



HONOLULU AUTHORITY FOR RAPID TRANSPORTATION

Schedule of Invoices

Vendor	Contract No.	Reference No.	Certified Amount
Hitachi Rail Honolulu, JV	CT-1200106	00099	\$ 4,823,418.85
Hitachi Rail Honolulu, JV	CT-1200106	00100	2,756,514.30
Hitachi Rail Honolulu, JV	CT-1200106	00101	1,146,480.90
Hitachi Rail Honolulu, JV	CT-1200106	00102	9,660,312.50
Hitachi Rail Honolulu, JV	CT-1200106	00104	4,318,651.55
Hitachi Rail Honolulu, JV	CT-1200106	00105	344,346.50
Hitachi Rail Honolulu, JV	CT-1200106	00106	2,654,249.65
Hitachi Rail Honolulu, JV	CT-1200106	00107	4,173,455.39
Hitachi Rail Honolulu, JV	CT-1200106	00108	2,141,496.45
City & County of Honolulu-Div of Treasury	ICP-HRT-21000059	GOB 2019A Jul 2020	874,791.66
City & County of Honolulu-Div of Treasury	ICP-HRT-21000059	GOB 2019E Jul 2020	731,437.50
City & County of Honolulu-Div of Treasury	ICP-HRT-21000059	GOB 2020B Jul 2020	1,114,096.29
City & County of Honolulu-Div of Treasury	ICP-HRT-21000228	GOB 2019A Aug 2020	874,791.66
City & County of Honolulu-Div of Treasury	ICP-HRT-21000228	GOB 2019E Aug 2020	731,437.50
City & County of Honolulu-Div of Treasury	ICP-HRT-21000228	GOB 2020B Aug 2020	1,114,096.29
City & County of Honolulu-Div of Treasury	ICP-HRT-21000368	TECP A-1 BOA	75,124.93
City & County of Honolulu-Div of Treasury	ICP-HRT-21000368	TECP B Sumitomo Mitsui	58,695.27
City & County of Honolulu-Div of Treasury	ICP-HRT-21000368	TECP B Sumitomo Mitsui	292,152.51
City & County of Honolulu-Div of Treasury	ICP-HRT-21000592	GOB 2019A Nov 2020	874,791.67
City & County of Honolulu-Div of Treasury	ICP-HRT-21000592	GOB 2019E Nov 2020	731,437.50
City & County of Honolulu-Div of Treasury	ICP-HRT-21000592	GOB 2020B Nov 2020	1,139,416.67
City & County of Honolulu-Div of Treasury	ICP-HRT-21000690	GOB 2019A Dec 2020	874,791.67
City & County of Honolulu-Div of Treasury	ICP-HRT-21000690	GOB 2019E Dec 2020	731,437.50
City & County of Honolulu-Div of Treasury	ICP-HRT-21000690	GOB 2020B Dec 2020	1,139,416.67
City & County of Honolulu-Div of Treasury	ICP-HRT-21000718	BOA A-Liquid.Rvlvr 1 M 12/8/23	97,232.95
City & County of Honolulu-Div of Treasury	ICP-HRT-21000718	BOA B-Remrking Fee .05% \$95mil.	11,939.89
City & County of Honolulu-Div of Treasury	ICP-HRT-21000718	Sumitomo Mitsui B-L/C LG/MIS/NY-085892	263,572.38
		Carried forward	\$ 43,749,586.60

The accompanying notes are an integral part of the Schedule of Invoices.

HONOLULU AUTHORITY FOR RAPID TRANSPORTATION

Schedule of Invoices (Continued)

<u>Vendor</u>	<u>Contract No.</u>	<u>Reference No.</u>	<u>Certified Amount</u>
		Carried over	\$ 43,749,586.60
City & County of Honolulu-Div of Treasury	ICP-HRT-21000765	TECP \$15M B-2 7/7/21	6,780.82
City & County of Honolulu-Div of Treasury	ICP-HRT-21000765	TECP \$45M B-1 7/7/21	20,527.40
City & County of Honolulu-Div of Treasury	ICP-HRT-21000765	TECP \$60M B-1 7/7/21	45,764.38
City & County of Honolulu-Div of Treasury	ICP-HRT-21000765	TECP \$95M B-1 7/7/21	75,375.34
City & County of Honolulu-Div of Treasury	ICP-HRT-21000909	GOB 2019A Feb 2021	874,791.66
City & County of Honolulu-Div of Treasury	ICP-HRT-21000909	GOB 2019E Feb 2021	731,437.50
City & County of Honolulu-Div of Treasury	ICP-HRT-21000909	GOB 2020B Feb 2021	1,139,416.66
City & County of Honolulu-Div of Treasury	ICP-HRT-21001029	Commit.Fees TECP A Jan-Mar 2021	139,452.92
City & County of Honolulu-Div of Treasury	ICP-HRT-21001029	Commit.Fees TECP B Jan-Mar 2021	314,681.50
City & County of Honolulu-Div of Treasury	ICP-HRT-21001029	RmrkgFees TECP B1 Jan-Mar 2021	18,759.92
City & County of Honolulu-Div of Treasury	ICP-HRT-21001029	RmrkgFees TECP B2 Mar 2021	246.58
City & County of Honolulu-Div of Treasury	ICP-HRT-22000053	GOB 2019A Int Pmt July 2021	874,791.66
City & County of Honolulu-Div of Treasury	ICP-HRT-22000053	GOB 2019E Int Pmt July 2021	731,437.50
City & County of Honolulu-Div of Treasury	ICP-HRT-22000053	GOB 2020B Int Pmt July 2021	1,139,416.66
City & County of Honolulu-Div of Treasury	ICP-HRT-22000093	Int Pmt \$15M TECP drw #11-2, B-2, 7/21-8/21, due 8/4/21	920.55
City & County of Honolulu-Div of Treasury	ICP-HRT-22000093	Int Pmt \$200M TECP drw #9, #10, #11-1, B-1 7/21-8/21, due 8/4/21	12,273.97
City & County of Honolulu-Div of Treasury	ICP-HRT-22000093	Int Pmt \$60M TECP drw #12, B-2, 6/21-8/21 due 8/4/21	6,443.84
		Carried forward	\$ 49,882,105.46

The accompanying notes are an integral part of the Schedule of Invoices.

HONOLULU AUTHORITY FOR RAPID TRANSPORTATION

Schedule of Invoices (Continued)

Vendor	Contract No.	Reference No.	Certified Amount
		Carried over	\$ 49,882,105.46
City & County of Honolulu-Div of Treasury	ICP-HRT-22000240	GOB 2019A Int Pmt Aug 2021	874,791.66
City & County of Honolulu-Div of Treasury	ICP-HRT-22000240	GOB 2019E Int Pmt Aug 2021	731,437.50
City & County of Honolulu-Div of Treasury	ICP-HRT-22000240	GOB 2020B Int Pmt Aug 2021	1,139,416.66
City & County of Honolulu-Div of Treasury	ICP-HRT-22000318	Remktg fee-TECP B-1 Jun- Sep 2021 BOA	9,589.04
City & County of Honolulu-Div of Treasury	ICP-HRT-22000318	Remktg fee-TECP B-2 Jun- Sep 2021 BOA	4,842.47
City & County of Honolulu-Div of Treasury	ICP-HRT-22000484	GOB 2019A Int Pmt Oct 2021	874,791.67
City & County of Honolulu-Div of Treasury	ICP-HRT-22000484	GOB 2020B Int Pmt Oct 2021	1,139,416.67
City & County of Honolulu-Div of Treasury	ICP-HRT-22000484	GOB 2021E Int Pmt Sep-Oct 2021	3,160,439.58
City & County of Honolulu-Div of Treasury	ICP-HRT-22000485	GOB 2019E Int Pmt Oct 2021	731,437.50
INIT Innovations in Transportation	DO-1602362	00015	15,217.76
Lea + Elliott	SC-1400049	00145	6,409.56
Lea + Elliott	SC-1400049	00147	14,534.97
Lea + Elliott	SC-1400049	00155	914,607.35
Lea + Elliott	SC-1400049	00158	705,464.65
Lea + Elliott	SC-1400049	00160	738,708.72
Lea + Elliott	SC-1400049	00161	623,789.34
Nan, Inc. - T.O. (CCUR)	CT-1800230	TO 12-2	937,478.21
Nan, Inc. - T.O. (CCUR)	CT-1800230	TO 12-6	912,289.88
Road and Highway Builders, LLC	CT-1900074	00023	53,973.30
Road and Highway Builders, LLC	CT-1900074	00024	11,012.43
Royal Contracting Company LTD	CT-1800114	TO 3-8	32,346.32
Royal Contracting Company LTD	CT-1800114	TO 3-9	73,184.21
		Carried forward	\$ 63,587,284.91

The accompanying notes are an integral part of the Schedule of Invoices

HONOLULU AUTHORITY FOR RAPID TRANSPORTATION

**Schedule of Invoices (Continued)**

<u>Vendor</u>	<u>Contract No.</u>	<u>Reference No.</u>	<u>Certified Amount</u>
		Carried over	\$ 63,587,284.91
Royal Contracting Company LTD	CT-1800114	TO 3-10	17,192.52
Shimmick/ Traylor/ Granite JV	CT-1600385	00046	9,746,150.95
Stantec Consulting Services, Inc.	SC-1600008	00131	684,310.10
Stantec Consulting Services, Inc.	SC-1600008	00133	634,592.32
Stantec Consulting Services, Inc.	SC-1600008	00135	789,810.79
		Subtotal	<u>\$ 75,459,341.59</u>
(A) INIT Innovations in Transportation	DO-1602362	00016	\$ 1,105,781.39
(A) Lea + Elliott	SC-1400049	00149	15,639.53
(A) Lea + Elliott	SC-1400049	00151	15,104.96
(A) Lea + Elliott	SC-1400049	00154	31,967.31
(A) Lea + Elliott	SC-1400049	00156	9,783.82
(A) Stantec Consulting Services, Inc.	SC-1600008	00128	814,638.17
(A) Stantec Consulting Services, Inc.	SC-1600008	00129	894,544.32
(A) Stantec Consulting Services, Inc.	SC-1600008	00130	973,955.84
(A) Stantec Consulting Services, Inc.	SC-1600008	00132	750,353.22
(A) Stantec Consulting Services, Inc.	SC-1600008	00134	700,133.34
(B) Road and Highway Builders, LLC	CT-1900074	00018	(147,288.00)
		Subtotal	<u>\$ 5,164,613.90</u>
		Total	<u>\$ 80,623,955.49</u>

(A) Adjustments were made to reverse amounts deemed not allowable per HRS §46-16.8 (e) to reduce the amount reimbursed to HART. See Note 2. Hawaii Revised Statutes §46-16.8 (e) and §40-81.5.

(B) Adjustments were made to reverse amounts deemed not allowable per HRS §46-16.8 (e) from an invoice certified in previous quarter. See Note 2. Hawaii Revised Statutes §46-16.8 (e) and §40-81.5.

The accompanying notes are an integral part of the Schedule of Invoices

## HONOLULU AUTHORITY FOR RAPID TRANSIT

### Notes to Schedule of Invoices

#### 1. Organization

The Honolulu Authority for Rapid Transportation (HART) is a semi-autonomous government unit of the City and County of Honolulu (City) which came into being pursuant to the Revised Charter of the City and County of Honolulu (RCH). HART is authorized under the RCH to develop, operate, maintain, and expand the City guideway system.

#### 2. Hawaii Revised Statutes §46-16.8 (e) and §40-81.5

Hawaii Revised Statutes (HRS) §46-16.8 (e) provides for the use of surcharge revenues received from the State of Hawaii (State) for capital costs of a locally preferred alternative for a mass transit project provided revenues derived from the county surcharge of State tax shall not be used:

- 1) To build or repair public roads or highways, bicycle paths, or support public transportation systems already in existence prior to July 12, 2005;
- 2) For operating or maintenance costs of a mass transit project or any purpose not consistent with this subsection; or
- 3) For administrative or operating, marketing, or maintenance costs, including personnel costs, of a rapid transportation authority (Authority) charged with the responsibility for constructing, operating or maintaining the mass transit project.

HRS §40-81.5 requires the Comptroller, upon request for payment by the rapid transportation authority, to verify that the Authority's invoices for capital costs of a locally preferred alternative for a mass transit project comply with HRS §46-16.8 (e). After submission of invoices by the Authority for capital costs of a locally preferred alternative for a mass transit project are verified by the Comptroller as an acceptable use of funds pursuant to a surcharge on State tax authorized pursuant to HRS §46-16.8 (e), the Comptroller shall submit a certification statement, including any appropriate supporting documents, to the State Department of Budget and Finance for allocation of funds from the Mass Transit Special Fund, if available. The certification statement shall include, at a minimum, the total amount contained in the invoices for capital costs that are verified as an appropriate use of funds pursuant to HRS §46-16.8 (e).

## HONOLULU AUTHORITY FOR RAPID TRANSIT

### Notes to Schedule of Invoices (Continued)

During the quarter ending December 31, 2021, the Comptroller identified ten invoices that contained amounts totaling \$257,246.75 for administrative, operating and maintenance costs that are not allowed per HRS §46-16.8 (e). These unallowable charges are being reversed to reduce the amounts reimbursed to HART - see items (A).

The Comptroller also identified one invoice that contained \$147,288.00 for road work requested by the State of Hawaii Department of Transportation (HDOT) for which HDOT will reimburse HART. This cost is not allowed per HRS §46-16.8 (e). and is being reversed to reduce the amounts reimbursed to HART - see item (B).

As of December 31, 2021, the balance in the Mass Transit Special Fund totaled \$80,624,026.23. As disclosed in the accompanying Schedule of Invoices, the total amount certified by the Comptroller as of January 26, 2022, totaled \$80,623,955.49.

### 3. Fraud, Illegal Activities and/or Violations of Laws and Regulations

During February 2019, HART was served with three separate subpoenas by the United States Attorney for the District of Hawaii. As a result of such actions by Federal authorities, DAGS has determined that adjustments to reduce the amount of future reimbursements to HART will be made should prior invoices certified by the Comptroller be determined to be in error due to fraud, illegal activities or other violations of laws and regulations as a result of investigations, audits and/or judicial proceedings by any governmental body. The scope of this policy includes the findings and conclusions of investigators, auditors or other third parties contracted by such governmental bodies for the purpose of examining HART for possible fraudulent and illegal activities or other violations of laws and regulations.