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: 2015 WIC INFRASTRUCTURE
 Legal Authority: Healthy, Hunger Free Kids Act of 2010, Section 17(h)(10)(A) of the Child Nutrition Act of 1966, as amended. Section 17(h)(10)(B) of the Child Nutrition Act of 1966, as amended, Title 7, Part 246, Public Law 111-296, 42 U.S.C. 1786.

Contact Name: Paul Uchima
 Phone: 586-8190
 Fund type (MOF) P

Appropriation Acct. No. S 569 H

Intended Purpose: The fund contains HTH 560 GI federal grant funds. The purpose is to transfer a legacy MIS system and implement a new MIS system for the WIC program.

Source of Revenues: USDA/Western Region Office/Food and Nutrition Services. Federal Technology funding.

Current Program Activities/Allowable Expenses: Second funding for Phase II - Transfer and Replacement of MIS database.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: Not applicable. Grant drawn-down completed by 10/31/2017, MIS replacement project rolled-out and completed. Funds expended and federal grant closed.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,229,182	1,229,182	0	0			
Beginning Cash Balance	61,052	358,355	0	0	0	0	0
Revenues	910,902	5,832	0	0			
Expenditures	613,599	364,187	0	0			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	358,355	0	0	0	0	0	0
Encumbrances	357,250	0	0	0			
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Non-General Fund Report
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 440
 Name of Fund: Drug and Alcohol Services Information System (DASIS)
 Legal Authority: Section 505 Public Health Service Act (42.U.S.C. 290aa-4)

Contact Name: Amihan Aiona
 Phone: 692-7508
 Fund type (MOF) P
 Appropriation Acct. No. S 571 H

Intended Purpose: To provide substance abuse treatment data to meet the Substance Abuse and Mental Health Services Administration (SAMHSA) statutory requirements.

Source of Revenues: U.S. Department of Justice, Office of Juvenile Justice and Delinquency Prevention (OJJDP)

Current Program Activities/Allowable Expenses: Data infrastructure enhancements for continued collection of substance abuse prevention and treatment data elements.

Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable.

Variances: Prior to FY 14, S-203 was the parent account for all appropriated federal funds in HTH 440. As of FY 14, per new accounting methodology for federal funds, a unique appropriation has been assigned to each federal award resulting in the one time carryover balance in FY 17. The annual award of \$37,538 resumed in FY 19, 20, 21. Current agreement is 6/30/17 to 6/29/21. Variance for FY 18 is due to expenditures of carry over. Estimates for FY 20 are based on anticipated base appropriation.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	405,724	150,152	37,538	37,538	37,538	37,538	37,538
Beginning Cash Balance	0	37,538	350,959	379,966	417,504	417,504	417,504
Revenues	37,538	494,609	37,538	37,538	37,538	37,538	37,538
Expenditures	0	181,188	8,531	0	37,538	37,538	37,538
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	37,538	350,959	379,966	417,504	417,504	417,504	417,504
Encumbrances		5,749	1,507	1,507	0	0	0
Unencumbered Cash Balance	37,538	345,210	378,459	415,997	417,504	417,504	417,504

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH590
 Name of Fund: PHHSBG-Chronic Disease Prevention
 Legal Authority Various sections of the Public Health Service Act, as amended

Contact Name: Lola Irvin
 Phone: 586-4481
 Fund type (MOF) P
 Appropriation Acct. No. S-16-574-H
S 574 H (FY16 & FY15)

Intended Purpose: Preventive Health and Health Services Block Grant (PFFSBG) - This grant program was established in 1982 to help states and local communities focus on achieving the health objectives for the nation, identified in Healthy People 2000. States receiving block grant dollars must develop health plans, report to the federal government about their activities, and target public health interventions to populations in need. The flexible provisions of the grant allow each state to address health problems unique to that state. Examples of current funding areas include emergency epidemic response, chronic disease prevention and health promotion, emergency medical services, environmental health, infectious disease control, rape prevention, injury prevention, and disease and risk factor surveillance.

Source of Revenues: US Department of Health and Human Services and Public Health Service. CDPHPD is a sub-recipient. These funds were originally included under appropriation account S-225-H and has subsequently been assigned a separate account, S-574-H.

Current Program Activities/Allowable Expenses: PHHSBG activities include the ongoing development of a Data and Information System Integration project; an Injury Prevention Initiative; support for statewide coordination of public education and awareness to Prevent Sexual Assault; and support for various special data projects as deemed appropriate.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: Ended on 9/30/2018

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	165,000	165,000					
Beginning Cash Balance	3,272	1,356	0	0	0	0	0
Revenues	146,437	46,184	0	0			
Expenditures	148,353	47,540	0	0			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Cash Transfer							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,356	0	0	0	0	0	0
Encumbrances	0	18,000	0	0			
Unencumbered Cash Balance	1,356	(18,000)	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow							
Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 590
 Name of Fund: Behavioral Risk Factor Surveillance System
 Legal Authority: Act 122, SLH 2014

Contact Name: Lola Irvin
 Phone: 586-4481
 Fund type (MOF) P
 Appropriation Acct. No. S 15 576 H

Intended Purpose: To provide financial and technical assistance to collect data on health risk behaviors, health status, health care access, and preventive health practices. The Behavioral Risk Factors Surveillance System (BRFSS) is the only continuous, state-based, health surveillance system conducted.

Source of Revenues: Centers for Disease Control and Prevention (CDC), National Center for Chronic Disease Prevention and Health Promotion

Current Program Activities/Allowable Expenses: The Hawaii BRFSS program has operated continuously in Hawaii since 1986. Funding from the Centers for Disease Control and Prevention (CDC), Department of Health programs, and the University of Hawaii supports BRFSS personnel salary and fringe and operational costs, including time spent constructing a state-specific BRFSS questionnaire; collecting and submitting data using surveillance methodologies and protocols; forming and maintaining collaborations; participating in CDC-sponsored training opportunities; and disseminating data and other public health products to the public and research communities.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: The increase in revenues and expenditures are due to the increase in funds awarded by the CDC in FY19 from previous year. Also, the program requested carryover funds from previous years. This multi-year grant ended 7/31/2020.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	400,000	400,000	400,000	233,355	233,355	0	0
Beginning Cash Balance	3,729	2	113	218	7,221	0	0
Revenues	148,848	182,694	269,824	358,638	55,737	0	0
Expenditures	152,574	182,583	269,719	351,636	62,958	0	0
Transfers	List each net transfer in/out/ or projection in/out; list each account number						
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	2	113	218	7,221	(0)	0	0
Encumbrances	0	0	0	46,475	0		
Unencumbered Cash Balance	2	113	218	NA	NA	0	0

Additional Information:

Amount Req. by Bond Covenants						
Amount from Bond Proceeds						
Amount Held in CODs, Escrow Accounts, or Other Investments						

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH590
 Name of Fund: National Asthma Control Program
 Legal Authority: Act 122, SLH 2014

Contact Name: Lola Irvin
 Phone: 586-4481
 Fund type (MOF) P
 Appropriation Acct. No. S 15 575 H

Intended Purpose: Expand access and awareness of evidence based asthma self-management programs, with a focus on reducing disparities among high risk population.

Source of Revenues: Centers for Disease Control and Prevention (CDC), National Center for Chronic Disease Prevention and Health Promotion

Current Program Activities/Allowable Expenses: Planning, delivery, and evaluation of public health activities and collaboration with health care systems; Expand access to comprehensive asthma control services through home-based and/or school-based strategies; Coordinate with health care organizations to improve coverage, delivery, and use of clinical and other services.

Purpose of Proposed Ceiling Adjustment (if applicable): None

Variances: Grant ended on 08/31/19

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	500,000	500,000	500,000	500,000	0	0	0
Beginning Cash Balance	11,180	26	41	(0)	(0)	(0)	(0)
Revenues	265,609	230,743	418,582	264,211	0	0	0
Expenditures	276,763	230,727	418,623	264,211	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	26	41	(0)	(0)	(0)	(0)	(0)
Encumbrances	138,003	115,052	50,535				
Unencumbered Cash Balance	(137,977)	(115,011)	(50,535)	(0)	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 590
 Name of Fund: Heart Disease & Stroke, Diabetes and Obesity Prevention
 Legal Authority: Act 122, SLH 2014

Contact Name: Lola Irvin
 Phone: 586-4481
 Fund type (MOF) P
 Appropriation Acct. No. S 15 578 H

Intended Purpose: Support implementation of population-wide and priority population approaches to prevent obesity, diabetes, and heart disease and stroke and reduce health disparities in these areas among adults.

Source of Revenues: CDC National Center for Chronic Disease Prevention and Health Promotion

Current Program Activities/Allowable Expenses: Implement nutrition and beverage standards, strengthen healthier food access and sales, community promotion and development and/or implementation of transportation and community plans to promote physical activity;

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: ended on 9/29/2018

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,750,813	2,750,814	0	0	0	0	0
Beginning Cash Balance	3,683	109	823	0	0	0	0
Revenues	3,283,460	3,776,792	1,681,403	0	0	0	0
Expenditures	3,287,034	3,776,077	1,682,226	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	109	823	0	0	0	0	0
Encumbrances	3,095,242	2,681,852	1,656,958				
Unencumbered Cash Balance	(3,095,133)	(2,681,029)	(1,656,958)	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Non-General Fund Information
for Submittal to the 2021 Legislature

Department: <u>HTH</u> Prog ID(s): <u>HTH 440</u> Name of Fund: <u>Substance Abuse Prevention and Treatment (SAPT) Block Grant</u> Legal Authority: <u>Subparts II & III, Part B, Title XIS, Public Health Service Act:</u> <u>Department of Health & Human Services, Substance Abuse & Mental Health Services Administration; SAPT Block Grant,</u> <u>Interim Final Rule (Title 45, Code of Federal Regulations, Part 96)</u>	Contact Name: <u>Amihan Aiona</u> Phone: <u>692-7508</u> Fund type (MOF) <u>N</u> Appropriation Acct. No. <u>S-581 in FY15/16/17/19 to FY22</u>
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Intended Purpose: Enables the State to plan, implement, and evaluate activities to treat and prevent substance abuse and other related authorized activities. The intent is to develop, maintain and improve the State's community-based substance abuse service system for the treatment and prevention of substance abuse. Also, the State must maintain compliance with a broad range of Federal statutory and regulatory provisions that govern expenditure and service requirements. Major SAPT Block Grant treatment requirements include the provision of substance abuse services for intravenous drug users, pregnant women and women with dependent children, and the provision of HIV early intervention services (subject to certain conditions) at substance abuse treatment sites. Not less than 20% of the SAPT Block Grant must be used to develop and implement a comprehensive prevention program of activities and services provided in a variety of settings for the general population as well as targeting sub-groups who are at high risk for substance abuse. Prevention activities include conducting annual random, unannounced inspections of retail outlets selling tobacco to minors, in compliance with the Synar Amendment and Tobacco Regulation for the SAPT Block Grant. The SAPT Block Grant is also used to ensure the provision of treatment and prevention services for Native Hawaiians pursuant to Block Grant requirements for Hawaii.

Source of Revenues: Substance Abuse and Mental Health Services Administration (SAMHSA)

Current Program Activities/Allowable Expenses: The funding is used primarily for substance abuse prevention and treatment services through purchase of service contracts from the Request for Proposal, monitoring and contract utilization management, and complying with reporting requirement as stipulated in the SAPT Block Grant.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: Prior to FY14, S-203 was the parent account for all appropriated federal funds in HTH 440. As of FY14, per a new accounting methodology for federal awards, a unique appropriation has been assigned to each federal award resulting in the carryover balance in FY16. FY17/18/19 variances are due to FY18 award and appropriation inadvertently not included on the Form FF; therefore, the FY18 award was not assigned to S-18-581-H and S-19-211 was assigned by an E-2. Returned to S 581H from FY19 and beyond. Variances in Revenues and Expenditures in FY20 are due to FY18 award assigned to S-19-211 resulting in the revenues and expenditures posted to S-19-211 rather than S-19-581.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	8,562,595	0	8,583,536	8,583,536	8,979,047	8,583,536	8,583,536
Beginning Cash Balance	0	30	11,836	0	7	7	7
Revenues	5,912,700	8,966,118	1,966,114	5,382,402	8,979,047	8,583,536	8,583,536
Expenditures	5,912,670	8,954,312	1,977,950	5,382,395	8,979,047	8,583,536	8,583,536
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	30	11,836	0	7	7	7	7
Encumbrances	2,381,345	2,006,974	25,476	2,264,032	0	0	0
Unencumbered Cash Balance	(2,381,315)	(1,995,138)	(25,476)	NA	7	7	7

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: <u>Health</u> Prog ID(s): <u>HTH 720</u> Name of Fund: <u>OHCA Health Care Administrative Services</u> Legal Authority: <u>Social Security Act, Section 1864, and U.S. Public Law 100-578</u>	Contact Name: <u>Utey Uch</u> Phone: <u>(808) 692-7229</u> Fund type (MOF): <u>P</u> Appropriation Acct. No.: <u>S-**-583-H</u>
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Intended Purpose: To meet contractual obligations pursuant to Act 093, SLH 2012 which transferred licensing responsibilities of home and community-based case management, community care foster family homes and adult day care facilities from the Hawaii department of human services to the office of health care assurance within the department of health.

Source of Revenues: The source of revenues are from the State general funds and also from Federal contracts within the hawaii department of human services (DHS). The contract funding is relatively stable from year to year but the federal portion is determined by the Centers for Medicare and Medicaid Services (CMS) after periodic reviews based on the number of Medicaid clients being served.

Current Program Activities/Allowable Expenses: Licensing activities of home and community-based case management, community care foster family homes and adult day care facilities.

Purpose of Proposed Ceiling Adjustment (if applicable): Ceiling is increased to cover the increase in contract price.

Variances: Expenditure is increased in FY2020 due to contract price increase.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	316,495	316,495	316,495	569,293	569,293	569,293	569,293
Beginning Cash Balance	52,750	26,375	0	41,253	0	0	0
Revenues	316,494	316,494	263,745	417,713	569,293	569,293	569,293
Expenditures	342,869	342,869	222,493	458,965	569,293	569,293	569,293
List each net transfer in/out/ or projection in/out; list each account number							
JS0473/0599/1017/1956							
Various dates							
Transfer-out							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	26,375	0	41,253	0	0	0	0
Encumbrances	0	26,375	120,377	230,704			
Unencumbered Cash Balance	26,375	(26,375)	NA	NA	NA	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: WIC EBT TRANSFER PROJECTS
 Healthy, Hunger Free Kids Act of 2010, Section 17(h)(10)(A) of the Child Nutrition Act of 1966, as amended. Section 17(h)(10)(B) of the Child Nutrition Act of 1966, as amended, Title 7, Part 246, Public Law 111-296, 42 U.S.C. 1786.

Contact Name: Paul Uchima
 Phone: 586-8190
 Fund type (MOF) P

Appropriation Acct. No. S 584 H

Intended Purpose: The fund contains HTH 560 GI federal grant funds. The purpose is to plan for implementation of electronic benefits transfer (EBT) and prepare an Implementation Advance Planning Document (IAPD) for submission to the USDA and subsequent application for grant funding to be used for project implementation by 2020.

Source of Revenues: USDA/Food and Nutrition Services/Western Region Office/ Grants to States Technology funding.

Current Program Activities/Allowable Expenses: Planning project for implementation of electronic benefits transfer (EBT) contract deliverables completed. Remaining contract balance encumbered by ASO Log No. 15-077-M1 in the amount of \$14,974 is expected to be recovered by the USDA.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: Grant closed in FY 2017.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	250,000						
Beginning Cash Balance	3,086	1	1	0	0	0	0
Revenues	3,604	0	0				
Expenditures	6,689	0	0				
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	358,355	0	0	0	0	0	0
Encumbrances	357,250	0	0	0			
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Footnote: \$1 unspent contractor's travel funds recovered by the USDA.

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 590
 Name of Fund: Tobacco Quitline
 Legal Authority Act 122, SLH 2014

Contact Name: Lola Irvin
 Phone: 586-4481
 Fund type (MOF) P
 Appropriation Acct. No. S 15 585 H

Intended Purpose: Expand the capacity of the Tobacco Prevention and Education Program to implement evidence-based cessation interventions as it relates to the Hawaii Tobacco Quitline.

Source of Revenues: CDC National Center for Chronic Disease Prevention and Health Promotion, Office on Smoking and Health - financed solely by the Prevention and Public Health Fund

Current Program Activities/Allowable Expenses: Ensure infrastructure for State Quitline; improve Quitline capacity; participate in surveillance and evaluation efforts; identify and target disparate populations; improve sustainability; increase media efforts; enhance Quitline protocol and operations; improve understanding of comprehensive cessation coverage for Medicaid recipients; promote health systems change.

Purpose of Proposed Ceiling Adjustment (if applicable): None

Variances: Grant was extended from 7/31/2019 to 4/28/2020, and from 4/29/2020 to 6/29/2020. This multi-year grant ended on 6/29/2020.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	57,958	57,958	57,958	57,958	57,958	0	0
Beginning Cash Balance	0	3	31	(0)	1,000	(0)	(0)
Revenues	29,854	41,520	47,048	55,000	0	0	0
Expenditures	29,851	41,491	47,080	54,000	1,000	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	3	31	(0)	1,000	(0)	(0)	(0)
Encumbrances	0	7,375	54,000	1,000	0		
Unencumbered Cash Balance	3	(7,344)	(54,000)	(0)	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants						
Amount from Bond Proceeds						
Amount Held in CODs, Escrow Accounts, or Other Investments						

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 590
 Name of Fund: Tobacco Program Control
 Legal Authority Act 122, SLH 2014

Contact Name: Lola Irvin
 Phone: 586-4481
 Fund type (MOF) P
 Appropriation Acct. No. S 15 586 H

Intended Purpose: To support the implementation of evidence-based environmental, policy, and systems interventions, strategies, and activities to reduce tobacco use, secondhand smoke exposure, tobacco-related disparities and associated disease, disability, and death.

Source of Revenues: Centers for Disease Control and Prevention (CDC), National Center for Chronic Disease Prevention and Health Promotion Office on Smoking and Health

Current Program Activities/Allowable Expenses: Implementation of: State and community interventions; mass-reach health communication interventions; cessation interventions; surveillance and evaluation; infrastructure, administration, and management.

Purpose of Proposed Ceiling Adjustment (if applicable): None

Variances: The decrease in expenditure is due to vacancies in the tobacco programs section. This grant eded on 6/29/2020.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	900,000	900,000	900,000	896,765	896,765	0	0
Beginning Cash Balance	18,525	17	4,390	348	5,008	0	0
Revenues	693,913	889,316	789,079	572,666	193,983	0	0
Expenditures	712,421	884,943	793,121	568,006	169,764	0	0
Transfers	List each net transfer in/out/ or projection in/out; list each account number						
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	17	4,390	348	5,008	29,228	0	0
Encumbrances	1,466	882	186,364	55,211	0		
Unencumbered Cash Balance	(1,450)	3,508	(186,016)	NA	NA	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Health
 Prog ID(s): HTH 730
 Name of Fund: Injury Prevention and Control, PHHS Block Grant
 Legal Authority: Part A, Title XIX, Public Health Service Act

Contact Name: Terry Joyce
 Phone: 733-9217
 Fund type (MOF) P
 Appropriation Acct. No. S 587 H

Intended Purpose: Develop, promote, coordinate & evaluate projects & policies that lead to the reduction of injury deaths, hospitalizations & costs.

Source of Revenues: Department of Health and Human Services, Public Health Service Centers for Disease Control and Prevention.

Current Program Activities/Allowable Expenses: Collect, analyze, report data on causes of injury morbidity & mortality/Personnel and other costs.

Purpose of Proposed Ceiling Increase (if applicable): Not Applicable.

Variances: Position Vacancy (#117822) in FY 2019.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	90,000	90,000	90,000	90,000	90,000	90,000	90,000
Beginning Cash Balance	0	71	48	0	18	(0)	(0)
Revenues	48,950	90,501	69,438	86,762	93,707	90,000	90,000
Expenditures	48,879	90,524	69,486	86,744	93,725	90,000	90,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	71	48	0	18	(0)	(0)	(0)
Encumbrances	10,166	76	3,500	3,725	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 907
 Name of Fund: Various Federal Grants from the US DHHS/HRSA/PHS
 Legal Authority Act 164, SLH 2011, as amended by ACT, 106, SLH 2012
Public Health Service Act, various sections, as amended

Contact Name: Betty Wood
 Phone: 586-4530
 Fund type (MOF) P
 Appropriation Acct. No. S 588

Intended Purpose:

Preventive Health and Health Services Block Grant (PHHSBG) - this grant program was established in 1982 to help states and local communities focus on achieving the health objectives for the nation, identified in Healthy People 2000. States receiving block grant dollars must develop health plans, report to the federal government about their activities, and target public health interventions to populations in need. The flexible provisions of the grant allow each state to address health problems unique to that state. Examples of current funding areas include emergency epidemic response, chronic disease prevention and health promotion, emergency medical services, environmental health, infectious disease control, rape prevention, injury prevention, and disease and risk factor surveillance.

Source of Revenues:

US Department of Health and Human Services and Public Health Service. This grant number was S-228-H then changed to S-588-H. Going forward the assumption is this grant number will be S-588-H. This grant period ends annually on 9/30.

Current Program Activities/Allowable Expenses:

PHHSBG activities include the ongoing development of a Data and Information System Integration project; an Injury Prevention Initiative; support for statewide coordination of public education and awareness to Prevent Sexual Assault; and support for various special data projects as deemed appropriate.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Variance in expenditures is due to the establishment of new positons and hiring of staff.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	600,603	600,603	600,603	600,603	800,000	800,000	800,000
Beginning Cash Balance	(0)	63	377	57,571	59,351	61,132	62,912
Revenues	270,850	502,046	885,599	762,606	762,606	762,606	762,606
Expenditures	270,787	501,732	828,405	760,825	760,825	760,825	760,825
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	63	377	57,571	59,351	61,132	62,912	64,693
Encumbrances	93,911	57,534	183,436	100,408			
Unencumbered Cash Balance	(93,848)	(57,157)	(125,865)	NA	NA	62,912	64,693

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Preventive Hlth and Hlth Svcs Block Grant – Sexual Assault Prev Prog
 Legal Authority: Part A, Title XIX, PHS Act, as amended

Contact Name: William L. Aakhus
 Phone: 586-9305
 Fund type (MOF) P
 Appropriation Acct. No. S 589 H

Intended Purpose: To provide support and coordination of statewide sexual violence prevention education services and to provide technical assistance to increase public awareness of sexual violence.

Source of Revenues: DHHS, CDC

Current Program Activities/Allowable Expenses:

- A. Manage purchase of service contracts for sexual assault primary prevention services for the general public and/or for selected populations such as youth and immigrants to increase awareness of sexual violence and to reduce attitudes that tolerate sexual violence.
- B. Collaborate on public awareness activities with Hawaii Coalition Against Sexual Assault.
- C. To collaborate and plan with the Sexual Violence Primary Prevention Planning Committee for such activities as a needs assessment of attitudes about sexual violence among selected communities.

Purpose of Proposed Ceiling Increase (if applicable): Not Applicable.

Variances: The variance in revenues and expenditures between FY 2018-19 is due to a substantial increase in the amount of financial assistance provided from OPPPD, the grant administrator, to FHSD, for various FHSD programs. The increase in ceiling, revenues, and expenditures for FY2019-2020 is to reflect the higher level of support FHSD recieved from this block grant. From FY2021 onward, FHSD anticipates receiving approximately \$210,000 to support FHSD/MCHB programs only. The decrease in ceiling, revenues, and expenditures from FY2021 reflects this change.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	40,000	40,000	40,000	370,000	300,000	300,000	300,000
Beginning Cash Balance	0	22	65	0	0	0	0
Revenues	12,040	77,911	163,704	252,118	170,000	210,000	210,000
Expenditures	12,018	77,868	163,769	252,118	170,000	210,000	210,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	22	65	0	0	0	0	0
Encumbrances	0	0	138,488	265,596	40,000	30,000	30,000
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: WIC MIS PROJECT FUNDING

Contact Name: Paul Uchima
 Phone: 586-8190
 Fund type (MOF) P

Legal Authority Healthy, Hunger Free Kids Act of 2010, Section 17(h)(10)(A) of the Child Nutrition Act of 1966, as amended. Section 17(h)(10)(B) of the Child Nutrition Act of 1966, as amended, Title 7, Part 246, Public Law 111-296, 42 U.S.C. 1786.

Appropriation Acct. No. S 590 H

Intended Purpose: The fund contains HTH 560 GI federal grant funds. The purpose is to implement a MIS system to transfer existing data from and replace WIC's database called "SWICH".

Source of Revenues: USDA/Food and Nutrition Services/Western Region Office/WIC Grants to States Technology funding.

Current Program Activities/Allowable Expenses: Initial funding for Phase I - Transfer and Replacement of MIS database. Funding completely expended, Phase I completed, project rolled out by 5/31/2017. Grant closeout reports completed.

Purpose of Proposed Ceiling Increase (if applicable): Not Applicable.

Variances: Grant closed in FY 2017.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,495,818	0	0	0			
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	150,269	0	0	0			
Expenditures	150,269	0	0	0			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0			
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Health
 Prog ID(s): HTH 495
 Name of Fund: Hawaii Primary and Behavioral Health Care Integration Project
 Legal Authority: Section 334-7, HRS

Contact Name: Amy Yamaguchi
 Phone: 586-4682
 Fund type (MOF) P
 Appropriation Acct. No. S 592 H

Intended Purpose: The purpose of the grant is to improve the physical health status of adults who have severe and persistent mental illness through the provision of primary and specialty care medical services.

Source of Revenues: Hawaii's Primary and Behavioral Health Care Integration Project grant

Current Program Activities/Allowable Expenses: Activities include the provision of primary and specialty care medical services and support services.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: The variances are attributed to the inability to establish and fill grant funded positions. The grant ended on 9/29/18, negative revenue in FY 19 reflects return of unspent grant funds to grant manager.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0			
Beginning Cash Balance	672	1	497	0	0	0	0
Revenues	18,334	24,818	(497)	0	0	0	0
Expenditures	19,005	24,322	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1	497	0	0	0	0	0
Encumbrances	2,817						
Unencumbered Cash Balance	(2,816)	497	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Health
 Prog ID(s): HTH 760
 Name of Fund: Hawaii National Violent Death System (NVDRS) Project
 Legal Authority: Centers for Disease Control's National Violence Death Reporting Project

Contact Name: Alvin T. Onaka, Ph.D.
 Phone: (808) 586-4600
 Fund type (MOF) N
 Appropriation Acct. No. S-593-H

Intended Purpose: Improve violent death data collection and reporting in the State of Hawaii

Source of Revenues: Competitive federal grant award

Current Program Activities/Allowable Expenses: Activities include working with local law enforcement, the Medical Examiner's office on Oahu and Medical coroners on the neighbor islands to discuss the collection and reporting of violent death (ie: murders and suicides) information. This includes meetings on all islands and data sharing agreements.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: FY16 to FY17 and FY17 to FY18 due to delays in procurement. Project end date 8/31/2019.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	20	5	0	0	0	0	0
Revenues	126,628	55,431	52,383	6,137	0	0	0
Expenditures	126,643	55,436	52,383	6,137	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	5	0	0	0	0	0	0
Encumbrances	25,000	1,640	21,144				
Unencumbered Cash Balance	(24,995)	(1,640)	(21,144)	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 100
 Name of Fund: Tuberculosis Control & Elimination
 Legal Authority: Public Law 112-74, Patient Protection and Affordable Care

Contact Name: Phillip Nguyen
 Phone: 586-4581
 Fund type (MOF) P
 Appropriation Acct. No. S 594 H

Intended Purpose: To prevent and reduce the incidence of tuberculosis (TB) in the State of Hawaii

Source of Revenues: U.S Department of Health & Human Service/Centers for Disease Control and Prevention

Current Program Activities/Allowable Expenses: TB outbreak and control activities, laboratory and direct observed therapy services

Purpose of Proposed Ceiling Increase (if applicable): Not Applicable.

Variances: The variance between FY19 and FY20 was due to a single year funding decrease in FY2019. The grant funding resume to the normal level in FY20 and is estimated to become stable in FY2021 and moving forward.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,456,362	2,092,342	936,351	4,572,597	1,068,000	1,068,000	1,068,000
Beginning Cash Balance	22,100	49	2,917	482	46	46	46
Revenues	1,067,245	1,005,785	928,425	1,089,906	1,067,483	1,068,000	1,068,000
Expenditures	1,089,296	1,002,917	930,860	1,090,342	1,067,483	1,068,000	1,068,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers					0	0	0
Ending Cash Balance	49	2,917	482	46	46	46	46
Encumbrances			344	717			
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH, Family Health Service Division
 Prog ID(s): HTH 560
 Name of Fund: Maternal, Infant and Early Childhood Home Visiting Program (MIECHV proj: 000602)
 Legal Authority: Patient Protection and Affordable Care Act, P.L. 111-148

Contact Name: William L. Aakhus
 Phone: 586-9305
 Fund type (MOF) P
 Appropriation Acct. No. S 595 H

Intended Purpose: To strengthen and improve home visiting programs, improve service coordination for at risk communities, and identify and provide comprehensive evidence-based home visiting services to families who reside in at risk communities.

Source of Revenues: U.S. Department of Health and Human Services, Health Resources and Services Administration, Administration for Children and Families

Current Program Activities/Allowable Expenses: These funds are currently supporting the development of a Hawaii Home Visiting Network (HHVN). This is a public/private partnership with existing home visiting programs to build capacity for home visiting services within the community and to address program sustainability for the future. This project will also build back a hospital based Early Identification program for families at risk for child maltreatment. Identified families will be offered home visiting services through the HHVN.

Purpose of Proposed Ceiling Increase (if applicable): Not Applicable.

Variances: Final budget period ended 9/30/17; grant closed FY 2018.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	80	117	0	0	0	0	0
Revenues	727,741	272,501	0	0	0	0	0
Expenditures	727,704	272,618	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	117	0	0	0	0	0	0
Encumbrances	132,219	0	0	0	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department:	<u>HEALTH, Family Health Service Division</u>	Contact Name:	<u>William L. Aakhus</u>
Prog ID(s):	<u>HTH 560</u>	Phone:	<u>586-9305</u>
Name of Fund:	<u>Maternal, Infant and Early Childhood Home Visiting Program (MIECHV proj: 000753)</u>	Fund type (MOF)	<u>P</u>
Legal Authority	<u>Patient Protection and Affordable Care Act, P.L. 111-148</u>	Appropriation Acct. No.	<u>S 596 H</u>

Intended Purpose: To continue to make significant progress under the MIECHV program towards implementing a high-quality home visiting program as part of a comprehensive early childhood system and maintain existing home visiting programs which have proven to be effective for eligible families or communities or incrementally expand the capacity to address additional unmet need.

Source of Revenues: U.S. Department of Health and Human Services, Health Resources and Services Administration, Administration for Children and Families.

Current Program Activities/Allowable Expenses: These funds provide ongoing support to high-quality evidence-based home visiting programs and expand evidence-based home visiting programs, in whole or in part, through the MIECHV program by increasing enrollment and retention of families served. MIECHV funds support the Hawaii Home Visiting Network (HHVN), a public/private partnership of evidence-based home visiting programs to build capacity for home visiting services within the community and to address program sustainability for the future. The Department of Health (DOH) uses MIECHV funds to support Early Identification (EID) services prenatally and in birthing hospitals which screen families for child maltreatment risk factors.

Families who are identified to be at risk are voluntarily referred to HHVN partners who provide a comprehensive array of services that promote parental competence and successful early childhood health and development. In addition to supporting the HHVN EID and direct home visiting services, these funds provide expanded resources to: embarking on two year evolution of home visiting program expansion, recruitment, enrollment, retention and quality improvement with Johns Hopkins University; launching a Brand Awareness/Social Media Campaign to increase recruitment, enrollment and retention; create a training institute with established Home Visitor Competencies. Strengthening relationships between home visiting, Department of Human Services, Child Welfare Services and early childhood community through shared experiences in the training institute and implementation of the State's Continuous Quality Improvement (CQI) Plan.

Purpose of Proposed Ceiling Adjustment (if applicable): Ceiling to be established in the Budget Details in the Supplement budget effective 7/1/16.

Variances: This MIECHV Expansion grant began 3/1/15. The entire grant award was 8,430,783 and expired on 9/30/2017. Appropriation code from FY 2019 is S 529 H.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	8,430,783	2,836,945	8,430,783	0	0	0	0
Beginning Cash Balance	131,947	38	0	0	0	0	0
Revenues	3,241,657	2,558,458	0	0	0	0	0
Expenditures	3,373,566	2,558,496	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	38	0	0	0	0	0	0
Encumbrances	2,032,817	151,254	0	17,846	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: DOH
 Prog ID(s): HTH131/DB (Office of Public Health Preparedness now HTH 907/AK)
 Name of Fund: HPP Ebola Preparedness and Response Activities
 Legal Authority: PHSA, Sec 21, 42 USC 243

Contact Name: Judy K. Kern
 Phone: (808) 587-6372
 Fund type (MOF): N
 Appropriation Acct. No.: S-15-597 H

Intended Purpose: In partnership with referral hospitals and the local healthcare coalition, DOH will conduct an Ebola virus disease/infectious disease preparedness and response program to improve the State healthcare system's readiness for a possible Ebola/infectious disease outbreak.

Source of Revenues: Federal Funds.

Current Program Activities/Allowable Expenses: Funds will be used to support healthcare facilities and the healthcare coalition to develop consistent plans and capacity regarding identification, isolation, transport, and management of suspected or confirmed patients infected with Ebola or other infectious disease.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A.

Variances: Project period from FY16 through FY20. Grant expired on 5/17/20.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	13,016	55,603	1,393	83,755			
Expenditures	13,016	55,603	1,393	83,755			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances			75,337				
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 440
 Name of Fund: Hawaii SBIRT
 Legal Authority: Section 509 Public Health Service Act, as amended.

Contact Name: Amihan Aiona
 Phone: 692-7508
 Fund type (MOF) P
 Appropriation Acct. No. S 600 H

Intended Purpose: To implement screening, brief intervention, and referral to treatment (SBIRT) services for adults in primary care and community health settings for substance misuse and substance use disorders.

Source of Revenues: Substance Abuse and Mental Health Services Administration (SAMHSA)

Current Program Activities/Allowable Expenses:

The project expects to serve a minimum of 35,000 residents. Project services are designed to develop, expand, and enhance infrastructure to fully integrate SBIRT in six Federally Qualified Health Centers (FQHC) in Hawaii and up to twenty-five small group primary care practices (PCP) over five years and to establish the SBIRT model as a standard of care statewide. The SBIRT program seeks to address behavioral health disparities by encouraging the implementation of strategies, such as SBIRT, to decrease the differences in access, service use, and outcomes among the populations served. Implementing the SBIRT will aid in improving overall health outcomes, reducing the negative impact on health, and reducing healthcare costs.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: Five year project period from 09/30/2016 to 09/29/2021. The variance for FY17 revenues and expenditures are due to 1) POS contracts for the first project year were not executed until later in the year, so expenditures were lower than anticipated and 2) SAMHSA allowed funds not spent in the initial contract year to be carried over to the next project year FY18 which were allocated to the service contracts. The variances in FY18 revenues and expenditures are due to the purchase of service contracts starting to provide services however the scope of the services was limited. While services increased, it did not increase to its full capacity. ADAD reissued the Request for Proposals in FY18 and new contracts were executed to start in FY19. The variances in FY19 to FY20 revenues and expenditures are due to 1) the award amount for the budget year will revert to the original award amount and 2) the anticipated expanded scope of services in purchase of service contracts and the anticipation that the funds will be fully expended.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,658,375	1,658,375	1,845,431	1,615,212	1,860,492	1,860,492	1,860,492
Beginning Cash Balance	0	0	188	50,000	7	7	7
Revenues	12,840	551,401	1,258,356	2,026,480	1,860,492	1,860,492	1,860,492
Expenditures	12,840	551,213	1,208,544	2,076,473	1,860,492	1,860,492	1,860,492
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	188	50,000	7	7	7	7
Encumbrances	1,419,488	1,203,240	974,621	708,921	0	0	0
Unencumbered Cash Balance	(1,419,488)	(1,203,052)	(924,621)	NA	7	7	7

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: <u>HEALTH</u>	Contact Name: <u>William L. Aakhus</u>
Prog ID(s): <u>HTH 560</u>	Phone: <u>586-9305</u>
Name of Fund: <u>Hawaii Birth Defects Surveillance, Intervention, and Follow-Up for Zika Virus</u>	Fund type (MOF) <u>P</u>
<u>Sections 243, 247b (k) and 247b-4 of the Public Health Service</u>	
Legal Authority <u>(PHS) Act, as amended</u>	Appropriation Acct. No. <u>S 603 H</u>

Intended Purpose: Surveillance, intervention, and referral to services activities for infants with microcephaly or other adverse outcomes linked with the Zika virus.

Source of Reven DHHS, Centers for Disease Control and Prevention

Current Program Activities: Case ascertainment; implementation of a secure web based birth defects data system; integration of BD data system with other EI and child services' data systems; clinical cases reviews by a clinical geneticist; coordinating communication and activities in the Family Health Services Division to promote Zika-related information and access to programs for infants and children with birth defects associated with Zika; referral to services; and infant follow-up until one year of age for developmental outcomes of babies identified with microcephaly and select CNS defects.

Purpose of Proposed Ceiling Adjustment (if applicable): Raised the ceiling from \$400,000 to \$600,000 in FY 2017 after receiving a \$200,000 supplemental award

Variances: The award was originally for a 3-years, \$400,000 per year with a Project Period of 8/1/16 - 7/31/19. In FY 2017 an additional \$200,000 Supplemental Award was received on 12/17/16. In FY 2018 the Centers for Disease Control and Prevention (CDC) announced that funding for the Year 2 Continuation is not available with Instructions for closeout effectively ending the budget/project period on 7/31/17. Subsequently, a 24-month No-Cost extension was approved to draw down the remaining funding. Grant ended 7/31/2019. No further award is anticipated from FY2020 onward.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	600,000	600,000	600,000	0	0	0	0
Beginning Cash Balance	0	4	48	1,883	0	0	0
Revenues	41,225	71,830	83,915	24,175	0	0	0
Expenditures	41,221	71,787	82,080	26,057	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	4	48	1,883	0	0	0	0
Encumbrances		46,096	14,397	0	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH590
 Name of Fund: National Cancer Prevention & Control Program
 Legal Authority: Various sections of the Public Health Service Act, as amended

Contact Name: Lola Irvin
 Phone: 586-4481
 Fund type (MOF) P
 Appropriation Acct. No. S 604 H

Intended Purpose: Provide free breast and cervical cancer outreach, screening, diagnostic, and case management services to high-risk women aged 50 and older who are low income and are uninsured or underinsured; Develop and implement statewide, integrated comprehensive cancer plan to reduce the incidence, morbidity and mortality of cancer through prevention, early detection, treatment, rehabilitation and palliation.

Source of Revenues: Centers for Disease Control, Division of Cancer Prevention and Control

Current Program Activities/Allowable Expenses: Cancer screening, diagnostics, monitoring, followup, treatment, education, and outreach; maintain coalitions, surveillance and implementation of the State Plan; support advocacy and awareness initiatives.

Purpose of Proposed Ceiling Adjustment (if applicable): None

Variances: Contracts or services executed in FY2019 were extended in FY2020.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		1,252,168	1,252,168	1,148,644	1,148,644	1,148,644	1,148,644
Beginning Cash Balance	0	0	32	(0)	26	26	26
Revenues		445,400	1,188,315	1,022,013	1,148,644	1,148,644	1,148,644
Expenditures		445,368	1,188,347	1,021,987	1,148,644	1,148,644	1,148,644
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	32	(0)	26	26	26	26
Encumbrances			644,010	568,808	214,851		
Unencumbered Cash Balance	0	32	(644,010)	NA	NA	26	26

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 460
 Name of Fund: Wraparound Program for Youth In or At-Risk of Mainland Placement
 Legal Authority: Section 561 thru 565 of the Public Health Services Act As Amended

Contact Name: Wakaba Stephens
 Phone: 733-9866
 Fund type (MOF) P
 Appropriation Acct. No. S 605 H

Intended Purpose: To provide additional needed resources for the provision of comprehensive mental health services for individuals with severe and persistent mental illness.

Source of Revenues: Federally Funded Grant from SAMHSA

Current Program Activities/Allowable Expenses: Returning children and youth who are currently placed in out-of-state residential treatment facilities back to their home communities and preventing impending out-of-state placements when possible, utilizing a team decision-making model, fostering family and client strengths, creative problem-solving, as well as, providing clinical resources and consultation to ensure implementation of evidence based treatment.

Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable

Variances:

FY2018-2019 The revenues and expenditures increased due to the increases in activities such as contracts and travel.

FY2020-The revenues and expenditures increased due to the increased activities.

FY2021-The revenues and expenditures are expected to decrease due to the grant ending as of September 29, 2020.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,523,715	8,017,945	7,148,979	5,732,326	4,086,419	0	0
Beginning Cash Balance	0	55	32	2,361	1,005	498	498
Revenues	80,900	868,943	1,418,982	1,644,551	661,950	0	0
Expenditures	80,845	868,966	1,416,653	1,645,907	662,457	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	55	32	2,361	1,005	498	498	498
Encumbrances	175,017	164,377	724,867	813,281			
Unencumbered Cash Balance	(174,962)	(164,345)	(722,506)	NA	498	498	498

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Health
 Prog ID(s): HTH 730
 Name of Fund: The Hawaii DPPI P&D Project
 Legal Authority: SEC 301, 317, & 391A 42USC241, 247B & 280B-B3

Contact Name: Terry Joyce
 Phone: 733-9217
 Fund type (MOF) P
 Appropriation Acct. No. S-17-607 H

Intended Purpose: Advance state-level prevention for drug abuse, misuse and overdose, the leading mechanism of injury-related mortality in Hawaii.

Source of Revenues: Department of Health and Human Services, Public Health Service Centers for Disease Control and Prevention.

Current Program Activities/Allowable Expenses: Improve epidemiology of opioid poisonings & strategic planning for their prevention/Personnel and other costs.

Purpose of Proposed Ceiling Increase (if applicable): Not Applicable.

Variances: See Note 3 below.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	870,000	290,000	290,000	0	0	0	0
Beginning Cash Balance	0	36	52	162	0	0	0
Revenues	31,825	302,564	324,497	175,078	0	0	0
Expenditures	31,789	302,547	324,387	175,240	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	36	52	162	0	0	0	0
Encumbrances	121,449	93,162	58,784	0	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

(Note: For federal funds, although funds are encumbered, federal funds are not drawn down until just prior to payment processing (approximately three days prior to payment.)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Note 1: Data Source Reference for Actual FY 2020 Beginning Balance: MBP477-A OPTION:2, CY20, CM06, as of 06/30/20, State of Hawaii Financial Accounting & Management Information System.

Note 2: Data Source Reference for Actual FY 2020 Encumbrances (Unliquidated Balance): MBP490-A, CY20, CM06, as of 06/30/20, State of Hawaii Financial Accounting & Management Information System.

Note 3: The Project Period for this grant is from 09/01/2016 through 08/31/2019.

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 840 FF
 Name of Fund: Multipurpose Grant Program-Clean Air
 Legal Authority Consolidated Appropriations Act 2016; Public Law No: 114-113; 2 CFR 200, 2 CFR 1500, and 40 CFR 33; Request to Expend Non-Appropriated Other Federal Funds--Governor approved 10/11/16

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) P
 Appropriation Acct. No. S 608 H

Intended Purpose: Ensure air pollution sources are properly regulated and are in compliance with all permit conditions, standards, and regulations.

Source of Revenues: Federal grant funds from Environmental Protection Agency.

Current Program Activities/Allowable Expenses: Replace, operate, and maintain ambient air quality monitoring equipment.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: New grant award in FY 17. One-time grant award. Non-appropriated. Award end date was 9/30/2018.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	185,460	194,733	0	0	0	0	0
Beginning Cash Balance	0	0	107	0	0	0	0
Revenues	0	29,750	155,710	0	0	0	0
Expenditures	0	29,643	155,817	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	107	0	0	0	0	0
Encumbrances		102,502	0	0	0	0	0
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Health
 Prog ID(s): HTH 904-AJ
 Name of Fund: MIPPA Priority Area 2 AAAs
 Legal Authority: PL 100-275

Contact Name: S.Chun
 Phone: 6-7323
 Fund type (MOF) P
 Appropriation Acct. No. S 609 H

Intended Purpose: To help low income Medicare beneficiaries apply for programs that make Medicare affordable.

Source of Revenues: Administration on Community Living

Current Program Activities/Allowable Expenses: Offers one on one counseling and assistance to people with Medicare.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Grant ends at the end of 2020

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	33,278	33,278	52,101	39,185	39,185	0	0
Beginning Cash Balance	0	0	0	0	5,000	0	0
Revenues	0	19,563	13,715	77,571	0	0	0
Expenditures	0	19,563	13,715	72,571	5,000	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	5,000	0	0	0
Encumbrances	0	13,715	0	5,000		0	0
Unencumbered Cash Balance	0	(13,715)	0	NA	NA	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Health
 Prog ID(s): HTH 904-AJ
 Name of Fund: MIPPA Priority Area 3 ADRCs
 Legal Authority: PL 100-275

Contact Name: S.Chun
 Phone: 6-7323
 Fund type (MOF) P
 Appropriation Acct. No. S 610 H

Intended Purpose: To help low income Medicare beneficiaries apply for programs that make Medicare affordable.

Source of Revenues: Administration on Community Living

Current Program Activities/Allowable Expenses: Offers one on one counseling and assistance to people with Medicare.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Grant ends at the end of 2020

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	28,145	28,145	50,586	24,352	0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	1,423	26,722	48,216	0	0	0
Expenditures	0	1,423	26,722	48,216	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	26,722	0	0	0	0	0
Unencumbered Cash Balance	0	(26,722)	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Health
 Prog ID(s): HTH 904-AJ
 Name of Fund: MIPPA Priority Area 1 SHIPs
 Legal Authority: PL 100-275

Contact Name: S.Chun
 Phone: 6-7323
 Fund type (MOF) P
 Appropriation Acct. No. S 611 H

Intended Purpose: To help low income Medicare beneficiaries apply for programs that make Medicare affordable.

Source of Revenues: Administration on Community Living

Current Program Activities/Allowable Expenses: Offers one on one counseling and assistance to people with Medicare.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Grant ends at the end of 2020

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	91,432	91,432	139,495	70,652	0	0	0
Beginning Cash Balance	0	0	2,200	6,316	(0)	(0)	(0)
Revenues	0	23,400	90,432	117,515	0	0	0
Expenditures	0	21,200	86,316	123,831	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	2,200	6,316	(0)	(0)	(0)	(0)
Encumbrances	37,511	70,232	34,000	0	0	0	0
Unencumbered Cash Balance	(37,511)	(68,032)	(27,684)	(0)	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Hawaii WIC Implementation MIS Replacement Project FFY 2017 Funding
Child Nutrition Act of 1966, as amended, Section 17, 42 U.S.C 1786.
Healthy, Hunger-Free Kids Act of 2010, Public Law 111-296, 7 U.S.C.
 Legal Authority 1756.

Contact Name: Paul Uchima
 Phone: 586-8190
 Fund type (MOF) P

Appropriation Acct. No. S 612 H

Intended Purpose: Implementation of Hawaii WIC MIS Transfer and Replacement MIS System.

Source of Revenues: USDA/Western Region Office/Food and Nutrition Services Technology Funding.

Current Program Activities/Allowable Expenses: Project Manager (Maximus) and Database Hosting (cQuest) contractor payments.

Purpose of Proposed Ceiling Increase (if applicable): Not Applicable.

Variances: Short-term funding received from USDA was expended on contractor invoiced. Grant Closed 9/30/18.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	245,486	245,486	230,000	0	0	0	0
Beginning Cash Balance	0	0	122	0	0	0	0
Revenues	0	66,407	4,271	0	0	0	0
Expenditures	0	66,285	4,393	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	122	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

(Note: For federal funds, although funds are encumbered, federal funds are not drawn down until just prior to payment processing (approximately three days prior to payment.)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 840 FJ
 Name of Fund: Multipurpose Grant Program-SHWB
 Legal Authority Consolidated Appropriations Act 2016; Public Law No: 114-113; 2 CFR 200, 2 CFR 1500, and 40 CFR 33; Request to Expend Non-Appropriated Other Federal Funds--Governor approved 4/27/17

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) P
 Appropriation Acct. No. S 613 H

Intended Purpose: Provide resources related to implementation of the Red Hill Bulk Fuel Storage Facility Administrative Order on Consent.

Source of Revenues: Federal grant funds from Environmental Protection Agency.

Current Program Activities/Allowable Expenses: Personnel, travel, equipment, supplies, and other costs to attend meetings, review and comment on draft documents, etc.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: New grant award in FY 17. This was anticipated to be a one-time grant award, but another award was received in FY 20. Non-appropriated.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		99,863	0	114,404	50,000	120,125	126,131
Beginning Cash Balance	0	0	115	0	0	0	0
Revenues		75,725	24,138	0	0	0	0
Expenditures		75,610	24,253	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	115	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 440
 Name of Fund: Hawaii Opioid STR
 Legal Authority: Section 1003 21st Century Cures Act, as amended

Contact Name: Amihan Aiona
 Phone: 692-7508
 Fund type (MOF) P
 Appropriation Acct. No. S 614 H

Intended Purpose: To implement the Hawaii State Targeted Response to the Opioid Crisis (Hawaii STR) to include addressing the opioid crisis by increasing access to treatment, reducing unmet treatment needs, and reducing opioid overdose related deaths through the provision of prevention, treatment and recovery activities for opioid use disorder.

Source of Revenues: Substance Abuse and Mental Health Services Administration (SAMHSA)

Current Program Activities/Allowable Expenses: The project expects to increase access to opioid treatment, and reduce opioid overdose related deaths through the provision of prevention, treatment, and recovery activities for opioid use disorder (OUD) (including prescription opioids as well as illicit drugs such as heroin). The Hawaii STR grant seeks to prevent further effects of opioid use and avert further opioid crisis in the State of Hawaii. The Hawaii STR has three goals: 1) Increase opioid treatment for over 400 individuals; 2) Expand services to areas in the state with the most unmet need such as Kauai Island; 3) Implement and expand proven and effective policies and strategies related to opioids, such as use of Prescription Drug Monitoring Program (PDMP).

Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable. Short term federal award.

Variances: Two year project period from 5/1/2017 to 4/30/2019 and no-cost extension from 5/1/19 to 4/30/20. The variances for FY2018 to FY2019 revenues and expenditures are due to the difference in the amount of the award spent for the first year (1,020,758 of 2,000,000) and the allocation of the funding for year 2 of the project. The variance for FY 2020 is the unspent balance in year 1 was approved in the no cost extension. Grant ended 4/30/20.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	2,000,000	2,000,000	0	0	0	0
Beginning Cash Balance	0	0	0	0	39,875	39,875	39,875
Revenues	0	1,020,758	1,431,468	1,534,502	0	0	0
Expenditures	0	1,020,758	1,431,468	1,494,627	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	39,875	39,875	39,875	39,875
Encumbrances		90,166	162,648	6,534	0	0	0
Unencumbered Cash Balance	0	(90,166)	(162,648)	NA	39,875	39,875	39,875

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 440
 Name of Fund: Hawaii YT-I (Youth Treatment Implementation)
 Legal Authority: Section 509 Public Health Service Act, as amended

Contact Name: Amihan Aiona
 Phone: 692-7508
 Fund type (MOF) P
 Appropriation Acct. No. S 615 H

Intended Purpose:

To improve treatment for adolescents and /or transitional aged youth with substance use disorders (SUD) and/or co-occurring substance use and mental disorders by assuring youth state-wide access to evidence-based assessments, treatment models, and recovery services supported by strengthening the existing infrastructure system.

Source of Revenues: Substance Abuse and Mental Health Services Administration (SAMHSA) - Center for Substance Abuse Treatment (CSAT)

Current Program Activities/Allowable Expenses:

The Hawaii YT-I project has seven goals and expects to serve 72 clients per year: 1) Expand and enhance SUD treatment services for the population of focus; 2) Involve families, adolescents, and transitional aged youth at the state level to inform policy, program, and effective practice; 3) Expand the qualified workforce; 4) Disseminate evidence-based practices (EBPs); 5) Develop funding and payment strategies that support EBPs in the current funding environment; 6) Improve interagency collaboration; 7) Measure successful implementation of the Hawaii YT-I using Government Performance and Results Act (GPRA) outcome measures.

Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable.

Variances: Four year project period from 9/30/2017 to 9/29/2021. The variances for FY 2018 to FY 2019 revenues and expenditures result from POS contracts for the first project year not being executed until later in the year, so expenditures were lower than anticipated. The variances in FY 2019 to FY 2020 revenues and expenditures are due to the increased services provided in purchase of service contracts. In FY 2020, it is anticipated to expend the full award amount.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	785,000	785,000	785,000	785,000	785,000	785,000
Beginning Cash Balance	0	0	0	2,200	(0)	(0)	(0)
Revenues	0	1,281	293,499	540,979	785,000	785,000	785,000
Expenditures	0	1,281	291,299	543,179	785,000	785,000	785,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	2,200	(0)	(0)	(0)	(0)
Encumbrances			125,480	256,237	0	0	0
Unencumbered Cash Balance	0	0	(123,280)	NA	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH710/MB
 Name of Fund: HI NAHLN FY17 LEVEL 3 MEMBER LAB AGREEM
 Legal Authority: Act 53, SLH 2018

Contact Name: Marion Wong
 Phone: 453-6667
 Fund type (MOF) P
 Appropriation Acct. No. S-18-618 H

Intended Purpose: The purpose of this agreement is to provide infrastructure funding to help support participation in NAHLN.

Source of Revenues: United States Department of Agriculture, Animal and Plant Health Inspection Service

Current Program Activities/Allowable Expenses: To maintain Surveillance assessment to maintain ISO 17025. Expenses are for proficiency testing and supplies

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: The variance in revenues and expenditures are due to timing of procurement and reimbursement.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		50,000	50,000	0	0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	21,394	16,831	0	0	0	0
Expenditures	0	21,394	16,831	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
02/28/17 JS3930	0						
02/28/17 JS3930	0						
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances		0					
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Hawaii Childhood Lead Poisoning Prevention Program
Section 317 (k) (3) of the Public Health Service Act, [42 U.S.C. 247b (k)
 Legal Authority (3)]

Contact Name: Michelle C Matsuoka
 Phone: 733-9062
 Fund type (MOF) P

Appropriation Acct. No. S 619 H

Intended Purpose: Hawaii Chidhood Lead Poisoning Prevention

Source of Revenues: DHHS Centers for Disease Control and Prevention

Current Program Activities/Allowable Expenses: Encouraging lead screening and testing; tracking childhood lead poisoning cases in an electronic surveillance system; managing, collecting, analyzing and reporting data regarding blood lead levels; technical assistance and consultation to health care providers; follow-up with families for children with elevated blood lead levels; coordinating home visits with public health nurses; referral to developmental screening programs and early intervention services; identifying potential lead hazards; outreach, education, and training to health care providers, state/community programs, social groups, nonprofits, preschools, childcare facilities, and families; building and maintaining a diverse coalition to support and inform program goals.

Purpose of Proposed Ceiling Increase (if applicable): Not Applicable.

Variances: Grant began 9/30/2017 (FY 2018) but the program activities, including contract and personnel expenditures, started to ramp up in FY 2019 which explains the variance between FY 2018-19. Personnel staff secured for FY20 with new contracts executed for outreach and education. Grant approval in process for 4 additional years commencing September 2021 possibly at prior award levels of \$400k.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	400,000	400,000	400,000	480,000	480,000	480,000
Beginning Cash Balance	0	0	34	0	15	15	15
Revenues	0	13,295	338,387	488,380	480,000	400,000	400,000
Expenditures	0	13,261	338,421	488,364	480,000	400,000	400,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	34	0	15	15	15	15
Encumbrances	0	0	102,249	131,448	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

(Note: For federal funds, although funds are encumbered, federal funds are not drawn down until just prior to payment processing (approximately three days prior to payment.)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 840 FH
 Name of Fund: Water Audit Sub-award to DLNR/CWRM

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) P

Legal Authority Safe Drinking Water Act: Sec. 1452; Act 169, SLH 2016; Request to Expend Non-Appropriated Federal Funds--Governor approved 12/6/17

Appropriation Acct. No. S 620 H (see also S 209 H)

Intended Purpose: Support the implementation of Act 169, SLH 2016, which authorizes and requires the Commission on Water Resource Management to establish a water audit program to provide technical assistance to public water systems to conduct standardized water audits. Reduce the volume of water loss.

Source of Revenues: Federal grant funds from Environmental Protection Agency; sub-award from Safe Drinking Water State Revolving Fund capitalization grant, which is MOF W.

Current Program Activities/Allowable Expenses: Activities to establish a program to implement standardized water audits of public water systems in accordance with the method adopted by the American Water Works Association's Water Audits and Loss Control Programs, Manual of Water Supply Practices - M36, as amended.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Non-appropriated. Fund was established as MOF N in FY 17, but was changed to MOF P for FY 18 and FY 19. Subaward was for 3 years of funding.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		250,000	150,000	0	0	0	0
Beginning Cash Balance		0	0	0	0	0	0
Revenues		0	169,155	155,835	75,010	0	0
Expenditures		0	169,155	155,835	75,010	0	0
Transfers	List each net transfer in/out; list each account number						
JS5242 dtd 05/31/18 JS5452 dtd 06/14/18		23,600					
JS5242 dtd 05/31/18 JS5452 dtd 06/14/18		(23,600)					
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Hawaii WIC EBT Implementation Project
Child Nutrition Act of 1966, as amended, Section 17, 42 U.S.C 1786.
Healthy, Hunger-Free Kids Act of 2010, Public Law 111-296, 7 U.S.C.
 Legal Authority 1756.

Contact Name: Paul Uchima
 Phone: 586-8190
 Fund type (MOF) P

Appropriation Acct. No. S 621 H

Intended Purpose: Implementation of EBT "eWIC" card and related services.

Source of Revenues: USDA/Food and Nutrition Services/Western Region Office/WIC Grants to States Technology Funding

Current Program Activities/Allowable Expenses: Project Management, IV & V contractor and Services Provider support, MIS Database code merge, UPC collection, Bank Identification Number, Travel, equipment and Supplies.

Purpose of Proposed Ceiling Increase (if applicable): Not Applicable.

Variances: **This grant closed on September 30, 2020.** The Appropriation Ceiling is based on a three-year project period. The variance in revenues and expenditures between FY 2018-19 is due to the time involved executing the three (3) contracts related to this project and subsequent receipt of invoices and the timing of draw-down's from the federal grant. The variance between FY 2019-20 is due to increased program/contract activity as the WIC implementation Project was rolled out statewide. This account ended 9/30/20, no further revenues or expenditures anticipated.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	1,694,451	1,694,451	1,694,451	1,694,451	0	0
Beginning Cash Balance	0	0	2,498	11	21,030		
Revenues	0	15,554	265,261	669,546	138,151	0	0
Expenditures	0	13,056	267,749	648,527	138,113	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	2,498	11	21,030	21,068	0	0
Encumbrances	0	261,780	888,464	290,943	290,943	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	153,039	N/A	N/A

(Note: For federal funds, although funds are encumbered, federal funds are not drawn down until just prior to payment processing (approximately three days prior to payment.)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Health
 Prog ID(s): HTH 904-AJ
 Name of Fund: MIPPA Priority Area 3 ADRCs
 Legal Authority: PL 100-275

Contact Name: S.Chun
 Phone: 6-7323
 Fund type (MOF) P
 Appropriation Acct. No. S 622 H

Intended Purpose: To help low income Medicare beneficiaries apply for programs that make Medicare affordable.

Source of Revenues: Administration on Community Living

Current Program Activities/Allowable Expenses: Offers one on one counseling and assistance to people with Medicare.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Grant ended

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	22,642	22,642		0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	22,642		0	0	0
Expenditures	0	0	22,642		0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Health
 Prog ID(s): HTH 904-AJ
 Name of Fund: MIPPA Priority Area 1 SHIPs
 Legal Authority: PL 100-275

Contact Name: S.Chun
 Phone: 6-7323
 Fund type (MOF) P
 Appropriation Acct. No. S 623 H

Intended Purpose: To help low income Medicare beneficiaries apply for programs that make Medicare affordable.

Source of Revenues: Administration on Community Living

Current Program Activities/Allowable Expenses: Offers one on one counseling and assistance to people with Medicare.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Grant ended

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	65,810	65,810		0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	65,810		0	0	0
Expenditures	0	0	65,810		0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	65,810	0	0	0	0	0
Unencumbered Cash Balance	0	(65,810)	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Health
 Prog ID(s): HTH 904-AJ
 Name of Fund: MIPPA Priority Area 2 AAAs
 Legal Authority: PL 100-275

Contact Name: S.Chun
 Phone: 6-7323
 Fund type (MOF) P
 Appropriation Acct. No. S 624 H

Intended Purpose: To help low income Medicare beneficiaries apply for programs that make Medicare affordable.

Source of Revenues: Administration on Community Living

Current Program Activities/Allowable Expenses: Offers one on one counseling and assistance to people with Medicare.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Grant ended

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	36,413	36,413		0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	36,413		0	0	0
Expenditures	0	0	36,413		0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Health
 Prog ID(s): HTH 904-AJ
 Name of Fund: State of Hawaii Dementia Capable Service Systems
 Legal Authority: 42 USC 280c-3

Contact Name: S.Chun
 Phone: 6-7323
 Fund type (MOF) P
 Appropriation Acct. No. S 625 H

Intended Purpose: Creating dementia capable, sustainable service systems for persons with dementia

Source of Revenues: Administration on Community Living

Current Program Activities/Allowable Expenses: Dementia training facilitation.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Due to late contract encumbrances.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	674,324	602,617	378,094	378,094	378,094	378,094
Beginning Cash Balance	0	0	293	4,500	19,803	0	0
Revenues	0	45,000	228,729	295,549	45,000	45,000	45,000
Expenditures	0	44,707	224,522	280,246	64,803	45,000	45,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	293	4,500	19,803	0	0	0
Encumbrances	0	291,072	161,596	61,122		0	0
Unencumbered Cash Balance	0	(290,779)	(157,096)	NA	NA	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH131DA
 Name of Fund: Emergency Medical Services for Children Partnership (EMSC)
 Legal Authority: PHS Act, Title XIX 1910 (42 U.S.C. 300w-9), as amended

Contact Name: Jade DeCosta
 Phone: (808) 587-6592
 Fund type (MOF): P
 Appropriation Acct. No.: S-626-H

Intended Purpose: The purpose of the EMSC State Partnership Program is to help bring focus and support to enhancing and improving Hawaii's pediatric trauma and injury care capacity and thereby assure all children will receive appropriate and timely care.

Source of Revenues: Federal funds.

Current Program Activities/Allowable Expenses: Funds are used to support personnel costs, continuing education training, specialty equipment, and travel to and participation in grantee/advisory meetings.

Purpose of Proposed Ceiling Increase (if applicable): Not Applicable.

Variances: When grant was received there were only 2mo remaining in FY18 to hire grant coordinator so zero spent. In FY19 there was difficulty finding qualified candidates so the grant allowed for a carryover of funds into FY20.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	130,000	130,000	130,000	130,000	130,000	130,000
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	1,417	202,161	130,000	130,000	130,000
Expenditures	0	0	1,417	202,161	130,000	130,000	130,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances			1,474				
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

(Note: For federal funds, although funds are encumbered, federal funds are not drawn down until just prior to payment processing (approximately three days prior to payment.)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Health
 Prog ID(s): HTH 420
 Name of Fund: Crisis Counseling Immediate Services
 Legal Authority: Section 334-7, HRS

Contact Name: Amy Yamaguchi
 Phone: 586-4682
 Fund type (MOF) P
 Appropriation Acct. No. S 627 H

Intended Purpose: The purpose of this grant is to provide short-term crisis counseling to individuals impacted by a Presidentially-declared major disaster that includes individual assistance.

Source of Revenues: Crisis Counseling Assistance and Training Program

Current Program Activities/Allowable Expenses: Activities include the provision of short-term crisis counseling to respond to the immediate behavioral health needs of people affected by the disaster.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: The grant ended on 8/13/18.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			273,123		0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	81,912		0	0	0
Expenditures	0	0	81,912		0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	161,176				
Unencumbered Cash Balance	0	0	(161,176)	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: State Primary Care Office
 Legal Authority: Sections 330D, 330(I), and 333(m), 333(d) of the PHS Act

Contact Name: William L. Aakhus
 Phone: 586-9305
 Fund type (MOF) P
 Appropriation Acct. No. S 521 H and S 628 H from FY 19

Intended Purpose: The goal of this grant is to improve primary care service delivery and workforce availability to meet the needs of underserved populations in Hawaii. Primary partners in this endeavor include the Bureau of Health Professions and Clinician and Recruitment Services of HRSA, DHHS; FHSD; Health Resources Administration, Hawaii State Department of Health, and the Hawaii Primary Care association (HPCA)

Source of Revenues: DHHS, HRSA

Current Program Activities/Allowable Expenses: The program's five required overarching goals that strengthen the statewide primary care system are to: (1) Demonstrate organizational effectiveness and foster collaboration by establishing and maintaining public and private partnerships, participation in national conference calls initiated by the Bureau of Clinician and Recruitment Services, and the Shortage Designation Branch, convening of the Primary Care Provider Network forum, and attendance at required meetings held with the PCO Project Officer, PCO annual meeting, and HRSA's all grantee meeting throughout the project period; (2) Provide technical assistance to organizations/communities wishing to expand access to primary care for underserved populations; (3) Conduct primary care needs assessment for the production of the primary care needs assessment databook, and sharing data with the HPCA and other entities; (4) Facilitate workforce development for the National Health Service Corps (NHSC) and safety net/health center network through the evaluation and recommendation of recruitment and retention assistance applications, NHSC site monitoring to evaluate compliance with agreements, maintenance of an inventory of eligible NHSC placement sites with current site profiles, and facilitation of placement of NHSC providers according to needs of Health Center Network; and (5) submission of Health Professional Shortage Area designations and re-designation applications.

Purpose of Proposed Ceiling Increase (if applicable): Not Applicable.

Variances: The variance between FY2018-19 and FY2019-20 reflects actual expenditures of carry over funds in different budget periods. This account was under UAC S-628-H (FY 16-18) but grant is now under UAC S-628-H per A53/SL18. Variances do not exceed 10%.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	178,179	178,179	200,000	200,000	200,000	200,000	200,000
Beginning Cash Balance	5,556	53	918	0	0	0	0
Revenues	177,142	196,479	172,685	162,459	165,000	165,000	165,000
Expenditures	182,645	195,614	173,603	162,459	165,000	165,000	165,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	53	918	0	0	0	0	0
Encumbrances	0	1,634	50	0	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A
(Note: For federal funds, although funds are encumbered, federal funds are not drawn down until just prior to payment processing (approximately three days prior to payment.)							
Additional Information:							
Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 590
 Name of Fund: Diabetes, Heart Disease, Stroke
 Legal Authority: Act 053, SLH 2018

Contact Name: Lola Irvin
 Phone: 586-4481
 Fund type (MOF) P
 Appropriation Acct. No. S 629 H

Intended Purpose: Improve the health of Americans through Prevention and Management of Diabetes and Heart Disease and Stroke-Financed in part by 2018 Prevention and Public Health Funds (PPHF).

Source of Revenues: Center for Disease Control

Current Program Activities/Allowable Expenses: Improve the prevention and management of type 2 diabetes and cardiovascular disease through increased access to evidence-based programs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Grant started 9/30/2018.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			1,468,850	1,468,850	1,468,850	1,468,850	1,468,850
Beginning Cash Balance	0	0	0	1,982	42	42	42
Revenues			367,298	1,101,902	1,146,850	1,468,850	1,468,850
Expenditures			365,316	1,103,842	1,146,850	1,468,850	1,468,850
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	1,982	42	42	42	42
Encumbrances				625,784	625,784		
Unencumbered Cash Balance	0	0	1,982	NA	NA	42	42

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 440
 Name of Fund: Strategic Prevention Framework-Partnerships for Success (HI-SPF-PFS)
 Legal Authority: Section 516 PHS Act as amended

Contact Name: Amihan Aiona
 Phone: 692-7508
 Fund type (MOF) P
 Appropriation Acct. No. S 630 H

Intended Purpose:

Implementation of the Strategic Prevention Framework process at the state and community levels to promote alignment and coordination of resources to better address substance abuse prevention priorities.

Source of Revenues: Substance Abuse and Mental Health Services Administration (SAMHSA), Center for Substance Abuse Prevention (CSAP)

Current Program Activities/Allowable Expenses: In collaboration with state and community level stakeholders, use data-driven decision making processes to develop and implement effective prevention strategies and sustainable prevention infrastructures to address underage drinking among persons ages 9 to 20.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: Five year award from 9/30/18 to 9/29/23. The variance in anticipated FY 2020 revenues and expenditures are due to POS contracts recently executed to provide services statewide in the communities and the recent hiring of the project coordinator.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	2,017,630	2,014,630	2,014,630	2,014,630	2,014,630
Beginning Cash Balance	0	0	0	0	11	11	11
Revenues	0	0	7,379	740,858	2,014,630	2,014,630	2,014,630
Expenditures	0	0	7,379	740,847	2,014,630	2,014,630	2,014,630
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	11	11	11	11
Encumbrances				1,331,093	0	0	0
Unencumbered Cash Balance	0	0	0	NA	11	11	11

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow							
Accounts, or Other Investments							
Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 440
 Name of Fund: Hawaii State Opioid Response (SOR)
 Legal Authority: Title II Division H of the Consolidated Appropriations Act, 2018

Contact Name: Amihan Aiona
 Phone: 692-7508
 Fund type (MOF) P
 Appropriation Acct. No. S 631 H

Intended Purpose:

To address the opioid crisis by increasing access to medication-assisted treatment, using the FDA-approved medications for the treatment of opioid use disorder (OUD), reducing unmet treatment need, and reducing opioid overdose related deaths through the provision of prevention, treatment and recovery activities for opioid use disorder (OUD) (including prescription opioids, heroin and illicit fentanyl and fentanyl analogs).

Source of Revenues: Substance Abuse and Mental Health Services Administration (SAMHSA)

Current Program Activities/Allowable Expenses:

The project expects to increase access to opioid treatment, and reduce opioid overdose related deaths through the provision of prevention, treatment, and recovery activities for opioid use disorder (OUD) (including prescription opioids as well as illicit drugs such as heroin). The Hawaii STR grant seeks to prevent further effects of opioid use and avert further opioid crisis in the State of Hawaii. The Hawaii STR has three goals: 1) Increase opioid treatment for over 400 individuals; 2) Expand services to areas in the state with the most unmet need such as Kauai Island; 3) Implement and expand proven and effective policies and strategies related to opioids, such as use of Prescription Drug Monitoring Program (PDMP).

Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable. Short term federal award.

Variances: Two year project period from 9/30/2018 to 9/29/2020 and one year no-cost extension to 9/29/2021. The variances in anticipated revenues and expenditures for FY 2020 are due to POS contracts recently executed to provide services statewide in the communities and recent hiring of program staff to implement the grant.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	6,143,788	4,036,648	0	0	0
Beginning Cash Balance	0	0	0	104,270	1,306	1,306	1,306
Revenues	0	0	355,296	1,893,353	4,500,000	0	0
Expenditures	0	0	251,026	1,996,318	4,500,000	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	104,270	1,306	1,306	1,306	1,306
Encumbrances			483,756	1,663,131	0	0	0
Unencumbered Cash Balance	0	0	(379,486)	NA	1,306	1,306	1,306

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Health
 Prog ID(s): HTH 420
 Name of Fund: Crisis Counseling Regular Services, Hawaii
 Legal Authority: Section 334-7, HRS

Contact Name: Amy Yamaguchi
 Phone: 586-4682
 Fund type (MOF) P
 Appropriation Acct. No. S 632 H

Intended Purpose: The purpose of this grant is to provide supplemental emergency mental health counseling to individuals affected by major disasters, including the training of workers to provide such counseling.

Source of Revenues: Crisis Counseling grant

Current Program Activities/Allowable Expenses: Activities including the provision of crisis counseling and training of workers to provide such counseling.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: The grant ended on 6/20/2019.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			949,137	347,143	0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	601,993	62,172		0	0
Expenditures	0	0	601,993	62,172		0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	312,668				
Unencumbered Cash Balance	0	0	(312,668)	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH710/MB
 Name of Fund: NAHLN Level 3 Designation Agreement
 Legal Authority: Act 53, SLH 2018

Contact Name: Marion Wong
 Phone: 453-6667
 Fund type (MOF) P
 Appropriation Acct. No. S-19-633 H

Intended Purpose: Provide the State Laboratories funding to support the tactical agrosecurity scientific capabilities, capacities and other functions of the National Animal Health Laboratory Network (NAHLN), funded under National Institute of Food and Agriculture's (NIFA) Food and Agriculture Defense Initiative (FADI).

Source of Revenues: U.S. Department of Agriculture and NIFA

Current Program Activities/Allowable Expenses: Various activities to maintain ISO accreditation. Expenditures for travel, supplies, and certification costs for ISO 17025 accreditation..

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: The increase in revenues and expenditures in FY2021 is due to an increase in grant funding and projected expenditures.
 Grant ended 08/31/2020

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			50,000	50,000	50,000	0	0
Beginning Cash Balance	0	0	0	0	2	(0)	(0)
Revenues			0	46,092	21,806	0	0
Expenditures			0	46,090	21,808	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	2	(0)	(0)	(0)
Encumbrances							
Unencumbered Cash Balance	0	0	0	2	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 131
 Public Health Emergency Response: Cooperative Agreement for
 Emergency Response: Public Health Crisis Response
 Name of Fund: _____
 Legal Authority 311(c)(1) of the Public Health Service Act (42 USC 243 (c) (1))

Contact Name: Jade DeCosta
 Phone: (808) 587-6592

Fund type (MOF) P
 Appropriation Acct. No. S 637

Intended Purpose: The purpose of the Cooperative Agreement for the Emergency Response is to be a financial first stop gap to a public health crisis, in this case COVID-19.

Source of Revenues: Federal Funds

Current Program Activities/Allowable Expenses: Funds are used to support personnel costs, surge capacity costs, specialty equipment, quarantine costs, laboratory costs etc. all related to the response to COVID-19.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: When grant was received we only had 2.5mo remaining in FY20, grant was allowed to carryover to March of 2021 and will end.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				6,317,500	6,317,500	0	0
Beginning Cash Balance	0	0	0	0	9,537	9,537	9,537
Revenues				704,875	5,000,000	0	0
Expenditures				695,338	5,000,000	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	9,537	9,537	9,537	9,537
Encumbrances				1,136,914			
Unencumbered Cash Balance	0	0	0	N/A			

(Note: For federal funds, although funds are encumbered, federal funds are not drawn down until just prior to payment processing (approximately three days prior to payment.)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Coronavirus State Hospital Improvement Program
 Legal Authority: Section 1820 (g)(3) of the Social Security Act, 42 U.S.C. 1395i-4

Contact Name: William L. Aakhus
 Phone: 586-9305
 Fund type (MOF) P
 Appropriation Acct. No. S 638

Intended Purpose: The funding through this award should be used to complement, not duplicate or supplant, other funds received through existing payment or other CARES Act programs supporting hospitals; funds are intended for expedient distribution to the Small Rural Hospital Improvement Program (SHIP) eligible hospitals. Grant budget/project ends 9/30/21.

Source of Revenues: U.s. Dept. of Health and Human Services Health Resources and Services Administration (HRSA)

Current Program Activities/Allowable Expenses: Small Rural Hospital Improvement Program (SHIP) eligible hospitals including indirect costs.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: This account ends 9/30/21.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling					843,170	843,170	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues				0	500,000	343,170	0
Expenditures				0	500,000	343,170	0
Transfers	List each net transfer in/out/ or projection in/out; list each account number						
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances				505,902	343,170	0	
Unencumbered Cash Balance	0	0	0	N/A			

(Note: For federal funds, although funds are encumbered, federal funds are not drawn down until just prior to payment processing (approximately three days prior to payment.)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Hawaii Newborn Screening Data Projects
 Legal Authority: Sec 399M(b)(1) PHSA [42 U.S.C. 280g-1(b)(1)]
HRS§321-361: Statewide Newborn Hearing Screening Program

Contact Name: Michelle C Matsuoka
 Phone: 733-9062
 Fund type (MOF) P
 Appropriation Acct. No. S 640 H

Intended Purpose: Hawaii Newborn Screening Data Project

Source of Revenues: U S Department of Health and Human Services, Centers for Disease Control and Prevention

Current Program Activities/Allowable Expenses: By May 31, 2024, Early Hearing Detection and Intervention (EDHI) program grantees will have advanced their capacity to actively track infants to ensure they receive essential screening, diagnostic, and intervention services by optimizing the state EDHI information system and collaborating with other public health and community programs targeting the same population. The allowable expenses include salary for a Research Statistician, contracts for Hearing Screening data software and an audiologist consultant, and supplies.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: New 4-year non-appropriated grant (project period 7/1/20 - 6/30/24); anticipated award \$160,000 per year; commenced 7/1/2020

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling					160,000	160,000	160,000
Beginning Cash Balance		0	0	0	0	0	0
Revenues					160,000	160,000	160,000
Expenditures					160,000	160,000	160,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 460
 Name of Fund: Data to Wisdom
 Legal Authority: Section 561 thru 565 of the Public Health Services Act As Amended

Contact Name: Wakaba Stephens
 Phone: 733-9866
 Fund type (MOF) P
 Appropriation Acct. No. S 641

Intended Purpose: To improve the process of utilizing data to improve practices through building infrastructure and refining quality improvement practices while focusing on system of care principles.

Source of Revenues: Federally Funded Grant from SAMHSA

Current Program Activities/Allowable Expenses: Enhancing data-driven decision-making through utilization of clinical, administrative, and practice data, tools, and quality improvement processes, with an emphasis in system of care principles, keeping youth within their communities, and reducing out-of-home placements.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: FY2021-The estimated revenues and expenditures are per NOA.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	2,954,977	2,845,414	2,984,059
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues				0	2,954,977	2,845,414	2,984,059
Expenditures				0	2,954,977	2,845,414	2,984,059
Transfers	List each net transfer in/out/ or projection in/out; list each account number						
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances				505,902			
Unencumbered Cash Balance	0	0	0	N/A			

(Note: For federal funds, although funds are encumbered, federal funds are not drawn down until just prior to payment processing (approximately three days prior to payment.)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 590
 Name of Fund: National and State Tobacco Control Program
 Legal Authority: 301(1) and 317(k)(2) of the federal Public Health Services Act

Contact Name: Lola Irvin
 Phone: 586-4481
 Fund type (MOF) P
 Appropriation Acct. No. S 642 H

Intended Purpose: Fund State Tobacco Control Program, and ensure quitline capacity during national media campaign.

Source of Revenues: Center for Disease Control and Prevention

Current Program Activities/Allowable Expenses: Prevent initiation of commercial tobacco use among youth and young adults; eliminate exposure to secondhand smoke; promote quitting among adults and youth; and identify and eliminate tobacco-related disparities.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Grant started 6/29/2020.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			0	0	967,963	967,963	967,963
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues			0	0	967,963	967,963	967,963
Expenditures			0	0	967,963	967,963	967,963
Transfers	List each net transfer in/out/ or projection in/out; list each account number						
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances				0	0		
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Health
 Prog ID(s): HTH 420
 Name of Fund: Crisis Counseling Immediate Svcs - COVID
 Legal Authority: Section 334-7, HRS

Contact Name: Amy Yamaguchi
 Phone: 586-4682
 Fund type (MOF): P
 Appropriation Acct. No.: S 651 H

Intended Purpose: The purpose of this grant is to provide supplemental COVID-19 information and educational outreach.

Source of Revenues: Crisis Counseling Immediate Services Program

Current Program Activities/Allowable Expenses: Activities include creating information and educational material aligned with the crisis counseling program model of psychoeducation and the development of coping skills.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: The grant is scheduled to end on 12/24/2020.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling					127,850	0	0
Beginning Cash Balance		0	0	0	0	0	0
Revenues					127,850		
Expenditures					127,850		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: AMCHP CARES Act Project
 Legal Authority Contract with the Association of Maternal and Child Health Programs (AMCHP) who received CARES Act funds to pass through to states to support telehealth activities for Maternal and Child Health populations.
population

Contact Name: Michelle C Matsuoka
 Phone: 733-9062
 Fund type (MOF) P
 Appropriation Acct. No. S 655 H

Intended Purpose Contract with AMCHP to develop family resources for telehealth

Source of Revenue CARES Act funds passed through the Association of Maternal and Child Health Programs (AMCHP) to states

Current Program Activities/Allowable The CARES Act funding is a multi-state project (Hawaii, California, and Washington) which supports translating of telehealth resources for families into Spanish, Chinese, Vietnamese, Tagalog, Samoan, and Korean. It also supports the development of new telehealth resources in English and the other languages. Hawaii is the recipient and organizer of this multistate project. Allowable expenses include translation, website development of a family telehealth training module, and contracts to family advocacy organizations to work on developing the resources and helping families navigate to telehealth services and resources.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: New non-appropriated CARES Act related grant; Project period 10/1/20 - 4/30/21. No anticipated award from FY22 onward.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling					0	0	0
Beginning Cash Balance		0	0	0	0	0	0
Revenues					275,000	0	0
Expenditures					275,000	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							